



ADAPTATION FUND

AFB/B.30/2
9 October 2017

Adaptation Fund Board
Thirtieth Meeting
Bonn, Germany, 12-13 October 2017

ANNOTATED PROVISIONAL AGENDA

1. Opening of the meeting

The meeting will be opened by the Chair of the Adaptation Fund Board, Mr. Michael Kracht (Germany, Annex I Parties).

2. Organizational matters

- a) Adoption of the agenda;

Documents: AFB/B.30/1, Provisional agenda and AFB/B.30/2, Annotated provisional agenda.

Action expected: The Board will be invited to adopt the agenda of the meeting and to raise any other matters it may wish to discuss, to be included on the agenda under item 15.

- b) Organization of work.

Document: AFB/B.30/2, Annotated provisional agenda.

Action expected: The Chair will propose to the Board the organization of work, in accordance with the Provisional timetable attached to document AFB/B.30/2.

- c) Assignment of an acting Chair for the Project and Programme Review Committee (PPRC) for the twenty-first meeting of the PPRC.

Document: none.

Background: Neither the Chair nor the Vice-Chair of the PPRC appointed for the term of office until March 2018 is present at the twenty-first meeting of the PPRC. Therefore, in accordance with the Rules of Procedure, paragraph 11, the Board may designate another member to temporarily serve as the Chair in the meeting.

“11. If the Chair is temporarily unable to fulfil the obligations of the office, the Vice-Chair shall in the interim assume the obligations and authorities of the Chair. In the

absence of the Chair and the Vice-Chair at a particular meeting, any other member designated by the Board shall temporarily serve as the Chair of that meeting.”

Action expected: The Chair may request nominations for an acting Chair for the twenty-first meeting of the PPRC. The Board may want to elect such member.

3. Report on activities of the Chair

Document: None.

Background: The Chair of the Board, Mr. Michael Kracht (Germany, Annex I Parties), will report on the activities carried out during the intersessional period.

Action expected: The Board will be invited to take note of the report.

4. Report on activities of the secretariat

Document: AFB/B.30/3, Report on the activities of the secretariat.

Background: The Manager of the secretariat will present the report contained in the above-mentioned document.

Action expected: The Board will be invited to take note of the report.

5. Report of the Accreditation Panel

Document: AFB/B.30/4, Report of the Accreditation Panel.

Background: The Chair of the Accreditation Panel, Mr. Chebet Maikut (Uganda, Least Developed Countries), will present the Panel's report to the Board with the support of the secretariat. The report contains the Accreditation Panel's two recommendations on the accreditation of an implementing entity and the re-accreditation process, respectively, the information on the status of accreditation applications and other issues related to the accreditation process.

Action expected: The Board will be invited to take note of the Report of the Accreditation Panel and to consider and decide on the recommendations of the Accreditation Panel.

6. Report of the twenty-first meeting of the Project and Programme Review Committee (PPRC)

Document: The PPRC will issue its report after its twenty-first meeting, which will take place on 10-11 October 2017.

- a) Background: in the absence of the Chair and Vice-chair of the PPRC, the acting Chair will present the PPRC report to the Board. The report contains

recommendations on project/programme proposals and any other matters discussed by the PPRC.

Action expected: The Board will be invited to consider and adopt the recommendations of the PPRC.

7. Report of the twenty-first meeting of the Ethics and Finance Committee (EFC)

Document: The EFC will issue its report after its twenty-first meeting, which will take place on 10-11 October 2017.

Background: The Chair of the EFC, Ms. Patience Dampney (Ghana, Non-Annex I Parties), will present the EFC report to the Board. The report contains recommendations on the following issues considered by the EFC:

- a) Annual performance report for the fiscal year 2017;
- b) Options for an evaluation function and cost implications;
- c) New annex to the Operational Policies and Guidelines related to project/programme implementation;
- d) Financial issues; and
- e) Update of the second phase of the overall evaluation of the Fund.

Action expected: The Board will be invited to consider and adopt the recommendations of the EFC.

8. Issues remaining from the twenty-ninth meeting:

- a) Medium-term strategy for the Fund;

Document: AFB/B.30/5, *Draft medium-term strategy for the Fund*

Background: At its twenty-ninth meeting, the Board decided to request the secretariat: a) to draft the medium-term strategy, under supervision and guidance of the Task Force on the medium-term strategy; b) To publish the draft medium-term strategy for public consultation purposes; and c) To present a new version of the draft medium-term strategy for consideration by the Board at its thirtieth meeting. (Decision B.29/39). The secretariat has prepared a new version of the strategy, following comments received from stakeholders and the civil society, under supervision and guidance of the Task Force on the medium-term strategy.

Action expected: The Board will be invited to consider the draft medium-term strategy.

- b) Strategic discussion on objectives and further steps of the Fund. Potential linkages between the Fund and the Green Climate Fund;

Document: AFB/B.30/6, *Potential linkages between the Fund and the Green Climate Fund*

Background: At the twenty-ninth meeting of the Board, under the agenda item “Strategic discussion on objectives and further steps of the Fund”, the secretariat presented the document AFB/B.29/6, which it had prepared in consultation with the trustee in response to decision B.28/45, updating document AFB/B.26/5 containing further legal, operational and financial analysis on the implications of various linkages with the GCF. The Board had agreed to pursue a two-track approach whereby the Chair, Vice-Chair and secretariat would continue a dialogue with their GCF counterparts, and the secretariat would further investigate the legal, operational and financial issues surrounding linkages with the GCF. By decision B.17/8, the GCF Board adopted the Operational Framework on complementarity and coherence with the work of other funds contained in annex II to document GCF/B.17/8. The Operational Framework consists of four operational pillars: (i) Board-level discussions on fund-to-fund arrangements; (ii) Enhanced complementarity at the activity level; (iii) promotion of coherence at the national programming level; and (iv) complementarity at the level of delivery of climate finance through an established dialogue. The current document is presented in response to paragraph b) (ii) of Decision B.19/40 presenting further analysis on the implications of various linkages with the GCF.

Action expected: The Board will be invited to consider the information provided in document AFB/B.30/6 and decide on a course of action regarding the operational linkages with the GCF.

- c) Updated Operational Policies and Guidelines to include procedures related to the Readiness Programme;

Document: AFB/B.30/7, *Amendment to the Fund Operational Policies and Guidelines*

Background: At its twenty-ninth meeting, the Board approved an updated results framework for the Readiness Programme, and requested the secretariat to implement further steps to enable transitioning of the Readiness Programme into a long term and permanent feature of the Fund. The Board decided, (a) to approve the framework for the Readiness Programme as contained in document AFB/B.29/8; (b) to request the secretariat [...] (ii) to update the Adaptation Fund Operational Policies and Guidelines to include procedures for review and approval of projects under the Readiness Programme for consideration by the Board at its thirtieth meeting [...] (Decision B.29/42)

The secretariat has prepared document AFB/B.30/7 subsequent to paragraph b) (ii) of Decision B.29/42 above. In fulfilling the decision by the Board, the secretariat has also updated the general formatting and consistency of the OPG with the intention of making several improvements, elaborations and clarifications to the text. Proposed amendments have been introduced to the main text of the OPG

and to the text in Annex 5 of the OPG. The updated OPG and subsequent update to Annex 5 of the OPG are included in schedule annex A and B of this document.

Action expected: The Board will be invited to consider and make recommendation on document AFB/B.30/7.

d) Updated results framework of the Readiness Programme.

Document: AFB/B.30/8, Updated results framework of the Readiness Programme

Background: At its twenty-ninth meeting, the Board approved an updated results framework for the Readiness Programme, and requested the secretariat to implement further steps to enable transitioning of the Readiness Programme into a long term and permanent feature of the Fund. The Board decided, (a) to approve the framework for the Readiness Programme as contained in document AFB/B.29/8; (b) to request the secretariat [...] ((iii) to update the results framework of the Readiness Programme to align with the Adaptation Fund medium term strategy when complete; (iv) to update the results framework of the Readiness Programme in line with the Adaptation Fund Results-Based Management System (RBM) and knowledge management strategy for consideration by the Board at its thirtieth meeting. This includes updating the Adaptation Fund's project performance report (PPR) template to enable national implementing entities to capture and evaluate their experience and lessons learnt from using readiness grants and the resulting added benefits of the grants for the implementing entities to achieve desired outcomes or results from regular projects/programmes of the Adaptation Fund [...] (Decision B.29/42)

In response to the request by the Board made in paragraphs b) (iii) and b) (iv) of Decision B.29/42, document AFB/B.30/8 provides an updated Results Framework for the Readiness Programme that outlines the components, objectives, outcomes and indicators for the programme. The Results Framework is consistent with the approach to implementing results based management adopted by the Board at its tenth meeting through Decision B.10/13 and would enable the Board to monitor and evaluate the performance of the Readiness Programme over time and as a component of the overall results based management (RBM) system of the Fund.

Action expected: The Board will be invited to consider and make recommendation on document AFB/B.30/8.

9. Reports of the portfolio monitoring missions to Egypt, Georgia and Turkmenistan

Documents: AFB/B.30/9, Report of the portfolio monitoring mission: Egypt and AFB/B.30/10, Report of the portfolio monitoring mission: Georgia and Turkmenistan

Background: The secretariat staff members conducted the portfolio monitoring missions to project sites in Egypt, Georgia and Turkmenistan in FY17. Representatives of the secretariat will present the reports of the missions.

Action expected: The Board will be invited to consider and take note of the reports.

10. Communications and outreach

Document: AFB/B.30/3, *Report on the activities of the secretariat*

Background: The secretariat will report on its communication efforts since the twenty-ninth meeting, including on events scheduled during the upcoming the Bonn 2017 UN climate change conference (6 - 17 November 2017).

Action expected: The Board will be invited to take note of the report.

11. Financial issues

- a) Financial status of the Trust Fund and CER monetization.

Documents: AFB/EFC.21/8, *Adaptation Fund Trust Fund: Financial Report Prepared by the Trustee (as at 30 June 2017)*; AFB/B.30/Inf.4, *Trustee Presentation: Update on Status of Resources and CER monetization*

Background: The trustee will report on the financial status of the trust funds and the programme on CER monetization to the Board.

Action expected: The Board will be invited to consider and take note of the report.

12. Dialogue with civil society organizations

Document: AFB/B.30/Inf.5, *Agenda of the Civil Society Dialogue*

Background: The Board will hold another session of its ongoing dialogue with civil society organizations.

Action expected: The Board will be invited to take note of the conclusions of the dialogue.

13. Date and venue of meetings in 2018 and onwards

Background: The secretariat will present the dates for upcoming Board meetings to be confirmed by the Board.

Action expected: The Board will be invited to confirm the meetings' schedule.

14. Implementation of the code of conduct

Document: [Code of Conduct](#) and [Zero Tolerance Policy for the Board](#) (Adopted in October 2014)

Background: At its nineteenth meeting, the EFC underscored that all Board members and alternates should be aware of the code of conduct, the zero tolerance policy for fraud and corruption, and the procedure for reporting such issues to the EFC. Having considered the comments and recommendation of the EFC, the Board decided to address the item relating to the code of conduct as the last substantive item on its agenda at every Board meeting (Decision B.28/41).

Action expected: Board members and alternates can raise any issues related to the implementation of the code of conduct for deliberation by the Board. The Board will be invited to discuss any issues raised, and make decisions as appropriate. The code of conduct and zero tolerance policy are available on the Fund's website.

15. Other matters

Action expected: The Board will be invited to discuss any other matters raised during the adoption of the agenda.

16. Adoption of the report

Action expected: The Board will be invited to adopt the decisions approved at its thirtieth meeting. The report of the thirtieth meeting will be finalized by the Chair with assistance of the secretariat and adopted during the intersessional period.

17. Closure of the meeting

PROVISIONAL TIMETABLE

Organizing session: 10 October 2017

9:00 – 9:20	1	Opening of the meeting
	2 a)	Adoption of the agenda
	2 b)	Organization of work
	2 c)	Assignment of an acting Chair for the Project and Programme Review Committee (PPRC) for the twenty-first meeting of the PPRC.

Day 1: 12 October 2017

Morning Session

9:00 – 9:15	3	Report on activities of the Chair
9:15 – 9:30	4	Report on activities of the secretariat
9:30 – 10:15	5	Report of the Accreditation Panel
10:15 – 10:30		<i>Coffee break</i>
10:30 – 11:15	6	Report of the PPRC
11:15 – 12:00	7	Report of the EFC
12:00 – 12:15	10	Communications and outreach

Afternoon Session

14:00 – 14:30	8 a)	Medium-term strategy for the Fund
14:30 – 15:15	8 b)	Strategic discussion on objectives and further steps of the Fund. Potential linkages between the Fund and the Green Climate Fund
15:15 – 15:30		<i>Coffee break</i>
15:30 – 16:30	12	Dialogue with civil society organizations
16:30 – 17:00	11 a)	Financial status of the Trust Fund and CER monetization

Day 2: 13 October 2017*Morning Session*

9:00 – 9:30 8 c) Updated Operational Policies and Guidelines to include procedures related to the Readiness Programme

9:30 – 10:15 8 d) Updated results framework of the Readiness Programme

10:15 – 10:30 14 Implementation of the code of conduct

10:30 – 10:45 Coffee break

10:45 – 11:30 9 Reports of the portfolio monitoring missions to Egypt, Georgia and Turkmenistan

11:30 – 12:15 15 Other matters

12:15 – 12:30 13 Date and venue of meetings in 2018 and onwards

Afternoon Session

15:00 – 16:00 16 Adoption of the report

17 Closure of the meeting