



ADAPTATION FUND

AFB/PPRC.19-20/9
29 November 2016

Adaptation Fund Board
Project and Programme Review Committee

**TECHNICAL ASSISTANCE GRANT PROPOSAL (GENDER POLICY)
FOR THE FEDERATED STATES OF MICRONESIA**

Background

1. At its twenty-second meeting, the Adaptation Fund Board secretariat (the secretariat) had prepared document AFB/B.22/6 which outlined the possible elements and options for a phased Programme to Support Readiness for Direct Access to Climate Finance for National and Regional Implementing Entities and presented a framework and budget for a first phase of the Programme. Following a discussion of the document, the Board decided to:

- (a) *Approve Phase I of the Readiness Programme as detailed in document AFB/B.22/6, on the basis that it would follow performance-based funding principles;*
- (b) *Take note of the options provided by the secretariat on a programme to support readiness for direct access to climate finance for national and regional implementing entities;*
- (c) *Request the secretariat to submit to the Board intersessionally between the twenty-second and twenty-third meetings, execution arrangements, criteria/eligibility criteria to allocate the funds to the accredited implementing entities for specific activities, as well as a timeline of activities, with a view to start implementing the programme before the twenty-third Board meeting; and*
- (d) *Approve an increase in the Administrative Budget of the Board, secretariat and trustee for FY2014 of US\$ 467,000 for the programme described in AFB/B.22/6, and authorize the trustee to transfer such amount to the secretariat and request the trustee to set aside the balance amount of US\$ 503,000 from the Adaptation Fund Trust Fund resources for subsequent commitment and transfer at the instruction of the Board.*

(Decision B.22/24)

2. At the tenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP 10), the Parties recognized the Readiness Programme of the Adaptation Fund and decided to:

Invite further support for the readiness programme of the Adaptation Fund Board for direct access to climate finance in accordance with decision 2/CMP.10, paragraph 5;

Decision 1/CMP.10

and also decided to:

Request the Adaptation Fund Board to consider, under its readiness programme, the following options for enhancing the access modalities of the Adaptation Fund:

- (a) *Targeted institutional strengthening strategies to assist developing countries, in particular the least developed countries, to accredit more national or regional implementing entities to the Adaptation Fund;*
- (b) *Ensuring that accredited national implementing entities have increased and facilitated access to the Adaptation Fund, including for small-sized projects and programmes;*

Decision 2/CMP.10

3. Upon completion of Phase I of the Readiness Programme, the secretariat had prepared document AFB/B.25/5 which outlined the progress made in Phase I and proposed Phase II of the Readiness Programme, taking into account the results from Phase I of the programme and integrating decision 2/CMP10. Following a discussion of the document, the Board decided to:

Approve Phase II of the Readiness Programme, as outlined in document AFB/B.25/5, with a total funding of US\$ 965,000, including funding of US\$ 565,000 to be transferred to the secretariat's budget and funding of US\$ 400,000 to be set aside for small grants to National Implementing Entities from resources of the Adaptation Fund trust fund.

(Decision B.25/27)

4. At its twenty-seventh meeting, the Board decided to integrate the Readiness Programme into the Adaptation Fund (the Fund) work plan and budget and set aside funding for small grants as direct transfers from the resources of the Adaptation Fund Trust Fund. At this meeting, the Board decided to:

- a) Take note of the progress report for phase II of the Readiness Programme;*
- b) Integrate the Readiness Programme into the Adaptation Fund work plan and budget; and*
- c) Approve the proposal for the Readiness Programme for the fiscal year 2017 (FY17), comprising its work programme for FY17 with the funding of US\$ 616,500 to be transferred to the secretariat budget and US\$ 590,000 for direct transfers from the resources of the Adaptation Fund Trust Fund for allocation as small grants.*

(Decision B.27/38)

5. Following integration of the Readiness Programme into the Adaptation Fund work plan and budget by the Board, eligible national implementing entities (NIEs) submitted applications for technical assistance grants and South-South cooperation grants. Two types of technical assistance grants were available, a Technical Assistance Grant for the ESP and Gender Policy, and a Technical Assistance Grant for the Gender Policy. NIEs eligible to submit proposals for a Technical Assistance Grant for the ESP and Gender Policy would be those that had not previously received a grant for technical assistance and would be expected to build capacity on environmental and social safeguards and gender safeguards simultaneously. NIEs eligible to submit proposals for a Technical Assistance Grant for the Gender Policy would be those that had previously received a technical assistance grant before the gender policy had been approved and would be expected to align existing environmental and social safeguards and existing rules of procedure with the Fund's gender policy.

6. The types of eligible support from Technical Assistance Grants for the ESP and Gender Policy included but were not limited to (i) Developing procedures/manuals/guidelines for screening projects for environmental and social risks, as well as gender related risks, undertaking environmental and social risk assessment, gender assessment, and formulating risk management plans that are gender responsive (ii) Developing policies/avenues for public disclosure and gender responsive consultation (iii) Developing transparent, accessible, fair and

effective mechanisms for receiving and handling complaints related to environmental and social harms, gender inequalities and other gender impacts caused by the implementation of adaptation projects/programmes, and (iv) Training of select entity staff to carry out the relevant tasks related to the implementation of the Fund's ESP and gender policy.

7. The types of eligible support from Technical Assistance Grants for the Gender Policy included but were not limited to (i) Updating existing procedures/manuals/guidelines with measures to avoid, minimize and/or mitigate adverse gender impacts, and determine the different needs, capabilities, roles and knowledge resources of women and men and to identify how changing gender dynamics might drive lasting change (ii) Developing policies/avenues for public disclosure and consultation that are gender responsive (iii) Developing transparent, accessible, fair and effective mechanisms for receiving and handling complaints related to environmental and social harms, gender inequalities and other gender impacts caused by the implementation of adaptation projects/programmes, and (iv) Training of select entity staff to carry out the relevant tasks related to the entity's institutional framework for gender mainstreaming.

8. At the twenty-eighth meeting of the Board, the Project and Programme Review Committee (PPRC) had recommended to the Board to establish a standing rule following on decision B.26/28 on the intersessional project review cycle for grants under the Readiness programme become a standing rule to allow for continued review and approval of readiness grants intersessionally each year. Having considered the comments and recommendation of the Project and Programme Review Committee, the Board decided to:

- a) Request the secretariat to continue to review readiness grant proposals annually, during an intersessional period of less than 24 weeks between two consecutive Board meetings;*
- b) Notwithstanding the request in paragraph (a) above, recognize that any readiness grant proposal can be submitted to regular meetings of the Board;*
- c) Request the PPRC to consider intersessionally the technical review of such readiness grant proposals as prepared by the secretariat and to make intersessional recommendations to the Board;*
- d) Consider such intersessionally reviewed proposals for intersessional approval in accordance with the Rules of Procedure; and*
- e) Request the secretariat to present, in the twentieth meeting of the PPRC, and annually following each intersessional review cycle, an analysis of the intersessional review cycle.*

(Decision B.28/30)

9. It is expected that the technical assistance grants will enable NIEs to source external expertise through short-term consultancies for more targeted technical assistance where such a need is identified to improve their capability to comply with the Fund's gender policy. This may include developing, updating or strengthening a particular function relevant to the gender policy such as an integrated environmental and social management system that addresses and is responsive to gender issues, a risk management system that is gender responsive, or familiarization with the principles of the gender policy.

10. All accredited NIEs are eligible to receive grants for technical assistance based on the relevance of the capacity and needs assessment as outlined in document AFB/B.23/5, including the funding cap of US\$ 10,000 per NIE for grants for technical assistance to develop and/or align the entity's procedures/manuals/guidelines to comply with the Fund's gender policy as outlined in document AFB/B.27/7. For the current review period, eligible NIEs were those that had previously received a grant to comply with the fund's ESP before approval of the gender policy and had submitted a satisfactory grant proposals that met all of the following criteria as at the time of the 19 - 20 intersessional review cycle:

- Have been accredited by the Board,
- Have submitted a request document/grant proposal to the secretariat within the given deadline and with a clear description of the areas of support needed and budget for the requested support.

11. Following a call for submission of applications undertaken intersessionally, the secretariat had received applications from NIEs for grants for technical assistance to comply with the Fund's gender policy.

12. The present document introduces the revised application submitted by Micronesia Conservation Trust (MCT) for a Technical Assistance Grant for the Gender Policy. It includes a request for funding of US\$ 10,000 outlining the activities to be undertaken by a consultant to support the NIE to comply with the Fund's gender policy. The secretariat had reviewed the initial application by MCT and provided its comments to the applicant for further clarification. The applicant had submitted a revised proposal, taking into account the secretariat's comments. The secretariat's initial review and the applicant's grant proposal are available in the following sections of this document.

Screening of Application for a Technical Assistance Grant to support NIE Assessment and Management of Gender Risks within projects and programmes

Requesting Country: **Micronesia, Federated States of**
Requesting NIE: **Micronesia Conservation Trust (MCT)**

Requested Financing from Adaptation Fund: **US\$ 10,000**
NIE Contact Person: **Lisa Ranahan Andon**

Reviewer and contact person: **Farayi Madziwa**

Screening Questions	Rating	Score	Assessment
Has this application been endorsed by the Designated Authority of the country?	0. No 1. Yes	1	The DA endorsement letter is attached to the application.
Is the timeframe of activity adequate?	1. Not Adequate 2. Somewhat Adequate 3. Adequate	3	The timeframe is adequate based on the planned scope of activities.
Are the proposed activities to support the NIE to implement the Gender Policy of the AFB adequate?	1. Not Adequate 2. Somewhat Adequate 3. Adequate	3	The proposed activities are adequate. However the following corrective action is requested: CAR1: Please complete sections C and D of the application form.
Based on the proposed activities, is the requested budget reasonable?	1. Not Reasonable 2. Somewhat Reasonable 3. Reasonable	3	The budget falls within the cap available for technical assistance grants for gender.

SECRETARIAT'S GENERAL COMMENT (27 October 2016)

Micronesia Conservation Trust (MCT) proposes to commission the services of an individual consultant to build their institutional capacity to identify and manage gender risks in line with the Adaptation Fund's gender policy.

However, the following corrective action request (CR) to the submission is made:

CAR1: Please complete sections C and D of the application form.

NIE RESPONSE TO INITIAL REVIEW AND COMMENTS BY THE SECRETARIAT (2 November 2016)

Comment	Issue	Response by NIE
(i)	Please complete sections C and D of the application form.	Please find the completed application form attached. It has now been duly signed.

ADAPTATION FUND

Request for assistance in complying with the Gender Policy

Submission Date:

Adaptation Fund Grant ID:

Country:

Implementing Entity:

A. Timeframe of Activity

Expected start date of activity	September 15, 2016
Completion date of activity	December 15, 2016

B. Type of support requested

Describe the activities to be undertaken to support alignment of the NIE policies and procedures and compliance with the Fund's Gender policy

Types of Support Activities	Support requested (provide short description)	Type/name of provider for the requested support ¹	Requested budget (USD)
Updating of existing procedures/manuals/guidelines for screening projects for environmental and social risks with measures to avoid, minimize and/or mitigate adverse gender impacts in accordance with the Adaptation Fund's Gender Policy	<p><i>Activity 1: Develop MCT Gender Policy</i> <i>Activity 2: Update E&S Screening Guidelines</i></p> <ul style="list-style-type: none"> Under this component, MCT will work with consultant to develop a robust Gender Policy for MCT. The policy will reinforce MCT's commitment to gender equality and the empowerment of women, establish a policy framework and operating principles, and outline priority areas of action for the institution The integration of gender across MCT's operations will be an explicit pillar of MCT's Gender Policy. With the E&S AF technical grant MCT 	We will engage Dima Reda, through her firm Nataij, LLC -- a US based consultancy (www.nataij.com)	2,450


¹ Specify if it is an institution, consulting firm or individual consultant. When possible, provide the name of the institution, firm or individual identified or selected.

	<p>is working with consultant to develop guidelines for screening projects for environmental and social risks. Under this activity, the consultant will ensure the E&S guidelines include screening projects with measures to avoid adverse gender impacts in accordance with the AF's Gender Policy.</p> <p><u>Deliverables:</u> (i) Gender Policy Developed (ii) Updated E&S Screening Guidelines with Gender impacts fully integrated in accordance with AF's Gender Policy</p>		
Development of procedures for undertaking gender assessments to determine the different needs, capabilities, roles and knowledge resources of women and men and to identify how changing gender dynamics might drive lasting change. These could be integrated with the procedures for undertaking project environmental and socioeconomic assessments	<p><i>Activity 3: Gender Assessment/Analysis</i></p> <p>The consultant will develop procedures for MCT to undertake a gender assessment/analysis and integrate the procedures into project appraisal processes.</p> <p><u>Deliverables:</u> (i) Gender assessment template developed; (ii) Guidelines for undertaking gender assessment/analysis developed; (iii) Integration of Gender assessment into MCT's "GrantsTool" and "Organizational Due Diligence" manual</p>		2,450
Development of a policy/avenues for public disclosure and consultation that are gender responsive	<p><i>Activity 4: Gender Responsive Public Disclosures/Consultations</i></p> <p>Develop MCT's public disclosure policy to ensure public disclosure and consultation are gender responsive</p> <p><u>Deliverable:</u> Proposed public disclosure policy</p>		1,750
Development of transparent, accessible, fair and effective mechanisms for receiving and addressing complaints related to gender inequalities and other adverse gender impacts caused by	<p><i>Activity 5: Integrate Complaints Related to gender impacts into E&S grievance mechanism</i></p> <p>As part of the AF's E&S technical grant, MCT is working with the consultant to develop a mechanism for</p>		1,050

projects/programmes. These could be integrated with the mechanism for receiving and addressing complaints related to environmental and social harms caused by projects/programmes.	receiving and resolving complaints related to E&S harms caused by MCT projects developed and the avenue will be easily accessible via MCT website. Under this activity incorporation of complaints related to gender inequalities and other adverse gender impacts caused by projects/programmes will be integrated. <u>Deliverable:</u> Complaints mechanism updated to include gender aspects.		
Training of select entity staff to carry out the relevant tasks related to the entity's institutional framework for gender mainstreaming	<i>Activity 6: Training of MCT staff on Gender Mainstreaming</i> Training will provide broad overview of Gender Mainstreaming with an emphasis on steps that should be taken at each stage of the project cycle to ensure integrated approaches and actions to advance gender equality and female empowerment throughout the project cycle — from project design and implementation to monitoring, evaluation, and learning <u>Deliverables:</u> (i) Development of training materials (ii) Delivery of training courses to MCT staff		2,300
Other type of support requested (please describe)			
Total Grant Requested (USD)			10,000

C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board's procedures

Head of Implementing Entity	Signature	Date (Month, day, year)	Implementing Entity Contact Person	Telephone	Email Address
William N. Kostka ED		11/01/2016	Lisa R. Andon DED	(690) 320-5670	

D. Record of endorsement on behalf of the government

Provide the name and position of the government official, Designated Authority (DA) of the Adaptation Fund, and indicate date of endorsement. The DA endorsement letter must be attached as an annex to the request.

(Enter Name, Position, Ministry)	Date: (Month, day, year)
Lapin Robert / Secretary / Dept. of Foreign Affairs	8/31/15



DEPARTMENT OF FOREIGN AFFAIRS
of the

FEDERATED STATES OF MICRONESIA

P.O. Box P.S. 123

Palikir, Pohnpei FM 96941

Telephone: (691) 320-2641/2613 Fax: (691) 320-2933

August 23, 2016

Marcia Levaggi
Manager, Adaptation Fund Board Secretariat
Adaptation Fund Board
1818 H Street, NW
MSN G6-602
Washington, DC 20433
United States of America
Email: afbsec@adaptation-fund.org

RE: Technical Assistance Grant for the Micronesia Conservation Trust (MCT) to Build Capacity to Comply with the Adaptation Fund's Gender Policy Requirements

Dear Ms. Levaggi,

On behalf of the government of the Federated States of Micronesia (FSM) I am pleased to endorse MCT's application for a technical assistance grant totaling US\$10,000.

As the National Implementing Entity of the FSM, such a grant to increase MCT's capacity to comply with the Adaptation Fund's gender policy requirements will be valuable and will help strengthen the organization itself as well as its implementation of Adaptation Fund programs.

Sincerely,

A handwritten signature in dark ink, appearing to read "Lorin S. Robert", is written over a large, faint, stylized graphic that resembles a star or a large letter 'A'.

Lorin S. Robert
Secretary of Foreign Affairs