

Overview of the Adaptation Fund Environmental and Social Policy & Process

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ADAPTATION FUND

15 E&S Principles

- Technical requirements
- Outcomes based
- Provide risk mgmt
- Focused on the 15 greatest risks



ESP Guidance Document Issued



ADAPTATION FUND

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Adaptation Fund's Environmental and Social Policy

Guidance document for Implementing Entities on compliance with the Adaptation Fund Environmental and Social Policy

The background of the slide features a photograph of a rural landscape. In the foreground, there are several small, rustic houses with corrugated metal roofs, some of which have solar panels installed. The houses are situated in a valley. In the background, there are rolling hills and mountains under a hazy, overcast sky. The overall color palette is muted, with earthy tones and soft blues.

1: Compliance with the Law

2: Access & Equity

- Fair and equitable access to benefits
- Don't impede access to services
- Don't exacerbate inequities

3. Marginalized & Vulnerable

- Impacts should not be disproportionate
- Groups excluded from normal economic/social fabric
- Limited capacity to withstand impacts





4. Human Rights

Principles address most, if not all, human rights that may be encountered

5. Gender Equity & Women's Empowerment



- A process of being fair to women and men
- Equity measures may need to be taken to compensate

6. Core Labor Rights



- Freedom of association & collective bargaining
- Elimination of forced labor
- Elimination of worst forms of child labor
- Non-discrimination

ILO Conventions: Law of the Land

Convention/Country	Liberia	Sierra Leone	Cote d'Ivoire	Ghana	Burkina Faso
29	✓	✓	✓	✓	✓
87	✓	✓	✓	✓	✓
98	✓	✓	✓	✓	✓
100		✓	✓	✓	✓
105	✓	✓	✓	✓	✓
111	✓	✓	✓	✓	✓
138	(16)	✓	✓(14)	✓	✓
182	✓	✓	✓	✓	✓

7. Indigenous Peoples



BUSINESS

Updated March 26, 2012, 6:56 p.m. ET

Indigenous Peoples Get Last Word on Mines

Canadian Court Ruling Encourages Firms to Seek Cooperation Before Digging Begins, Creating Frustration Over Cost

Russia marks International Sami People's Day

Tags: News, Russia, Culture, nationalities

Feb 6, 2012 14:03 Moscow Time



Yamalo-Nenets Autonomous Okrug marks International Sami People's Day on host of festivities expected there later in the day.

Namibia: Minority Groups Clamour for Rights

BY CATHERINE SASMAN, 24 FEBRUARY 2012



FOUR indigenous groups have petitioned the Office of the Prime Minister protesting the construction of the Orokawe Dam at Epupa in the Kunene Region.

The petitions were sent to the Office of the Prime Minister yesterday, and will be handed to United Nations (UN) bodies in New York today.

The declarations will also be handed to the African Union (AU) and the UN High Commissioner for Human Rights.

8. Involuntary Resettlement

- Avoid, minimize adverse impacts from land acquisition or restrictions on land use
- Restore livelihoods and standards of living



An aerial photograph showing a winding river or stream flowing through a lush, green, marshy landscape. The river meanders through the terrain, creating a series of loops and curves. The vegetation appears dense and healthy, with varying shades of green. The overall scene depicts a natural, undisturbed habitat.

9: Protection of Natural Habitats

- Protected areas
- Areas of recognized importance
- Risks / alternatives / compliant with laws and management plans



10: Conservation of Biodiversity

- Biological diversity
- Invasive species /
Living Modified
Organisms
- Ecosystem
processes



11: Climate Change

- Minimize GHG emissions from project

12: Pollution prevention and Resource Efficiency

- Maximize energy efficiency
- Minimize use of resources
- Minimize waste production

13: Public Health

- Avoid causing significant negative health impacts



14: Physical and Cultural Heritage

- Avoid alteration, damage or removal
- Don't impede access to cultural sites



15. Lands and Soil Conservation



- Avoid impacting productive lands
- Practice soil conservation (fragile, soil loss)

Risk and Impact Signaling

Category A – likely to have significant adverse E&S impacts that are diverse, widespread, or irreversible

Category B – potential adverse impacts that are fewer in number, smaller in scale, less widespread, reversible or easily mitigated

Category C – no adverse E&S impacts

E&S Management Plan

- **Plan that captures the actions identified to manage risks and impacts**
- **Integrate ESMP into operations and not stand-alone**
- **Update ESMP with results of on-going monitoring**
- **Commitment to implement is a condition of AF approval**
- **Include results in reporting to AF**

Action	Responsible Party	Frequency	Reporting
<p>7. In order to protect cultural heritage near the construction site:</p> <p>A. Fence off road on west side</p> <p>A. Post signs on each side of cultural heritage area to keep out</p> <p>A. Check that area is secure each morning prior to equipment moving</p>	John Miller - Construction Foreman	Check daily to ensure fencing and signs are in place and site is protected until construction is complete	<p>Executing Entity ➡ Accredited Entity: Include status of protection in weekly report to IE</p> <p>Accredited Entity ➡ AF: Include in Annual Reporting if ESMP Item #7 was implemented</p>

Monitoring & Reporting

- **Commensurate with risks and impacts**
- **Related to ESMS**
- **Informed by consultations**
- **Results of monitoring should inform future**
- **Report annually to AF**



Discussion break