



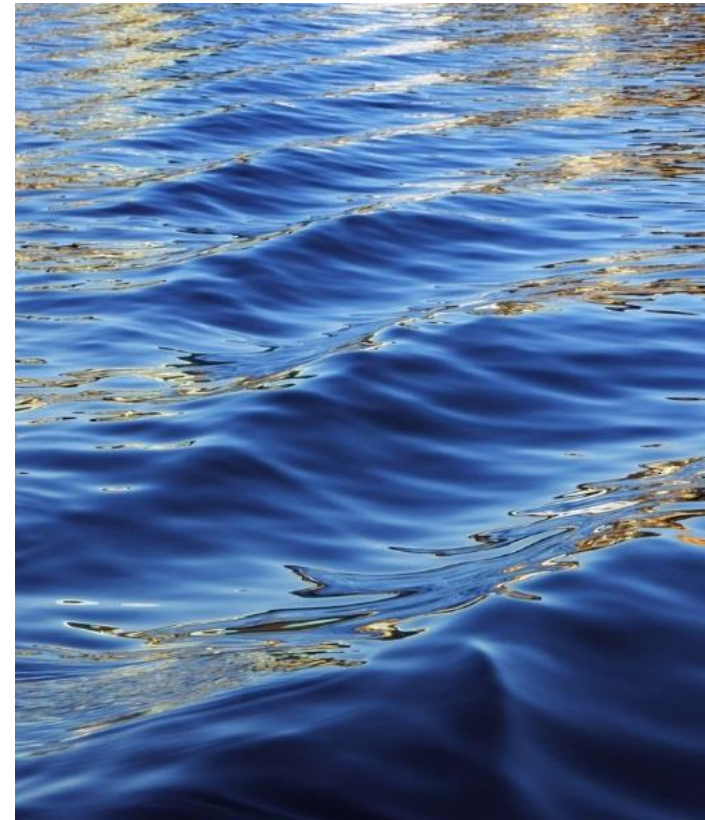
ADAPTATION FUND

Innovation Grants for NIEs

NIE Familiarization Seminar

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Antigua



Small Grants for Innovation: Background

- Innovation Pillar of the MTS: support the development and diffusion of innovative adaptation practices, tools, and technologies. This objective will be supported through the establishment of an Innovation Facility, which will include small and large grants
- Programme on Innovation: Small Grants Projects through Direct Access Modality, under the Innovation Facility of the Adaptation Fund
- Launched in December 2018
- Up to US \$250,000
- first RFP under a set-aside of US \$2 million
- As envisaged in the Implementation Plan of the MTS, at least 28 grants will be provisioned through direct access to Adaptation Fund's NIEs

Expected Outcomes

- For the small grants specifically, two expected results have been identified by the MTS implementation plan:
- *New innovations encouraged and accelerated. Development of innovative adaptation practices, tools and technologies encouraged and accelerated;*
- *Evidence base generated. Evidence of effective, efficient adaptation practices, products and technologies generated as a basis for implementing entities and other funds to assess scaling up.*
- The MTS implementation plan also states that the expected outcome of the innovation pillar will be that innovation for effective, long-term adaptation to climate change will be accelerated, encouraged and enabled.

Criteria

- Same broad areas of criteria as project proposals with the level of detail required being considerably lower (i.e. commensurate with the size of the grant)
- Project proposals must be clear on the adaptation challenge to be addressed, the objective(s), what the project will deliver when, how and by whom
- Clear baselines, milestones, targets and indicators should be included to ensure progress and results can be measured
- Please note that a simple results framework is advised for innovation small grant projects through direct access modality
- Parts II and III of the proposal should not exceed ten pages total.

Process

- Follows the regular project cycle process
- First-time submissions accepted for regular project review cycles
- Technical review, followed by PPRC review and Board Decision
- One step-approach is used

Review Criteria

- Country Eligibility: *Is the beneficiary country a developing country Party to the Kyoto Protocol?*
- Project Eligibility: *Has the government designated authority for the Adaptation Fund endorsed the project?*
- Project Eligibility: *Does the project support concrete adaptation actions to assist the country in addressing adaptive capacity to the adverse effects of climate change and build in climate resilience?*
- Project Eligibility: *Does the project engage, empower and/or benefit the most vulnerable communities and social groups?*
- Project Eligibility: *Does the project advance gender equality and the empowerment of women and girls?*

Review Criteria – Cont.

- Implementation Arrangements: *Is the project submitted through a National Implementing Entity accredited by the Board?*
- Implementation Arrangements: *Is the Implementing Entity Management Fee at or below 8.5 per cent of the total project budget before the fee?*
- Implementation Arrangements: *Is the timeframe for the proposed activities adequate?*
- Implementation Arrangements: *Is a summary breakdown of the budget for the proposed activities included?*

Review Criteria – Cont.

- In addition, a few specific review criteria are applied:
 - Encouraging and accelerating innovation: *Does the project/programme encourage or accelerate development of innovative adaptation practices, tools and technologies; and/or*
 - Generating evidence base: *Does the project/programme help generate evidence base of effective, efficient adaptation practices, products or technologies generated, as a basis for potential scaling up?*


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Thank you

