



**ADAPTATION FUND**

# **Readiness Programme for Direct Access**

## **CLIMATE FINANCE READINESS WORKSHOP FOR LATIN AMERICA AND THE CARIBBEAN REGION**

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Adaptation Fund Board secretariat

# Presentation Outline

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- **Background**
- **Activities of the Readiness Programme**
- **Readiness programme for direct access**



# The Readiness Programme was Launched in May 2014

- **Goal:**

- ✓ To increase the number of accredited national implementing entities (NIEs), and strengthen the overall capacity of NIEs

- **Specific objectives:**

- To increase the preparedness of applicant national implementing entities seeking accreditation by the Adaptation Fund
- To increase the number of high quality project/programme proposals submitted to the Board within a reasonable time period after accreditation



# NIEs span different regions and types of economies



- 11 from GRULAC.
- CDB, Caribbean Islands



# Phase I and II: Readiness Programme Activities

**Convening actors working on direct access (accreditation, project development, capacity building)**

**Designing improved materials on AF processes**



**Developing a knowledge exchange platform**

**Small grants:**

- South-south cooperation
- Environmental and Social technical assistance



# Phase II: Readiness Programme Activities (Approved April 2015)

**Support to accredited  
Implementing Entities (AF  
introduction seminar, peer-to-  
peer learning, ESP, Project  
Formulation assistance)**

**Cooperation/ Partnership with  
Climate Finance Readiness  
Providers (Community of  
practice and training, support  
country level M&E, joint  
seminars)**

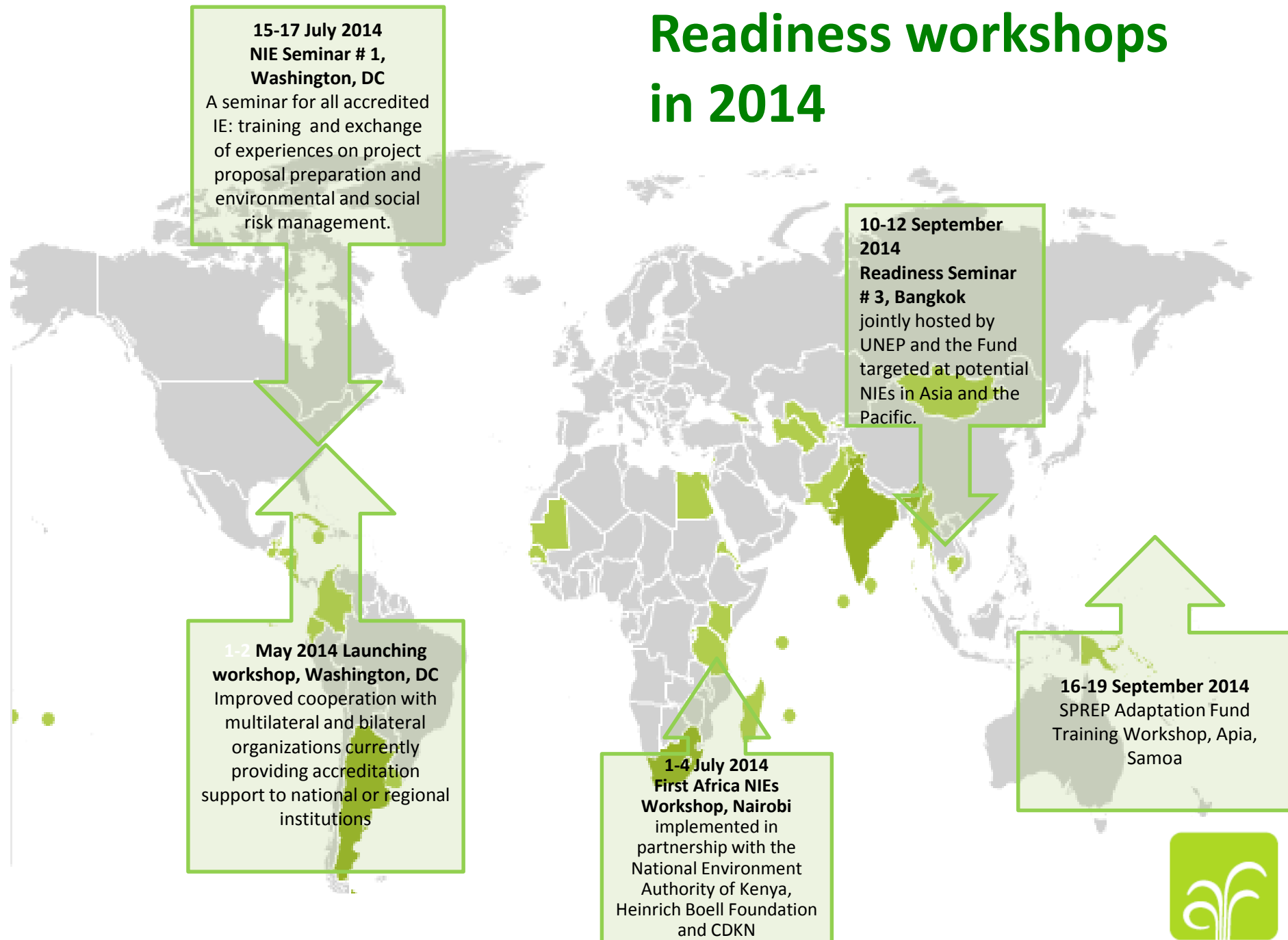


**Support to countries seeking  
accreditation (south-south  
grants, seminars on climate  
readiness, tools and guidance  
documents)**

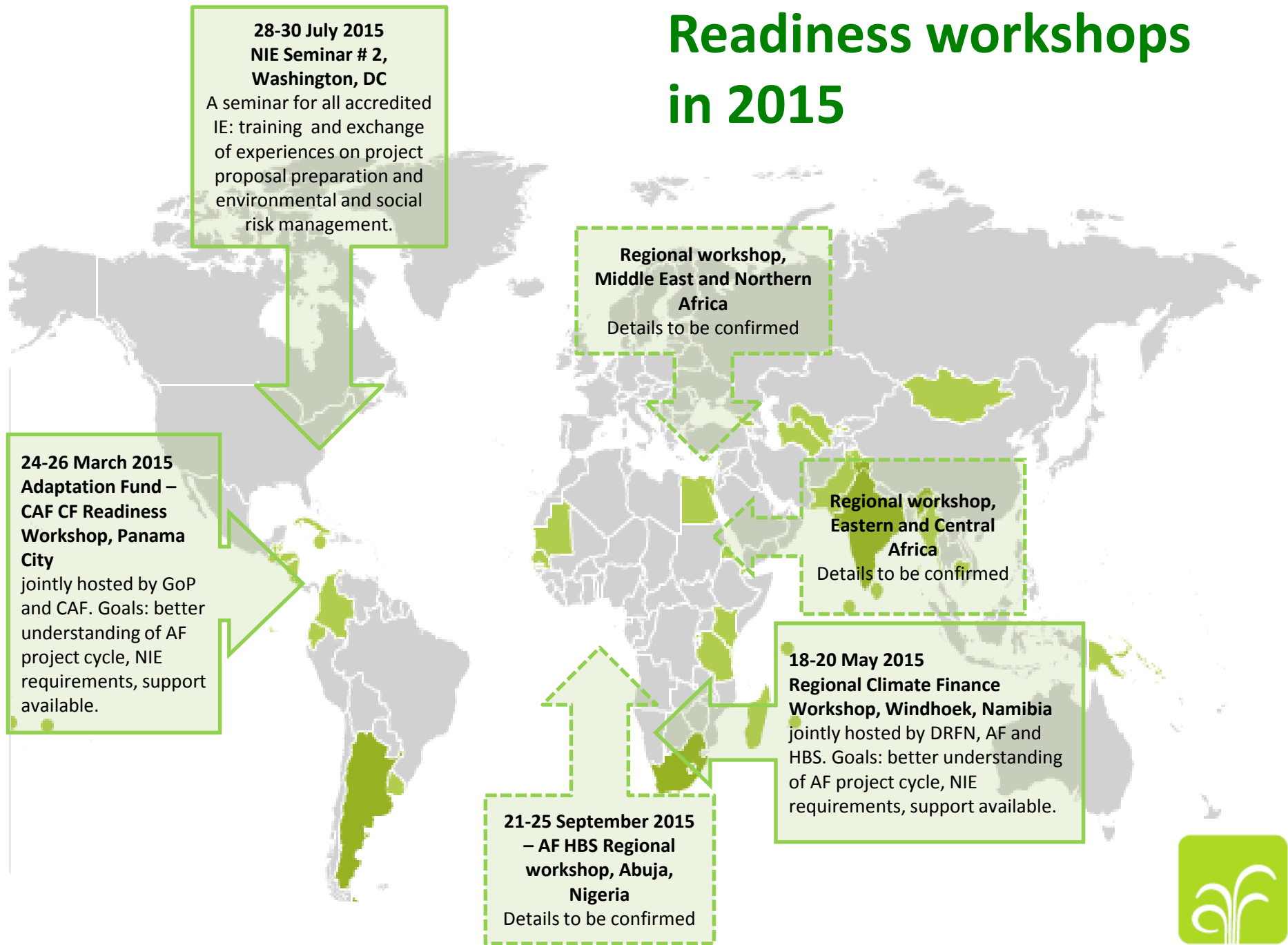
**Knowledge Management:**

- Lessons learned/case studies
- Knowledge microsite
- Media outreach

# Readiness workshops in 2014



# Readiness workshops in 2015





# Adaptation Fund NIE Network



# “Climate Finance Ready” platform



**CLIMATE FINANCE READY**  
Knowledge Exchange for Climate Finance Readiness

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## Case Studies



**CASE STUDY:** A Community of Practice for current and aspiring National Implementing Entities (NIEs)



**CASE STUDY:** Latin American countries seek practical options for climate finance



**CASE STUDY:** Direct access to the Adaptation Fund – lessons from accrediting NIEs in Jamaica and Senegal

## Recent Research



**REPORT:** Status of climate finance at COP20, Lima



**REPORT:** Reconfiguring urban adaptation finance



**REPORT:** Climate change vulnerability and adaptation preparedness in southern Africa – Zimbabwe country report

## Latest News



**NEWS:** Adaptation Fund & CDKN launch 'Climate Finance Ready'



**NEWS:** 'Zero poverty, zero emissions' event in Lima, Peru to target climate finance readiness



**NEWS:** Adaptation Fund & UNEP kick off Direct Access Climate Finance Readiness seminar in Bangkok



# South-South Cooperation Grants Programme

- Countries without an NIE may apply for a grant to receive support from an existing NIE
- Support in one or more of the following:
  - identifying potential NIE candidates;
  - assisting NIE candidates in the preparation of applications to be submitted to the Fund;
  - providing support and advice during the application process.
- Countries being supported during this first phase:
  - Cabo Verde, Chad, Niger
  - Burundi



# Readiness Programme for Direct Access

## Metamorphosis of core activities into 4 main components

### 1. Support to accredited IEs

- TA grants to 6 NIEs (US\$ 118,000) – 2 in Latin America
  - ❖ TA for ESP and Gender – 25k
  - ❖ TA for Gender – 10k
  - ❖ Project Formulation Assistance (PFA) – 15k
  
- Peer-peer learning
  - ❖ COP 21 side event: 4 NIEs (Fundecooperacion – Costa Rica; MCT – Micronesia; NABARD – India; and SANBI – South Africa) discussed their experiences with direct access, and shared success stories and lessons learned from the accreditation process as well as preparation of climate change adaptation project proposals and implementation of approved projects on the ground.
  - ❖ Climate finance readiness seminar for national implementing entities: Annual event in Washington DC. 2016 Dates are 13-15 July.
  - ❖ Climate finance readiness workshop to be held in Mumbai, India and co-hosted by NABARD and UNEP, 23-25 Aug and Rabbat, Morocco, 6-8 Sept 2016.
  - ❖ Climate finance webinar #2: held on 28 April, NIEs discussed the topic of knowledge management. Next webinar 26 October 2016.





# Readiness Programme for Direct Access

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- Policies and Guidance documents

- ❖ ESP guidance document
- ❖ Gender Policy
- ❖ Knowledge Management Strategy

## 2. Cooperation/Partnership with climate finance readiness providers

- ❖ Building community of practice
- ❖ Joint seminars
- ❖ AFBsec and GCFsec collaboration



## National Implementing Entities



CLIMATE FOCUS

## Bilateral, Multilateral Organizations



THE WORLD BANK

Working for a World Free of Poverty



United Nations Framework Convention on Climate Change



KOICA  
Korea International Cooperation Agency



## NGOs, Foundations, and Private Sector Entities



The Nature Conservancy  
Protecting nature. Preserving life.



CONSERVATION INTERNATIONAL



HEINRICH BÖLL STIFTUNG

## Global Funds



GCF  
Green Climate Fund

Central American Bank for Economic Integration



CABEI

WORLD RESOURCES INSTITUTE

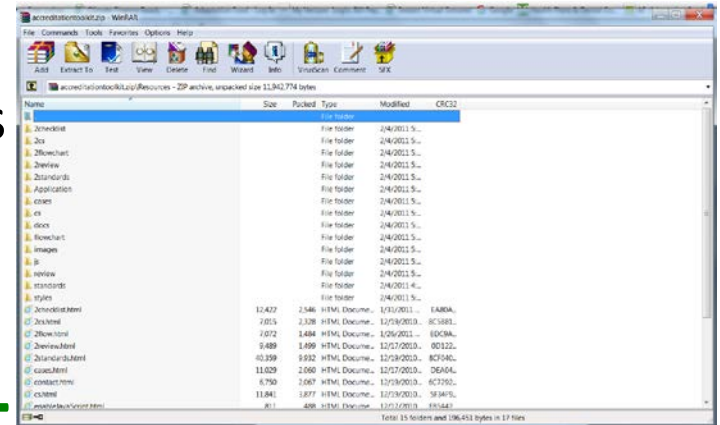


ICF  
INTERNATIONAL

# Readiness Programme for Direct Access

### 3. Support to countries seeking accreditation

- S-S cooperation grants to 5 countries through 2 NIEs (US\$ 242,347)
- Climate finance readiness seminars
- Tools and guidance documents
  - ❖ Accreditation toolkit and manual
  - ❖ Guidelines for selection of NIE



## 4. Knowledge management

- 4 NIE adaptation stories published
- Climate Finance Ready microsite
- Contribution to secretariat KM strategy



# Programme Performance/Achievements

- **Increased NIE/RIE accreditation**

- Cumulative increase of 9 IEs (7 NIEs + 2 RIEs) accredited since launch (excludes MIEs).
- Endorsements for new DAs in 8 countries
- 1 NIE re-accredited and 2 more have submitted applications for re-accreditation which are currently under review.

- **Increased NIE project proposals**

- 21 project proposals submitted for AFB.26, 10 from NIEs.
- 21 project proposals submitted for AFB.27, 7 from NIEs.
- LAC: 14 currently approved concrete projects across 13 countries and another five endorsed projects that aren't yet fully developed





# Programme Performance cont'd...

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- **Increased partnerships built**

- Co-hosting and organizing readiness seminars includes the Development Bank of Latin America (CAF), and the National Environment Authority of Panama (ANAM),
- Additional partners who have invited the secretariat and the Fund's IEs to their events, include the GEF, the IADB, the Nature Conservancy, GIZ, CI, the UNFCCC secretariat, CIF, the GCF, and the World Bank's Climate Change Group
- Roster of experts on environmental and social policy available on Fund's website.



# Programme Performance cont'd...

- **Increased visibility and thought leadership positioning**
  - Readiness programme has helped increase the visibility of the Fund, and positioned it as a thought leader in climate finance readiness.
  - Informal requests received for information on its experiences with project development and pre-accreditation work with countries from other funds e.g. GCF, and from regional bodies such as COMESA.
- **Acknowledgement by CMP through Decision 1/CMP.11**
  - Recognition by the CMP at COP 21. Decision 1/CMP.11;
    - ✓ notes the approval of Phase II and the approval of South–South cooperation grants to 4 countries totalling US\$ 244,447; and
    - ✓ notes the efforts of the Adaptation Fund Board secretariat to organize workshops for NIEs



# Lesson: Readiness support is needed

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- Common challenges:
  - Selection of an appropriate entity for accreditation (due diligence when reviewing existing institutional capacity)
  - Understanding of and competence in fiduciary standards
  - Lack of appropriate documentation of certain operations or policies
  - Human resource constraints
  - Sometimes experience limited to handling smaller projects
- Those building countries' readiness should be up-to-date
- South-South cooperation and peer to peer learning should be encouraged



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# Thank You!

Adaptation Fund.org

<https://www.adaptation-fund.org/readiness/>

Climate finance Ready

<https://climatefinanceready.org/>

