



ADAPTATION FUND

AFB/EFC.19/11
13 September 2016

Ethics and Finance Committee
Nineteenth Meeting
Bonn, Germany, 4-5 October 2016

RECONCILIATION OF THE ADMINISTRATIVE BUDGETS OF THE BOARD AND THE SECRETARIAT, AND THE TRUSTEE

Table1: Approved FY16 budget, actual FY16 and approved FY17 budget of the Board and the secretariat, and of the Trustee

All amounts in US\$		Approved FY16	Actual FY16		Approved FY17
BOARD AND SECRETARIAT					
01	Personnel	1,704,295	1,446,590		1,825,247
02	Travel	434,000	256,753		548,000
03	General operations	345,870	372,925		306,090
04	Meetings	340,000	125,180		363,000
Sub-total secretariat administrative services (a)		2,824,165	2,201,448		3,042,337
05	Overall evaluation (b)	200,000	5,801		400,000
06	Accreditation (c)	460,000	226,230		464,000
Sub-total secretariat (a), (b) and (c)		3,484,165	2,433,479		3,906,337
07	Readiness Programme (d)	565,000	276,311		616,500
Sub-total secretariat (a) + (b) + (c) + (d)		4,049,165	2,709,790		4,522,837
TRUSTEE					
01	CER Monetization	203,000	203,000		203,000
02	Financial and Program Management	225,000	225,000		225,000
03	Investment Management	115,000	110,000		115,000
04	Accounting and Reporting	56,000	56,000		56,000
05	Legal Services	20,000	20,000		20,000
06	External Audit	50,000	50,000		50,000
Sub-total trustee		669,000	664,000		669,000
GRAND TOTAL ALL COMPONENTS		4,718,165	3,373,790		5,191,837

Table 2: Approved FY16 budget, actual FY16 and approved FY17 budget of the Board and the secretariat (Detailed)

All amounts in US\$		Approved FY16	Actual Year End FY16	Approved FY17
PERSONNEL COMPONENT				
<u>Full-time staff (including benefits):</u>				
01	Senior Program Manager (GH)			
02	Senior Climate Change Specialist (GG)			
03	Senior Climate Change Specialist (GG)			
04	Operations Officer (Accreditation) (GF)			
05	Operations Associate (GD)*			
06	Program Officer (GF)			
07	Operations Analyst - Accreditation (ETC → GE)			
08	Operations Analyst - Project Review and Monitoring (JPA → GE)			
09	Senior Programme Assistant - interim position (GD)			
<u>sub-total AFB staff</u>		1,239,428	1,090,405	1,366,546
<u>GEF staff cross-support (including benefits):</u>				
01	Head of the AFB Secretariat (GJ) - 10%			
02	HR support (GD) - 5%			
03	Review of projects (1@GF) - 6%			
04	Review of projects (5@GG) - 6%			
05	Advisor (GH) - 2%			
<u>sub-total GEF staff</u>		141,867	99,389	148,961
<u>Consultants & others</u>				
01	AFB Secretariat Support (Legal support etc.)	35,000	66,441	49,350
02	Design and Operation of dedicated Web sites	35,000	40,133	36,750
03	Communications Strategy & Knowledge Management	127,000	90,626	145,000
	Results Based Management (RBM)	60,000	46,752	0
05	Environment and social safeguards + gender	35,000	12,844	46,140
06	Accounting support	23,000		24,000
07	IT support	8,000		8,500
<u>sub-total Consultants</u>		323,000	256,796	309,740
SUB-TOTAL PERSONNEL COMPONENT		1,704,295	1,446,590	1,825,247
TRAVEL COMPONENT				
04	Result Based Management (RBM)	0	0	68,000
01	AF Secretariat staff	194,000	158,512	230,000
02	Awareness Raising	60,000	45,020	60,000
03	Board - 24 eligible members	180,000	53,220	190,000
SUB-TOTAL TRAVEL COMPONENT		434,000	256,753	548,000
GENERAL OPERATIONS COMPONENT				
01	Office Space, Equipment and Supplies	250,000	295,027	155,500
02	Support to Chair (communications)	23,870		24,990
03	Publications, Outreach and KM	70,000	75,551	123,500
04	Staff relocation	2,000	2,348	2,100
SUB-TOTAL GENERAL OPERATIONS COMPONENT		345,870	372,925	306,090
MEETINGS COMPONENT				
01	Logistics, interpretation, report writing etc.	240,000	38,586	252,000
02	Translation	100,000	86,594	105,000
02	AFB meeting room rentals	0	0	6,000
SUB-TOTAL MEETINGS COMPONENT		340,000	125,180	363,000
TOTAL ALL COMPONENTS		2,824,165	2,201,448	3,042,337

Table 3: Approved FY16 budget, actual FY16 and approved FY17 budget for the accreditation services (Detailed)

All amounts in US\$		<u>Approved FY16</u>	<u>Actual Year End FY16</u>	<u>Approved FY17</u>
01	Accreditation Panel (fees & conting.)	300,000	152,298	300,000
02	Accreditation Panel/Staff (travel)	160,000	69,131	164,000
03	Accreditation System		4,800	
TOTAL ACCREDITATION		460,000	226,230	464,000

Table 4: Approved FY16 budget, actual FY16 and approved FY17 budget for the overall evaluation of the Fund (Detailed)

All amounts in US\$		<u>Approved FY16</u>	<u>Actual Year End FY16</u>	<u>Approved FY17</u>
01	Overall evaluation	200,000	5,801	400,000
TOTAL OVERALL EVALUATION		200,000	5,801	400,000

Table 5: Approved FY16, actual FY16 and approved FY17 budget for the Readiness Programme (Detailed)

All amounts in US\$		<u>Approved Phase II (FY16)</u>	<u>Actual Year End FY16</u>	<u>Approved FY17</u>
PERSONNEL COMPONENT (Consultants & others)				
01	Secretariat support	40,000	31,868	40,000
02	Web site	15,000	11,400	15,000
03	Knowledge exchange (Communications Strategy)	15,000	16,025	15,000
05	Environmental & Social Safeguard (Fees) and gender	55,000	12,333	60,000
06	Accreditation (Fees)	35,000	7,650	36,000
SUB-TOTAL PERSONNEL COMPONENT		160,000	79,276	166,000
TRAVEL COMPONENT				
01	AF Secretariat staff	85,000	58,333	82,000
02	Meeting participants	265,000	124,274	272,500
03	Board members	3,000		3,000
SUB-TOTAL TRAVEL COMPONENT		353,000	182,606	357,500
GENERAL OPERATIONS COMPONENT				
01	Office Space, Equipment and Supplies	2,000	1,417	7,000
02	Publications, Outreach and KM	20,000	5,468	50,000
SUB-TOTAL GENERAL OPERATIONS COMPONENT		22,000	6,885	57,000
MEETINGS COMPONENT				
01	Logistics	30,000	7,543	36,000
SUB-TOTAL MEETINGS COMPONENT		30,000	7,543	36,000
TOTAL ALL COMPONENTS		565,000	276,311	616,500

Table 6: Approved FY16 budget, actual FY16 and approved FY17 budget of the trustee (Detailed)

Trustee Costs: FY16 approved and Revised			
Trustee Services	FY16 Approved	FY16 Revised	FY17 Approved
Monetization	203,000	203,000	203,000
Financial and Program Management	225,000	225,000	225,000
Investment Management	115,000	110,000	115,000
Accounting and Reporting	56,000	56,000	56,000
Legal Services	20,000	20,000	20,000
External Audit	50,000	50,000	50,000
TOTAL	669,000	664,000	669,000