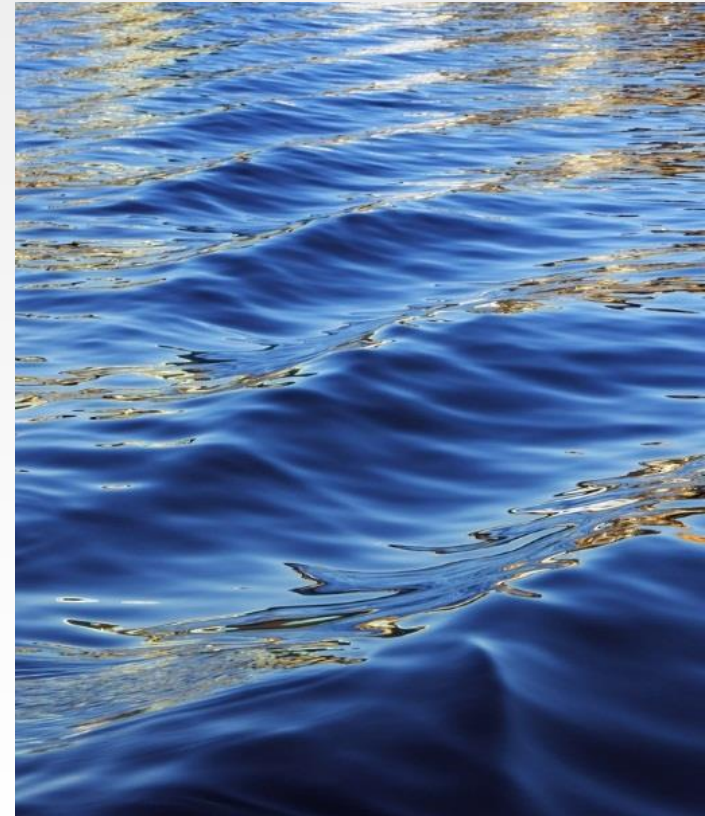




## ADAPTATION FUND

# Management of Environmental, Social and Gender risks in adaptation projects

Dr Dirk Lamberts  
27 October 2016



# IMPLEMENTING THE ESP

2

## Overall

- Same ESP requirements for AFB as for NIEs
- AFB Secretariat ❤️ NIEs
- Tool: application form + any annexes
  - Evidence-based
  - Comprehensive
  - Unknown/unidentified activities, programme/Unidentified Sub-Projects (USP) approach → need for a mechanism to identify risks during implementation as an element of the ESMP
  - ESMP: structure, roles and responsibilities

# IMPLEMENTING THE ESP

3

## Common issues and problems with *ESP* compliance in project/programme applications

- Unsubstantiated (lack of) risk findings: when conclusion is not obvious, explain.
- Risk management must be comprehensive: all activities, all locations, all stakeholders, all risks (direct, indirect, secondary, cumulative), by ESP principles
- When risks are identified, an ESMP is required: practical, pragmatic, effective, transparent (don't be afraid)
- Link between project/programme-level environmental and social safeguarding measures and the IE's Environmental and Social Management System, how will IE's capability (→ accreditation process) be applied to this project/programme?

# IMPLEMENTING THE ESP

4

## Common issues and problems with *ESP* compliance in project/programme applications (ctd)

- Difficulties in risk assessment and ensuing categorisation (often reverse)
- Inconsistencies in application documents
- Too much/irrelevant information in the application documents (e.g. on national safeguard requirements)

# IMPLEMENTING THE ESP

5

## Common issues and problems *with applying the 15 principles* in project/programme applications

- Unsubstantiated claims regarding risks
- No information on the processes that were used to formulate the project/programme
- Information included inadequate
- Insufficiently or not evidence-based
- Lack of consultation

# THE GENDER POLICY

6

## What is the Gender Policy?

- An Operational Policy and Guideline (OPG), determining the modalities for financing by the Fund
- AF Board adopted in March 2016
- New OPG, operationalisation with tiered introduction
- Has implications for all actors involved: AF Board, AFB Secretariat, AFB panels, Designated Authorities, Implementing Entities, Executing Entities and ultimately beneficiaries

# THE GENDER POLICY

7

## Link with AF Environmental and Social Policy

- ▶ The Gender Policy (GP) builds on the ESP, in particular its **risk** principles on
  - ▶ Access and Equity
  - ▶ Marginalised and vulnerable groups
  - ▶ Human rights
- ▶ The GP expands the ESP principle of Gender equity and women's empowerment' to **promote** gender equality: equal rights, responsibilities and opportunities and access of women and men; equal consideration of their respective interests, needs and priorities

# THE GENDER POLICY

8

## Gender Policy in project/programme proposals

- Comprehensive
- Specific gender elements in the design of its adaptation activities and expected outputs.
- Initial gender assessment
  - Selecting gender-responsive indicators
  - Designing gender-responsive implementation and monitoring arrangements
- Screening of proposals for gender responsiveness by AFS and partners
- Consultation of stakeholders in a gender-equal way
- Guidance document (March 2017)



# THE GENDER POLICY

9

## GP-related changes to application form:

### Justification

- **II.A: Description.** include, as appropriate, specific gender elements in the design of its adaptation activities and expected outputs.
- **II.B: Benefits.** initial gender analysis and assessment to establish a baseline against which results of gender responsive approaches and actions can be measured.
- **II.H: Consultation.** Gender-responsive.

# THE GENDER POLICY

10

## GP-related changes to application form:

- ▶ **II.K: ESP and GP.** elaborate on the gender-specific cultural and/or legal context in which the project/programme will operate.

# THE GENDER POLICY

11

## GP-related changes to application form: Implementation arrangements

- ▶ **III.A: Adequacy.** implementation arrangements should incorporate gender-responsive elements as appropriate.
- ▶ **III.C: E&S risk management.** assessing gender impacts and risks, in compliance with the Gender Policy of the Fund.
- ▶ **III.E: Results framework.** indicators and targets should be gender sensitive and disaggregated by sex as appropriate.

# THE GENDER POLICY

12

## GP-related changes to application form:

### Implementation arrangements

- ▶ **III.G: Budget.** ensure that adequate resources are allocated in the project/programme budget for gender-responsive implementation

# IMPLEMENTING THE ESP AND GP

13

## Recommendations:

- Ensure risk identification and impact assessments are comprehensive, as well as the management response
- For projects/programmes with unidentified sub-projects or activities, the risk identification mechanism must be included in the ESMP, which is mandatory for such projects/programmes
- An ESMP needs a clear structure and a clear allocation of roles and responsibilities.
- The link between the environmental and social safeguard measures of a project/programme and the Environmental and Social Management System (ESMS) of the IE must be clear.



**ADAPTATION FUND**

[www.adaptation-fund.org/](http://www.adaptation-fund.org/)  
@adaptationfund

