



ADAPTATION FUND

AFB/B.16/2
December 1, 2011

Adaptation Fund Board
Sixteenth Meeting
Durban, South Africa, December 13-14 2011

ANNOTATED PROVISIONAL AGENDA

1. Opening of the meeting

The meeting will be opened by the Chair of the Adaptation Fund Board, Ms. Ana Fornells de Frutos (Spain, Annex I).

2. Organizational matters:

a) Adoption of the agenda

Documents: AFB/B.16/1 Provisional agenda and AFB/B.16/2 Provisional annotated agenda.

Action expected: The Board will be invited to adopt the agenda for the meeting and to raise any other matters it may wish to discuss, to be included on the agenda under item 18.

b) Organization of work

Documents: AFB/B.16/1 Provisional agenda and AFB/B.16/2 Provisional annotated agenda.

Background: The Chair will propose to the Board the organization of work, in accordance with the Provisional timetable attached to document AFB/B.16/2.

Action expected: The Board will be invited to approve the organization of work as proposed by the Chair.

3. Report on intersessional activities of the Chair

Document: None.

Background: The Chair of the Board will report on the activities carried out during the intersessional period.

Action expected: The Board will be invited to take note of the oral report.

4. Secretariat activities

Document: AFB/B.16/3 Report on activities of the secretariat.

Background: The Manager of the secretariat will present the report contained in the above mentioned document.

Action expected: The Board will be invited to take note of the report.

5. Report of the Accreditation Panel

Document: AFB/B.16/4 Report of the Accreditation Panel.

Background: The Chair of the Accreditation Panel, Mr. Santiago Reyna (Argentina, GRULAC), will present the Panel report to the Board. The report contains recommendations on the accreditation of implementing entities and other issues related to the accreditation process.

Action expected: The Board will be invited to consider and adopt the recommendations of the Panel.

6. Report of the seventh meeting of the Project and Programme Review Committee (PPRC)

Document: the PPRC will issue its report after its seventh meeting that will take place on December 12, 2011.

Background: The Chair of the PPRC, Mr. Hans Olav Ibrekk (Norway, WEOG), will present the PPRC report to the Board. The report contains recommendations on:

- Project and programme proposals;
- Issues identified during project and programme review; and
- Lessons learned on the project review process

Action expected: The Board will be invited to consider and adopt the recommendations of the PPRC.

7. Report of the seventh meeting of the Ethics and Finance Committee (EFC):

Document: the EFC will issue its report after its seventh meeting that will take place on December 12, 2011.

Background: The Chair of the EFC, Mr. Zaheer Fakir (South Africa, African Group), will present the EFC report to the Board. The report contains recommendations on the following issues considered by the EFC:

- Reports on the project/programme implementation: CSE;
- Annual Performance Report;
- Investigation to address cases of misuse of funds and other forms of malpractice;
- Level of funding approved for projects implemented by MIEs, in the contest of the 50% cap on MIEs; and
- Implementation of the code of conduct.

Action expected: The Board will be invited to consider and adopt the recommendations of the EFC.

8. Issues remaining from the 15th Board meeting:

a) *Consideration of issues related to regional project/programmes;*

Document: AFB/B.16/5 *Consideration of issues related to regional projects/programmes.*

Background: The Board at its 13th meeting decided, as a temporary measure, to approve a cap of US 10 million for each country; and to request the secretariat to present a proposal to the EFC on how regional projects or programmes would be considered within the cap of US \$10 million per country funded for support. Having considered the secretariat's proposal at its 14th meeting, the Board decided to establish an ad-hoc working group to consider the issues of regional criteria, country caps and the definition of regional projects/programmes. The secretariat presented a document at the Board's 15th meeting elaborating the interim nature of the country cap, and relationship to the cap on Multilateral Implementing Entities; added value of regional approaches; and quality considerations in regional projects and programmes, and related project/programme review criteria. Considering the document, the Board requested the secretariat to produce a revised paper that (i) reflects the experience on regional projects and programmes gained by other agencies, such as UNEP, the GEF, the World Bank, the PPCR, as well as that of the regional development banks; and (ii) Presents a proposal on the definition of regions in the context of regional projects and programmes. Document AFB/B.16/5 presents a revised version of the proposal presented at the 15th meeting.

Action expected: The Board will be invited to consider the options outlined in document AFB/B.16/5; in particular the recommendation of paragraph 28, and make a recommendation to the Board for approval.

b) *Implementation of decision B.15/25, paragraph (c);*

Document: none.

Background: At its 15th meeting, the Board, following discussions, and having considered the recommendation of the Ethics and Finance Committee, decided to request that the Board member whose behavior has been brought to the attention of the EFC explain the situation presented by the PPRC Chair to the Board for further consideration.

Action expected: The Board will be invited to consider the issue and take action as deemed necessary.

c) *Regional workshops on accreditation of NIE;*

Document: none.

Background: Pursuant to decision 5/CMP.6, the UNFCCC secretariat organized the first two of four regional workshops to familiarize parties with the process to accredit NIEs. The workshop in Senegal (Africa region) took place September 5-6, 2011; the workshop in Panama (Latin America and Caribbean region) took place November 10-12, 2011. At its 15th meeting, the Board requested the UNFCCC to report on the lessons learned of the first two regional workshops.

Action expected: The Board will be invited to take note of the UNFCCC's report.

d) *Dialogue with bilateral and multilateral entities on support to NIE accreditation;*

The Board will hold the first session of its dialogue with bilateral and multilateral entities on their efforts to support NIE accreditation.

e) *Performance review of the secretariat and trustee.*

Document: AFB/B.16/Inf.6 *Performance review of the secretariat and trustee*

Background: CMP 6 requested to the Board to make available in its report to CMP 7 the performance reviews of the interim secretariat and of the interim trustee servicing the AF (decision 1/CMP.3, paragraph 33). Following the 12th AFB meeting, a group of Board members in consultation with the outgoing Chair prepared terms of reference for a consultant to review the performance of the secretariat and the trustee. The TORs were approved by the Board at its 13th meeting and the position was subsequently advertised. The top candidates were shortlisted and interviewed in the margins of the 14th meeting. Prior to the Board's 15th meeting, a consultant was hired, who reported on his work plan at the 15th meeting. He completed the review on 4 November 2011. The review forwarded to the CMP for consideration at its 7th meeting. The Chair will present the review, including its recommendations, for discussion by the Board.

Action expected: the Board will be invited to discuss and consider the recommendations of the review.

9. Issues arising from CMP 7.

Document: none.

Background: The Chair of the Board will present a report to the CMP at its seventh meeting on the activities of the Adaptation Fund Board and the status of the Adaptation Fund. Additionally, the CMP will discuss the performance review of the secretariat and trustee.

Action expected: The Board will discuss any issues related to the operations of the Adaptation Fund that arise from seventh meeting of the CMP.

10. Accreditation of non-invited MIE (decision B.13/28).

Document: none.

Background: At its 13th meeting, the Board decided to continue its discussion of the issue of the accreditation of non-invited multilateral institutions at its 16th meeting.

Action expected: The Board will be invited to discuss the accreditation of non-invited multilateral institutions.

11. Communications.

Document: none.

Background: The Chair will report on the outcome of the 2011 Adaptation Fund Photo Contest. Further, the secretariat will report on its efforts related to the improvement of the Adaptation Fund website. The Chair and secretariat will also inform the Board of the events during CMP7.

- Photo contest: further steps; and
- Website; events during CMP7.

Action expected: The Board will be invited to take note of the communications efforts presented and define its priorities for the implementation of its communications strategy.

12. Report on Pilot Programme on Climate Resilience Subcommittee meeting.

Document: none.

Background: Mr. Zaheer Fakir (South Africa, African Group) attended the last Pilot Programme on Climate Resilience (PPCR) Subcommittee meeting on behalf of the Board. He will present a report on the meeting.

Action expected: The Board will be invited to take note of the report by Mr. Zaheer Fakir.

13. Financial issues:

a) CER monetization

Document: AFB/B.16/Inf.5 Presentation on CER monetization.

Background: The trustee will make a presentation on the development of the monetization programme.

Action expected: The Board will be invited to take note of the presentation by the trustee and consider options for further monetization of CERs.

b) Financial status of the Adaptation Fund Trust Fund

Document: AFB/EFC.7/6 Financial status of the Adaptation Fund Trust Fund

Background: The trustee will report on the financial status of the trust funds to the Board.

Action expected: The Board will be invited to consider and take note of the report presented by the trustee.

14. Election of Board Chair and Vice-Chair for the term of office starting at the 17th Board meeting (March 2012).

Document: none.

Background: The amended paragraph 10 of the rules of procedure state that

The Board shall elect the Chair and Vice-Chair from among its members, with one being from an Annex I Party and the other being from a non-Annex I Party. The term of office of the Chair and Vice-Chair shall be one year, starting at the first meeting of the Board in each year. The office of Chair and Vice-Chair shall alternate annually between a member from an Annex I Party and a member from a non-Annex I Party.

Action expected: The Board will be invited to elect its Chair and Vice-Chair for the period of office starting at the 17th Board meeting.

15. Election of the Chairs and Vice-Chairs of the PPRC and EFC for the term of office starting at the 8th meetings (March 2012).

Document: none.

Background: The General Guidelines for Committee of the Adaptation Fund Board state that:

The Board shall elect the Chair and Vice-Chair of each Committee, with one being a member from an Annex I Party and the other being from a non-Annex I Party. The position of Chair and Vice-Chair shall alternate annually between a member from an Annex I Party and a member from a non-Annex I Party.

Action expected: The Board will be invited to elect the Chairs and Vice-Chairs for the PPRC and EFC for the term of office starting at the 8th meetings of the PPRC and EFC.

16. Election of Accreditation Panel members among Board members for the period of office 2012-2013.

Document: none.

Background: The Terms of Reference of the Accreditation Panel state that:

The two members of the Panel who are Board members will be designated by the Board as Chair and Vice-chair of the Panel.....Panel members who are also Board members shall be eligible to serve a maximum of two consecutive terms. However, in order to ensure continuity in the work of the Panel after its inaugural period, only one of these two members shall be replaced after his or her first term.

Action expected: The Board will be invited to elect the Chair and Vice-Chair of the Accreditation Panel for the period of office 2012-2013.

17. Board meetings for 2012.

Document: none.

Background: The secretariat will present to the Board the options for the date and location of the fourth meeting for the year 2012.

Action expected: Having already approved the meeting schedule for the first three meetings of the Board for 2012, the Board will be invited to approve the date and location of the fourth meeting for the year 2012.

18. Other matters.

Action expected: The Board will be invited to discuss any other matters raised during the adoption of the agenda.

19. Adoption of the report.

Action expected: The Board will be invited to adopt the report of its 16th meeting.

20. Closure of the meeting.

PROVISIONAL TIMETABLE

Day 1, December 13, 2011

Morning Session

9:00 – 10:15

Finalization of the reports by the PPRC and EFC

10:15 – 10:30 Coffee break

10:30 – 10:45

1 Opening of the meeting

2 a) Adoption of the agenda

2 b) Organization of work

10:45 – 11:15

5 Report of the Accreditation Panel

11:15 – 11:45

8 c) Regional workshops on accreditation of NIEs

8 d) Dialogue with bilateral and multilateral entities on support to NIE accreditation

11:45 – 12:15

8 a) Consideration of issues related to regional projects/programmes

12:15 – 12:30

8 b) Implementation of decision B.15/25, paragraph (c)

Afternoon Session

14:00 – 14:30

6 Report of the PPRC

14:30 – 16:15

7 Report of the EFC

15:30 – 15:45 Coffee break

15:45 – 16:30

8 e) Performance review of the secretariat and trustee

16:30 – 17:00

9 Issues arising from CMP7

Day 2, December 14, 2011

Morning Session

- 9:00 – 9:30 13 a) CER monetization
- 9:30 – 10:00 13 b) Financial status of the Fund
- 10:00 – 10:30 10 Accreditation of non-invited MIEs (decision B.13/28)

10:30 – 10:45 Coffee break

- 10:45 – 11:15 3 Report of the Chair
- 11:15 – 12:00 4 Report of the secretariat
- 12:00 – 12:30 12 Report on Pilot Programme on Climate Resilience
Subcommittee meeting

Afternoon Session

- 14:00 – 14:15 11 Communications
- 14:15 – 15:45 14-16 Election of Board Chair and Vice-Chair; Chairs and Vice-Chairs of Committees, and Chair and Vice-Chair of the Accreditation Panel

15:45 – 16:00 Coffee break

- 16:45 – 17:00 17 Board meetings for 2012
- 17:00 – 17:30 19-20 Adoption of the report and Closure of the meeting