Trends and Perspectives from Implementing Entity Reports
Climate Finance Readiness Seminar
Washington DC, 29 August 2018
AF Results Based Management (RBM) Approach
Reporting requirements during the project lifecycle

STEP 1: Project Design Phase
- Alignment of at least one outcome and output with the AF Strategic results framework
- Target figures are given for each of the five core indicators, if relevant to the particular project

STEP 2: Implementation Phase
- Annual Project Performance Report (PPR) – including the results tracker that needs to be filled:
  - At Inception: Baseline + target at completion
  - At midpoint: Target at Mid-term
  - At project/programme completion: Target achieved at Final Evaluation
  - Mid-term Review (MTR)

STEP 3: Project/Programme Completion Phase
- Final PPR
- Project Completion Report
- Final Evaluation Report
- Final Audited Financial Statement
Reporting Reminders

- **Inception Report** – 1 month after the inception meeting/workshop
- **Project Performance Reports (PPRs)** - First PPR is due 1 year from the date of project inception/no later than 2 months from due date
- **Midterm Evaluation Report** – MTR is due at the mid point of projects with duration of 4 years and above
- **Terminal Evaluation Reports** – Due 6 months after project completion

**From: FIFS@worldbankgroup.org**

Dear implementing entity coordinator,

This is an automated message from the Adaptation Fund Board Secretariat to notify that 14 months have passed from the last PPR submission date of the following project.

"Belize Marine Conservation and Climate Adaptation Initiative" for "Belize"

In accordance with the signed agreement, your organization is due to submit the 3rd project performance report today (by 17/05/2018). If you haven't done so, please submit the report shortly.

Sincerely,
Adaption Fund Board Secretariat
Dear implementing entity coordinator,

This is an automated message from the Adaptation Fund Board Secretariat to notify that the mid-point of the total project duration has passed since the inception of the following project.

"Increased Resilience to Climate Change in Northern Ghana through the Management of Water Resources and Diversification of Livelihoods" for "Ghana"

In accordance with the signed agreement, your organization is requested to submit the mid-term report by 23/07/2018 (with in 2 months from today).

Sincerely,
Adaption Fund Board Secretariat
AF Strategic Results Framework

**EXPECTED RESULTS**

| Goal: Assist developing-country Parties to the Kyoto Protocol that are particularly vulnerable to the adverse effects of climate change in meeting the costs of concrete adaptation projects and programmes in order to implement climate-resilient measures. |
| Impact: Increased resiliency at the community, national, and regional levels to climate variability and change. |

**INDICATORS**

| Outcome 1: Reduced exposure to climate-related hazards and threats |
| 1. Relevant threat and hazard information generated and disseminated to stakeholders on a timely basis. |

| Outcome 1.1: Risk and vulnerability assessments conducted and updated |
| 1.1. No. of projects/programmes that conduct and update risk and vulnerability assessments (by sector and scale) |
| 1.2. No. of early warning systems (by scale) and no. of beneficiaries covered |

| Outcome 1.2: Targeted population groups covered by adequate risk reduction systems |
| 2.1. Percentage of target population covered by adequate risk reduction systems |

| Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses |
| 2.1. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased |

| Outcome 2.1: Strengthened capacity of national and sub-national centres and networks to respond rapidly to extreme weather events |
| 2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events (by gender) |
| 2.1.2. No. of targeted institutions with increased capacity to minimize exposure to climate variability risks (by type, sector and scale) |

| Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes at a local level |
| 3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses |
| 3.2. Percentage of targeted population applying appropriate adaptation responses |

| Outcome 3.1: Targeted population groups participating in adaptation and risk reduction awareness activities |
| 3.1. No. of news outlets in the local press and media that have covered the topic |

**Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets**

4.1. Responsiveness of development sector services to evolving needs from changing and variable climate

4.2. Physical infrastructure improved to withstand climate change and variability-induced stress

**Output 4: Vulnerable development sector services and infrastructure assets strengthened in response to climate change impacts, including variability**

4.1.1. No. and type of development sector services modified to respond to new conditions resulting from climate variability and change (by sector and scale)

4.1.2. No. of physical assets strengthened or constructed to withstand conditions resulting from climate variability and change (by sector and scale)

**Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress**

5.1. No. of natural resource assets created, maintained or improved under climate change and variability-induced stress

**Output 5: Vulnerable ecosystem services and natural resource assets strengthened in response to climate change impacts, including variability**

5.1. No. of ecosystem services and natural resource assets maintained or improved under climate change and variability-induced stress

**Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas**

6.1. Percentage of households and communities having more secure access to livelihood assets

6.2. Percentage of targeted population with sustained climate-resilient alternative livelihoods

**Output 6: Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability**

6.1.1. No. and type of adaptation assets (tangible and intangible) created or strengthened in support of individual or community livelihood strategies

6.2.1. Type of income sources for households generated under climate change scenario

**Outcome 7: Improved policies and regulations that promote and enforce resilience measures**

7.1. Climate change priorities are integrated into national development strategy

7.2. No. of targeted development strategies with incorporated climate change priorities enforced

**Output 7: Improved integration of climate-resilience strategies into country development plans**

7.1. No. of policies introduced or adjusted to address climate change risks (by sector)

7.2. No. of targeted development strategies with incorporated climate change priorities enforced
## Alignment with AF Results Framework

<table>
<thead>
<tr>
<th>Project Objective(s)</th>
<th>Project Objective Indicator(s)</th>
<th>Fund Outcome</th>
<th>Fund Outcome Indicator</th>
<th>Grant Amount (USD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Increased resiliency of local water systems to climate change</td>
<td>The extent to which targeted waterways and irrigation channels are cleared</td>
<td>AF Outcome 4: Increased adaptive capacity within relevant development and natural resources sectors</td>
<td>4.2. Physical infrastructure improved to withstand climate change and variability-induced stress</td>
<td>USD ... million</td>
</tr>
<tr>
<td>Increase climate resilience in production landscapes and socioeconomic systems in vulnerable communities through a small grants mechanism</td>
<td>Number of vulnerable community members in project target areas with reduced risk to extreme weather events</td>
<td>AF Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses</td>
<td>2.2. Number of people with reduced risk to extreme weather events</td>
<td>USD ... million</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Project Outcome(s)</th>
<th>Project Outcome Indicator(s)</th>
<th>Fund Output</th>
<th>Fund Outcome Indicator</th>
<th>Grant Amount (USD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Water infiltration, storage and flow in the targeted water basin are improved by the rehabilitation of water canals and opening up silted channels and obstructed ponds</td>
<td>The number of kilometers of water channel cleared and improved ponds</td>
<td>AF Output 4: Vulnerable physical, natural, and social assets strengthened in response to climate change impacts, including variability</td>
<td>4.1.2. No. of physical assets strengthened or constructed to withstand conditions resulting from climate variability and change (by asset types)</td>
<td>USD ... million</td>
</tr>
<tr>
<td>Small Grant Recipients and associated institutions are empowered to identify response measures to climate inducted vulnerabilities, and implement relevant climate change adaptation projects.</td>
<td>Number of grant recipients with increased capacity to implement climate change adaptation projects</td>
<td>AF Output 2.1: Strengthened capacity of national and regional centers and networks to respond rapidly to extreme weather events</td>
<td>2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events.</td>
<td>USD ... million</td>
</tr>
</tbody>
</table>
Annual Performance Report (APR) FY 17: Outcome Indicators

Graphic 2: Adaptation Fund Investments by Fund Level Outcomes (USD Millions)

**Outcome 1:**
- Reduced exposure at national level to climate-related hazards & threats
  - $27.6M

**Outcome 2:**
- Strengthened capacity to reduce risks associated with climate-induced socio-economic & environmental losses
  - $37.3M

**Outcome 3:**
- Strengthened awareness & ownership of adaptation and climate risk reduction processes at local level
  - $39.4M

**Outcome 4:**
- Increased adaptive capacity within relevant development & natural resource sectors
  - $98.7M

**Outcome 5:**
- Diversified and strengthened livelihoods & sources of income for vulnerable people in targeted areas
  - $52.5M

**Outcome 6:**
- Increased ecosystem resilience in response to climate change and variability-induced stress
  - $72.9M

**Outcome 7:**
- Improved policies and regulation that promote and enforce resilience measures
  - $11.6M

Our work in 67 countries

11. Figures may not add up due to rounding.
# Core Indicators

<table>
<thead>
<tr>
<th>Impact-level results</th>
<th>Core indicators</th>
</tr>
</thead>
<tbody>
<tr>
<td>Increased adaptive capacity of communities to respond to the impacts of climate change</td>
<td>Number of beneficiaries (direct and indirect)</td>
</tr>
<tr>
<td></td>
<td>Number of Early warning systems</td>
</tr>
<tr>
<td></td>
<td>Assets produced, developed, improved, or strengthened</td>
</tr>
<tr>
<td></td>
<td>Increased income, or avoided decrease in income</td>
</tr>
<tr>
<td>Increased ecosystem resilience in response to climate change-induced stresses</td>
<td>Natural habitats protected or rehabilitated</td>
</tr>
</tbody>
</table>
5. Results Tracker: tracks indicators that aligns both (i) the Adaptation Fund Strategic Results Framework, and the (ii) Fund’s five core indicators.
APR FY 17: Aggregation of Impact indicators

Graphic 1: Preliminary Aggregation of Fund Indicators

**IMPACT 1**
Reduction in vulnerability of communities and increased adaptive capacity of communities to respond to the impacts of climate change
89,580 people trained in climate resilience measures
1,184,070 women specifically targeted in projects/programmes

**IMPACT 2**
Strengthened policies that integrate climate resilience strategies into local and national plans
54 number of policies introduced or adjusted to address climate change risks

**IMPACT 3**
Increased ecosystem resilience in response to climate change induced stresses
138,574 hectares natural habitat restored/preserved
121,025 meters coastline protected
APR FY 17: Regional Analysis

Africa

1.74 Million Direct Beneficiaries
20,034 Ha Natural Habitats Protected (Ha)
27,025 m Meters of Coastline Protected
10,136 Beneficiaries Benefiting from Training

21 TOTAL NUMBER OF PROJECTS
21 NUMBER OF COUNTRIES

Grant Amount: USD 160.5 million

Latin America and Caribbean

1.92 Million Direct Beneficiaries
86,852 Ha Natural Habitats Protected (Ha)
83,990 m Meters of Coastline Protected
16,130 Beneficiaries Benefiting from Training

19 TOTAL NUMBER OF PROJECTS
16 NUMBER OF COUNTRIES

Grant Amount: USD 135.7 million
APR FY 17: Gender Responsive Interventions

1,184,070
WOMEN BENEFICIARIES SPECIFICALLY TARGETED IN PROJECTS AND PROGRAMMES

42,166
WOMEN TRAINED IN CLIMATE RESILIENT MEASURES

40%
OF THE PEOPLE TRAINED UNDER AF PROJECTS ARE WOMEN
As of 30 June 2017, a total of 40 projects have submitted at least one project performance report (PPR). During the current reporting period, a total of 34 PPRs were received. Of these PPR submissions, 16 PPRs were submitted early to within 1 month of the PPR submission deadline, 15 PPRs were submitted a little over 1 month but within 5 months and 3 PPRs were submitted over 6 months late. The pie chart below presents details related to the submission of PPRs during the reporting period. A table providing details of the completed list of PPRs and implementation progress (IP) ratings submissions through FY 17 has been presented in Annex 3.

**PPR Submission Time Lapse**

- **LATE**: 3
- **ON TIME**: 16
- **MODERATELY LATE**: 15

As of 30 June 2017, five projects submitted their first PPR during the reporting period. PPRs are available on the Adaptation Fund website.
Useful links

- Tool 1: Strategic results framework ([https://www.adaptation-fund.org/sites/default/files/AFB.EFC_.13.4%20Results%20Tracking.pdf](https://www.adaptation-fund.org/sites/default/files/AFB.EFC_.13.4%20Results%20Tracking.pdf))

Thank you!