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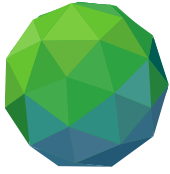
Complementarity and Coherence between the Green Climate Fund & the Adaptation Fund

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Climate Finance Readiness Seminar for NIEs #5

30th August 2018

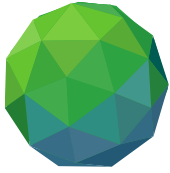


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Agenda

1. Engaging with GCF
2. Readiness Support to countries and entities
3. Climate finance: Creating complementarity & coherence
4. Identifying synergies between AF & GCF
5. Examples from the ground
6. Discussion



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Engaging with GCF

Establish and maintain a National Designated Authority (NDA) or focal point

Strategic engagement through country programmes

Identify and seek accreditation of entities to access resources from the Fund

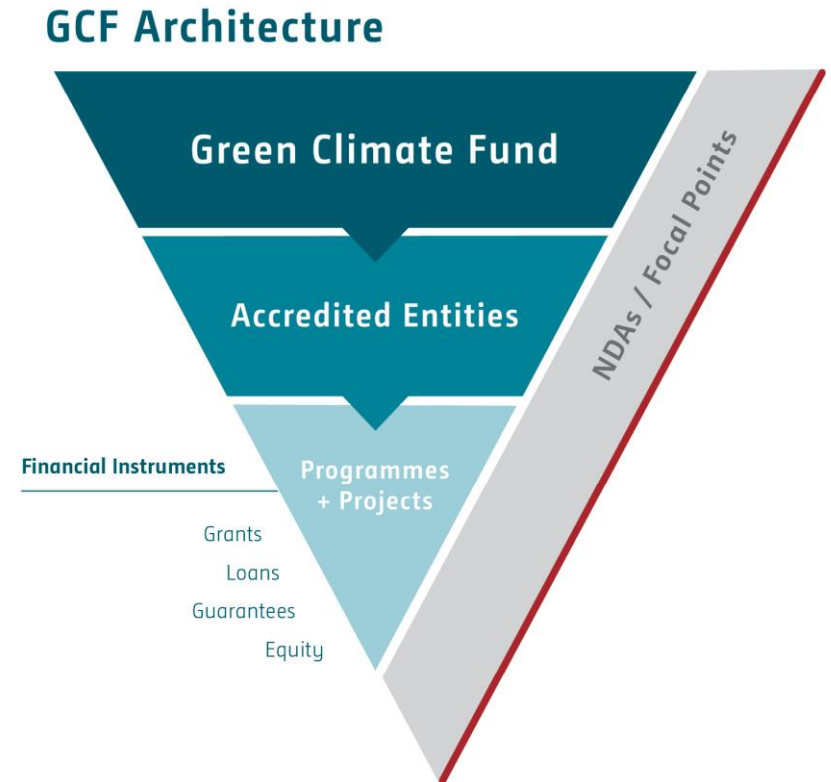
Develop projects and programmes to bring forward funding proposals through accredited entities

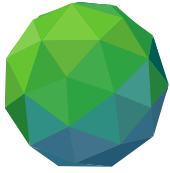


Principles of Country Programming

- Country owned – driven by national context, national processes and national stakeholders
- Coordination role of the NDA is key
- Multi sector stakeholder processes – including key development sectors, private sector and civil society
- Gender inclusive

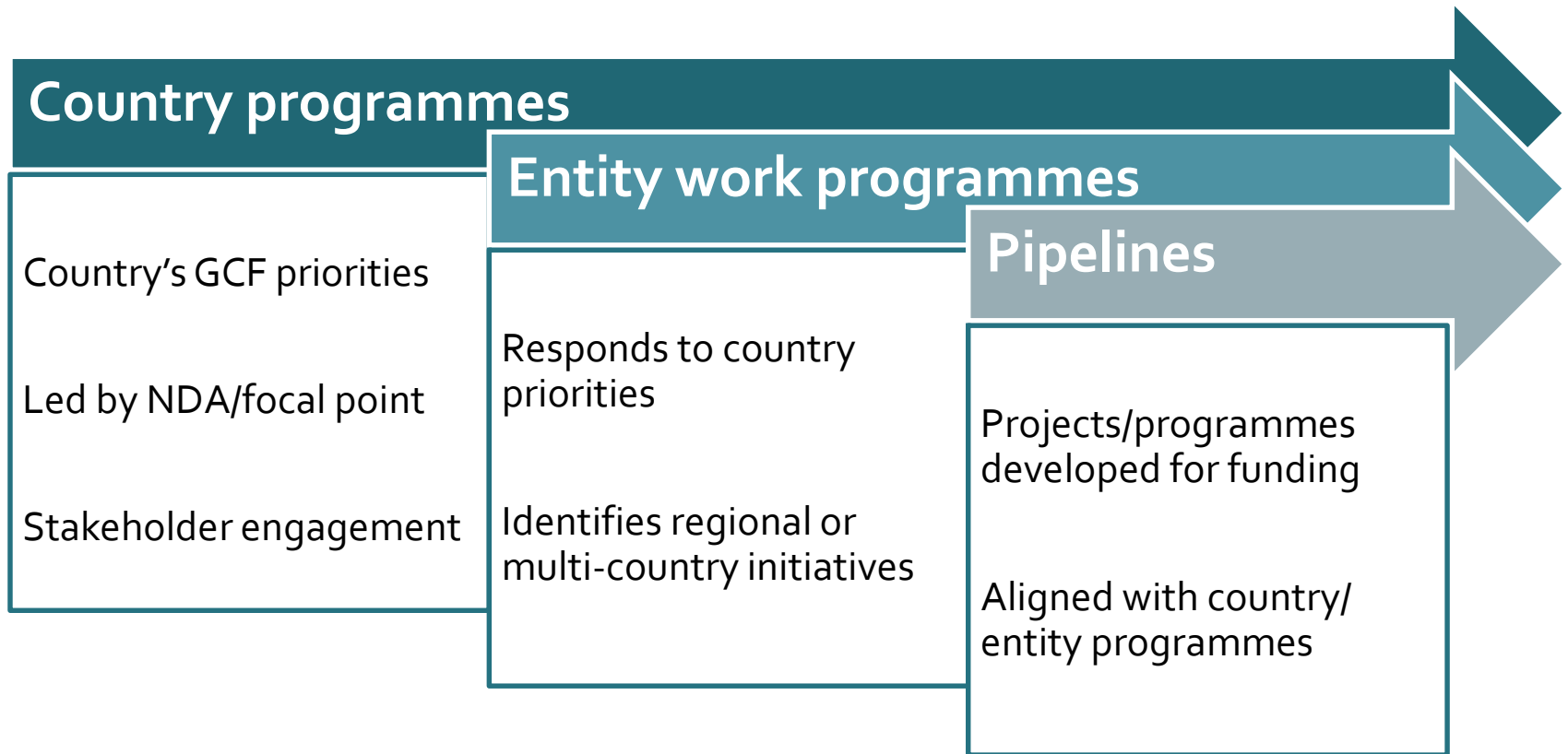
GCF and its partners – Country ownership

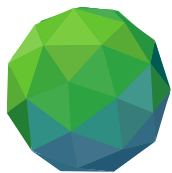




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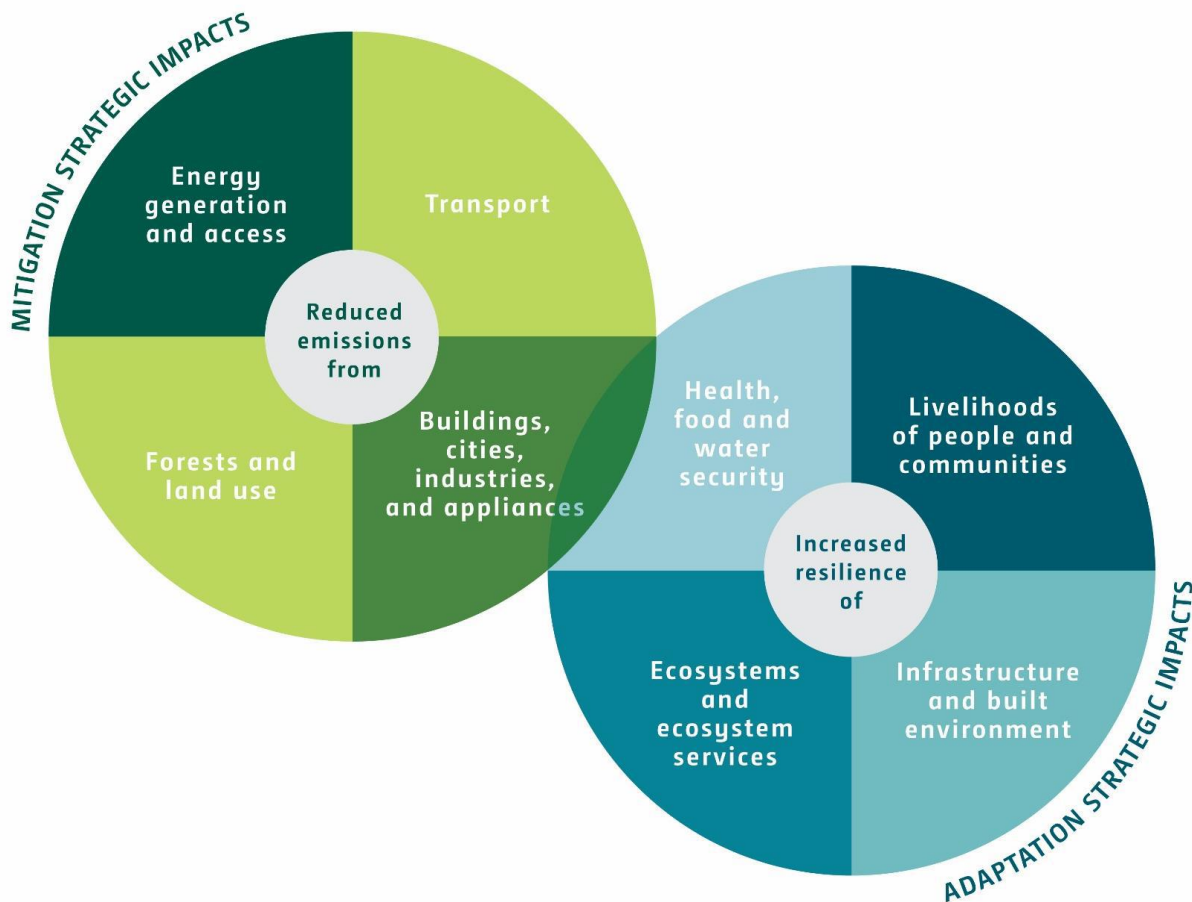
Programming continuum





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Areas of Strategic Impact



Size of project

- Micro: up to USD 10 million
- Small: up to USD 50 million
- Medium: up to USD 250 million
- Large: above USD 250 million



Accreditation to the GCF

- Entities seeking accreditation to the GCF in order to access its resources will be assessed against:
 - GCF's initial [fiduciary principles and standards](#)
 - GCF's interim [environmental and social safeguards \(ESS\)](#) (including GCF's [Information Disclosure Policy](#) with requirements to disclose E&S reports for certain categories of E&S risk), and
 - GCF's [gender policy](#).
- [Fees](#) for the accreditation application will be paid by the entity once the application is submitted
- Currently, GCF has [59 Accredited Entities](#) of which [32 are Direct Access Entities](#)



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Readiness Support to countries and entities

GCF Readiness Support

1. NDA Strengthening

2. Strategic Frameworks

3. Support for Direct Access Entities

4. Adaptation Planning Processes

Up to \$1M per
country per year

Up to \$3M per
country
(not per year)

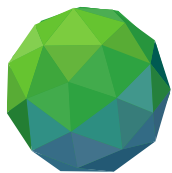
Process:

- Support discussed and requested through National Designated Authorities



Support to Entities

- Entities that apply under the [direct access modality](#) can at any time request for and may be eligible to receive **readiness and preparatory support** to meet the GCF's [accreditation requirements](#).
- Readiness support is available for:
 - Awareness raising of accreditation processes and standards (e.g. fiduciary, environment and social safeguards, and gender)
 - Institutional gap analyses and developing personalized capacity building plans to address identified gaps
 - Building the capacity of accredited direct access entities, in areas such as ESS, the GCF gender policy and action plan, and monitoring, reporting and evaluation.
 - Strengthen accredited direct access entities institutional capacities through structured trainings
 - Support in developing their entity work programme
 - Strengthen the capacities of sub-national institutions/executing entities. 10



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Readiness support to direct access entities

\$6.8 M for 41 entities

LATIN AMERICA & the CARIBBEAN

16 approved
(USD 3.2M, 10 countries)
12 disbursed
(USD 1.9M, 8 countries)

Eastern Europe

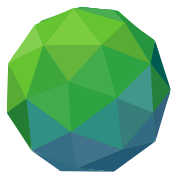
1 approved
(USD 33K, 1 country)
1 disbursed
(USD 13K, 1 country)

AFRICA

13 approved
(USD 2.5M, 10 countries)
8 disbursed
(USD 1M, 10 countries)

ASIA-PACIFIC

10 approved
(USD 1.1 M, 8 countries)
9 disbursed
(USD 347K, 7 countries)



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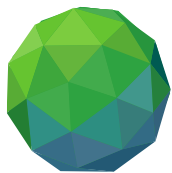
Support to direct access entities

Accreditation Gap Assessment and Action Plan

A world map with 29 entities labeled, representing the locations of entities nominated by 33 countries. The entities are distributed across various regions, including North America, Central America, the Caribbean, South America, Africa, Europe, Asia, and Oceania.

Entity
Georgia
Tunisia
Pakistan
Bangladesh
Palau
FSM
Cook Islands
Fiji
Mexico
Jamaica
Antigua & Barbuda
Honduras
El Salvador
Guatemala
Colombia
Peru
Brazil
Uruguay
Senegal
Sierra Leone
Congo
Cameroon
Equatorial Guinea
Gabon
Kenya
Mozambique
Zimbabwe
Chad
CAF
Benin
Georgia
Cambodia
Seychelles

29 entities nominated by 33 countries



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Readiness support to Direct Access Accredited Entities

LATIN AMERICA & the CARIBBEAN

UCAR: Argentina
Profonampe: Peru

AFRICA

EIF: Namibia
SANBI: South Africa
CSE: Senegal
ADA: Morocco
NEMA: NEMA

Approved: \$2.5 million for 7 entities



Project Preparation Facility (PPF)

Aim: To support project and programme preparation

- Accredited entities (AEs), especially direct access accredited entities, can request support from the PPF
- Each request will be subject to a cap of **USD 1.5 million**
- Proposals developed with PPF resources should be submitted to the Board within **two years** after the PPF request was approved

Current status:

- Secretariat has approved 6 PPF requests from DAEs. 25 more are being processed from DAEs at the moment.

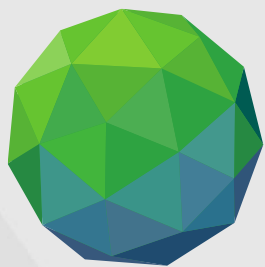


Type of PPF activities

1. Pre-feasibility and feasibility studies, as well as project design;
2. Environmental, social and gender studies; including risk assessments;
3. Identification of programme/project-level indicators;
4. Pre-contract services, including the revision of tender documents;
5. Advisory services and/or other services to financially structure a proposed activities; and
6. Other project preparation activities, where necessary, provided that sufficient justification is available.

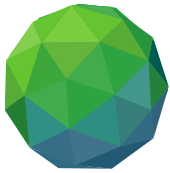
How to Apply?

- A PPF request should be submitted by an AE to ppf@gcfund.org
- Required documents:
 - PPF application form
 - No-objection letter for PPF
 - Project idea (Concept note, or Funding Proposal, or Annex of PPF application form)



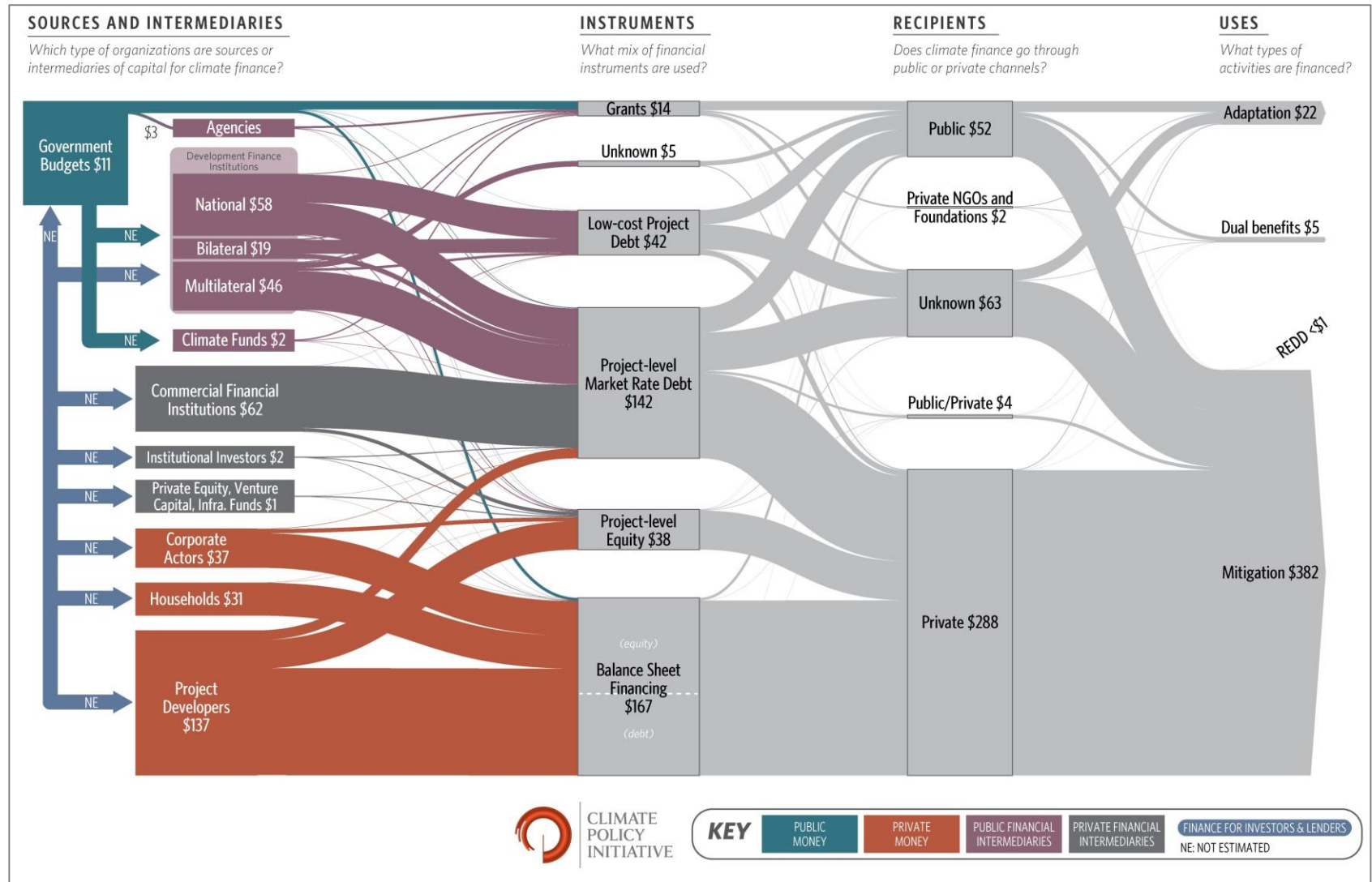
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Climate Finance: Complementarity between funds



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The landscape of climate finance





Adaptation Fund mandated to pursue complementarity and coherence

- CMP10 (Lima 2014) requested the AF Board to consider options for developing operational linkages between the AF and bodies under the Convention (such as the GCF)

In 2015 the Board considered matters related to complementarity, including establishing an operational linkage between the AF and the GCF and decided to request:

- a) The Chair and Vice-Chair, based on decision B.24-25/9 and discussions at the twenty-fifth meeting of the Adaptation Fund Board, to initiate consultations with the Standing Committee on Finance and start a dialogue with the Green Climate Fund (GCF) Board, on potential linkages between the two funds and request the issue of complementarity between the two funds to be considered by the GCF Board at the earliest;
- b) {};
- c) The secretariat to discuss with the secretariat of the GCF concrete activities to initiate collaboration, including but not limited to the following areas:
 - i. Readiness support, including by organizing joint activities such as workshops or seminars in regions;
 - ii. Results Based Management;
 - iii. Accreditation;
 - iv. Project/programme identification; and
- d) {}.



Fast-track Reaccreditation (AFB Decision B.29/38)

- The AFB decided to fast-track re-accreditation of implementing entities accredited with the GCF within a period of 4 years prior to the submission of the re-accreditation application to the Adaptation Fund.
- As at today, 3 implementing entities have been re-accredited through fast-track reaccreditation process.



Working together: Board-level

- Complementarity framework foresees a pillar of action on arrangements with other funds
- At B.20, the Board asked the Co-Chairs to engage with AF to better understand options for collaboration and to report back to the Board at its twenty-first meeting
- While there has been progress, overlaps and opportunities to maximizing impact remain, requiring attention governance level of the climate funds.
- Such options should expedite programming and delivery of climate finance for adaptation finance in a simplified manner, and should be informed by country and entity needs



Working together – creating synergies

- GCF mandated to pursue “complementarity & coherence”
- GCF Board approved operational framework to focus cooperation with AF, GEF, and CIFs

Enhance complementarity at the activity level:

- Readiness & capacity building
- Accreditation
- Funding proposals
- Policies and procedures

Promote coherence at the national programming level:

- Project development and appraisal (pipeline)
- Entity work programmes
- NAP implementation

Synergy: Examples from the ground



Accreditation: Fast-tracking	<ul style="list-style-type: none"> • 38 AEs have been fast-tracked into GCF
FP003 – CSE (Senegal): “Increasing Resilience of Ecosystems and Communities through Restoration of the Productive Bases of Salinized Lands”	<ul style="list-style-type: none"> • CSE fast-track accreditation from the AF • Project management experience under the AF was a key factor during GCF accreditation • FP003 builds on AF implementation experience
FP018 – UNDP (Pakistan): “Scaling-up of Glacial Lake Outburst Flood Risk Reduction in Northern Pakistan”	<ul style="list-style-type: none"> • Builds on work previously financed by the AF (sequencing of support) • Applies the lessons learned from the UNDP/AF project to scale-up

Synergy: Examples from the ground

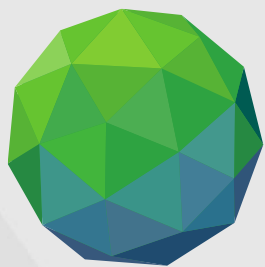


<p>FPo56 – UNDP (Colombia):</p> <p>“Scaling Up Climate Resilient Water Management Practices for Vulnerable Communities in La Mojana”</p>	<ul style="list-style-type: none"> • Scales up ongoing investment from AF (parallel support) • Applies best practices across multiple sectors: water supply adaptation, resilient agriculture production, wetland restoration, early warning systems and municipal level development planning
<p>Readiness: Antigua & Barbuda</p>	<ul style="list-style-type: none"> • Department of Environment to streamline operational functions of the NDA, including by conducting a baseline assessment for existing initiatives by GEF, AF, and GCF • Strengthening the operations for a national fund for adaptation and mitigation to access multiple sources

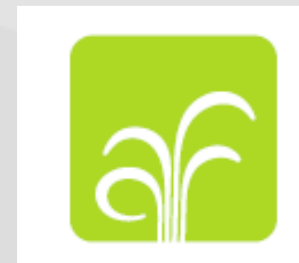


Open floor for discussion

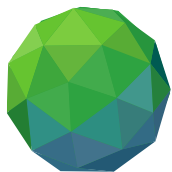
- What are some of the duplications you face when working with AF and GCF?
- What role do you see for potential synergies between the AF and GCF?
- What approaches have you applied to maximize synergies and avoid duplications when dealing with the funds?
- How can the GCF and AFB secretariats further support you?



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Creating synergies: Between AF and GCF



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Pilot AF-GCF Entity Engagement

Strengthening institutional capacity

Issue areas	Common DAE needs	Possible solutions
Project appraisal and development	<ul style="list-style-type: none">• Appraisal criteria• Policy development	<ul style="list-style-type: none">• Harmonised project development guidelines• Readiness – project link• NAP implementation• Entity work programmes
Project cycle/M&E	<ul style="list-style-type: none">• Integrated M&E policy• Simplified/harmonized timeframes	<ul style="list-style-type: none">• Harmonised indicators• M&E procedure gap analysis• Alignment of project cycles
Compliance/management	<ul style="list-style-type: none">• Project oversight• Legal• Procurement/travel/HR policy support	<ul style="list-style-type: none">• Common gap assessment• Compliance policy alignment• Joint training
Accreditation	<ul style="list-style-type: none">• Re-accreditation• Accreditation upgrade• ESS, Gender policy	<ul style="list-style-type: none">• Fast-tracking• Lessons learned/guidebook



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