



ADAPTATION FUND

AFB/EFC.1/6  
June 3, 2010

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Adaptation Fund Board  
Ethics and Finance Committee  
Tenth Meeting  
Bonn, June 14, 2010

Agenda item 5 b)

## **WORK PLAN FOR FY 2011**

## I. Background

1. At its eighth meeting the Board approved its work plan for January 1 – June 30, 2010. Since then, the Board work plan was aligned with the Board and secretariat budget, which, since July 1, 2009, is approved for fiscal, as opposed to calendar year.
2. During FY10 the Board has fulfilled the following functions mandated by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) in decision 1/CMP.3: Since May 2009, the CER monetization programme is underway. Further, the Board approved its operational policies and guidelines for Parties to access resources from the Adaptation Fund, including the fiduciary standards that implementing entities shall meet in order to be accredited. The Board has accredited three implementing entities, among them one National Implementing Entity (NIE), thus allowing to seek resources through the direct access modality. At its ninth meeting, the Board also decided to invite eligible Parties to submit project and programme proposals. The secretariat has received a first batch of proposals to be reviewed at the present meeting.
3. The present document contains a proposal of work plan for the Board corresponding to FY11 (July 1, 2010 – June 30, 2011), prepared by the secretariat and based on the mandate that the Board received from the CMP and the decisions adopted by the Board.

## II. Proposal of work plan for FY11

4. Among the actions mandated by decision 1/CMP.3, the Board has yet to perform the following:
  - *To decide on projects, including the allocation of funds, in line with the Adaptation Fund principles, criteria, modalities, policies and programmes, in accordance with decision 5/CMP.2 (paragraph 5 (d));*
  - *To monitor and review implementation of the operations of the Adaptation Fund, including its administrative arrangements and the expenditures incurred under the Adaptation Fund, and recommend decisions as may be appropriate, for adoption by the Conference of the Parties serving as the meeting of the Parties of the Kyoto Protocol (paragraph 5 (f));*
  - *To regularly review performance reports on implementation and ensure independent evaluation and auditing of activities supported by the Adaptation Fund (paragraph 5 (i)).*
5. Further, in decision 4/CMP.5, paragraph 3, the CMP
  - *Requests the Adaptation Fund Board to consult further with the Government of Germany to conclude the necessary legal arrangements to confer legal capacity on the Adaptation Fund Board, and to report back on progress made to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its sixth session;*

6. At each session of the CMP the Board is requested to report on its activities (decision 1/CMP.3, paragraph 5 (I)).
7. Besides these actions mandated by the CMP, the Board has decided to carry out the following:
  - approve the terms of reference for a consultant to develop a communications strategy for the Board (decision B.9/5);
  - consider a work programme with a list of meetings which could be attended by representatives of the secretariat in order to promote the accreditation process for NIEs (decision B.9/9).
8. The Board has also decided to perform some ongoing activities of supervision on its advisory bodies, the two Board Committees and the Accreditation Panel:
  - The Committees shall report annually to the Board on their performance, including meeting attendance and effectiveness (General guidelines for Committees, paragraph 17);
  - The Board shall oversee the performance of the Panel and its members, as necessary (Terms of reference for the establishment of the Adaptation Fund Board Accreditation Panel, paragraph 13);
  - The Board shall revise the terms of reference of the Accreditation Panel as necessary (paragraph 21).
9. Lastly, the Board has decided to
  - keep the operational policies and guidelines under review and amend them as deemed necessary.
10. These activities will start being implemented during FY11 as part of the Board work plan.
11. Based on the above, the secretariat presents to the EFC the work schedule, as contained in the annex to the present document. The activities that take place at each meeting of the Board (i.e. CER monetization issues, consideration and approval of project proposals) are not included in the work schedule. Other actions can be added as a result of the decision adopted at the present Board meeting.

### **III. Recommendation**

12. The EFC may wish to consider and recommend to the Board for approval the work plan FY11, as contained in the present document, and the tentative work schedule, as contained in the annex to the present document.

## **DRAFT WORK PLAN FY11: WORK SCHEDULE**

### **Eleventh meeting: September 2010**

- Consideration of a report by the secretariat on the implementation of the work programme to promote the accreditation of NIEs;
- Consideration of a proposal of TORs for the design of a monitoring and evaluation framework for the AF (EFC);
- Consideration and approval of the communications strategy;
- Consideration of the draft report of the Board to the CMP 6.

### **Twelfth meeting: December 2010**

- Consideration of a report by the secretariat on the implementation of the work programme to promote the accreditation of NIEs;
- Report on the implementation of the strategic results framework (EFC);
- Consideration of a draft monitoring and evaluation framework (EFC);
- Review of the operational policies and guidelines, and templates: identification of the amendments needed.

### **Thirteenth meeting: March 2011**

- Assessment of the accreditation process;
- Consideration and approval of the revised operational policies and guidelines;
- Consideration of a report by the secretariat on the implementation of the work programme to promote the accreditation of NIEs;
- Consideration of a proposal of a monitoring and evaluation framework (EFC);
- Consideration of a report on the implementation of the communications strategy.

### **Fourteenth meeting: June 2011**

- Consideration of a report by the secretariat on the implementation of the work programme to promote the accreditation of NIEs;
- Consideration of performance reports by the PPRC and EFC;
- Proposal of budget FY12
- Proposal of work plan FY12