



## ADAPTATION FUND

AFB/PPRC.24/25

25 February, 2019

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Adaptation Fund Board  
Project and Programme Review Committee  
Twenty-fourth Meeting  
Bonn, Germany, 12-13 March 2019

Agenda Item 9 r)

### **PROPOSAL FOR THE UNITED REPUBLIC OF TANZANIA (NEMC) (3)**

## Background

1. The Operational Policies and Guidelines (OPG) for Parties to Access Resources from the Adaptation Fund (the Fund), adopted by the Adaptation Fund Board (the Board), state in paragraph 45 that regular adaptation project and programme proposals, i.e. those that request funding exceeding US\$ 1 million, would undergo either a one-step, or a two-step approval process. In case of the one-step process, the proponent would directly submit a fully-developed project proposal. In the two-step process, the proponent would first submit a brief project concept, which would be reviewed by the Project and Programme Review Committee (PPRC) and would have to receive the endorsement of the Board. In the second step, the fully-developed project/programme document would be reviewed by the PPRC, and would ultimately require the Board's approval.

2. The Templates approved by the Board (Annex 5 of the OPG, as amended in March 2016) do not include a separate template for project and programme concepts but provide that these are to be submitted using the project and programme proposal template. The section on Adaptation Fund Project Review Criteria states:

*For regular projects using the two-step approval process, only the first four criteria will be applied when reviewing the 1st step for regular project concept. In addition, the information provided in the 1st step approval process with respect to the review criteria for the regular project concept could be less detailed than the information in the request for approval template submitted at the 2nd step approval process. Furthermore, a final project document is required for regular projects for the 2nd step approval, in addition to the approval template.*

3. The first four criteria mentioned above are:

- (i) Country Eligibility,
- (ii) Project Eligibility,
- (iii) Resource Availability, and
- (iv) Eligibility of NIE/MIE.

4. The fifth criterion, applied when reviewing a fully-developed project document, is:  
(v) Implementation Arrangements.

5. It is worth noting that at the twenty-second Board meeting, the Environmental and Social Policy (ESP) of the Fund was approved and at the twenty-seventh Board meeting, the Gender Policy (GP) of the Fund was also approved. Consequently, compliance with both the ESP and the GP has been included in the review criteria both for concept documents and fully-developed project documents. The proposal template was revised as well, to include sections requesting demonstration of compliance of the project/programme with the ESP and the GP.

6. At its seventeenth meeting, the Board decided (Decision B.17/7) to approve "Instructions for preparing a request for project or programme funding from the Adaptation Fund", contained in the Annex to document AFB/PPRC.8/4, which further outlines applicable review criteria for both concepts and fully-developed proposals. The latest version of this document was launched in conjunction with the revision of the Operational Policies and Guidelines in November 2013.

7. Based on the Board Decision B.9/2, the first call for project and programme proposals was issued and an invitation letter to eligible Parties to submit project and programme proposals to the Fund was sent out on April 8, 2010.
8. According to the Board Decision B.12/10, a project or programme proposal needs to be received by the secretariat no less than nine weeks before a Board meeting, in order to be considered by the Board in that meeting.
9. The following project concept document titled "*Strategic Water Harvesting Technologies for Enhancing Resilience to Climate Change in Rural Communities in Semi-Arid Areas of Tanzania (SWAHAT)*" was submitted for Tanzania by the National Environment Management Council (NEMC), which is the National Implementing Entity of the Adaptation Fund.
10. This is the second submission of the proposal using the two-step submission process.
11. The current submission was received by the secretariat in time to be considered in the thirty-fourth Board meeting. The secretariat carried out a technical review of the project proposal, assigned it the diary number TZA/NIE/Water/2019/1, and completed a review sheet.
12. In accordance with a request to the secretariat made by the Board in its 10th meeting, the secretariat shared this review sheet with the NEMC, and offered it the opportunity of providing responses before the review sheet was sent to the PPRC.
13. The secretariat is submitting to the PPRC the summary and, pursuant to decision B.17/15, the final technical review of the project, both prepared by the secretariat, along with the final submission of the proposal in the following section. In accordance with decision B.25.15, the proposal is submitted with changes between the initial submission and the revised version highlighted.
14. The secretariat is submitting to the PPRC the summary and, pursuant to decision B.17/15, the final technical review of the project, both prepared by the secretariat, along with the final submission of the proposal in the following section. In accordance with decision B.25.15, the proposal is submitted with changes between the initial submission and the revised version highlighted.

## Project Summary

### Tanzania – Strategic Water Harvesting Technologies for Enhancing Resilience to Climate Change in Rural Communities in Semi-Arid Areas of Tanzania (SWAHAT)

Implementing Entity: National Environment Management Council (NEMC)

Project/Programme Execution Cost: 103,051 USD

Total Project/Programme Cost: 1,084,749 USD

Implementing Fee: 92,203.67 USD

Financing Requested: 1,280,004 USD

### Project/Programme Background and Context

Tanzania is especially vulnerable to droughts and flooding due to climate change. Climate change is not only affecting resident livelihoods and socio-economic development, but also the productivity of the agricultural sector which is a key economic activity for rural communities across the country.

The project would be implemented in Dodoma, Singida and Tabora – semi-arid regions of Tanzania, which are impacted by severe drought and water shortages due to climate change. Given these challenges, the project supports concrete adaptation actions, specifically water harvesting dams, afforestation of fruit and forest trees, rural livelihood diversification opportunities including through aquaculture, and implementation of integrated management of insect pest/vector and diseases affecting crops and livestock.

Component 1: Design, construct and establish community water harvesting technologies that will integrate agriculture, livestock, tree planting and aquaculture (USD 538,510)

Water availability is the key entry point in building livelihoods resilience in rural communities living in climate change prone semi-arid areas who solely depend on rain-fed agriculture. Water harvesting technology proposed in this component is the fundamental intervention where other project components will be anchored-on to build resilience of vulnerable rural communities to climate change impacts. The resultant outcomes from this project component will lead into improved livelihoods and resilience of the rural communities to climate change, improved food and nutrition security, and ecosystem services. In addition, the constructed dams will increase availability and access of water to resident rural community members as well as reduce drudgery for women and children from long distance walk in search for water. This will save time for women and children to venture into income generating activities and education respectively.

Component 2: Develop and implement participatory afforestation program for locally adapted fruit and forest trees entailing nursery establishment, tree planting, management and sustainable harvesting and utilization (USD 123,090)

This component will support climate change vulnerable farmers to manage their resources in ways, which protect ecosystems and increase resilience to climate change. Widespread degradation of forest and agro-ecosystems in arid and semi- arid areas has reduced capacities for resilience and adaptation to climate change. A range of technical prototypes will be devised to address sustainable harvesting and use of resources. They will include integrated apiary sites, improved harvesting and processing of non-wood products and introduction of energy

saving stoves. Encouraging ecosystem-based interventions (integrated activities) will help to improve the resilience, adaptation capacities of the beneficiaries and for the well-being of the natural habitat. Consequently, this component will lead into following outcomes: Improved ecosystem health and delivery of ecosystem goods and services; Increased sources of employment opportunities resulting from fruits and forestry venture and Reduced land and forest degradation in the community landscape.

Component 3: Develop integrated climate resilient livelihoods diversification through improved technologies in agriculture (USD 172,920)

The major outcomes from this component will include: Improved household livelihoods and income generation of local communities from fish, crop, livestock and domestic water use, Improved equitable water use for multiple agroecological needs by the community; and Improved governance of water and use of forest resources for climate resilience in target village communities.

Component 4: Formulate and implement interventions for integrated management of emerging climate change related pests and diseases that affect fruit trees, fish, crops and livestock productivity (USD 77,685)

This component aims to test options for integrated pest and diseases management in order to reduce risks of crop and livestock loss. In order to enhance resilience of our farmers, the project will design reliable management options and quarantine mechanism to avoid the spread of pests and diseases. The outcome from implementation of this project component will lead into reduced losses from climate change associated emerging insect pest/vector and diseases, hence leading to improved crop and livestock productivity consequently increased farmers' resilience to climate change impacts.



ADAPTATION FUND

## ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: Regular-sized Project Concept

Project Title: **Strategic Water Harvesting Technologies for Enhancing Resilience to Climate Change in Rural Communities in Semi-Arid Areas of Tanzania (SWAHAT)**

Thematic Focal Area: **Agriculture and Water Management**

Implementing Entity: **National Environment Management Council (NEMC)**

AF Project ID: **TZA/NIE/Water/2019/1**

IE Project ID: Requested Financing from Adaptation Fund (US Dollars): **1,280,000**

Reviewer and contact person: **Chibulu Luo**

Co-reviewer(s): **Milena Gonzalez Vasquez, Saliha Dobardzic**

IE Contact Person:

REVIEW CRITERIA	QUESTIONS	COMMENTS	COMMENTS ON FEBRUARY 14, 2019
Country Eligibility	1. Is the country party to the Kyoto Protocol?	<b>Yes.</b>	
	2. Is the country a developing country particularly vulnerable to the adverse effects of climate change?	<b>Yes.</b> Tanzania is especially vulnerable to droughts and flooding due to climate change. Climate change is not only affecting resident livelihoods and socio-economic development, but also the productivity of the agricultural sector which is a key economic activity for rural communities across the country.	
Project Eligibility	1. Has the designated government authority for the Adaptation Fund endorsed the	<b>Yes.</b> The project was endorsed by the national designated authority on December 28 <sup>th</sup> , 2018.	

	project/programme?		
	2. Does the length of the proposal amount to no more than Fifty pages for the project/programme concept, including its annexes; or One hundred pages for the fully-developed project document, and one hundred pages for its annexes?	<b>Yes.</b>	
	3. Does the project / programme support concrete adaptation actions to assist the country in addressing adaptive capacity to the adverse effects of climate change and build in climate resilience?	<p><b>Broadly, yes.</b> The project would be implemented in Dodoma, Singida and Tabora – semi-arid regions of Tanzania, which are impacted by severe drought and water shortages due to climate change. Given these challenges, the project supports concrete adaptation actions, specifically water harvesting dams, afforestation of fruit and forest trees, rural livelihood diversification opportunities including through aquaculture, and implementation of integrated management of insect pest/vector and diseases affecting crops and livestock.</p> <p>However, the following clarifications are requested:</p> <ul style="list-style-type: none"> <li>▪ <b>[CR1]</b> There is no clear reasoning behind the project's conceptual design. For instance, does the schematic diagram shown on pg. 2 draw from an approach</li> </ul>	<ul style="list-style-type: none"> <li>▪ <b>CR 1:</b> Addressed, as per information provided on pages 1 and 2 of the revised concept.</li> <li>▪ <b>CR 2:</b> Addressed, as per information provided on pages 11, 12 and 23 of the revised concept.</li> <li>▪ <b>CR 3:</b> Addressed, as per information provided on page 10 of the revised concept.</li> <li>▪ <b>CR 4:</b> Addressed, based on previous CRs.</li> </ul>

		<p>that has been successfully applied in other regions of Tanzania? Or adapted from projects across the Sub-Saharan Africa region or globally? How successful where these projects in enabling community adaptation? Please provide a brief description and rational for the why the proposed water harvesting approach was chosen.</p> <ul style="list-style-type: none"> <li>▪ <b>[CR2]</b> While the project aims to “<i>increase the number of people with knowledge on the establishment, propagation and management of fruits and forest tree species</i>” (Output 2.3) (targeting 50 youths per village), what percentage of the entire village does this level of training cover? Moreover, how will the project ensure that learning outcomes are being realized? The project describes additional trainings and workshops that will be conducted (e.g. Outputs 1.3, 2.3, 3.1, 3.2 and 4.2). How learning outcomes will be captured or assessed? What type of follow-up will be enacted to ensure that learning is taking place?</li> <li>▪ <b>[CR3]</b> Also, while the water harvesting technologies are described as “<i>fundamental intervention where other projects will be anchored</i>” (pg.10), there is no information on how communities will be trained on the management and maintenance of these systems over the course of the project, and beyond?</li> </ul>	
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	<p>4. Does the project / programme provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?</p>	<p>To some extent, yes. The provision of water resources for productive agriculture, livestock and domestic activities would support broader environmental and socio-economic outcomes in the targeted communities. However:</p> <ul style="list-style-type: none"> <li>▪ <b>[CR5]</b> The environmental benefits of the project are less clear as the concept does not provide enough information on the baseline situation in the target districts regarding to water resources, land degradation, vegetative coverage, and health of crops and livestock. Please clarify.</li> <li>▪ <b>[CR6]</b> No detail is provided how the specific beneficiary villages will be selected in the target districts? Please describe, in brief, how beneficiary communities will be selected and their socio-economic composition?</li> <li>▪ <b>[CR7]</b> How will the project ensure fair and equitable access to benefits to marginalized populations within the target communities – not just for women (as already stated in the proposal), but also for other vulnerable groups, for example</li> </ul>	<ul style="list-style-type: none"> <li>▪ <b>CR 5:</b> Addressed on page 15 and 16 of the revised concept. The project notes that “<i>using community-based risk screening tool – adaptation and livelihoods (CRISTAL); participatory baseline studies will be done in each study village to establish the status of environmental, economic and social aspects related to each of the project component from which impacts and outcomes will be measured against during monitoring and evaluation.</i>” Is it expected that the results from this screening will be provided at the full project development stage?</li> <li>▪ <b>CR 6:</b> Addressed on page 15 of the revised concept.</li> <li>▪ <b>CR 7:</b> Addressed on page 15 of the revised concept. At full project development stage, more details how the different beneficiary groups will be ranked (based on their climate change vulnerability, as mentioned in the concept) should be provided.</li> <li>▪ <b>CR 8:</b> Addressed on pages 15, 36 and 37 of the revised concept.</li> </ul>

		<p>youth and indigenous populations (if any)?</p> <ul style="list-style-type: none"> <li>▪ [CR8] The project seems to incorporate some gender considerations, in particular as it relates to the role of women in water collection; however, additional information on these considerations may be needed. Specifically, how will the project empower and ensure the socio-economic advancement of women? Please refer to the Adaptation Fund Gender Policy for guidance. Moreover, output 4.2. estimates that at least 70% of farmers will be involved in adopting and implementing IPM technologies, approximately what percentage are estimated to be women? Also, how would the proposed capacity building and trainings (e.g. output 2.3.) benefit women as well?</li> </ul>	
	<p>5. Is the project / programme cost effective?</p>	<p><b>Not clear.</b> The concept presents a cost effectiveness table with some analysis of alternative interventions and trade-offs. However,</p> <ul style="list-style-type: none"> <li>▪ [CR9] Some additional analysis on the costs and benefits of alternatives is lacking. The concept does not provide a complete enough analysis of the baseline and key barriers to be addressed in order to assess whether the proposed activities are cost effective.</li> </ul>	<ul style="list-style-type: none"> <li>▪ <b>CR 9:</b> Addressed on pages 17 and 18 of the revised concept.</li> </ul>

	<p>6. Is the project / programme consistent with national or sub-national sustainable development strategies, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of action and other relevant instruments?</p>	<p><b>Yes, for this stage of project development.</b> Enabling resilience to climate change, particularly in Tanzania's vulnerable agricultural sector, is a national priority and this is stated in various strategies and plans indicated in the proposal. This includes (1) the National Climate Change Policy; (2) sector-specific plans within the agricultural, forestry, livestock and fisheries sectors; (3) the second Agricultural Sector Development Programme (ASDP II) and (4) the country's NAPA completed in 2007.</p> <p>More information on national and/or sub-national strategies and plans would provide a fuller picture. Please ensure that a more comprehensive assessment is provided at the full project development stage.</p>	
	<p>7. Does the project / programme meet the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund??</p>	<p><b>Yes.</b> Compliance with the Adaptation Fund's Environmental and Social Policy is detailed on pg. 23 of the proposal. However, please address the following:</p> <ul style="list-style-type: none"> <li>▪ <b>[CR8]</b> How will the project ensure that harvested water that is being used for domestic activities is compliant with any national or international standards for safe drinking water?</li> </ul>	<ul style="list-style-type: none"> <li>▪ <b>CR 8:</b> Addressed on page 18 of the revised concept.</li> </ul>
	<p>8. Is there duplication of project / programme with other</p>	<p><b>Not clear.</b></p> <ul style="list-style-type: none"> <li>▪ <b>[CR10]</b> There is very little information provided about ongoing</li> </ul>	<ul style="list-style-type: none"> <li>▪ <b>CR 10:</b> Addressed on page 25 (Table 5) of the revised concept.</li> </ul>

	funding sources?	<p>initiatives and projects in the country and more specifically in the targeted areas. For example, the GEF/IFAD project, <i>“Reversing Land Degradation trends and increasing Food Security in degraded ecosystems of semi-arid areas of Tanzania”</i>, the GEF/UNEP project, <i>“Supporting the implementation of integrated ecosystem management approach for landscape restoration and biodiversity conservation in Tanzania”</i>, and the LDCF/UNEP project, <i>“Ecosystem-Based Adaptation for Rural Resilience”</i>, all currently under implementation seem relevant to this project.</p>	
	9. Does the project / programme have a learning and knowledge management component to capture and feedback lessons?	<p><b>Yes.</b> These are noted under Components 2, 3 and 4 of the proposal. However:</p> <ul style="list-style-type: none"> <li>▪ <b>[CR11]</b> Please address previous CRs regarding how learning outcomes will be captured and assessed.</li> </ul>	<ul style="list-style-type: none"> <li>▪ <b>CR 11:</b> Addressed on pages 26 and 36-39 of the revised concept.</li> </ul>
	10. Has a consultative process taken place, and has it involved all key stakeholders, and vulnerable groups, including gender considerations in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	<p><b>Not clear.</b></p> <ul style="list-style-type: none"> <li>▪ <b>[CR12]</b> The concept claims preliminary surveys and consultative meetings took place but there is no supporting documentation. According to this description, a variety of stakeholders have been identified to support the project design and implementation including local communities, local government authorities, academic institutions, the Vice President’s Office, the Ministry of Water, Tanzania Forest Services, etc.</li> </ul>	<ul style="list-style-type: none"> <li>▪ <b>CR 12:</b> Addressed on page 26 of the revised concept.</li> <li>▪ <b>CR 13:</b> Addressed, based on previous CRs.</li> </ul>

		<ul style="list-style-type: none"> <li>▪ <b>[CR13]</b> In consulting with local communities, how will the participation of marginalized and vulnerable groups be prioritized?</li> </ul>	
	11. Is the requested financing justified on the basis of full cost of adaptation reasoning?	<p><b>Not clear.</b></p> <ul style="list-style-type: none"> <li>▪ <b>[CR14]</b> Please address previous CRs, particularly from question 2, above.</li> </ul>	<ul style="list-style-type: none"> <li>▪ <b>CR 14:</b> Addressed, based on previous CRs.</li> </ul>
	12. Is the project / program aligned with AF's results framework?	<p><b>Not clear.</b></p> <ul style="list-style-type: none"> <li>▪ <b>[CR15]</b> Please address previous CRs, particularly from question 2, above.</li> </ul>	<ul style="list-style-type: none"> <li>▪ <b>CR 15:</b> Addressed, based on previous CRs.</li> </ul>
	13. Has the sustainability of the project/programme outcomes been taken into account when designing the project?	<p><b>Not clear.</b> The concept describes how social, institutional, technical, financial and environmental sustainability has been taken into account. Some clarifications are still required.</p> <ul style="list-style-type: none"> <li>▪ <b>[CR16]</b> Building on previous CRs, what actions will be taken to ensure that investments in the water harvesting technologies are sustainable beyond the use of the AF resources? How will the project ensure that the use of the technologies (and their maintenance) will be sustainable in the long-term?</li> <li>▪ <b>[CR17]</b> What are the existing options for scaling up the project to other districts and regions, for example, from other funding sources or activities?</li> </ul>	<ul style="list-style-type: none"> <li>▪ <b>CR 16:</b> Addressed on pages 29-31 of the revised concept.</li> <li>▪ <b>CR 17:</b> Addressed on page 31 of the revised concept.</li> </ul>

	<p>14. Does the project / programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?</p>	<p><b>Yes</b>, environmental and social impacts and risks that require further assessment and management for compliance have been identified including access and equity, marginalized and vulnerable groups, gender equity and women's empowerment, involuntary resettlement, conservation of biological diversity, physical and cultural heritage and land and lands and soil conservation.</p> <p>Considering that the project will install water harvesting dams and fish ponds, as well as support crops, newly planted fruit trees and livestock, the pollution prevention and resource efficiency and public health category should especially be further assessed during project preparation to ensure compliance.</p>	
Resource Availability	<p>1. Is the requested project / programme funding within the cap of the country?</p>	<p><b>Yes</b>, currently Tanzania has accessed ~\$5 million through the AF Project – <i>“Implementation Of Concrete Adaptation Measures To Reduce Vulnerability Of Livelihood and Economy Of Coastal Communities In Tanzania”</i> – which is currently under implementation in Dar es Salaam.</p>	
	<p>2. Is the Implementing Entity Management Fee at or below 8.5 per cent of the</p>	<p><b>Yes.</b></p>	

	total project/programme budget before the fee?		
	3. Are the Project/Programme Execution Costs at or below 9.5 per cent of the total project/programme budget (including the fee)?	<b>Yes.</b>	
Eligibility of IE	4. Is the project/programme submitted through an eligible Implementing Entity that has been accredited by the Board?	<b>Yes.</b>	
Implementation Arrangements	1. Is there adequate arrangement for project / programme management, in compliance with the Gender Policy of the Fund?	n/a at concept stage	
	2. Are there measures for financial and project/programme risk management?	n/a at concept stage	
	3. Are there measures in place for the management of for environmental and social risks, in line with the Environmental and Social Policy and Gender Policy of the Fund?	n/a at concept stage	
	4. Is a budget on the	n/a at concept stage	

	Implementing Entity Management Fee use included?		
	5. Is an explanation and a breakdown of the execution costs included?	n/a at concept stage	
	6. Is a detailed budget including budget notes included?	n/a at concept stage	
	7. Are arrangements for monitoring and evaluation clearly defined, including budgeted M&E plans and sex-disaggregated data, targets and indicators, in compliance with the Gender Policy of the Fund?	n/a at concept stage	
	8. Does the M&E Framework include a break-down of how implementing entity IE fees will be utilized in the supervision of the M&E function?	n/a at concept stage	
	9. Does the project/programme's results framework align with the AF's results framework? Does it include at least one core outcome indicator from	n/a at concept stage	



	the Fund's results framework?		
	10. Is a disbursement schedule with time-bound milestones included?	n/a at concept stage	

Technical Summary	<p>Overall, the Project supports concrete adaptation actions, specifically water harvesting dams, afforestation of fruit and forest trees, rural livelihood diversification opportunities including through aquaculture, and implementation of integrated management of insect pest/vector and diseases affecting crops and livestock. Specifically, the Project aims to:</p> <ul style="list-style-type: none"> <li>▪ Design, construct and establish community water harvesting technologies that will integrate agriculture, livestock, tree planting and aquaculture;</li> <li>▪ Develop and implement participatory afforestation program for locally adapted fruit and forest trees entailing nursery establishment, tree planting, management and sustainable harvesting and utilization;</li> <li>▪ Develop integrated climate resilient livelihoods diversification through improved technologies in agriculture for fish, crops and livestock production;</li> <li>▪ Formulate and implement interventions for integrated management of emerging climate change related pests and diseases that affect fruit trees, fish, crops and livestock productivity.</li> </ul> <p>The initial review included a number of clarification requests (CRs), including (among others) questions regarding the proposed adaptation measures and conceptual design for the water harvesting methods; how the project will provide broader economic, social and environmental benefits, particularly for marginalized and vulnerable groups; cost-effectiveness; and the maintenance and sustainability of the water harvesting technologies.</p> <p>The final review finds that the concept document has addressed most of the requests and provided sufficient information that demonstrates the provision of clear adaptation benefits for the targeted communities. It is expected that during the development of the full proposal document the following considerations will be taken into account: (1) ensuring that the results from the community-risk screening will be incorporated into the document, and (2) ensuring a clear methodology for ranking and prioritizing the needs of vulnerable groups is described.</p>		
Date:	February 14 <sup>th</sup> 2019		



## ADAPTATION FUND

# PROJECT/PROGRAMME PROPOSAL TO THE ADAPTATION FUND

### PART I: PROJECT/PROGRAMME INFORMATION

Project/Programme Category: **Regular Project**

Country/ies: **United Republic of Tanzania**

Title of Project/Programme: **Strategic Water Harvesting Technologies for Enhancing Resilience to Climate Change in Rural Communities in Semi-Arid Areas of Tanzania (SWAHAT)**

Type of Implementing Entity: **National Implementing Entity (NIE)**

Implementing Entity: **National Environment Management Council (NEMC)**

Executing Entity/: **Sokoine University of Agriculture**

Amount of Financing Requested: **1,280,000 (in U.S Dollars Equivalent)**

#### **Project / Programme Background and Context:**

*Provide brief information on the problem the proposed project/programme is aiming to solve. Outline the economic social, development and environmental context in which the project would operate.*

#### **PROJECT TITLE**

#### **Strategic Water Harvesting Technologies for Enhancing Resilience to Climate Change in Rural Communities in Semi Arid Areas of Tanzania (SWAHAT)**

Majority of Tanzanians live and derive their economy from a rural agrarian setting. Climate change has come with devastating effects on the environment and in turn to people calling for adaptation technologies to address this serious challenge. Drought, floods as well as pests and diseases have become chronic environmental and socio-economic problems that are aggravated by climate change and unsustainable natural resource utilisation. The impacts of climate change in areas affected with drought and floods are more severe. Food production and income generation by communities that are already poor is severely affected. The government and donor community is forced to set aside budget to support such communities for food as well as financing various socio economic needs such as education, health and water supply the cost of which is very high.

This project is proposed for the dry and semi arid regions of central and western Tanzania particularly Dodoma, Singida, and Tabora. These regions, which are in drought and flood prone environment, are exposed to a range of serious climate change related problems such as agriculture failure, deforestation and environmental degradation, malnutrition and illnesses. Research suggests that by 2030, even if the drought frequency and intensity remain stable, 5% of the region's population will go hungry<sup>6</sup>. The ecosystem resilience and capacity to support agriculture and safeguard human health will be jeopardized due to continued land and forest degradation. More severe climate change would inevitably have a far greater negative impact.

In Tanzania at the moment, few dedicated farmers used to dig small ponds for water harvesting intended for irrigating vegetables in small plots and keep fish. In addition, there exist charcoal

dams that are left overs of excavation from road construction activities. These left-over charcoal dams on the sides of the road has proved to be useful to the local communities in the semi arid areas of Tanzania as they eventually support irrigation of crop, drinking point water source for livestock, save as spontaneous fish habitat and domestic water supply (plate 1). However, these dams are small and not strategically designed to cater for multiple and integrated activities effective for enhancing adaptive and resilience capabilities of affected semi arid communities to climate change. The proposed schematic water harvesting and integrated innovations design is based on the existing utilization of left over roadside charcoal dams and small local ponds that have proved (although insufficient) to accommodate agriculture, livestock and domestic needs.

Innovative technologies for crop and livestock production, aquaculture, horticulture, apiculture and afforestation that are tailored to the local conditions are highly dependent on long term and reliable water availability. The vulnerable rural communities of semi arid areas have the will to sustain their livelihood through engagement in one or more of these innovative technologies, but they are constrained by lack of reliable water supply due to dependency on rain-fed agriculture and impacts of climate change. The proposed design (Fig. 1) for water harvesting technologies that incorporate integrated interventions will enhance sustainable adaptation and resilience to climate change.

This project concept (Fig. 1) has proved successful in Vietnam, China, India and other countries in sub-Saharan Africa (Brummett, 1999; Lu and Li, 2006; De Silva and Davy, 2010). In addition, the project design is tailored to validate and upscale the success of the left over roadside dams (Plate 1) in order to implement integrated innovative interventions for adaptation and resilience to climate change of the vulnerable rural communities of semi arid regions. The conceptual design will lead to afforestation of the catchment before the dam. The constructed dam will supply water for all the proposed integrated innovations that will be implemented on the landscape. Where among others synergism between aquaculture and agricultural activities will enhance nutrient recycling and improve resource use efficiency. Nursery for fruits and forest trees as well as vegetable gardens will be established and supply seedlings for afforestation and horticulture. Pastureland and animal husbandry will be accommodated downstream of the dam and will supply manure for soil fertility improvement. The afforested landscape will integrate apiary units, provide fuel wood and restore habitats for biodiversity conservation. Main goal of the proposed SWAHAT project is focused on enhancing resilience of rural community to climate change-induced challenges of drought, floods and high temperatures in semi arid regions in Tanzania for improved agricultural and livestock productivity, forest restoration and combating emergence of climate change related pests and diseases. The concept of this proposed project is summarized in Figure 1.

### **Economic and Social context**

**Agriculture** drives livelihoods of rural communities in Tanzania; however, this agriculture is largely dependent on rainfall and therefore subject to high vulnerability to climate change impacts associated with droughts and floods. Drought leads to crop failure while excessive rainfall and run off leads to crop loss in most semi arid regions of Tanzania. In addition, climate change has been associated with new emerging insect pests and diseases that affects crop productivity<sup>1 2</sup>. These emerging pests require immediate intervention technologies to serve small-scale farmers from crop loss leading to low food security.

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<sup>1</sup> Majule, A. E., Kauzeni, A. S and Mujwahuzi, M. (2013) Exploring opportunities for climate change adaptation in semi-arid areas of Tanzania: A case of Nzega District in Tabora region. *Afr. J. of Env. Sci. and Tech.* 7(8): 758-769. DOI: 10.5897/AJEST12.230.

<sup>2</sup> Fredrick Ojija , Siri Abihudi , Beatus Mwendwa , Cecilia M. Leweri , Kafula Chisanga (2017) The Impact of Climate Change on Agriculture and Health Sectors in Tanzania: A review *International Journal of Environment, Agriculture and Biotechnology (IJEAB)*2 (4):1758-1766. <http://dx.doi.org/10.22161/ijeab/2.4.37>.

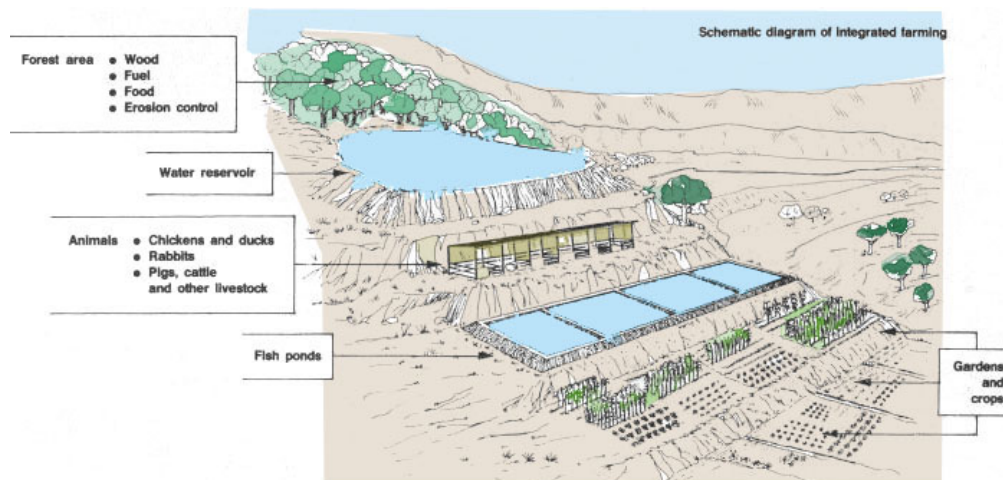


Figure 1: Schematic representation of the project concept (Source FAO).

In addition, the majority of semi arid rural communities are livestock keepers involved in production of cattle, sheep, goat poultry and pigs. Climate change imposes negative impacts due to rise in temperature, CO<sub>2</sub> concentration and precipitation variation and combination of these factors<sup>3 456</sup>

Temperature affects most of the critical factors for livestock production, such as water availability, animal production, reproduction and health. Also forage and pasture quantity and quality are affected. Livestock husbandry is affected by an increase in temperature and precipitation. In most parts of the semi arid areas in Tanzania, sustainable livestock productivity is affected by reduced availability of water, pasture, and emerging diseases as a result of climate change<sup>7</sup>.

Fish farming is a source of high value protein, income generation, and employment. However, the capita consumption of fish in Tanzania is estimated to be 7.8kg per year, which is low, compared to global per capita consumption 20 kg per year (MLDF, 2016). Combined fish production from both capture fisheries and aquaculture production currently stands at about 400,000 MT way below the estimated annual demand of 700,000 MT. Climate change has drastically reduced fish habitat as a result of low water availability in seasonal rivers and some lakes, and drying of reservoirs. Interventions to reverse this situation are important as fisheries play an important role in household nutrition and livelihoods.

Lack of fresh and clean water for domestic use in these semi arid areas is another serious climate change induced impact. As the results, women and children are burdened with responsibilities of walking long distances in search of water from unreliable water sources. Consequently, women lose time and energy which could have been invested in productive activities, meanwhile children spend less time for schooling, and sometimes prompt them to drop from school completely. Therefore, there is a great need

<sup>3</sup> Aydinalp, C., Cresser, M.S., 2008. The effects of climate change on agriculture. *Agric. Environ. Sci.* 5, 672–676

<sup>4</sup> Henry, B., Charmley, E., Eckard, R., Gaughan, J.B., Hegarty, R., 2012. Livestock production in a changing climate: adaptation and mitigation research in Australia. *Crop Pasture Sci.* 63, 191–202

<sup>5</sup> Nardone, A., Ronchi, B., Lacetera, N., Ranieri, M.S., Bernabucci, U., 2010. Effects of climate change on animal production and sustainability of livestock systems. *Livest. Sci.* 130, 57–69

<sup>5</sup> Polley, H.W., Briske, D.D., Morgan, J.A., Wolter, K., Bailey, D.W., Brown, J.R., 2013. Climate change and North American rangelands: trends, projections, and implications. *Rangeland Ecol. Manage.* 66, 493–511

<sup>6</sup> Reynolds, C., Crompton, L., Mills, J., 2010. Livestock and climate change impacts in the developing world. *Outlook Agric.* 39, 245–248

<sup>6</sup> IFAD (International Fund for Agricultural Development), 2010. Livestock and climate change.

<http://www.ifad.org/lrkm/events/cops/papers/climate.pdf>

<sup>6</sup> Koffi K., Ahoussi E., A. M. Kouassi, O. Kouassi, Kpangui L. C. and Biemi J. (2013). Integration of hydro-climatic data and land use in neural networks for modeling river flows: Case of Lobo river in the southwest of Cote d'Ivoire Yao Blaise. *African Journal of Environmental Science and Technology*. Vol. 7(8), pp. 783-788, August 2013 DOI: 10.5897/AJEST2013.1453

<sup>7</sup> Melissa M. Rojas-Downing, A. Pouyan Nejadhashemi, Timothy Harrigan, Sean A. Woznicki (2017). Climate change and livestock: Impacts, adaptation, and mitigation *Climate Risk Management* 16 145–163

to enhance water availability for domestic use.

Global agriculture uses 70% of fresh water resources, making it the world's largest consumer<sup>8</sup>. The livestock sector is also a major use of natural resources such as land, and water, currently using about 35% of total cropland and about 20% fresh water for feed production<sup>9</sup>. Climate change has reduced amount of rainfall in these semi arid areas threatened by desertification. Worse still is the fact that this little amount of rainfall water is lost through surface runoff and evaporations. In this proposed SWAHAT project, construction of dams and alternative water harvesting technologies will significantly lead into increased water availability for the livestock, crops, pasture, trees productivity, as well as for domestic use.

Fresh water reservoirs are occasionally found in village communities as either made by individual person or on side roads left by road construction pits that serve as water harvesting dams. These dams though are small size, yet have proven to help and rescue nearby village communities as water source for supporting livelihoods throughout the year. However, in many areas herdsmen spend hours in search of water for their animals, whereas constructed dams are expected to serve as feeders for water points for community livestock in the target areas (Plate 1). More analysis of the benefits of the established water-harvesting dams to pastoral community and livestock keepers will be elucidated in term of water availability during the dry seasons in each location of the project.



**Plate 1.** Representative of model services provided by remnant dams left from road construction along side roads in semi arid regions where water become available for multipurpose uses: for domestic use, livestock and irrigation water for vegetable crops can be freely obtained and consequently offers resilience to climate change to the community. (Photo taken on roadside along Kondoa – Dodoma)

### Environmental context

The proposed project target areas will include selected climate change vulnerable communities in villages of Dodoma, Singida and Tabora regions. These regions are characterized by semi-arid agro-ecological characteristics with erratic unimodal rainfall patterns<sup>10</sup>. These regions experience long and dry periods of 6 -8 months resulting into serious water scarcity for agriculture, livestock and domestic uses. The average rainfalls in these regions is 581 mm (Figure 2). The impact of climate change is therefore very significant in these regions causing unreliable crop harvest, declined livestock production and escalated poverty.

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<sup>8</sup> Thornton, P.K., Van de Steeg, J., Notenbaert, A., Herrero, M., 2009. The impacts of climate change on livestock and livestock systems in developing countries: A review of what we know and what we need to know. *Agric. Syst.* 101, 113–127.

<sup>9</sup> Opio, C., Gerber, P., Steinfeld, H., 2011. Livestock and the environment: addressing the consequences of 2141 livestock sector growth. *Advances in Animal Biosciences* 2, 601-607.

<sup>10</sup> Hamisi Juma (2013). Study of rainfall trends and variability over Tanzania. A research project submitted in partial fulfilment of the requirements for the postgraduate diploma in meteorology. University of Nairobi. 55pp



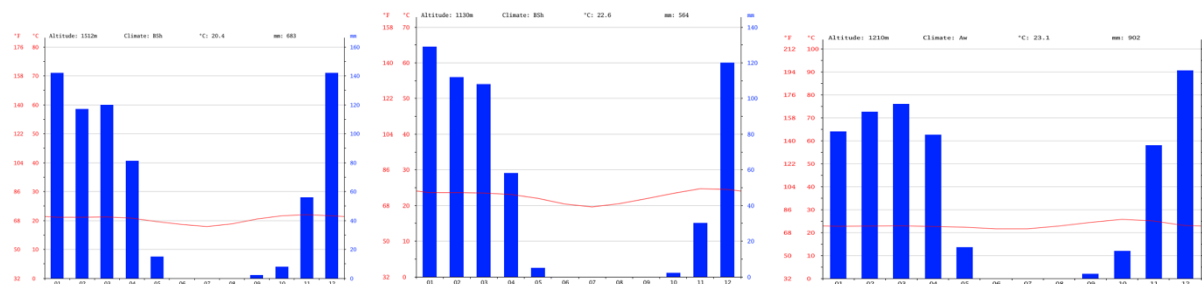


Figure 2: From left to right; Annual rainfall distribution Singida, Dodoma and Tabora Monthly weather data rainfall and temperature (Source: TMA).

The regions in the project area is characterized by miombo woodland vegetation cover which has been threatened by land degradation and deforestation as a result of population growth coupled by environmental stress (Kalipen 1992). Farming communities in Dodoma, Singida and Tabora have contributed to large scale deforestation for agriculture (shifting cultivation), charcoal/firewood harvesting, human settlements pastoralism which is exacerbated by impacts of climate change results into loss of soil cover implying water runoff, floods and drought in farm lands<sup>11</sup>. Erratic rainfall results into unpredictable planting dates, poor crop establishment and performance, crop failure and hence low yields. Previous studies has demonstrated that climate change could lead to major crop failures of up to over 40%<sup>12</sup> (Tigchelaar et al., 2018). This has a serious water scarcity for agriculture, livestock and domestic uses. Water resource anomalies in Tanzania are become as natural disasters<sup>13</sup>.

According to the Tanzania Meteorological Agency (TMA), since 2010 most of the country has experienced severe floods than any other in the last 50 years. Torrential rains formed strong floods leading to loss of water that would have been productive for agriculture, livestock and domestic use for improved livelihoods of the village or rural communities. In this project it is envisaged that, development of sustainable water harvesting technology will serve as adaptation and resilience vehicle for poor rural communities in Tanzania. With this respect, the project is aiming at implementing a strategic water harvesting technologies for enhanced resilience to climate change.

The **forest vegetation** in the target areas has been seriously degraded leading to depletion of forest wood resource for fuel wood energy, opening for agricultural land, construction materials and other ecosystem services to the community. Serious conservation and restoration measures are inevitable in order to bring resilience of the rural community to impact of climate change. Different types of vegetation occupy the semi-arid areas of Tanzania, including grasslands, dense thickets, miombo woodlands, baobab, acacia and seasonally inundated grasslands<sup>14</sup>. Anthropogenic activities have extensively modified these types of vegetation, with deforestation and land degradation in these areas on the increase (Shechambo et al., 1999). The impacts of deforestation are exacerbated by the adverse effects of climate change causing loss of soil cover due to water runoff, floods and drought in farm lands<sup>15</sup>. Deforestation could make Tanzania lose 3.5bn USD by 2033 which is at a rate of 370,000 ha per year (FAO, 2015), with a forest cover of roughly 48 millions ha (NAFORMA, 2015). On the other hand, the cost of land degradation between 2001 and 2009 was estimated to be 2.3bn USD (Kirui, 2015). The cost brought about by climate change

<sup>11</sup> OECD. (2016), *Mitigating Droughts and Floods in Agriculture: Policy Lessons and Approaches*, OECD Studies on Water, OECD Publishing, Paris. <http://dx.doi.org/10.1787/9789264246744-en>

<sup>12</sup> Tigchelaar, M., Battisti, D.S., Naylor R.L. and Depak, K..R. (2018). Future warming increases probability of global synchronized maize production shocks. *PNAS* 115(26) 6644-6649.

<sup>13</sup> Mikova and Makupa EE (2015) Current status of hydrological forecast service in Tanzania V International Scientific and Practical Conference on Modern problems of reservoirs and catchments, At Russia, Perm, Volume: 1

<sup>14</sup> Kisanga, D. (2002) 'Soil and Water Conservation in Tanzania – A Review', in Blench, R. and Slaymaker, T. (eds) *Rethinking Natural Resource Degradation in Sub-Saharan Africa: Policies to Support Sustainable Soil Fertility Management, Soil and Water Conservation Among Resource-Poor Farmers in Semi-Arid Areas*. Tamale: University of Development Studies.

<sup>15</sup> OECD. (2016), *Mitigating Droughts and Floods in Agriculture: Policy Lessons and Approaches*, OECD Studies on Water, OECD Publishing, Paris. <http://dx.doi.org/10.1787/9789264246744-en>

through floods and drought is very high (URT, 2007). Based on these facts, it is obvious that climate change will accelerate the dependence of vulnerable rural community to forest resources for their livelihoods support leading to further forest and land degradation. Alternative integrated interventions are needed to address these challenges without which these community will be subjected to more risks and vulnerability to climate change. So far, forest ecosystems are known to be a good repository of biodiversity and also contribute significantly to livelihoods of adjacent communities but are taken for granted. The knowledge of impacts and response of forest ecosystems<sup>16</sup> to climate change resilience and adaptation measures emphasizes on strategies of reestablishment of vegetation cover through afforestation and reforestation, planting of fruits and multipurpose trees.

Extensive pastoralism is also responsible for deforestation and land degradation. Under the proposed SWAHAT project, improved animal husbandry practices will be integrated in the land-use plans for better adaptation to and resilience to impacts of climate change. Proper balances for cultural values and carrying capacity need to be addressed through training and increasing awareness to the community for purpose of sustaining the benefit accrued from reduced forest cover and land degradation.

### **Developmental context**

Tanzania with a population of 55 million people has a growth rate of 2.9%<sup>17</sup> is endowed with a significant variety of natural resources including land, rivers, lakes, ocean, forests, woodlands, wild animals, and wetlands. The richness in natural resources constitutes a major asset and opportunity, which is fundamental for growth and economic development, including poverty reduction. Most of the citizens depend on natural resources for income and livelihood. Despite the rich endowment of natural resources, the country's failure to realize the full potential value of natural resources and environment for development has contributed to Tanzania standing as one of the world's poorest countries. Agriculture plays an important role in the economy of Tanzania; it accounts for 60% of the export earnings and employs 84% of the rural population. Crucial components of the agricultural sector are food crops, at 55% of the total agricultural GDP, livestock at 30%, and traditional export crops at 8%<sup>18</sup>.

Tanzania is not exempted from the consequences of climate change. However, the magnitude, rates and negative impact on people's livelihood and environment varies across agro-ecological zones. It is estimated that between 45% and 75% of the total land of Tanzania has been degraded as a result of unsustainable use coupled with the adverse effects of climate change. The consequences of climate change and unsustainable land use include land degradation, reduced productivity, food insecurity, and destruction of important ecosystems and loss of income, biodiversity, and livelihoods. These consequences have resulted into increased vulnerability of people and the ecosystem at large. They bear a toll on national development goals because household productivity and its eventual contribution to local and national development is seriously impeded by the effects of climate change. The best solution suggested include effective investment in instilling knowledge to the community and water harvesting innovations that will enhance the resilience ecosystem as well as supply water for domestic use, irrigation agriculture, livestock production including aquaculture as well as reforestation of the degraded landscapes<sup>19</sup>.

The proposed interventions are in-line with National development priorities and strategies, including, National Development Plans, Poverty Reduction Strategies, National Climate Change Strategy, National Adaptation Programme of Action, and other relevant instruments reflecting the national priorities on climate change adaptation.

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<sup>16</sup> Enfors, E. I. and Gordon, L.J. (2007) Analyzing resilience in dry land agro-ecosystems: A case study of the Makanya catchment in Tanzania over the past 50 years. *Land Degr. and Devel.* 18: 680-696.

<sup>17</sup> Tanzania National Bureau of Statistics (2012)

<sup>18</sup> URT, 2013; World Bank 2014 Reports

<sup>19</sup> Ojija, F., Abihud, S., Mwendwa, B., Leweri, C., and Chisanga, K., 2017. The Impact of Climate Change on Agriculture and Health Sectors in Tanzania: A review. *International Journal of Environment, Agriculture and Biotechnology (IJEAB)*. Vol- 2, Issue 4

**Problem to be addressed**

The proposed SWAHAT project intends to address the climate change-induced challenges of drought, floods and high temperatures in semi arid regions in Tanzania, which results into serious water shortages. Management of the water sector will become increasingly difficult as the quantity and quality of water in rivers is reduced by siltation, erosion and drought, In the event of heavy and erratic rainfalls most of river water is lost downstream if no harvesting strategies are put in place. Climate change has been associated with new emerging races and ecotypes of insects and disease pests. As of recent fall army worm, spider mites, races of virus like maize lethal necrosis, and animal diseases are said to come with elements of climate change. Ultimately, these has led into reduction in crops and livestock productivity, forest degradation, emergence of climate change related pests and diseases, as well as water shortage for domestic use. These challenges are increasing the vulnerability of rural target communities in Tanzania, particularly those living in semi-arid areas. The problem is exacerbated by the following underlying drivers of vulnerability: i) strong dependence on rain-fed, unimproved agriculture; ii) lack of diversified crop varieties; iii) high poverty levels accelerated by lack of diversified income sources; iv) deforestation and degraded land resources; and v) lack of appropriate knowledge and information on adaptation and resilience to impacts of climate change.

Therefore, the main goal of the proposed project is focused on using water harvesting technologies as a basis for enhancing adaptation and resilience of rural communities to climate change-induced challenges of drought, floods and high temperatures in semi arid regions in Tanzania for improved crops and livestock productivity, forest restoration and combating emergence of climate change related pests and diseases. The project will construct dams, which will take into account a series of strategies/techniques for improved water use efficiency (e.g. construction/installing of improved irrigations systems, water use rights and governance aspects, etc). The water harvested will lead to reduced drudgery and time spent by particularly women and children to search and fetch water for domestic use. These dams will provide water for crops, livestock and fish production, as well as for forest and fruit tree nursery establishment and eventual planting and tending. The outcome of these interventions will translate into critical adaptation and resilience to climate change.

**Project / Programme Objectives:**

1. Design, construct and establish community water harvesting technologies that will integrate agriculture, livestock, tree planting and aquaculture; 2. Develop and implement participatory afforestation program for locally adapted fruit and forest trees entailing nursery establishment, tree planting, management and sustainable harvesting and utilization; 3. Develop integrated climate resilient livelihoods diversification through improved technologies in agriculture for fish, crops and livestock production and 4. Formulate and implement interventions for integrated management of emerging climate change related pests and diseases that affect fruit trees, fish, crops and livestock productivity.

**Project Components and Financing:**

The SWAHAT project's strategy will be to implement concrete water harvesting technologies and integrated interventions to increase the resilience of communities from three selected semi arid regions of Tanzania including a total of 12 selected target villages. This will be achieved through implementation of the following strategic components: i) Designing, construction and establishment of community water harvesting technologies; ii) Development and implementation of participatory afforestation program for locally adapted fruit and forest trees entailing nursery establishment, tree planting, management and sustainable harvesting and utilization; iii) Development of integrated climate resilient livelihoods diversification through improved technologies in agriculture for fish, crops and livestock production, and iv) Formulation and implementation of interventions for integrated management of emerging climate change related pests and diseases that affect fruit trees, fish, crops and livestock productivity. Successful implementation of these project components will enhance community resilience to impacts of climate change and reduce crop and livestock vulnerability to ensure agricultural growth and poverty reduction. This will be achieved within four years by implementing four integrated project components to be financed as shown in Table 1.





**Table 1:** project components/objectives, indicative outputs and outcomes.

Project Components	Expected Concrete Outputs	Expected Outcomes	Amount (US\$)
1.Design, construct and establish community water harvesting technologies that will integrate agriculture, livestock, tree planting and aquaculture	1.1: At least 12 water harvesting dams constructed for increased water availability 1.2: Increased agroforestry trees, crop, fish and livestock productivity, biodiversity and vegetation cover. 1.3 Improved governance on water use rights and management of forest resources	Improved livelihoods and resilience to climate change of the rural communities, improved food and nutrition security, and ecosystem services Reduced drudgery for women and children from long distance walk in search of water and firewood Strengthened capacity on sustainable water resource management and utilization	538,510
2.Develop and implement participatory afforestation program for locally adapted fruit and forest trees entailing nursery establishment, tree planting, management and sustainable harvesting and utilization	2.1: At least 5 nurseries with multi purpose fruits and forest trees per village established 2.2: At least 20,000 locally adapted fruits and forest trees per village established in local communities, 2.3: Increased number of people with knowledge on establishment, propagation and management of fruits and forest tree species (1000 farmers per village anchored on 50 focus youths) 2.4: sustainable use fruits and forest tree products developed	Improved ecosystem health and delivery of ecosystem goods and services Increased sources of employment opportunities resulting from fruits and forestry venture Reduced land and forest degradation in the community landscape Strengthened knowledge and skills on establishment, propagation and management of fruits and forest tree species	123,090
3.Develop integrated climate resilient livelihoods diversification through improved technologies in agriculture for fish, crops and livestock production	3.1: Aquaculture farms established in the project villages; 3.2: Improved fruits and vegetable productivity per unit of water from rainfall or from water harvested 3.3: Developed model for improved productivity of poultry and livestock in the vicinity of established water harvesting dams with high in-situ nutrient retention. 3.4 Increased number of people with knowledge on integrated and diversified technologies for fish, crops and livestock production	Improved household livelihoods and income generation of local communities from fish, crop, livestock and domestic water use. Improved equitable water use for multiple agroecological needs by the community; Improved capacity on governance of water and use of forest resources for climate resilience in target village communities	172,920

		Enhanced capacity of people with knowledge on integrated and diversified technologies for fish, crops and livestock production.	
4. Formulate and implement interventions for integrated management of emerging climate change related pests and diseases that affect fruit trees, fish, crops and livestock productivity	4.1: At least one guideline for each insect pest/vector and disease produced and distributed to target farmers. 4.2: At least 70% of the farmers (at least 50% being women) involved in the project adopted and implementing the IPM technologies for resilience to climate change 4.3: Number of people with increased awareness, adopted and practicing insect/disease protection technologies	Reduced losses from climate change associated emerging insect pest/vector and diseases, hence leading to improved crop and livestock productivity consequently increased farmers' resilience to climate change impacts. Strengthened awareness of people on adoption and application of IPM technologies in agriculture	77,685.00
6. Other Operating Project cost			172,544.00
7. Total Project Cost			1,084,749.00
8. Institutional Administrative Cost (9.5%)			103,051.00
9. Project/ Fee charged by the Implementing Entity (8.5%)			92,203.67
<b>Amount of Financing Requested</b>			<b>1,280,004.00</b>

## Projected Calendar:

**Table 2: Milestones for the proposed project/programme**

Milestones	Expected Dates
Start of Project/Programme Implementation	2019
Mid-term Review (if planned)	2021
Project/Programme Closing	2023
Terminal Evaluation	2023

## PART II: PROJECT JUSTIFICATION

**A.** *Project components, particularly focusing on the concrete adaptation activities of the project, and how these activities contribute to climate resilience..*

### ***i. Project components focusing on the concrete adaptation activities***

The proposed SWAHAT Project will comprise the following different components focusing on the concrete adaptation activities

#### **Project Component 1: Design, construct and establish community water harvesting technologies that will integrate agriculture, livestock, tree planting and aquaculture:**

Water availability is the key entry point in building livelihoods resilience in rural communities living in climate change prone semi-arid areas who solely depend on rain-fed agriculture. Water harvesting technology proposed in this component is the fundamental intervention where other project components will be anchored-on to build resilience of vulnerable rural communities to climate change impacts. The resultant outcomes from this project component will lead into improved livelihoods and resilience of the rural communities to climate change, improved food and nutrition security, and ecosystem services. In addition, the constructed dams will increase availability and access of water to resident rural community members as well as reduce drudgery for women and children from long distance walk in search for water. This will save time for women and children to venture into income generating activities and education respectively.

To ensure sustained management and maintenance of the harvested water and its associated integrated systems, each component has a capacity building activity to enable the community to acquire the necessary knowledge and skills to be used during and after the project. Local government authorities with expertise in different fields of the intervention systems will be engaged in the project in order to take lead and contribute to integrate innovations into local government development strategies. For further sustainability of the dams and the systems, a “water users right committee” will be formed in each village in order to foresee the management of the systems associated with strategic water harvesting. A certain tariff will be set to be paid to the committee account in order to serve for maintenance of the systems. In addition, the local district authorities under the District Executive Director (DED) will be the overall authority and has the capacity to inject finances for maintenance costs of the dams after project closure.

#### **Output 1.1: At least 12 water harvesting dams constructed for increased water availability**

This will involve technological adaptation that will improve the efficiency and effectiveness of utilising water resources. In absence of the proposed dams, non-harvested water will continue to be lost rapidly through run off, floods and evaporation. The dams will solve the problem of water scarcity and retain water in the local areas for various livelihood activities in particular agriculture and horticulture (crop

production), improved livestock husbandry, aquaculture, and improved forest ecosystem services. The following activities will be implemented in this output:

1.1.1 Survey and selection of dam sites: Selection of the dam sites will be done in participatory involving district land use, agriculture, forestry, livestock, aquaculture and irrigation experts, CBO/ NGOs and members of the local community. It will also include the survey on social and economic wellbeing status, potential weather and meteorological data, catchment point, vegetation, soil types, crops grown, livestock population and types. The sizes of the dams are expected to be 6-8 m deep, 80 m wide and 250 m long expecting to hold over 120 million litres of water.

1.1.2 At least 2 dams will be constructed in each of the strategically selected vulnerable villages from 2 districts of the 3 semi arid regions of Tabora, Singida and Dodoma (12 dams = 2 x 2 x 3).

1.1.3 Installation of overheads tanks and water supply channels for water delivery on nursery, poultry, livestock units.

1.1.4 Map, validate and quantify short and long term catchment characteristics (determining flow rates, water collection capacity, overflows, siltation rates, and linking to meteorological data).

**Output 1.2:** Increased agroforestry trees, crop, fish and livestock productivity, biodiversity and vegetation cover.

The water-harvesting dams constructed from output 1.1 will be used for supporting technological activities related to agroforestry trees, crops, fish and livestock production and enhancing biodiversity and vegetation cover. The set-up of the dams will be positioned in such a way that the dam become a key player of source of livelihoods, improving vegetation cover, reducing run off, source of irrigation water, domestic water, community animals and livestock, forests and fruit trees nursery management and downstream feeding water for fish ponds and aquaculture for village community.

**Output 1.3** Improved governance on water use rights and management of forest resources

Major activities for this out put include:

- i) Establish water user groups for governance mechanism for equitable water sharing
- ii) To develop and analyze gender differentiated water use rights, governance, relieve farmers from drudgery related to water use in domestic, crop and livestock and its impacts on resilience climate change
- iii) Conduct training workshops on safety and sustainable use of harvested water.

## **Project Component 2: Develop and implement participatory afforestation program for locally adapted fruit and forest trees entailing nursery establishment, tree planting, management and sustainable harvesting and utilization**

This component will support climate change vulnerable farmers to manage their resources in ways, which protect ecosystems and increase resilience to climate change. Widespread degradation of forest and agro-ecosystems in arid and semi- arid areas has reduced capacities for resilience and adaptation to climate change. A range of technical prototypes will be devised to address sustainable harvesting and use of resources. They will include integrated apiary sites, improved harvesting and processing of non-wood products and introduction of energy saving stoves. Encouraging ecosystem-based interventions (integrated activities) will help to improve the resilience, adaptation capacities of the beneficiaries and for the well-being of the natural habitat. Consequently this component will lead into following outcomes: Improved ecosystem health and delivery of ecosystem goods and services; Increased sources of employment opportunities resulting from fruits and forestry venture and Reduced land and forest degradation in the community landscape.

**Output 2.1:** At least 5 nurseries with multi purpose fruits and forest trees per village established. Among the fruit trees species to include; mango, cashew, grapes, guava, oil palm, avocado and citrus, adapted forest trees to include Neem tree, Acacia, Cassia, Trichilia and Grevilea. Final selection of the best adapted tree species will be made based on consultation from local communities. This output will emphasize forestry interventions that incorporate fruit trees in the target community farms landscapes as a way of counteracting deforestation, protecting the environment and meeting the energy needs of the rural and urban communities, through efficient production of fuel wood. Communities will be trained on better management and conservation of natural forests and smallholder forestry and fruit trees management

practices. Best propagation methods for each of the selected species will be optimized under standard nursery establishment and management. Groups of farmers will be trained on nursery techniques, establishment, and distribution of germplasm material as well as management of trees on sites.

**Output 2.2:** At least 20,000 locally adapted fruits and forest trees per village established in local communities: Seedlings obtained from output 2.1 will be used for restorations of degraded landscapes will be done using locally adapted fruits and forest tree species. The identified and selected adapted trees will be planted in farmlands as wind breaks, in small plots as fuel wood lots, along the dams, and serve as afforestation and reforestation strategies.

An assessment and monitoring of performance of improved germplasm of selected forest and introduced fruit tree species in the project area for adaptation and resilience to climate change by local community

**Output 2.3:** Increased number of people with knowledge on establishment, propagation and management of fruits and forest tree species (50 focus group youths per village)

Each of the 50 trained youths in the focus group will be required to distribute seedlings and afforestation knowledge to 20 other people. Therefore the 50 sampled trainees will capacitate 1000 villagers which is equivalent to 60-90% of villagers working population. Although technologies on establishment, propagation and management of fruits and forest tree species exists, yet the challenge has been the lack of knowledge and access to these practices by the vulnerable rural community. This output will focus on introducing and training vulnerable communities on these knowledge and technologies through establishment of farmer field schools and exchange visits in collaboration with other farmers, researchers and local institutions (local government and NGO) on establishment, propagation and management of fruits and forest tree species. Publications will be made available for wider knowledge dissemination; Project findings and technologies disseminated through radio, TV and newspapers; Workshops held in various platforms; Established farmer schools where knowledge and skills for improved natural resource management can be obtained. Apart from farmers' groups, also schools will be involved through Environmental Clubs with the purpose of inculcating environmental awareness, adaptation to climate change and resilience. Women and youths in the target communities will be trained on nursery techniques for income generation through sale of forest and fruit tree seedlings.

**Output 2.4:** Sustainable use of fruits and forest tree products developed

For sustainability of intervention from this project component communities need to be equipped with methods and strategies that will enable them realize early benefits (food and nutrition security, income generation and other incentives) from these fruits and forest tree products. The project will support farmers to engage in sustainable tree (forest and fruit species) farming and utilization as a business capitalizing on both wood and non-wood farm forest products. Tree farmers will be facilitated to form groups or even associations that would enable them be trained in entrepreneurship development and access to better markets. Economic analysis have indicated that tree farming has more returns than conventional farming since the inputs and labour requirements are low but the returns are higher even though it takes about 6 years to harvest.

### **Project Component 3: Develop integrated climate resilient livelihoods diversification through improved technologies in agriculture**

About 75% of the country's population lives in the rural areas and depend on agriculture for their livelihoods. Poor agricultural practices often driven by lack of knowledge and poverty has resulted low yields, land degradation and escalated poverty. Such challenges are even severe in arid and semi-arid areas under the face of climate change. Actions are needed that will minimize the problems facing agricultural productivity. Technologies for improved and sustainable agriculture are available. These technologies need to be tailored to suit local characteristics and then given to local communities for practicing. these farmers need support of appropriate technologies as well as initial set up of such interventions. In this component appropriate integrated agriculture technologies (IAT) for climate resilience livelihoods diversification will be introduced and promoted to the vulnerable rural communities. These IAT will emphasize on utilization of harvested water through linkages and synergies among various agricultural activities like livestock production, fish farming and crops (vegetables gardens). This will involve rotational use manure from poultry or livestock for fertilizing fish ponds

placed downstream of the dams, vegetable gardens, and preparation of tree nursery potting media. In turn, nutrient-rich water drained from the fish ponds will be reused for irrigation of vegetable crop gardens plots. This component will also include apiculture for enhanced income generation and insect pollination of vegetable crops and fruit trees species. The major outcomes from this component will include: Improved household livelihoods and income generation of local communities from fish, crop, livestock and domestic water use, Improved equitable water use for multiple agroecological needs by the community; and Improved governance of water and use of forest resources for climate resilience in target village communities.

**Output 3.1:** Aquaculture farms will be established in the project villages.

To accomplish this output, the following activities will be undertaken: i) Excavations and establishment of model fish ponds down stream of the water harvesting dams; ii) Stocking of fingerlings; iii) Training of farmers in the target communities on fish farming practices, management of ponds and sustainable harvesting of fish; iv) Development of manuals and fliers on aquaculture and value addition and marketing; v) Develop efficient fish feeds for rural aquaculture in the target community; and vi) To monitor survival and adaptation of different species of fish in aquaculture ponds and dams in project areas of the Intervention to determine their productivity, growth rates survival rates, reproductive and longevity

**Output 3.2:** Improved horticultural crop productivity per unit of water from rainfall or from water harvested

In order to achieve this output, major activities will include: i) establishment and management of vegetable production gardens down stream of the water dams; ii) design and establish irrigation systems for vegetable gardens (overhead, furrow or drip irrigation); iii) Training of farmers on vegetable production value chain of difference adapted commercially marketable vegetable crops; iv) Training on postharvest handling and packaging of vegetable crops for local and distant domestic markets; and v) Develop horticultural technology for enhance production efficiency of selected adapted fruits vegetable crops in the project areas.

**Output 3.3:** Developed model for improved productivity of poultry and livestock in the vicinity of established water harvesting dams with high in-situ nutrient retention and ecosystem services.

This will involve rotational use of manure from poultry or livestock for fertilizing fish ponds placed downstream of the dams, vegetable gardens, and preparation of tree nursery potting media. In turn, nutrient-rich water drained from the fishponds will be reused for irrigation of vegetable crop gardens plots. In addition pasture plots will be established along the dams for fodder to feed livestock other livestock feeds will be obtained from vegetables that are not suitable for markets, remains from vegetable harvests and postharvest grading. Major activities in this output will include: i) Establish poultry and livestock units along the dams. Livestock to include dairy or fattening beef and goats; ii) Construction of collection units for manure; iii) Connecting drainage systems from animal units to fishpond and down stream to vegetable gardens reuse of water in the upstream; iv) Establish pasture paddocks for rotational grazing; v) Establish apiary units for honey and pollination of fruits and vegetable crops in the project area vi) Construction of water drinking points and water delivery trenches for community livestock along the dams to reduce siltation and contamination of water; and vii) To develop a model for improved crops –poultry, fish and livestock complementarity for climate change adaptation and resilience, viii) capacity building of local community on implementation and management of the components of the model.

#### **Project Component 4: Formulate and implement interventions for integrated management of emerging climate change related pests and diseases that affect fruit trees, fish, crops and livestock productivity**

Climate change has been associated with new emerging races and ecotypes of insects and disease pests. As of recent fall armyworm, spider mites, races of virus like maize lethal necrosis and animal diseases are associated with elements of climate change. This component aims to test options for integrated pest and diseases management in order to reduce risks of crop and livestock loss. In order to enhance resilience of our farmers, the project will design reliable management options and quarantine mechanism to avoid the

spread of pests and diseases. For crop pests and diseases some of the IPM technologies will include among others testing economically feasible pest management options involving the use of pest and disease resistant crop varieties, use of natural products, cultural control strategies, push-pull technologies and minimum use of synthetic pesticides. Farmers training and training of trainers on IPM packages will be conducted for management of emerging pests and diseases affecting crops productivity as a result of climate change. On the other hand, management livestock and poultry diseases will focus on arthropod-borne diseases such as African swine fever, rift valley fever, east coast fever, Newcastle disease, fowl pox, and CCPP (Contagious Caprine Pleural Pneumonia) affecting goats. These will be managed through establishment of vaccination programs, dipping and training farmers in the target community on biosecurity measures. The outcome from implementation of this project component will lead into reduced losses from climate change associated emerging insect pest/vector and diseases, hence leading to improved crop and livestock productivity consequently increased farmers' resilience to climate change impacts.

**Output 4.1:** At least one guideline for each insect pest/vector and disease produced and distributed to target farmers. This will include: i) Participatory surveillance and scouting for identification of major insect pests and vectors that occur in the project areas affecting crop and livestock production; ii) Participatory identification of diseases in crops, poultry and livestock production in the target project areas; iii) Participatory testing and implementing best and economically feasible pest and disease management options /IPM technologies; iv) Development of guidelines for management of pests and diseases for sustainable use by target community.

**Output 4.2:** At least 70% of the farmers (at least 50% being women) involved in the project adopted and implementing the IPM technologies for resilience to climate change . Activities include: i) Training of farmers groups of best adopted IPM technologies in the target project areas; ii) To establish types and status of adopted technologies for improved crops, poultry and livestock production.

## ***ii. Contribution to Climate Resilience***

Water reservoirs that will be built in the target project areas will drive livelihoods of rural farmers in the community through several dimensions. The proposed project is expected to contribute to increased resilience in crop productivity by ensuring crop survival from drought and during the dry season due increased water availability for irrigation, Checks dams will e establish will raise water table ad mage water available for growing a wide range of crops including vegetables that will serve for income generation. Aquaculture and fish farming technologies will be introduced to the communities for income generation and improved access to protein based nutrition security. Furthermore, the planned project is involved in reducing the drives of land degradation by establishing forest tree nurseries intended for forest restoration and provision of fuel wood, timber, and ecosystem services. Water from the dams will greatly contribute to increased availability of water for village livestock and will be used for stimulation of pasture growth through out the year. More important is the water from dams will be the major source of clean water for domestic use by the community thus reducing cumbersome long distance search of water by women and children. Community water availability is a major challenge threatening resilience of rural dweller to climate change. Therefore, the planned cost related objectives of the project will clearly address outcomes of increasing resilience of target rural communities in the selected semi-arid areas of Tanzanian thus contributing to direct improvement of people's livelihoods.

Throughout the above mentioned concrete adaptation activities, there will be elements of capacity building to local communities, improved access to technical information, change of behaviour and practice, improved infrastructure, improved resource governance, enhanced ecosystem health, improved knowledge for resource management, utilisation, and market access. All these will contribute to increased capacity of rural communities for adaptation and resilience to climate change.



**B. Project's economic, social and environmental benefits, with particular reference to the most vulnerable communities, and vulnerable groups within communities, including gender considerations. Strategy to avoid or mitigate negative impacts, in compliance with the *Environmental and Social Policy of the Adaptation Fund*.**

The baseline information given in the project background above clearly shows that there is high climate change environmental impacts associated with water resources, land degradation, poor vegetative coverage, and increased pests and diseases of crops and livestock in the semi arid areas of Tanzania. This background information provided in PART 1 (Background) above provides baseline evidence of vulnerability of the semi arid regions to climate change. In addition, using community based risk screening tool – adaptation and livelihoods (CRiSTAL); participatory baseline studies will be done in each study village to establish the status of environmental, economic and social aspects related to each of the project component from which impacts and outcomes will be measured against during monitoring and evaluation. Suitable villages for the project include: Beneficiary villages for the project will be selected based on: i) vulnerability of communities to the impacts of climate change; ii) adaptive capacity of communities; and iii) dependence of the communities on agriculture and ecosystem services; Selection of individual participants to the project will be random but stratified to include different vulnerable social groups within the village. From the baseline study, different social groups will be identified and ranked according to the climate change vulnerability levels. Both women and men will be involved and participate fully and equitably while receiving comparable social and economic benefits. People with disability, living with HIV/AIDS, youth and the elderly people, and all other disadvantaged social groups will be specially integrated into the project so as they receive fair and equitable benefits. The Government of the United Republic of Tanzania strategy is to achieve engagement of 50% participation of women in different positions e.g. decision making and employment positions. SWAHAT implementation will be inline with the National Strategy for Gender Development where involvement of women in project implementation will be at least 50%. Women will be sensitized, trained and facilitated to participate in all integrated interventions of the project. Training empowers women with skills which enhance their performance in planning, management, entrepreneurship, business administration including undertaking various developmental interventions.

It therefore, expected that strategic water harvesting and integrated interventions will provide social, economic and environmental benefits to the vulnerable communities in these areas. The successful implantation of the four project components will contribute to increased crop, livestock and fish productivity that lead to improved households' nutrition, income generation, and eventually raising the local economy. Nursery production of fruit tree seedlings will lead to increased production, consumption and sale of fruits with positive effects to people's health and income status. This project will increase water availability as a result of water harvesting thus reduce drudgery and time spent by women and children in search of water for domestic use. The outcome of these impacts has multiple-functions, the saved labour time and energy which is mostly of women will be redirected to other production activities for generating income, include investing much time on education for children. Afforestation and reforestation in combination with fruit trees, locally adapted forest tree will provide high environmental benefits including restoration of land cover, ecosystem services and enhanced biodiversity. This will increase availability of vital ecosystems services such as improved water retention capacity, soil moisture recharge, availability of pollinators as well as improved microclimate. Table 3 summarizes the tangible social, economic and environmental benefits of the project components.

**Table 3: Contribution of the project components to social, economic and environmental benefits**

Project component	Social benefits	Economic benefits	Environmental benefits
	Short to long term	Short to long term	Short to long term
Design, construct and establish community water harvesting	<ul style="list-style-type: none"> <li>Improved livelihoods and resilience of the rural communities to climate change, improved food</li> </ul>	<ul style="list-style-type: none"> <li>Increased and diversified sources of income generation</li> <li>Increased productivity of diversified crops, poultry,</li> </ul>	<ul style="list-style-type: none"> <li>Increased water availability for enhanced resilience and productivity of</li> </ul>

<b>Project component</b>	<b>Social benefits</b>	<b>Economic benefits</b>	<b>Environmental benefits</b>
technologies that will integrate agriculture, livestock, tree planting and aquaculture	<ul style="list-style-type: none"> <li>and nutrition security</li> <li>• Reduce drudgery for women and children from long distance walk in search of water</li> <li>• increased knowledge and skills on water resource management</li> <li>• Improved nutrition of local communities as a result of access to fruits and vegetables</li> </ul>	<ul style="list-style-type: none"> <li>livestock and fish farming</li> <li>• Increased productive time of women relieved from drudgery due to search of water and firewood.</li> <li>• Sustained and resilient crop yields in dry years where conventional agriculture approaches would have limited success</li> </ul>	<ul style="list-style-type: none"> <li>agro-ecosystems goods and services.</li> <li>• Increased knowledge on protecting catchment areas, water storage capacity, and reduced siltation rates</li> <li>• Increased knowledge on water use rights, governance,.</li> </ul>
<b>Project component</b>	<b>Social benefits</b>	<b>Economic benefits</b>	<b>Environmental benefits</b>
	<b>Short to long term</b>	<b>Short to long term</b>	<b>Short to long term</b>
Component 2: Develop and implement participatory afforestation program for locally adapted fruit and forest trees entailing nursery establishment, tree planting, management and sustainable harvesting and utilization	<ul style="list-style-type: none"> <li>• Increased employment opportunities resulting from fruits and forestry venture</li> <li>• Increased number of people with knowledge on establishment, propagation and management of fruits and forest tree species</li> <li>• Reduced drudgery as a result of access of fire wood</li> </ul>	<ul style="list-style-type: none"> <li>• Increased business opportunities through sales of timber and non-timber forest products</li> <li>• Increased alternative sources of income generation from sales of fruits and forest tree seedlings from nurseries</li> <li>• Increased income diversification from crops, livestock, fish, and forest products leading to increased household financial flow and stability to cope with hardships (hunger, floods, and drought).</li> </ul>	<ul style="list-style-type: none"> <li>• Improved ecosystem health and delivery of ecosystem goods and services</li> <li>• Reduced land and forest degradation in the community landscape</li> <li>• Increased knowledge on environmental awareness, adaptation to climate change and resilience</li> <li>• increased understanding on selection of suitable germplasm of selected forest and fruit trees for adaptation and resilience</li> </ul>
	<b>Short to long term</b>	<b>Short to long term</b>	<b>Short to long term</b>
Component 3: Develop integrated climate resilient livelihoods diversification through improved technologies in apicultures, agriculture for fish, crops and livestock production	<ul style="list-style-type: none"> <li>• Improved household livelihoods through adoption of diversified sources of incomes, food, and skills</li> <li>• Improved equitable water use for multiple agroecological needs by the community</li> <li>• Improved knowledge of farmers on fish farming practices,</li> </ul>	<ul style="list-style-type: none"> <li>• increased income generation as a result of integrated climate resilient smart agriculture.</li> <li>• Reduced post harvest losses of horticultural crops through increased knowledge on postharvest handling</li> <li>• Increased income generation of local communities from fish, crop, livestock and domestic water use</li> <li>• Increased knowledge of farmers</li> </ul>	<ul style="list-style-type: none"> <li>• Increased afforestation and reforestation of degraded land</li> <li>• Improved catchment health for sustainable water harvesting</li> <li>• Reduced run-off, soil erosion, siltation and rain water loss</li> <li>• Increased maintenance and provision of ecosystem services.</li> </ul>

Project component	Social benefits	Economic benefits	Environmental benefits
	<ul style="list-style-type: none"> <li>Increased knowledge preparation of efficient fish feeds for aquaculture</li> <li>Increased resilience of livestock as a result of increased fodder production</li> </ul>	<ul style="list-style-type: none"> <li>on production value chain of vegetables .</li> <li>Increased income from adoption the developed model for improved crops –poultry, fish and livestock complementarity for climate change adaptation and resilience.</li> </ul>	<ul style="list-style-type: none"> <li>Reduced soil and water pollution as a result of use of natural fertilizers</li> <li>Improved pollination through apiculture integration</li> <li>Increased knowledge on pasture species adaptation resilience</li> </ul>
	<b>Short to long term</b>	<b>Short to long term</b>	<b>Short to long term</b>
Component 4: Formulate and implement interventions for integrated management of emerging climate change related pests and diseases that affect fruit trees, fish, crops and livestock productivity	<ul style="list-style-type: none"> <li>Reduced health risks to human and animals as a result of minimum pesticide application</li> <li>Increased farmers' resilience to climate change impacts through adoption of IPM technologies for controlling emerging pests and diseases</li> <li>Increased knowledge and awareness on management of insect pest/vector and disease from use of developed IPM guidelines</li> <li>Increased knowledge on types of technologies and status of adoption in crops, poultry and livestock production</li> </ul>	<ul style="list-style-type: none"> <li>Reduced losses from climate change associated emerging insect pest/vector and diseases</li> <li>improved crop and livestock productivity through adoption of economically feasible pest management options</li> </ul>	<ul style="list-style-type: none"> <li>Reduced use of pesticides in crop and livestock production for environmental well being</li> <li>Increased knowledge on Identification of major insect pests and vectors that occur in the project areas affecting crop and livestock production .</li> </ul>

**C. Describe or provide an analysis of the cost-effectiveness of the proposed project**

**Approach to ensuring cost-effectiveness**

The National Adaptation Programme of Action (NAPA) of The United Republic of Tanzania conducted a multiple climate change vulnerable sectors analysis to prioritize adaptation actions according to their potential for positive effects on economic development, social capital and environmental management. Cost-effectiveness of the interventions was a criterion used to measure economic development. As such, the interventions proposed by the NAPA are the most urgent and were assessed to be cost-effective. The activities proposed in the SWAHAT project under AF are inline with those prioritized in the NAPA as described in Part II.D and as such are already identified as cost-effective by the United Republic of Tanzania.

The proposed project will address the agriculture, water and forestry sectors which were identified as the most vulnerable to climate change; ranking number 1, 2 and 4 respectively being the priority areas for adaptation interventions by NAPA in the URT. The proposed interventions in this project are also of top priority for each of the 3 sectors mentioned above. NAPA emphasizes establishment and development of irrigation systems and innovation of alternative

farming systems as the top priorities in the agriculture sector. In the water sector, priority is on development alternative water storage technologies for communities and promotion of water harvesting interventions. Afforestation, which is also a component in this project, is given top priority in the forestry sector as indicated by NAPA. A number of interventions have been adopted based on those listed as climate change adaptation measures identified in the UNEP-GEF report<sup>20</sup>. Cost-benefit analyses undertaken in the UNEP-GEF McKinsey Report demonstrate clearly that a country can prevent much of its expected losses through relatively low cost measures.

The anticipated benefits from implementation of project components will greatly exceed the costs and prevent climate change-induced losses. Component 1 will benefit local community by increasing availability and access to water that will be used for domestic, crops, fish and livestock production. This will lead to increased food and nutrition security as well as restoration of degraded ecosystem services. Component 2 of the project deals with participatory afforestation for locally adapted fruits and forest trees species, which have tangible benefits such as, afforestation and reforestation, reduced run off, soil erosion and siltation, increased fuel wood availability and improved ecosystem services. In addition, fruit trees will lead to increased alternative sources of income generation from sales of fruits and forest tree seedlings from nurseries. Cost effective activities in component 3 will lead into increased climate resilient livelihoods diversification through adoption of diversified income generation strategies, diversified food sources and use of smart agriculture skills. Furthermore, cost effective application of activities in component 4 will have the following tangible benefits: reduced health risks to human and animals as a result of minimum pesticide application, reduced losses from emerging insect pests/vectors and diseases, reduced use of pesticides<sup>21</sup> in crop and livestock production for environmental well being and increased farmers' resilience to climate change impacts through adoption of IPM technologies for controlling emerging pests and diseases.

There are several barriers that may hamper the implementation of the SWAHAT activities, thus calling for a need to address them. Apart from limited internal capacity to fund adaptation activities, the vulnerable communities in the project area are also constrained by: (i) extreme poverty, (ii) small and fragmented farm lands, (iii) illiteracy, (iv) the impact of HIV/AIDS creating a major drain on family energy, cash and food, and (v) Limited analytical capability of the vulnerable groups to effectively analyze the threats and potential impacts of climate change, so as to develop viable adaptation solutions.

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<sup>20</sup> The McKinsey Group, 2010. Shaping Climate-Resilient Development.  
[http://www.mckinsey.com/App\\_Media/Images/Page\\_Images/Offices/SocialSector/PDF/ECA\\_Shaping\\_Climate%20Resilient\\_Development.pdf](http://www.mckinsey.com/App_Media/Images/Page_Images/Offices/SocialSector/PDF/ECA_Shaping_Climate%20Resilient_Development.pdf).

<sup>21</sup> FAO. 2011. "Climate-Smart" Agriculture – Policies, Practices and Financing for Food Security, Adaptation and Mitigation. Food and Agriculture Organisation, Rome

**Table 4: Summary of the costs and benefits of the SWAHAT interventions.**

<b>Project component</b>	<b>Project Cost USD</b>	<b>Tangible adaption benefits</b>	<b>Averted losses</b>	<b>Alternative interventions and trade-offs</b>
Component 1: Design, construct and establish community water harvesting technologies that will integrate agriculture, livestock, tree planting and aquaculture	<b>538,510.00</b>	<ul style="list-style-type: none"> <li>• Easy access to water resource for domestic, crop and livestock production in the community</li> <li>• Increased and diversified agricultural productivity</li> <li>• Increased sustainable supply of food and water</li> <li>• Reduced time spent by women and children in search for water could be invested to other economic activities</li> <li>• Increased knowledge on water harvesting, water use rights for resilience to climate change</li> </ul>	<ul style="list-style-type: none"> <li>• Crop and livestock loss due to drought and flood,</li> <li>• Food insecurity and malnutrition based health problems</li> <li>• Water losses as a result of excessive runoff</li> </ul>	<ul style="list-style-type: none"> <li>• Timely planting time of locally adapted crops and use of early maturing crop varieties</li> </ul> <p><b>Trade-off:</b></p> <ul style="list-style-type: none"> <li>• Knowledge and information of meteorological forecasting is limited to farming community</li> <li>• Cost implication to farmers in term of input use due to erratic and unreliable rainfall</li> <li>• Limited access to expensive hybrid seeds,</li> </ul> <ul style="list-style-type: none"> <li>• Dependence on food aids</li> </ul> <p><b>Trade-off:</b></p> <ul style="list-style-type: none"> <li>• High cost for importing and distribution foods</li> <li>• Food sovereignty is jeopardised</li> </ul> <ul style="list-style-type: none"> <li>• Dependence on rain-fed agriculture</li> </ul> <p><b>Trade offs:</b></p> <ul style="list-style-type: none"> <li>• Risks and uncertainties</li> <li>• Unreliable and erratic rainfalls</li> </ul> <ul style="list-style-type: none"> <li>• Digging of shallow wells and charcoal dams</li> </ul> <p><b>Trade off:</b></p> <ul style="list-style-type: none"> <li>• Not sustainable and dry easily during dry spell</li> <li>• Costly if done individually</li> <li>• Long distance walking in search of water</li> <li>• Drudgery and time consuming</li> <li>• Associated with conflicts and risks</li> </ul> <ul style="list-style-type: none"> <li>• Supply pipe water in the village community from deep wells and lake victoria by the Govt.</li> </ul> <p><b>Trade-off:</b></p> <ul style="list-style-type: none"> <li>• High cost for the government</li> </ul>
Component 2: Develop and implement participatory	<b>123,090.00</b>	<ul style="list-style-type: none"> <li>• improved ecosystem health and delivery of ecosystem goods and services,</li> </ul>	<ul style="list-style-type: none"> <li>• deforestation</li> <li>• soil and land cover loss</li> <li>• land degradation</li> <li>• water losses as a result of</li> </ul>	<ul style="list-style-type: none"> <li>• Implementing forest Act, by laws and regulations</li> </ul> <p><b>Trade off:</b></p> <ul style="list-style-type: none"> <li>• Limited enforcement of law and regulations within local governments</li> </ul>

Project component	Project Cost USD	Tangible adaption benefits	Averted losses	Alternative interventions and trade-offs
afforestation program for locally adapted fruit and forest trees and sustainable harvesting and utilization		<ul style="list-style-type: none"> <li>• reduced land and forest degradation in the community landscape.</li> <li>• Increased alternative sources of income generation from sales of fruits and forest tree seedlings from nurseries</li> </ul>	<ul style="list-style-type: none"> <li>• excessive run-off and minimal water infiltration into the soil</li> <li>• food insecurity</li> <li>• river siltation</li> </ul>	<ul style="list-style-type: none"> <li>• lack of awareness and knowledge among rural communities</li> <li>• Mechanical soil erosion control measures such as gabions, trash lines, and contour bands</li> </ul> <p><b>Trade offs</b></p> <ul style="list-style-type: none"> <li>• Needs expertize</li> <li>• High cost investment</li> </ul> <ul style="list-style-type: none"> <li>• Dependence on forest resource for income generation (charcoal and fire wood illegal logging)</li> </ul> <p><b>Trade off:</b></p> <ul style="list-style-type: none"> <li>• High deforestation</li> <li>• Depletion of natural forest</li> <li>• Aggravate impacts of climate change</li> <li>• Loss of biodiversity</li> </ul>
Component 3: Develop integrated climate resilient livelihoods diversification through improved technologies in agriculture	172,920.00	<ul style="list-style-type: none"> <li>• Increased climate resilient livelihoods diversification through adoption of diversified income generation strategies,</li> <li>• Diversified food sources as a result of use of smart agriculture skills</li> <li>• Increased knowledge on use of organic fertilizers and manures</li> </ul>	<ul style="list-style-type: none"> <li>• Deforestation and land degradation</li> <li>• Human resettlement and urban migration</li> <li>• Food insecurity and malnutrition</li> <li>• Engagement in illegal income generating activities by rural dwellers (local spirit, and growing cannabis)</li> </ul>	<ul style="list-style-type: none"> <li>• Increased use of industrial fertilizers</li> </ul> <p><b>Trade offs:</b></p> <ul style="list-style-type: none"> <li>• Expensive</li> <li>• Have negative impact to soil microbial population</li> <li>• Pollution to water bodies</li> <li>• Have negative effect on soil physical and chemical properties</li> </ul> <ul style="list-style-type: none"> <li>• Dependence on forest resource for income generation (charcoal and fire wood illegal logging)</li> </ul> <p><b>Trade off:</b></p> <ul style="list-style-type: none"> <li>• High deforestation</li> <li>• Depletion of natural forest</li> <li>• Aggravate impacts of climate change</li> <li>• Loss of biodiversity</li> </ul> <ul style="list-style-type: none"> <li>• Rural to urban migration of youth</li> </ul> <p><b>Trade off:</b></p> <ul style="list-style-type: none"> <li>• Loss of agricultural manpower in rural areas</li> <li>• Increased urban population overwhelming the government capacity to provide social services</li> </ul>

Project component	Project Cost USD	Tangible adaption benefits	Averted losses	Alternative interventions and trade-offs
				<ul style="list-style-type: none"> <li>Engagement in illegal and antisocial activities like robbery, drugs and prostitution</li> </ul>
Component 4: Formulate and implement interventions for integrated management of emerging climate change related pests and diseases that affect fruit trees, fish, crops and livestock productivity	77,685.00	<ul style="list-style-type: none"> <li>Strengthened early warning systems for pest surveillance</li> <li>Reduced health risks to human and animals as a result of minimum pesticide application,</li> <li>Reduced losses from climate change associated emerging insect pest/vector and diseases,</li> <li>reduced use of pesticides in crop and livestock production for enviromntal well being and</li> <li>increased farmers' resilience to climate change impacts through adoption of IPM technologies for controlling emerging pests and diseases</li> </ul>	<ul style="list-style-type: none"> <li>Crop and livestock losses due to infestation of pests and diseases</li> <li>Increased health risks from pesticide use</li> <li>Increased pesticide and herbicide pollution in rivers and environment</li> <li>Food and nutritional security</li> <li>Increased death of non target beneficial insects and microbes as a result insecticide and herbicide use</li> </ul>	<ul style="list-style-type: none"> <li>Intensified agricultural production through heavy use of pesticides, herbicides and fertilizer</li> </ul> <p><b>Trade off:</b></p> <ul style="list-style-type: none"> <li>Has high costs;</li> <li>Build up of resistance</li> <li>Has negative environmental impacts; and</li> <li>Can still result in crop failure from climate change hazards. \</li> </ul> <ul style="list-style-type: none"> <li>Farmers resorting to use untested local knowledge practices to control emerging pests and diseases in climate change</li> </ul> <p><b>Trade-off:</b></p> <ul style="list-style-type: none"> <li>Not always reliable and efficient</li> <li>Lack of standard formulation</li> <li>Limited availability</li> <li>Limited up scaling to other communities</li> <li>Not scientifically proven and documented</li> </ul>

- D.** *Project consistency with national or sub-national sustainable development strategies, including, where appropriate, national or sub-national development plans, poverty reduction strategies, national communications, or national adaptation programs of action, or other relevant instruments, where they exist.*

**The National Climate change Strategy (2012) priorities and objectives:**

Water is conceived being among the main source of livelihoods, harnessed for domestic, agriculture, industrial use. Climate change is negatively impacting water sources, therefore addressing these climate change induced impacts will allow continuous availability for these elements which are important for sustaining livelihoods, economic growth and social development. In response, as due to the growing concerns over negative climate changes and climate variability, Tanzania like many other countries has vested into several initiatives to curb the situation include developing the National Climate Change Strategy<sup>22</sup>. National Climate Change Strategy was devised seeking for enhancing the technical, institutional and individual capacity of the country to address the impacts of climate change. In order to achieve this aim, the National Climate Change Strategy has identified several strategic interventions (SI), among which are proposed by SWAHAT project: C) - emphasizes on facilitating and promoting water recycling and reuse; D) – Promoting rain water harvesting; G) – facilitate access to water resources; J)– enhancing decentralization of water sources management.

**Forestry:** With regards to the forestry sub-sector, climate change is reported to have affected many of forest and ecosystem processes. Protecting and conserving biodiversity through application of best practices in soil and water conservation; expanding forest cover and use of adaptive species as well as linking conservation areas is pivotal in adapting to climate change and ensuring continuity in the availability of ecosystem goods and services hence improving the livelihoods of Tanzanians. All the same, the proposed SWAHAT project will further strengthen efforts invested by the Government particularly on the following areas of emphasis: a) Enhancing control of forest fire, disease and pest breakout; b) Enhancing conservation of forests biodiversity and control of invasive species; c) Supporting alternative livelihood initiatives for forest dependent communities; d) Promoting establishment of woodlots; and f) Strengthening and up scaling of community based forest management best practices.

**Agriculture:** In Tanzania, the agricultural sector is reckoned being among the economic development pillars of which more than 80% of population within the country depending on climate sensitive rain-fed agriculture as source of livelihood<sup>23</sup>. However, adverse effects of climate change have also been recorded within different government reports<sup>24</sup> <sup>25</sup> as cited from CIAT and World Bank. The dependence of agriculture on rainfall increases risks of droughts and floods. Therefore, reducing vulnerability of the sector to climate change will significantly contribute to socio-economic development and ensure food security. Cognizant of the situation, Tanzanian government has set several priorities, of which the SWAHAT project will also thrive to make its contribution to enhance the resilience of the communities to climate change induced impacts, through: a) Assessing crop vulnerability and suitability (cropping pattern) for different Agro-ecological zones; c) Promoting appropriate irrigation systems; d) Promoting early maturing and drought tolerant crops; e) Enhancing agro-infrastructural (input, output, marketing, storage) systems; f) Promoting appropriate indigenous knowledge practices; i) Strengthening post harvest processes and promote value addition; j) Addressing soil and land degradation by promoting improved

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<sup>22</sup> UNDP (2007). Human Development Report 2007/2008: Fighting climate change: human solidarity in a divided world. Palgrave Macmillan, New York

<sup>23</sup> United Republic of Tanzania - URT (2009a). Climate change and agriculture policy brief. Vice President's Office, Division of Environment, Dar es Salaam

<sup>24</sup> United Republic of Tanzania - URT (2008). State of the environment report 2008. Vice President's Office, Division of Environment, Dar es salaam.

<sup>25</sup> CIAT; World Bank. 2017. Climate-Smart Agriculture in Tanzania. CSA Country Profiles for Africa Series. International Center for Tropical Agriculture (CIAT); World Bank, Washington, D.C. 25 p.



soil and land management practices/techniques; k) Strengthen integrated pest management techniques; l) Promote use of pest/disease tolerant varieties; and m) Strengthen early warning systems for pest surveillance.

**Livestock sector adaptation initiatives:** Tanzania is endowed with about 94 million hectares of land resources of which 60 million hectares are rangelands utilized for livestock grazing that are also vulnerable to climate change impacts. Increasing temperature and frequent droughts are likely to reduce rangelands production capacities. Yet weather variability and climate change have further diminished water volumes in many water bodies including the Ruaha and Ruvuma Rivers, which has challenged irrigation and contributed to increased livestock migration. Furthermore, droughts reported to have led into a decline in carrying capacity and a reduced quantity and quality of forages, which has already been witnessed in parts of Arusha, Dodoma, Kilimanjaro, Shinyanga, Mara, Mwanza, Iringa, and Tabora<sup>26</sup>.

Likewise, livestock vector borne diseases and spread of tsetse flies may narrow the area under rangeland. As a consequence, the already low productivity of grazing livestock could be further diminished. Hence, need to strengthen resilience of livestock producers so as to be able to adapt with climate change impacts and ensure food security. To enhance resilience of livestock industry development to climate change impacts. This goal is in consistence with SWAHAT project strategic interventions spelt out in component 1,3 and 4. The proposed project will therefore contribute to the following national strategic interventions for improving livestock adaptation capacities to with stand negative impacts of climate change: a) Promoting climate change resilient traditional and modern knowledge on sustainable pasture and range management systems; b) Promoting development and implementation of land use plans countrywide; f) Promoting livelihood diversification of livestock keepers; and g) Promoting improved traditional livestock keeping system.

**Fisheries:** As far as fisheries sector is concerned, the goal of Tanzanian Government is to have fisheries resource is able to resist and/or adapt to climate change risks and continue supporting community livelihoods, productivity and diversity of the aquatic ecosystems and fisheries sector in general. The proposed SWAHAT interventions are also within the Government frameworks, and most particularly on: (c) Promoting aquaculture (d) Enhancing protection and conservation of aquatic ecosystems productivity and diversity of the aquatic ecosystems and fisheries sector in general.

**ASDP II:** In collaboration with other stakeholders, Tanzania has developed phase two of the Agricultural Sector Development Programme (ASDP II) as the instrument of operationalizing the Agricultural Sector Development Strategy (ASDS), which is seeking to promote higher agricultural growth and improve rural incomes as well as food security by raising productivity and promoting profitability of agriculture. The ASDP II has identified a number of priority areas of interventions within which the proposed SWAHAT project fits in, and most particularly on the following: a) Priority Area 1 (PA 1) emphasize on sustainable water and land use management for crops livestock and fish and system resilience to climate change this is inline with **component 1**; b) PA 2 of ASDP emphasize on enhanced agricultural productivity and profitability (crops, livestock and fish); c) PA 3 – rural commercialization and value addition (market access, value addition, trade and private sector development ; and d) PA-4: is emphasizing on strengthening sector enablers (including policies food and nutritional security and safety nets, ICT, CKM, coordination and M&E).

**NAPA:** Similarly, the Government further recognizes the extreme vulnerability of communities to climate change as the aspect of poverty, which needs to be addressed from different perspectives include instituting the National Adaptation Programme of Action (NAPA) in 2007. NAPA underscores that Agriculture, Water and Forestry are of high priority sectors that requires interventions for adaptation to climate change. The proposed project is in consistent with the NAPA as it is contributing to the following NAPA emphasized activities in order to enhance climate resilience to the vulnerable rural communities in

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<sup>26</sup> URT (2013c). Climate change adaptation information toolkit for farming communities in Tanzania. 28pp.

Tanzania.

**Agriculture Sector:** i) Increase irrigation to boost crop production in all areas; ii) Introduce alternative farming systems; iv) Create awareness on the negative effects of climate change; v) Increase the use of manure and fertilizer; vi) Range management for livestock production; and vii) Control pests, weeds, and diseases.

**Water Sector:** i) Develop alternative water storage programs and technology for communities Promote water harvesting and storage facilities; ii) Develop reservoirs and underground water abstraction; iii) Community based catchments conservation and management programs – partially addressed; iv) Develop new water serving technologies in irrigation.

**Forestry sector:** i) Increase irrigation by using appropriate water efficient technologies to boost crop production in all areas; iii) Develop water harvesting and storage programs for rural communities particularly those in dry lands; vii) A forestation programmes in degraded lands using more adaptive and fast growing tree species; and xii) Water harvesting and recycling. Based on this, the proposed SWAHAT project recognizes remarkable efforts made by the Tanzanian Government, include other stakeholders, whereas these initiatives must be sustained and deepened by enhancing resilient capacities of communities to climate change across all targeted areas of intervention, and the nation at large.

**E. Project's relevance to meet national technical standards, where applicable, such as standards for environmental assessment, building codes, etc., and complies with the *Environmental and Social Policy of the Adaptation Fund***

## **I. National Standards**

The project will engage construction engineers for the dams work and ensure that they follow all the necessary codes of conduct. NEMC will be consulted by submitting preliminary environmental assessment (EIA) information to find out whether there is need or no need for environmental impacts assessment OR rather a simple or full EIA is necessary.

## **II. B. Compliance with the Adaptation Fund Policy**

The project will commit to environmental and social policies, and regulations of the adaptation fund. As a matter of principal the project will ensure that environmental and social risks will be assessed to identify any potential problems. Any risks identified must have a plan in place for avoidance and/or minimisation during project implementation. A mechanism to monitor and report on the status of the measures taken will also be put in place. In addition, the project will comply to both national and international laws. Access and equitability of the project benefits will be promoted. The project will be participatory by allowing local communities and other stakeholders to bring ideas on board from the onset of the project. The project will ensure that all marginalised and vulnerable groups of people in the project areas are engaged. In particular with this project, women and children who are the most affected by the repercussions of drought and low farm productivity will be relieved from a huge burden. Other expected vulnerable people are the disabled, the elderly and people living with HIV. Gender consideration will be given emphasis in the project so that women and men access to the benefits of the project is scrutinised to ensure equality and inclusiveness.

The land to be used for the project will be donated by the villages through mutual agreement of all the villages to avoid resettlement or taking off land from those with weak or no voice to defend themselves. The project will ensure that important habitats and biodiversity are conserved and not converted into dams of other activities. Enrichment planting of local species to such habitats will be done to enhance their vitality. All activities will be conducted to ensure avoidance of pollution or where difficult, to ensure the minimum possible pollution. Public health and climate change risks will be minimised as outcomes of this project. When the ecosystem health and farm productivity is enhanced communicable diseases risks will be reduced while the nutritional standards of the people will be improved.

To comply with national and international standards of safe domestic water use, the project will incorporate water sanitation and hygiene technologies. These include boiling drinking water; use of water

guard for domestic water treatment and installation of raised plastic tanks that will store treated water for domestic supply. In addition awareness creation will be done to local communities to avoid pollution of the catchments and the established dams.

**F. Describe if there is duplication of project with other funding sources, if any.**

There is no duplication. However, some projects and programs conducted and or proposed previously in Tanzania addressed some components of the proposed SWAHAT. The following table list some of related projects for climate change adaptation conducted in Tanzania:

**Table 5: Climate change related programs/projects in Tanzania**

Project/Program	Objectives	Potential synergism
Reversing Land Degradation trends and increasing Food Security in degraded ecosystems of semi-arid areas of Tanzania	To improve food and nutrition security in the targeted villages	<b>No Duplication:</b> The SWAHAT project is centered around water harvesting technology that will integrate farming and afforestation systems for the purpose of enhancing resilience and adaptation to climate change
Supporting the implementation of integrated ecosystem management approach for landscape restoration and biodiversity conservation in Tanzania	To strengthen integrated natural resource management and restoration of degraded landscapes for resilient socio-ecological systems in Tanzania	<b>No Duplication:</b> The SWAHAT project is centered around water harvesting technology that will integrate farming and afforestation systems for the purpose of enhancing resilience and adaptation to climate change
Ecosystem-Based Adaptation for Rural Resilience	To strengthen climate resilience in rural communities of Tanzania by building adaptive capacities to implement EbA approaches and diversifying livelihoods	<b>No Duplication:</b> The SWAHAT project is centered around water harvesting technology that will integrate farming and afforestation systems for the purpose of enhancing resilience and adaptation to climate change
Enhancing Pro-poor Innovations in Natural Resources and Agricultural Value-chains – EPINAV. A climate change adaptation program funded by NORAD (2010-2015).	Program was aimed at empowering and enhancing communities and institution's capabilities and readiness to adapt and be more resilient to the impacts of climate change.	<b>No duplication:</b> The SWAHAT is enhancing resilience of rural community to climate change-induced challenges of drought, floods and high temperatures for improved crops and livestock productivity, forest restoration and combating emergence of climate change related pests and diseases. Interventions are driven by water harvesting technologies.
Programme on climate change impacts, adaptation and mitigation in Tanzania (CCIAM) - Cooperation between the government of the united republic of Tanzania (URT) and the government of the kingdom of Norway 2009 -2014.	To develop and sustain adequacy in national capacity to participate in climate change initiatives and address the effects and challenges of climate change with particular emphasis to the REDD initiatives – this project addressed more on mitigation measures	<b>No duplication</b> The project is focused on adaptation and enhancing resilience to climate change using water harvesting and integrated technologies.
Proposed project on Improving water availability in drought stricken communities in central part of the of the country NAPA team	Project aims at efforts to Provide water and ensure sustainable utilization of water in the drought stricken areas using reservoirs and	<b>No duplication:</b> SWAHAT advocates integrated water harvesting in a larger scale, for increased productivity of crops,

Project/Program	Objectives	Potential synergism
	underground water.	livestock, fish farming, nursery and improved forest ecosystem services to enhance livelihoods resilience to climate change.
The Tanzania UN-REDD National Programme – National Framework for Reduced Emission from Deforestation and Forest Degradation in Tanzania	The Tanzania UN-REDD National Programme aims to support Tanzania to be ready for REDD+ implementation and forest carbon trading and is a mitigation project.	<b>No duplication.</b> The SWAHAT project provide knowledge and skills on water harvesting technologies for forest restoration, agriculture and ecosystem services.

**G. Learning and knowledge management component to capture and disseminate lessons learned.**

The new knowledge and lessons generated from this project will be captured and disseminated from case studies, rapid evidence review and project reports as per project outcomes 1.3, 2.4, 3.4, 3.5 and 4.2. Knowledge captured will be stored and accessed through web-based data network portal (for instance through NEMC, SUA, government and NGOs websites) which will supply information about the water harvesting technologies and integrated interventions to local government authorities responsible for policy and planning development as well as local stakeholders. This may also be extended to international learning platforms. The SWAHAT project will use a social networking site such as twitter, Facebook, Telegram, Instagram and Whatsapp to promote information generated in the project as well as to promote the documentary film.

Furthermore, farmer-to farmer experience sharing will be promoted; local leaders and decision makers will be constantly engaged from the start to the end of the project to enhance promotion of the interventions. Promotion of the intervention strategies to outside stakeholders will be conducted using signboards, posters, booklets, pamphlets publications to be distributed during planned workshops and exhibitions. Promotion through various news channels will be done to reach the wider public. Documentary films on tangible benefits for resilience to climate change and improve livelihoods will be developed in components 1 through 4, for instance those covering the complete model idea in fish farming, nursery management, water and nutrient recycling. Since disseminating project results is useful to: i) inform future projects in best practices; ii) effectively overcome information barriers to the uptake of adaptation measures; and iii) prevent duplication of efforts, SWAHAT project will emphasize and put significant weight in knowledge management component to capture and disseminate lessons learned. Follow up of the realization of learning outcomes will be done through i) monitoring and evaluation, ii) site visits to verify number of people applying the knowledge, iii) to trace the number of people trained by 50 focus youths and iv) obtain feedback from project participants through focus group discussion or administering structured questionnaire.

**H. Consultative process, including the list of stakeholders consulted, undertaken during project preparation, with particular reference to vulnerable groups, including gender considerations, in compliance with the *Environmental and Social Policy of the Adaptation Fund*.**

During implementation of project on crop research in the same regions, discussions with participating farmers and extension officers pointed out the challenges experienced in agriculture activities and environmental conservation due to climate change. They emphasised on the importance of water reservoirs evidenced by their dependence of left over dams from road construction and locally dug ponds as source of water (Plate 1). Through preliminary surveys and consultative meetings in semi-arid regions of the study area, the following significant climate change associated challenges were prioritized by farming target communities to include: poor crop performance and crop failure due to insufficient and unreliable rainfall, land degradation due to water surface runoff and flooding, lack of water for domestic use and livestock, lack of alternative means for income generations, and emergence of new crop pests and

diseases. Based on these challenges highlighted by the target communities, the idea for strategic water harvesting technologies were sought as adaptation measure to ensure community resilience to climate change, and therefore development of the SWAHAT Project proposal. The consultative meeting carried out in the local governments in proposed project target areas identified a number of stakeholders: (i) Local communities: The local communities are involved in project design particularly in identifying problems, specific needs related to resilience to climate change, sites for project implementation and the role they play in project implementation; (ii) District and Local Government Authorities: The participating Local Government Authorities (LGAs) will be contributing in providing baseline information and data on the catchment in their areas of jurisdiction as well as mobilizing local communities to ensure their effective participation and engagement. The LGAs will also provide subject matter specialists during implementation of the project. It is expected that the LGAs will ensure sustainability of the innovations that will be established after the project end for continuity; (iii) Academic, Research and Development Institutions: These will provide technical support on land use planning, water and sanitation as well as capacity building to both technical staff and communities in various aspects especially on land use and catchment conservation and management. This will include SUA and partner from Ardhi University in project design and implementation. (iv) Government Ministries and Institutions: The Vice President's Office through NEMC has provided overall guidance and coordination during preparation, implementation and monitoring of the project. In addition to VPO, Ministry of Water will be consulted for better installation and reconnaissance of the catchment points. Natural Resources and Tourism through Tanzania Forest Services (TFS) are engaged on aspects of nursery establishment, and tree planting. Lands and Human Settlements Development are involved in land use planning and governance of issues related to land use in a given community. Livestock and Fisheries Development will liaise with experts in pasture and rangeland establishment in the target community including estimates of carrying capacity; Agriculture, Food Security and Cooperatives experts from this are involved in implementation of technologies that will lead into increased crop productivity, diversifying types of adapted crops in target communities. and President Office - Regional Administration and Local Government (PO-RALG) provide institutional support to sectors in the local government in project implementation.

*I. Provide justification for funding requested, focusing on the full cost of adaptation reasoning.*

Funding is being requested for the implementation of **Strategic water harvesting technologies for enhancing resilience to climate change in rural communities in semi arid areas of Tanzania (SWAHAT)**. The total funding requested for this project is US\$ 1,280,000 including project management and project execution costs.

The semi-arid regions of Tanzania particularly Dodoma, Singida and Tabora are highly climate vulnerable where climate change significantly reduce agricultural productivity of small-holders farmers who predominantly depend on rain-fed Agriculture. Both agriculture and livestock productivity are heavily impacted by the frequent droughts and floods that arise in semi-arid regions of Tanzania which occur frequently and lead to large impacts livelihoods of farming communities. The social and economic costs arising from increasing climate change are significant, and expected to become even more severe necessitating multi-pronged approaches for adaptation interventions. The ever-increasing demand for water in agriculture is one of the limiting factors for food production. Thus producing more food for the limited water availability is important in addressing water scarcity and food insecurity. In Tanzania at the moment, few dedicated farmers used to dig small ponds for water harvesting intended for irrigating vegetables in small plots and keep fish. This project is proposed for the dry and semi arid regions of central and western Tanzania particularly: Dodoma, Singida, and Tabora. These regions, which are in drought and flood prone environments, are exposed to a range of serious climate change related problems such as agriculture failure, deforestation and environmental degradation. The proposed project is therefore divided into four components described below.

**Component 1 Dams construction and water harvesting (baseline Without Funding)**

In absence of the proposed dams, non-harvested water will continue to be lost rapidly through run off, floods and evaporation. This will make the rural communities in the target areas become more food

insecure, poor and thus less resilient to climate change. According to the FAO<sup>27</sup>, Tanzania's arid and semi-arid areas cover more than 50% of the country, representing a large population of vulnerable community. If the communities living in these areas are left without support to cope with these challenges, the government will incur high costs to support them for food, and provision of other livelihoods services like education, infrastructure and health. Eventually it will save government investment in water supply provision of food aids and other livelihoods services. Despite the significant government investment in water supply, coverage is not satisfactory with only 50% of the population having access to clean water.

**With funding of Component 1** will enable SWAHAT project to construct water harvesting dams which will solve the water scarcity challenges facing communities in the semi-arid areas. With AF funding at least 12 water harvesting dams with capacity of 120,000,000 litres will be constructed to serve average of 4000 people per village. By making water available, significant improvement of crop productivity livestock production and farm forestry will be achieved while also reducing the distance women walk to fetch domestic water which in turn will translate into improved livelihoods in term of food security, income, and restoration of ecosystem services. Eventually, the communities and surrounding ecosystems will become more resilient to impacts of climate change. .

**Component 2 Integrated participatory and sustainable afforestation program (Baseline without funding):** Semi arid areas are characterised by inherent poor vegetation cover<sup>28</sup>. Anthropogenic activities have extensively modified these types of vegetation, with deforestation and land degradation in on the increase (Shechambo et al., 1999). The impacts of deforestation are exacerbated by the adverse effects of climate change causing loss of soil cover due to water runoff, floods and drought in farm lands<sup>29</sup>. Deforestation could make Tanzania lose 3.5bn USD by 2033 which is at a rate of 370,000 ha per year (FAO, 2015), with a forest cover of roughly 48 millions ha (NAFORMA, 2015). On the other hand, the cost of land degradation between 2001 and 2009 was estimated to be 2.3bn USD (Kirui, 2015). The cost brought about by climate change through floods and drought is very high. In Tanzania, more than 92% of energy is wood based obtained mainly from natural forest resources contributing to deforestation and green house gases<sup>30</sup>. Based on these facts, it is obvious that climate change will accelerate the dependence of vulnerable rural community to forest resources for their livelihoods support leading to further forest and land degradation. Alternative integrated interventions are needed to address these challenges without which these community will be subjected to more risks and vulnerability to climate change.

**With Funding in Component 2:** Funding will be used to establish at least 5 nurseries in each project village to produce and supply multi purpose fruits and forest trees seedlings for planting in strategically selected sites on the farming landscapes. Among others, the planted fruit and multipurpose trees will provide food, fodder, timber, and fuel woods as well as reduce the rate of deforestation and land degradation. This will enhance vegetation cover and reduce vulnerability, increase incomes, and will have ancillary benefits on the environment (as land-water-forest integrated solutions). The proposed project will target farmers and communities, reducing the adaptation deficit, and avoiding the costs of land degradation while enhancing incomes from production benefits. Through the use of forests and land restoration, it will also deliver improved ecosystem services

<sup>27</sup> FAO (Food and Agriculture Organization of the United Nations) (2012) 'Adaptation to Climate Change in Semi-Arid Environments Experience and Lessons from Mozambique'. Rome: FAO.

<sup>28</sup> Kisanga, D. (2002) 'Soil and Water Conservation in Tanzania – A Review', in Blench, R. and Slaymaker, T. (eds) Rethinking Natural Resource Degradation in Sub-Saharan Africa: Policies to Support Sustainable Soil Fertility Management, Soil and Water Conservation Among Resource-Poor Farmers in Semi-Arid Areas. Tamale: University of Development Studies.

<sup>29</sup> OECD. (2016), Mitigating Droughts and Floods in Agriculture: Policy Lessons and Approaches, OECD Studies on Water, OECD Publishing, Paris. <http://dx.doi.org/10.1787/9789264246744-en>

<sup>30</sup> Fred Håkon Johnsen (1999) Burning with Enthusiasm: Fuelwood Scarcity in Tanzania in Terms of Severity, Impacts and Remedies, Forum for Development Studies, 26:1, 107-131, DOI: 10.1080/08039410.1999.9666097

**Component 3 Integrated climate resilient livelihoods diversification (baseline without funding):**

Without the AF project, rural communities in the intervention areas will continue to be exposed to the periodic climatic shocks that impact on agriculture and livelihoods. These will be exacerbated by the under-developed farming systems and the lack of diversified income sources and innovations. With aggravated impacts of climate change, community vulnerability will increase and consequently making local communities food insecure. Integrated interventions for diversification of livelihoods are highly needed to relieve vulnerable communities from the adverse effects of climate change. Without funding the communities poverty will increase, thus requiring humanitarian assistance and social protection that deplete national resources and expose communities to a series of shocks.

**Adaptation interventions (with AF funding):** The integrated interventions will diversify and improve the livelihood security of vulnerable communities of semi arid areas. This is consistent with the national development strategies that aim to increase resilience through diversification of agricultural activities (e.g. aquaculture, beekeeping, and horticulture), as well as livestock and poultry production). The proposed interventions will support local communities who currently depend on rain-fed agriculture, which is often un-integrated, to increase and diversify their production systems. There will be special focus on women who are normally the main rural workforce and more vulnerable to the consequences of climate change and therefore to relieve them from the burden of poverty.

**Component 4 Integrated management of emerging pests and diseases (Baseline Without funding):**

Without AF funding, Climate change related emerging insects and disease would continue to cause losses to crops, fish, poultry and livestock.. A growing number of pests and diseases could lead to higher and even unsafe levels of pesticide and drug residues in food. As a result food insecurity will hit hard these semi arid communities who are already vulnerable to climate change. This will lead into under-nutrition particularly to women and children under the age of five. Therefore the communities will be rendered to seek aid and other types of support from the government and development partners. .

**With Funding of Component 4:** The funds will be used to design integrated pests and diseases management interventions to reduce losses from climate change associated pests and diseases. The capacities of farmers to deal with incidences of crop damage due to pests and diseases will be significantly enhanced. Expenses incurred to buy pesticides and insecticides, as well as the associated detrimental effects to the environment and human health will be reduced. The funding will save the loss of invested money and labour in crop and livestock production that would occur due to infestation by pests and diseases. This will result into improved crop and livestock productivity consequently increasing farmers' food security and resilience to climate change impacts.

**J. Sustainability of the project outcomes taken into account when designing the project.**

**Social sustainability:** The project problem was identified by participatory prioritization and analysis of climate related risks affecting local rural communities in selected semi arid areas of Tanzania. In this context, local people's ownership of the project is inclusive and this will incentivize people participation in project activities and ensure sustainability of the project even after project funding ceases. The project is designed so as to align with the social and environmental framework of the Adaptation Fund.

**Institutional sustainability:** The implemented project interventions will be based on the sound/thorough understanding of local realities in respective target areas. A thorough understanding of the areas and its people will serve as a springboard for collaborative interventions, while putting people's participation at the centre stage. Village members, local government officials/District Councils, and other development actors in the area will participate. The implemented project will still draw on the indigenous knowledge and wisdom of the people, including experiences from other development actors for revitalizing and enhancing climate resilience and adaptation capacities of the people and ecosystems. Through such

institutional arrangement amongst key stakeholders, including villagers themselves it will serve for building their capacities for developing problem-solving initiatives (i.e. identifying, planning, implementing, undertaking monitoring and evaluations of their own community based initiatives). Similarly, it will lead to attitudinal change of the people as well as enhancing a sense of ownership of the project's interventions amongst the stakeholders. Apparently, this project approach serves as the cornerstone for sustainability of the project interventions even beyond after the project has come to an end. The project will also be implemented using existing government and community infrastructures. As a result technical support will continue to be provided by the government. Final ownership of the intervention will be vested in the village and the local government. Project assets such as dams, established forests and farms, orchards, tree nurseries, fish farms and apriary units will be handed over to the local institutions for continued management and operations.

**Technical sustainability:** During the project, district technical staff will be engaged. Training of trainers that will be implemented in the project will improve the technical capacity of the local experts who will eventually continue to provide technical backstopping to the target communities beyond the project life. The project will have a dissemination component of the lessons learnt to the wider audience thus, making them aware of the successful interventions allowing them to apply elsewhere. The participatory nature of the project will equip the local community with technical knowledge and skills and instil the sense of ownership to the local community who will be obliged to offer management services to the investment.

**Financial sustainability:** Another important ingredient for sustainability is the income generation aspect attached to the project. Farmers are always sensitive in choosing to engage in quick income ventures. The proposed integrated technologies for crops, fishery, livestock, fruits, vegetables and forestry activities are designed in such a way that there is short term income generation from the investment. This will motivate project and non-project farmers to adopt the interventions and hence a sustainability window.

**Environmental sustainability:** Environmental sustainability will be ensured through – i) Planting of locally adapted forest tree species will lead to restoration of land cover. These restored vegetation cover is a permanent asset that will remain in the project area. Since the communities will already understand and gain benefits from the project, they will sustain interventions that ensure the vegetation is well managed; ii) Use of renewable energy supply such as solar powered water pumps which will continue to be used after the project; iii) Protection of catchment area of the dams will be done through maintenance of vegetation cover to minimize erosion; iv) Continuous use of animal manure, water reuse, and nutrient recycling and v) Application of IPM technologies and climate smart agriculture will be implemented. In order to ensure sustainability of the project intervention during and after the project, the project is designed in such a way that:

- The nature of the project is participatory and therefore local communities will have a sense of ownership of the investment thus assuming responsibilities after project completion
- Local government authorities with expertise in different fields of the intervention systems will be engaged in the project from the very beginning in order to take lead and contribute to intergrade the innovation into local government development strategies. The local district authorities under the District Executive Director (DED) will be the overall in charge and has the capacity to integrate the investment into District development plans and therefore sustain the activities after the project life.
- A water users committee will be formed to be responsible for overseeing the management and maintenance of constructed dams. This committee will be empowered through training and networking in order to develop their inherent decision making that will enable them to seek for other funding opportunities after the project ends
- Capacity building of farmers in each village on maintenance and management of the water harvesting systems will be done to capacitate the community with knowledge and skills to maintain the investment
- The established dams and the various interventions are expected to generate income from fish farming, sales from nursery tree seedlings, sales from fruits and vegetables sales of livestock



products, honey and other crops. Through these sales a percentage that will be agreed upon will be contributed to be used for management and maintenance of the water system.

- Since the innovations generate income, farmers will be incentivised to maintain the investment during and after the project

It is expected that a successful implementation of the proposed climate change adaptation and resilience interventions will open window for establishment of a similar model in other areas. This is because the project will engage national and ministerial level stakeholders who can take up the project idea to other areas. The project will also create different fora to engage district executive officers, planners and policy makers from semi arid areas to participate in workshops and field excursions to sensitize and promote the best practices/innovations and lessons learnt for them to adopt and apply to their respective districts. This intervention can be duplicated in other Districts/Regions by applying funds from development partners. In addition, farmer field schools will be set up and capacitated to train and collaborate with other farmers (including from outside the project areas), researchers and institutions with interest on the interventions (climate smart agriculture, aquaculture, horticulture, forestry and livestock husbandry).

**K.** *An overview of the environmental and social impacts and risks identified as being relevant to the project.*

<b>Checklist of environmental and social principles</b>	<b>No further assessment required for compliance</b>	<b>Potential impacts and risks – further assessment and management required for compliance</b>
<i>Compliance with the Law</i>	No further assessment required for compliance	The project components and outputs are in line with many of the provisions of the Constitution of the United Republic of Tanzania.
<i>Access and Equity</i>	Compliance assessment during implementation may be required	- Initial assessment if men and women are able to participate fully and equitably and receive comparable social and economic benefits
<i>Marginalized and Vulnerable Groups</i>	Compliance Assessment during implementation may be required	Initial assessment of vulnerability status during project site/wards and village levels ESIA screening phase, and compliance assessment during implementation is required
<i>Human Rights</i>	No further assessment required for compliance	The constitution and legal proclamations respect human rights
<i>Gender Equity and Women's Empowerment</i>	Further assessment required, as this is one of the focus areas of project and compliance is key.	Initial assessment during project site/ wards and village levels ESIA screening phase, and compliance assessment during implementation is required
<i>Core Labour Rights</i>	No further assessment required for compliance	Labor proclamation protects the rights of contract employees and contains similar provisions with that of Adaptation Principle
<i>Indigenous Peoples</i>	No further assessment required for compliance	There is no specific national legislation on this aspect. In the project areas this may not be relevant because of absence of indigenous people. Should there be any, appropriate actions as per the adaptation funds will be adhered to.
<i>Involuntary Resettlement</i>	Initial screening and compliance assessment required, during implementation	Initial assessment during project site/wards and village levels ESIA screening phase and compliance assessment during implementation is required.

<i>Protection of Natural Habitats</i>	No further assessment required for compliance	
<i>Conservation of Biological Diversity</i>	Compliance Assessment during implementation may be required	Assessment to inform and strengthen the conservation of biodiversity at the project sites may be required
<i>Climate Change</i>	No further assessment required for compliance	-
<i>Pollution Prevention and Resource Efficiency</i>	No further assessment required for compliance	-
<i>Public Health</i>	No further assessment required for compliance	-
<i>Physical and Cultural Heritage</i>	Initial screening to verify that physical and cultural heritage sites are not in the vicinity	The criteria for selection of project sites forbids locating project activities in the vicinity of physical and cultural heritage sites
<i>Lands and Soil Conservation</i>	Initial assessment and reconnaissance survey will be conducted during selection of placement of the project site	- Elements of land and soil conservation will be evaluated before the execution of the project

### **PART III: IMPLEMENTATION ARRANGEMENTS**

#### **A. Describe the arrangements for project implementation.**

NEMC will be the lead implementing entity of the adaptation fund. Sokoine University will be the coordinating institution for the SWAHAT project activities through the Directorate of Postgraduate, Research, Technology Transfer and Consultancy (DPRTC). Through SUA the DPRTC has previously coordinated big research programs such as Climate Change Impacts, Adaptation and Mitigation (CCIAM), Enhancing Pro-poor Innovation in Natural Resources and Agricultural Value Chain (EPINAV), Soil Water Management Research Program (SWMRP). In addition SUA host the Southern African Centre of Excellence for Innovative Rodent Pest Management and Biosensor Technology Development (ACEIRPM&BTB), African Centre of Excellence for Infectious Diseases of Humans and Animals in Southern and East Africa (SACIDS-ACE). SUA also hosts the National Carbon Monitoring Centre (NMC). The University has a dedicated unit in the finance department that is solely responsible for managing project funds. With this track record, SUA has the Capacity and experience to manage fund projects to ensure project objectives are met and outputs are delivered. The University will provide research infrastructure as well as researchers time. A team of multidisciplinary experts who will be coordinated by the Project coordinator will implement the project. The project coordinator will report all implemented project activities and financials to DPRTC and NEMC. Each component will have a leader who will foresee activities under the particular component and report to the coordinator. The project coordinator will be the overall in-charge of the project activities.

#### **B. Description of measures for financial and project risk management**

<b>Identified Risk</b>	<b>Risk rating</b>	<b>Mitigation Measures</b>
Conflict among users of water and forest resources	Low	Capacity building on governance of water rights and forest resources Participatory governance and management of water forest resources user rights Implement water resources management acts and

		National Irrigation act at target village
Political will at regional and district and village local government to accept and support project objectives	Low	Participatory engagement of stakeholder from the beginning of the project
Limited ability and willingness to understand and adopt the innovations	Medium	Capacity building of target village communities Participatory prioritization and decision making
Change price of materials for project implementation	Low	Budget reviews
Delays in disbursement of funds	Low	Timely reporting and application of funds Encourage site/field visits and engagement of executing entity for visibility of project implementation.

**C. Measures for environmental and social risk management, in line with the *Environmental and Social Policy of the Adaptation Fund*.**

Identified risks	Risk Rate	Mitigation Measures
Access and equity	Low	<ul style="list-style-type: none"> <li>Capacity building</li> <li>Participatory resource management</li> </ul>
Marginalized and vulnerable	Low	<ul style="list-style-type: none"> <li>Sensitization of all social groups</li> <li>Institutionalization of the project activities for continued execution</li> </ul>
Conservation of Biological Diversity	Low	<ul style="list-style-type: none"> <li>Prior assessment of biodiversity status</li> <li>Sensitization of all social groups</li> <li>Participatory development and implementation of conservation practices</li> </ul>
Pollution prevention of resource efficiency	Low	<ul style="list-style-type: none"> <li>Compliance to environmental laws</li> <li>Integrated soil fertility and pest management</li> </ul>
Land and soil conservation	Low	<ul style="list-style-type: none"> <li>Promoting integrated soil conservation practices</li> <li>Minimize soil disturbances by machines during dam construction</li> </ul>

**D. Describe the monitoring and evaluation arrangements and provide a budgeted M&E plan.**

NEMC will be responsible for managing the mid-term review/evaluation and the terminal evaluation. The Project Coordinator and his Team will participate actively in the process. The project will be reviewed or evaluated on bi-annual basis (mid-year and end of the year basis). The purpose of the review/evaluation is to provide an independent assessment of project performance at mid-term, to analyse whether the project is on track, what problems and challenges the project is encountering, and which corrective actions are required so that the project can achieve its intended outcomes in the most efficient and sustainable way. In addition, it will verify information gathered through the Adaptation Fund tracking tools. Representative from the directorate (DPRTC) and Project members will participate in the evaluations and develop a management response to the evaluation recommendations along with an implementation plan. It is the responsibility of the NEMC to monitor whether the agreed recommendations are being implemented.

An independent terminal evaluation (TE) will take place at the end of project implementation. The Implementing entity (SUA) will be responsible for the TE and liaise with the NEMC secretariat throughout the process. The TE will provide an independent assessment of project performance (in terms of relevance, effectiveness and efficiency), and determine the likelihood of impact and sustainability. It will have two primary purposes: to provide evidence of results to meet accountability requirements, and to promote learning, feedback, and knowledge sharing through results and lessons learned among NEMC and executing entity. While a TE should review use of project funds against budget, it would be the role of a financial audit to assess probity (i.e. correctness, integrity etc.) of expenditure and transactions. The TE report will be sent to project stakeholders for comments. Formal comments on the report will be

shared by the implementing entity in an open and transparent manner. The project performance will be assessed against standard evaluation criteria using a six point rating scheme. The final determination of project ratings will be made by the Implementing entity when the report is finalised. The evaluation report will be publically disclosed and will be followed by a recommendation compliance process. The direct costs of reviews and evaluations will be charged against the project evaluation budget.

**Table 7: Monitoring and Evaluation Budget**

Activity	Responsible person	Budget	Timeframe
Inception meeting	Executing entity project coordinator	5,841	Within 2 months of project starting
Baseline survey	Executing entity project coordinator	16,110	Within 2 months of project starting
Mid-term review	External consultant	32,000	24 months
NIE annual visits	NIE project coordinator	5,000	Annual
Annual meetings		17,523	Annual
Final evaluation report	External consultant	8,000	48 months
Audit reports	External auditor	5,000	Annual
<b>TOTAL</b>		89,474	

**E. Results framework for the project proposal, including milestones, targets and indicators.**

Expected results	Indicators	Baseline	Targets	Means of verification	Milestone
<b>Overall Objective: Enhancing resilience of rural community to climate change-induced challenges of drought, floods and high temperatures in semi-arid regions in Tanzania</b>					
Enhancing resilience of rural community to climate change-induced challenges of drought, floods and high temperatures in semi arid regions in Tanzania	Percentage of people with improved livelihoods and resilient to climate change Number of households disaggregated by gender with improved food and nutrition security Number of people adopted diversified sources of income generation activities Number of female and male headed HHs having access to water supply	To be established during the baseline study	At least 30% increase in crop and livestock productivity at the end of the project At least 240 ha of land planted with adapted fruits/forest tree by the end of the project At least 50% of adopt diversified sources of income generation activities 700 female and 300 male headed HHs having access to water supply	<ul style="list-style-type: none"> <li>• End of project M&amp;E reports</li> <li>• Report from local authorities,</li> <li>• Journal articles published in peer reviewed journals and thesis submitted for award of degrees</li> <li>• Quarterly, annual, Mid-term and final project evaluation reports</li> </ul>	Within and beyond the project life
<b>Component 1: Design, construct and establish community water harvesting technologies that will integrate agriculture, livestock, tree planting and aquaculture</b>					
Expected results	Indicators	Baseline	Targets	Means of verification	Milestone
Community water harvesting dams for integrated agriculture, livestock, tree planting and aquaculture designed and constructed	<ul style="list-style-type: none"> <li>• Number of sites where physical water dams has been constructed to deal with climate risk</li> <li>• Number of dams constructed per village</li> <li>• Number of overhead water tanks installed</li> <li>• Number of constructed water supply channels</li> </ul>		Twelve (12) water harvesting dams each with capacity of 120 million liters constructed Increased agroforestry trees, crop, fish and livestock productivity, biodiversity and vegetation cover Improved governance on water use rights and management of forest resources Reduced drudgery and time for women	Periodic project reports surveys, studies Project annual impact assessment reports Mid-term project reports final project evaluations Village data	Within year one of the project implementation

Expected results	Indicators	Baseline	Targets	Means of verification	Milestone
<b>Component 2: Develop and implement participatory afforestation program for locally adapted fruit and forest trees entailing nursery establishment, tree planting, management and sustainable harvesting and utilization</b>					
Participatory afforestation program for locally adapted fruit and forest trees and sustainable harvesting and utilization village established	<ul style="list-style-type: none"> <li>Number of nurseries established</li> <li>Number of fruit trees planted on farmlands</li> <li>Number of catchment areas planted with forest trees</li> <li>Number of seedlings produced and planted</li> <li>Number of community members trained on better management and conservation of natural forests</li> <li>Number of farmer's groups trained on techniques for nursery establishment</li> <li>Performance of improved germplasm of selected tree species</li> </ul>	To be established during the baseline study	<p>At least 5 nurseries with multi purpose fruits and forest trees per village established</p> <p>At least 20,000 locally adapted fruits and forest trees per village established in local communities</p> <p>Increased number of people with knowledge on establishment, propagation and management of fruits and forest tree species (50 youths per village)</p> <p>2.4: Sustainable use of fruits and forest tree products developed</p>	<p>Periodic project reports surveys, studies</p> <p>Project annual impact assessment reports</p> <p>Mid-term project reports</p> <p>final project evaluations</p> <p>Village data</p>	At the end of the project implementation
<b>Component 3: Develop integrated climate resilient livelihoods diversification through improved technologies in apicultures, agriculture for fish, crops and livestock production</b>					
Expected results	Indicators	Baseline	Targets	Means of verification	Milestone
Integrated climate resilient livelihoods diversification through improved technologies in agriculture developed	<ul style="list-style-type: none"> <li>Established model fish ponds downstream of the water harvesting dams</li> <li>Number of farmers in the target communities trained on fish farming practices, management of ponds and sustainable harvesting of fish</li> <li>Number of developed manuals and fliers on aquaculture and value addition and marketing</li> <li>List of fish feeds for rural aquaculture</li> <li>The percentage of productivity, growth, survival and reproductive rates of fish in dams and ponds determined</li> <li>Number of women/men from target HH with a new source of income</li> </ul>	To be established during the baseline study	<p>Twelve model aquaculture farms each with at least 4 ponds established in the project villages</p> <p>Twelve model vegetable gardens with diversified vegetable crops established</p> <p>At least 30% of households within target community are integrated in aquaculture, horticulture, livestock and apiculture.</p>	<p>Periodic project reports surveys, studies</p> <p>Project annual impact assessment reports</p> <p>Mid-term project reports</p> <p>final project evaluations</p> <p>Village data</p>	At the end of the project implementation

Expected results	Indicators	Baseline	Targets	Means of verification	Milestone
	<ul style="list-style-type: none"> <li>Economic analysis of the model for complementarity improved crop and livestock productivity</li> </ul>				
<b>Project Component 4: Formulate and implement interventions for integrated management of emerging climate change related pests and diseases that affect fruit trees, fish, crops and livestock productivity</b>					
Expected results	Indicators	Baseline	Targets	Means of verification	Milestone
Interventions for integrated management of emerging climate change related pests and diseases formulated and implemented	<ul style="list-style-type: none"> <li>List of major insect pests and vectors affecting crop and livestock production in target areas</li> <li>List of crops, poultry and livestock diseases in the target areas</li> <li>Developed IPM technologies for management of pests and diseases</li> </ul>	To be established during the baseline survey	At least one guideline for each insect pest/vector and disease produced and distributed to target farmers At least 70% of which 50% are women farmers (crop and livestock keepers) involved in the project adopted and implementing the IPM technologies for resilience to climate change	<ul style="list-style-type: none"> <li>End of project M&amp;E reports</li> <li>Report from local authorities,</li> <li>Publications in peer reviewed journals and thesis.</li> <li>Project progress reports</li> </ul>	At the end of the project implementation

**F. Demonstration of how the project aligns with the Results Framework of the Adaptation Fund.**

<i>Project Objective(s)</i>	<i>Project Objective Indicator(s)</i>	<i>Fund Outcome</i>	<i>Fund Outcome Indicator</i>	<i>Grant (USD)</i>	<i>Amount</i>
Construction and establishment of community water harvesting dams	<ul style="list-style-type: none"> <li>Number of established water harvesting dams in three target regions</li> <li>Number of check-dams established</li> </ul>	Outcome 4: Increased adaptive capacity within relevant development and natural resource sectors	4.2. Physical infrastructure improved to withstand climate change and variability-induced stress	538,510	
Participatory afforestation program for locally adapted fruit and forest trees and sustainable harvesting and utilization	<ul style="list-style-type: none"> <li>At least 5 nurseries with multi purpose fruits and forest trees per village established</li> <li>At least 20,000 locally adapted fruits and forest trees per village established in local communities</li> <li>Increased number of people with knowledge on establishment, propagation and management of fruits and forest tree species (1000 farmers anchored to 50 focus youths per</li> </ul>	Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress  Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction	5. Ecosystem services and natural assets maintained or improved under climate change and variability-induced stress  3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses	123,000	

	village) • Sustainable use of fruits and forest tree products developed	processes at local level	3.2. Modification in behavior of targeted population	
Integrated climate resilient livelihoods diversification through improved technologies in agriculture developed	• Twelve model aquaculture farms each with at least 4 ponds established in the project villages; • Twelve model vegetable gardens with diversified vegetable crops established • At least 30% of households within target community are integrated in aquaculture, horticulture, livestock and apiculture.  • Increased number of people with knowledge on integrated and diversified technologies for fish, crops and livestock production	Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level	6.1 Percentage of households and communities having more secure (increased) access to livelihood assets  6.2. Percentage of targeted population with sustained climate-resilient livelihoods  3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses  3.2. Modification in behavior of targeted population	172,920
Interventions for integrated management of emerging climate change related pests and diseases	• At least one guideline for each insect pest/vector and disease produced and distributed to target farmers. • At least 70% of the farmers (at least 50% being women) involved in the project adopted and implementing the IPM technologies for resilience to climate change • Number of people with increased awareness, adopted and practicing insect/disease protection technologies	3. Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level	3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of Appropriate responses  3.2. Modification in behavior of targeted population	77,685

<i>Project Outcome(s)</i>	<i>Project Outcome Indicator(s)</i>	<i>Fund Output</i>	<i>Fund Output Indicator</i>	<i>Grant (USD)</i>	<i>Amount</i>
1.1 Improved livelihoods and resilience to climate change of the rural communities,	• Number of people with increased resilience to climate change • Number of households with	Output 4: Vulnerable physical, natural, and social assets strengthened	4.1.2. No. of physical assets strengthened or constructed to withstand conditions resulting	538,510	



<p>improved food and nutrition security, and ecosystem services</p> <p>1.2 Reduced drudgery for women and children from long distance walk in search of water and firewood</p> <p>1.3 Strengthened capacity on sustainable water resource management and utilization</p>	<p>increased food and income security</p> <ul style="list-style-type: none"> <li>Proportion of people with enhanced social security (by improved literacy and health)</li> </ul> <p>Increased number of people with knowledge on sustainable management and utilization of water resources</p>	<p>in response to climate change</p> <p>Impacts, including variability</p> <p>Output 3: Targeted population groups participating in adaptation and risk reduction awareness activities</p>	<p>from climate variability and change (by asset types)</p> <p>1.1 No. and type of risk reduction actions or strategies introduced at local level</p> <p>3.1.2 No. of news outlets in the local press and media that have covered the topic</p>	
<p>2.1 Improved ecosystem health and delivery of ecosystem goods and services</p> <p>2.2 Increased sources of employment opportunities resulting from fruits and forestry venture</p> <p>2.3 Reduced land and forest degradation in the community landscape</p> <p>2.4 Strengthened knowledge and skills on establishment, propagation and management of fruits and forest tree species</p>	<ul style="list-style-type: none"> <li>Percentage increase in forest resources for resilience to climate change</li> <li>Number of people sustainably using fruits and forest products</li> <li>Proportion of land and forest rehabilitated</li> <li>Increased number of people with knowledge on establishment, propagation and management of fruits and forest tree species (1000 farmers per village anchored on 50 focus youths)</li> </ul>	<p>Output 5: Vulnerable physical, natural, and social assets strengthened in response to climate change impacts, including variability</p> <p>Output 3: Targeted population groups participating in adaptation and risk reduction awareness activities</p>	<p>5.1. No. and type of natural resource assets created, maintained or improved to withstand conditions resulting from climate variability and change (by type of assets)</p> <p>3.1.1 No. and type of risk reduction actions or strategies introduced at local level</p> <p>3.1.2 No. of news outlets in the local press and media that have covered the topic</p>	123,090
<p>3.1 Improved household livelihoods and income generation of local communities from fish, crop, livestock and domestic water use.</p> <p>3.2 Improved equitable water use for multiple agroecological needs by the community;</p> <p>3.3 Improved governance of</p>	<ul style="list-style-type: none"> <li>Percent increase in income,</li> <li>Reduced nutrition related illness</li> <li>Increased number of households in food and nutrition security in face of climate change</li> <li>Number of farmers, students and policy makers with improved capacity in strategies in climate change adaptation</li> <li>Number of people adopted to technologies for adaptation and</li> </ul>	<p>Output 6: Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability</p> <p>Output 3: Targeted population groups participating in adaptation and risk reduction awareness activities</p> <p>Output 7: Improved</p>	<p>3.1.2 No. of news outlets in the local press and media that have covered the topic</p> <p>7.1. No., type, and sector of policies introduced or adjusted to address climate change risks</p> <p>7.2. No. or targeted development strategies with incorporated climate change</p>	172,920

<p>water and use of forest resources for climate resilience in target village communities</p> <p>3.4 Improved capacity on governance of water and use of forest resources for climate resilience in target village communities</p> <p>3.5 Enhanced capacity of people with knowledge on integrated and diversified technologies for fish, crops and livestock production</p>	<p>mitigation of impacts of climate change</p> <ul style="list-style-type: none"> <li>Increased number of people with knowledge on integrated and diversified technologies for fish, crops and livestock production</li> </ul>	<p>integration of climate-resilience strategies into country development plans</p>	<p>priorities enforced</p> <p>3.1.1 No. and type of risk reduction actions or strategies introduced at local level</p>	
<p>4.1 Reduced losses from climate change associated emerging insect pest/vector and diseases, hence leading to improved crop and livestock productivity consequently increased farmers' resilience to climate change impacts.</p> <p>4.2 Strengthened awareness of people on adoption and application of IPM technologies in agriculture</p>	<ul style="list-style-type: none"> <li>Percent reduction in crop losses by farmers in the target community in face of climate change</li> <li>Increase in diversity of plants, pollinators, and other beneficial organisms</li> <li>Number of people with increased awareness, adopted and practicing insect/disease protection technologies</li> </ul>	<p>Output 3: Targeted population groups participating in adaptation and risk reduction awareness activities</p>	<p>3.1.1 No. and type of risk reduction actions or strategies introduced at local level</p> <p>3.1.2 No. of news outlets in the local press and media that have covered the topic</p>	<p>77,685</p>

**G. Detailed budget with budget notes, a budget on the Implementing Entity management fee use, and an explanation and a breakdown of the execution costs.**

Component Budget	Personnel	Consumable	Equipment	Transport vehicle	Consultancy	Dam and ponds establishments	Maintenance costs	M&E	Training	Total Cost
Component 1 Design, construct and establish community water harvesting dams	11,880.00			4,000.00	39,600.00	420,000.00	59,400.00		3,630.00	538,510.00
Component 2: Develop and implement participatory afforestation program for locally adapted fruit and forest trees utilization	19,800.00	14,223.00	7,920.00		44,220.00		11,880.00		25,080.00	123,123.00
Component 3: Develop integrated climate resilient livelihoods diversification for climate change	90,090.00	19,800.00	23,760.00		6,600.00	15,840.00	5,280.00		46,200.00	207,570.00
Component 4: Formulate and implement interventions for integrated management of emerging pests and diseases	26,400.00	15,840.00	3,960.00	7,400.00					25,080.00	78,680.00
Project Execution costs, (vehicle, salaries, M&E, general secretariat services, Coordination fees, Stake holders Meetings,)	37,884.00	11,880.00	3,960.00	22,440.00			2,640.00	33,000	25,080.00	136,884.00
<b>Total Project operation costs</b>	186,054.00	61,743.00	39,600.00	33,840.00	90,420.00	435,840.00	79,200.00	33,000	125,070.00	1,084,767.00
<b>Administrative cost of NIE (8.5%)</b>	15,814.59	5,248.16	3,366.00	2,876.40	7,685.70	37,046.40	6,732.00	2,805.00	10,630.95	92,205.20
<b>Institution Administrative costs (9.5%)</b>	17,675	5,866	3,762		8,590	41,405	7,524	3,135	11,882	103,053
<b>Total Fund request</b>										1,280,025

**Personnel:** Per diem during travels, Coordination allowance, Special task honoraria, secretary and attendance, financial and admin assistance, Supporting staff, dissemination, Staff time, farmer and local GOVT facilitation and Research assistants time

**Consumables:** Fuel and lubricants, Stationery, Printing and publication, animal feeds, tree seeds, scions and rootstocks, fertilizers, approved natural pesticides

**Equipment:** irrigation fishponds, livestock infrastructure, shade screen nets, nursery equipment

**Transport and Vehicle:** Vehicle procurement and fuel

**Consultancy:** Dam designing construction, nursery establishment, surveys,

**Contractors and service providers:** construction and commissioning of water dams, nursery and screen houses and irrigation infrastructures

**Maintenance Costs:** Materials, labour, irrigation facilities and replacement of defaulted facilities

**Monitoring & Evaluation:** Field visits per diems, facilitation for local government and farmers and VEO special task allowance,

**Training:** Technical staff, local government, farmers and VEO facilitation allowance,

**H.** Include a disbursement schedule with time-bound milestones.

Project Objective/Component	Time –bound milestones disbursement Schedule per objective - Costs in USD				
	Year 1	Year 2	Year 3	Year 4	Total
Survey and construction of water harvesting dams	467,300.00	44,510.00	26,700.00	-	538,510.00
To develop participatory afforestation program for locally adapted fruit and forest trees and sustainable harvesting and utilization	24,675.00	51,525.00	28,335.00	18,555.00	123,090.00
Develop integrated climate resilient livelihoods diversification through improved technologies in agriculture	35,110.00	56,000.00	51,840.00	29,970.00	172,920.00
Formulate and implement interventions for integrated management of emerging climate change related pests and diseases	15,705.00	19,120.00	22,660.00	20,200.00	77,685.00
Project operating Costs	106,962.00	21,852.00	21,785.00	21,945.00	172,544.00
<b>Administrative cost of NIE (8.5%)</b>	55228.92	16405.595	12862.2	7706.95	92203.665
<b>Institution Administrative costs (9.5%)</b>	61726.44	18335.665	14375.4	8613.65	103051.155
<b>Total Fund request</b>	<b>766,707.36</b>	<b>227,748.26</b>	<b>178,557.6</b>	<b>106,990.6</b>	<b>1,280,003.82</b>


## PART IV: ENDORSEMENT BY GOVERNMENT AND CERTIFICATION BY THE IMPLEMENTING ENTITY

- A. Record of endorsement on behalf of the government<sup>31</sup>** *Provide the name and position of the government official and indicate date of endorsement. If this is a regional project/programme, list the endorsing officials all the participating countries. The endorsement letter(s) should be attached as an annex to the project/programme proposal. Please attach the endorsement letter(s) with this template; add as many participating governments if a regional project/programme:*

<b>Eng. Joseph K. Malongo</b> , Permanent Secretary, Vice President's Office	Date: December, 28 <sup>th</sup> , 2018
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**B. Implementing Entity certification**

*Provide the name and signature of the Implementing Entity Coordinator and the date of signature. Provide also the project/programme contact person's name, telephone number and email address*

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans (National Development Plans, Poverty Reduction Strategies, National Climate Change Strategy, National Adaptation Programme of Action) and subject to the approval by the Adaptation Fund Board, <u>commit to implementing the project/programme in compliance with the Environmental and Social Policy of the Adaptation Fund</u> and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project/programme.	
 <b>Fredrick F. Mulinda</b> Implementing Entity Coordinator	
Date: January 4, 2019	Tel. and email: +255 753 240 517, <a href="mailto:nieaf@nemc.or.tz">nieaf@nemc.or.tz</a> / <a href="mailto:kasigazi.koku@gmail.com">kasigazi.koku@gmail.com</a>
Project Contact Person: <b>Prof. Paul M. Kusolwa</b>	
Tel. and Email: +255 785 116 669, <a href="mailto:kusolwap@sua.ac.tz">kusolwap@sua.ac.tz</a> / <a href="mailto:kusolwap@gmail.com">kusolwap@gmail.com</a>	

<sup>6</sup>. Each Party shall designate and communicate to the secretariat the authority that will endorse on behalf of the national government the projects and programmes proposed by the implementing entities.

## *Annexe 1 Concept Note*

### **1. Project Title: Strategic Water Harvesting Technologies for Enhancing Resilience to Climate Change in Rural Communities in Semi Arid Areas of Tanzania**

### **2. Project Team**

i. Prof. Paul Mbogo Kusolwa	Team Leader, Horticulture Specialist
ii. Prof. Maulid Walid Mwatawala	Member, Pests and Diseases Specialist
iii. Dr. Dino Andrew Woiso	Member, Forestry Specialist
iv. Dr. Justus Vicent Nsenga	Member, Agronomy Specialist
v. Dr. Nazaeli Amos Madalla	Member, Aquaculture Specialist
vi. Dr. Mirende Kichuki Matiko	Member, Livestock Specialist
vii. Dr. Elinorata E. Mbuya	Member, Climate change Specialist
viii. Ms. Judith Kahamba	Member, Sociology and Gender Specialist

### **3. Background**

Majority of Tanzanians live and derive their economy from a rural agrarian setting. Climate change has come with devastating effects on the environment and in turn to people calling for adaptation technologies to address this serious challenge. Drought, floods as well as pests and diseases have become chronic environmental and socio-economic problems that are aggravated by climate change and unsustainable natural resource utilisation. The impacts of climate change in areas affected with drought and floods are more severe. Food production and income generation by communities that are already poor is severely affected. The government and donor community is forced to set aside budget to support such communities for food as well as financing various socio economic needs such as education, health and water supply the cost of which is very high.

This project is proposed for the dry and semi arid regions of central and western Tanzania particularly Dodoma, Singida, and Tabora. These regions are in drought and flood prone environment, are exposed to a range of serious climate change related problems such as agriculture failure, deforestation and environmental degradation, malnutrition and illnesses. Research suggests that by 2030, even if the drought frequency and intensity remain stable, 5% of the region's population will go hungry<sup>6</sup>. The ecosystem resilience and capacity to support agriculture and safeguard human health will be jeopardized due to continued land and forest degradation. More severe climate change would inevitably have a far greater negative impact.

Although, the research, government, local community as well as donor community know these problems, yet interventions have not yielded the needed impact. One of the reasons for the failure is lack of focus to the specific challenges in specific areas, as well as poorly integrated interventions. Problems of farmers affected by climate change are diverse, and therefore calls for integrated interventions i.e. with multidisciplinary and interdisciplinary approach. Main goal of the proposed SWAHAT project is focused on enhancing resilience of rural community to climate change-induced challenges of drought, floods and high temperatures in semi arid regions in Tanzania for improved agricultural and livestock productivity, forest restoration and combating emergence of climate change related pests and diseases.

### **4. Project Problem (Rationale and Justification)**

The proposed SWAHAT project intends to address the climate change-induced challenges of drought, floods and high temperatures in semi arid regions in Tanzania, which results into serious water shortages. Climate change imposes negative impacts due to rise in temperature, CO<sub>2</sub> concentration and precipitation variation and combination of these factors<sup>32 333435</sup>. Management of the water sector will become increasingly difficult as

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<sup>32</sup> Aydinap, C., Cresser, M.S., 2008. The effects of climate change on agriculture. *Agric. Environ. Sci.* 5, 672–676

<sup>33</sup> Henry, B., Charmley, E., Eckard, R., Gaughan, J.B., Hegarty, R., 2012. Livestock production in a changing climate: adaptation and mitigation research in Australia. *Crop Pasture Sci.* 63, 191–202

<sup>34</sup> Nardone, A., Ronchi, B., Lacetera, N., Ranieri, M.S., Bernabucci, U., 2010. Effects of climate change on animal production and sustainability of livestock systems. *Livest. Sci.* 130, 57–69

<sup>34</sup> Polley, H.W., Briske, D.D., Morgan, J.A., Wolter, K., Bailey, D.W., Brown, J.R., 2013. Climate change and North American rangelands: trends, projections, and implications. *Rangeland Ecol. Manage.* 66, 493–511

the quantity and quality of water in rivers is reduced by siltation, erosion and drought, In the event of heavy and erratic rainfalls most of river water is lost downstream if no harvesting strategies are put in place. Climate change has been associated with new emerging races and ecotypes of insects and disease pests. As of recent fall armyworm, spider mites, races of virus like maize lethal necrosis, and animal diseases are said to come with elements of climate change. Ultimately, these has led into reduction in crops and livestock productivity, forest degradation, emergence of climate change related pests and diseases, as well as water shortage for domestic use. These challenges are increasing the vulnerability of rural target communities in Tanzania, particularly those living in semi-arid areas. The problem is exacerbated by the following underlying drivers of vulnerability: i) strong dependence on rain-fed, unimproved agriculture; ii) lack of diversified crop varieties; iii) high poverty levels accelerated by lack of diversified income sources; iv) deforestation and degraded land resources; and v) lack of appropriate knowledge and information on adaptation and resilience to impacts of climate change.

Therefore, the main goal of the proposed project is focused on using water harvesting technologies as a basis for enhancing adaptation and resilience of rural communities to climate change-induced challenges of drought, floods and high temperatures in semi arid regions in Tanzania for improved crops and livestock productivity, forest restoration and combating emergence of climate change related pests and diseases. The project will construct dams, which will take into account a series of strategies/techniques for improved water use efficiency (e.g. construction/installing of improved irrigations systems, water use rights and governance aspects). The water harvested will lead to reduced drudgery and time spent by particularly women and children to search and fetch water for domestic use. These dams will provide water for crops, livestock and fish production, as well as for forest and fruit tree nursery establishment and eventual planting and tending. The outcome of these interventions will translate into critical adaptation and resilience to climate change.

## **5. Project Specific Objectives**

2. Design, construct and establish community water harvesting technologies that will integrate agriculture, livestock, tree planting and aquaculture
3. Develop and implement participatory afforestation program for locally adapted fruit and forest trees entailing nursery establishment, tree planting, management and sustainable harvesting and utilization
4. Develop integrated climate resilient livelihoods diversification through improved technologies in agriculture for fish, crops and livestock production
5. Formulate and implement interventions for integrated management of emerging climate change related pests and diseases that affect fruit trees, fish, crops and livestock productivity

## **6. Methodology, Outputs and Outcomes**

### **Project Objective 1: Design, construct and establish community water harvesting technologies that will integrate agriculture, livestock, tree planting and aquaculture:**

At least 2 dams will be constructed in each of the strategically selected villages from 2 districts of the 3 semi arid regions of Tabora, Singida and Dodoma (12 dams = 2x2x3). In addition to the large dams, check dams will be developed and tested in the target village with intent to raise water table so as to recharge the soil with moisture. Selection of the dam sites will be done in participatory manner involving land use, irrigation experts and members of the local community. The sizes of the dams are expected to be 8-10 m deep 80 m wide and 250 m long expecting to hold over 120 million litres of water. The set-up of the dams will be positioned in such a way that the dam become a key player of source of livelihoods, improving vegetation cover, reducing run off, source of irrigation water, domestic water, community animals and livestock, forests and fruit trees nursery management and down-stream feeding water for fish ponds and aquaculture for village community.

**Output 1.1:** At least 12 water harvesting dams constructed for increased water availability

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<sup>35</sup> Reynolds, C., Crompton, L., Mills, J., 2010. Livestock and climate change impacts in the developing world. *Outlook Agric.* 39, 245–248

<sup>35</sup> IFAD (International Fund for Agricultural Development), 2010. Livestock and climate change. <http://www.ifad.org/lrkm/events/cops/papers/climate.pdf>

<sup>35</sup> Koffi K., Ahoussi E., A. M. Kouassi, O. Kouassi, Kpangui L. C. and Biemi J. (2013). Integration of hydro-climatic data and land use in neural networks for modeling river flows: Case of Lobo river in the southwest of Cote d'Ivoire Yao Blaise. *African Journal of Environmental Science and Technology*. Vol. 7(8), pp. 783-788, August 2013 DOI: 10.5897/AJEST2013.1453

**Output 1.2:** Increased agroforestry trees, crop, fish and livestock productivity, biodiversity and vegetation cover; **Output 1.3** Improved governance on water use rights and management of forest resources

**Outcomes:** Improved livelihoods and resilience of the rural communities to climate change, improved food and nutrition security, and ecosystem services; Increased productivity in Agricultural, forest and ecosystem services; Women and children relieved from long distances to fetch water and redirecting time to productive activities

**OBJECTIVE 2: Develop and implement participatory afforestation program for locally adapted fruit and forest trees entailing nursery establishment, tree planting, management and sustainable harvesting and utilization**

This component will support climate change vulnerable farmers to manage their resources in ways, which protect ecosystems and increase resilience to climate change. Widespread degradation of forest and agro-ecosystems in arid and semi- arid areas has reduced capacities for resilience and adaptation to climate change. A range of technical prototypes will be devised to address sustainable harvesting and use of resources. They will include integrated apiary sites, improved harvesting and processing of non-wood products and introduction of energy saving stoves. Encouraging ecosystem-based interventions (integrated activities) will help to improve the resilience, adaptation capacities of the beneficiaries and for the well-being of the natural habitat.

**Output 2.1:** At least 5 nurseries with multi purpose fruits and forest trees per village established;

**Output 2.2:** At least 20,000 locally adapted fruits and forest trees per village established in local communities; **Output 2.3:** Increased number of people with knowledge on establishment, propagation and management of fruits and forest tree species (50 youths per village); and **Output 2.4:** Sustainable use of fruits and forest tree products developed.

**Outcomes** Improved ecosystem health and delivery of ecosystem goods and services; Increased sources of employment opportunities resulting from fruits and forestry venture and Reduced land and forest degradation in the community landscape.

**OBJECTIVE 3: Develop integrated climate resilient livelihoods diversification through improved technologies in agriculture**

In this component appropriate integrated agriculture technologies (IAT) for climate resilience livelihoods diversification will be introduced and promoted to the vulnerable rural communities. These IAT will emphasize on utilization of harvested water through linkages and synergies among various agricultural activities like livestock production, fish farming and crops (vegetable gardens). This will involve rotational use manure from poultry or livestock for fertilizing fish ponds placed downstream of the dams, vegetable gardens, and preparation of tree nursery potting media. In turn, nutrient-rich water drained from the fish ponds will be reused for irrigation of vegetable crop gardens plots. This component will also include apiculture for enhanced income generation and insect pollination of vegetable crops and fruit trees species.

**Output 3.1:** Aquaculture farms will be established in the project villages;

**Output 3.2:** Improved horticultural crop productivity per unit of water from rainfall or from water harvested;

**Output 3.3:** Developed model for improved productivity of poultry and livestock in the vicinity of established water harvesting dams with high in-situ nutrient retention and ecosystem services;

**Outcomes:** Improved household livelihoods and income generation of local communities from fish, crop, livestock and domestic water use; Improved equitable water use for multiple agroecological needs by the community; and Improved governance of water and use of forest resources for climate resilience in target village communities.

**OBJECTIVE 4: Formulate and implement interventions for integrated management of emerging climate change related pests and diseases that affect fruit trees, fish, crops and livestock productivity**

This component aims to test options for integrated pest and diseases management in order to reduce risks of crop and livestock loss. In order to enhance resilience of our farmers, the project will design reliable management options and quarantine mechanism to avoid the spread of pests and diseases. For crop pests and diseases some of the IPM technologies will include among others testing economically feasible pest management options involving the use of pest and disease resistant crop varieties, use of natural products, cultural control strategies, push-pull technologies and minimum use of synthetic pesticides.



**Output 4.1:** At least one guideline for each insect pest/vector and disease produced and distributed to target farmers.

**Output 4.2:** At least 70% of the farmers (crop and livestock keepers) involved in the project adopted and implementing the IPM technologies for resilience to climate change.

**Outcomes:** Reduced losses from climate change associated emerging insect pest/vector and diseases, hence leading to improved crop and livestock productivity consequently increased farmers' resilience to climate change impacts

## 7. Stake holders

(i) Local communities: Identification of project problem, project implementation, project beneficiaries

(ii) District and Local Government Authorities: Problem identification, project implementation and take up after completion

(iii) Academic and Research Institutions: Problem identification, project implementation, reporting, technical backstopping and dissemination.

(iv) Government Ministries and Institutions: Financing, facilitation, dissemination, liaison issues and policy frameworks.

(v) Civil Society Organizations (CSOs): Advocacy, capacity building and out-scaling

## 8. Project budget and Timeframe

Project Objective/Component	Costs and time frame for project implementation (Costs in USD)				
	Year 1	Year 2	Year 3	Year 4	Total
Survey and construction of water harvesting dams	467,300.00	44,510.00	26,700.00	-	538,510.00
To develop participatory afforestation program for locally adapted fruit and forest trees and sustainable harvesting and utilization	24,675.00	51,525.00	28,335.00	18,555.00	123,090.00
Develop integrated climate resilient livelihoods diversification through improved technologies in agriculture	35,110.00	56,000.00	51,840.00	29,970.00	172,920.00
Formulate and implement interventions for integrated management of emerging climate change related pests and diseases	15,705.00	19,120.00	22,660.00	20,200.00	77,685.00
Project operating Costs	106,962.00	21,852.00	21,785.00	21,945.00	172,544.00
<b>Administrative cost of NIE (8.5%)</b>	55228.92	16405.595	12862.2	7706.95	92203.665
<b>Institution Administrative costs (9.5%)</b>	61726.44	18335.665	14375.4	8613.65	103051.155
<b>Total Fund request</b>	<b>766,707.36</b>	<b>227,748.26</b>	<b>178,557.6</b>	<b>106,990.6</b>	<b>1,280,003.82</b>



## Annex 2: Project Formulation Grant (PFG)

Submission Date: **January 4, 2019**

Adaptation Fund Project ID:

Country/ies: **United Republic of Tanzania**

Title of Project/Programme: **Strategic Water Harvesting Technologies for Enhancing Resilience to Climate Change in Rural Communities in Semi-Arid Areas of Tanzania**

Type of IE (NIE/MIE): **National Implementing Entity (NIE)**

Implementing Entity: **National Environment Management Council (NEMC)**

Executing Entity/ies: **Sokoine University of Agriculture**

### A. Project Preparation Timeframe

Start date of PFG	<b>March 18, 2019</b>
Completion date of PFG	<b>May 26, 2019</b>

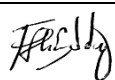
### B. Proposed Project Preparation Activities (\$)

Describe the PFG activities and justifications:

List of Proposed Project Preparation Activities	Output of the PFG Activities	USD Amount
Desk work (Literature search)	Concrete literature review	1,000
Conduct site visit in community level consultations to validate the designs and inputs into the full proposal	Validated project design for the full proposal development	9,900
Consultation meetings with stakeholders to obtain technical inputs	Reports, stakeholders attitudes/technical inputs; refined methodology and project implementation plan	6,500
Critical analysis of technical project components and prepare project log frame	Concrete project components and log frame developed	4,900
Critical analysis of project budget to justify concrete project components	A concrete project budget developed in reconciliation with components	1,600
Full project document development	Project proposal developed for submission	6,000
Printing and binding of the project proposal documents	Printed and soft bound copies of full project proposal	100
Total Project Formulation Grant		30,000

### C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board's procedures and meets the Adaptation Fund's criteria for project identification and formulation

Implementing Entity Coordinator, IE Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Fredrick Mulinda		Jan-4-2019	Prof. P. M. Kusolwa	+255785116669	kusolwap@gmail.com



***Annex 3: Government Endorsement Letter***

THE UNITED REPUBLIC OF TANZANIA  
VICE PRESIDENT'S OFFICE

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40406 DODOMA,  
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Our Ref: AB.90/201/01/203

28<sup>th</sup> December, 2018

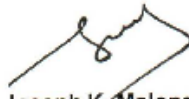
The Adaptation Fund Board  
c/o Adaptation Fund Board Secretariat  
Email: [Secretariat@Adaptation-Fund.org](mailto:Secretariat@Adaptation-Fund.org)  
Fax: 202 522 3240/5

**Subject: Endorsement for Strategic Water Harvesting Technologies for Enhancing Resilience to Climate Change in Rural Communities in Semi-Arid Areas of Tanzania**

In my capacity as designated authority for the Adaptation Fund in the United Republic of Tanzania, I confirm that the above national project proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in the country.

Accordingly, I am pleased to endorse the above project proposal with support from the Adaptation Fund. If approved, the project will be implemented by National Environment Management Council and executed by Sokoine University of Agriculture.

Sincerely,



Eng. Joseph K. Malongo,  
PERMANENT SECRETARY