



## ADAPTATION FUND

AFB/PPRC.20/2/Rev.1  
11 March 2017

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Adaptation Fund Board  
Project and Programme Review Committee  
Twentieth Meeting  
Bonn, Germany, 14-15 March 2017

### **ANNOTATED PROVISIONAL AGENDA**

#### **1. Opening of the meeting**

As the outgoing Chair of the Project and Programme Review Committee (PPRC) has left the Adaptation Fund Board (the Board), the outgoing Vice-Chair of the PPRC Mrs. Monika Antosik (Poland, Eastern Europe), will open the meeting.

#### **2. Transition of the Chair and the Vice-Chair**

Background: The Adaptation Fund Board (the Board) decided, in its twenty-eighth meeting, to elect Mrs. Monika Antosik (Poland, Eastern Europe) as Chair of the PPRC, for a term beginning at the 29th meeting and ending at the first meeting of 2018. The Board also decided to endorse intersessionally, between its twenty-eighth and twenty-ninth meetings, the nomination of Mr. Mirza Shawkat Ali (Bangladesh, Asia) as Vice-Chair of the PPRC, for a term beginning at the 29th meeting and ending at the first meeting of 2018.

Action expected: The outgoing Vice-Chair will take over the chairmanship of the PPRC as the incoming Chair.

#### **3. Organizational matters**

a) *Adoption of the agenda*

Documents: AFB/PPRC.20/1 Provisional agenda and AFB/PPRC.20/2 Annotated provisional agenda.

Action expected: The PPRC will be invited to adopt the agenda for the meeting and to raise any other matters it may wish to discuss, to be included on the agenda under item 9.

*b) Organization of work*

Documents: AFB/PPRC.20/1 Provisional agenda and AFB/PPRC.20/2 Annotated provisional agenda.

Background: The Chair will propose to the PPRC the organization of work.

Action expected: The PPRC will be invited to approve the organization of work as proposed by the Chair.

**4. Update on the funding status**

Document: None.

Background: Following new contributions made in the twelfth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, the funding status of the Fund has progressed.

Action expected: the secretariat will give an update of the availability of funds to fund project and programme proposals, including proposals to be submitted by Multilateral Implementing Entities (MIEs).

**5. Report of the secretariat on the intersessional review cycle of readiness proposals**

Document: AFB/PPRC.20/3. Report of the secretariat on the intersessional review cycle for readiness grants

Background: At its twenty-eighth meeting, the Board had discussed a recommendation by the Project and Programme Review Committee (PPRC) of the Board to establish a standing rule following on decision B.26/28 on the intersessional project review cycle for grants under the Readiness Programme to allow for continued review and approval of readiness grant proposals intersessionally each year. Having considered the comments and recommendation of the Project and Programme Review Committee, the Board decided to:

- a) *Request the secretariat to continue to review readiness grant proposals annually, during an intersessional period of less than 24 weeks between two consecutive Board meetings;*
- b) *Notwithstanding the request in paragraph (a) above, recognize that any readiness grant proposal can be submitted to regular meetings of the Board;*
- c) *Request the PPRC to consider intersessionally the technical review of such readiness grant proposals as prepared by the secretariat and to make intersessional recommendations to the Board;*

- d) *Consider such intersessionally reviewed proposals for intersessional approval in accordance with the Rules of Procedure; and*
- e) *Request the secretariat to present, in the twentieth meeting of the PPRC, and annually following each intersessional review cycle, an analysis of the intersessional review cycle.*

**(Decision B.28/30)**

Pursuant to decision B.28/30 (e), the secretariat has prepared and submitted to the PPRC document AFB/PPRC.20/3 which analyses the last intersessional review cycle of readiness grants.

Action expected: The secretariat will present the document AFB/PPRC.20/3. The PPRC may want to discuss the report and, if it wishes to, make a recommendation to the Board on the way forward.

**6. Proposal clarifying the scope of application of the full cost of adaptation reasoning criteria**

Document: AFB/PPRC.20/4. *Proposal clarifying the scope of application of the full cost of adaptation reasoning criteria*

Background: At its nineteenth meeting, the PPRC reviewed a proposal seeking to enhance capacity of Micro, Small and Medium agricultural Enterprises (MSMEs) and their resilience to climate change through the provision of financial and non-financial services. During the presentation of this proposal to the PPRC, the secretariat explained that one of the challenges with the proposal was that it presented some issues related to the mandate of the Fund, and to the necessary arrangements for this type of projects with co-financing.

The aforementioned proposal included activities that, if funded by the Adaptation Fund, would have been highly dependent on the implementation and delivery of other adaptation activities from a co-financed component of the project. As a result, there was a need to explore ways to address cases where the delivery of Fund-supported activities was dependent on delivery of co-financed adaptation activities.

Having considered the comments and recommendation of the PPRC, the Board subsequently decided to request the secretariat to prepare a proposal for consideration by the PPRC at its twentieth meeting clarifying the scope of application of the full cost of adaptation reasoning criterion (decision B.28/33).

Pursuant to decision B.28/33, the secretariat has developed document AFB/PPRC.20/4 that includes a proposal for clarifying the scope of application of the full cost of adaptation reasoning criterion.

Action expected: the secretariat will present document AFB/PPRC.20/4 to the PPRC, and the PPRC may want to discuss the options presented in the document and consider recommending a way forward to the Board.

**7. Report of the secretariat on initial screening/technical review of project and programme proposals.**

Documents: AFB/PPRC.20/5 Report of the secretariat on initial screening/technical review of the project and programme proposals and AFB/PPRC.20/5/Add.1

Background: The secretariat has received twenty-three project/programme proposals, which were screened and technically reviewed. The report contained in document AFB/PPRC.20/5 presents a summary of the proposals and the review process.

Action expected: The PPRC will be invited to take note of the report on the initial review of the proposals contained in document AFB/PPRC.20/5 and to make recommendations to the Board if necessary.

**8. Review of project and programme proposals**

Documents: AFB/PPRC.20/5 Report of the secretariat on initial screening/technical review of the project and programme proposals and AFB/PPRC.20/5/Add.1

**Concepts**

*Proposals from NIEs:*

Small-size proposals:

AFB/PPRC.20/6 Proposal for the Federated States of Micronesia (1) (MCT) and AFB/PPRC.20/6/Add.1

Regular proposals:

AFB/PPRC.20/7 Proposal for Armenia (1) (EPIU) and AFB/PPRC.20/7/Add.1  
AFB/PPRC.20/8 Proposal for Armenia (2) (EPIU) and AFB/PPRC.20/8/Add.1  
AFB/PPRC.20/9 Proposal for the Dominican Republic (IDDI) and AFB/PPRC.20/9/Add.1

*Proposals from RIEs:*

Regular proposals:

AFB/PPRC.20/10 Proposal for Ecuador (CAF)

*Proposals from MIEs:*

Regular proposals:

AFB/PPRC.20/11 Proposal for Suriname (IDB)

- **Fully-developed project/programme documents:**

*Proposals from NIEs:*

Regular proposals:

AFB/PPRC.20/12 Proposal for Antigua and Barbuda (ABED)

AFB/PPRC.20/13 Proposal for Ethiopia (MOFEC)

AFB/PPRC.20/14 Proposal for Panama (Fundación Natura)

*Proposals from RIEs:*

Regular proposals:

AFB/PPRC.20/15 Proposal for Guinea-Bissau (BOAD)

AFB/PPRC.20/16 Proposal for the Federated States of Micronesia (2)(SPREP)

AFB/PPRC.20/17 Proposal for Peru (CAF)

*Proposals from MIEs:*

Regular proposals:

AFB/PPRC.20/18 Proposal for Fiji (UN-Habitat)

AFB/PPRC.20/19 Proposal for Honduras (UNDP)

AFB/PPRC.20/20 Proposal for Paraguay (UNEP)

AFB/PPRC.20/21 Proposal for Solomon Islands (UN-Habitat)

**9. Review of proposals under the pilot programme for regional projects and programmes**

- **Concepts:**

*Proposals from RIEs:*

AFB/PPRC.20/22 Proposal for Costa Rica, Dominican Republic, El Salvador, Guatemala, Honduras, Nicaragua and Panama (CABEI) and

AFB/PPRC.20/22/Add.1

*Proposals from MIEs:*

AFB/PPRC.20/23 Proposal for the Comoros, Madagascar, Malawi and Mozambique (UN-Habitat) and AFB/PPRC.20/23/Add.1

AFB/PPRC.20/24 Proposal for Cuba, the Dominican Republic and Jamaica (UNDP) and AFB/PPRC.20/24/Add.1

- ***Fully-developed project/programme documents:***

*Proposal from RIE:*

AFB/PPRC.20/25 *Proposal for Chile and Ecuador (CAF)*

*Proposals from MIEs:*

AFB/PPRC.20/26 *Proposal for Burundi, Kenya, Rwanda, Tanzania and Uganda (UNEP)*

AFB/PPRC.20/27 *Proposal for Colombia and Ecuador (WFP)*

AFB/PPRC.20/28 *Proposal for Ethiopia, Kenya and Uganda (WMO)*

**10. Intersessional review of project formulation assistance grant proposals under the readiness programme.**

Document: AFB/PPRC.20/29. *Intersessional review of project formulation assistance grant proposals under the readiness programme*

Background: At its twenty-seventh meeting the Adaptation Fund Board Secretariat (the secretariat) had presented document AFB/B.27/7 which presented the progress made in Phase II of the Readiness Programme and outlined activities that would be implemented in fiscal year 2017 (FY17) from 1 July 2016 to 30 June 2017. The document had also proposed for the Board to set aside funding for direct transfers from the resources of the Adaptation Fund Trust Fund for allocation as small grants, including small grants of up to US\$ 15,000 per NIE as project formulation assistance (PFA) grants. The PFA grants would be additional small grants on top of PFGs for NIEs that need funding to undertake a specific technical assessment and the secretariat would develop, under the guidance of the PPRC, criteria for the provision of these grants.

The secretariat has developed document AFB/PPRC.20/29 that includes a proposal for guidelines for the review of PFA grants.

Action expected: the secretariat will present document AFB/PPRC.20/29 to the PPRC for discussion and recommendation to the Board on the way forward.

**11. Options for post-implementation learning and impact evaluation of Adaptation Fund projects and programmes.**

Document: AFB/PPRC.20/30 *Options for post-implementation learning and impact evaluation of Adaptation Fund projects and programmes*

Background: At its nineteenth meeting, the PPRC discussed the importance of the follow-up of projects and programmes once they have completed, including their post-implementation evaluation. Based on the discussion and subsequent PPRC recommendation, the Board decided to request the secretariat to propose, at the twentieth meeting of the PPRC, options for how post-implementation learning and impact evaluation could be arranged for Adaptation Fund projects and programmes, taking into account on-going discussions on the evaluation function of the Adaptation Fund, as well as Phase II of the evaluation (Decision B.28/32).

Pursuant to decision B.28/32, the secretariat has prepared document AFB/PPRC/20 which presents options for how ex-post evaluations of Adaptation Fund projects and programmes could be arranged.

Action expected: the secretariat will present document AFB/PPRC.20/30 to the PPRC, and the PPRC may want to discuss the options presented in the document and consider recommending a way forward to the Board.

**12. Other matters.**

Action expected: The PPRC will be invited to discuss any other matters raised during the adoption of the agenda.

**13. Adoption of the report**

Document: The report of the PPRC will be prepared after the session on 15 March 2017.

Action expected: The PPRC Chair will report to the Board on the deliberations of the PPRC and on agreed recommendations to the Board.

**14. Closure of the meeting**

**PROVISIONAL TIMETABLE****Day 1, 14 March 2017***Morning Session*

9:00 – 9:30	1	Opening of the meeting
	2.	Transition of the Chair and the Vice-Chair
	3	a) Adoption of the agenda
	3	b) Organization of work
9:30 – 9:45	4	Update on the funding status
9:45 – 10:15	6	Proposal clarifying the scope of application of the full cost of adaptation reasoning criteria
10:15 – 10:30	7	Report of the secretariat on initial screening/ technical review of project and programme proposals
10:30 – 10:45		<i>Coffee break</i>
10:45 – 12:30	8	Review of project and programme proposals
<i>Afternoon Session</i>		
14:00 – 14:30	8	Review of project and programme proposals (continued)
14:30 – 15:15	10	Intersessional review of project formulation assistance grant proposals under the readiness programme
15:15 – 15:30	5	Report of the secretariat on the intersessional review cycle of readiness grants
15:30 – 15:45		<i>Coffee break</i>
15:45 – 16:30	8	Review of project and programme proposals (continued)
16:30 – 17:00	9	Review of proposals under the pilot programme for regional projects and programmes

18:30 – 20:00	Dinner organized by ecbi
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**Day 2, 15 March 2017***Morning Session*

9:00 – 10:00	9	Review of proposals under the pilot programme for regional projects and programmes (continued)
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10:00 – 11:00	10	Intersessional review of project formulation assistance grant proposals under the readiness programme
11:00 – 11:30		<i>Coffee break</i>
11:30 – 12:30	11	Options for post-implementation learning and impact evaluation of Adaptation Fund projects and programmes
12:30 – 14:00		<i>Lunch break</i>

14:00 – 15:00		Introductory session for new Board members
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*Afternoon Session*

15:00 – 15:30	12	Other matters
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**AFB 29 Day 1, 16 March 2017 (after the meeting)**

17:00 – 17:30	13	Adoption of the recommendations and report
17:30 – 17:45	14	Closure of the meeting.