

## Annex VI: Progress to Date on Entities Accredited with Conditions

### Conditions for Accredited Entities as of 15 March 2014

| Implementing Entity | Board Decision(s)  | Issue/s Satisfactorily met Addressed   | Status                    |
|---------------------|--|--|---------------------------|
| CSE, Senegal        | <i>AFB 9</i> : To retain the option to require more frequent reporting than required in the operational policies and guidelines of the Adaptation Fund Board for the projects and programmes implemented by the Centre de Suivi Ecologique in the event that the Entity was to administer amounts that greatly exceeded its previously demonstrated capacity to administer funds for projects and programmes. This will also be communicated to the Centre de Suivi Ecologique.  |  | Condition continues       |
| UNEP                | <p><i>AFB10</i> : To accredit the United Nations Environment Programme (UNEP) as a Multilateral Implementing Entity on the understanding that:</p> <p>i. The secretariat would require more frequent reporting on projects to be implemented by UNEP; and</p> <p>ii. The Board would again discuss the issue of additional requirements on projects to be implemented by UNEP at its eleventh meeting.</p> <p><i>AFB11</i>: Repeal the more frequent reporting requirements for the United Nations Environment Programme that had been imposed on it at the tenth meeting of the Adaptation Fund Board</p> | <ul style="list-style-type: none"> <li>• Additional information was made available after AFB10 decision; Panel reviewed the additional material and decided that additional reporting was not necessary -</li> </ul> | No conditions outstanding |
| IFAD                | <i>AFB10</i> : To accredit the International Fund for Agricultural Development (IFAD) as a Multilateral Implementing Entity (MIE) on the understanding that there would be no disbursement of funding for any Adaptation Fund projects being implemented by the IFAD before the Executive Board of the IFAD authorized the IFAD to function as an MIE of the Adaptation Fund   | <ul style="list-style-type: none"> <li>• The Executive Board of IFAD has authorized IFAD to function as an MIE of AF in order receive disbursement of funding</li> </ul>   | No conditions outstanding |

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| <b>NEF, Benin</b> | <p>AFB14: During the closed meeting the Board decided to accredit the National Environment Fund (NEF) of Benin as a National Implementing Entity, subject to the following two conditions:</p> <p>(a) That within three months of each year end the external auditor of the NEF inform the Adaptation Fund Board secretariat as to whether:</p> <p>(i) Key staff was available during the year to monitor, execute and account</p>  | <ul style="list-style-type: none"> <li>• Prior to disbursement of US\$29,000 for project formulation grant, Panel verified that an anti-fraud page with the required information was on NEF website (9/2012)</li> </ul>                                | <p>(a) Condition related to the requirement of the NEF's external auditor to inform the Adaptation Fund Board secretariat continues.</p> <p>(b) Condition related to the</p>         |
|                   | <p>for Adaptation Fund projects;</p> <p>(ii) The accounts of Adaptation Fund projects are up to date, and accurately reflect the transactions during the year; and</p> <p>(iii) All Adaptation Fund project procurements during the year followed national procurement rules;</p> <p>(b) That before the first disbursement the Ministry of Environment, Hygiene and Urban Planning (MEHU) and NEF place on their website an anti-fraud policy that includes, inter alia, that:</p> <p>(i) It has a zero fraud tolerance in relation to the projects funded by the Adaptation Fund and the other projects they manage;</p> <p>(ii) All allegations received will be investigated and complainants will be covered under appropriate whistleblower protection; and</p> <p>(iii) A demonstration of an appropriate system whereby allegations of fraud, financial mismanagement and other irregularities that come to the NEF or the MEHU will be recorded and properly investigated.</p> |  | <p>anti-fraud policy is fully complied with</p>  |
| <b>BOAD</b>       | <p>AFB14: During the closed meeting the Board decided to accredit the Banque Ouest Africaine de Développement (BOAD), subject to the following conditions:</p> <p>(a) That BOAD include an internal control statement with their financial statements, starting with the 2011 statements; and</p> <p>(b) That BOAD have in place an investigative function that reflects its needs, and the practices of other development banks, before the first disbursement is made by the Adaptation Fund, and that the effectiveness thereof will be reviewed after two years by the Panel</p>  | <ul style="list-style-type: none"> <li>• Internal control statement has been included in the financial statements;</li> <li>• Website has been updated to include a no tolerance for fraud statement and an avenue for reporting misconduct</li> </ul> | <p>(a) Condition related to the internal control statement is fully complied with</p> <p>(b) From the website, Investigative Function is in place. The Panel will review in 2014</p> |

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| <p><b>Belize</b></p> | <p><i>AFB15</i>: During a closed session the Board decided to accredit the Protected Areas Conservation Trust (PACT) of Belize as a National Implementing Entity, subject to the following two conditions:</p> <p>(a) PACT should provide semi-annual progress reports on Adaptation Fund projects;</p> <p>(b) PACT should have in place to the satisfaction of the Accreditation Panel and before the approval of the first project:</p> <p>(i) A formal annual internal control statement signed by its Executive Director and the Board and to be issued with the financial statements;</p> <p>(ii) A formal mandate for the Finance Committee of the Board to execute the functions of an audit committee; and</p> <p>(iii) A public anti-fraud policy that demonstrates a zero tolerance attitude</p>   | <ul style="list-style-type: none"> <li>• A Formal annual internal control statement is in place as well as a formal mandate for the Finance Committee to execute the functions of an audit committee. Also, a public anti-fraud policy is now on the PACT website</li> <li>• Panel reviewed and examined all additional supporting documents provided and determined that PACT met all the conditions of accreditation (November 2012)</li> </ul> | <p>(a) Condition related to providing semi-annual progress reports continues</p> <p>(b) Condition related to having various elements in place before the first disbursement is fully complied with.</p> |
| <p><b>AfDB</b></p>   | <p><i>AFB 15</i>: During a closed session the Board decided to accredit the African</p>  |   | <p>Both conditions continue</p>   |
|                      | <p>Development Bank (AfDB), subject to the following two conditions: (a) The AfDB describe in any project proposal the capability of the local office to implement, monitor and close the proposed project in light of the decentralization process of the AfDB;</p> <p>(b) The AfDB deliver annually, and within three months after the end of the year, an independent grant audit report covering the open projects that the AfDB handles on behalf of the Adaptation Fund. This audit, which can be done by or under the supervision of The Office of the Auditor General of the AfDB, should:</p> <p>(i) Confirm for all open Adaptation Fund projects that the required reports that were due for the year reviewed were delivered to the Adaptation Fund secretariat or if this is not the case the report should explain what is missing and why;</p> <p>(ii) Confirm that the AfDB has allocated the necessary monitoring activities to the open Adaptation Fund projects in accordance with the AfDB’s policies to ensure the adequate progress and achievements of the projects. If that is not the case, the audit report should state what is missing; and</p> <p>(iii) Provide information that in the view of the auditor should be brought to the attention of the Adaptation Fund secretariat</p> |   |   |

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| <p><b>MINIRENA,<br/>Rwanda</b></p> | <p><i>AFB 16:</i> Having considered the recommendations of the Accreditation Panel as contained in document AFB/B.16/4, paragraphs 4 to 10 and the conclusions contained in Annex I, the Adaptation Fund Board decided to accredit the Ministry of Natural Resources of Rwanda (MINIRENA) as the National Implementing Entity for Rwanda on the understanding that:</p> <p>(a) MINIRENA submit to the secretariat, on an annual basis, a procurement audit report issued by the Auditor General's Office, or an independent auditor, on the Adaptation Fund project/s under implementation, in relation to the effectiveness of its procurement systems and practice, as well as continuous availability of qualified resources in project cycle management; and</p> <p>(b) The report referred to above should correlate recommendations identified by the internal auditor of MINIRENA and any relevant review by the Ministry of Economy and Finance (MINECOFIN), taking also into account any issues raised by stake holders</p> |  | <p>Both conditions continue</p> |
| <p><b>MOPIC,<br/>Jordan</b></p>    | <p><i>AFB16:</i> Having considered the recommendations of the Accreditation Panel as contained in document AFB/B.16/4, paragraphs 11 to 15 and the conclusions contained in Annex II, the Adaptation Fund Board decided to accredit the Ministry of Planning and International Cooperation (MOPIC) as the National Implementing Entity for Jordan on the understanding that it would submit to the secretariat of the Adaptation Fund Board, by 30 June 2012, an update on the implementation of its impacts assessment system.</p>  | <ul style="list-style-type: none"> <li>• Update on implementation of its impacts assessment system was provided by to the secretariat and the Panel determined that MOPIC met the requirement</li> </ul> | <p>No condition outstanding</p> |
| <p><b>NEMA, Kenya</b></p>          | <p><i>AFB17:</i> Having considered the recommendation of the Panel, the Board decided to accredit the National Environment Management Authority (NEMA) of Kenya as an NIE on the understanding that:</p> <p>(a) NEMA required to prepare annual financial statements for all the project/s funded by the Adaptation Fund; and</p> <p>(b) The annual financial statements must be audited by the National Audit Office or another external auditor and that a report must be provided within six months after the end of the financial year.</p>  |  | <p>Both conditions continue</p> |

