Overview of the Adaptation Fund
Environmental and Social Policy & Process

Patricia Miller
September 22, 2015
Abuja, Nigeria
15 E&S Principles

- Technical requirements
- Outcomes based
- Provide risk mgmt
- Focused on the 15 greatest risks
ESP Guidance Document Issued

Adaptation Fund's Environmental and Social Policy
Guidance document for Implementing Entities on compliance with the Adaptation Fund Environmental and Social Policy
1: Compliance with the Law

2: Access & Equity
- Fair and equitable access to benefits
- Don’t impede access to services
- Don’t exacerbate inequities
3. Marginalized & Vulnerable

• Impacts should not be disproportionate

• Groups excluded from normal economic/social fabric

• Limited capacity to withstand impacts
4. Human Rights

Principles address most, if not all, human rights that may be encountered.
5. Gender Equity & Women’s Empowerment

- A process of being fair to women and men
- Equity measures may need to be taken to compensate
6. Core Labor Rights

- Freedom of association & collective bargaining
- Elimination of forced labor
- Elimination of worst forms of child labor
- Non-discrimination
## ILO Conventions: Law of the Land

<table>
<thead>
<tr>
<th>Convention/Country</th>
<th>Liberia</th>
<th>Sierra Leone</th>
<th>Cote d’Ivoire</th>
<th>Ghana</th>
<th>Burkina Faso</th>
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7. Indigenous Peoples

Indigenous Peoples Get Last Word on Mines

Canadian Court Ruling Encourages Firms to Seek Cooperation Before Digging Begins, Creating Frustration Over Cost

Russia marks International Sami People’s Day

Feb 6, 2012 14:03 Moscow Time

Namibia: Minority Groups Clamour for Rights

BY CATHERINE SASMAN, 24 FEBRUARY 2012

FOUR indigenous group have petitioned the Office of the Prime Minister protesting the construction of the Okokawe Dam at Epupa in the Kunene Region.

The petitions were sent to the Office of the Prime Minister yesterday, and will be handed to United Nations (UN) bodies in New York today.

The declarations will also be handed to the African Union (AU) and the UN High Commissioner for Human Rights.
8. Involuntary Resettlement

- Avoid, minimize adverse impacts from land acquisition or restrictions on land use
- Restore livelihoods and standards of living
9: Protection of Natural Habitats

• Protected areas

• Areas of recognized importance

• Risks / alternatives / compliant with laws and management plans
10: Conservation of Biodiversity

• Biological diversity

• Invasive species / Living Modified Organisms

• Ecosystem processes
11: Climate Change
• Minimize GHG emissions from project

12: Pollution prevention and Resource Efficiency
• Maximize energy efficiency
• Minimize use of resources
• Minimize waste production
13: Public Health

• Avoid causing significant negative health impacts
14: Physical and Cultural Heritage

• Avoid alteration, damage or removal

• Don’t impede access to cultural sites
15. Lands and Soil Conservation

- Avoid impacting productive lands
- Practice soil conservation (fragile, soil loss)
Category A – likely to have significant adverse E&S impacts that are diverse, widespread, or irreversible

Category B – potential adverse impacts that are fewer in number, smaller in scale, less widespread, reversible or easily mitigated

Category C – no adverse E&S impacts
E&S Management Plan

- Plan that captures the actions identified to manage risks and impacts
- Integrate ESMP into operations and not stand-alone
- Update ESMP with results of on-going monitoring
- Commitment to implement is a condition of AF approval
- Include results in reporting to AF
<table>
<thead>
<tr>
<th>Action</th>
<th>Responsible Party</th>
<th>Frequency</th>
<th>Reporting</th>
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<tr>
<td>7. In order to protect cultural heritage near the construction site:</td>
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<tr>
<td>A. Fence off road on west side</td>
<td>John Miller - Construction Foreman</td>
<td>Check daily to ensure fencing and signs are in place and site is protected until construction is complete</td>
<td>Executing Entity ➔ Accredited Entity: Include status of protection in weekly report to IE</td>
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<tr>
<td>A. Post signs on each side of cultural heritage area to keep out</td>
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<td>Accredited Entity ➔ AF: Include in Annual Reporting if ESMP Item #7 was implemented</td>
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<tr>
<td>A. Check that area is secure each morning prior to equipment moving</td>
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Monitoring & Reporting

- Commensurate with risks and impacts
- Related to ESMS
- Informed by consultations
- Results of monitoring should inform future
- Report annually to AF
Discussion break