

## Session 3: Overview of the Adaptation Fund project cycle

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Adaptation Fund - Climate Finance Readiness Seminar for NIEs #2

Washington DC, 28-30 July 2015

### What do we mean by project cycle?

All projects:

 Annual status
 reports and
 terminal
 evaluation reports

Submission of the project or programme to the AFB Secretariat using templates provided by the AFB

Project implementation and monitoring by the Implementing Entity Screening for consistency and technical review by the Secretariat

Contracting by the AFB.
Disbursement of funds by
the Trustee upon written
instruction by the AFB

Review by the Project and Programme Review Committee. Can use services of independent experts

Decision-making by the AFB

#### Proposal review at the Board level

#### The Secretariat

Screens all proposals for consistency and provides a technical review. It will then forward the proposals with the technical reviews to the PPRC

#### The Project and Programme Review Committee (PPRC)

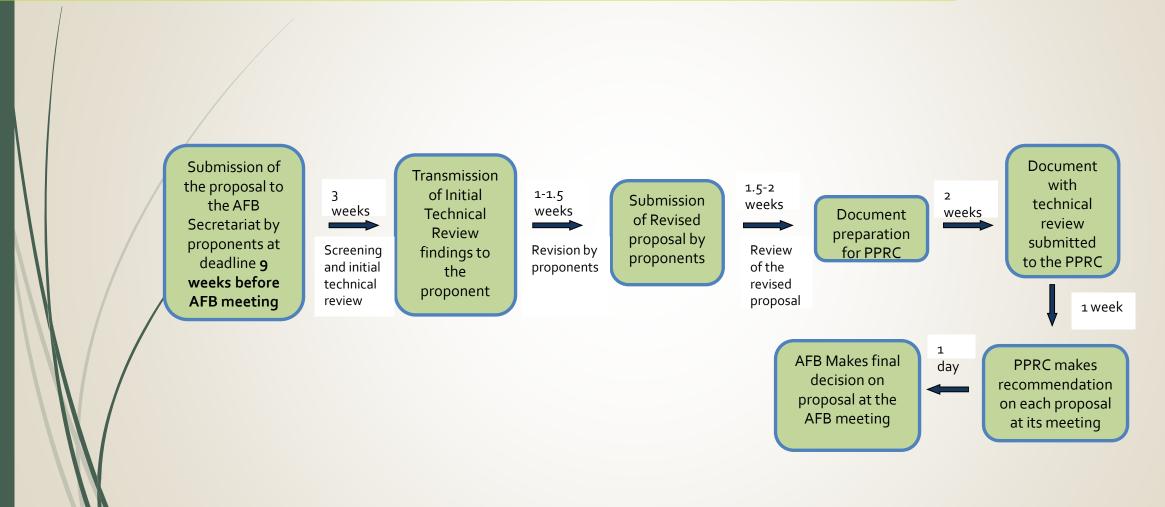
 Considers and reviews projects and programmes submitted to the Board, and makes recommendation

#### The Board

 Takes decision on projects, following recommendation by the PPRC



### Timeline of the review process

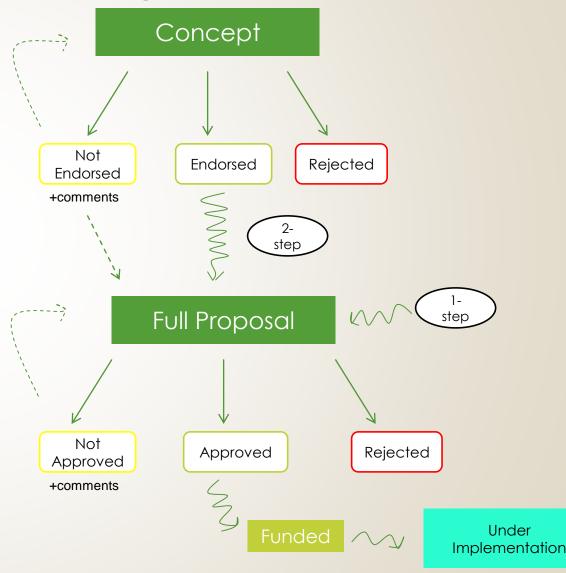


#### Intersessional project review

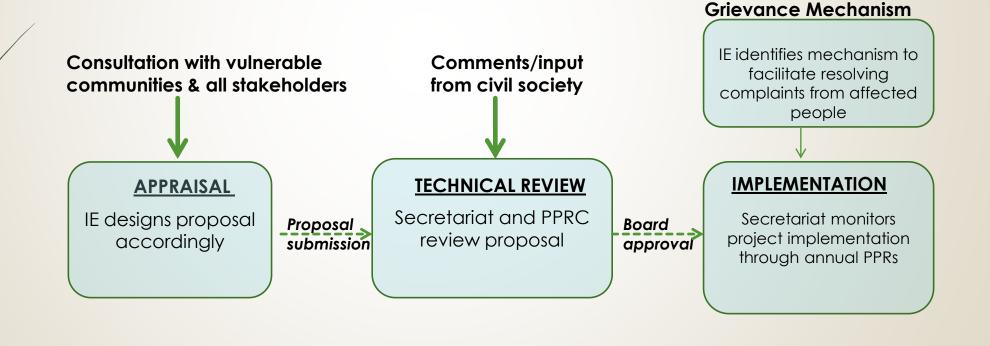
- Fewer AFB meetings annually meant fewer opportunities to submit proposals:
  - 2010-11: 4 meetings / year
  - 2012-13: 3 meetings / year
  - 2014- : 2 meetings / year
- Intersessional review allows certain proposals to be submitted 3 times a year:
  - Resubmissions of concepts + formulation grant requests
  - Resubmissions of full proposals
  - Delay avoided by proponents with intersessional review: 3 months

#### AF process of approving proposals

- For projects/ programmes larger than USD 1M, a choice of a one step (full proposal) or two step process (concept approval and project/programme document)
- For small-scale projects (below USD 1M) onestep process



## Consultation and participatory monitoring throughout the project cycle



### Reporting process

- Project Performance Reports: on an annual basis
- Project completion report, including any specific Project implementation information, within six (6) months after Project completion
- Mid-term review or evaluation report, for projects of 4 year duration or more
- ► Final evaluation report, prepared by an independent evaluator. Shall be submitted within 9 months after Project completion. Copies of these reports shall be forwarded by the IE to the Designated Authority for information; and
- Final audited financial statement, prepared by an independent auditor or evaluation body, within 6 months of the end of the IE's financial year during which the Project is completed
- The reporting process fits into a broaden Results Based Management scheme at Fund's level

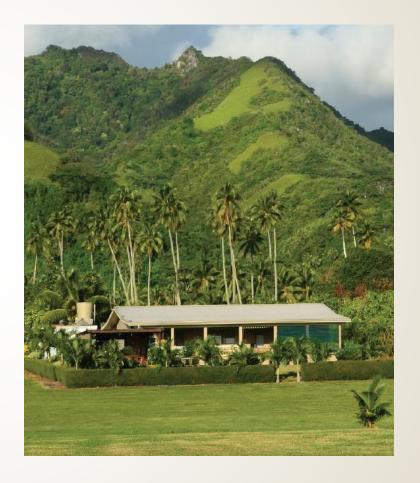
## Pilot Programme for Regional Activities (1)

- Launched in May 2015
  - Follows usual AF project deadlines
  - First deadline 4 August 2015.
- Open to RIEs and MIEs, partnering with NIEs, national agencies
- Up to 4 regional projects/programmes
  - Total value USD 30 million
  - One project/programme: up to USD 14 million
  - Three projects/programmes: up to USD 5 million each
  - Up to 10 project formulation grants: up to USD 100,000 each
- Funding is <u>outside</u> of country/MIE caps



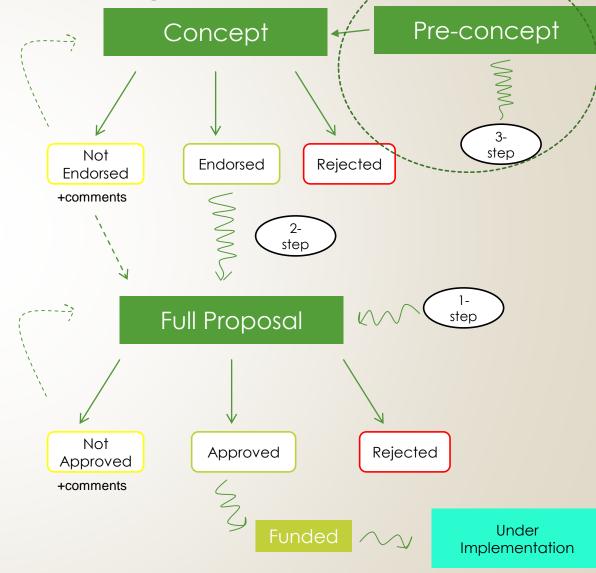
# Policy: Pilot Programme for Regional Activities (2)

- Themes:
  - Food security
  - DRR & EWS
  - Transboundary water management
  - Innovation in adaptation finance
- Three-tiered proposal process
  - Pre-concept (voluntary step) → USD 20,000 PFG after endorsement
  - Concept → USD 80-100,000 after endorsement
  - Fully-developed proposal
- Some modified/additional review criteria for regional dimension



AF process of approving proposals

For regional projects/ programmes, additional choice of a three step process (pre-concept, concept endorsement and project/programme document)



Regional



### THANK YOU!!

www.adaptation-fund.org



Photo by: Md. Mahbubur Rahman. Winner of the Adaptation Fund 2011 Photo Contest. Titled "Jute Cultivation," the photo pictures the cultivation of jute, a versatile, natural fiber that has been used for thousands of years to make things such as rope, twine, Hessian bags, rugs, and much more. The environmental benefits of jute are considerable. The picture shows Gopal Chandra and other farmers washing Jute in Gopalganj, Bangladesh.