Request for assistance in complying with the Fund’s Environmental and Social Policy and Gender Policy

Submission Date: 24/10/2018

Adaptation Fund Grant ID: TA-ESGP
Country: Dominican Republic
Implementing Entity: Dominican Institute for Integral Development Inc. (IDDI)

A. Timeframe of Activity

<table>
<thead>
<tr>
<th>Expected start date of activity</th>
<th>01/01/2019</th>
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<tbody>
<tr>
<td>Completion date of activity</td>
<td>31/08/2019</td>
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</tbody>
</table>

B. Type of support requested

Describe the activities to be undertaken to support the compliance of the NIE with the Fund’s Environmental and Social policy and Gender policy

<table>
<thead>
<tr>
<th>Types of Support Activities</th>
<th>Support requested (please provide short description)</th>
<th>Type/name of provider for the requested support¹</th>
<th>Requested budget (USD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Development of procedures/manuals/guidelines for screening projects for environmental and social risks as well as gender-related risks</td>
<td>Update and strengthen the Environmental and Social Management System (ESMS) and its Environmental and Social Impact Assessment (ESIA) and the Environmental and Social Management Plan (ESMP) included in the project “Enhancing Climate Resilience in San Cristóbal Province, Dominican Republic”</td>
<td>Individual consultant</td>
<td>USD 4,000</td>
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</table>

¹ Specify if it is an institution, consulting firm or individual consultant. When possible, provide the name of the institution, firm or individual identified or selected.
Integrated Water Resources Management and Rural Development Program” to accomplish with the Environmental and Social Policy (ESP) and to include a Gender Risk Management System to accomplish with the Gender Policy (GP) of the Adaptation Fund. This system, that will be developed for this project and for future projects developed by IDDI, will be a broader institutional environmental system to identify environmental and social risks related with the projects executed under the Adaptation Fund and gender inequalities in all the project’s cycle. So, it will be developed an institutional environmental and social management program to establish the maximum level of environmental and social risks that a project can reach. For that, it will include the procedures in screening projects emphasizing in environmental and social risks and also gender risks. These screening processes will be undertaken each 3 months to monitoring all the risks during projects implementation. Under the program, it will be designed a screening and monitoring form to
be filled for each project and there will be a focal point staff for each thematic (environment, social and gender). All the implementing entities will participate in the design of the screening procedures. These procedures will allow a good monitoring process of the projects to accomplish with AF policies.

The design of the program will take at least 4 months.

| Development of procedures manual/guidelines for undertaking project environmental and social risk assessment, gender assessment, and for formulating risk management plans that are gender responsive | Update and creation of more developed procedures to make environmental and social assessment in the identified communities to avoid environmental and social harms related with the projects implementation, through the creation of indicators and risk management plans. The manual will establish a procedure to identify and to have access to the environmental and social requirements of the Adaptation Fund. In this manual it’s necessary to establish objectives and results. It will be developed environmental and social management plans, products of a previous environmental and social evaluation. Under this manual it will be necessary to make a | Individual consultant | USD 7,000 |
difference between the necessities between different groups, as men and women. All these processes will be developed together with all the implementing entities that will participate during all the assessment processes. Also, the creation of procedures to undertake gender assessment in the identified communities to select gender-responsive quantitative and qualitative indicators and to design gender-responsive implementation and monitoring arrangements. During implementation, monitoring and evaluation, this gender analysis assists to assess differences in participation, benefits and impacts between males and females, including progress towards gender equality and changes in gender relations. Through this process, it is important to make references to their differentiated, needs, concerns and abilities. The update and creation of the procedure will take at least 3 months.

| Development of a policy/avenues for public disclosure and gender-responsive consultation | Analysis and improvement of the gender policy of the public executing institutions | Individual consultant | USD 4,000 |
related with the project, as the Ministry of Environment and the National Institute of Drinking Water and Sewerage (INAPA) and creation or improvement of the gender policy in the rest of the implementing partner organizations, after a gender-responsive consultation to identify gender impacts and inequalities. Also the development of an external public campaign related with the projects implementation, involving different stakeholders, from the private and public sector, about gender perspective in mitigation and adaptation to climate change. We expect to analyze and make the improvements in the gender policies of the different implementing institutions during 4 months and related to the public campaign we expect to develop it during 7 months.

| Development of transparent, accessible, fair and effective mechanisms for receiving and addressing complaints about environmental or social harms and complaints related to gender inequalities and other adverse gender impacts caused by projects/programmes during implementation | In order to accomplish with the environmental, social and gender institutional policies and to guarantee that in all projects developed by IDDI the environmental, social and gender requirements are accomplished, we will create a committee in charge of receives complaints | IDDI Executive Council | USD 2,000 |
complaints related to environmental, social and gender risks and other adverse impacts during projects implementation and to resolve them. This committee will be composed by the Executive Director of IDDI, the Executive Assistant, the Director of the Department of Social Promotion, a technician of the Department of Climate Change. In order to receive these complaints, the creation of a complaint box located in IDDI’s headquarters. Also, to facilitate the process, through our web page we can receive complaints filling in a form and also we have an email account and a telephone number to receive the complaints from other regions of the country. We will reinforce this mechanism to have a better process to systematize all the complaints by thematic. Related to the process of resolutions of complaints, we will classify all the complaints by thematic and each focal point staff person will be in charge of make an evaluation in the field to collect all the data relevant for the case and present a solution to the committee for the final resolution. All these resolutions will be
| Training of select entity staff to carry out the relevant tasks related to the implementation of the Fund's Environmental and Social Policy and the Gender Policy | The staff training has the objective to train specialist staff in environmental and social issues to work as focal points in these areas during the project cycle of all the projects implemented by IDDI, as the project “Enhancing Climate Resilience in San Cristóbal Province, Dominican Republic - Integrated Water Resources Management and Rural Development Program” This staff will be in charge of environmental and social assessment, indicators and trainings in the communities related with projects’ impacts. Related to gender issues, the objective is to train staff so they can work as gender focal points in the projects. This staff will be in charge of gender assessments, create gender indicators, train the communities in gender perspective and gender equality. Through this training we want to assess and build capacity and commitment to gender sensitive planning and programming. The training program will be composed by three different trainings: environmental approach, social approach and gender approach. Each of this training will have a | Individual consultant | USD 4,000 |
duration of 40 hours, distributed in two weeks each one, 20 hours per week.

Other type of support requested (please describe) Management fee

This fee will be used for administrative costs and unforeseen expenses during the execution of the technical assistance. USD 1,700

Total Grant Requested (USD) USD 22,700

C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board’s procedures

<table>
<thead>
<tr>
<th>Head of Implementing Entity</th>
<th>Signature</th>
<th>Date (Month, day, year)</th>
<th>Implementing Entity Contact Person</th>
<th>Telephone</th>
<th>Email Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>David Luther (Executive Director)</td>
<td>[Signature]</td>
<td>Oct. 22, 2018</td>
<td>Dámarit Pérez González</td>
<td>+1 809 534 1077 ext. 252</td>
<td><a href="mailto:damarit.perez@iddi.org">damarit.perez@iddi.org</a></td>
</tr>
</tbody>
</table>

D. Record of endorsement on behalf of the government

Provide the name and position of the government official, Designated Authority (DA) of the Adaptation Fund, and indicate date of endorsement. The DA endorsement letter must be attached as an annex to the request.

**Pedro Garcia, National Designated Authority, Director of Climate Change, Ministry of Environment, Dom. Rep.** Date: 24 October, 2018
Letter of Endorsement by Government

Dominican Republic

October 24, 2018

To: The Adaptation Fund Board
c/o Adaptation Fund Board Secretariat
Email: Secretariat@Adaptation-Fund.org
Fax: 202 522 3240/5

Subject: Endorsement for Call for Grants to Support the Assessment and Management of Environmental and Social Risks and Gender-Related Issues.

In my capacity as designated authority for the Adaptation Fund in Dominican Republic, I confirm that the above project proposal is in accordance with the government’s national priorities in implementing adaptation activities to reduce adverse impacts and manage risks posed by climate change in Dominican Republic.

Accordingly, I am pleased to endorse the above project proposal with support from the Adaptation Fund. If approved, the project will be implemented by the Instituto Dominicano de Desarrollo Integral (IDDI).

Sincerely,

[signature]

Ing. Pedro García Brito M.Sc
Director de Cambio Climático
Ministerio de Medio Ambiente y Recursos Naturales