



ADAPTATION FUND

AFB/PPRC.23/3
28 September 2018

Adaptation Fund Board
Project and Programme Review Committee
Twenty-third meeting
Bonn, Germany, 9-10 October 2018

Agenda item 3

REPORT ON THE PROGRESS AND EXPERIENCES OF THE REGIONAL PROJECTS AND PROGRAMMES

I. Background

1. The strategic priorities, policies and guidelines of the Adaptation Fund (the Fund), as well as its operational policies and guidelines (OPG) include provisions for funding projects and programmes at the regional, i.e. transnational level. The Adaptation Fund Board (the Board), as well as its Project and Programme Review Committee (PPRC) and Ethics and Finance Committee (EFC) considered issues related to regional projects and programmes on a number of occasions between the Board's fourteenth and twenty-first meetings but the Board did not make decisions for the purpose of inviting proposals for such projects. Indeed, in its fourteenth meeting, the Board decided to:

- (c) Request the secretariat to send a letter to any accredited regional implementing entities informing them that they could present a country project/programme but not a regional project/programme until a decision had been taken by the Board, and that they would be provided with further information pursuant to that decision*

(Decision B.14/25 (c))

2. In its eighth meeting in March 2012, the PPRC made recommendations on certain definitions related to regional projects and programmes. However, as the subsequent seventeenth Board meeting took a different strategic approach to the overall question of regional projects and programmes, these PPRC recommendations were not included in a Board decision.

3. In its twenty-fourth meeting, the Board heard a presentation from the coordinator of the working group set up by decision B.17/20 and tasked with following up on the issue of regional projects and programmes. The Chair of the Board circulated a recommendation prepared by the working group, for the consideration by the Board, and the Board decided:

- (a) To initiate steps to launch a pilot programme on regional projects and programmes, not to exceed US\$ 30 million;*
- (b) That the pilot programme on regional projects and programmes will be outside of the consideration of the 50 per cent cap on multilateral implementing entities (MIEs) and the country cap;*
- (c) That regional implementing entities (RIEs) and MIEs that partner with national implementing entities (NIEs) or other national institutions would be eligible for this pilot programme, and*
- (d) To request the secretariat to prepare for the consideration of the Board, before the twenty-fifth meeting of the Board or intersessionally, under the guidance of the working group set up under decision B.17/20, a proposal for such a pilot programme based on consultations with contributors, MIEs, RIEs, the Adaptation Committee, the Climate Technology Centre and Network (CTCN), the Least Developed Countries Expert Group (LEG), and other relevant bodies, as appropriate, and in that proposal make a recommendation on possible options on approaches, procedures and priority areas for the implementation of the pilot programme.*

(Decision B.24/30)

4. The proposal referred to in decision B.24/30 d) was presented to the Board¹ at its twenty-fifth meeting. The proposed pilot programme for regional projects and programmes would cover the following thematic focal areas:

- (a) Food security;
- (b) Disaster risk reduction and early warning systems; and
- (c) Transboundary water management.

5. In addition to the thematic focal areas specified above, as a cross-cutting fourth theme the proposed pilot programme would seek to support activities that represent innovation in adaptation finance towards transformational impact. The programme would encourage MIEs and RIEs to develop innovative solutions to climate change adaptation, including new approaches, technologies and mechanisms. It would also be required that all proposals describe the innovation aspects of the project/programme.

6. The Board decided to:

- a) *Approve the pilot programme on regional projects and programmes, as contained in document AFB/B.25/6/Rev.2;*
- b) *Set a cap of US\$ 30 million for the programme;*
- c) *Request the secretariat to issue a call for regional project and programme proposals for consideration by the Board in its twenty-sixth meeting; and*
- d) *Request the secretariat to continue discussions with the Climate Technology Center and Network (CTCN) towards operationalizing, during the implementation of the pilot programme on regional projects and programmes, the Synergy Option 2 on knowledge management proposed by CTCN and included in Annex III of the document AFB/B.25/6/Rev.2.*

(Decision B.25/28)

7. The Secretariat had subsequently issued on 5 May 2015 an Invitation to Parties' Designated Authorities (DA) for the Adaptation Fund, and Multilateral and Regional Implementing Entities accredited by the Adaptation Fund Board, to submit project and programme proposals for funding under the pilot programme for regional projects and programmes of the Adaptation Fund.

8. For the twenty-sixth meeting, the secretariat received the first submission of a large number of proposals (16), demonstrating widespread interest in this new opportunity among accredited MIEs and RIEs.

9. The issue of the regional projects and programmes was also discussed at the twenty-seventh meeting of the Board, especially regarding the discontinuation or not of the call for proposals, given the high demand that transpired from submissions to the twenty-sixth and twenty-seventh meetings. The Board decided to:

¹ Document AFB/B.25/6/Rev.2

- a) *Continue consideration of regional project and programme proposals under the pilot programme, while reminding the implementing entities that the amount set aside for the pilot programme is US\$ 30 million;*
- b) *Request the secretariat to prepare for consideration by the Project and Programme Review Committee at its nineteenth meeting, a proposal for prioritization among regional project/programme proposals, including for awarding project formulation grants, and for establishment of a pipeline; and*
- c) *Consider the matter of the pilot programme for regional projects and programmes at its twenty-eighth meeting.*

(Decision B.27/5)

10. The Board at its twenty-eighth meeting also considered the matter of the pilot programme for regional projects and programmes, especially a proposal² from the PPRC for prioritization among regional project/programme proposals, including for awarding project formulation grants, and for establishment of a pipeline. To permanently establish a funding window for regional projects and programmes within the Fund, the Board decided:

- a) *With regard to the pilot programme approved by decision B.25/28:*
 - (i) *To prioritize the four projects and 10 project formulation grants as follows:*
 - 1. *If the proposals recommended to be funded in a given meeting of the PPRC do not exceed the available slots under the pilot programme, all those proposals would be submitted to the Board for funding;*
 - 2. *If the proposals recommended to be funded in a given meeting of the PPRC do exceed the available slots under the pilot programme, the proposals to be funded under the pilot programme would be prioritized so that the total number of projects and project formulation grants (PFGs) under the programme maximizes the total diversity of projects/PFGs. This would be done using a three-tier prioritization system: so that the proposals in relatively less funded sectors would be prioritized as the first level of prioritization. If there are more than one proposal in the same sector: the proposals in relatively less funded regions are prioritized as the second level of prioritization. If there are more than one proposal in the same region, the proposals submitted by relatively less represented implementing entity would be prioritized as the third level of prioritization;*
 - (ii) *To request the secretariat to report on the progress and experiences of the pilot programme to the PPRC at its twenty-third meeting; and*
- b) *With regard to financing regional proposals beyond the pilot programme referred to above:*

² Document AFB/PPRC.19/5

(i) *To continue considering regional proposals for funding, within the two categories originally described in document AFB/B.25/6/Rev.2: ones requesting up to US\$ 14 million, and others requesting up to US\$ 5 million, subject to review of the regional programme;*

(ii) *To establish two pipelines for technically cleared regional proposals: one for proposals up to US\$ 14 million and the other for proposals up to US\$ 5 million, and place any technically cleared regional proposals, in those pipelines, in the order described in decision B.17/19 (their date of recommendation by the PPRC, their submission date, their lower “net” cost); and*

(iii) *To fund projects from the two pipelines, using funds available for the respective types of implementing entities, so that the maximum number of or maximum total funding for projects and project formulation grants to be approved each fiscal year will be outlined at the time of approving the annual work plan of the Board.*

(Decision B.28/1)

11. The present document is fulfilling the request from the Board to report on the progress and experiences of the pilot programme to the PPRC at its twenty-third meeting, as outlined in para (a) (ii) of the decision above. This report will cover the funding window for regional projects and programmes, with a focus on projects and programmes submitted under the pilot programme. The report is outlined as follows:

- A summary of the submissions received so far under the pilot programme including an analysis of the submissions by region, sector and implementing entity, and a presentation of the current pipeline of regional projects and programmes;
- Early lessons from the implementation of the pilot, including issues identified during the review of those proposals, incentives linked with the country cap (pilot outside of the country cap); and
- Recommendations for the way forward.

II. Portfolio and pipeline of regional projects and programmes, including under the pilot programme

Provision of funding made available by the Board

12. The Secretariat started receiving proposals submissions as early as in the twenty-sixth meeting of the Board, following the call for proposals sent out intersessionally. The pilot programme introduced the submission of optional pre-concepts, which are very brief proposals of maximum 5 pages that explain the proposed regional adaptation project/programme. It also introduced a two-step project formulation grant (PFG) provision, with a Phase I PFG that could be requested at pre-concept submission, up to the maximum level of US\$ 20,000, and a Phase II PFG that could be requested at concept submission, up to the maximum level of US\$ 80,000.

Alternatively, a PFG to a level of up to US\$ 100,000 could be requested in case of submission of proposals following the two-step process, at the submission of the concept document.

13. The Board had decided to provision the pilot with an envelope of up to US\$ 30 million, structured as follows, to allow for a diversified approach in terms of project/programme sizes:

- a) One project/programme up to US\$ 14 million;
- b) Three projects/programmes up to US\$ 5 million; and
- c) A number of PFGs, with a total value of up to US\$ 1 million (for up to 10 different project/programme ideas(10 x US\$ 100,000 = US\$ 1,000,000)).

14. At its twenty-ninth meeting the Board, based on information provided by the secretariat on the growing pipeline of regional project/programme proposals, and being consistent with its decision to continue considering regional proposals for funding, had made provision for the fiscal year 2018 of up to US\$ 30 million (Decision B.29/4), as follows³:

- a) Up to three proposals requesting up to US\$ 5 million for funding;
- b) One proposal requesting up to US\$ 14 million of funding;
- c) Up to five project formulation grant (PFG) requests, of up to US\$ 100,000 each, for preparing project and programme concepts or fully-developed project documents requesting up to US\$ 5 million of funding;
- d) Up to five project formulation grant (PFG) requests, of up to US\$ 100,000 each, for preparing project and programme concepts or fully-developed project documents requesting up to US\$ 14 million of funding.

15. At the thirty-first meeting of the Board in March 2018, it was observed that while there was funding available to fund up to five proposals of up to US \$5 million each by the end of fiscal year 2018, there was no funding available to fund proposals of up to US \$14 million for the remainder of fiscal year 2018. From an analysis of the funding criteria it appeared that the funding set aside would not be totally spent given the preference of proponents for large projects that did not require funding up to the maximum which could be granted. If the regional projects were not constrained by the two categories originally described in document AFB/B.25/6/Rev.2, the remaining amount could have funded an additional medium or large sized project. Based on that analysis and the purpose of continuing considering regional proposals for funding in fiscal year 2019, the Board had decided (Decision B.31/3):

- (a) To merge the two pipelines for technically cleared regional proposals established in decision B.28/1(b)(ii), so that starting in fiscal year 2019 the provisional amount of funding for regional proposals would be allocated without distinction between the two categories originally described in document AFB/B.25/6/Rev.2, and that the funding of regional proposals would be established on a 'first come, first served' basis; and
- (b) To include in its work programme for fiscal year 2019 provision of an amount of US \$60 million for the funding of regional project and programme proposals, as follows:

³ This set-aside funding was to be provisioned for FY18 only, i.e. up to 30 June 2018.

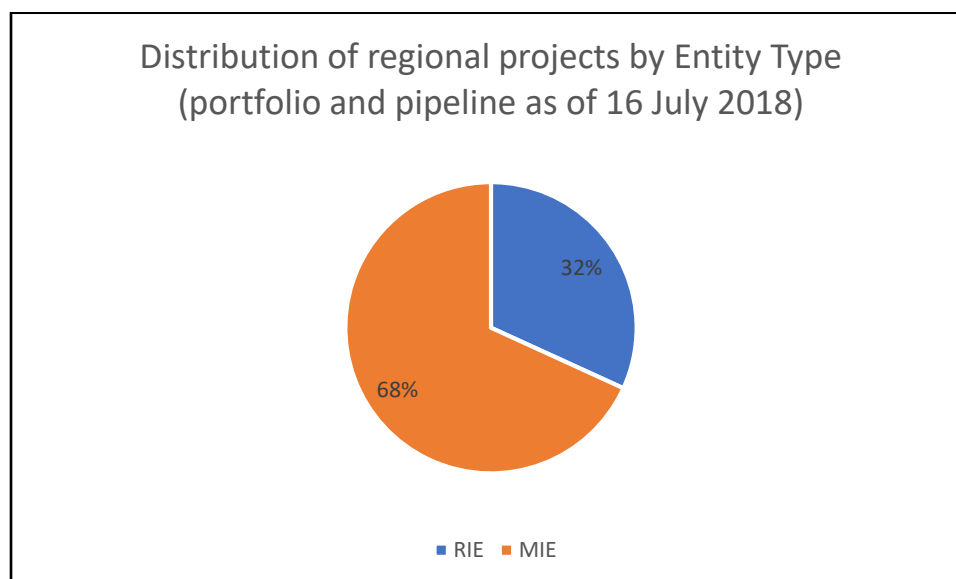
(i) Up to US \$59 million to be used for funding regional project and programme proposals in the two categories of regional projects and programmes: ones requesting up to US \$14 million, and others requesting up to US \$5 million; and

(ii) Up to US \$1 million for funding project formulation grant requests for preparing regional project and programme concepts or fully-developed project and programme documents.

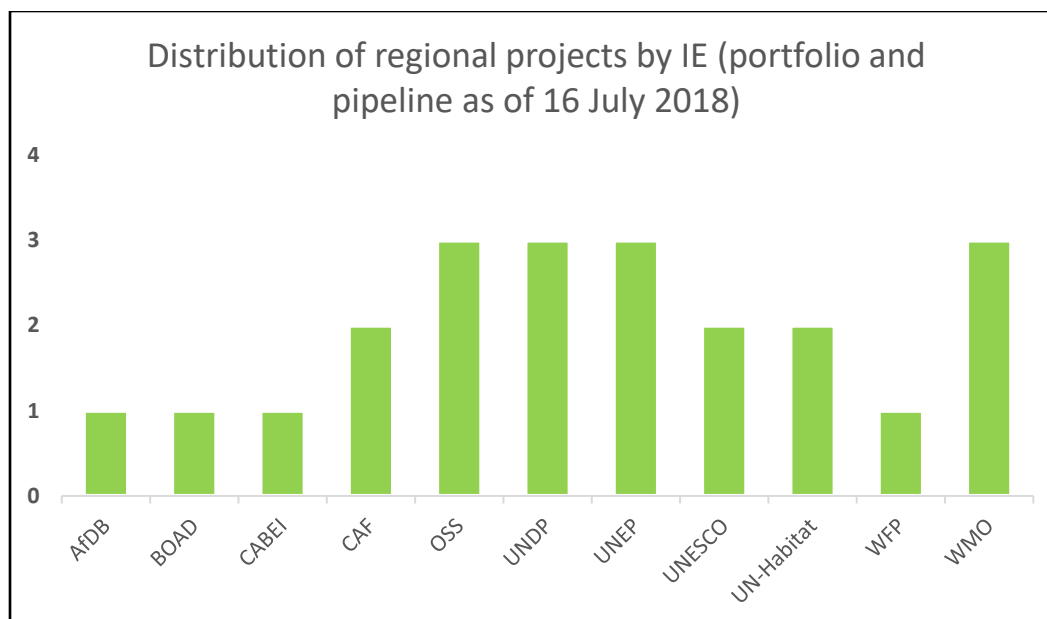
Portfolio and pipeline as of 16 July 2018

16. Since the launch of the pilot programme and as of 16 July 2018, the secretariat had received pre-concepts, concepts and fully-developed project/programme documents for 22 individual proposals, amounting to a total of US\$ 202.6 million. There were 14 individual proposals for which project formulation grants were provided, for a total amount of US\$ 1,177,180.

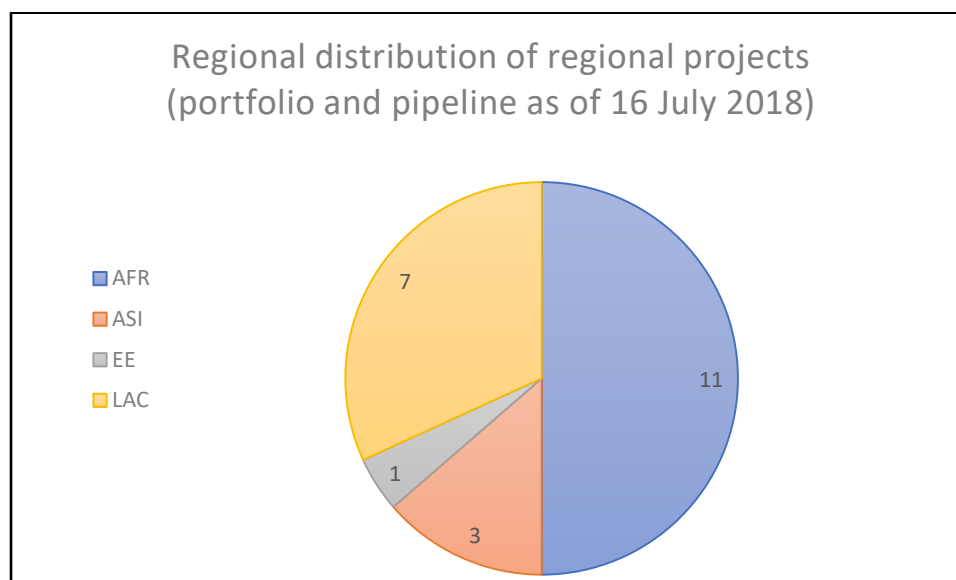
17. RIEs have submitted seven individual proposals or 32% of the total number of submissions, while MIEs have submitted 15 or 64% of the total number of submissions.



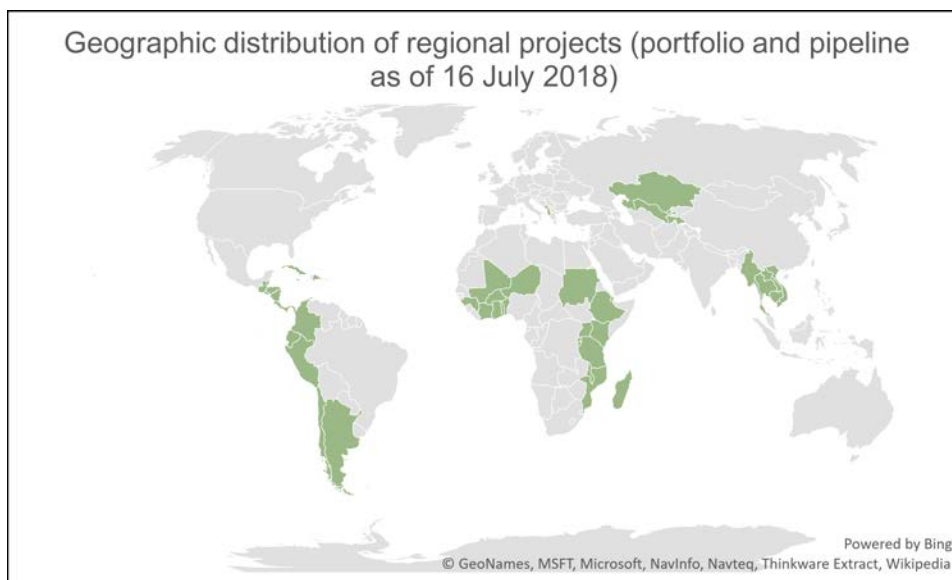
18. Of the 18 eligible Implementing Entities, 11 have submitted at least one proposal, the highest number (3 each) being submitted by the United Nations Environment Programme (UNEP or UN Environment), the United Nations Development Programme (UNDP), the Sahel and Sahara Observatory (OSS) and the World Meteorological Organization (WMO). The United Nations Human Settlements Programme (UN-Habitat), the United Nations Educational, Scientific and Cultural Organization (UNESCO) and the Latin American Development Bank (CAF) each submitted two proposals, while the West African Development Bank (BOAD), the African Development Bank (AfDB), the Central American Development Bank (CABEI) and the World Food Programme (WFP) each submitted one proposal.



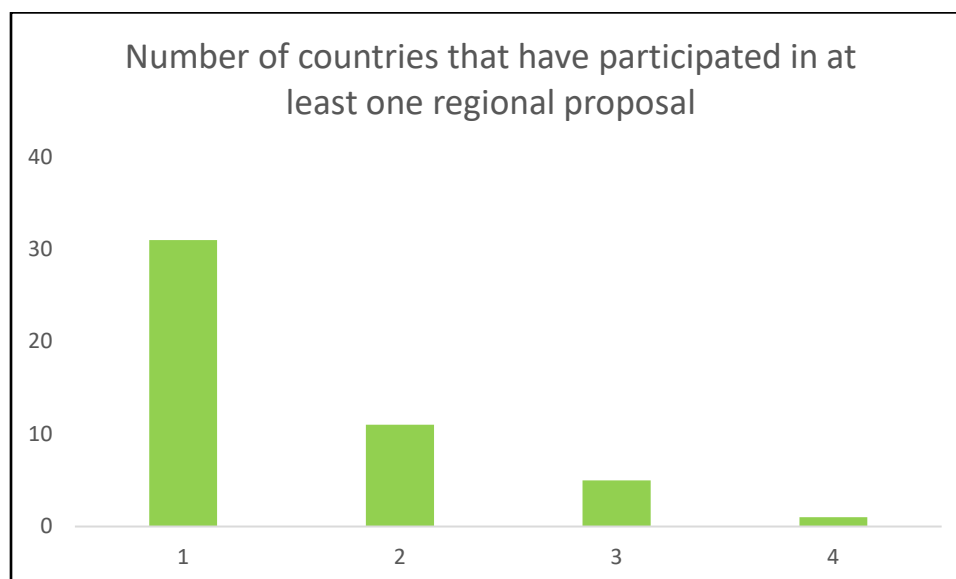
19. The regional distribution of the proposals reflected a high interest from African countries which covered half of the proposals submitted overall (11), followed by countries from the Latin American and Caribbean region (7), Asia-Pacific (3) and Eastern Europe (1).



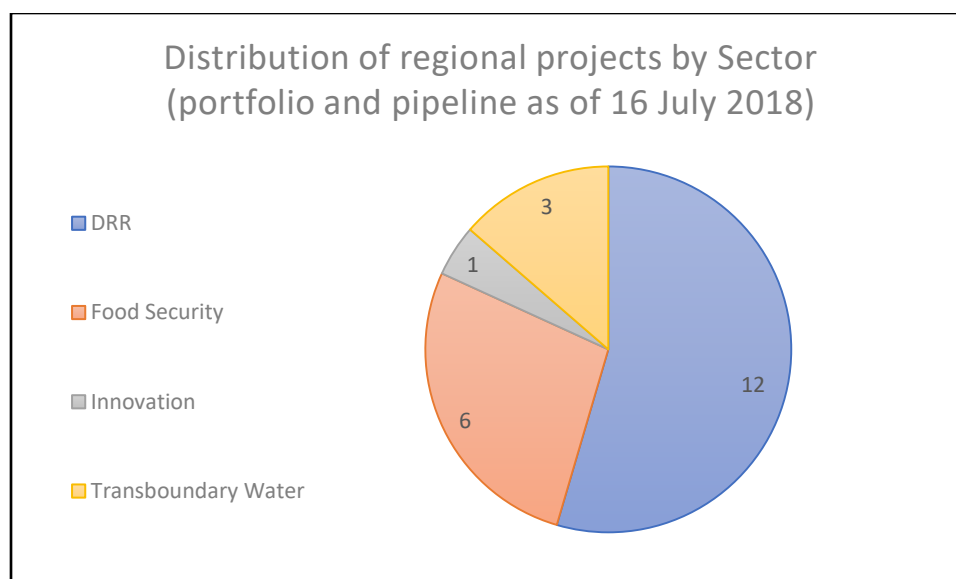
20. In total, proposals that have been submitted by IEs are covering 48 countries, as presented in the graph below.



21. Proposals submitted by IEs have sometimes covered one country multiple times, up to four times in some cases. For instance, 11 countries were involved in two proposals, while five were involved in three proposals and one in four proposals.



22. The proposals that have been submitted covered the three thematic areas identified under the pilot programme and the cross-cutting area on innovation, with the largest number (12, or 55% of the total number of submissions) covering disaster risk reduction and early warning systems, while six proposals (27%) covered food security, three proposals covered transboundary water management and one proposal was submitted on the cross-cutting thematic area of innovation in adaptation finance.



23. Since the launch of the pilot programme and as of 16 July 2018, the Board had approved five regional projects, for a total amount of US\$ 53,710,400 (Table 1). Of those, four were projects under the budget category of up to US\$ 14 million and one was with a budget of up to US\$ 5 million. Two funded projects were submitted by RIEs and three were submitted by MIEs, covering two regions, i.e. Africa (3) and Latin America and the Caribbean (2). The approved projects covered the three thematic areas established for the pilot, with three (3) projects targeting food security issues, one (1) project targeting disaster risk reduction and early warning systems, and one (1) targeting transboundary water management.

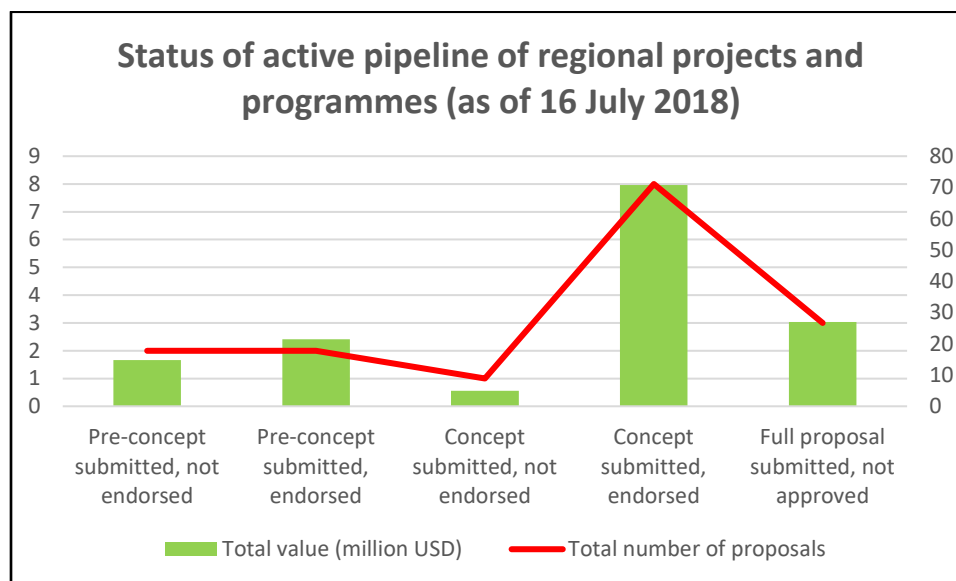
24. Of the 22 project/programme proposals submitted to the Board, 20 have submitted a pre-concept document, including 14 submitted by MIEs and six submitted by RIEs. However, only 10 of these pre-concepts had been submitted along with project/programme formulation grants (PFG), as allowed for regional projects and programmes, with seven PFGs submitted by MIEs and three submitted by RIEs.

	RIE	MIE	Total
Pre-concepts submitted	6	14	20
PFG submitted along with Pre-concepts	3	7	10

Current pipeline of regional projects and programmes

25. There are currently 16 regional proposals in the active pipeline⁴, as of 16 July 2018, for a total amount of US\$ 139 million. These proposals include three fully-developed project/programme proposals that were not approved and eight endorsed concepts, for a total amount of US\$ 70.8 million and US\$ 27 million, respectively.

⁴ Projects that have been submitted but that were either at the pre-concept or concept stage, or that were at the fully-developed project proposal stage but were found to still require further clarification or amendment and that have therefore not yet been approved.



Status of the portfolio of projects and programmes, as of 16 July 2018

Proposals funded under the pilot programme

26. Of these projects, only two were funded as part of the pilot programme, one under the budget category of up to US\$ 14 million, with a budget of US\$ 6.8 million, and one with a budget of US\$ 5 million. Therefore, two proposals for a budget of up to US\$ 5 million remained to be funded under the pilot programme. Project/programme formulation grants (PFGs) for ten individual proposals had been funded under the pilot programme as well, for a total budget of US\$ 880,000.

Proposals funded outside of the pilot programme

27. As part of the funding window beyond the pilot programme, three proposals were funded, under the budget category of up to US\$ 14 million, for a total of US\$ 41,910,400. The first one proposal had taken up the funding set aside by the Board to fund proposals under that category for FY18. The last two proposals were funded under the funding set aside by the Board for FY19 which, as described in decision B.31/3, would be allocated without distinction between the two categories originally described in document AFB/B.25/6/Rev.2. Project/programme formulation grants (PFGs) for four individual proposals had been funded outside the pilot programme, for a total budget of US\$ 297,180, including PFGs for two individual proposals for a total of US\$ 119,980 for FY18.

Funded regional projects and programmes				
COUNTRY	REGION	AGENCY	FINANCING GRANTED	

			Under the Pilot Programme	FY18 Set Aside	FY19 Set Aside	SECTOR	NAME
RIE PROPOSALS							
Benin, Burkina Faso, Ghana, Niger, Togo	AFR	BOAD			\$14,000,000	Food	Promoting Climate-Smart Agriculture in West Africa
Chile, Ecuador	LAC	CAF			\$13,910,400	DRR	Reducing climate vulnerability and flood risk in coastal urban and semi urban areas in cities in Latin America. Chile - Ecuador
MIE PROPOSALS							
Burundi, Kenya, Rwanda, Tanzania, Uganda	AFR	UNEP	\$5,000,000			Water	Adapting to Climate Change in Lake Victoria Basin
Colombia, Ecuador	LAC	WFP		\$14,000,000		Food	Productive Investment Initiative for Adaptation to Climate Change
Ethiopia, Kenya, Uganda	AFR	WMO	\$6,800,000			Food	Agricultural Climate Resilience Enhancement Initiative (ACREI)
Total project/programme funding			\$11,800,000	\$14,000,000	\$27,910,400		
Funded PFGs			\$880,000	\$119,980	\$177,200		
Total PFG funding			\$880,000	\$119,980	\$177,200		
GRAND TOTAL FUNDING			\$12,680,000	\$14,119,980	\$28,087,600		

III. Early lessons from the implementation of the pilot programme and the consecutive funding window for regional projects and programmes

Relevance of the three thematic areas and cross-cutting one on innovation

28. Since its launch, the pilot programme has sparked a lot of interest from eligible countries as demonstrated by the diversity of MIEs and RIEs through which 48 countries have submitted project proposals. The country-drivenness of the process is again denoted by the different sectors targeted through the submitted proposals, covering all the eligible thematic areas. Of the identified adaptation issues, those related to “disaster risk reduction and early warning systems” have been dominant in the proposals received. This can be explained by the transboundary nature of the proposed activities under that area. Several proposals submitted under that category are supporting the establishment of early warning systems in a river basin shared by a few countries, or in a shared coastal ecosystem. The low number of proposals tagged as “transboundary water management” is therefore relative, as many of the ones submitted under DRR and EWS could have been tagged as such. Food security was also a thematic of relevance, with six submitted

proposals addressing that issue. Lastly, all proposals were reviewed for their innovativeness as this was a cross-cutting thematic area. In addition, one proposal was specifically submitted under the crosscutting thematic area of innovation in adaptation finance. The analysis of the current portfolio and pipeline of proposals submitted to the Board therefore confirms the relevance of the thematic areas selected under the pilot programme.

Inclusiveness of pilot programme and funding window for regional projects and programmes in a demand-driven context

29. As indicated above, 22 proposals have been submitted to the Board, covering 48 countries, in all regions covered by the Fund overall. Although the funding allocation process is demand-driven, this shows that through this new funding window, eligible countries have an additional opportunity to access funding from the Fund to address issues they share with countries in their vicinity. The total amount requested for the 22 proposals is US\$ 192.7 million, excluding preparation grants. In comparison, under the single-country funding window, 57 countries have benefitted from the Fund for a total amount of funding of US\$ 450.9 million. This further demonstrates the inclusiveness of the funding window for regional projects and programmes and its potential to reach a wider range of countries compared with the funding window for single-country projects and programmes.

The three-step project preparation process and the provision of project formulation grant

30. The pilot programme has initiated a new step in the process of project preparation, allowing the IEs to submit ideas to the Board and receive initial funding for the preparation of concept documents, once those ideas are endorsed. Although this first step was not mandatory, of the 22 project/programme proposals submitted to the Board, 20 have submitted a pre-concept document, hence showing an interest from IEs to submit succinct project ideas to test the appetite of the Board before proceeding with further development with participating countries. The submission of only 10 PFGs along with the pre-concepts suggests that IEs might have not been aware of the availability of such grants during the preparation of their proposals. This is confirmed by the fact that five of the 10 proposals for which a pre-concept was submitted without a PFG were subsequently submitted as concepts along with PFGs for the preparation of fully-developed project documents.

The issuance of letters of endorsement (LOE) from all countries' DAs

31. As per the OPG of the Fund, and as outlined in document AFB/B.25/6/Rev.2, regional proposals must be endorsed by the DAs for each country involved in the proposed project. The proposals submitted so far to the Board have involved up to seven countries for one proposal. Mobilizing all the LOEs in time for submission to the secretariat during the review period has been a challenge in some cases. In addition, the above formulation of the OPG has been interpreted by the Board so that each submission to a new review cycle is considered a new proposal, and new letters of endorsement shall be submitted each time (be it a pre-concept, a concept, or a fully-developed project document, and a submission or a resubmission). This requirement has been challenging for IEs especially in cases where the time between two submissions of the proposals for the same project has not been more than one Board meeting, i.e. six months. During informal discussions with implementing entities, the secretariat has been informed that some DAs have been reluctant to or did not understand the need to prepare and submit a new letter of endorsement for a project they have already endorsed. On the other hand, there have been cases where there have been substantial changes to the design of the regional project proposal along its development, such as in the composition of the participating countries, or in the selection of

included subnational locations, and in such cases new endorsement letters have been helpful in ensuring that the government of the proposed recipient country continues to endorse the regional project.

Implication of the funding window for regional projects and programmes on the availability of funding to support Board decisions

32. As a pilot programme, the funding window for regional projects and programmes was established outside of the country cap and outside of the consideration of the 50 per cent cap on MIEs. Initially provisioned with US\$ 30 million, the pilot programme was followed by an annual provision of US\$ 30 million in FY18 and US\$ 60 million in FY19. Overall, the total funding under this window had reached US\$ 54.9 million or 46% of the potential funding available since the launching of the pilot programme, as of 16 July 2018. This amount is however likely to increase as two Board meetings, which are opportunities to review regional project/programme proposals, are scheduled in FY19.

33. Given the increased interest in regional projects and programmes, which is demonstrated by the size of the current pipeline, the increase of the provisional amount dedicated to the funding window for FY19, to up to US\$ 60 million, was deemed necessary by the Board. However, as the pipeline increases, there might be competing priorities in the allocation of funding between the windows for single-country and regional projects and programmes, hence the need for an eventual adjustment from the Board. It should also be noted that, as part of the implementation plan of the medium-term strategy (MTS) of the Fund, additional funding windows, on enhanced direct access, innovation, learning and readiness grants additional to the existing ones, are planned to be created, which might further increase the need for adjustment of allocation by the Board.

34. In addition, as indicated above, a few countries have been able to submit regional proposals multiple times, hence potentially (if all proposals in the pipeline were approved) benefitting from the Fund's support for concrete adaptation projects for amounts that are in some cases above US\$ 20 million in total. This could raise the issue of equitable allocation of resources, which was solved in the case of the single country proposals by the establishment of a country cap. It is fair to suggest that, considering the additional funding windows under the MTS and even the existing readiness grants, the issue of equitable allocation of resources might need to be addressed at the Fund level overall, in lieu of the current cap per country established for single-country proposals only.

35. Recalling the issue of the cap of US\$ 10 million per country as per decision B.13/23, it was further discussed by the Board at its twenty-seventh meeting when the secretariat presented document AFB.27/8 "Analysis of the possible modification of the country cap", which reminded the Board that the cap had been set up as an interim measure to ensure that all countries would be treated equitably during the initial period of project submissions and therefore it was not meant to be permanent. The document included an overview of the number of countries and NIEs that had already had access to the Fund's resources, and suggested a few options for modifying the country cap, for the Board consideration, including increasing it up to US\$ 20 million per country. In the context of the new funding windows including the one for regional projects and programmes, it might be appropriate to restart the discussion on the country cap.

IV. Recommendations

36. Based on the above, the Project and Programme Review Committee (PPRC) may wish to consider the following:

Recommendation 1

37. Increased communication with eligible IEs could help in making them aware of the opportunities for proposal preparation funding at the earliest stage, to allow for an optimized development of proposals for Board consideration. The PPRC may wish to request the secretariat to do so.

Recommendation 2

38. The PPRC may wish to discuss the relevance of defining a validity period for letters of endorsement as a proof of continued support by the governments of the proposals submitted to the Board throughout several review cycles. The validity period could be defined either in number of months or in number of review cycles, i.e. ahead of Board meetings or intersessional ones. However, in cases where there is a change in the proposal at any stage of submission, including a change in participant countries, target areas or institutional arrangements, new letters of endorsement would be required.

Recommendation 3

39. The PPRC may want to consider recommending the Board to discuss criteria for an optimal provision of financial resources between single-country and regional concrete adaptation projects and programmes.

Recommendation 4

40. The current single focus of the country cap on the single-country projects and programmes could be widened to the regional projects and programmes funding window. To support the ambition of countries to access those two funding windows, the level of the country cap could be increased.

Annex 1: Pipeline of regional project/programme proposals submitted by RIEs

COUNTRY	REGION	ENTITY	FINANCING REQUESTED	PFG REQUESTED	PFG GRANTED	SECTOR	STAGE	NAME
RIE PROPOSALS								
Argentina, Uruguay	LAC	CAF	\$13,999,997	\$100,000	\$100,000	DRR	Concept (endorsed)	Climate change adaptation in vulnerable coastal cities and ecosystems of the Uruguay River
Benin, Burkina Faso, Niger	AFR	OSS	\$8,550,000	\$80,000	\$80,000	DRR	Full proposal	Integration of Climate Change Mitigation and Adaptation Measures in the Concerted Management of the WAP Transboundary Complex: Adapt-WAP Project
Burkina Faso, Mali	AFR	OSS	\$4,790,000	\$20,000	\$0	Food Security	Pre-concept	Transformative Practices for Better Building in the Sahel (Pre-concept for a Regional Project; Sahara and Sahel Observatory (OSS))
Djibouti, Kenya, Sudan, Uganda	AFR	OSS	\$12,990,000	\$100,000	\$100,000	DRR	Concept (endorsed)	Strengthening drought resilience of small holder farmers and pastoralists in the IGAD region
Costa Rica, Dominican Republic, El Salvador, Guatemala, Honduras, Nicaragua and Panama	LAC	CABEI	\$5,000,000	\$100,000	\$0	Innovation	Concept	Productive Investment Initiative for Adaptation to Climate Change
Total RIEs:			\$45,329,997	\$400,000	\$280,000			

Annex 2: Pipeline of regional project/programme proposals submitted by MIEs

COUNTRY	REGION	ENTITY	FINANCING REQUESTED	PFG REQUESTED	PFG GRANTED	SECTOR	STAGE	NAME
MIE PROPOSALS								
Albania, the Former Yugoslav Republic of Macedonia, Montenegro	EE	UNDP	\$9,927,750	\$0	\$0	DRR	Pre-concept (endorsed)	Integrated climate-resilient transboundary flood risk management in the Drin River basin in the Western Balkans
Belize, Guatemala	LAC	UNEP	\$10,009,125	\$0	\$0	DRR	Pre-concept	Increasing climate resilience through restoration of degraded landscapes in the Atlantic Region of Central America
Chile, Colombia, Peru	LAC	WMO	\$7,398,000	\$19,980	\$19,980	DRR	Pre-concept (endorsed)	ENhancing Adaptive Capacity of Andean Communities through Climate Services (ENACACS)
Benin, Burkina Faso, Cote d'Ivoire, Ghana, Mali, Togo	AFR	WMO	\$7,920,000	\$100,000	\$100,000	DRR	Pre-concept (endorsed)	Integrating Flood and Drought Management and Early Warning for Climate Change Adaptation in the Volta Basin
Cambodia, Lao People's Democratic Republic, Myanmar, Thailand, Vietnam	ASI	UNESCO	\$4,898,775	\$0	\$0	Transboundary Water	Full proposal	Groundwater resources in the Greater Mekong Sub-region: Collaborative management to increase resilience
Côte d'Ivoire, Ghana	AFR	UN-Habitat	\$14,000,000	\$100,000	\$100,000	DRR	Concept (endorsed)	Improved resilience of coastal communities in Côte d' Ivoire and Ghana

Côte d'Ivoire, Guinea	AFR	AfDB	\$14,000,000	\$20,000	\$20,000	Food Security	Pre-concept (endorsed)	Increasing local communities' adaptive capacity and resilience to climate change through forest landscape restoration
Cuba, Dominican Republic, Jamaica	LAC	UNDP	\$4,969,367	\$100,000	\$100,000	DRR	Concept (endorsed)	Risk Reduction Management Centres: local adaptation response to national climate and early warning information in the Caribbean
Comoros, Madagascar, Malawi, Mozambique	AFR	UN-Habitat	13,544,055	\$80,000	\$80,000	DRR	Full proposal	Building urban climate resilience in south-eastern Africa
Kazakhstan, Tajikistan, Uzbekistan	ASI	UNESCO	5,000,000	\$77,200	\$77,200	DRR	Concept (endorsed)	Reducing vulnerabilities of populations in Central Asia region from glacier lake outburst floods in a changing climate
Mauritius, Seychelles	AFR	UNDP	4,900,000	\$100,000	\$100,000	Food Security	Concept (endorsed)	Restoring marine ecosystem services by rehabilitating coral reefs to meet a changing climate future
Thailand, Vietnam	ASI	UNEP	\$7,000,000	\$0	\$0	Transboundary Water	Concept (endorsed)	Enhancing Climate Resilience in the Greater Mekong Sub-region through Ecosystem-based Adaptation in the context of South-South cooperation
Total MIEs:			\$103,567,072	\$597,180	\$597,180			