



ADAPTATION FUND

AFB/PPRC.18-19/7
21 June 2016

Adaptation Fund Board
Project and Programme Review Committee

**RECOMMENDATIONS OF THE PROJECT AND PROGRAMME
REVIEW COMMITTEE
ON PROPOSALS CONSIDERED DURING THE
INTERSESSIONAL REVIEW CYCLE**

Introduction

1. At its twenty-third meeting, the Adaptation Fund Board (the Board) discussed a recommendation made by the Project and Programme Review Committee (PPRC) of the Board, on arranging intersessional review of project and programme proposals. Having considered the comments and recommendation of the PPRC, the Board decided to:

- (a) *Arrange one intersessional project/programme review cycle annually, during an intersessional period of 24 weeks or more between two consecutive Board meetings, as outlined in document AFB/PPRC.14/13;*
- (b) *While recognizing that any proposal can be submitted to regular meetings of the Board, require that all first submissions of concepts and fully-developed project/programme documents continue to be considered in regular meetings of the PPRC;*
- (c) *Request the secretariat to review, during such intersessional review cycles, resubmissions of project/programme concepts and fully-developed project/programme documents submitted on time by proponents for consideration during such intersessional review cycles;*
- (d) *Request the PPRC to consider intersessionally the technical review of such proposals as prepared by the secretariat and to make intersessional recommendations to the Board;*
- (e) *Consider such intersessionally reviewed proposals for intersessional approval in accordance with the Rules of Procedure;*
- (f) *Inform implementing entities and other stakeholders about the new arrangement by sending a letter to this effect, and make the calendar of upcoming regular and intersessional review cycles available on the Adaptation Fund website and arrange the first such cycle between the twenty-third and twenty-fourth meetings of the Board;*
- (g) *Request the PPRC to defer to the next Board meeting any matters related to the competencies of the Ethics and Finance Committee that may come up during the intersessional review of projects/programmes and to refrain from making a recommendation on such proposals until the relevant matters are addressed; and*
- (h) *Request the secretariat to present, in the fifteenth meeting of the PPRC, and annually following each intersessional review cycle, an analysis of the intersessional review cycle.*

(Decision B.23/15)

2. At the twenty-fifth Board meeting, the secretariat had requested the Board to consider whether the rules in the intersessional project review cycle could be made more accommodating, with a view to speeding up the process. The Board subsequently decided to:

- (a) *Amend Decision B.23/15 and require that all first submissions of concepts under the two-step approval process and all first submissions of fully-developed project/programme*

documents under the one-step process continue to be considered in regular meetings of the Project and Programme Review Committee (PPRC);

- (b) Request the secretariat to review, during its inter-sessional review cycles:
- (i) First submissions of fully-developed project/programme documents for which the concepts had already been considered in regular meetings of the PPRC and subsequently endorsed by the Board;
 - (ii) Resubmissions of project/programme concepts and resubmissions of fully-developed project/programme documents;
- (c) Request the PPRC to consider intersessionally the technical review of such proposals as prepared by the secretariat and to make intersessional recommendations to the Board;
- (d) Consider such intersessionally reviewed proposals for intersessional approval in accordance with the Rules of Procedure; and
- (e) Inform implementing entities and other stakeholders about the updated arrangement by sending a letter to this effect, and make effective such amendment as of the first day of the review cycle between the twenty-fifth and twenty-sixth meetings of the Board.

(Decision B.25/2)

Project/programme proposals submitted by implementing entities

3. The PPRC considered, during the intersessional review cycle between the twenty-seventh and twenty-eighth meetings of the Board, five single-country proposals and one project formulation grant request, as well as the report of the secretariat on the initial screening and technical review, contained in the following documents:

AFB/PPRC.18-19/1 Report of the Secretariat on Initial Screening/Technical Review of Project and Programme Proposals and AFB/PPRC.18-19/1/Add.1

AFB/PPRC.18-19/2 Proposal for Antigua and Barbuda (ABED)

AFB/PPRC.18-19/2/Add.1 Project Formulation Grant for Antigua and Barbuda (ABED)

AFB/PPRC.18-19/3 Proposal for Panama (Fundación Natura)

AFB/PPRC.18-19/4 Proposal for Senegal (CSE)

AFB/PPRC.18-19/5 Proposal for Niger (BOAD)

AFB/PPRC.18-19/6 Proposal for Uganda (OSS)

4. The summary information on the proposals is contained in Table 1 below.

Table 1: Project proposals submitted to the intersessional review cycle between the twenty-seventh and twenty-eighth Adaptation Fund Board meetings

Country	IE	Financing requested (USD)	Stage	IE Fee, USD	IE Fee, %	Execution Cost (EC), USD	EC, % of Total
Antigua and Barbuda	ABED	\$9,970,000	Project concept	\$0	0%	\$945,000	9.48%
Panama	Fundacion Natura	\$9,952,121	Fully-developed project document	\$716,890	7.76%	\$801,230	8.68%
Senegal	CSE	\$1,351,000	Fully-developed project document	\$105,839	8.50%	\$118,290	9.50%
Niger	BOAD	\$9,911,000	Fully-developed project document	\$768,000	8.40%	\$760,000	8.31%
Uganda	OSS	\$7,751,000	Fully-developed project document	\$602,000	8.42%	\$618,000	8.64%
Total		\$38,935,121		\$2,192,729	5.97%	\$3,242,520	8.83%

Concept proposal

Proposal from National Implementing Entity (NIE)

Regular proposal:

Antigua and Barbuda: An integrated approach to physical adaptation and community resilience in Antigua and Barbuda's northwest McKinnon's watershed (Project Concept; Antigua and Barbuda Department of Environment (ABED); ATG/NIE/Multi/2016/1; US\$ 9,970,000)

5. The proposed project concept includes several innovative measures to enhance the resilience of Antigua and Barbuda's northwest McKinnon's watershed, including a combination of ecosystem-based adaptation, innovative finance, and capacity building activities. The integrated approach to physical adaptation and community resilience in Antigua and Barbuda's northwest McKinnon's watershed seeks to reduce vulnerability of the community by increasing the ability of the watershed to handle extreme rainfall, while increasing the resilience of the built environment simultaneously to cope with the multiple stressors of climate change. The proposal approaches climate threats in the small island developing state context in an innovative manner.

6. The Project and Programme Review Committee decided to recommend that the Adaptation Fund Board:

- (a) Endorse the project concept, as supplemented by the clarification response provided by the Antigua and Barbuda Department of Environment to the request made by the technical review;
- (b) Request the secretariat to transmit to the Antigua and Barbuda Department of Environment the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The fully-developed project document should demonstrate how the feedback from community consultations has been reflected in the project design;
 - (ii) The fully-developed project document should specify, to the extent possible, the specific and concrete interventions that the project will support (including scale, scope, number of beneficiaries, etc.); and
 - (iii) Recognizing both the innovative nature of and inherent uncertainty of the allocation of AF funds, the fully-developed project document should provide the entire set of guidelines, procedures, and terms for the revolving micro-loan program.
- (c) Approve the Project Formulation Grant of US\$ 30,000;
- (d) Request the Antigua and Barbuda Department of Environment to transmit the observations under item (b) to the Government of Antigua and Barbuda; and
- (e) Encourage the Government of Antigua and Barbuda to submit through the Department of Environment a fully-developed project proposal that would address the observations under item (b) above.

(Recommendation PPRC.18-19/1)

Fully-developed proposals

Proposals from National Implementing Entities (NIEs)

Regular proposals:

Panama: Adapting to climate change through integrated water management in Panama (Fully-developed Project Document; *Fundación Natura*; PAN/NIE/Water/2016/1; US\$ 9,952,121)

7. The overall objective of this programme is to implement climate resilient water management to enhance food and energy security at the national level, through an integrated and community based approach in the Chiriqui Viejo and Santa Maria Watersheds. The project would implement a series of concrete adaptation measures for households' water security (such as the installation of water harvesting systems) and promote sustainable cattle ranching (notably through agro-silvopastoral practices). Furthermore, pilot climate smart farming projects (agroforestry and soil conservation, and installation of irrigation systems) and financing schemes (microfinance) will be

delivered. In parallel, the programme would conduct vulnerability assessments in the two watersheds, and would strengthen the existing national hydro-meteorological network.

8. The Project and Programme Review Committee decided to recommend that the Adaptation Fund Board:

(a) Not approve the project document, as supplemented by the clarification response provided by *Fundación Natura* to the request made by the technical review;

(b) Suggest that *Fundación Natura* reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:

- (i) The activities should be detailed to a sufficient level and their appropriateness in responding to the climate change threats further demonstrated;
- (ii) The proposal should provide a comprehensive analysis of the cost-effectiveness of the programme;
- (iii) The proposal should demonstrate the sustainability of the programme;
- (iv) The proposal should provide precise arrangements, budget, and monitoring and evaluation related information.

(c) Request *Fundación Natura* to transmit the observations under item (b) to the Government of Panama.

(Recommendation PPRC.18-19/2)

Senegal: Reducing vulnerability and increasing resilience of coastal communities in the Saloum Islands (Dionewar) (Fully-developed Project Document; *Centre de Suivi Ecologique* (CSE); SEN/NIE/Coastal/2015/1; US\$ 1,351,000)

9. The overall objective of the project is to reduce the vulnerability of populations in the Saloum Islands, on the coast of Senegal, to flooding and coastal erosion. The resilience of natural habitats and populations would be enhanced through the implementation of protective measures, revival of the main productive sectors and promotion of local adaptation strategies to cope with the adverse effects of climate change. The specific objectives of the project are to i) improve the resilience of fisheries, oyster farming and forestry sectors to natural hazards; ii) reduce the vulnerability of populations and natural habitats to hazards through the establishment of structures to better regulate flooding and prevent land salinization; and iii) enhance Communal Development Planning through integration of climate change, setting up local conventions and documenting lessons learned.

10. The Project and Programme Review Committee decided to recommend that the Adaptation Fund Board:

(a) Not approve the project document, as supplemented by the clarification response provided by the *Centre de Suivi Ecologique* (CSE) to the request made by the technical review;

(b) Suggest that CSE reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issue:

(i) The proposal should ensure that it addresses environmental and social risks comprehensively, and in a way that is consistent throughout the proposal.

(c) Request CSE to transmit the observations under item (b) to the Government of Senegal.

(Recommendation PPRC.18-19/3)

Proposals from Regional Implementing Entities (RIEs)

Niger: Enhancing Resilience of Agriculture to Climate Change to Support Food Security in Niger, through Modern Irrigation Techniques (Fully-developed Project Document; *Banque Ouest Africaine de Développement* (BOAD; West African Development Bank); NER/RIE/Food/2012/1; US\$ 9,911,000)

11. The main objective of the project is to strengthen the resilience of agriculture to climate change to support food security in Niger, through the promotion of modern irrigation techniques. It will strengthen the capacity of stakeholders on resilient irrigation systems to climate change and disseminate lessons learned during the project execution; support the development of efficient technologies for sustainable management of water resources, conserve soil of irrigated areas and reduce energy costs associated with pumping of irrigation water; and support the diversification of livelihoods to improve the incomes of farmers. Approximately 2.6 million people (49.6% men, 50.4% women with 18% of young people between 15 and 24 years), or 374,000 households are concerned by the implementation of the project. It will provide direct support to about 200 pilot farmers under small-scale irrigation.

12. The Project and Programme Review Committee decided to recommend that the Adaptation Fund Board:

(a) Approve the project document, as supplemented by the clarification response provided by the *Banque Ouest Africaine de Développement* (BOAD; West African Development Bank) to the request made by the technical review;

(b) Approve the funding of US\$ 9,911,000 for the implementation of the project, as requested by BOAD; and

(c) Request the secretariat to draft an agreement with BOAD as the Regional Implementing Entity for the project.

(Recommendation PPRC.18-19/4)

Uganda: Enhancing Resilience of Communities to Climate Change through Catchment Based Integrated Management of Water and Related Resources in Uganda (Fully-developed project document; Sahara and Sahel Observatory (OSS); UGA/RIE/Water/2015/1; US\$ 7,751,000)

13. The overall goal of the project is to strengthen Ugandan communities' resilience to the impact of climate change through promoting catchment based integrated, equitable and sustainable

management of land and water resources and the establishment of local flood early warning systems, in order to improve resilience to climate change, and increase adaptation capacity while enhancing food security. The project is expected to contribute towards addressing the critical challenges related to natural resources management and sustainable socio-economic development while protecting the environment which is the major source of income for many livelihoods. The holistic approach of the proposed project is designed as a more integrated way to support communities in Awoja, Aswa and Maziba catchments in their efforts to increase their resilience to the impacts changing climate and to increase their adaptation capacity to observe the onset and be better prepared to respond to the impacts of climate change.

14. The Project and Programme Review Committee decided to recommend that the Adaptation Fund Board:

- (a) Approve the project document, as supplemented by the clarification response provided by the Sahara and Sahel Observatory (OSS) to the request made by the technical review;
- (b) Approve the funding of US\$ 7,751,000 for the implementation of the project, as requested by OSS; and
- (c) Request the secretariat to draft an agreement with OSS as the Regional Implementing Entity for the project.

(Recommendation PPRC.18-19/5)