

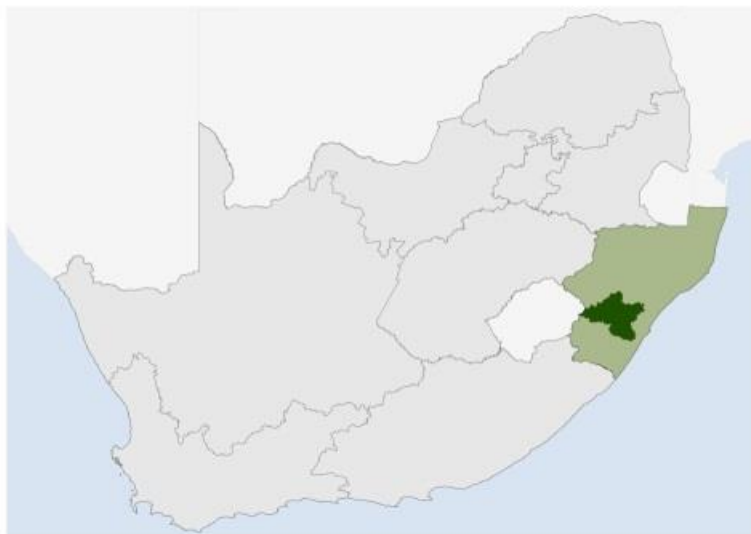


SANBI's approach to Management of Environmental and Social Risks and Gender Risks in Adaptation Fund projects

Mike Jennings, NIE Programme Manager, SANBI

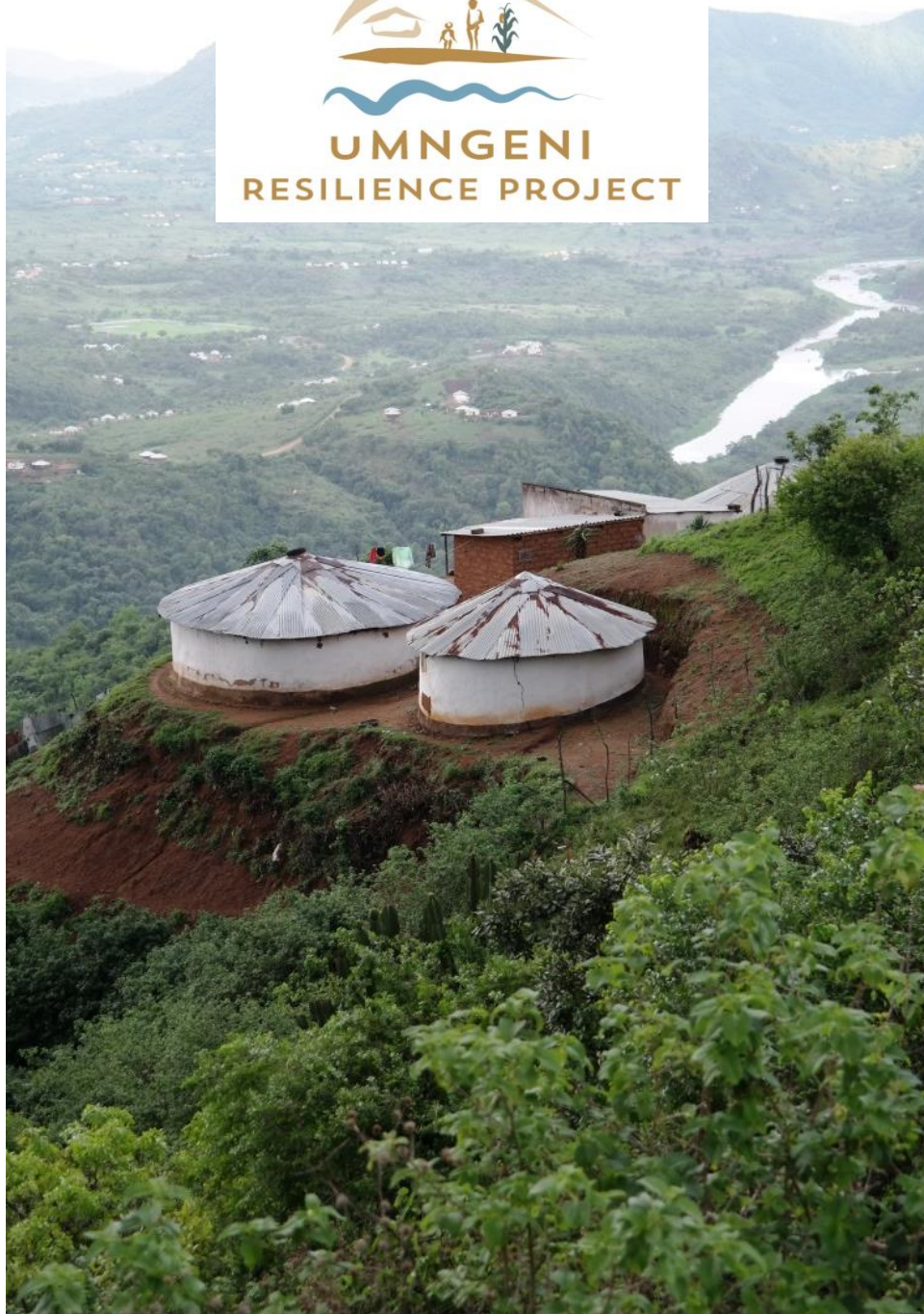
Adaptation Fund Climate Finance Readiness webinar
27 October 2016





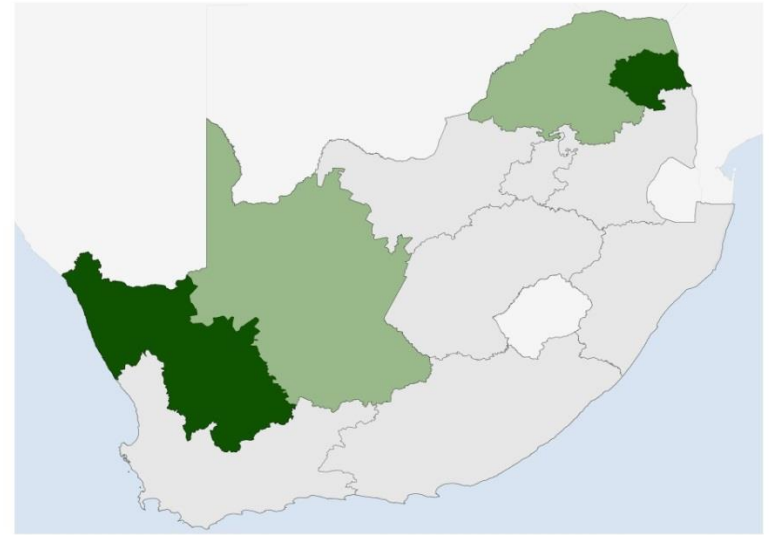
Building resilience in the Greater uMngeni Catchment, South Africa (USD 7.5 million)

- Early warning systems
- Climate-proof settlements
- Ecosystem based adaptation
- Climate resilient agriculture



SMALL GRANTS FACILITY

Responding to climate change



Taking Adaptation to the Ground: A Small Grants Facility for enabling local level responses to climate change

(USD 2.5 million)

- Provide small grants
- At least 12
- Approx. USD 100,000 each

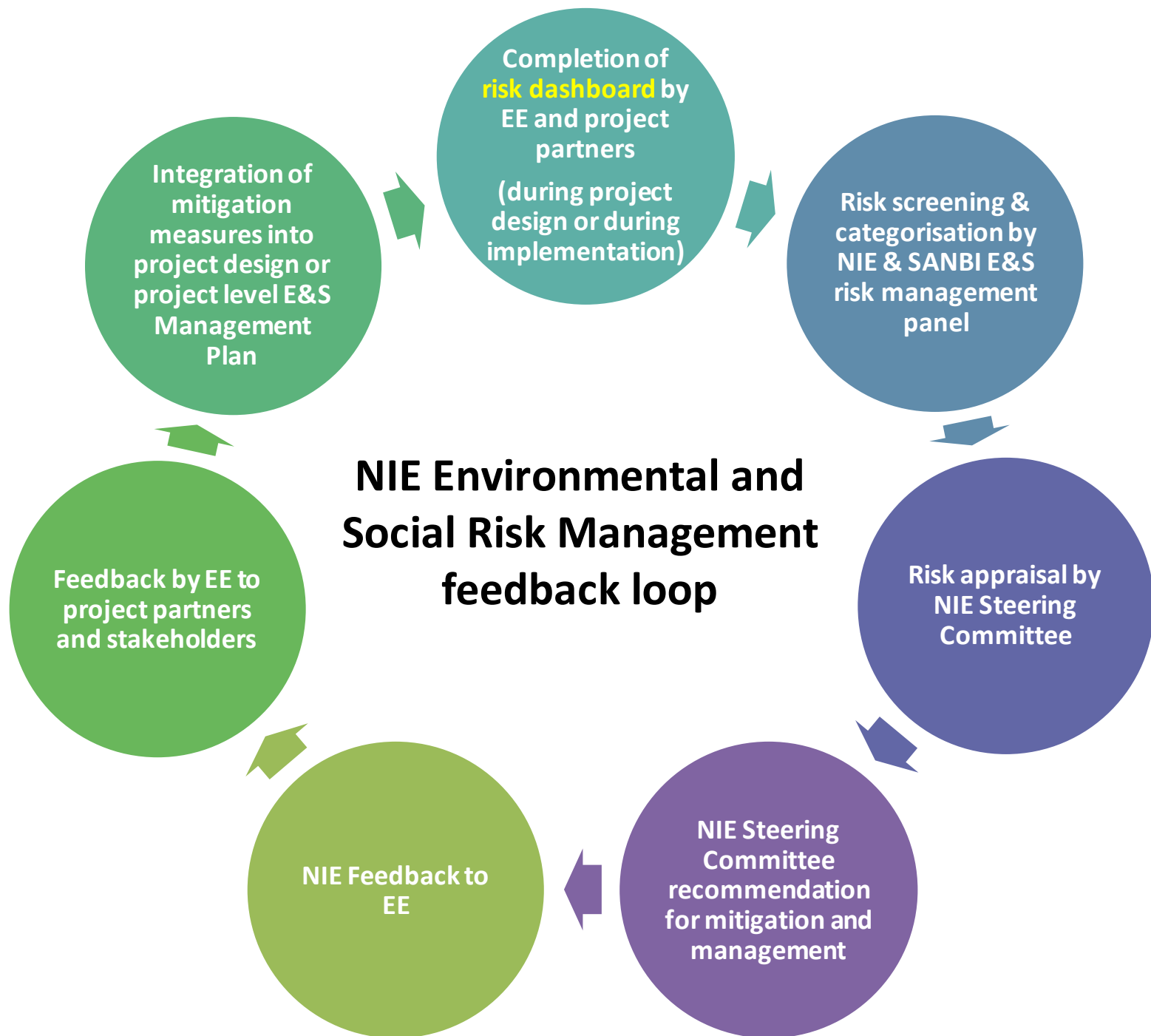


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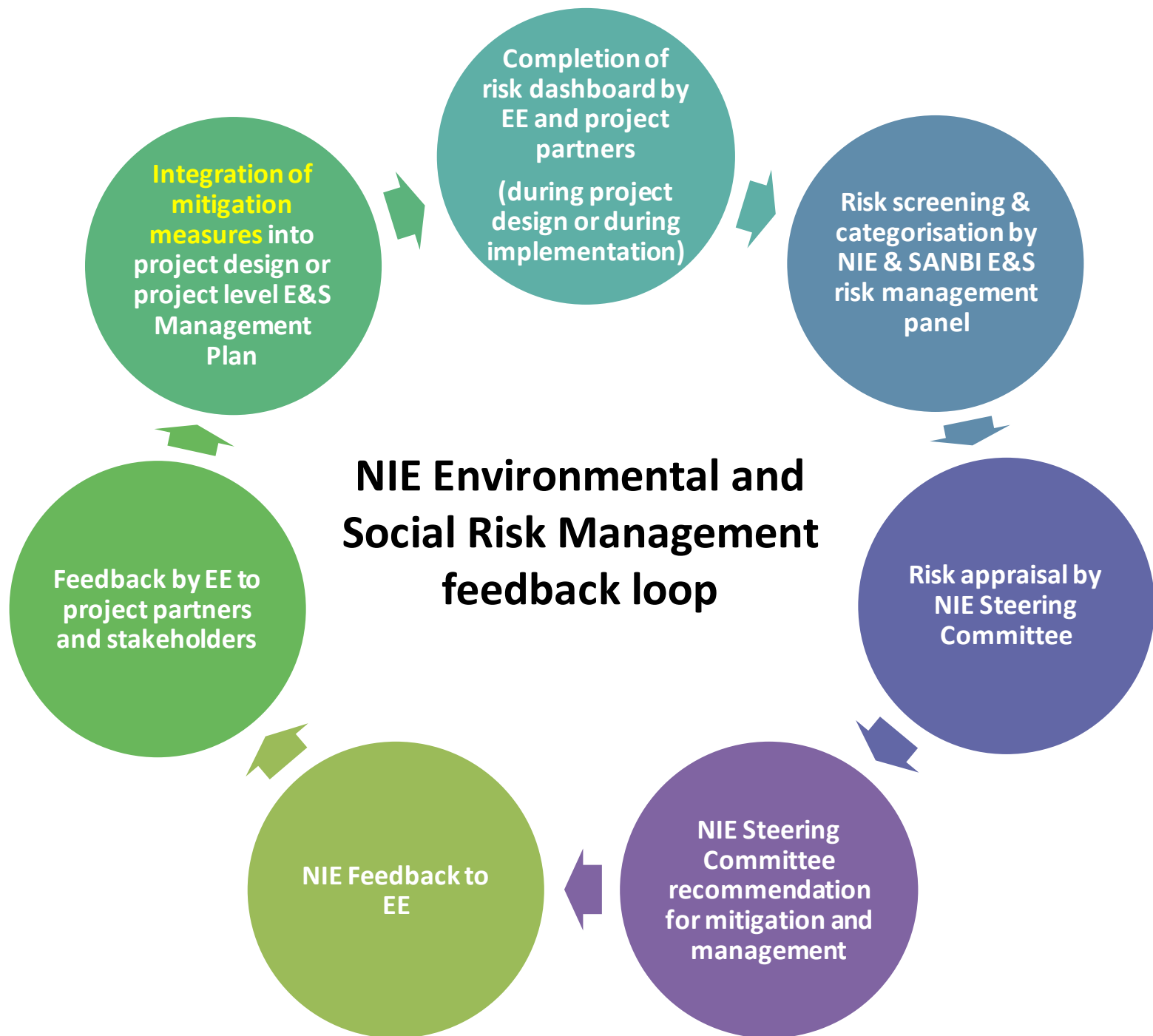


Environmental and Social Risk Dashboard (completed at project proposal stage)

Checklist of environmental and social principles	Baseline assessment (from project proposal)			
	Not relevant	Relevant and positive impacts likely	Relevant and negative impacts possible	Justify your selection
1. Compliance with the Law (Always Relevant) <i>Projects supported by the AF shall be in compliance with all applicable domestic and international law</i>				EE / Small Grant Recipient
2. Access and Equity <i>Projects supported by the AF shall provide fair and equitable access to benefits in a manner that is inclusive and does not impede access to services. Projects should not exacerbate existing inequities, particularly with respect to marginalized or vulnerable groups</i>				
3. Marginalised and Vulnerable Groups <i>Projects supported by the AF shall avoid imposing any disproportionate adverse impacts on marginalized and vulnerable groups</i>				
15. Lands and Soil Conservation <i>Projects supported by the AF shall ... promote soil conservation and avoid degradation or conversion of productive lands or land that provides valuable ecosystem services</i>				

Environmental and Social Risk Dashboard (completed quarterly)

Checklist of environmental and social principles	Baseline assessment (from project proposal)	Relevant Quarter		
		Assessment of Risk	Potential impacts and proposed mitigation actions (where relevant)	NIE assessment and comment
1. Compliance with the Law (Always Relevant) <i>Projects supported by the AF shall be in compliance with all applicable domestic and international law</i>	X – All projects under the Community Adaptation SGF will be compliant with South African and international laws.	EE	EE	NIE
2. Access and Equity <i>Projects supported by the AF shall provide fair and equitable access to benefits in a manner that is inclusive and does not impede access to services. Projects should not exacerbate existing inequities, particularly with respect to marginalized or vulnerable groups</i>	X – The direct access modality of the Community Adaptation SGF is designed to capacitate grant recipients and vulnerable communities through a “bottom-up” approach. This will enable fair and equitable access to project benefits to all participants, including marginalised and vulnerable groups, who meet the project eligibility criteria.			
3. Marginalised and Vulnerable Groups <i>Projects supported by the AF shall avoid imposing any disproportionate adverse impacts on marginalized and vulnerable groups</i>	X – All projects under the Community Adaptation SGF will benefit marginalised and vulnerable groups living in the two project target areas, including women, children, the elderly, indigenous people, people living with disabilities and people living with HIV/AIDS.			
15. Lands and Soil Conservation <i>Projects supported by the AF shall ... promote soil conservation and avoid degradation or conversion of productive lands or land that provides valuable ecosystem services</i>	X – Projects under the Community Adaptation SGF, particularly the Climate-Smart Agriculture and Climate-Resilient Livelihoods Investment Windows, will seek to conserve land and soil. This will include through inter alia the upgrading and/or maintenance of ecological infrastructure in the two project target areas.			



Gender consideration:

- Small Grants Facility
 - Small grant recipient criteria: representation of women on management structures
 - Small grant project criteria: projects must benefit women and youth
 - Gender-disaggregated targets: for SGF and for each individual small grant project
- uMngeni Resilience Project
 - Gender-disaggregated targets
 - Gender and Social Expert
 - Gender and Social Action Plan
- Gender Equity and Women's Empowerment is Principle 5 of the AF's Environmental and Social Policy – reported on quarterly for both projects





Through the Environmental & Social Risk Management Processes, the NIE ensures that:

- Adequate capacity is in place for risk screening during project development and review
- Projects are categorised against the relevant standards
- Training is provided to building capacity within EEs for risk management at project start-up
- Activity forecasts are generated quarterly by EEs, with a particular focus on detection and appraisal of environmental and social risks
- Forecasts and self-assessments are screened to detect anticipated minor risks and any potential unforeseen risks
- Project governance structures have oversight of risk management
- EEs make stakeholders aware of the project mechanisms to raise concerns relating to risks



South African
National Implementing Entity
of the Adaptation Fund

Thank you



SANBI

Biodiversity for Life

South African National Biodiversity Institute

