Request for assistance in complying with the Fund’s Environmental and Social Policy and Gender Policy

Submission Date: 02 Sept. 2016

Adaptation Fund Grant ID:
Country: India
Implementing Entity: National Bank for Agriculture & Rural Development (NABARD)

A. Timeframe of Activity

<table>
<thead>
<tr>
<th>Expected start date of activity</th>
<th>01 December 2016</th>
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<tbody>
<tr>
<td>Completion date of activity</td>
<td>31 June 2017</td>
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</table>

B. Type of support requested

Describe the activities to be undertaken to support the compliance of the NIE with the Fund’s Environmental and Social policy and Gender policy

<table>
<thead>
<tr>
<th>Types of Support Activities</th>
<th>Support requested (please provide short description)</th>
<th>Type/name of provider for the requested support</th>
<th>Requested budget (USD)</th>
</tr>
</thead>
</table>
| Development of procedures/manuals/guidelines for screening projects for environmental and social risks as well as gender-related risks (60 days) | - Review of relevant national procedures  
- Development of screening tools for Environment and Social risks for climate change projects  
- Review of the E&S and Gender risks associated with AF projects under implementation (or in pipeline) and suggest the course correction, if any, in line with AF policies and procedures. | Subject to NABARD’s procurement process | 5000                   |

1 Specify if it is an institution, consulting firm or individual consultant. When possible, provide the name of the institution, firm or individual identified or selected.
<table>
<thead>
<tr>
<th>Task Description</th>
<th>Activities</th>
<th>Duration</th>
<th>Budget</th>
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</table>
| Development of procedures manual/guidelines for undertaking project environmental and social risk assessment, gender assessment, and for formulating risk management plans that are gender responsive (30 days) | - Suggest best practices based on the NABARD institutional context and national policies and procedures for future projects.  
- Review of ESMP and Gender aspect under Existing AF supported projects (or in pipeline) and suggest the ESMP and Gender Management Plans in line with AF policies and procedures. | 30 days        | 5000   |
| Development of a policy/avenues for public disclosure and gender-responsive consultation (15 days) | - Suggest best practices based on the NABARD institutional context and national policies and procedures for future projects.  
- Review and Suggest Disclose strategy for the projects under implementation (or in pipeline) by AF support with regard to ESS and Gender aspects in line with AF policies and procedures.  
- Publication on the website | 15 days        | 2000   |
| Development of transparent, accessible, fair and effective mechanisms for receiving and addressing complaints about environmental or social harms and complaints related to gender inequalities and other adverse gender impacts caused by projects/programmes during implementation (15 days) | - Development of grievance mechanism considering AF policies and procedures and NABARD institutional context and national policies and procedures.  
- Review of the existing grievance mechanism under AF supported projects (or in pipeline) and suggest the mechanism to be followed for (course correction), if any to be followed  
- Publication on the website | 15 days        | 2000   |
| Training of select entity staff to carry out the relevant tasks related to the implementation of the Fund’s Environmental and Social Policy and the Gender Policy(30 days) | - Workshop on validation of E&S and Gender Screening Tools, Management Plans, disclosure policy and grievance mechanism | 30 days        | 9000   |
- Development of training manual on ESS and Gender aspects
- Trainings of the EE entities and important stakeholders under AF supported projects (and pipelines projects) on ESS and Gender aspects.

Other type of support requested (please describe)

Printing of material, Development of info graphics Subject to NABARD’s procurement process 2000

Total Grant Requested (USD) 25000

C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board’s procedures

<table>
<thead>
<tr>
<th>Implementing Entity Coordinator</th>
<th>Signature</th>
<th>Date (Month, day, year)</th>
<th>Implementing Entity Contact Person</th>
<th>Telephone</th>
<th>Email Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dr. B. G. Mukhopadhyay, Chief General Manager, NABARD, Head Office, Mumbai</td>
<td>![Signature]</td>
<td>02 Sept.16</td>
<td>Mr. Kuldeep Singh, Dy. General Manager, NABARD, Head Office, Mumbai</td>
<td>+91 22 2653 9632, +91 8305699060</td>
<td><a href="mailto:fsdd@nabard.org">fsdd@nabard.org</a>, <a href="mailto:climate_change@nabard.org">climate_change@nabard.org</a>, <a href="mailto:bg.mukhopadhya@nabard.org">bg.mukhopadhya@nabard.org</a></td>
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</table>

D. Record of endorsement on behalf of the government

Provide the name and position of the government official, Designated Authority (DA) of the Adaptation Fund, and indicate date of endorsement. The DA endorsement letter must be attached as an annex to the request.

Mr. Ravi S. Prasad, I.A.S
Joint Secretary,
Ministry of Environment, Forests & Climate Change

Date: 24th November 2016
D.O. No: 14/40/2013-CC

Dated: 24th November 2016

Subject: Endorsement of the NIE proposal for “Technical Assistance Grant for Compliance with Adaptation Fund’s Environment and Social Policy and Gender Policy”

In my capacity as designated authority for the Adaptation Fund in India, I am pleased to endorse the proposal from National Bank for Agriculture and Rural Development (NABARD) to the Adaptation Fund for “Technical Assistance Grant for Compliance with Adaptation Fund’s Environment and Social Policy and Gender Policy”.

Yours Sincerely

(Ravi S. Prasad)

To:
The Adaptation Fund Board
c/o Adaptation Fund Board Secretariat
Email: Secretariat@Adaptation-Fund.org
Fax: 202 522 3240/5