



ADAPTATION FUND

Trends and Perspectives from Implementing Entity Reports

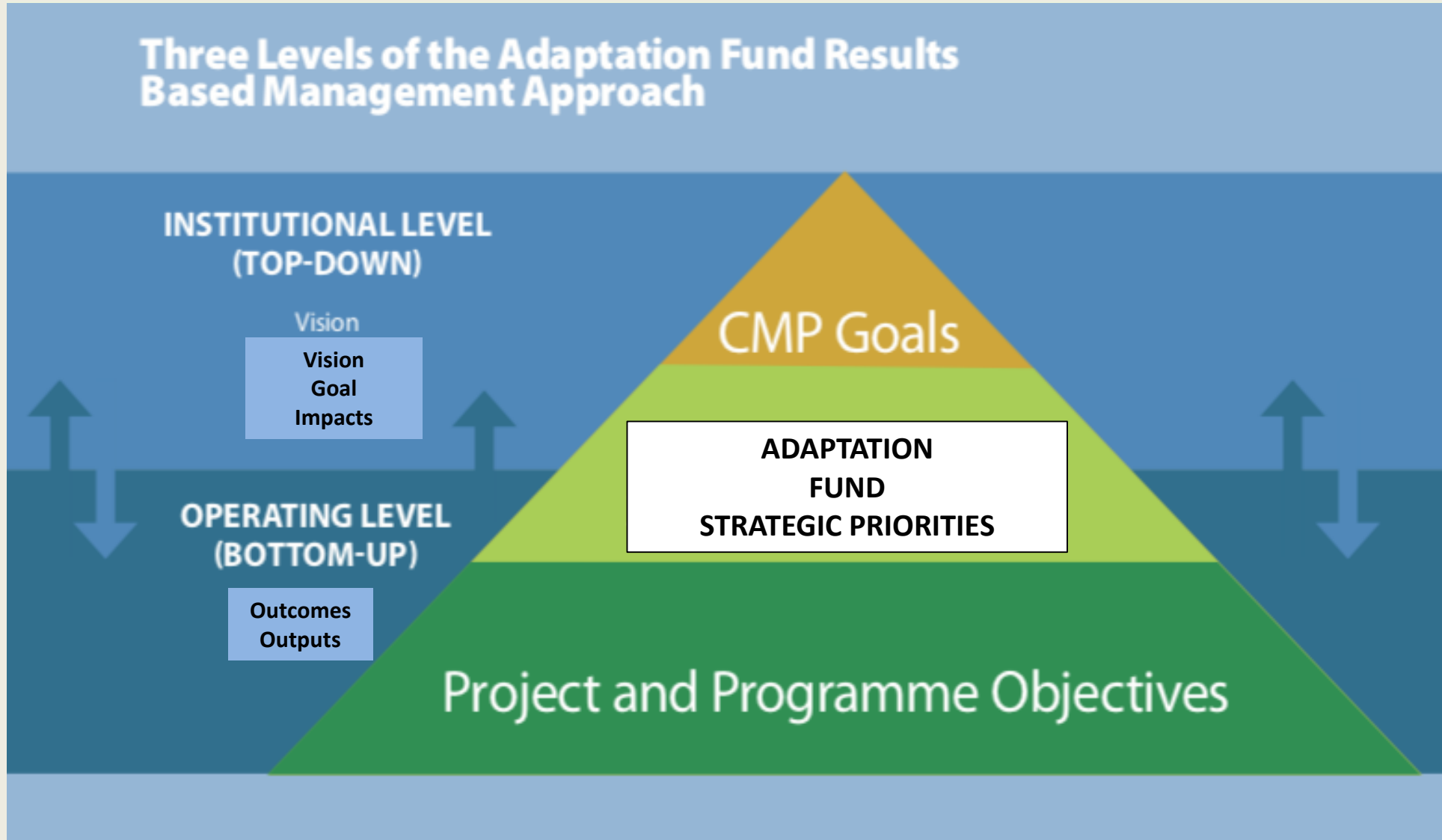
Climate Finance Readiness Seminar
Washington DC, 29 August 2018





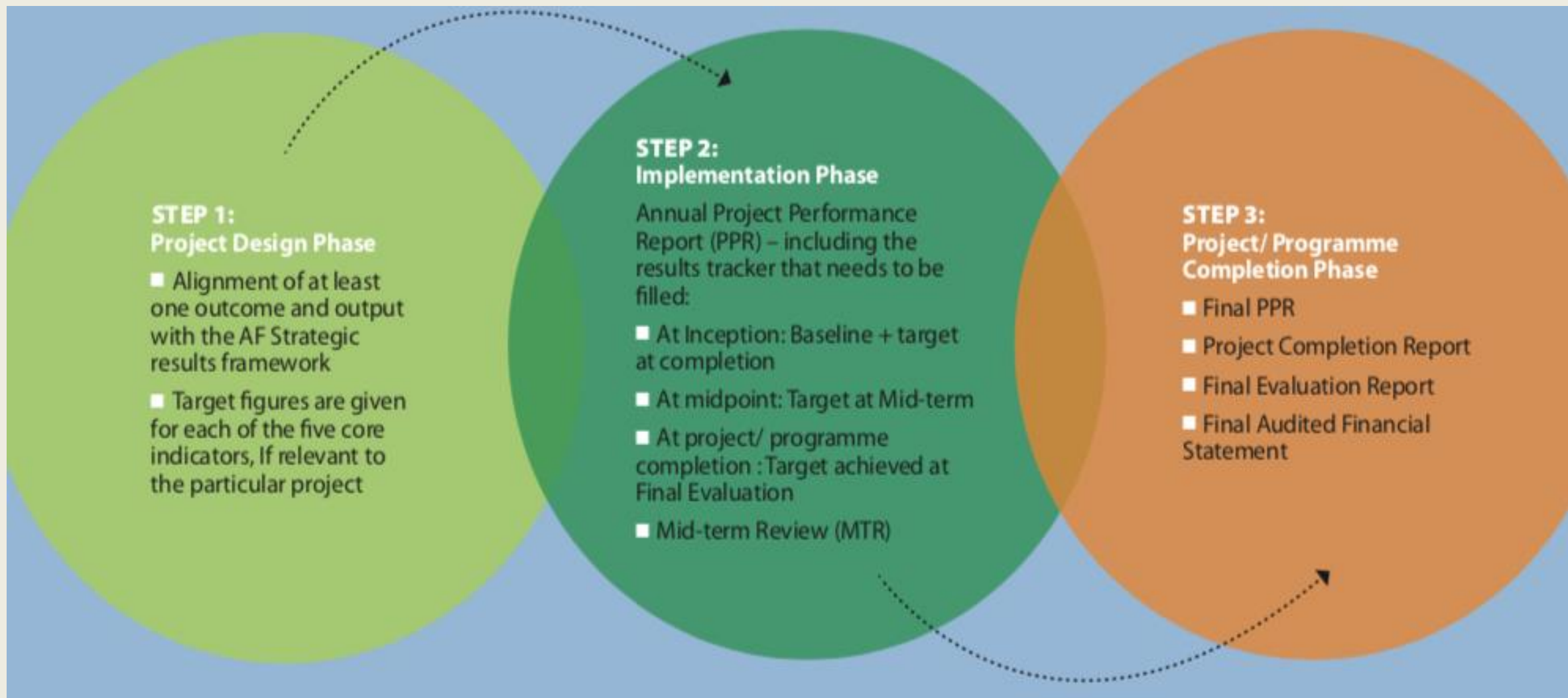
ADAPTATION FUND

AF Results Based Management (RBM) Approach





Reporting requirements during the project lifecycle





Reporting Reminders

- Inception Report – **1 month after the inception meeting/ workshop**
- Project Performance Reports (PPRs)- **First PPR is due 1 year from the date of project inception / no later than 2 months from due date**
- Midterm Evaluation Report – **MTR is due at the mid point of projects with duration of 4 years and above**
- Terminal Evaluation Reports – **Due 6 months after project completion**

From: FIFS@worldbankgroup.org

Dear implementing entity coordinator,

This is an automated message from the Adaptation Fund Board Secretariat to notify that **14 months** have passed from the **last PPR submission date** of the following project.

"Belize Marine Conservation and Climate Adaptation Initiative" for "Belize"

In accordance with the signed agreement, your organization is due to submit the **3rd project performance report** today (by 17/05/2018). If you haven't done so, please submit the report shortly.

Sincerely,

Adaption Fund Board Secretariat



ADAPTATION FUND

Reporting Reminders

From:

FIFS@worldbankgroup.org

Dear implementing entity coordinator,

This is an automated message from the Adaptation Fund Board Secretariat to notify that the **mid-point** of the total project duration has passed since the inception of the following project.

"Increased Resilience to Climate Change in Northern Ghana through the Management of Water Resources and Diversification of Livelihoods" for "Ghana"

In accordance with the signed agreement, your organization is requested to submit the mid-term report by 23/07/2018 (with in 2 months from today).

Sincerely,

Adaption Fund Board Secretariat



AF Strategic Results Framework

EXPECTED RESULTS	INDICATORS
Goal: Assist developing-country Parties to the Kyoto Protocol that are particularly vulnerable to the adverse effects of climate change in meeting the costs of concrete adaptation projects and programmes in order to implement climate-resilient measures.	
Impact: Increased resiliency at the community, national, and regional levels to climate variability and change.	
Outcome 1: Reduced exposure to climate-related hazards and threats	1. Relevant threat and hazard information generated and disseminated to stakeholders on a timely basis
Output 1.1: Risk and vulnerability assessments conducted and updated	1.1. No. of projects/programmes that conduct and update risk and vulnerability assessments (by sector and scale) 1.2. No. of early warning systems (by scale) and no. of beneficiaries covered
Output 1.2: Targeted population groups covered by adequate risk reduction systems	1.2.1. Percentage of target population covered by adequate risk-reduction systems
Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses	2.1. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased
Output 2: Strengthened capacity of national and sub-national centres and networks to respond rapidly to extreme weather events	2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events (by gender) 2.1.2. No. of targeted institutions with increased capacity to minimize exposure to climate variability risks (by type, sector and scale)
Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level	3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses 3.2. Percentage of targeted population applying appropriate adaptation responses
Output 3: Targeted population groups participating in adaptation and risk reduction awareness activities	3.1. No. of news outlets in the local press and media that have covered the topic

Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets	4.1. Responsiveness of development sector services to evolving needs from changing and variable climate 4.2. Physical infrastructure improved to withstand climate change and variability-induced stress
Output 4: Vulnerable development sector services and infrastructure assets strengthened in response to climate change impacts, including variability	4.1.1. No. and type of development sector services modified to respond to new conditions resulting from climate variability and change (by sector and scale) 4.1.2. No. of physical assets strengthened or constructed to withstand conditions resulting from climate variability and change (by sector and scale)
Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress	5. Ecosystem services and natural resource assets maintained or improved under climate change and variability-induced stress
Output 5: Vulnerable ecosystem services and natural resource assets strengthened in response to climate change impacts, including variability	5.1. No. of natural resource assets created, maintained or improved to withstand conditions resulting from climate variability and change (by type and scale)
Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas	6.1. Percentage of households and communities having more secure access to livelihood assets 6.2. Percentage of targeted population with sustained climate-resilient alternative livelihoods
Output 6: Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability	6.1.1. No. and type of adaptation assets (tangible and intangible) created or strengthened in support of individual or community livelihood strategies 6.2.1. Type of income sources for households generated under climate change scenario
Outcome 7: Improved policies and regulations that promote and enforce resilience measures	7. Climate change priorities are integrated into national development strategy
Output 7: Improved integration of climate-resilience strategies into country development plans	7.1. No. of policies introduced or adjusted to address climate change risks (by sector) 7.2. No. of targeted development strategies with incorporated climate change priorities enforced



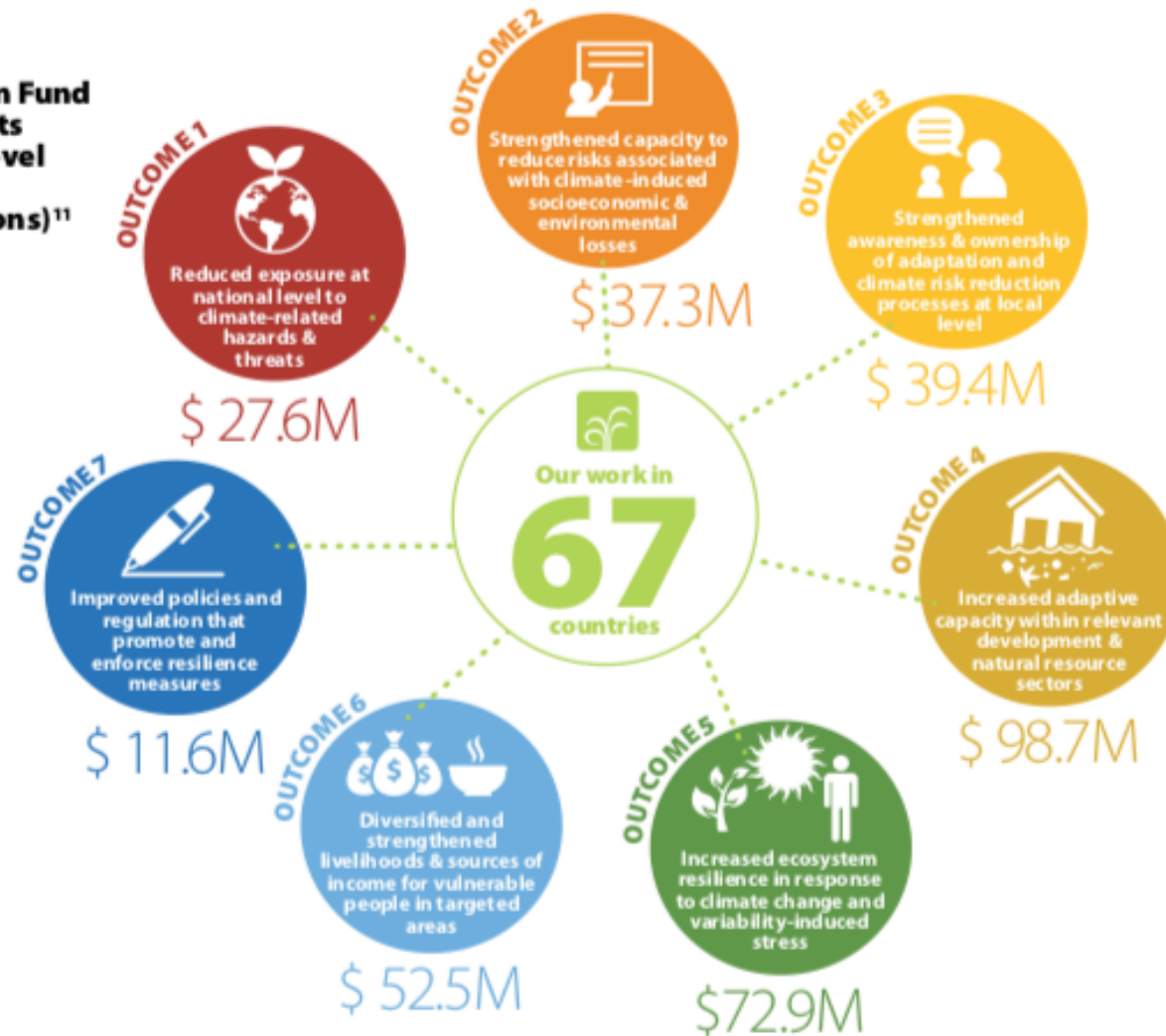
Alignment with AF Results Framework

Project Objective(s)	Project Objective Indicator(s)	Fund Outcome	Fund Outcome Indicator	Grant Amount (USD)
Increased resiliency of local water systems to climate change	The extent to which targeted waterways and irrigation channels are cleared	AF Outcome 4: Increased adaptive capacity within relevant development and natural resources sectors	4.2. Physical infrastructure improved to withstand climate change and variability-induced stress	USD ... million
Increase climate resilience in production landscapes and socioeconomic systems in vulnerable communities through a small grants mechanism	Number of vulnerable community members in project target areas with reduced risk to extreme weather events	AF Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses	2.2. Number of people with reduced risk to extreme weather events	USD ... million
Project Outcome(s)	Project Outcome Indicator(s)	Fund Output	Fund Output Indicator	Grant Amount (USD)
Water infiltration, storage and flow in the targeted water basin are improved by the rehabilitation of water canals and opening up silted channels and obstructed ponds	The number of kilometers of water channel cleared and improved ponds	AF Output 4: Vulnerable physical, natural, and social assets strengthened in response to climate change impacts, including variability	4.1.2. No. of physical assets strengthened or constructed to withstand conditions resulting from climate variability and change (by asset types)	USD ... million
Small Grant Recipients and associated institutions are empowered to identify response measures to climate induced vulnerabilities, and implement relevant climate change adaptation projects.	Number of grant recipients with increased capacity to implement climate change adaptation projects	AF Output 2.1: Strengthened capacity of national and regional centers and networks to respond rapidly to extreme weather events	2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events.	USD ... million



Annual Performance Report (APR) FY 17: Outcome Indicators

**Graphic 2:
Adaptation Fund
Investments
by Fund Level
Outcomes
(USD Millions)¹¹**



¹¹ Figures may not add up due to rounding.



Core Indicators

Impact-level results	Core indicators
Increased adaptive capacity of communities to respond to the impacts of climate change	Number of beneficiaries (direct and indirect)
	Number of Early warning systems
	Assets produced, developed, improved, or strengthened
	Increased income, or avoided decrease in income
Increased ecosystem resilience in response to climate change-induced stresses	Natural habitats protected or rehabilitated



Results Tracker

PPR-Resultstracker-4Feb15_final - Excel

FILE

HOME

INSERT

PAGE LAYOUT

FORMULAS

DATA

REVIEW

VIEW

DEVELOPER

MACROS

Clipboard

Font

Alignment

Protection

Number

Styles

Cells

Editing

Conditional Formatting

Format as Table

Normal

Bad

Good

Neutral

Indicator 7.1: No. of policies introduced or adjusted to address climate change risks

Adaptation Fund Strategic Results Framework									
Project ID									
Implementing agency									
Type of implementing agency									
Country									
Region									
Sector									
Impact: Increased resiliency at the community, national, and regional levels to climate variability and change	Core Indicator: No. of beneficiaries	Baseline information			Target performance at completion				
			Total (direct + indirect beneficiaries)	Direct beneficiaries supported by the project	Indirect beneficiaries supported by the project		Total (direct + indirect beneficiaries)	Direct beneficiaries supported by the project	Indirect beneficiaries supported by the project
		Total				Total			
		% of female beneficiaries				% of female beneficiaries			
		% of Youth beneficiaries				% of Youth beneficiaries			
Outcome 1: Reduced exposure to climate-related hazards and threats	Indicator 1: Relevant threat and hazard information generated and disseminated to stakeholders on a timely basis	Baseline information			Target performance at completion				
		Number of targeted stakeholders		Hazards information generated and disseminated	Overall effectiveness	Number of targeted stakeholders		Hazards information generated and disseminated	Overall effectiveness
		Total				Total			
		% of female targeted				% of female targeted			
Output 1.1 Risk and vulnerability assessments conducted and updated	Indicator 1.1: No. of projects/programmes that conduct and update risk and vulnerability assessments	No. of projects/programmes that conduct and update risk and vulnerability assessments	Sector	Scale	States	No. of projects/programmes that conduct and update risk and vulnerability assessments	Sector	Scale	States
		No. of adopted Early Warning	Category targeted	Hazard	No. of adopted Early Warning	Category targeted	Hazard		

Aggregated data

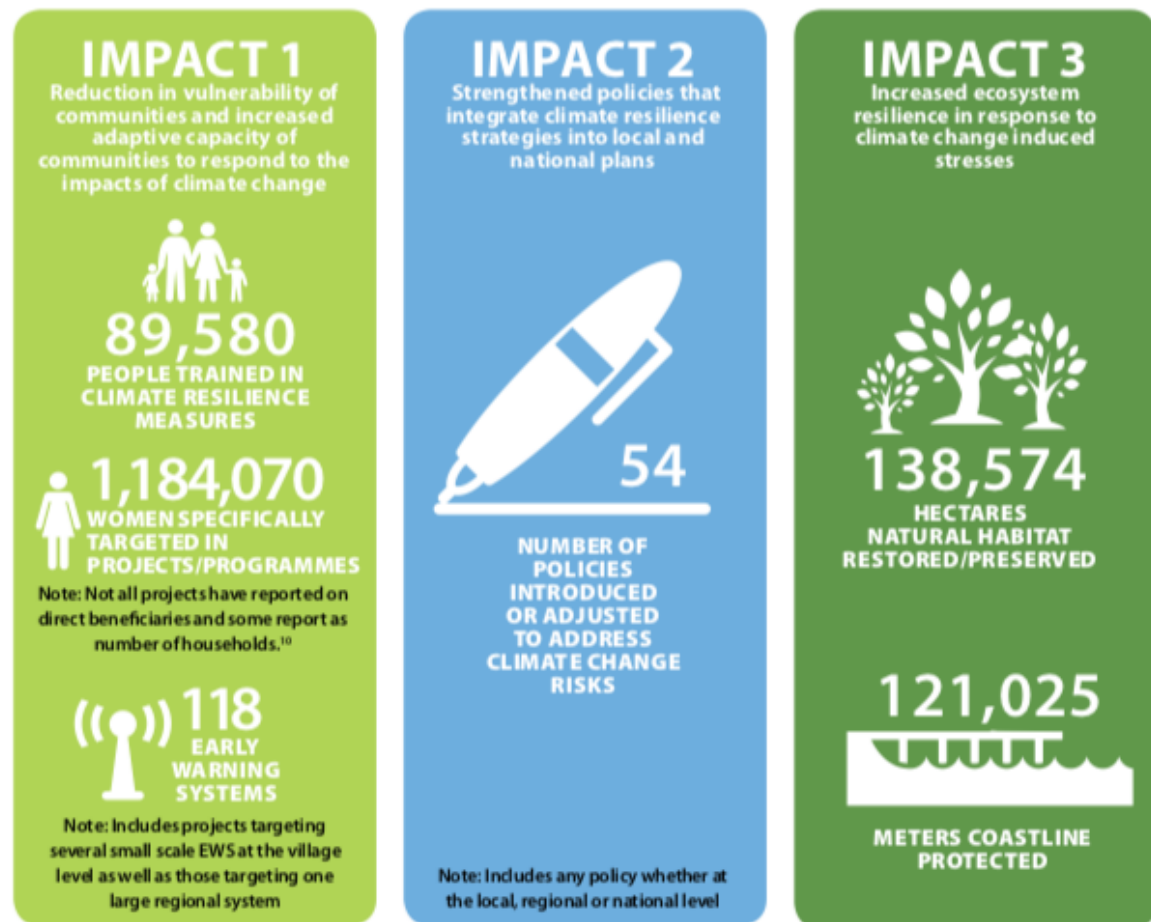
PPR results tracker

5. Results Tracker: tracks indicators that aligns both (i) the Adaptation Fund Strategic Results Framework, and the (ii) Fund's five core indicators.



APR FY 17 : Aggregation of Impact indicators

Graphic 1: Preliminary Aggregation of Fund Indicators





APR FY 17: Regional Analysis



APR FY 17: Gender Responsive Interventions

1,184,070



WOMEN BENEFICIARIES
SPECIFICALLY TARGETED
IN PROJECTS AND
PROGRAMMES

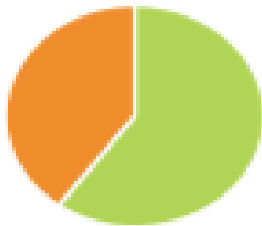


42,166

WOMEN TRAINED
IN CLIMATE
RESILIENT MEASURES

40%

OF THE PEOPLE
TRAINED UNDER
AF PROJECTS
ARE WOMEN

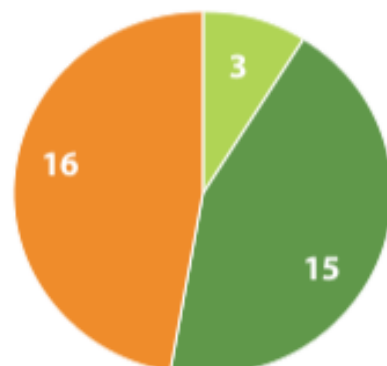


APR FY 17 : PPR Analysis

As of 30 June 2017, a total of 40 projects have submitted at least one project performance report (PPR). During the current reporting period a total of 34 PPRs were received. Of these PPR submissions, 16 PPRs were submitted early to within 1 month of the PPR submission deadline, 15 PPRs were submitted a little over a 1 month but within 5 months and 3 PPRs were submitted over 6 months late. The pie chart below presents details related to the submission of PPRs during the reporting period. A table providing details of the completed list of PPRs and implementation progress(IP) ratings submissions through FY 17 has been presented in Annex 3.

PPR Submission Time Lapse

■ LATE
■ ON TIME
■ MODERATELY LATE



As of 30 June 2017, five projects submitted their first PPR during the reporting period. PPRs are available on the Adaptation Fund website.²³

PPR Rating Description

HIGHLY SATISFACTORY (HS)

Project actions/activities planned for current reporting period are progressing on track or exceeding expectations to achieve all major outcomes/outputs for given reporting period, without major shortcomings. The project can be presented as "good practice".

2

SATISFACTORY (S)

Project actions/activities planned for current reporting period are progressing on track to achieve most of its major outcomes/outputs with only minor shortcomings.

69

MARGINALLY SATISFACTORY (MS)

Project actions/activities planned for current reporting period are progressing on track to achieve most major relevant outcomes/outputs, but with either significant shortcomings or modest overall relevance.

27

MARGINALLY UNSATISFACTORY (MU)

Project actions/activities planned for current reporting period are not progressing on track to achieve major outcomes/outputs with major shortcomings or is expected to achieve only some of its major outcomes/outputs.

8

UNSATISFACTORY (U)

Project actions/activities planned for current reporting period are not progressing on track to achieve most of its major outcomes/outputs.

4

HIGHLY UNSATISFACTORY (U)

Project actions/activities planned for current reporting period are not on track and shows that it is failing to achieve, and is not expected to achieve, any of its outcomes/outputs.

0

TOTAL

110

Useful links

- Tool 1 : Strategic results framework (https://www.adaptation-fund.org/sites/default/files/AFB.EFC_.13.4%20Results%20Tracking.pdf)
- Tool 2 : 5 core indicators. Methodologies available at:
https://www.adaptation-fund.org/sites/default/files/AFB.EFC_.14.6%20Core%20Indicator%20Methodologies.pdf)
- Tool 3 : Project Performance Report (PPR) available at the AF website, and results tracker guidance document, available at:
<https://www.adaptation-fund.org/wp-content/uploads/2016/04/AF-ResultstrackerGuidance-final2.pdf>
Annual Performance Report FY 17, available at:
https://www.adaptation-fund.org/wp-content/uploads/2017/10/AFB.EFC_.21.3.Rev_.1-Annual-Performance-Report-2017.pdf

Thank you!

