



ADAPTATION FUND

AFB/PPRC.3/9  
December 10, 2010

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Adaptation Fund Board  
Project and Programme Review Committee  
Third Meeting  
Cancun, December 13, 2010

## **PROPOSAL FOR GEORGIA**

## I. Background

1. The Operational Policies and Guidelines for Parties to Access Resources from the Adaptation Fund, adopted by the Adaptation Fund Board, state in paragraph 41 that regular adaptation project and programme proposals, i.e. those that request funding exceeding US\$ 1 million, would undergo either a one-step, or a two-step approval process. In case of the one-step process, the proponent would directly submit a fully-developed project proposal. In the two-step process, the proponent would first submit a brief project concept, which would be reviewed by the Project and Programme Review Committee (PPRC) and would have to receive the approval by the Board. In the second step, the fully-developed project/programme document would be reviewed by the PPRC, and would finally require Board's approval.

2. The Templates Approved by the Adaptation Fund Board (Operational Policies and Guidelines for Parties to Access Resources from the Adaptation Fund, Annex 3) do not include a separate template for project and programme concepts but provide that these are to be submitted using the project and programme proposal template. The section on Adaptation Fund Project Review Criteria states:

*For regular projects using the two-step approval process, only the first four criteria will be applied when reviewing the 1st step for regular project concept. In addition, the information provided in the 1st step approval process with respect to the review criteria for the regular project concept could be less detailed than the information in the request for approval template submitted at the 2nd step approval process. Furthermore, a final project document is required for regular projects for the 2nd step approval, in addition to the approval template.*

3. The first four criteria mentioned above are:

1. Country Eligibility,
2. Project Eligibility,
3. Resource Availability, and
4. Eligibility of NIE/MIE.

4. Based on the Adaptation Fund Board Decision B.9/2, the first call for project and programme proposals was issued and an invitation letter to eligible Parties to submit project and programme proposals to the Adaptation Fund was sent out on April 8, 2010.

5. According to the paragraph 41 of the operational policies and guidelines, a project or programme proposal needs to be received by the secretariat not less than seven weeks before a Board meeting, in order to be considered by the Board in that meeting.

6. The following project concept titled "Developing Climate Resilient Flood and Flash Flood Management Practices to Protect vulnerable Communities of Georgia" was submitted by the United Nations Development Programme (UNDP), which is a Multilateral Implementing Entity of the Adaptation Fund. This is the first submission of this proposal. It was received by the secretariat in time to be considered in the 12th Adaptation Fund Board meeting. The secretariat carried out a technical review of the project concept, assigned to it the diary number AFB/MIE/DRR/2010/4, and filled in a review sheet.

7. In accordance with a request to the secretariat made by the Adaptation Fund Board in its 10th meeting, the secretariat shared this review sheet with the UNDP, and offered it the

opportunity of providing responses before the review sheet was sent to the Project and Programme Committee of the Adaptation Fund.

8. The secretariat is submitting to the Project and Programme Review Committee the summary of the project, prepared by the secretariat, in Annex 1. The secretariat is also submitting to the Committee the technical review sheet and the responses provided by the UNDP, as confidential documents.

## Project Summary

Georgia – Developing Climate Resilient Flood and Flash Flood Management Practices to Protect vulnerable Communities of Georgia  
Implementing Entity: *UNDP*

Project/Programme Executing Cost: USD 330,000  
Total Project/Programme Cost: USD 4,900,000  
Implementing Fee: USD 416,500  
Financing Requested: USD 5,316,500

### Project Background and Context:

The project objective is to improve resilience of highly exposed regions of Georgia to hydrometeorological threats that are increasing in frequency and intensity as a result of climate change. The project will help the governments and the population of the target region of Rioni Basin to develop adaptive capacity and embark on climate resilient economic development. The project is comprised of three main components:

1. Floodplain development policy introduced to improve long term resilience to flood / flash flood risks;
2. Climate resilient practices of flood management developed and implemented to reduce vulnerability of highly exposed communities;
3. Early warning system in place to improve preparedness and adaptive capacity of population.

Component 1: Floodplain development policy introduced to improve long term resilience to flood flash flood risks (USD 670,000)

The expected outcome of this component is to put floodplain development policies in place to minimise exposure of highly vulnerable people of Rioni river basin to climate change induced flood risks.

Component 2: Climate resilient practices of flood management developed and implemented to reduce vulnerability of highly exposed communities (USD 2,900,000)

The expected out of component 2 is direct investments and local actions in highly exposed and vulnerable communities in order to improve flood management practice on 8,400km<sup>2</sup> and build resilience of 200,000 people.

Component 3: Early warning system in place to improve preparedness and adaptive capacity of population (USD 1,000,000)

Institutional Capacity developed for early warning and timely alert communication to vulnerable communities of the Rioni river basin.

**(Original proposal for AFB12)**