



## ADAPTATION FUND

AFB/B.21/2  
13 June 2013

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Adaptation Fund Board  
Twenty-first Meeting  
Bonn, Germany, 3-4 July 2013

### **ANNOTATED PROVISIONAL AGENDA**

#### **1. Opening of the meeting**

The meeting will be opened by the Chair of the Adaptation Fund Board, Mr. Hans-Olav Ibrekk (Norway, Western European and Others Group).

#### **2. Organizational matters**

##### *a) Adoption of the agenda*

Documents: AFB/B.21/1, Provisional agenda and AFB/B.21/2, Annotated provisional agenda.

Action expected: The Board will be invited to adopt the agenda of the meeting and to raise any other matters it may wish to discuss, to be included on the agenda under item 14.

##### *b) Organization of work*

Documents: AFB/B.21/1, Provisional agenda and AFB/B.21/2, Annotated provisional agenda.

Background: The Chair will propose to the Board the organization of work, in accordance with the Provisional timetable attached to document AFB/B.21/2.

Action expected: The Board will be invited to approve the organization of work as proposed by the Chair.

**3. Report on activities of the Chair**

Document: None.

Background: The Chair of the Board, Mr. Hans-Olav Ibrekk, will report on the activities carried out during the intersessional period.

Action expected: The Board will be invited to take note of the oral report.

**4. Report on activities of the secretariat**

Document: AFB/B.21/3 Report on the activities of the secretariat.

Background: The Manager of the secretariat will present the report contained in the above mentioned document.

Action expected: The Board will be invited to take note of the report.

**5. Report of the Accreditation Panel**

Document: AFB/B.21/4 Report of the Accreditation Panel.

Background: The Chair of the Accreditation Panel, Mr. Philip Weech (Bahamas, Group of Latin American and Caribbean Countries), will present the Panel's report to the Board. The report contains recommendations on the accreditation of implementing entities and other issues related to the accreditation process.

Action expected: The Board will be invited to consider and adopt the recommendations of the Panel.

**6. Report of the twelfth meeting of the Project and Programme Review Committee (PPRC):**

Document: the PPRC will issue its report after its twelfth meeting, which will take place on 1-2 July 2013.

Background: The Chair of the PPRC, Ms. Laura Dzelzyte (Lithuania, Eastern Europe), will present the PPRC report to the Board. The report contains recommendations on:

- Issues identified during project and programme review;
- Project/programme pipeline;
- Project and programme proposals;

- Regional projects/programmes.

Action expected: The Board will be invited to consider and adopt the recommendations of the PPRC.

## **7. Report of the twelfth meeting of the Ethics and Finance Committee (EFC)**

Document: the EFC PPRC will issue its report after its twelfth meeting, which will take place on 1-2 July 2013.

Background: The Chair of the EFC, Ms. Medea Inashvili (Georgia, Eastern Europe), will present the EFC report to the Board. The report contains recommendations on the following issues considered by the EFC:

- Investigative procedure;
- Letter from the World Bank (decision B.19/28);
- Project delays policy;
- Overall evaluation of the Fund;
- IATI compliance;
- Results tracking;
- Implementation of the code of conduct;
- Financial issues.

Action expected: The Board will be invited to consider and adopt the recommendations of the EFC.

## **8. Issues remaining from the 20th meeting:**

- a) Strategic discussion on objectives and further steps of the Fund: report of the fundraising task-force.*

Document: AFB/B.21/5, *Report of the fundraising task-force.*

Background: The Board at its 19th meeting decided to establish a task-force of Board members that worked in conjunction with the secretariat on outreach, strategy, and other efforts to achieve the interim US \$100 million fundraising target by the end of 2013, including some specific actions detailed in decision B.19/29. The task force

Coordinator, Mr. Zaheer Fakir (South Africa, African Group) will report on the activities undertaken by the task force during the intersessional period.

Action expected: The Board will be invited to consider the report of the task force.

*b) Environmental and social safeguards:*

Document: AFB/B.21/6, *Proposal of environmental and social policy for the Fund.*

Background: The Board at its twentieth meeting considered the application of environmental and social safeguards in the context of the Fund project/programme review process, at the request of the Chair. The Board decided to request the secretariat to prepare a document for the consideration of the Board at its twenty-first meeting that: (a) Compiles and provides an overview of the safeguards applicable to Adaptation Fund projects/programmes with a view to streamlining the application of such safeguards; and (b) Takes into account the existing safeguards in the Adaptation Fund portfolio and other projects/programmes of a comparable nature, the current project/programme review criteria, the instructions for preparing a request for project/programme funding from the Adaptation Fund, as well as national and international safeguards systems in developed and developing countries. Document AFB/B.21/6 presents a proposal of environmental and social policy for the Fund prepared by the Program on International and Comparative Environmental Law of American University, Washington College of Law, in consultation with the secretariat.

Action expected: The Board will be invited to: (a) Approve the Adaptation Fund social and environmental policy as contained in document AFB/B.21/6; (b) Incorporate the above mentioned policy into the operational policies and guidelines for Parties to access resources from the Adaptation Fund (OPG) of the Adaptation Fund as an annex, making the necessary changes in the text of the OPG to reference the policy; and (c) request the secretariat to present at the twenty-second Board meeting a proposal operationalizing the policy, including any necessary changes to the current project/programme review criteria, project/programme progress report template, and evaluation framework. In developing this proposal the secretariat will also present options on how the accreditation process could be modified to ensure that implementing entities have the ability to implement the policy.

**9. Report of the Board to the Conference of the Parties serving as meeting of the Parties to the Kyoto Protocol at its ninth session**

Document: AFB/B.21/7, *Report of the Board to the Conference of the Parties serving as meeting of the Parties to the Kyoto Protocol at its ninth session.*

Background: Decision 1/CMP.3, paragraph 5(i) includes among the functions of the Board to report on its activities at each session of the Conference of the Parties serving as meeting of the Parties to the Kyoto Protocol (CMP). The draft report contained in

document AFB/B.21/7 has been prepared by the secretariat following this mandate. The draft covers the period between December 2012 and 4 July 2013.

Action expected: The Board will be invited to approve the draft report with any changes it deems appropriate, and to request the Chair, assisted by the secretariat, to finalize the report and forward it to UNFCCC.

## 10. Communications and outreach

Document: AFB/B.21/3 Report on the activities of the secretariat.

Background: The secretariat will report on its efforts related to the improvement of the Adaptation Fund website, dissemination of information through social media, and events organized.

Action expected: The Board will be invited to take note of the report and make any decisions as appropriate.

## 11. Financial issues:

### a) *Financial status of the Trust Fund and CER monetization*

Document: AFB/EFC.12/8, Adaptation Fund Trust Fund: financial report prepared by the trustee (as at March 2013).

Background: The trustee will report on the financial status of the trust funds and the programme on CER monetization to the Board.

Action expected: The Board will be invited to consider and take note of the report presented by the trustee.

### b) *Status of the project/programme pipeline*

Documents: AFB/EFC.12/Inf.1, Secretariat's and trustee's joint report on the status of the pipeline. In presenting the PPRC report to the Board the PPRC Chair will provide an updated report on the status of the pipeline based on the recommendations agreed by the PPRC.

Background: The Board at its 17<sup>th</sup> meeting decided to establish a pipeline of fully developed projects/programmes implemented by Multilateral Implementing Entities (MIE) recommended by the PPRC exceeding the 50 per cent cap for MIEs and established the criteria for prioritizing them in the pipeline<sup>1</sup>. Further, at its 18<sup>th</sup>

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<sup>1</sup>The Board decided to:

- (a) Maintain the 50 per cent cap on the funding of project/programmes implemented by MIEs established by decision B.12/9, and exclude project/programme concepts from the 50 per cent calculation;
- (b) Establish a pipeline of fully developed projects/programmes that have been recommended by the PPRC for approval by the Board, but exceeding the 50 per cent cap;
- (c) Prioritize the projects/programmes in the pipeline by sequentially applying the following criteria:
  - (i) Their date of recommendation by the PPRC;
  - (ii) Their submission date; and
  - (iii) The lower "net" cost.

meeting the Board decided to request the secretariat and trustee to provide a consolidated report on the status of the pipeline at every EFC meeting. On the basis of the report and the recommendation of the EFC the Board decided to consider appropriate measures to implement the cap, including through the suspension of MIE project/programme submission as appropriate<sup>2</sup>. Only after the PPRC has agreed on its recommendations there will be information about the project/programme in the pipeline.

Action expected: The Board will be invited to consider the status of the pipeline and appropriate measures to implement the 50 per cent cap on projects/programmes implemented by MIE, including the suspension of MIE project/programme submissions.

## **12. Date and venue of meetings in 2014**

Background: The secretariat will propose dates and venues for the meetings to be held in 2014.

Action expected: The Board will be invited to approve the secretariat's proposal.

## **13. Dialogue with civil society organizations**

Document: AFB/B.21/Inf.5, *Provisional agenda of the civil society dialogue at the 21st Board meeting.*

The Board will hold another session of its ongoing dialogue with civil society organizations on 3 July 2012.

Action expected: the Board will take note of the conclusions of the dialogue.

## **14. Other matters.**

Action expected: The Board will be invited to discuss any other matters raised during the adoption of the agenda.

## **15. Adoption of the report**

Action expected: The Board will be invited to adopt the report of its 21<sup>th</sup> meeting.

## **16. Closure of the meeting.**

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(d) Consider fully developed projects/programmes in the pipeline for approval, subject to availability of resources and respecting the 50 per cent cap; and

(e) Request that the EFC consider at its 9th meeting the suspension of project/programme submissions as the last measure and elaborate on a clear threshold that indicates when the measure should be applied (e.g. 60 per cent excess of the cap).

(Decision B.17/19)

<sup>2</sup>Decision B.18/28.

## PROVISIONAL TIMETABLE

### [Day 1] 3 July 2013

#### *Morning Session*

9:00 – 9:30	1	Opening of the meeting
	2 a)	Adoption of the agenda
	2 b)	Organization of work
	3	Report of the Chair
9:30 – 9:45	4	Report of the secretariat
9:45 – 10:15	5	Report of the Accreditation Panel
<i>10:15 – 10:30 Coffee break</i>		
10:30 – 11:15	6	Report of the PPRC
11:15 – 12:00	7	Report of the EFC
<i>Afternoon Session</i>		
14:00 – 14:45	14	Other matters
14:45 – 15:30	8.a)	Report of the fundraising task-force
<i>15:30 – 15:45 Coffee break</i>		
15:45 – 17:30	13	Dialogue with civil society organizations

**Day 2] 4 July 2013***Morning Session*

9:00 – 9:30 11.a) Financial status of the trust fund and CER monetization

9:30 – 10:00 11.b) Status of the pipeline

10:00 – 10:15 10 Communications

*10:15 – 10:30 Coffee break*

10:30 – 11:00 9 Report to CMP 9

11:00 – 11:30 12 Dates and venue of meetings in 2014

*Afternoon Session*

14:00 – 15:00 8.b) Environmental and social safeguards

*15:00 – 15:15 Coffee break*

15:15 -17:00 15 Adoption of the report

16 Closure of the meeting