



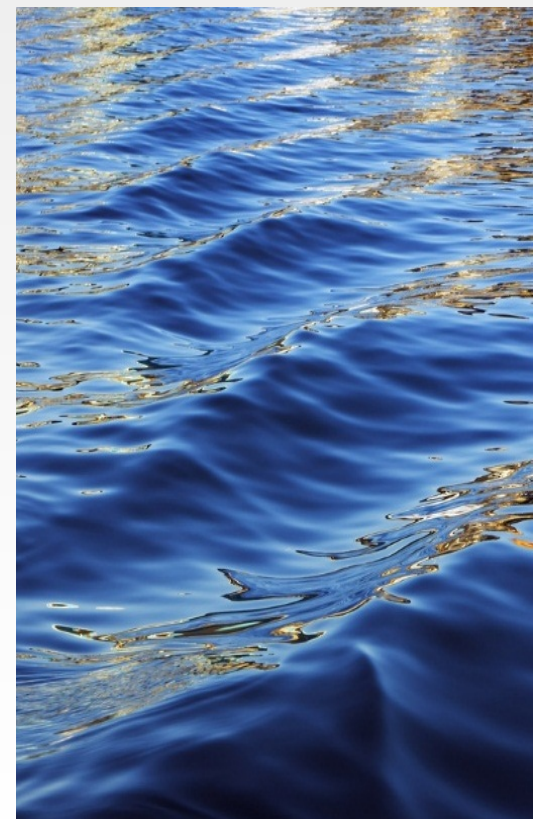
**ADAPTATION FUND**

## **Readiness Programme for Climate Finance**

**CLIMATE FINANCE READINESS  
WORKSHOP ON ACCREDITATION  
GAPS, CHALLENGES, AND READINESS  
SUPPORT FOR ENHANCING DIRECT  
ACCESS TO CLIMATE FINANCE**

**4 - 6 April 2018, Nairobi, Kenya**

Farayi Madziwa: Adaptation Fund Board Secretariat



# Presentation Outline

- Background
- Activities of the Readiness Programme
- Readiness grants to support accreditation

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# The Readiness Programme was Launched in May 2014

- **Goal:**

- ✓ To increase the number of accredited national implementing entities (NIEs), and strengthen the overall capacity of NIEs

- **Specific objectives:**

- To increase the preparedness of applicant national implementing entities seeking accreditation by the Adaptation Fund
- To increase the number of high quality project/programme proposals submitted to the Board within a reasonable time period after accreditation



- **Institutionalized by the Board in April 2016 (Decision B.27/38)**

# Presentation Outline

➤ Background

➤ Activities of the Readiness Programme

➤ Readiness grants to support accreditation



# Activities of the Readiness Programme

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Implemented through 4 key components

- Support to accredited IEs
- Cooperation/Partnership with climate finance readiness providers
- Support to countries seeking accreditation
- Knowledge management

# Component 1: Support to accredited IEs

## 28 accredited NIEs

- Technical Assistance Grants
  - ❖ TA for ESP and Gender (TA-ESGP) – 25k
  - ❖ TA for Gender (TA-GP) – 10k
  - ❖ **Project Formulation Assistance (PFA) – 20k**
- Peer-peer learning
  - ❖ Climate finance readiness seminar for NIEs: Annual event usually in Washington DC. Seminar #4 to be held July 2017, Costa Rica.
  - ❖ Webinars: Webinar #4: held on 20 April 2017, Monitoring & Evaluation. Next webinar 26 October 2017.
  - ❖ SB46 side event: shared experience of NIEs (ADA – Morocco; Dept. of Environment – Antigua and Barbuda; and SANBI – South Africa).
  - ❖ Climate finance readiness workshops e.g. LDC Workshop

## 28 accredited NIEs

# Component 1: Support to accredited IEs

## - Policies and Guidance documents

- ❖ ESP guidance document
- ❖ Gender Policy



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### Guidance document for Implementing Entities on compliance with the Adaptation Fund Environmental and Social Policy

#### 1. The Adaptation Fund Environmental and Social Policy

The Adaptation Fund (the Fund) finances climate adaptation projects and programmes<sup>1</sup> for vulnerable communities in developing countries that are Parties to the Kyoto Protocol. The Fund's Environmental and Social Policy (ESP)<sup>2</sup>, approved in November 2013 and revised in March 2016, ensures that projects and programmes supported by the Fund promote positive environmental and social benefits, and mitigate or avoid adverse environmental and social risks and impacts. Managing these risks is integral to the success of the projects/programmes and the desired outcomes are described in the 15 environmental and social principles (principles) of the ESP.

The ESP is operationalized at the Fund level at two key stages: during the process of accrediting Implementing Entities (IEs); and during the process of project and programme review, both at the IE and the Adaptation Fund Board (the Board) levels. At the time of project/programme proposal review, it should be clear that: 1) the IE has the capacity and the commitment to comply with the ESP<sup>3</sup>; 2) the IE has identified the environmental and social risks associated with the project/programme; and 3) the IE has, at least, initiated the process of managing these risks in a collaborative way, where possible, and has a clear prospect of success within a reasonable timeframe.

This document is **not intended to help IE candidates demonstrate compliance with the ESP during the accreditation process**, which is briefly described in Box 1 below. It is rather intended to provide supplemental information about the ESP and to support IEs in screening, identifying, and managing environmental and social risks of projects/programmes under their supervision. This document provides practical guidance to IEs on achieving and demonstrating compliance with the ESP in the project and programme cycle whenever project implementation has the potential to trigger environmental and/or social risks. IEs may screen/assess compliance in any reasonable manner they deem appropriate; the guidance provided in this document is not intended to be prescriptive.

This document provides practical examples, suggestions, and recommendations for achieving and assessing compliance with ESP. Section 2 discusses the screening and

<sup>1</sup> An adaptation project/programme is defined as a set of activities that address the adverse impacts of and risks posed by climate change. An adaptation programme is a process, plan, or approach for addressing climate change impacts that is broader in scope than an individual project.

<sup>2</sup> Available at [https://adaptation-fund.org/sites/default/files/Environmental%20&%20Social%20Policy%20\(approved%20Nov2013\).pdf](https://adaptation-fund.org/sites/default/files/Environmental%20&%20Social%20Policy%20(approved%20Nov2013).pdf)

<sup>3</sup> Capacity and commitment to comply with the ESP are normally assessed during the process of accreditation of Implementing Entities whose applications were submitted after the approval of the ESP in November 2013, or during the re-accreditation process. See Operational Policies and Guidelines for Parties to Access Resources from the Adaptation Fund (Amended in Oct. 2014), para. 34.



ADAPTATION FUND

3 March 2017

Adaptation Fund Board

### GUIDANCE DOCUMENT FOR IMPLEMENTING ENTITIES ON COMPLIANCE WITH THE ADAPTATION FUND GENDER POLICY



# Component 2: Cooperation/Partnership with climate finance readiness providers

## National Implementing Entities



## Bilateral, Multilateral Organizations



THE WORLD BANK

Working for a World Free of Poverty



Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH



Central American Bank for Economic Integration

CABEI



United Nations Framework Convention on Climate Change



The Commonwealth



## Global Funds



GREEN CLIMATE FUND

## NGOs, Foundations, and Private Sector Entities

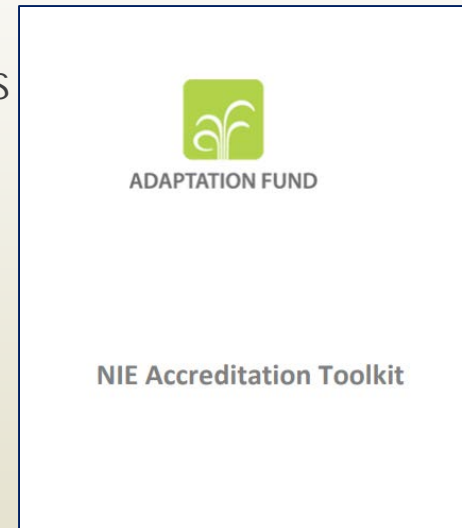


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## Component 3: Support to countries seeking accreditation

- S-S cooperation grants through accredited NIEs
- Readiness support package
- Climate finance readiness workshops
- Tools and guidance documents
  - ❖ Accreditation toolkit
  - ❖ Guidelines for selection of NIE



- Module 1: Basics – NIE definition, role of DA, case studies.
- Module 2: IE accreditation and standards (Financial integrity & mgmt.; Institutional capacity; Transparency, self investigative powers & anti-corruption measures
- Quiz

## Component 4: Knowledge management

- NIE adaptation stories published
- Climate Finance Ready microsite
- Contribution to secretariat KM strategy
- Community of Practice (CPDAE): [www.directaccesscommunity.org/](http://www.directaccesscommunity.org/)

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# Approved Readiness Grants



- 29 readiness grants approved in 26 Countries
- 13 S-S cooperation grants
- Total disbursed S-S grants: US\$ 634,694

# South-South (S-S) Cooperation Grants

- Component 3 of Readiness Programme: Countries without an NIE may apply for a grant to receive support from an existing NIE (up to 50k)
- Support in one or more of the following:
  - identifying potential NIE candidates;
  - assisting NIE candidates in the preparation of applications to be submitted to the Fund;
  - providing support and advice during the application process.
- Expected Benefits:
  - Can speed up the accreditation process
  - Instills confidence in the applicant
  - Responds to common challenges
    - Selection of an appropriate entity for accreditation (due diligence when reviewing existing institutional capacity)
    - Understanding of and competence in fiduciary standards



# The Readiness support package

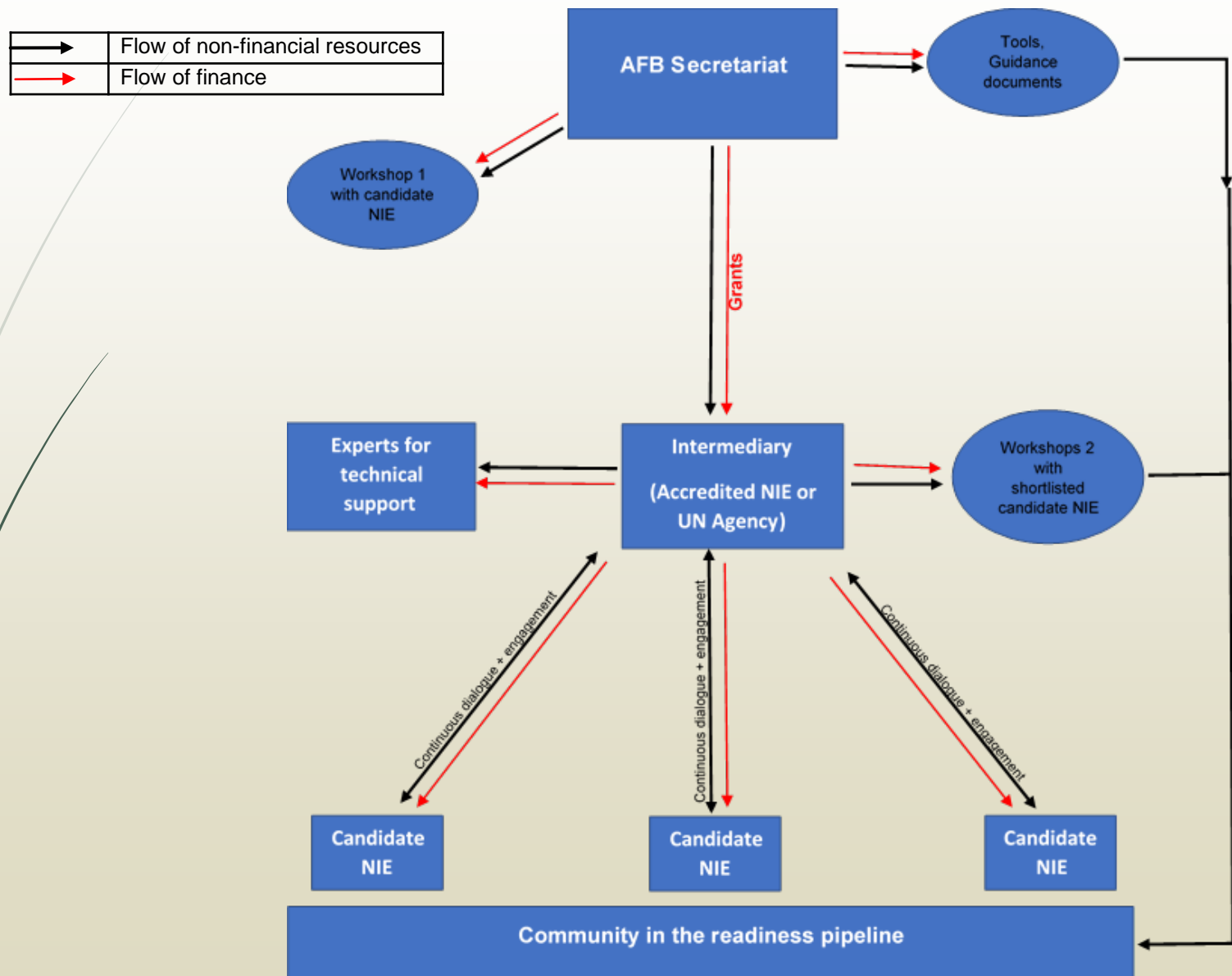


- Approved at AFB  
29, March 2017

- Tailored support  
to countries



# Readiness support package overview



# Readiness support package pilot phase

- **Grant of up to US\$ 100,000**
  - NIE candidate expected to drive process of its own accreditation (*put in place necessary institutional arrangements, policies, procedures and capacities; make the necessary management decisions, inter-departmental/organizational coordination, and avail the necessary human and financial resources to actively drive the accreditation process within the entity*)
- **Support in one or more of the following:**
  - In-country support to prepare an application;
  - Technical support to address gaps in policies, procedures and other institutional arrangements (*demonstration remains the responsibility of the NIE candidate*);
  - Organization of “regional” consultations/workshops to support several NIE candidates.
  - May set aside small portion of the grant to address and respond to feedback provided by the Accreditation Panel (recommended)



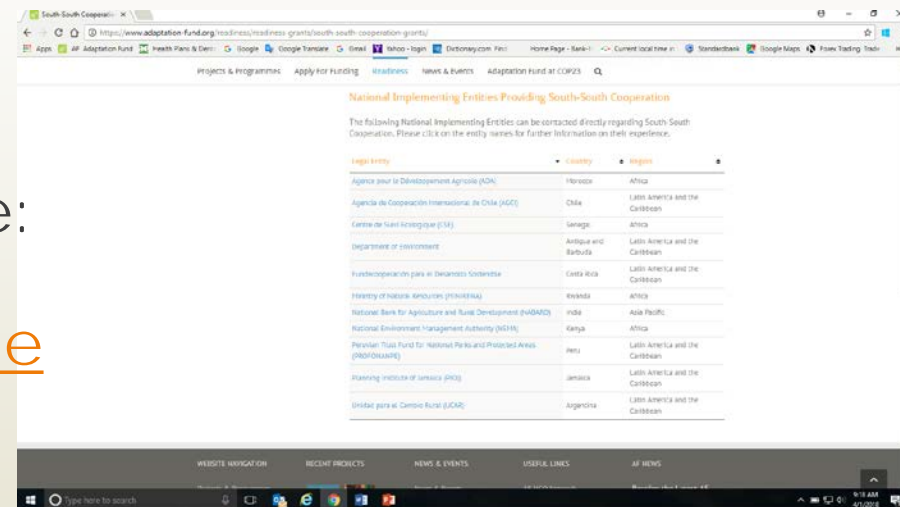
# Readiness support package pilot phase eligibility criteria: NIE candidate

- NIE candidate must meet the following;
  - Must be located in a developing country Party to KP with no existing accredited NIE
  - Must have a DA
  - Must submit DA letter of endorsement together with expression of interest
  - Must have log-on credentials to accreditation workflow
  - Must not be under review by AP

# Readiness support package pilot phase eligibility criteria: **Intermediary**

- **Intermediary must meet the following;**

- Must have “active accreditation”
- Must be listed on AF S-S grant readiness web page: <https://www.adaptation-fund.org/readiness/readiness-grants/south-south-cooperation-grants/>
- Must have experience implementing a readiness project through an AF S-S grant
- Must have experience implementing a concrete AF project/programme (demonstrates effective compliance with fiduciary standards and OPG)
- Must have experience advising, supporting applicant NIEs



The screenshot shows a web browser displaying the Adaptation Fund's website. The page is titled "National Implementing Entities Providing South-South Cooperation". Below the title, there is a table with three columns: "Entity", "Country", and "Region". The table lists various entities and their corresponding countries and regions.

Entity	Country	Region
Agencia para el Desarrollo Agrario (ADA)	Honduras	Latin America and the Caribbean
Agencia de Cooperación Internacional de Chile (AGC)	Chile	Latin America and the Caribbean
Centro de Investigación (CI)	Senegal	Latin America and the Caribbean
Department of Environment	Antigua and Barbuda	Latin America and the Caribbean
Fundación para el Desarrollo Sostenible	Costa Rica	Latin America and the Caribbean
Instituto de Estudios Agrarios (INIA)	Ecuador	Latin America and the Caribbean
National Bank for Agriculture and Rural Development (NABARD)	India	Asia Pacific
National Environment Management Authority (NEMA)	Kenya	Latin America and the Caribbean
Proyecto Tasa Fina for National Parks and Protected Areas (PROTASA)	Peru	Latin America and the Caribbean
Planning Institute of Jamaica (PIJ)	Jamaica	Latin America and the Caribbean
Unidad para el Cambio Rural (UCAR)	Argentina	Latin America and the Caribbean

# Readiness support package review and selection criteria for the pilot phase: **Intermediary + NIE candidate**



# Readiness support package pilot phase implementation

- **Workshop with intermediary + NIE candidates**
  - Discuss country-specific challenges and possible ways of addressing these
  - Train the trainer: familiarize experts/consultants with the AF accreditation process and procedures
  - In-depth discussion of the accreditation application form relevant to country context
  - Implementation of project by Intermediary over 12 months (includes reporting and evaluation)



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# Thank You!

Adaptation Fund.org

<https://www.adaptation-fund.org/readiness/>

Community of Practice for Direct Access Entities (CPDAE)

<https://www.directaccesscommunity.org/>

