



ADAPTATION FUND

AFB/EFC.9/4/Add.1
22 June 2012

Ethics and Finance Committee
Ninth Meeting
Bonn, Germany, 26-27 June 2012

DRAFT GUIDANCE TO COMPLETE PROJECT PERFORMANCE REPORTS (PPR)

Background

1. At its sixteenth meeting, the Adaptation Fund Board (the Board) approved the Project Performance Report (PPR) template as contained in Annex 2 of the present document. Since that meeting the template was piloted by Centre de Suivi Ecologique (CSE) in Senegal for the second progress report of the project entitled *Adaptation to Coastal Erosion in Vulnerable Areas*. The template was also circulated to all Adaptation Fund accredited entities for comments.
2. The secretariat received comments from the following Multilateral Implementing Entities (MIEs): Asian Development Bank (ADB), United Nations Development Programme (UNDP), and the United Nations Environment Programme (UNEP). The secretariat also received comments from one National Implementing Entity (NIE), the National Environment Fund, Benin.
3. Based on the pilot and feedback from the entities, the template has been adjusted and edited to provide greater clarity and precision. The current document includes the guidance document to further explain what is required when filling out the reporting template. The guidance along with the revised template will be available for project proponents to download from the Adaptation Fund (the Fund) website.

Guidance document: Adaptation Fund Project/Programme Performance Report template

4. As part of the Fund's reporting requirements, implementing entities are required to submit a Project/Programme Performance Report (PPR) on an annual basis to the Ethics and Finance Committee (EFC) through the secretariat. The PPR should be submitted on a rolling basis one year after the start of project implementation (date of inception workshop) and the last such report should be submitted six months after project completion. This will be considered the project completion report. PPRs shall be submitted no later than two months after the end of the reporting year.
5. This document provides guidance to project proponents for how to fill out the Adaptation Fund's Project/Programme Performance Report (PPR) template and provides explanations regarding the type of information requested in each of the template's eight sections. Please note that the report should be submitted to the secretariat in English and that all financial figures provided in the template should be in US dollars (USD).

Section 1: Overview

- *Period of Report*: the start and end dates for which the report is based
- *Project Title*: title of the project as approved in the project document
- *Project Summary*: the project summary should include the objectives, scope, and main outcomes of the project.
- *Database Number*: this is the project ID number provided by the Fund
- *Implementing Entity (IE)*: agency/entity implementing the project
- *Type of IE*: indicate whether the implementing entity is a National, Regional, or Multilateral implementing entity (NIE, RIE, or MIE)

- *Country(ies)*: List country(ies) in which the project is being implemented
- *Relevant Geographic Points (i.e. cities, villages, bodies of water)*: list the geographic area in which the project is 1) being implemented and 2) will have considerable impact; for example, specific cities, villages, countries, forests, lakes, rivers, etc.

Project Milestones:

- *AFB Approval Date*: date of project approval by the Adaptation Fund Board
- *IE-AFB Agreement Signature Date*: date legal agreement signed between implementing entity and the Adaptation Fund Board
- *Date of Project Effectiveness*: project start date; for the Adaptation Fund this is the date the inception workshop takes place
- *Mid-Term Review (MTR) Date*: if applicable, provide the indicative date the mid-term review is scheduled or the date the MTR was submitted
- *Terminal Evaluation (TE) Date*: provide the indicative date that the terminal evaluation is scheduled or the date the TE was submitted.
- *List documents/reports/brochures /articles that have been prepared about the project*: for any materials produced during the reporting period provide a list of titles; for news articles include the name of the journal and any online link to the article if available
- *List the website address (URL) of project*: if a website for the project has been established or included on a page within a government or other website, please provide the URL

Project Contacts:

- *National Project Manager/Coordinator (Name, Email, Date)*: the person managing the project on the ground
- *Government DA (Name, Email, Date)*: the name and contact of the designated authority
- *Implementing Entity (Name, Email, Date)*: the name and contact of the person managing the project from the side of the implementing entity
- *Executing Agency (Name, Email, Date)*: the name and contact information of the executing agency (if there is more than one executing agency provide the names of all in the separate field available)

Section 2: Financial information

- *Disbursement to date (Value – USD)*: amount of money disbursed from implementing entity to executing entity(ies) to date

- *Annual Expenditure (Table)*: list expenditures associated with the outputs as outlined in the project document that took place over the reporting period
- *Planned Expenditure Schedule (Table)*: list all planned outputs and projected costs for the remaining project timeframe
- *Actual Co-Financing (Value – USD)*: only if applicable, please specify actual co-financing that has materialized (only reported at mid-term and end of project)

Section 3: Procurement data

For this section please provide procurement information for all contracts **over US\$2,500**. Please note that due to the sensitive information contained in this section (including bid amounts and winning bids) this section will not be made public.

List of Contracts:

- *Contract Type*: for example, firm fixed price, price agreement, retainer and/or contingency fee contract. Different implementing entities will have different terms. Please use the terminology of the implementing entity .
- *Agency/Contracted Party*: name of contracted party
- *Contracted Value/Amount (USD)*: total amount of contract
- *Signature Date*: date of contract viability
- *Payment to Date*: payments that have been made till the end of the reporting period
- *Remaining Balance*: the amount remaining on the contract (Equal to contracted value minus payment to date)

List of Bids:

- *Contract & Procurement Method (Name of Contract, Procurement Method, Date of Call (if applicable))*: provide the name of the contract and how the procurement was undertaken. For example, request for proposals (RFP), competitive quotations, invitation to bid, etc. If applicable include the date of the call for bids.
- *Submitted Bids*: provide the names of organizations or individuals submitting bids, proposals, quotations, etc.
- *Bid Amount (USD)*: provide the amount proposed/bid/quoted to each corresponding organization or individual
- *Winning Bid Amount (USD)*: provide the amount of the winning bid
- *Selection Justification for the Winner*: provide a brief justification for selecting the winner

Section 4: Risk assessment

- *Identified Risk, Current Status, Steps Taken to Mitigate Risk*: provide information on all risks identified in the project document and the on-the-ground status of each risk factor; describe the steps that have been taken to mitigate these risk factors during the reporting period
- *Critical Risks Affecting Progress (Not identified at project design)*: this section should be filled out only if applicable. If during project/programme implementation there are critical, risks that have been identified that were not originally identified within the project document.
- *Risk Measures*: Were there any risk mitigation measures employed during the current reporting period? If so, were risks reduced? If not, why were these risks not reduced? This section provides the opportunity to describe whether and how the steps taken to mitigate risks have or have not been effective.

Section 5: Rating

In this section, at a minimum a separate rating needs to be provided by the implementing entity and the executing entity. If there is more than one executing entity a rating should be provided from each one for the outputs/outcomes of the project for which the entity is responsible. The implementing entity should rate the project's progress against its objectives, and also rate—with an emphasis on any strengths or weaknesses—the approach to project execution.

The ratings should correspond to the overall assessment of implementation progress: are project/programme actions/activities that were planned for the current reporting period on track to achieve most of the project/programme's major outcomes/outputs?

- *Key Milestones*: refers to the milestones set out by the project team for achievement against their yearly plan, and should reflect progress against outcomes/outputs presented in the results framework and time-bound deliverables described in the project document
- *Expected Progress*: provide a statement as to the progress expected to be achieved at the beginning of the reporting period
- *Progress to date*: provide a statement as to the progress of each milestone as it stands at the end of the reporting period
- *Rating*: provide an overall rating for implementation progress; see Annex I below for the definition of the six possible ratings

Section 6: Project indicators

- *Type of Indicator*: this refers to whether the indicator is measuring the project/programme impact, outcome, output, etc.
- *Indicators*: provide the indicators as identified through the results framework developed in the project documentation

- *Baseline*: provide the value of the indicator prior to project start; if this was not available at the project design phase, the value must be reported in the first PPR submitted to the Board
- *Progress since inception*: provide data on the progression of the indicator value since the start of the project;
- *Targets*: provide the end targets as set out in the project document for each of the identified indicators

Section 7: Lessons learned

This section includes a set of qualitative questions on **adaptive management and implementation** that should be answered on a yearly basis and a set of questions directed towards **lessons for adaptation** that are required only at mid-term and project completion.

The first set of questions related to adaptive management and implementation include:

- *What implementation issues/lessons, either positive or negative, affected project/programme progress positive and negative?:* Please provide a qualitative narrative of how the project/programme is progressing both in terms of successes the project/programme has had and any set-backs it may be facing.
- *Were there any delays in implementation? If so, include any causes of delays. What measures have been taken to reduce delays?* Please provide more detailed information about any delays the project may be facing and what is being done to mitigate them.
- *Describe any changes undertaken to improve results on the ground or any changes made to project outputs (i.e. changes to project design).* If any changes have been made to project outputs these should be clearly outlined. There should also be a description of how the revised outputs will meet the overall objectives and outcomes that the project/programme was originally designed to achieve.
- *How have gender considerations been taken into consideration during the reporting period? What have been the lessons learned as a consequence of inclusion of such considerations on project performance or impacts?* Please include any information on gender considerations that have been undertaken, including information on training courses, stakeholder participation, direct affect of outputs or outcomes, etc.

The second set of questions regarding lessons for adaptation includes:

Climate Resilience Measures:

- *What have been the lessons learned, both positive and negative, in implementing climate resilience measures that would be relevant to the design and implementation of future projects/programmes for enhanced resilience to climate change?*
- *What is the potential for the climate resilience measures undertaken by the project/programme to be replicated and scaled up both within and outside the project area?*

Concrete Adaptation Interventions:

- *What have been the lessons learned, both positive and negative, in implementing concrete adaptation interventions that would be relevant to the design and implementation of future projects/programmes implementing concrete adaptation interventions?*
- *What is the potential for the concrete adaptation interventions undertaken by the project/programme to be replicated and scaled up both within and outside the project area?*

Community/National Impact:

- *What would you consider to be the most successful aspects for the target communities?*
- *What measures are/have been put in place to ensure sustainability of the project/program results?*
- *What measures are being/could have been put in place to improve project/program results?*

Knowledge Management:

- *How has existing information/data/knowledge been used to inform the development and the implementation of the project? What kinds of information/data/knowledge were used?*
- *If learning objectives have been established, have they been met? Please describe.*
- *Describe any difficulties there have been in accessing or retrieving existing information (data or knowledge) that is relevant to the project. Please provide suggestions for improving access to the relevant data.*
- *Has the identification of learning objectives contributed to the outcomes of the project? In what ways have they contributed?*

Section 8: Adaptation Fund results tracker

The Adaptation Fund results tracker allows the Fund to ensure that projects/programmes align with the Fund level results and to aggregate a select set of indicators from the individual project/programme level to the portfolio level. Projects and programmes are required to fill in the results tracker three times – at project approval, midterm, and terminal evaluation.


The secretariat has developed a manual to help potential applicants develop project/programme baselines and results frameworks. The manual also lays out how to align results frameworks/logframes at the project level with the Fund's strategic results framework. Annex 1 of the document describes the standard/core Fund indicators that would be measured, and provides guidance as to how to define, measure, and collect data. (<http://www.adaptation-fund.org/sites/default/files/Results%20Framework%20and%20Baseline%20Guidance%20final%20compressed.pdf>)

Annex I: Definition of Ratings

Implementation Progress Ratings

1. **Highly Satisfactory (HS):** Project actions/activities planned for the current reporting period are progressing on track or exceeding expectations to achieve **all** major outcomes/outputs for given reporting period, without major shortcomings. The project can be presented as “good practice.”
2. **Satisfactory (S):** Project actions/activities planned for the current reporting period are progressing on track to achieve **most** major outcomes/outputs with only minor shortcomings.
3. **Moderately Satisfactory (MS):** Project actions/activities planned for the current reporting period are progressing on track to achieve **most** major relevant outcomes/outputs, **but** with either significant shortcomings or modest overall relevance.
4. **Moderately Unsatisfactory (MU):** Project actions/activities planned for the current reporting period are **not** on track to achieve major outcomes/outputs and have **major shortcomings** or are expected to achieve only some major objectives/outcomes.
5. **Unsatisfactory (U):** Project actions/activities planned for current reporting period are **not** on track to achieve most major outcomes/outputs.
6. **Highly Unsatisfactory (HU):** Project actions/activities planned for current reporting period are not on track and are not expected to achieve, any of the anticipated outcomes/outputs.

Annex 2: Project Performance Report Template

 ADAPTATION FUND	Project Performance Report (PPR)
Period of Report (Dates)	
Project Title:	
Project Summary:	
Database Number:	
Implementing Entity (IE) [name]:	
Type of IE:	
Country(ies):	
Relevant Geographic Points (i.e. cities, villages, bodies of water):	
Project Milestones <i>Milestone</i>	
AFB Approval Date:	
IE-AFB Agreement Signature Date:	
Start of Project/Programme:	
Mid-term Review Date (if planned):	
Terminal Evaluation Date:	
List documents/ reports/ brochures / articles that have been prepared about the project.	
List the Website address (URL) of project.	
Project contacts:	
National Project Manager/Coordinator	
Name:	
Email:	
Date:	
Government DA	
Name:	
Email:	
Date:	
Implementing Entity	
Name:	
Email:	
Date:	
Executing Agency	
Name:	
Email:	
Date:	
Executing Agency	
Name:	
Email:	
Date:	
Executing Agency	
Name:	
Email:	
Date:	

Financial information: cumulative from project start to [insert date]

DISBURSEMENT OF AF GRANT FUNDS

How much of the total AF grant as noted in Project Document plus any project preparation grant has been spent to date?

Estimated cumulative total disbursement as of [enter Date]

Add any comments on AF Grant Funds. (word limit=200)

EXPENDITURE DATA

List output and corresponding amount spent for the current reporting period

ITEM / ACTIVITY / ACTION	AMOUNT
TOTAL	0

PLANNED EXPENDITURE SCHEDULE

List outputs planned and corresponding projected cost for the upcoming reporting period

ITEM / ACTIVITY / ACTION	PROJECTED COST	Est. Completion Date
TOTAL	0	

ACTUAL CO-FINANCING *(If the MTR or TE have not been undertaken this reporting period, DO NOT report on actual co-financing.)*

How much of the total co-financing as committed in the Project Document has actually been realized?

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Estimated cumulative actual co-financing as verified during Mid-term Review (MTR) or Terminal Evaluation (TE).

Add any comments on actual co-financing in particular any issues related to the realization of in-kind, grant, credits, loans, equity, non-grant instruments and other types of co-financing. (word limit=200)

PROCUREMENT DATA

Please provide information for all contracts over \$2,500 USD

LIST OF CONTRACTS

List all contracts related to the project/program with signature dates

Contract Type	Agency / Contracted party	Contract Value/Amount (USD)	Signature Date	Payment to Date	Remaining Balance

BIDS

List all bids for each contract signed with date of open call and winning bid

CONTRACT & Procurement Method	Submitted Bids	Bid Amount (USD)	Winning Bid Amount (USD)	Selection Justification for the Winner
Name of Contract , Procurement Method, Date of Call				
Name of Contract , Procurement Method, if applicable Date of Call				
Name of Contract , Procurement Method, if applicable Date of Call				
Name of Contract , Procurement Method, if applicable Date of Call				
Name of Contract , Procurement Method, if applicable Date of Call				

RISK ASSESSMENT

IDENTIFIED RISKS

List all Risks identified in project preparation phase and what steps are being taken to mitigate them

Identified Risk	Current Status	Steps Taken to Mitigate Risk

Critical Risks Affecting Progress (Not identified at project design)

Identify Risks with a 50% or > likelihood of affecting progress of project

Identified Risk	Current Status	Steps Taken to Mitigate Risk

Risk Measures: Were there any risk mitigation measures employed during the current reporting period? If so, were risks reduced? If not, why were these risks not reduced?

Add any comments relevant to risk mitigation (word limit = 500)

RATING ON IMPLEMENTATION PROGRESS

For rating definitions please see bottom of page.

	Progress on Key Milestones	Expected Progress	Progress to Date	Rating
Project Manager/Coordinator:				
	Overall Rating			

Please Provide the Name and Contact information of person(s) responsible for completing the Rating section

Name:

Email:

Please justify your rating. Outline the positive and negative progress made by the project since it started. Provide specific recommendations for next steps. . (word limit=500)

	Progress on Key Milestones	Expected Progress	Progress to Date	Rating
Implementing Agency				
	Overall Rating			

Please Provide the Name and Contact information of person(s) responsible for completing the Rating section

Name:

Email:

	Progress on Key Milestones	Expected Progress	Progress to Date	Rating
Other				
	Overall Rating			

Please Provide the Name and Contact information of person(s) responsible for completing the Rating section

Name:

Email:

Please justify your rating and address the following points:

1. Indicate trends, both positive and negative, in achievement of outcomes as per the project indicators.

2. Detail critical risks that have affected progress.

3. Outline response to MTR undertaken this reporting period.

4. Outline action plan to address projects with a rating of HU, U or MU.

Please keep your input to 1200 words

Rating Definitions

Highly Satisfactory (HS)	Project actions/activities planned for current reporting period are progressing on track or exceeding expectations to achieve all major outcomes/outputs for given reporting period, without major shortcomings. The project can be presented as "good practice".
Satisfactory (S)	Project actions/activities planned for current reporting period are progressing on track to achieve most of its major outcomes/outputs with only minor shortcomings.
Marginally Satisfactory (MS)	Project actions/activities planned for current reporting period are progressing on track to achieve most major relevant outcomes/outputs, but with either significant shortcomings or modest overall relevance.
Marginally Unsatisfactory (MU)	Project actions/activities planned for current reporting period are not progressing on track to achieve major outcomes/outputs with major shortcomings or is expected to achieve only some of its major outcomes/outputs.
Unsatisfactory (U)	Project actions/activities planned for current reporting period are not progressing on track to achieve most of its major outcomes/outputs.
Highly Unsatisfactory (HU)	Project actions/activities planned for current reporting period are not on track and shows that it is failing to achieve, and is not expected to achieve, any of its outcomes/outputs.

QUALITATIVE MEASURES and LESSONS LEARNED

Please complete the following section every reporting period

Implementation and Adaptive Management	Response
What implementation issues/lessons, either positive or negative, affected progress?	
Were there any delays in implementation? If so, include any causes of delays. What measures have been taken to reduce delays?	
Describe any changes undertaken to improve results on the ground or any changes made to project outputs (i.e. changes to project design)	
How have gender considerations been taken into consideration during the reporting period? What have been the lessons learned as a consequence of inclusion of such considerations on project performance or impacts?	

Please complete the following section at mid-term and project completion

Lessons for Adaptation	Response
Climate Resilience Measures	
What have been the lessons learned, both positive and negative, in implementing climate adaptation measures that would be relevant to the design and implementation of future projects/programmes for enhanced resilience to climate change?	
What is the potential for the climate resilience measures undertaken by the project/programme to be replicated and scaled up both within and outside the project area?	
Concrete Adaptation Interventions	
What have been the lessons learned, both positive and negative, in implementing concrete adaptation interventions that would be relevant to the design and implementation of future projects/programmes implementing concrete adaptation interventions?	
What is the potential for the concrete adaptation interventions undertaken by the project/programme to be replicated and scaled up both within and outside the project area?	
Community/National Impact	
What would you consider to be the most successful aspects for the target communities?	
What measures are/have been put in place to ensure sustainability of the project/program results?	
What measures are being/could have been put in place to improve project/program results?	
Knowledge Management	
How has existing information/data/knowledge been used to inform project development and implementation? What kinds of information/data/knowledge were used?	
If learning objectives have been established, have they been met? Please describe.	
Describe any difficulties there have been in accessing or retrieving existing information (data or knowledge) that is relevant to the project. Please provide suggestions for improving access to the relevant data.	
Has the identification of learning objectives contributed to the outcomes of the project? In what ways have they contributed?	

Results Tracker for Adaptation Fund (AF) Projects



Goal: Assist developing-country Parties to the Kyoto Protocol that are particularly vulnerable to the adverse effects of climate change in meeting the costs of concrete adaptation projects and programmes in order to implement climate-resilient measures. **Impact:** Increased resiliency at the community, national, and regional levels to climate variability and change.

Important: Please read the following Results Framework and Baseline Guidance (also posted on the Adaptation Fund website) before entering your data
 Link: <http://www.adaptation-fund.org/sites/default/files/Results%20Framework%20and%20Baseline%20Guidance%20final.pdf>

Please select the relevant Fund level *Outcome and Output indicators* that align with the project objectives and outcomes

OBJECTIVE 1							
Fund Outcome	Please select from dropdown menu below	Fund Outcome Indicator	Please select from dropdown menu below	Target at CEO Endorsement (see Units in next sheet)	Baseline (see Units in next sheet)	Mid-term Results	Terminal Results
<p>Outcome 1: Reduced exposure at national level to climate-related hazards and threats</p> <p>Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses</p> <p>Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level</p> <p>Outcome 4: Increased adaptive capacity within relevant development and natural resource sectors</p> <p>Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress</p> <p>Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas</p> <p>Outcome 7: Improved policies and regulations that promote and enforce resilience measures</p>		<p>1. Relevant threat and hazard information generated and disseminated to stakeholders on a timely basis</p> <p>2.1. No. and type of targeted institutions with increased capacity to minimize exposure to climate variability risks</p> <p>2.2. Number of people with reduced risk to extreme weather events</p> <p>3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses</p> <p>3.2. Modification in behavior of targeted population</p> <p>4.1. Development sectors' services responsive to evolving needs from changing and variable climate</p> <p>4.2. Physical infrastructure improved to withstand climate change and variability-induced stress</p> <p>5. Ecosystem services and natural assets maintained or improved under climate change and variability-induced stress</p> <p>6.1. Percentage of households and communities having more secure (increased) access to livelihood assets</p>					
Fund Output	Please select from dropdown menu below	Fund Output Indicator	Please select from dropdown menu below	Target at CEO Endorsement (see Units in next sheet)	Baseline (see Units in next sheet)	Mid-term Results	Terminal Results
<p>Output 1: Risk and vulnerability assessments conducted and updated at a national level</p> <p>Output 2.1: Strengthened capacity of national and regional centres and networks to respond rapidly to extreme weather events</p> <p>Output 2.2: Targeted population groups covered by adequate risk reduction systems</p> <p>Output 3: Targeted population groups participating in adaptation and risk reduction awareness activities</p> <p>Output 4: Vulnerable physical, natural, and social assets strengthened in response to climate change impacts, including variability</p> <p>Output 5: Vulnerable physical, natural, and social assets strengthened in response to climate change impacts, including variability</p> <p>6: Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability</p> <p>Output 7: Improved integration of climate-resilience strategies into country development plans</p>		<p>1.1. No. and type of projects that conduct and update risk and vulnerability assessments</p> <p>1.2. Development of early warning systems</p> <p>2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events</p> <p>2.1.2. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased</p> <p>2.2.1. Percentage of population covered by adequate risk-reduction systems</p> <p>2.2.2. No. of people affected by climate variability</p> <p>3.1 No. and type of risk reduction actions or strategies introduced at local level</p> <p>3.2 No. of news outlets in the local press and media that have covered the topic</p> <p>4.1. No. and type of health or social infrastructure developed or modified to respond to new conditions resulting from climate variability and change (by type)</p> <p>4. 2. No. of physical assets strengthened or constructed to withstand conditions resulting from climate variability and change (by asset types)</p>					
OBJECTIVE 2							
Fund Outcome	Please select from dropdown menu below	Fund Outcome Indicator	Please select from dropdown menu below	Target at CEO Endorsement	Baseline	Mid-term Results	Terminal Results

<p>Outcome 1: Reduced exposure at national level to climate-related hazards and threats</p> <p>Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses</p> <p>Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level</p> <p>Outcome 4: Increased adaptive capacity within relevant development and natural resource sectors</p> <p>Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress</p> <p>Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas</p> <p>Outcome 7: Improved policies and regulations that promote and enforce resilience measures</p>		<p>1. Relevant threat and hazard information generated and disseminated to stakeholders on a timely basis</p> <p>2.1. No. and type of targeted institutions with increased capacity to minimize exposure to climate variability risks</p> <p>2.2. Number of people with reduced risk to extreme weather events</p> <p>3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses</p> <p>3.2. Modification in behavior of targeted population</p> <p>4.1. Development sectors' services responsive to evolving needs from changing and variable climate</p> <p>4.2. Physical infrastructure improved to withstand climate change and variability-induced stress</p> <p>5. Ecosystem services and natural assets maintained or improved under climate change and variability-induced stress</p> <p>6.1. Percentage of households and communities having more secure (increased) access to livelihood assets</p> <p>6.2. Percentage of targeted population with sustained climate-resilient livelihoods</p> <p>7. Climate change priorities are integrated into national development strategy</p>					
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Fund Output	Please select from dropdown menu below	Fund Output Indicator	Please select from dropdown menu below	Target at CEO Endorsement	Baseline	Mid-term Results	Terminal Results
<p>Output 1: Risk and vulnerability assessments conducted and updated at a national level</p> <p>Output 2.1: Strengthened capacity of national and regional centres and networks to respond rapidly to extreme weather events</p> <p>Output 2.2: Targeted population groups covered by adequate risk reduction systems</p> <p>Output 3: Targeted population groups participating in adaptation and risk reduction awareness activities</p> <p>Output 4: Vulnerable physical, natural, and social assets strengthened in response to climate change impacts, including variability</p> <p>Output 5: Vulnerable physical, natural, and social assets strengthened in response to climate change impacts, including variability</p> <p>Output 6: Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability</p> <p>Output 7: Improved integration of climate-resilience strategies into country development plans</p>		<p>1.1. No. and type of projects that conduct and update risk and vulnerability assessments</p> <p>1.2. Development of early warning systems</p> <p>2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events</p> <p>2.1.2. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased</p> <p>2.2.1. Percentage of population covered by adequate risk-reduction systems</p> <p>2.2.2. No. of people affected by climate variability</p> <p>3.1. No. and type of risk reduction actions or strategies introduced at local level</p> <p>3.2. No. of news outlets in the local press and media that have covered the topic</p> <p>4.1. No. and type of health or social infrastructure developed or modified to respond to new conditions resulting from climate variability and change (by type)</p> <p>4.2. No. of physical assets strengthened or constructed to withstand conditions resulting from climate variability and change (by asset)</p>					

OBJECTIVE 3							
Fund Outcome	Please select from dropdown menu below	Fund Outcome Indicator	Please select from dropdown menu below	Target at CEO Endorsement	Baseline	Mid-term Results	Terminal Results
<p>Outcome 1: Reduced exposure at national level to climate-related hazards and threats</p> <p>Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses</p> <p>Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level</p> <p>Outcome 4: Increased adaptive capacity within relevant development and natural resource sectors</p> <p>Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress</p> <p>Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas</p> <p>Outcome 7: Improved policies and regulations that promote and enforce resilience measures</p>		<p>1. Relevant threat and hazard information generated and disseminated to stakeholders on a timely basis</p> <p>2.1. No. and type of targeted institutions with increased capacity to minimize exposure to climate variability risks</p> <p>2.2. Number of people with reduced risk to extreme weather events</p> <p>3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses</p> <p>3.2. Modification in behavior of targeted population</p> <p>4.1. Development sectors' services responsive to evolving needs from changing and variable climate</p> <p>4.2. Physical infrastructure improved to withstand climate change and variability-induced stress</p> <p>5. Ecosystem services and natural assets maintained or improved under climate change and</p>					
Fund Output	Please select from dropdown menu below	Fund Output Indicator	Please select from dropdown menu below	Target at CEO Endorsement	Baseline	Mid-term Results	Terminal Results

<p>Output 1: Risk and vulnerability assessments conducted and updated at a national level</p> <p>Output 2.1: Strengthened capacity of national and regional centres and networks to respond rapidly to extreme weather events</p> <p>Output 2.2: Targeted population groups covered by adequate risk reduction systems</p> <p>Output 3: Targeted population groups participating in adaptation and risk reduction awareness activities</p> <p>Output 4: Vulnerable physical, natural, and social assets strengthened in response to climate change impacts, including variability</p> <p>Output 5: Vulnerable physical, natural, and social assets strengthened in response to climate change impacts, including variability</p> <p>Output 6: Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability</p> <p>Output 7: Improved integration of climate-resilience strategies into country development plans</p>		<p>1.1. No. and type of projects that conduct and update risk and vulnerability assessments</p> <p>1.2. Development of early warning systems</p> <p>2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events</p> <p>2.1.2. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased</p> <p>2.2.1. Percentage of population covered by adequate risk-reduction systems</p> <p>2.2.2. No. of people affected by climate variability</p> <p>3.1 No. and type of risk reduction actions or strategies introduced at local level</p> <p>3.2 No. of news outlets in the local press and media that have covered the topic</p> <p>4.1. No. and type of health or social infrastructure developed or modified to respond to new conditions resulting from climate variability and change (by type)</p> <p>4. 2. No. of physical assets strengthened or constructed to withstand conditions resulting from climate variability and change (by asset types)</p>					
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OBJECTIVE 4

Fund Outcome	Please select from dropdown menu below	Fund Outcome Indicator	Please select from dropdown menu below	Target at CEO Endorsement	Baseline	Mid-term Results	Terminal Results
<p>Outcome 1: Reduced exposure at national level to climate-related hazards and threats</p> <p>Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses</p> <p>Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level</p> <p>Outcome 4: Increased adaptive capacity within relevant development and natural resource sectors</p> <p>Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress</p> <p>Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas</p> <p>Outcome 7: Improved policies and regulations that promote and enforce resilience measures</p>		<p>1. Relevant threat and hazard information generated and disseminated to stakeholders on a timely basis</p> <p>2.1. No. and type of targeted institutions with increased capacity to minimize exposure to climate variability risks</p> <p>2.2. Number of people with reduced risk to extreme weather events</p> <p>3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses</p> <p>3.2. Modification in behavior of targeted population</p> <p>4.1. Development sectors' services responsive to evolving needs from changing and variable climate</p> <p>4.2. Physical infrastructure improved to withstand climate change and variability-induced stress</p> <p>5. Ecosystem services and natural assets maintained or improved under climate change and</p>					

Fund Output	Please select from dropdown menu below	Fund Output Indicator	Please select from dropdown menu below	Target at CEO Endorsement	Baseline	Mid-term Results	Terminal Results
<p>Output 1: Risk and vulnerability assessments conducted and updated at a national level</p> <p>Output 2.1: Strengthened capacity of national and regional centres and networks to respond rapidly to extreme weather events</p> <p>Output 2.2: Targeted population groups covered by adequate risk reduction systems</p> <p>Output 3: Targeted population groups participating in adaptation and risk reduction awareness activities</p> <p>Output 4: Vulnerable physical, natural, and social assets strengthened in response to climate change impacts, including variability</p> <p>Output 5: Vulnerable physical, natural, and social assets strengthened in response to climate change impacts, including variability</p> <p>Output 6: Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability</p> <p>Output 7: Improved integration of climate-resilience strategies into country development plans</p>		<p>1.1. No. and type of projects that conduct and update risk and vulnerability assessments</p> <p>1.2. Development of early warning systems</p> <p>2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events</p> <p>2.1.2. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased</p> <p>2.2.1. Percentage of population covered by adequate risk-reduction systems</p> <p>2.2.2. No. of people affected by climate variability</p> <p>3.1 No. and type of risk reduction actions or strategies introduced at local level</p> <p>3.2 No. of news outlets in the local press and media that have covered the topic</p> <p>4.1. No. and type of health or social infrastructure developed or modified to respond to new conditions resulting from climate variability and change (by type)</p> <p>4. 2. No. of physical assets strengthened or constructed to withstand conditions resulting from climate variability and change (by asset types)</p>					

Fund Outcome Indicator Units

1. Generation of relevant data, Stakeholders, and Timeliness
- 2.1. Include both qualitative and quantitative measures of capacity level within targeted institutions
- 2.2. Number (men and women and other vulnerable groups)
- 3.1. Use scale from 1 to 5: 5: Fully aware 4: Mostly aware 3: Partially aware 2: Partially not aware 1: Aware of neither predicted adverse impacts of climate change nor of appropriate responses
- 3.2. Use scale from 1 to 5: 5: All 4: Almost all 3: Half 2: Some 1: None
- 4.1. Summarize in an overall scale (1-5): 5: Highly responsive (All defined elements) 4: Mostly responsive (Most defined elements) 3: Moderately responsive (Some defined elements) 2: Partially responsive (Lacks most elements) 1: Non responsive (Lacks all elements)
- 4.2. Summarize in an overall scale (1-5): 5: Fully improved 4: Mostly Improved 3: Moderately improved 2: Somewhat improved
1: Not improved
5. Depends on the targeted natural asset:
Biological (species): measure through changes in population numbers (dynamics, structure, etc.)
Land: measure changes in hectares. Baseline data will be necessary to estimate the change. Supporting indicators baseline and target (as well as contextual information) are needed such as the following: Farmers adopting

Fund Output Indicator Units

- 1.1. Number, sector(s) and level(s) of projects or interventions in separate fields of monitoring plan
- 1.2. Number
- 2.1.1. Number of staff (male/female) of targeted institutions: a. Obtain baseline information: total number of staff from targeted institutions b. Define target
- 2.1.2. Number of staff (male/female) of targeted institutions: a. Obtain baseline information: total number of staff from targeted institutions b. Define target: needs to be defined by project proponents
- 2.2.1. *Quantitative*: Percentage (includes women - and other vulnerable groups - and men).
Qualitative: Adequacy: include direct analysis of major areas; adequacy/effectiveness of systems or analysis of perceptions of populations and institutions.
- 2.2.2. Number (broken down by gender and, if possible, by vulnerable groups defined in the area of intervention) of people
- 3.1. Number and type (in separate columns)