



Request for assistance in complying with the Fund's Environmental and Social Policy

Submission Date: 28 September 2015

Adaptation Fund Grant ID:

Country/ies: South Africa

Implementing Entity: South African National Biodiversity Institute (SANBI)

A. Timeframe of Activity

Start date of activity	First quarter 2016
Completion date of activity	First quarter 2016

Note: The procurement of an institution/ consulting firm/ individual to provide the requested support will need to take place through SANBI's procurement processes. As a result, it is not possible at this stage to specify the start and completion date, nor the type of support that will be provided. It is, however, anticipated that this support period will be in the first quarter of 2016.

B. Type of support requested

Describe the activities to be undertaken to support the accreditation of NIE candidate(s) in the target country(ies).

It is requested that support be provided to assist in developing tools to facilitate effective implementation of the AF's Environmental and Social Policy through the two approved AF projects in South Africa. This tool development, including the development of 'risk dashboards' and guidelines for acting on identified risks, will build on the high-level Environmental and Social Risk Management Plans presented in the two approved proposals.

It is further requested that the NIE, Executing Entities, sub-Executing Entities (of the uMngeni Resilience Project) and Facilitating Agencies (of the Small Grants Facility Project) receive training on the AF's Environmental and Social Policy and the application of the tools. This training will include interpretation of the 15 Principles and their likely applicability to the two projects, as well as on monitoring, reporting and evaluation against the Policy.

It is anticipated the support will be concluded in two weeks. This will include on-site training at the project sites. Training will be undertaken in:

- Cape Town (for the NIE, and Executing Entity and Namakwa District Facilitating Agency of the Small Grants Facility Project);
- Pietermaritzburg (for the Executing Entity and sub-Executing Entities of the uMngeni Resilience Project); and
- Tzaneen (for the Mopani District Facilitating Agency of the Small Grants Facility Project).

The requested amount includes travel and subsistence costs for the provider(s) of the support (a more detailed budget and timeline can be provided on request). This travel and subsistence cost is included in the budget for the training component in the table below.

Types of Support Activities	Support requested (please check the relevant case)	Type/name of provider of requested support ¹	Requested budget (USD)
Development of procedures manual/guidelines for screening projects for environmental and social risks	✓	Subject to SANBI's procurement process	3,500
Development of procedures manual/guidelines for undertaking project environmental and social risk assessment and for formulating risk management plans	✓	Subject to SANBI's procurement process	3,500
Development of a policy/avenues for public disclosure and consultation			
Development of transparent and effective mechanisms for receiving and resolving complaints about environmental and social harms caused projects/programs during the course of implementation of AF supported projects/programs			
Training of select entity staff to carry out the relevant tasks related to the implementation of the E&S Policy	✓	Subject to SANBI's procurement process	13,000
Other type of support requested (please describe)			
Total Grant Requested (USD)			20,000

¹ Specify if it is an institution, consulting firm or individual consultant. When possible, provide the name of the institution, firm or individual identified or selected.

C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board's procedures

Implementing Entity Coordinator, IE Name	Signature	Date (Month, day, year)	Implementing Entity Contact Person	Telephone	Email Address
Dr. Mandy Barnett, South African National Biodiversity Institute		September 28 2015	Dr. Mandy Barnett	+27 21 799 8875	m.barnett@sanbi.org.za

D. Record of endorsement on behalf of the government

Provide the name and position of the government official, Designated Authority of the Adaptation Fund, and indicate date of endorsement. The endorsement letter should be attached as an annex to the request.

See endorsement letter from the Department of Environmental Affairs (Designated Authority of the Adaptation Fund in South Africa) attached as Annex 1.

<i>Ms Nosipho Ngcaba, Director General, Department of Environmental Affairs</i>	<i>Date: September 21 2015</i>
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Annex 1: Endorsement letter from the Designated Authority



environmental affairs

Department:
Environmental Affairs
REPUBLIC OF SOUTH AFRICA

Private Bag X 447· PRETORIA · 0001· Environment House , 473 Steve Biko Street, ARCADIA Ext 6 PRETORIA
Tel (+ 27 12) 399 9000

Reference: AF
Enquiries: Z Fakir
Tel: 012 399 9235

Ms Marcia Levaggi
Manager
Adaptation Fund Board
c/o Adaptation Fund Board Secretariat

Email: afbsec@adaptation-fund.org
Fax: 202 522 3204/5

Dear Ms Levaggi

ENDORSEMENT OF NIE APPLICATION FOR A TECHNICAL ASSISTANCE GRANT

In my capacity as Designated Authority for the Adaptation Fund in South Africa, I am pleased to endorse the South African National Biodiversity Institute's application to the Adaptation Fund for a small Technical Assistance grant to build their capacity in environmental and social risk management, in accordance with the Environmental and Social Policy of the Adaptation Fund.

Yours sincerely

**DIRECTOR GENERAL
DEPARTMENT OF ENVIRONMENTAL AFFAIRS
NATIONAL DESIGNATED AUTHORITY
DATE: 21/05/2015**