



**ADAPTATION FUND**

# **ACCREDITATION PROCESS ENVIRONMENTAL AND SOCIAL REVIEW**

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**ABUJA, NIGERIA**

# ENVIRONMENTAL AND SOCIAL POLICY



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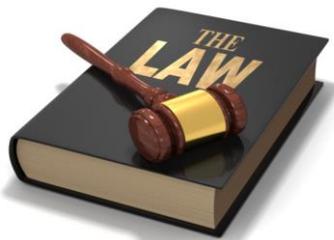
Adaptation Fund Board

ENVIRONMENTAL AND SOCIAL POLICY

(APPROVED IN NOVEMBER 2013)

- **Articulates AF commitment to support projects that do not harm the environment, public health or vulnerable communities**
- **Defines 15 E&S Principles**
- **Requires categorization**
- **Requires an ESMS**
- **Describes a delivery process including consultation/grievance mechanism**

# ADAPTATION FUND 15 ESP PRINCIPLES



**1. Compliance with the Law**



**2. Access and Equity**



**3. Marginalized and Vulnerable Groups**



**4. Human Rights**



**5. Gender Equity and Women's Empowerment**



**6. Core Labour Rights**



**7. Indigenous Peoples**



**8. Involuntary Resettlement**

# ADAPTATION FUND ESP PRINCIPLES



**9. Protection of Natural Habitats**



**10. Conservation of Biological Diversity**



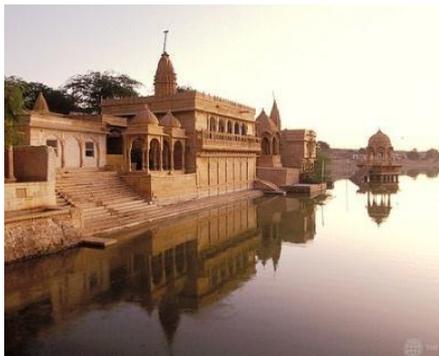
**11. Climate Change**



**12. Pollution Prevention & Resource Efficiency**



**13. Public Health**



**14. Physical and Cultural Heritage**



**15. Lands and Soil Conservation**

# ENVIRONMENTAL AND SOCIAL MANAGEMENT SYSTEM

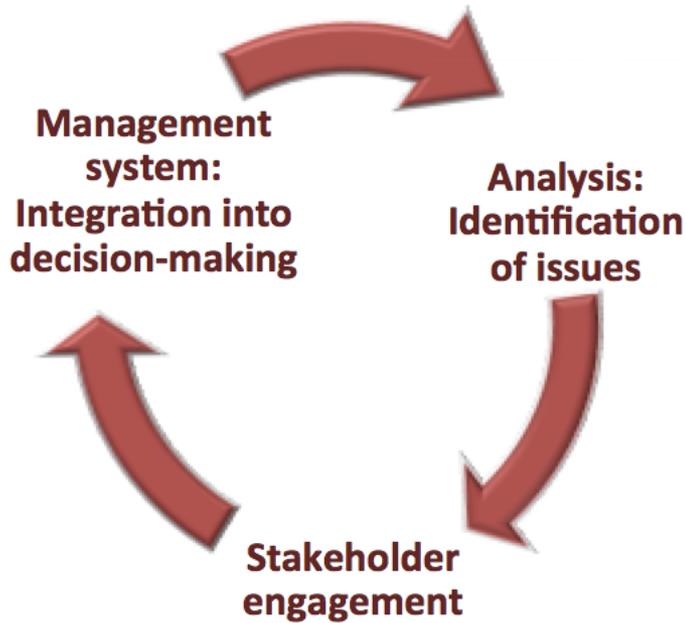


**The ESMS is an “umbrella”**

A documented, systematic approach to identifying and managing environmental and social risks ...

... and for maximizing value addition

# ESMS TYPICAL ELEMENTS



- **E&S Policy Statement**
- **Identification of risks & impacts** ➔ **15 E&S Principles**
- **Management plan**
- **Organizational capacity & competency**
- **Monitoring, reporting & evaluation**
- **Stakeholder consultation**
- **Public disclosure**
- **Grievance mechanism**

# ACCREDITATION REVIEW

ESMS Elements	Examples of Demonstration
<b>Policy Statement</b>	<ul style="list-style-type: none"><li>- <b>1 page statement that defines E&amp;S objectives, principles, commitments</b></li><li>- <b>defines standards</b></li><li>- <b>states who is responsible for execution</b></li></ul>
<b>Risks &amp; Impacts Identification Process</b>	<p><b>Written process:</b></p> <ol style="list-style-type: none"><li><b>1) screen against 15 Principles</b></li><li><b>2) assess risks &amp; impacts identified in screening</b></li><li><b>3) categorize project/program</b></li></ol>
<b>Management Program</b>	<p><b>Written process:</b></p> <ol style="list-style-type: none"><li><b>1) avoid impacts and lower risk</b></li><li><b>2) minimize remaining risks and impacts with measurable actions</b></li><li><b>3) capture commitments in a management plan</b></li></ol>
<b>Organizational Capacity &amp;</b>	<ul style="list-style-type: none"><li>- <b>Organizational chart</b></li><li>- <b>Description of job descriptions and staff competencies</b></li></ul>

<b>ESMS Element</b>	<b>Examples of Demonstration</b>
<b>Monitoring, Reporting &amp; Evaluation</b>	<b>Written process:</b> <b>1) Describes monitoring program, frequency, actions to take if monitoring shows poor results</b> <b>2) Follow up &amp; reporting to Sr. Management</b> <b>3) Indicators</b>
<b>Stakeholder Engagement</b>	<b>1) Written process describing how stakeholders will be identified and different types of engagement</b>  <b>1) Examples from past projects of stakeholder engagement being taken into account</b>
<b>Grievance Mechanism</b>	<b>Written process of how inquires/complaints will be:</b> <b>1) Received</b> <b>2) Assessed for the appropriate response</b> <b>3) Provide, track and document response</b>
<b>Commitment</b>	<b>1) Examples of learning and changing behavior/requirements</b> <b>2) Written statement from Sr. Management</b>



*Thank you!*