



ADAPTATION FUND

AFB/PPRC.19/23
26 September 2016

Adaptation Fund Board
Project and Programme Review Committee
Nineteenth meeting
Bonn, Germany, 4-5 October 2016

Agenda Item 8 a)

PROPOSAL FOR BENIN, BURKINA FASO AND NIGER

Background

1. The strategic priorities, policies and guidelines of the Adaptation Fund (the Fund), as well as its operational policies and guidelines include provisions for funding projects and programmes at the regional, i.e. transnational level. However, the Fund has thus far not funded such projects and programmes.

2. The Adaptation Fund Board (the Board), as well as its Project and Programme Review Committee (PPRC) and Ethics and Finance Committee (EFC) considered issues related to regional projects and programmes on a number of occasions between the Board's fourteenth and twenty-first meetings but the Board did not make decisions for the purpose of inviting proposals for such projects. Indeed, in its fourteenth meeting, the Board decided to:

- (c) Request the secretariat to send a letter to any accredited regional implementing entities informing them that they could present a country project/programme but not a regional project/programme until a decision had been taken by the Board, and that they would be provided with further information pursuant to that decision*

(Decision B.14/25 (c))

3. In its eighth meeting in March 2012, the PPRC came up with recommendations on certain definitions related to regional projects and programmes. However, as the subsequent seventeenth Board meeting took a different strategic approach to the overall question of regional projects and programmes, these PPRC recommendations were not included in a Board decision.

4. In its twenty-fourth meeting, the Board heard a presentation from the coordinator of the working group set up by decision B.17/20 and tasked with following up on the issue of regional projects and programmes. She circulated a recommendation prepared by the working group, for the consideration by the Board, and the Board decided:

- (a) To initiate steps to launch a pilot programme on regional projects and programmes, not to exceed US\$ 30 million;*
- (b) That the pilot programme on regional projects and programmes will be outside of the consideration of the 50 per cent cap on multilateral implementing entities (MIEs) and the country cap;*
- (c) That regional implementing entities (RIEs) and MIEs that partner with national implementing entities (NIEs) or other national institutions would be eligible for this pilot programme, and*
- (d) To request the secretariat to prepare for the consideration of the Board, before the twenty-fifth meeting of the Board or intersessionally, under the guidance of the working group set up under decision B.17/20, a proposal for such a pilot programme based on consultations with contributors, MIEs, RIEs, the Adaptation Committee, the Climate Technology Centre and Network (CTCN), the Least Developed Countries Expert Group (LEG), and other relevant bodies, as appropriate, and in that proposal make a recommendation on possible options*

on approaches, procedures and priority areas for the implementation of the pilot programme.

(Decision B.24/30)

5. The proposal requested under (d) of the decision above was prepared by the secretariat and submitted to the Board in its twenty-fifth meeting, and the Board decided to:

- (a) Approve the pilot programme on regional projects and programmes, as contained in document AFB/B.25/6/Rev.2;*
- (b) Set a cap of US\$ 30 million for the programme;*
- (c) Request the secretariat to issue a call for regional project and programme proposals for consideration by the Board in its twenty-sixth meeting; and*
- (d) Request the secretariat to continue discussions with the Climate Technology Center and Network (CTCN) towards operationalizing, during the implementation of the pilot programme on regional projects and programmes, the Synergy Option 2 on knowledge management proposed by CTCN and included in Annex III of the document AFB/B.25/6/Rev.2.*

(Decision B.25/28)

6. Based on the Board Decision B.25/28, the first call for regional project and programme proposals was issued and an invitation letter to eligible Parties to submit project and programme proposals to the Fund was sent out on 5 May 2015.

7. According to the Board Decision B.12/10, a project or programme proposal needs to be received by the secretariat no less than nine weeks before a Board meeting, in order to be considered by the Board in that meeting.

8. The following project pre-concept document titled “Integration of Climate Change Mitigation and Adaptation Measures in the Concerted Management of the W Transboundary Parc: Adapt-W Project” was submitted by the Sahara and Sahel Observatory (OSS), which is a Regional Implementing Entity of the Adaptation Fund.

84. This is the first submission of the regional project pre-concept. It was received by the secretariat in time to be considered in the twenty-eighth Board meeting. The secretariat carried out a technical review of the project proposal, with the diary number AFR/RIE/DRR/2016/1, and completed a review sheet.

9. In accordance with a request to the secretariat made by the Board in its 10th meeting, the secretariat shared this review sheet with OSS, and offered it the opportunity of providing responses before the review sheet was sent to the PPRC.

10. The secretariat is submitting to the PPRC the summary and, pursuant to decision B.17/15, the final technical review of the project, both prepared by the secretariat, along with the final submission of the proposal in the following section. In accordance with decision B.25/15, the proposal is submitted with changes between the initial submission and the revised version highlighted.

Project Summary

Benin, Burkina Faso and Niger – Integration of Climate Change Mitigation and Adaptation Measures in the Concerted Management of the W Transboundary Parc: Adapt-W Project

Implementing Entity: OSS

Project/Programme Execution Cost: US\$ 350,000

Total Project/Programme Cost: US\$ 4,650,000

Implementing Fee: US\$ 350,000

Financing Requested: US\$ 5,000,000

Project Background and Context:

The pre-concept proposes a project in the W Park in Benin, Burkina Faso and Niger that intends to strengthen the resilience of ecosystems and to improve the W-Arly-Pendjari (WAP) Complex populations' livelihoods facing climate change through the establishment of a Multi-Risk Early Warning System (MREWS) and the implementation of other concrete adaptation measures. The project would also allow to consolidate synergy between the three beneficiary countries with a view to enhance the sustainable and participatory management of the Complex natural resources as well as resolving conflicts between the different users.

Component 1: Design and implementation of a climate change related disasters Multi-Risk Early Warning System (drought, floods, locust invasions, fires and land use change) (US\$ 1,500,000)

In this component, regional Early Warning System to monitor the WAP Complex risks and vulnerability would be designed. It would consist of the following parts: a) Observation and detection of the occurrence of catastrophes (hazard) due to climate by integrating information gathered from: i) national and local climate data (weather stations); ii) satellite imagery processing and iii) local observations provided by local communities. This component would generate warning messages about extreme weather events: droughts, floods, fires and locust invasion. b) Assessment of risk levels and development of specific detected phenomena alerts to the exposed populations and ecosystems. Messages produced would be suitable for various information channels. c) Dissemination of near/real-time alert messages, reliable and understandable by the authorities and the population. The messages would be spread through various communication adapted channels, in particular: regional web platform, mobile application, SMS, local radio and warning bulletin. d) Preparing and training local communities on the use of alerts and the deployment of an emergency plan (actions) to reduce potential impact on lives and livelihoods

Component 2: Integrating climate change aspects and emergency plan (MREWS) into the Park Management Plans at regional and national levels (US\$ 300,000)

This component would entail updating revised development and management plans of the WAP Complex, integrating climate aspects and having them adopted by the three beneficiary countries. These plans would contain: a) Identification of Climate Change Adaptation measures taking into account disasters response plans. b) Action plan for implementation of the concrete adaptation measures. c) Setting up jointly by the three countries emergency plans for disaster responses.

Component 3: Improving the resilience of ecosystems and livelihoods of neighboring and user populations through the implementation of concrete adaptation actions (US\$ 2,200,000)

In this component, concrete pilot adaptation actions would be implemented in accordance with the updated development and management plan, in specific sites. These actions would be as follows: a) Rehabilitation and protection measures of ecosystems (reforestation, rectification river banks, etc.). b) Adaptation and protection works against extreme events (paths and crossings, drinkers, flood control structures, livestock market, etc.).c) Actions and Infrastructure to improve income sources (Breeding improvement center etc.).

Component 4: Sensitizing, strengthening and capacity building on previous experiences for a concerted, integrated and sustainable management of the WAP Complex (US\$ 300,000)

This component would focus develop and implement a communication strategy on the use of project outputs (MREWS, MP & infrastructures). It would consider the gender and vulnerable group dimensions and will cover the following aspects: Training of practitioners and technicians on the project's technical aspects (data collection, indicators production, adaptation measures testing and EWS use). Activities would include: a) Elaborating adapted communication tools for better dissemination of information to different categories of actors. b) Establishment of an online exchange platform to share knowledge and warning messages. c) Setting up a consultation mechanism and legal frameworks to support early warnings.



ADAPTATION FUND

ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: Pre-Concept for a Regional Project

Countries/Region:	Benin, Burkina Faso, Niger		
Project Title:	Integration of Climate change mitigation and adaptation measures in the concerted management of the W Transboundary Park: ADAPT-W Project		
Thematic focal area:	Disaster risk reduction and early warning systems		
Implementing Entity:	Sahara and Sahel Observatory		
Executing Entities:	Benin: <i>Centre national de gestion des Reserves de Faune</i>; Burkina Faso: <i>La Direction Generale des Eaux et Forets</i>; Niger: <i>La Direction Generale des Eaux, de l'Environnement et Forets</i> and <i>Le Centre National de Suivi Environnemental et Ecologique</i>		
AF Project ID:	AFR/RIE/DRR/2016/1		
IE Project ID:	Requested Financing from Adaptation Fund (US Dollars): 5,000,000		
Reviewer and contact person:	Mikko Ollikainen	Co-reviewer(s): Cyrille Barnerias, Hugo Remaury	
IE Contact Person:	Nabil Ben Khatra		

Review Criteria	Questions	Comments on 22 August 2016	Comments on 12 September 2016
Country Eligibility	1. Are all of the participating countries party to the Kyoto Protocol?	Yes.	
	2. Are all of the participating countries developing countries particularly vulnerable to the adverse effects of climate change?	Yes.	
Project Eligibility	1. Have the designated government authorities for the Adaptation Fund	No. Of the three participating countries, a valid endorsement letter has been only provided for Benin. For Burkina Faso, the letter has been signed by a person	

	from each of the participating countries endorsed the project/programme?	<p>who is not the Designated Authority for Burkina Faso in Adaptation Fund's record. For Niger, no endorsement letter has been provided.</p> <ul style="list-style-type: none"> - Please provide the endorsement letters for the project from the Designated Authorities of Burkina Faso and Niger 	<ul style="list-style-type: none"> - Addressed.
	2. Has the pre-concept provided necessary information on the problem the proposed project/programme is aiming to solve, including both the regional and the country perspective?	<p>No, the problem the project intends to solve should be more clearly identified.</p> <ul style="list-style-type: none"> - Please identify what kind of climate-related threats the project would seek to diminish or against which it would seek to build resilience. 	<ul style="list-style-type: none"> - Addressed.
	3. Have the project/programme objectives, components and financing been clearly explained?	<p>No. There is a project objective outlined on p. 2, referring to strengthening resilience of ecosystems, improving populations' livelihoods through the establishment of an early warning system, and integration of adaptation measures in sustainable management practices (and secondarily referring to the regional and multi-stakeholder approach). However, the project activities as described on pp. 2-3 do not clearly mention activities to strengthen ecosystems resilience, and the activities in general do not seem to be closely related to the objective. Further, the pre-concept, on p. 4, provides a different goal: development and implementation of a concerted and synergistic adaptation</p>	

		<p>strategy to be integrated in the regional plan for the WAP Complex. Also, it is not clear what kind of early warning system (EWS) is planned to be established and for which “climate change related phenomena”, as mentioned under Component 2, and how (part of) the US\$ 1,000,000 budget for that Component 2 would be adequate to set up such a system for three countries.</p> <ul style="list-style-type: none"> - Please more clearly explain the project/programme objectives and components. - Please provide brief information on the specific types of early warning that is planned to be provided, and the tools that would be used to generate the warnings and to communicate them. 	<ul style="list-style-type: none"> - Addressed sufficiently to the pre-concept stage. - Addressed sufficiently to the pre-concept stage. The next phases of the proposal should pay close attention to what is achievable, and the risk of spreading resources too thin over a large number of activities.
	<p>4. Has the project/programme been justified in terms of how:</p> <ul style="list-style-type: none"> - it supports concrete adaptation actions? - it builds added value through the regional approach? - it promotes new and innovative solutions to climate change adaptation? - it is cost-effective? - it is consistent with 	<p>Requires clarification.</p> <ul style="list-style-type: none"> - Most of the proposed activities are knowledge related: assessments, methodologies, plans, training. As noted above, information on the EWS is not clear, so it can be assessed how tangible a system that is planned to be. At the moment, only activity 3.2 appears to clearly contain concrete measures. That activity is only planned to receive part of US\$ 1,500,000, so all in all the project does not appear to be strongly based on concrete adaptation actions. 	<ul style="list-style-type: none"> - Addressed sufficiently to the pre-concept stage. Subsequent phases of the proposal could further enhance the focus on concrete adaptation activities.

	<p>applicable strategies and plans?</p> <ul style="list-style-type: none"> - it incorporates learning and knowledge management? - it will be developed through a consultative process with particular reference to vulnerable groups, including gender considerations, in compliance with the Environmental and Social Policy of the Adaptation Fund? - it will take into account sustainability? 	<ul style="list-style-type: none"> - The proposal is planned to take place in a transboundary park but the reasons and benefits of the transboundary approach are not clear. - The pre-concept doesn't show clearly how the project would promote innovative solutions. - Based on the information provided in the pre-concept, it is not clear how well the project would be focused on delivering results in a cost-effective manner. - The project would feed into a sub-regional action plan for reducing vulnerability to climate change. The pre-concept doesn't indicate if the project would be consistent with national strategies or plans. - Women and farmers are cited as part of the groups that would be consulted in the preparation phase. Please indicate if there are any plans to consult other vulnerable groups such as indigenous groups or CSOs. - The project's sustainability, in 	<ul style="list-style-type: none"> - Addressed sufficiently to the pre-concept stage. The next phases of the proposals could strengthen the transboundary approach. - Not fully addressed. The proposal explains that innovation would be promoted through the involvement of specific stakeholder groups but does not explain what those innovative solutions are. This could be strengthened in future phases of the proposal. - Not fully addressed. The revised proposal approached this question through one component while cost-effectiveness should be viewed at the project level. This should be focused on in future phases of the proposal. - Not addressed. The revised pre-concept has not clarified consistence with national strategies or plans. - Partly addressed: indigenous groups will be consulted. - Partly addressed: the future
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		particular how the monitoring system would be managed after the project, is not clearly identified.	phases of the proposal could elaborate on the different aspects of sustainability of the project's outputs.
	5. Does the pre-concept briefly explain which organizations would be involved in the proposed regional project/programme at the regional and national/sub-national level, and how coordination would be arranged? Does it explain how national institutions, and when possible, national implementing entities (NIEs) would be involved as partners in the project?	Organizations at the different levels are identified either in general terms (national level) or precisely. But the coordinating organization is not clearly identified in the part 3. <ul style="list-style-type: none"> - Please briefly clarify the management arrangements of the proposed project. 	<ul style="list-style-type: none"> - Partly addressed. The response sheet submitted together with the proposal explains that the project would be executed by an "independent Project Management Unit to be hosted by OSS and will be in charge of regional coordination". This does not mention the national executing entities that are listed on the name page of the pre-concept. Further, it is not clear what would constitute the "independence" of the PMU vis-à-vis OSS. These would need to be clarified in any future phases of the proposal.
Resource Availability	6. Is the requested project / programme funding within the funding windows of the pilot programme for regional projects/programmes?	Yes, the requested funding is US\$ 5 million.	
	7. Are the administrative costs	Yes. The execution costs and implementation entity management fee	

	(Implementing Entity Management Fee and Project/ Programme Execution Costs) at or below 20 per cent of the total project/programme budget?	<p>have been included in the project components and financing table as lines 6 and 8, respectively. However, it seems that these are duplicated as Component 5, "Project coordination and management". Based on the total requested funding, these are duplicated amounts and not different costs. However, this should be clarified, and Component 5 removed as per Adaptation Fund practice.</p> <ul style="list-style-type: none"> - Please clarify the administrative costs. 	- Addressed.
Eligibility of IE	8. Is the project/programme submitted through an eligible Implementing Entity that has been accredited by the Board?	Yes.	

Technical Summary	<p>The pre-concept note presents a project on the W parc in Benin, Burkina Faso and Niger that intends to build an early warning system to climate change related phenomena, integrate an intervention plan, update the parc management plan and conduct pilot actions.</p> <p>While the initial review acknowledged that the pre-concept had several merits, some areas could be further strengthened:</p> <ul style="list-style-type: none"> - Please provide the endorsement letters for the project from the Designated Authorities of Burkina Faso and Niger - Please identify what kind of climate-related threats the project would seek to diminish or against which it would seek to build resilience. - Please more clearly explain the project/programme objectives and components. - Please provide brief information on the specific types of early warning that is planned to be provided, and the tools that would be used to generate the warnings and to communicate them. - Most of the proposed activities are knowledge related: assessments, methodologies, plans, training. As
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	<p>noted above, information on the EWS is not clear, so it can be assessed how tangible a system that is planned to be. At the moment, only activity 3.2 appears to clearly contain concrete measures. That activity is only planned to receive part of US\$ 1,500,000, so all in all the project does not appear to be strongly based on concrete adaptation actions.</p> <ul style="list-style-type: none"> - The proposal is planned to take place in a transboundary park but the reasons and benefits of the transboundary approach are not clear. - The pre-concept doesn't show clearly how the project would promote innovative solutions. - Based on the information provided in the pre-concept, it is not clear how well the project would be focused on delivering results in a cost-effective manner. - The project would feed into a sub-regional action plan for reducing vulnerability to climate change. The pre-concept doesn't indicate if the project would be consistent with national strategies or plans. - Women and farmers are cited as part of the groups that would be consulted in the preparation phase. Please indicate if there are any plans to consult other vulnerable groups such as indigenous groups or CSOs. - The project's sustainability, in particular how the monitoring system would be managed after the project, is not clearly identified. - Please briefly clarify the management arrangements of the proposed project. - Please clarify the administrative costs. <p>The final technical review finds that the proposal has addressed all identified issues sufficiently to the pre-concept stage. However, when developing the proposal to project concept, the proposal should take into account the following:</p> <ul style="list-style-type: none"> - The proposal should pay close attention to what is achievable, and the risk of spreading resources too thin over a large number of activities; - The proposal should strengthen the focus on concrete adaptation activities and the transboundary approach. - The proposal should further elaborate on the innovative solutions it plans to promote, and the consistence with national strategies and plans, as well as the project's sustainability. - The proposal should further clarify its management arrangements.
Date:	12 September 2016



ADAPTATION FUND

Version: May 2015

PRE-CONCEPT FOR A REGIONAL PROJECT/PROGRAMME

PART I: PROJECT/PROGRAMME INFORMATION

Title of Project/Programme: **INTEGRATION OF CLIMATE CHANGE MITIGATION AND ADAPTATION MEASURES IN THE CONCERTE MANAGEMENT OF THE W TRANSBOUNDARY PARC: ADAPT-W PROJECT**

Countries: Benin, Burkina Faso, Niger

Thematic Focal Area¹: Disaster risk reduction and early warning systems

Type of Implementing Entity: RIE

Implementing Entity: Sahara and Sahel Observatory (OSS)

Executing Entities: Project Management Unit (PMU) Hosted by OSS (Regional coordination), Benin: Benin ; Centre National de Gestion des Réserves de Faune (CENAGREF), Burkina Faso: Faso ; La Direction Générale des Eaux et Forêts (DGEF), Niger: Niger ; La Direction Générale des Eaux, de l'Environnement et Forêts (DGEF) and le Centre National de Suivi Environnemental et Ecologique (CNSEE) - (National Level PMUs)

Amount of Financing Requested: 5 MK\$ (in U.S Dollars Equivalent)

Project / Programme Background and Context:

The W-Arly-Pendjari (WAP) Complex (French « Parc de l'Entente ») is ~~the~~ among the most important set of terrestrial trans-boundary ecosystems in Africa. Shared by Benin, Burkina Faso, and Niger, this network of protected areas (also called « W Trans-boundary Biosphere Reserve (TBR) ») extends over a total area of 31 000 km² to 50 000 km², including riparian areas (43% in Benin, 36% in Burkina Faso and 21% in Niger).

The WAP complex is located in the vicinity of the West African savannahs belts. It displays considerable biological diversity which contributes to the economic development of West Africa. In the peripheral areas of the Complex and at a distance of about 40 km from its protected areas,

¹ Thematic areas are: Food security; Disaster risk reduction and early warning systems; Transboundary water management; Innovation in adaptation finance.

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are found about 350 cities and villages with a total population of one million inhabitants (about 700 000 in Benin, 200 000 in Burkina Faso and less than 100 000 in Niger) that depend essentially on the WAP Complex's natural resources for their living.

The natural resources of the WAP Complex represent a major asset for the local populations whose livelihoods are based mainly on agriculture, livestock breeding, fishery, forest resources (wood and non-wood products), and tourism.

However, the WAP Complex is subject to multiple pressures and threats, mainly conflicts of use, poaching, overgrazing, extension of agricultural lands, transhumance and bush fires, surface water pollution, climate change and variability and finally unsustainable production of wood, non-wood products, and fishery, all these pressures are amplified by CC impacts.

-In addition, the WAP Complex is located in an agro-pastoral region characterized by high inter-annual variability exacerbated by climate change which makes it more vulnerable. The project will target climate phenomena that are directly impacting the WAP Complex's ecosystems and populations in the park and its vicinity.

More specifically, it will focus on:-

- Droughts causing uncontrolled and not mastered movement of pastors.
- Floodings becoming increasingly closely spaced in time that threaten the security of lowland populations and causes the loss of crops grown at Niger River banks.
- Crops ravaging locusts around the park and pushing people to fall back on the use of the Park's resources.
- Uncontrolled bush fires of anthropogenic and natural origin reducing forest areas
- Farmland expansion and land use change at the expense of the Complex area inducing pressures on natural resources and loss of ecosystem services such as carbon sequestration.

This variability is translated in important flows of population migration to more favourable regions nearby the protected areas. Local pastors who have also gradually lost their grazing lands have turned into the Complex reserves looking for fodder resources.

With all these types (anthropogenic and natural) of pressures exerted on the WAP natural resources and the adverse effects of Climate Change CC, the vulnerability of ecosystems and populations is expected to increase and so that the introduction of adaptation measures and resilience enhancement actions has become a must.

Project / Programme Objectives:

The project aims to strengthen the resilience of ecosystems and to improve the WAP Complex populations' livelihoods facing climate change CC through the establishment of an a Mutli-Risk Early Wwarning Ssystem (MREWS) and the integration-implementation of concrete adaptation measures of adaptation measures in sustainable management practices.

The project will also allow to consolidate synergy between the three beneficiary countries with a view to enhancing the sustainable and participatory management of the Complex natural resources as well as resolving conflicts between the different users.

The overall objective will be achieved through these sSpecific objectives:

- Improving the resilience of population through the provision of relevant and timely information on the occurrence of extreme events related to the effects of CC at the WAP complex and surroundings.
- Improving the resilience of populations and ecosystems (fauna and flora) through the development of pilot infrastructure (paths and crossings, drinkers, flood control structures, livestock market, ...).
- Improving strategic reference documents (development and management plans) by mainstreaming CC issues.

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Version: May 2015

- Ensuring the sustainability of coping skills through the mobilization of partners and their training programs to master the developed tools and carried out works.

Project / Programme Components and Financing:

Project/Programme Components	Expected Outcomes	Expected Outputs	Countries	Amount (US\$)
1. Component 1: Design and implementation of a CC-related disasters Multi-Risk Early Warning System (drought, floods, locust invasions, fires and land use change)Establishment of tools for the monitoring of climate change impacts on the WAP Complex natural resources and for ecosystems and populations vulnerability assessment	R.1. Monitoring and vulnerability assessment tools are developed for ecosystems and populations An Early Warning System is used by beneficiaries to handle emergencies	1.1 – A global regional Early Warning System to monitor the WAP Complex risks and vulnerability is designed. This MREWS will be as follows: a- Observation and detection of the occurrence of catastrophes (hazard) due to climate by integrating information gathered from: i) national and local climate data (weather stations); ii) satellite imagery processing and iii) local observations provided by local communities. This component will generate warning messages about extreme weather events: droughts, floods, fires and locust invasion. b- Assessment of risk levels and development of specific detected phenomena alerts to the exposed populations and ecosystems. Messages produced are suitable for various information channels, alerts specific to detected edexisting. c- Dissemination of near/real-time alert messages, reliable and understandable by the authorities and the population. The messages are spread through various communication adapted channels, in particular: regional Web platform, mobile application, SMS, local radio and warning bulletin. d- Preparing and training local communities on the use of alerts and the deployment of an emergency plan (actions) to reduce potential impact on lives and livelihoods. 1.1 – Environmental monitoring tools are developed and used by the concerned actors for the management of the WAP Complex natural resources 1.2 – Risk and vulnerability analysis tools are adopted 1.3 – Bio-physical and socio-economic data are collected in the observatories installed at the WAP Complex and related indicators are produced 1.4 – Indicators produced at the observatories level are monitored and evaluated	Benin Burkina Faso Niger	1 000 000
2. Component 2: Integrating climate change aspects and emergency plan (MREWS) into the Park Management Plans at regional and national levels Development of an early warning system to climate change-related phenomena, integrating an intervention plan (prevention,	R. 2. The climate dimension and its risks as well as the emergency plan are integrated into the complex management plans La climat et ses risques associés ainsi que le plan	2.1 – An updated Revised development and management plans of the WAP Complex, integrating Climate aspects are updated and adopted by the three beneficiary countries. These plans contains: a- Identification of Climate Change Adaptation measures taking into account disasters response plans. b- Action plan for implementation of the concrete adaptation measures c – Emergency Plan for disasters responses are set up jointly by the three countries 2.1 – Environmental monitoring indicators (cf. component 1) are analysed to evaluate risks and vulnerability of WAP communities and ecosystems	Benin Burkina Faso Niger	4 000 000

emergency responses and rehabilitation).	d'urgence sont intégrés dans les plans de gestion du complexe. Early warning system developed to respond to emergencies and for ecosystems rehabilitation	2.2—A unique and adapted early warning system to monitor the WAP Complex risks and vulnerability is designed. 2.3—Early warning reports are disseminated to managers and communities to prevent extreme events. 2.4—Plans for disasters responses are set up jointly by the three countries.				Formatted
3. Component 3: Improving the resilience of ecosystems and livelihoods of neighbouring and user populations through the implementation of concrete adaptation actions. Identification of climate change adaptation measures and update of the Complex management and development plan.	R.3. The resilience of populations and ecosystems (fauna and flora) is improved through concrete adaptation actions. CC-adaptation strategy is elaborated and the Complex management and development plan is updated	3.1—Concrete pilot adaptation actions are implemented in accordance with the updated development and management Plan, in specific sites. These actions are as follows: a. Rehabilitation and protection measures of ecosystems (reforestation, rectification river banks, etc.) Mesures de réhabilitation et de protection des écosystèmes (reboisement, rectification des berges, etc.) b. Adaptation and protection works against extreme events (paths and crossings, drinkers, flood control structures, livestock market, ...). Ouvrages d'adaptation et de protection contre les phénomènes extrêmes (paths and crossings, drinkers, flood control structures, livestock market, ...). c. ***Actions and Infrastructure to improve income sources (Breeding improvement centre d'amélioration...), Infrastructure ***pour l'amélioration des sources de revenus 3.1—CC Adaptation measures taking into account disasters response plans are identified. 3.2—Pilot actions are conducted to evaluate the relevance of adaptation measures. 3.3—Adaptation strategy integrating tested measures are elaborated and integrated into existing development plans 3.4—Development plans updated and validated by the three beneficiary countries 3.5—Action plan for the revised management plans implementation is established and adopted	Benin Burkina Faso Niger	4-2 500 200 000 \$		Formatted: Font: Arial, 9 pt Formatted Formatted Formatted: Left, Space Before: 0 pt, Position: Horizontal: -0.38", Relative to: Margin Formatted: Font: 9 pt Formatted: Font: 9 pt Formatted: Justified, Space Before: 0 pt, Position: Horizontal: -0.38", Relative to: Margin Formatted: Right, Space Before: 0 pt, Position: Horizontal: -0.38", Relative to: Margin Formatted: Font: 9 pt Formatted: Font: Arial, 9 pt, English (United States) Formatted Formatted Formatted
4. Component 4: Sensitizing, strengthening and capacities and building on previous experiences for a concerted, integrated and sustainable management of the WAP Complex. Sensitization, capacity building, and results dissemination for a concerted, integrated and sustainable	R.4 Concerned actors are mobilized and sensitized through Adapted communication and capacity building tools developed to improve exchanges with the different concerned actors	4.1—A communication strategy on the use of project outputs (MREWS, MP & infrastructures) is developed and implemented. Une stratégie de communication sur l'utilisation des acquis du projet (EWS, MP & infrastructures) est développée et mise en œuvre. The communication strategy to be implemented will consider the gender and vulnerable groups dimension and will cover the following aspects: aspects: 4.1—Taking into account the Gender dimension, populations and managers are sensitized about the monitoring and early warning system developed by the project 4.2—Training of Practitioners practitioners and technicians are trained on the project's technical aspects (data collection, indicators production, adaptation measures testing and early warning system EWS use ...) 4.3—Elaborating Adapted-adapted communication tools are elaborated for better dissemination of information to different categories of actors	Benin Burkina Faso Niger	700 300 00 0 \$		Formatted: Font: 9 pt Formatted: Left, Space Before: 0 pt, Position: Horizontal: -0.38", Relative to: Margin Formatted Formatted: Justified, Space Before: 0 pt, Position: Horizontal: -0.38", Relative to: Margin Formatted: Right, Space Before: 0 pt, Position: Horizontal: -0.38", Relative to: Margin Formatted: Font: 9 pt Formatted Formatted Formatted Formatted: Font: 9 pt Formatted: Centered

Version: May 2015

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management-of-the
WAP-Complex.

b4.4 – Establishment of an cOnline exchange platform is
established to share knowledge and warning messages.
c4.5 – Setting up a cConsultation mechanism is set up and
legal frameworks are adopted to support early warnings.

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65. Project/Programme Execution cost

3650 000

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76. Total Project/Programme Cost

4 270300

87. Project/Programme Cycle Management Fee charged by the Implementing Entity (if applicable)

3650 000

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spacing: 1.5 lines

Amount of Financing Requested

5 000 000 \$

5. Component 5:
project coordination
and management

Monitoring of
project
activities and
efficient
management
of budget

5.1 – Project activities are monitored by OSS-Implementing
Entity
5.2 – Project activities are conducted by national partners-
Executing Entities

OSS and
beneficiary
countries

730 000 \$

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~~Project Duration: 4 years / 48 months~~

~~Project Duration: 4 years / 48 months~~

Project Duration: 4 years / 48 months

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PART II: PROJECT / PROGRAMME JUSTIFICATION

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The three concerned countries (Benin, Burkina Faso and Niger) with the support of OSS propose, through the present project request, the development and implementation of a concerted and synergistic adaptation strategy to be integrated in the regional plan for the WAP Complex. This strategy will be supported by pilot concrete adaptation actions and a MREWS.

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The project will complement the development actions already undertaken in the region and will focus on adaptation to climate changeCC. It will strengthen the capacities of the national and sub-regional institutions involved in the management of the WAP Complex and adjacent areas natural resources.

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the same style

This project proposal is built around five-four components combining national, regional and local actions. It is These components and activities to be undertaken are, as shown in the table above:

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i) Design and implementation of a CC-related disasters Multi-Risk Early Warning System (drought, floods, locust invasions, fires and land use change); ii) Integrating climate changeCC aspects and emergency plan (MREWS) into the Park Management Plans at regional and national levels; Integrating climate dimension and emergency plan into the Management Plans and park management at regional and national levels iii) Improving the resilience of ecosystems and livelihoods of neighbouring and user populations through the implementation of concrete adaptation actions, and iv) Sensitizing, strengthening and capacity building on previous experiences for a concerted, integrated and sustainable management of the WAP Complex and y Establishment of tools for the monitoring of climate change impacts on the WAP Complex natural

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resources and for ecosystems and populations vulnerability assessment; ii) Development of an early warning system to climate change related phenomena, integrating an intervention plan (prevention, emergency responses and rehabilitation); iii) Identification of climate change adaptation measures and update of the Complex management and development plan; iv) Sensitization, capacity building, and results dissemination for a concerted, integrated and sustainable management of the WAP Complex; v) project coordination and management. Activities to be undertaken revolve around i) environmental monitoring, ii) vulnerability assessment, iii) development of early warning systems and iv) development of adapted communication tools and capacity building. The activities are already detailed in the table above.

The project component 3 activities, will include concrete adaptation actions to be conducted in field with a view to reducing communities' vulnerability to climate changeCC. The fund to be granted will be used for in the implementation of the emergency plan measures and for actions aiming at diversifying livelihoods, setting up structures for resources mobilization and preservation, encouraging recourse to the use of renewable energy, raising awareness (for populations, managers and technicians) at the level of the WAP Complex in terms of good-best management practices for of natural resources. In fact,

management practices...

A better protection and concerted management of natural resources will be possible through the implementation of new updated management plans (regional and national) integrating climate changeCC aspects and adapted to the specificities of the WAP Complex and of each country while reducing risks of conflicts. The project will also allow to build on the local know-how of the three beneficiary countries and will offer a regional platform for the exchange of experiences and effective natural management practices. These conditions will help to preserve the Complex's ecosystems and hence to improve the livelihoods of its local populations. The Multi-Risk early Early warning-Warning system-System and the adaptation measures to be developed under this project will generate successful lessons and ensure the validation of best practices to be documented and replicated in other areas.

In the framework of this project A regional approach will be adopted for the implementation of the project. This will allow a holistic treatment of major themes at the Complex level while taking into account the different particularities of each country. Indeed ecosystems (biotic communities, migratory wildlife, rivers and streams) and user populations (transhumant pastoralists, fishermen, etc.) directly affected by extreme weather events are transboundary. Regional, harmonized and common approach is thus needed to resolve border problems. Besides it will help maximizing maximize learning of lessons learning and sharing among the concerned actors and stakeholders.

The project will promote innovative solutions and new tools adapted to the regional context, it will use remote sensing and new communication technologies (as mentioned above in the table). These technologies will be used to develop the MREWS tools and messages broadcast. In addition, it will use existing linkages with relevant national level sectors and other regional forums to share lessons and policy recommendations. Also, face-to-face interactions during regional workshops and meetings will be facilitated. Furthermore, promoting innovative solutions will be through the involvement of different stakeholders and target groups (youth, women, private, NGOs, civil society and researchers) in the various activities of the project (design and involvement in system alert, updating management plan, the execution of works). This approach will foster ownership of the project and ensure its sustainability achievements.

The project activities will be designed in alignment with national and regional strategies, the expected results of the present project will help to improve the WAP management and development plan elaborated within the framework of the ECOPAS/W regional program ("Protected Ecosystems in Sahelian Africa"). The project results will also feed into the sub-regional action plan for reducing vulnerability to climate changeCC (PASR-RV-AO) adopted in 2010 by the Heads of States of the Economic Community of West African States (ECOWAS).

This issue will be conducted in a participatory manner in order to be in adequacy with the national and sub-regional development plans and strategies. ~~In fine, the project will develop a regional strategy coupled with an early warning system that could be extended to a larger scale.~~

~~This project will develop new tools adapted to the regional context using new communication tools for sharing successful experiences and lessons learned. In addition, it will also utilize existing linkages with relevant national level sectors and other regional forums to share lessons and policy recommendations. Also, face to face interactions through regional workshops and meetings will be facilitated.~~

The Project will allocate about ~~US\$~~ ~~US\$12.500~~ ~~200.000~~ ~~US\$~~ to **Component 3** for the implementation of concrete ~~pilot~~ adaptation ~~strategies~~ ~~actions~~ for resilient and sustained ~~W~~ ~~PARC~~ ~~Complex~~ ~~W~~ ecosystems. The benefits of these actions ~~would~~ ~~will~~ include improved economic productivity and better livelihoods.

~~This Component is the most important as it will ensure the introduction of concrete CC adaptation action in the WAP Complex, which will also allow reducing loss of incomes due to extreme events occurrence. In addition, US\$~~ ~~US\$1.000~~ ~~500.000~~ ~~US\$~~ will be allocated to **Component 2-1** for the establishment of an ~~Early Warning System~~ ~~MREWS~~ to natural disasters and ~~US\$~~ ~~US\$1.0300.000~~ ~~US\$~~ for the ~~integration of climate dimension and emergency plan into the complex development and management plan within the Component 2~~ ~~development of Environmental monitoring tools.~~ Furthermore, ~~US\$~~ ~~US\$700~~ ~~300.000~~ ~~US\$~~ will be allocated to **Component 4**, for strengthening the capacities of institutions and communities and for knowledge management. ~~Finally, the project will provide an opportunity for networking with various stakeholders concerned with climate change adaptation, environment and development planning to ensure more effective and enhanced knowledge sharing.~~ ~~will~~ ~~In addition, the regional approach will enhance cost effectiveness of capacity development as well as ensuring a certain level of generic scope of tools and processes developed for future application beyond the target sites and countries. Finally, the project will provide an opportunity for networking with various stakeholders concerned concerned with climate change~~ ~~CC~~ ~~adaptation, environment and development planning to ensure more effective and enhanced knowledge sharing.~~

The project **preparation** will be carried out through **four steps** involving different levels of intervention: regional, national and local: **i)** identification and definition of stakeholders and beneficiaries; **ii)** exchanges between OSS, as an implementing entity, and the ~~identified~~ **identified** national partners ~~identified~~; **iii)** consultation for preliminary surveys aimed at local actors (farmers, ~~and~~ ~~and other users~~ ~~vulnerable groups as indigenous peoples, etc..~~) and **iv)** regional workshop bringing together the different stakeholders will be organized to validate the project document that should reflect a common vision.

The project sustainability will be maintained thanks to the commitment of some of the key stakeholders targeted for capacity building including extension services staff from various categories such as forestry, agriculture, pastoralism, water, environment, and community development. After having mastered the tools elaborated in the framework of the project through training sessions, the technicians will be able to manage all the achievements even after the end of the project. In addition to the ownership of the tools the project will implement innovative solutions that will generate financial benefits that will be used to sustain the monitoring activities (eg. access fees, breed improvement center, ...).

The present project is classified under category B in accordance with the Social and Environmental Policy of the Adaptation Fund. A social and environmental assessment will be conducted as a preliminary step to the submission of the project request.

PART III: IMPLEMENTATION ARRANGEMENTS

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The project will involve ~~both~~ regional, national and local stakeholders.

- **At the local level:** ~~An important component in T~~he ~~development and implementation of the~~ project ~~implementation~~ will require the mobilization of populations and other local ~~collectivities~~ ~~authorities~~, as well as associations, NGOs, villages, women's cooperatives etc.
 - **At the national level:** The project will be implemented by national executing entities that will be mandated in consultation with the Ministries in charge of Environment in the three beneficiary countries (Benin: The Ministry of Environment in charge of ~~climate~~ ~~C~~limate ~~change~~ ~~Change management~~ ~~Management~~, ~~reforestation~~ ~~Reforestation~~ and ~~forest~~ ~~Forest~~ and ~~natural~~ ~~Natural resources~~ ~~Resources protection~~ ~~Protection~~ (MEGCCRPRNF) through the CENAGREF (Centre National de Gestion des Réserves de Faune), Burkina Faso: the Ministry of Environment, Green Economy and ~~climate~~ ~~C~~limate ~~change~~ ~~Change~~ through the Directorate General of Water Resources and Forests (DGEF), Niger: the Ministry of Environment and ~~sustainable~~ ~~Sustainable development~~ ~~Development~~ through the National Center for Ecological and Environmental Monitoring (CNSEE) and Directorate General of Water Environment and Forests (DGEF).
 - **At the regional level:** The project will be implemented by the Sahara and Sahel Observatory (OSS) who will serve as Regional Implementing Entity (RIE) and will be in charge of all financial, monitoring and reporting aspects to the Adaptation Fund. ~~The project will be~~ ~~executed by à~~ ~~a~~ ~~Project Management Unit to be hosted by OSS.~~
- Other regional organizations active in the region will also be involved, in particular as part of the project Steering Committee (CILSS, ECOWAS, and UEMOA).

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PART IV: ENDORSEMENT BY GOVERNMENTS AND CERTIFICATION BY THE IMPLEMENTING ENTITY

A. Record of endorsement on behalf of the government²³

Mr. Nazaire THIOMBIANO General Director for Cooperation <i>Ministry of Economy, and Finance and Development</i> <i>(BURKINA FASO)</i>	Date: 04/20/2016
Mr. Ibila DJIBRIL General Director of Climate Change Management <i>Ministry of Environment in charge of climate change management, reforestation and forest and natural resources protection (MECGCCPRNF)</i> <i>(BENIN)</i>	Date: (Month, day, year) 07/31/2016 Ongoing
Dr. Kamaye Maazou Executive Secretary of the National Council of the Environment for Sustainable Development <i>Ministry of Environment and Sustainable Development</i> <i>(NIGER)</i>	Date: (Month, day, year) 07/16/2016 Ongoing

B. Implementing Entity certification

I certify that this proposal has been prepared in accordance with the guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans (PANA, ECOPAS, PAPE, PAGAP.) and subject to the approval by the Adaptation Fund Board, commit to implementing the project/programme in compliance with the Environmental and Social Policy of the Adaptation Fund and to the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project/programme.

Mr. Khatim Kherraz – Executive Secretary of the Sahara and Sahel Observatory (RIE)
Implementing Entity Coordinator

Date: ~~0208/2908~~/2016

Tel. and email: (+216) 71 206 ~~633~~ -- ~~633~~ --
boc@oss.org.tn

² Each Party shall designate and communicate to the secretariat the authority that will endorse on behalf of the national government the projects and programmes proposed by the implementing entities.

~~Thematic areas are: Food security; Disaster risk reduction and early warning systems; Transboundary water management; Innovation in adaptation finance.~~
~~ammes proposed by the implementing entities.~~

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Project Contact Person: <i>Nabil Ben Khatra</i>	
Tel. And Email: (+216) 71 206 639633 ; nabil.benkhatra@oss.org.tn	

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MINISTERE DE L'ECONOMIE, DES FINANCES
ET DU DEVELOPPEMENT

SECRETARIAT GENERAL

DIRECTION GENERALE
DE LA COOPERATION

BURKINA FASO

Unité – Progrès - Justice

Ouagadougou, le 20 AVR 2016

N° 2016 0640 /MINEFID/SG/DG-COOP/DPPP/tn

Le Directeur Général a.i

to:

The Adaptation Fund Board
c/o Adaptation Fund Board Secretariat
Email: Secretariat@Adaptation-Fund.org
Fax: 202 522 3240/5

Subject: Endorsement for the regional project proposal « Integration climate change adaptation measures into the concerted management of the transboundary WAP Park (*Intégration des mesures d'adaptation et d'atténuation aux changements climatiques dans la gestion concertée du parc transfrontalier de l'entente : projet ADAPT – W*) » with a total budget of 5 millions USD.

In my capacity as designated authority for the Adaptation Fund in Burkina Faso, I confirm that the above or regional project proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in the country.

Accordingly, I am pleased to endorse the above project proposal with support from the Adaptation Fund. If approved, the project will be implemented by OSS and executed by the national institution of Burkina Faso: **“Direction des forêts du Ministère de l'Environnement, de l'Economie Verte et du Changement Climatique”** in cooperation with the respective national institutions of Niger and Benin.

Sincerely,



Mr Nazaire THIOMBIANO
Chevalier de l'Ordre du Mérite Burkinabè





ADAPTATION FUND



REPUBLIQUE DU BENIN

FRATERNITE - JUSTICE - TRAVAIL

MINISTRE DU CADRE DE VIE ET DU DEVELOPPEMENT DURABLE

DIRECTION GENERALE DES CHANGEMENTS CLIMATIQUES

Letter of Endorsement by Benin Government

Cotonou, the 31 July 2016

To: The Adaptation Fund Board
c/o Adaptation Fund Board Secretariat
Email: Secretariat@Adaptation-Fund.org
Fax: 202 522 3240/5

Subject: Endorsement for the project proposal « *intégration des mesures d'adaptation et d'atténuation aux changements climatiques dans la gestion concertée du parc transfrontalier de l'entente : projet ADAPT – W* ».

In my capacity as designated authority for the Adaptation Fund in Benin, I confirm that the above or regional project proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in the country.

Accordingly, I am pleased to endorse the above project proposal with support from the Adaptation Fund. If approved, the project will be implemented by OSS and executed by the institution "Centre National de Gestion des Réserves de Faune (CENAGREF)".

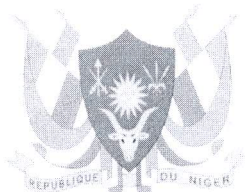
Sincerely,

Autorité Nationale Désignée du
Fonds d'Adaptation,

Mr. Ibila DJIBRIL

Letter of Endorsement by Government

REPUBLIQUE DU NIGER



Fraternité - Travail - Progrès

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CABINET DU PREMIER MINISTRE

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CONSEIL NATIONAL DE L'ENVIRONNEMENT POUR UN DEVELOPPEMENT DURABLE

SECRETARIAT EXECUTIF

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July 16, 2016

To: The Adaptation Fund Board
c/o Adaptation Fund Board Secretariat
Email: Secretariat@Adaptation-Fund.org
Fax: 202 522 3240/5

Subject: Endorsement for the project proposal « *intégration des mesures d'adaptation et d'atténuation aux changements climatiques dans la gestion concertée du parc transfrontalier de l'entente : projet ADAPT – W* »

In my capacity as designated authority for the Adaptation Fund in Niger, I confirm that the above or regional project proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in the country.

Accordingly, I am pleased to endorse the above project proposal with support from the Adaptation Fund. If approved, the project will be implemented by OSS and executed by "Direction Générale des Eaux et Forêts (DGEF) and Centre National de Suivi Environnemental et Ecologique (CNSEE)".

Sincerely,

Dr. Kamayé Maâzou

Secrétaire Exécutif du

Conseil National de l'Environnement
pour un Développement Durable

