SANBI’s approach to Management of Environmental and Social Risks and Gender Risks in Adaptation Fund projects

Mike Jennings, NIE Programme Manager, SANBI

Adaptation Fund Climate Finance Readiness webinar
27 October 2016
Building resilience in the Greater uMngeni Catchment, South Africa (USD 7.5 million)

- Early warning systems
- Climate-proof settlements
- Ecosystem based adaptation
- Climate resilient agriculture
Taking Adaptation to the Ground: A Small Grants Facility for enabling local level responses to climate change (USD 2.5 million)

- Provide small grants
- At least 12
- Approx. USD 100,000 each
NIE Feedback to EE

Integration of mitigation measures into project design or project level E&S Management Plan

Completion of risk dashboard by EE and project partners (during project design or during implementation)

Risk screening & categorisation by NIE & SANBI E&S risk management panel

Risk appraisal by NIE Steering Committee

NIE Steering Committee recommendation for mitigation and management

NIE Feedback to EE

Feedback by EE to project partners and stakeholders

NIE Environmental and Social Risk Management feedback loop
Environmental and Social Risk Dashboard (completed at project proposal stage)

<table>
<thead>
<tr>
<th>Checklist of environmental and social principles</th>
<th>Baseline assessment (from project proposal)</th>
<th>Justify your selection</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Compliance with the Law (Always Relevant)</td>
<td>Not relevant</td>
<td>Relevant and positive impacts likely</td>
</tr>
<tr>
<td>Projects supported by the AF shall be in compliance with all applicable domestic and international law</td>
<td>Relevant and positive impacts likely</td>
<td>Relevant and negative impacts possible</td>
</tr>
<tr>
<td>2. Access and Equity</td>
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<td>Projects supported by the AF shall provide fair and equitable access to benefits in a manner that is inclusive and does not impede access to services. Projects should not exacerbate existing inequities, particularly with respect to marginalized or vulnerable groups</td>
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EE / Small Grant Recipient
<table>
<thead>
<tr>
<th>Checklist of environmental and social principles</th>
<th>Baseline assessment (from project proposal)</th>
<th>Relevant Quarter</th>
<th>Assessment of Risk</th>
<th>Potential impacts and proposed mitigation actions (where relevant)</th>
<th>NIE assessment and comment</th>
</tr>
</thead>
</table>
| 1. Compliance with the Law (Always Relevant)  
Projects supported by the AF shall be in compliance with all applicable domestic and international law | X – All projects under the Community Adaptation SGF will be compliant with South African and international laws. |                  | EE                  | EE                                                              | NIE                         |
| 2. Access and Equity  
Projects supported by the AF shall provide fair and equitable access to benefits in a manner that is inclusive and does not impede access to services. Projects should not exacerbate existing inequities, particularly with respect to marginalized or vulnerable groups | X – The direct access modality of the Community Adaptation SGF is designed to capacitate grant recipients and vulnerable communities through a "bottom-up" approach. This will enable fair and equitable access to project benefits to all participants, including marginalised and vulnerable groups, who meet the project eligibility criteria. |                  |                     |                                                                  |                             |
| 3. Marginalised and Vulnerable Groups  
Projects supported by the AF shall avoid imposing any disproportionate adverse impacts on marginalized and vulnerable groups | X – All projects under the Community Adaptation SGF will benefit marginalised and vulnerable groups living in the two project target areas, including women, children, the elderly, indigenous people, people living with disabilities and people living with HIV/AIDS. |                  |                     |                                                                  |                             |
| 15. Lands and Soil Conservation  
Projects supported by the AF shall … promote soil conservation and avoid degradation or conversion of productive lands or land that provides valuable ecosystem services | X – Projects under the Community Adaptation SGF, particularly the Climate-Smart Agriculture and Climate-Resilient Livelihoods Investment Windows, will seek to conserve land and soil. This will include through inter alia the upgrading and/or maintenance of ecological infrastructure in the two project target areas. |                  |                     |                                                                  |                             |
NIE Feedback to EE

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NIE Environmental and Social Risk Management feedback loop
Gender consideration:

- Small Grants Facility
  - Small grant recipient criteria: representation of women on management structures
  - Small grant project criteria: projects must benefit women and youth
  - Gender-disaggregated targets: for SGF and for each individual small grant project

- uMngeni Resilience Project
  - Gender-disaggregated targets
  - Gender and Social Expert
  - Gender and Social Action Plan

- Gender Equity and Women’s Empowerment is Principle 5 of the AF’s Environmental and Social Policy – reported on quarterly for both projects
Through the Environmental & Social Risk Management Processes, the NIE ensures that:

- Adequate capacity is in place for risk screening during project development and review
- Projects are categorised against the relevant standards
- Training is provided to building capacity within EEs for risk management at project start-up
- Activity forecasts are generated quarterly by EEs, with a particular focus on detection and appraisal of environmental and social risks
- Forecasts and self-assessments are screened to detect anticipated minor risks and any potential unforeseen risks
- Project governance structures have oversight of risk management
- EEs make stakeholders aware of the project mechanisms to raise concerns relating to risks
South African National Implementing Entity of the Adaptation Fund

Thank you