

# SANBI

Biodiversity for Life



NATIONAL IMPLEMENTING ENTITY OF THE GLOBAL **ADAPTATION FUND**

## The experiences and lessons of the South African NIE

**Dr. Mandy Barnett**  
**Executive Director NIE**

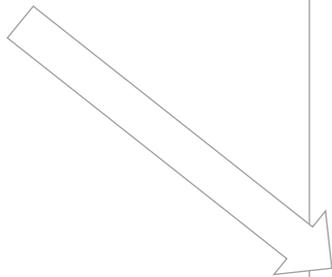
22 April 2013

Seminar on Supporting Climate Change Adaptation  
House of Sweden, Washington DC



## The process...

1. Accreditation
2. Operationalisation
3. Governance



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**environmental affairs**  
Department:  
Environmental Affairs  
REPUBLIC OF SOUTH AFRICA

**national treasury**  
Department:  
National Treasury  
REPUBLIC OF SOUTH AFRICA

**national planning commission**  
Department:  
The Presidency  
REPUBLIC OF SOUTH AFRICA

**THE ADAPTATION NETWORK**  
we're up to the climate challenge!

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*Adapting to climate change means safeguarding development*

SOUTH AFRICAN NATIONAL BIODIVERSITY INSTITUTE: CLIMATE CHANGE ADAPTATION

## The process...

1. Accreditation
2. Operationalisation
3. Governance
4. Consultation
5. Investment Framework



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 National Implementing Entity of the Global ADAPTATION FUND

**ADAPTATION FUND, SOUTH AFRICA**  
**INVESTMENT FRAMEWORK**

**THE ADAPTATION FUND**  
 The Adaptation Fund (AF) was established by the Parties to the Kyoto Protocol of the United Nations Framework Convention on Climate Change (UNFCCC) as a mechanism to finance concrete adaptation projects and programmes in developing country parties. The fund is capitalised mainly from a percentage of proceeds of the Clean Development Mechanism. It was specifically intended to assist developing countries in meeting the costs of adaptation, and to finance concrete projects and programmes that are country driven.

Adaptation Fund resources are accessed via Multilateral Implementing Entities (MIEs) and National Implementing Entities (NIEs). Adaptation projects and programmes are developed by executing entities, under the oversight of implementing entities, either MIEs or NIEs.

In late 2011, with the endorsement of the Department of Environmental Affairs (DEA), SANBI successfully met the criteria of the global AF Board to achieve the accreditation to be a NIE. As South Africa's NIE, the South African National Biodiversity Institute (SANBI) is responsible for vetting and endorsing project and programme proposals, and will disburse resulting funding released from the AF in the event a proposal is successful. SANBI also has full responsibility for overall management of projects and programmes including financial, monitoring and reporting responsibility.

All projects and programmes will need to align with the results framework of the AF and meet its eligibility criteria (see <https://www.adaptation-fund.org/document/results-framework-and-baseline-guidance-project-level>). Within this framework, South Africa is able to focus its programme of investment.

**SOUTH AFRICA'S INVESTMENT STRATEGY**  
 A nominal country cap of \$2 million has been set for developing country parties by the AF – subject to funds remaining in the fund. Projects can be 3 – 5 years long.

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*Well-managed catchments enhance resilience to climate induced risks*

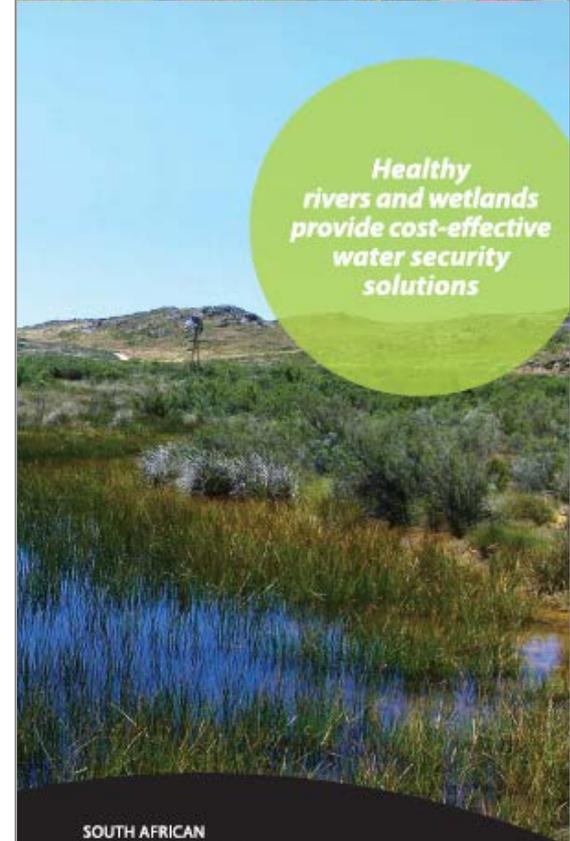
**SOUTH AFRICAN NATIONAL BIODIVERSITY INSTITUTE: CLIMATE CHANGE ADAPTATION**

## The process...

1. Accreditation
2. Operationalisation
3. Governance
4. Consultation
5. Investment Framework
6. Call for Proposals
7. Project development
8. Concept submission



Healthy rivers and wetlands provide cost-effective water security solutions



SOUTH AFRICAN NATIONAL BIODIVERSITY INSTITUTE:  
CLIMATE CHANGE ADAPTATION

**SOUTH AFRICAN NATIONAL BIODIVERSITY INSTITUTE**

**CALLING FOR CLIMATE CHANGE ADAPTATION PROJECT PROPOSALS**

If you have the interest and ability to develop and implement an innovative project to assist South Africa in adapting to climate change and have a proposal to support your concept, this opportunity is for you!

We are inviting interested South African parties to apply for funding from the Adaptation Fund (AF).

**BACKGROUND**

The Adaptation Fund (AF) was established by the Parties to the Kyoto Protocol of the United Nations Framework Convention on Climate Change (UNFCCC), as a mechanism to finance concrete adaptation projects and programmes in developing country parties. It was specifically initiated to assist developing countries in meeting the costs of adaptation, and to finance on-site adaptation projects and programmes that reduce the adverse effects of climate change facing communities, countries, and sectors. In South Africa, Adaptation Fund resources are accessed via the South African National Biodiversity Institute (SANBI), which has been appointed as the National Implementing Entity (NIE) for the fund. A total of \$10 million may be made available to South African projects, subject to funds remaining in the fund. This limited level of funding provides a key opportunity for South Africa to learn how to develop, propose and implement adaptation projects, open up for scaled up implementation, and demonstrate how investments in climate change adaptation can deliver tangible and lasting benefits to those who are most vulnerable to climate change.

**THE APPLICATION PROCESS**

In our efforts to build a coordinated adaptation response that delivers tangible outcomes, and to provide an opportunity for organisations who may not qualify as financing entities to participate in and implement components of AF investments, the NIE will work with project proponents to build between one and three integrated projects that support these learning and demonstration objectives. In this regard, applicants must be prepared to modify their proposals and/or to collaborate with additional parties. The NIE will be investigating the possibility of one of these projects being a small grants facility whereby vulnerable communities can directly access project funds. The NIE will support successful applicants to develop project concepts more fully into the format this is required by the AF. These will be submitted to the AF for approval.

**SUBMISSION OF THE APPLICATIONS**

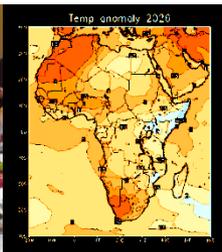
To be eligible for consideration, the application must be submitted using the prescribed application form before the closing date. The application form is available on [www.sanbi.org/ha](http://www.sanbi.org/ha). All projects and programmes will need to align with the results framework of the AF and meet eligibility criteria. See <http://www.adaptation-fund.org/document/results-framework-and-baseline-guidance-project.html>. Within this framework, South Africa has further focused its programme of investment. See [www.sanbi.org/ha](http://www.sanbi.org/ha) for the SA NIE Investment Framework.

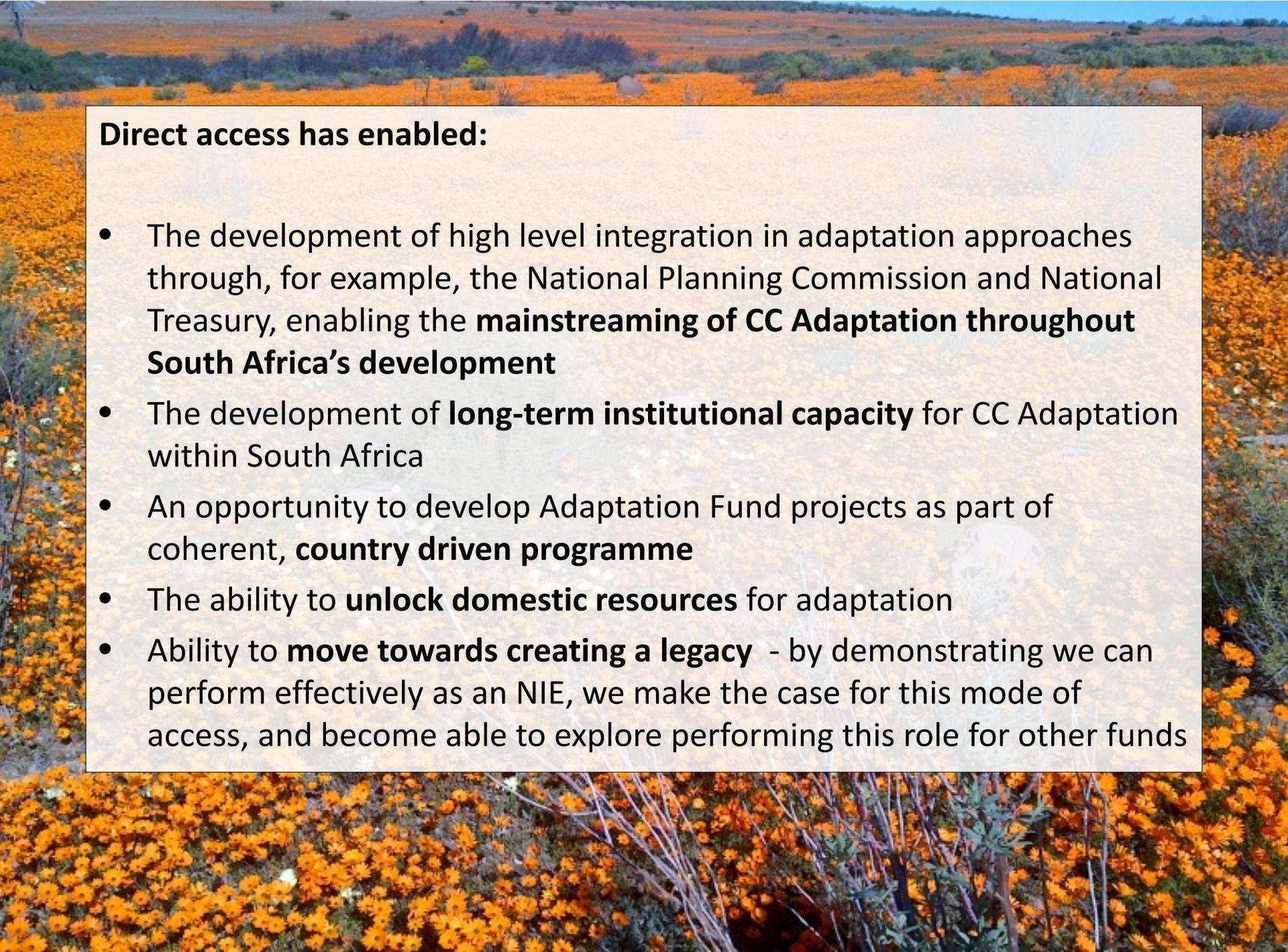
**CLOSING DATE**

The window for proposals opens at 12pm, on 30 November 2012 and closes at midnight on 31 January 2013.

Applications must be submitted electronically.

For info: [NIE@sanbi.org.za](mailto:NIE@sanbi.org.za) or to the project lead: [SANBI](mailto:SANBI)



A wide-angle photograph of a vast field of bright orange flowers, likely in a savanna or prairie setting. The flowers are in full bloom, creating a dense carpet of color. In the distance, there are some green shrubs and a clear blue sky. The overall scene is bright and sunny.

## Direct access has enabled:

- The development of high level integration in adaptation approaches through, for example, the National Planning Commission and National Treasury, enabling the **mainstreaming of CC Adaptation throughout South Africa's development**
- The development of **long-term institutional capacity** for CC Adaptation within South Africa
- An opportunity to develop Adaptation Fund projects as part of coherent, **country driven programme**
- The ability to **unlock domestic resources** for adaptation
- Ability to **move towards creating a legacy** - by demonstrating we can perform effectively as an NIE, we make the case for this mode of access, and become able to explore performing this role for other funds

# Thank you

**SANBI**

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