



ADAPTATION FUND

Readiness Programme for Direct Access

Climate Finance Readiness Workshop for African Francophone Countries

Presented by: Farayi Madziwa
Adaptation Fund Board secretariat

Presentation Outline

- **Background**
- **Activities of the Readiness Programme**
- **Readiness programme for direct access**

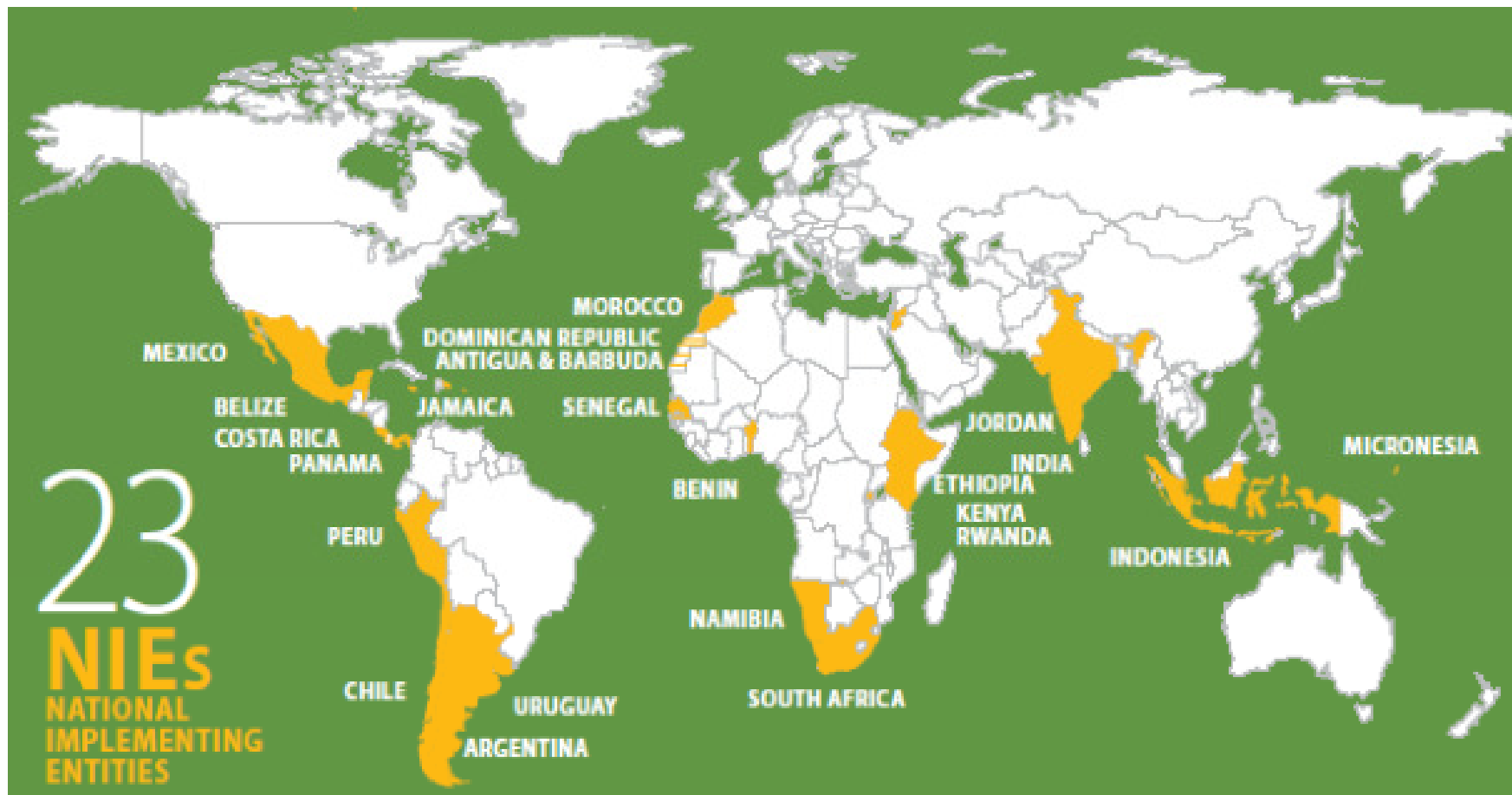


The Readiness Programme was Launched in May 2014

- **Goal:**
 - ✓ Increase resilience through **concrete adaptation** projects & programmes
 - ✓ Focus on **most vulnerable countries and communities**
- **Specific objectives:**
 - i. To increase the preparedness of applicant national implementing entities seeking accreditation by the Adaptation Fund
 - ii. To increase the number of high quality project/programme proposals submitted to the Board within a reasonable time period after accreditation



NIEs span different regions and types of economies



4 new NIEs accredited in 2016:

- KEMITRAN, Indonesia
- MOFED, Ethiopia
- IDDI, Dominican Republic
- CDB, Caribbean Islands



Phase I: Readiness Programme Activities (launched May 2014)

Convening actors working on direct access (accreditation, project development, capacity building)

Designing improved materials on AF processes



Developing a knowledge exchange platform

Small grants:

- South-south cooperation
- Environmental and Social technical assistance



Readiness workshops in 2014

15-17 July 2014
NIE Seminar # 1,
Washington, DC

A seminar for all accredited
IE: training and exchange
of experiences on project
proposal preparation and
environmental and social
risk management.

10-12 September
2014

Readiness Seminar
3, Bangkok
jointly hosted by
UNEP and the Fund
targeted at potential
NIEs in Asia and the
Pacific.

May 2014 Launching
workshop, Washington, DC
Improved cooperation with
multilateral and bilateral
organizations currently
providing accreditation
support to national or regional
institutions

1-4 July 2014

First Africa NIEs
Workshop, Nairobi
implemented in
partnership with the
National Environment
Authority of Kenya,
Heinrich Boell Foundation
and CDKN

16-19 September 2014
SPREP Adaptation Fund
Training Workshop, Apia,
Samoa



National Implementing Entities



Bilateral, Multilateral Organizations



Global Funds





Adaptation Fund NIE Network




“Climate Finance Ready” platform


[f](#) [t](#) [g+](#) [in](#)


ADAPTATION FUND


CDKN
Climate & Development
Knowledge Network


CLIMATE FINANCE READY
Knowledge Exchange for Climate Finance Readiness

[Home](#) [Features](#) [Resources](#) [News](#) [About](#) [FAQ](#) 




**Adaptation Fund & CDKN Launch
'Climate Finance Ready'**


New partnership and website for knowledge exchange
and resource sharing

Case Studies



CASE STUDY: A Community of Practice for current and aspiring National Implementing Entities (NIEs)




CASE STUDY: Latin American countries seek practical options for climate finance




CASE STUDY: Direct access to the Adaptation Fund – lessons from accrediting NIEs in Jamaica and Senegal

Recent Research



REPORT: Status of climate finance at COP20, Lima




REPORT: Reconfiguring urban adaptation finance




REPORT: Climate change vulnerability and adaptation preparedness in southern Africa – Zimbabwe country report

Latest News


NEWS: Adaptation Fund & CDKN launch 'Climate Finance Ready'



NEWS: 'Zero poverty, zero emissions' event in Lima, Peru to target climate finance readiness



NEWS: Adaptation Fund & UNEP kick off Direct Access Climate Finance Readiness seminar in Bangkok

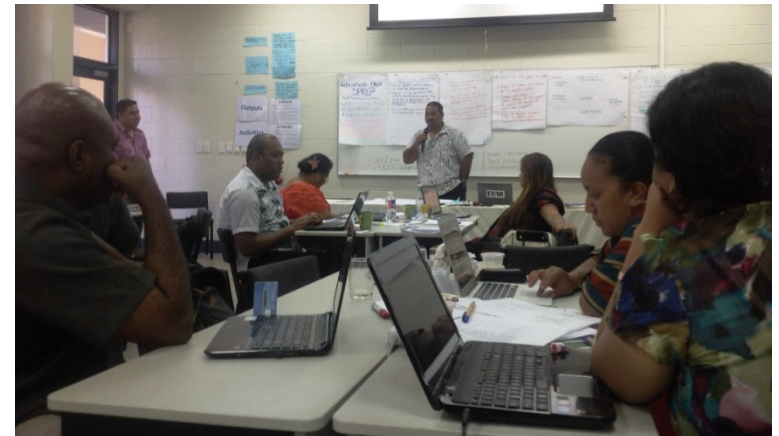
© 2014 | All Rights Reserved. | [Legal](#)

[Home](#) | [Features](#) | [Resources](#) | [News](#) | [About](#) | [FAQ](#)



South-South Cooperation Grants Programme

- Countries without an NIE may apply for a grant to receive support from an existing NIE
- Support in one or more of the following:
 - identifying potential NIE candidates;
 - assisting NIE candidates in the preparation of applications to be submitted to the Fund;
 - providing support and advice during the application process.
- Countries being supported during this first phase:
 - Cabo Verde, Chad, Niger
 - Burundi



Phase II: Readiness Programme Activities (Approved April 2015)

**Support to accredited
Implementing Entities (AF
introduction seminar, peer-to-
peer learning, ESP, Project
Formulation assistance)**

**Cooperation/ Partnership with
Climate Finance Readiness
Providers (Community of
practice and training, support
country level M&E, joint
seminars)**



**Support to countries seeking
accreditation (south-south
grants, seminars on climate
readiness, tools and guidance
documents)**

Knowledge Management:

- Lessons learned/case studies
- Knowledge microsite
- Media outreach

Readiness workshops in 2015

28-30 July 2015
NIE Seminar # 2,
Washington, DC

A seminar for all accredited IE: training and exchange of experiences on project proposal preparation and environmental and social risk management.

24-26 March 2015
Adaptation Fund –
CAF CF Readiness
Workshop, Panama
City

jointly hosted by GoP and CAF. Goals: better understanding of AF project cycle, NIE requirements, support available.

Regional workshop,
Middle East and Northern
Africa
Details to be confirmed

Regional workshop,
Eastern and Central
Africa
Details to be confirmed

18-20 May 2015
Regional Climate Finance
Workshop, Windhoek, Namibia
jointly hosted by DRFN, AF and HBS. Goals: better understanding of AF project cycle, NIE requirements, support available.

21-25 September 2015
– AF HBS Regional
workshop, Abuja,
Nigeria
Details to be confirmed



Lesson: Support for direct access readiness is needed

- AF launched a readiness programme in May 2014 to complement other initiatives – Phase II has been approved in April 2015
- Common challenges:
 - Selection of an appropriate entity for accreditation (due diligence when reviewing existing institutional capacity)
 - Understanding of and competence in fiduciary standards
 - Human resource constraints
 - Sometimes experience limited to handling smaller projects
- Those building countries' readiness should be up-to-date
- South-South cooperation should be encouraged



Phase II Progress

Metamorphosis of core activities into 4 main components

1. Support to accredited IEs

- TA grants to 6 NIEs (US\$ 118,000)
- Peer-peer learning
 - ✓ (DC Seminar: July 2015. 17 out of 19 NIEs + RIEs, MIEs and practitioners of climate finance). Second climate finance readiness seminar for NIEs offered an opportunity for entities to gain familiarity with the environmental and social policy and build capacity in managing and addressing environmental and social risks in project design and implementation.
 - ✓ Climate finance readiness workshop: September 2015 in Abuja, Nigeria and co-hosted by the Heinrich Böll Stiftung (HBS) and the Economic Community of West African States (ECOWAS).
 - ✓ Climate finance webinar #1: 19 November 2015. NIEs participated in the webinar to discuss the topic of community engagement and stakeholder consultation in climate adaptation projects and programmes.
 - ✓ COP 21 side event: 4 NIEs (Fundecooperacion – Costa Rica; MCT – Micronesia; NABARD – India; and SANBI – South Africa) discussed their experiences with direct access, and shared success stories and lessons learned from the accreditation process as well as preparation of climate change adaptation project proposals and implementation of approved projects on the ground.
- ESP guidance document



Phase II Progress cnt'd.....

2. Cooperation/Partnership with climate finance readiness providers

HEINRICH BÖLL STIFTUNG
SOUTHERN AFRICA



HEINRICH BÖLL STIFTUNG
NORTH AMERICA



Phase II Progress cnt'd.....

3. Support to countries seeking accreditation

- S-S cooperation grants to 5 countries through 2 NIEs (US\$ 242,347)
- Climate finance readiness seminars

4. Knowledge management

- 4 NIE adaptation stories published
- Climate Finance Ready microsite
- Contribution to secretariat KM strategy



Programme Performance/Achievements

- **Increased NIE/RIE accreditation**

- 7 complete applications for accreditation in the current fiscal year (commencing 1 July 2015).
- By the end of FY16 (30 June 2016), 4 new NIEs and 2 RIEs cumulative to receive accreditation
- Cumulative increase of 9 IEs (7 NIEs + 2 RIEs) accredited since launch (excludes MIEs).
- 8 endorsements for new DAs
- 1 additional RIE nominated by the DA but for which application is yet to be submitted.
- 1 NIE re-accredited and 2 more have submitted applications for re-accreditation which are currently under review.



Programme Performance cont'd...

- **Increased NIE project proposals**

- Growth rate of 25%/annum in number of project proposals submitted by NIEs since launch of readiness programme, numbers having increased from 11 in 2014 to 18 by year end of 2015.
- 21 project proposals submitted for AFB.26, 10 from NIEs.
- 21 project proposals submitted for AFB.27, 7 from NIEs.

- **Increased partnerships built**

- Additional partnerships in co-hosting and organizing readiness seminars include the Development Bank of Latin America (CAF), and the National Environment Authority of Panama (ANAM),
- Additional partners who have invited the secretariat and the Fund's IEs to their events, include the GEF, the IADB, the Nature Conservancy, GIZ, CI, the UNFCCC secretariat, CIF, the GCF, and the World Bank's Climate Change Group
- community of practice of NIEs, RIEs and individual experts that participate in seminars, side events, workshops and other events established. Roster of experts on environmental and social policy available on Fund's website.



Programme Performance cont'd...

- **Increased visibility and thought leadership positioning**
 - Readiness programme has helped increase the visibility of the Fund, and positioned it as a thought leader in climate finance readiness.
 - Informal requests received for information on its experiences with project development and pre-accreditation work with countries from other funds e.g. GCF, and from regional bodies such as COMESA.
- **Acknowledgement by CMP through Decision 1/CMP.11**
 - Recognition by the CMP at COP 21. Decision 1/CMP.11;
 - ✓ notes the approval of Phase II and the approval of South–South cooperation grants to 4 countries totalling US\$ 244,447; and
 - ✓ notes the efforts of the Adaptation Fund Board secretariat to organize workshops for NIEs



Budget through February 2016 to 30 June 2016

	Budget Approved FY16 (USD)	Committed to Year End FY16 (USD)
Secretariat Budget		
Personnel Component (Consultants & others)	160,000	156,000
Readiness workshops and seminars, including other Organizations' climate seminars e.g. GCF (includes travel; logistics etc)	383,000	383,000
General Outreach Operations/Publications	22,000	25,000
Secretariat Total	565,500	564,000
Small Grants		
South-South	150,000	242,347
E&S Grants	150,000	118,000
PFA Grants	100,000	
Grant Total	400,000	360,347
Program Total	965,500	924,347



Proposal for FY17

**The secretariat is proposing institutionalization
of the readiness programme**



Proposed FY17 Activities cont'd...

- **Maintain the 4 key components**
- **Leverage co-funding through partnerships**

Activities

- Joint seminars
- Webinars
- Community of practice
- Country level M&E
- Knowledge management: case studies, media outreach, Climate Finance Ready microsite etc.
- Partnership with the GCF: informal discussions on-going
- Readiness grants



Proposed secretariat budget for readiness programme FY17

Proposed budget for readiness programme to be funded through the FY17 secretariat administration budget

All amounts in US\$	<u>Approved</u> <u>Phase II (FY16)</u>	<u>Estimate Year</u> <u>End FY16</u>	<u>Proposed</u> <u>FY17</u>
PERSONNEL COMPONENT	160,000	156,000	166,000
TRAVEL COMPONENT	353,000	353,000	357,500
GENERAL OPERATIONS COMPONENT	22,000	25,000	57,000
MEETINGS COMPONENT	30,000	30,000	36,000
TOTAL ALL COMPONENTS	565,000	564,000	616,500

Proposed budget for readiness grants for FY17 (USD) to be set aside from funds in the AF Trust Fund

	<u>Proposed</u> <u>FY16</u>	<u>Estimate Year</u> <u>End FY16</u>	<u>Proposed</u> <u>FY17</u>
Technical Assistance Grants - ESP	100,000	118,000	150,000
South-South Cooperation Grants	150,000	242,347	250,000
Technical Assistance Grants - Gender Policy			90,000
Project Formulation Assistance Grants	150,000		100,000
TRUSTEE BUDGET TOTAL	400,000	360,347	590,000



Recommendation

Having considered document AFB/B.27/7, the Adaptation Fund Board decided to:

- (a) Take note of the progress report of phase II of the readiness programme;*
- (b) Integrate the readiness programme into the Adaptation Fund work plan and budget; and*
- (c) Approve the proposal of the readiness programme for the fiscal year 2017 (FY17) comprising its work programme for FY17 with the funding of US\$ 616,500 to be transferred to the secretariat budget and US\$ 590,000 for direct transfers from the resources of the Adaptation Fund Trust Fund for allocation as small grants.*

