ADAPTATION FUND

NIE Re-Accreditation : Process Overview

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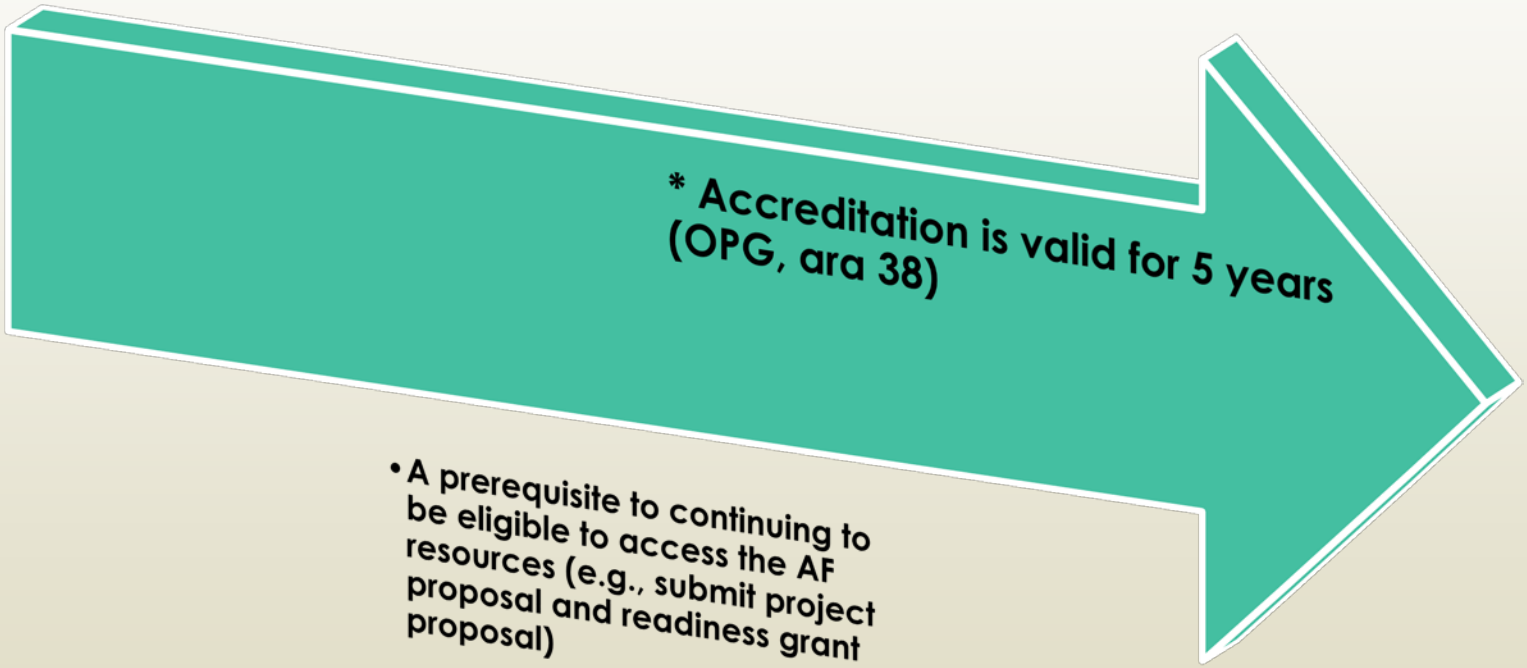
Number of **Accredited** IEs : as at July 2017

- **4 NIEs from LDCs** : *Senegal, Rwanda, Benin & Ethiopia*
- **6 NIEs from SIDS:** *Antigua & Barbuda, Belize, Dominican Republic, Jamaica, Micronesia, and Cook Island*

Re-Accreditation: Why & When?

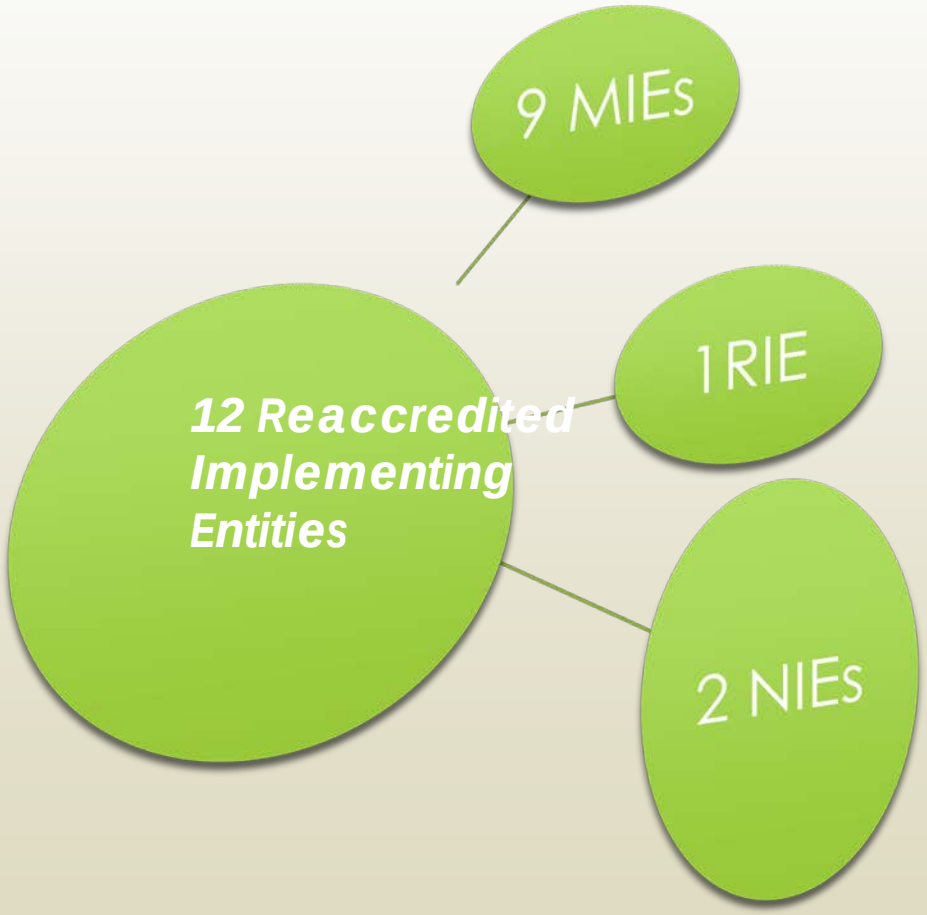


Re-Accreditation: Why & When? (Cont'd)

An entity can change significantly in 5 years, and reaccreditation is a process to ensure that the entity continues to comply with accreditation standards despite any changes.

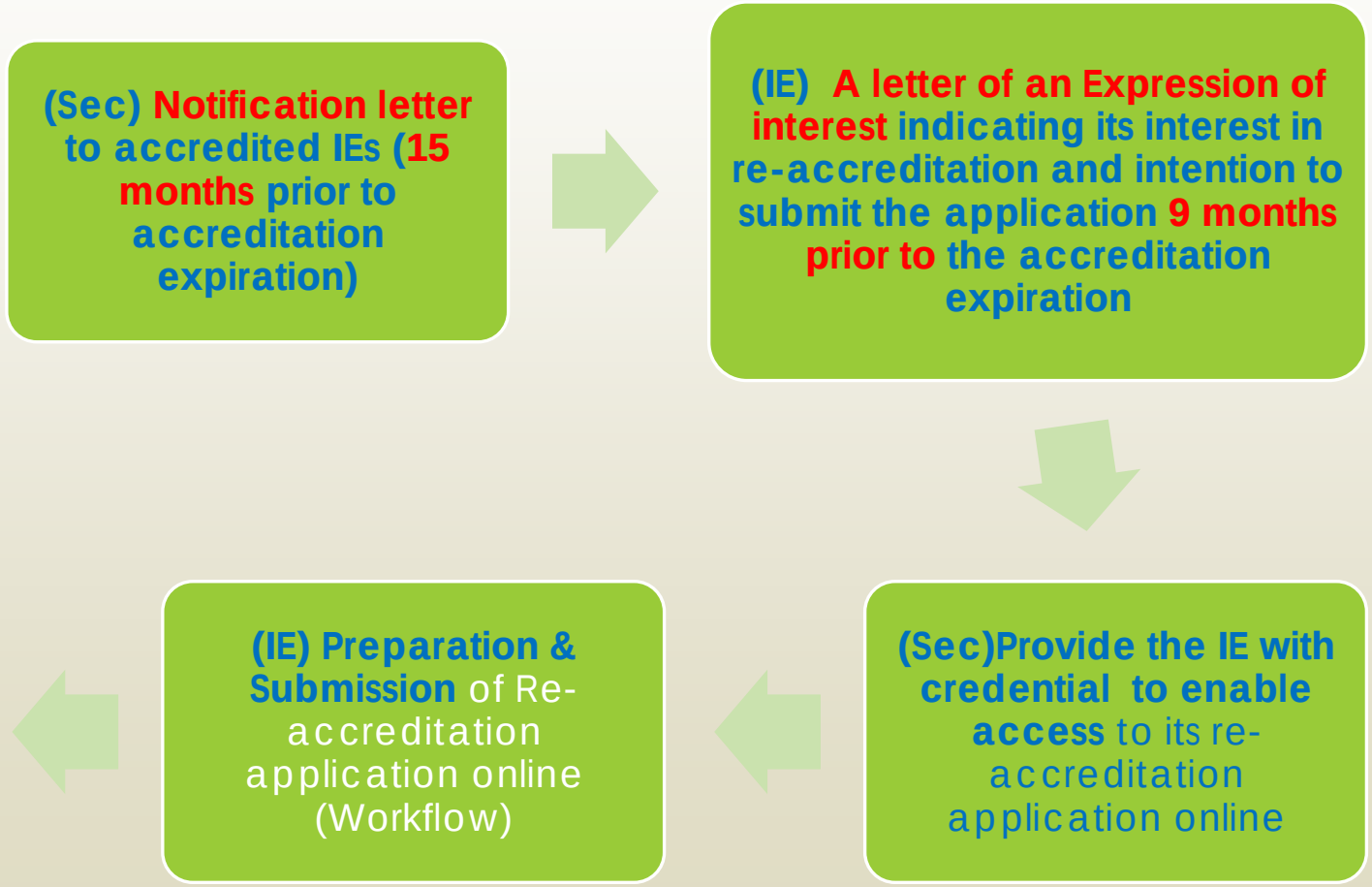
- IE needs to **describe any changes that have occurred** since its accreditation and **provide the most up-to-date supporting documentation**

Number of Re-accredited IEs : as at July 2017

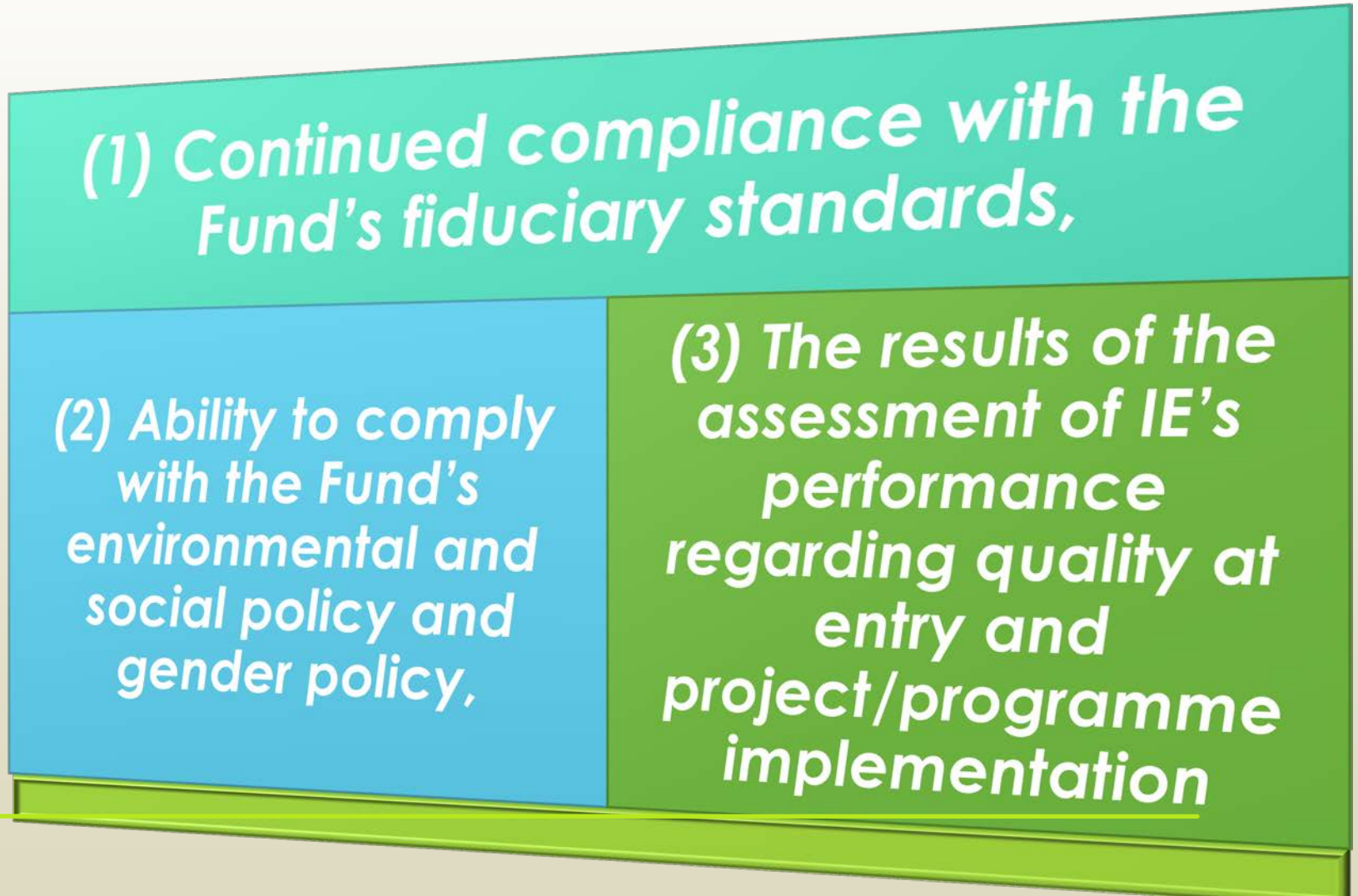


8 NIEs are in the process of re-accreditation

Re-Accreditation Process Overview



Main Focus of Re-Accreditation Review (Decision B.22/3)



Re-accreditation: Documentation

Fiduciary Standards

- Submit the most recent supporting documentation: i.e. the latest internal and external audit reports, new policies adopted, key personnel changes (in particular, changes at the management level), any changes to the organizational structure etc.
- **Where no changes have occurred in certain criteria*, explicitly state that the policies in place have not changed and state which documents from the original application continue to be applicable or alternatively resubmit the necessary documents***.

Environmental and Social Policy and Gender Policy

- Reflect the capacity and commitment and complaints handling and oversight reporting to assess and manage environmental and social risks and gender related issues.

Quality at Entry and Project/Programme Implementation Performance

- An assessment of quality at entry (QAE) of projects
- An assessment of project performance.
- Examples of documents demonstrating capacity such as those related to the project management cycle should reflect recent experiences.

‘Fast-Track’ Re-accreditation (Decision B.28/38) : Which IE is eligible?

An entity **accredited with AF** (e.g, **in 2013**)

The entity Accredited with GCF (e.g., **in 2015**)

The entity Apply for Reaccreditation with AF (e.g.,**in 2017)**

IE accredited with GCF within 4 years prior to the submission of the reaccreditation application to AF, it is eligible for ‘fast-track reaccreditation’ process

Eligible for “Fast-Track Reaccreditation” with AF

Main Focus of Fast-Track Re-accreditation

- ***IE needs to fill out only Sections I, II.1-2, IV.10-12 (of new Accreditation Form: https://www.adaptation-fund.org/document/opg-annex-6-accreditation-application/)***
  - ***Section 1 (Background/Contact Info)***
  - ***Section II.1-2 (Financial Management & Integrity)***
    - ***Legal Status & Financial Statements including Project Accounts Statement and the provision for Internal and External Audits***
  - ***Section IV. 10-12 (Transparency, self-investigative powers, and anti-corruption measures)***
    - ***Policies and Frameworks to deal with financial mismanagement and other forms of malpractices;***
    - ***Commitment by and capacity of IE to comply with the Fund's ESP and Gender Policy***
    - ***Mechanism to deal with complaints on environmental and social harms and gender harms caused by projects/program***

Informative Documents on Reaccreditation

Re-Accreditation Process document: https://www.adaptation-fund.org/document/re-accreditation-process

New Accreditation Application Form (updated in line with Gender Policy): https://www.adaptation-fund.org/document/opg-annex-6-accreditation-application/ (Particularly Useful for IE which was not assessed in terms of ESP and Gender at accreditation process)

Guidance on Accreditation Standards: https://www.adaptation-fund.org/wp-content/uploads/2016/10/Guidance-on-Accreditation-Standards.pdf

Fast-track Reaccreditation: https://www.adaptation-fund.org/wp-content/uploads/2016/09/AFB-EFC19-7-Rev.1_Effectiveness-and-efficiency_Gap-Analysis-GCF.pdf

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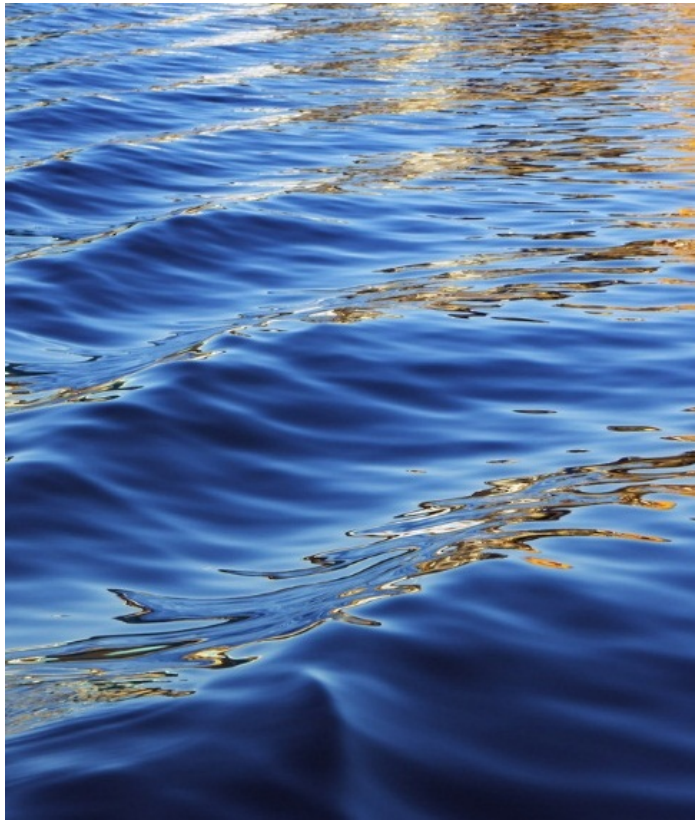
Any Question?