



ADAPTATION FUND

# ACCREDITATION PROCESS ENVIRONMENTAL AND SOCIAL REVIEW

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**SEPTEMBER 21, 2105**

**ABUJA, NIGERIA**

# ENVIRONMENTAL AND SOCIAL POLICY



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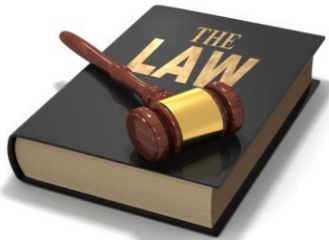
Adaptation Fund Board

ENVIRONMENTAL AND SOCIAL POLICY

(APPROVED IN NOVEMBER 2013)

- **Articulates AF commitment to support projects that do not harm the environment, public health or vulnerable communities**
- **Defines 15 E&S Principles**
- **Requires categorization**
- **Requires an ESMS**
- **Describes a delivery process including consultation/grievance mechanism**

# ADAPTATION FUND 15 ESP PRINCIPLES



**1. Compliance with the Law**



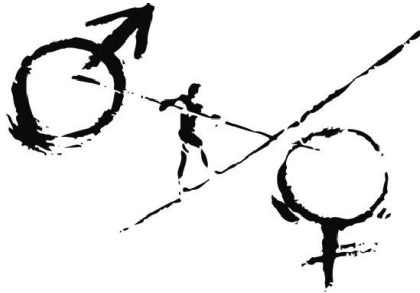
**2. Access and Equity**



**3. Marginalized and Vulnerable Groups**



**4. Human Rights**



**5. Gender Equity and Women's Empowerment**



**6. Core Labour Rights**



**7. Indigenous Peoples**



**8. Involuntary Resettlement**

# ADAPTATION FUND ESP PRINCIPLES



**9. Protection of Natural Habitats**



**10. Conservation of Biological Diversity**



**11. Climate Change**



**12. Pollution Prevention & Resource Efficiency**



**13. Public Health**



**14. Physical and Cultural Heritage**



**15. Lands and Soil Conservation**



# ENVIRONMENTAL AND SOCIAL MANAGEMENT SYSTEM

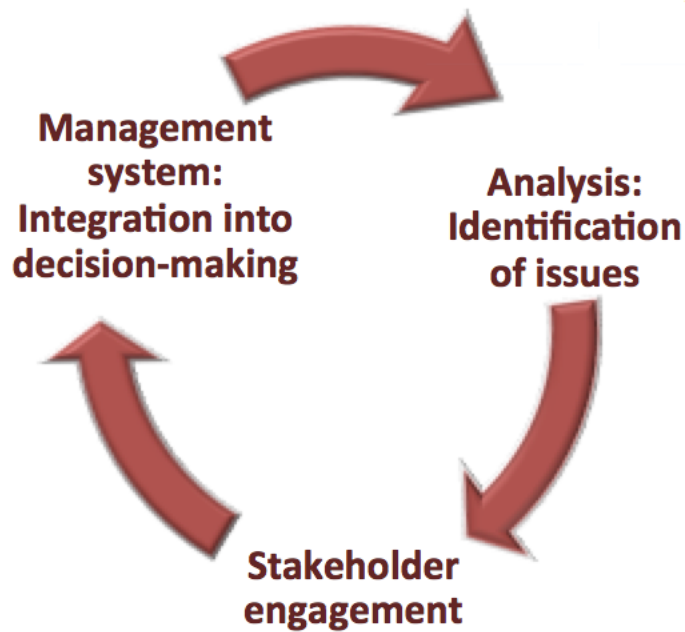
A large, open red umbrella with a black handle and a black shaft. The umbrella is positioned centrally in the upper half of the slide, with its canopy spread wide. The text "The ESMS is an 'umbrella'" is written in white across the center of the umbrella's canopy.

**The ESMS is an “umbrella”**

A documented, systematic approach to identifying and managing environmental and social risks ...

... and for maximizing value addition

# ESMS TYPICAL ELEMENTS



- **E&S Policy Statement**
- **Identification of risks & impacts** ➡ **15 E&S Principles**
- **Management plan**
- **Organizational capacity & competency**
- **Monitoring, reporting & evaluation**
- **Stakeholder consultation**
- **Public disclosure**
- **Grievance mechanism**

# ACCREDITATION REVIEW

ESMS Elements	Examples of Demonstration
Policy Statement	<ul style="list-style-type: none"><li>- 1 page statement that defines E&amp;S objectives, principles, commitments</li><li>- defines standards</li><li>- states who is responsible for execution</li></ul>
Risks & Impacts Identification Process	Written process: <ul style="list-style-type: none"><li>1) screen against 15 Principles</li><li>2) assess risks &amp; impacts identified in screening</li><li>3) categorize project/program</li></ul>
Management Program	Written process: <ul style="list-style-type: none"><li>1) avoid impacts and lower risk</li><li>2) minimize remaining risks and impacts with measurable actions</li><li>3) capture commitments in a management plan</li></ul>
Organizational Capacity &	<ul style="list-style-type: none"><li>- Organizational chart</li><li>- Description of job descriptions and staff competencies</li></ul>

ESMS Element	Examples of Demonstration
<b>Monitoring, Reporting &amp; Evaluation</b>	<b>Written process:</b> 1) Describes monitoring program, frequency, actions to take if monitoring shows poor results 2) Follow up & reporting to Sr. Management 3) Indicators
<b>Stakeholder Engagement</b>	1) Written process describing how stakeholders will be identified and different types of engagement  1) Examples from past projects of stakeholder engagement being taken into account
<b>Grievance Mechanism</b>	<b>Written process of how inquires/complaints will be:</b> 1) Received 2) Assessed for the appropriate response 3) Provide, track and document response
<b>Commitment</b>	1) Examples of learning and changing behavior/requirements 2) Written statement from Sr. Management





*Thank you!*