



ADAPTATION FUND

Readiness Programme for Climate Finance

FOURTH ANNUAL NIE CLIMATE FINANCE READINESS SEMINAR

Presented by: Farayi Madziwa
Adaptation Fund Board Secretariat

Costa Rica, 26-28 July 2017

Updates from AFB29

- **Readiness Programme Framework**
- **Legal Agreement**
- **Readiness grant Project Performance and Reporting**
- **Readiness grants 2017**
- **Readiness activities August – December 2017**



Readiness Programme Framework

Long-term outlook

- ✓ Following the decision by the Board to integrate the Readiness Programme into the Fund's work plan and budget (**Decision B.27/38**)



Programme integration

- ✓ The board approved an updated Results Framework for the Programme to enable its transition into a long term and more permanent feature of the Fund (**Decision B.29/42**).
- ✓ Articulation of key components in annex I of the framework



Readiness Grant Project Performance and Reporting

NOTIFICATION TEMPLATE FOR START/INCEPTION OF READINESS GRANT PROJECTS

Implementing entity address
Address

[Date]

The Adaptation Fund Board
1818 H Street NW
MSN N7-700
Washington DC, 20433
USA
Email: afbsec@adaptation-fund.org

Subject: Notification of readiness project inception

This letter is to inform you that the project to implement the grant proposal for [South-South cooperation] [Technical assistance for the ESP and gender policy] [Gender policy] approved by the Adaptation Fund Board on [Date] has officially started. Details of the project inception are below:

Implementing Entity:

Country:

Grant Type: [TA Grant for the ESP and gender policy] [South-South Cooperation Grant] [TA Grant for the gender policy]

Project Description: Grant to support national implementing entity [accreditation through South-South cooperation] [sourcing of technical assistance for assessment and management of environmental, social and gender risks within projects and programmes] [sourcing of technical assistance for assessment and management of gender risks within projects and programmes] in [name of country]

Project Start Date: [Date]

Expected Project Completion Date: [Date]

Sincerely

[Name]
[Position]
[Email]
[Telephone]

Notification of Project Start

- not more than one month of the Project start date
- Project start date - the date when the contract between the Implementing Entity and the consultant or service provider is signed, or the start date specified in the contract signed between the Implementing Entity and consultant or service provider, whichever occurs first



Readiness Grant Project Performance and Reporting

Notification of Project Start for the PFA grant

NOTIFICATION TEMPLATE FOR START OF PROJECT FORMULATION GRANT IMPLEMENTATION

Implementing entity address
Address

[Date]

The Adaptation Fund Board
1818 H Street NW
MSN N7-700
Washington DC, 20433
USA
Email: afbsec@adaptation-fund.org

Subject: Notification of Project Formulation Grant (PFG) inception

This letter is to inform you that implementation of the PFG approved by the Adaptation Fund Board on [Date] for formulation of a concrete adaptation project/programme has officially started. Details of the project inception are below:

Implementing Entity:

Country:

Description of Concrete Adaptation Project: [Title of Project] in [name of country]

PFG Start Date: [Date]

Expected PFG Completion Date: [Date]

Sincerely

[Name]

[Position]

[Email]

[Telephone]



Readiness Grant Project Performance and Reporting

Notification of Project Completion

- within two (2) months of the project completion date
- Project completion date - the date when all activities or services chargeable to the Grant are completed

NOTIFICATION TEMPLATE FOR COMPLETION OF READINESS GRANT PROJECTS

Implementing entity address
Address

[Date]

The Adaptation Fund Board
1818 H Street NW
MSN N7-700
Washington DC, 20433
USA
Email: afbsec@adaptation-fund.org

Subject: Notification of readiness project completion

This letter is to inform you that the project to implement the grant proposal for [South-South cooperation] [Technical assistance for the ESP and gender policy] [Gender policy] approved by the Adaptation Fund Board on [Date] has officially been completed. Details of the project completion are below:

Implementing Entity:

Country:

Grant Type: [TA Grant for the ESP and gender policy] [South-South Cooperation Grant] [TA Grant for the Gender Policy]

Project Description: Grant to support national implementing [accreditation through South-South cooperation] [sourcing of technical assistance for assessment and management of environmental, social and gender risks within projects and programmes] [sourcing of technical assistance for assessment and management of gender risks within projects and programmes] in [name of country]

Project Start Date: [Date]

Project Completion Date: [Date]

Sincerely

[Name]
[Position]
[Email]
[Telephone]



Readiness Grant Project Performance and Reporting

Project Monitoring Report

- not more than six (6) months after the start of the Project, and every six months thereafter from the date of the last project monitoring report

TEMPLATE FOR MONITORING OF READINESS GRANT PROJECTS

A. MONITORING REPORT SIX MONTHS AFTER PROJECT INCEPTION

Implementing Entity:

Country:

Adaptation Fund Project I.D:

Grant Type: [TA Grant or S-S Cooperation Grant]

Grant Description: [short description of what the grant is being used for/description of the project – should be just 2-3 sentences long]

Support Activity Provided/Received	Expected Outputs	Progress (include completion Date “month & year”)	Comments/Explanation (also explain any deviation from initial plan)
e.g.1. screening exercise	e.g.1. screening report	e.g.1. completed Jan 2016	e.g.2. A workshop was held in [city X] with potential NIE institutions and the DA of [country Y] to introduce the AF accreditation process and the main features of an operational NIE. The screening report was shared with all participants.
e.g.2. Development of procedures manual/guidelines for screening projects for environmental and social risks	e.g.2. A checklist for screening projects against environmental and social risks/ A guideline document for screening adaptation projects against environmental and social risks.	e.g.2. On-going	e.g.2. Work is still on-going. There was a short delay in starting the activity because the preferred consultant was not immediately available and another consultant had to be found. The consultant has completed desk reviews and stakeholder consultations and is synthesizing the checklist. The checklist is expected to be finalized by March 2016.

* If there have been any delays in project implementation that affect the completion date, state the reasons for the delays and state the proposed new completion dates.

Implementing Entity Contact Person: _____

Email: _____



Readiness Grant Project Performance and Reporting

Project Completion Report

- not more than six (6) months after completion of the Project

TEMPLATE FOR EVALUATION OF READINESS GRANT PROJECTS			
A. COMPLETION REPORT AT LEAST THREE MONTHS BUT NOT MORE THAN SIX MONTHS AFTER PROJECT COMPLETION			
Implementing Entity: Country: Adaptation Fund Project I.D.: Grant Type: [TA Grant or S-S Cooperation Grant] Grant Description: [short description of what the grant was used for/description of the project - should be just 2-3 sentences long]			
Support Activity Provided/Received	Expected Outcomes	Outcome Achieved/Not Achieved	Comment/Explanation (also explain any deviation from initial plan)
e.g.1. screening exercise under a South-South cooperation grant.	e.g.1. A suitable NIE candidate is nominated based on an informed decision.	e.g.1. achieved	e.g.2. The [name of entity/organization] was nominated as the most suitable candidate that would be able to comply with the requirements of the AFB for accreditation. It was also more flexible enough in its operations to be able to quickly transform to and respond to the workload demand of the accreditation process. The [name of entity/organization] has submitted an application for accreditation with the AFB.
e.g.2. Development of procedures manual/guidelines for screening projects for environmental and social risks under a grant for technical assistance.	e.g.2. Selected adaptation projects do not cause detrimental damage and impact to people and nature.	e.g.2. achieved	e.g.2. NIE staff have started using the screening checklist for environmental, social and gender risks in line with the AF ESP. With use of the checklist, project identification and preparation now takes a shorter time and environmental, social and gender risks are more effectively addressed.
Budget	State whether overall expenditure was over or under the planned budget and give brief explanation for deviations of more than 30%.		
Overall outcome	If support was for accreditation state current status of accreditation of the supported candidate NIE. If support was to receive ESP and/ or gender policy support, state the current status of ESP and/ or gender policy implementation (e.g. is staff training on the NIE's ESP and/ or gender policy now an operational aspect of the NIE? Is the NIE training its executing entities on ESP and/ or gender policy? Is there now a functional complaints handling mechanism for ESP and/ or gender policy? Was a staff position created for ESP and/ or gender policy? etc)		
General Comment: [any comment on process or to the secretariat]			
Implementing Entity Contact Person: _____		Email: _____	



Readiness grants 2017



South-South (S-S) Cooperation Grants

- **Component 3 of Readiness Programme:** Countries without an NIE may apply for a grant to receive support from an existing NIE (**up to 50k**)
- **Support in one or more of the following:**
 - identifying potential NIE candidates;
 - assisting NIE candidates in the preparation of applications to be submitted to the Fund;
 - providing support and advice during the application process.
- **Expected Benefits:**
 - Can speed up the accreditation process
 - Instills confidence in the applicant
 - Responds to common challenges
 - Selection of an appropriate entity for accreditation (due diligence when reviewing existing institutional capacity)
 - Understanding of and competence in fiduciary standards



Technical Assistance (TA) Grants (ESGP)

- To help strengthen the capacity of national and regional implementing entities to receive and manage climate financing
 - Strengthen capacity in the areas of environmental and social risk management and addressing gender issues
 - ❖ TA Grant for ESP and Gender – **25k** (All accredited NIEs)
- Support in one or more of the following:
 - Developing procedures/manuals/guidelines for screening projects for ES risks as well as gender-related risks;
 - Developing procedures/manuals/guidelines for undertaking ES risk assessments, gender assessments and for formulating risk management plans that are gender responsive
 - Developing Policies for public disclosure and consultation that are gender-responsive
 - Developing transparent, accessible, fair and effective complaint handling mechanisms
 - Training of staff



Technical Assistance (TA) Grants (GP)

- **To help strengthen the capacity of national and regional implementing entities to receive and manage climate financing**
 - Strengthen capacity in the areas of environmental, social and gender risk management
 - ❖ TA Grant for Gender – **10k** (Previous ESP grant recipients only)
- **Support in one or more of the following:**
 - Updating of existing procedures/manuals/guidelines for screening projects for ES risks with measures to avoid, minimize and/or mitigate adverse gender impacts in accordance with the Adaptation Fund's Gender Policy;
 - Development of procedures for undertaking gender assessments to determine the different needs, capabilities, roles and knowledge resources of women and men and to identify how changing gender dynamics might drive lasting change;
 - Development of a policy/avenues for public disclosure and consultation that are gender responsive
 - Development of transparent, accessible, fair and effective mechanisms for receiving and addressing complaints related to gender inequalities and other adverse gender impacts caused by projects/programmes
 - Training of staff for gender mainstreaming



Project Formulation Assistance (PFA) Grants

- Project Formulation Grants (PFGs) available to build capacity in project preparation and design.
- PFA available for undertaking of specialist technical assessments.
 - Can apply at concept stage only (Projects following 2-Step approval process)
 - ❖ Up to **20k** maximum per NIE
- Support any of the following assessments:
 - Environmental Impact Assessment (EIA)
 - Vulnerability Assessment (VA)
 - Risk Assessment
 - Other Environmental and Social Assessments for adaptation projects



Readiness Activities Aug - Dec 2017

- Study on Capacity Building and Readiness Support for Direct Access to Adaptation Finance
- Webinar #5, October 19
- Climate Finance Readiness Workshop for the Caribbean Region, Barbados, 24-25 October (in collaboration with CDB)



Thank You!

Adaptation Fund.org

<https://www.adaptation-fund.org/readiness/>

Climate finance Ready

<https://climatefinanceready.org/>

