



ADAPTATION FUND

AFB/PPRC.25/19/Add.1
30 September, 2019

Adaptation Fund Board
Project and Programme Review Committee
Twenty-Fifth Meeting
Bonn, Germany, 7-9 October, 2019

Agenda Item 4 p)

PROJECT FORMULATION ASSISTANCE GRANT FOR NAMIBIA

I. Background

1. The Board at its twenty-second meeting, in decision B.22/24, approved the document AFB/B.22/6 which included, in the options for the Readiness Programme, grants for Project Formulation Assistance (PFA) which would provide support for project formulation to accredited National Implementing Entities (NIEs) in addition to Project Formulation Grants (PFGs). NIEs that are at the concept development stage of the Fund's project cycle process have the option to request a PFG up to a maximum of US\$30,000 together with their submission of the project concept to the Board. To compliment the PFG and support the undertaking of specialist technical assessments during project preparation and design, the PFA grant is available for each accredited NIE up to a maximum of US\$20,000 per project and can be accessed by submitting a request to the Board through the Adaptation Fund Board Secretariat.

2. In July 2018, the Fund's Readiness Programme launched a call for PFA grants, which is open throughout the year and does not close. In the call, the Board is inviting accredited NIEs that are going through the 2-step project approval process to apply for a PFA grant to strengthen their capacity to undertake the necessary technical assessments related to the design and development of adaptation projects and programmes. The PFA grants are meant to help NIEs undertake specific technical assessments such as environmental impact assessments and vulnerability assessments to comply with the Fund's Environmental and Social Policy (approved 2013, amended 2016) and Gender Policy (approved 2016). The PFA grant is meant to support, among other areas, the following types of assessments

- Environmental Impact Assessment (EIA)
- Vulnerability Assessment (VA)
- Risk Assessment
- Other Environmental and Social Assessments for adaptation projects

II. The Project Formulation Assistance Grant Request

3. This addendum to the document AFB/PPRC.25/19 "Proposal for Namibia" includes a request for a Project Formulation Assistance Grant, requesting a budget of US\$ 20,000, which was received by the secretariat along with the concept for the project NAM/NIE/Food/2019/1 "Nutritional Security in Namibia's Rural Food Production Systems in the Face of a Changing Climate". This proposal was submitted by Desert Research Foundation of Namibia (DRFN), which is a National Implementing Entity of the Adaptation Fund, in time for consideration by the Adaptation Fund Board at its thirty-fourth Board meeting.

4. In accordance with Decision B.22/24, the secretariat carried out an initial review of the PFA request and found that the document provided detailed information on the use of the requested funds. The proposed activities were aligned with the goal of the project and would support the development of project-specific environmental and social safeguards, a monitoring and evaluation plan, and facilitate subsequent iterations on the project concept design.

5. Therefore, the PPRC may want to consider and recommend to the Board to approve the PFA Grant Request, provided that the related concept proposal is endorsed.



ADAPTATION FUND

ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT PROPOSAL UNDER THE READINESS PROGRAMME

PROJECT CATEGORY: Project Formulation Assistance Grant

Country: **Namibia**
 Implementing Entity: **Desert Research Foundation of Namibia (DRFN)**
 Requested Financing from Adaptation Fund (US Dollars): **20,000**
 Reviewer and contact person: **Daniel Gallagher** Co-reviewer(s): **Jaime Cavalier**
 Implementing Entity Contact Person: **Dr. Martin Schneider**

Review Criteria	Questions	Comments
Country Eligibility	1. Is the country Party to the Kyoto Protocol?	Yes
Eligibility of IE	1. Is the project submitted through an Implementing Entity accredited by the Board?	Yes
Project Eligibility	1. Has the designated government authority for the Adaptation Fund endorsed the project?	Yes. Letter of Endorsement signed by the Designated Authority, Dr. F. Sikabongo for the Adaptation Fund.
	2. Are the proposed activities within the scope of enabling the implementing entity to undertake special technical assessments to support formulation of a fully developed project proposal?	Yes, the proposed activities are aligned with the goal of the project and would support the development of project-specific environmental and social safeguards, a monitoring and evaluation plan, and facilitate subsequent iterations on the project concept design.
Resource Availability	1. Is the requested project funding within the cap for Project Formulation Assistance Grants set by the Board?	Yes
	2. If the implementing entity has requested, is the Implementing Entity Management Fee at or below 8.5 per cent of the total project/programme budget before the fee?	Yes. No management fee is requested.
Implementation Arrangements	1. Is the timeframe for the proposed activities adequate?	Yes
	2. Is a summary breakdown of the budget for the proposed activities included?	Yes

Secretariat's Overall Comment	The technical review of the Project Formulation Assistance Grant finds that the activities are well aligned with the project objectives. No requests for clarification are made.
Date:	30 September 2019



Request for Project Formulation Assistance to undertake special technical assessments

Submission Date: 23rd July 2019

Adaptation Fund Grant ID:

Country: NAMIBIA

Title of Project/Programme: Nutritional Security in Namibia's rural food production systems in the face of a climate change.

Implementing Entity: Desert Research Foundation of Namibia (DRFN)

Executing Entity: Namibia Nature Foundation (NNF)

A. Timeframe of Activity

Expected start date of activity	December 2019
Completion date of activity	March 2020

B. Type of support requested

Describe the technical assessment(s) the implementing entity will undertake to support the design and development of adaptation projects and programmes

A Project Formulation Grant (PFG) is requested in order to develop the full proposal, including household level consultations and project planning to ensure a sound process and clear framework for coordination and integration into the national community based natural resource programme. Due to the high transaction costs of engaging with remote communities at household level we are requesting a full PFG of US\$30,000.

In addition, a Project Formulation Assistance (PFA) grant is also requested to support the following key elements,

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1. The development of project specific environmental and social safeguards
2. In line with the above, the development of a full monitoring and evaluation plan
3. Provide input for iterations for the Project Concept based on ESS findings


Type of Technical Assessment requested*.	Duration (months)	Type/name of provider for the requested support ¹	Requested budget (USD)
Project Specific Environmental and Social Safeguards	3	Louise Brown/Pauline Lindeque	US\$12,000
Development of a full Monitoring and Evaluation Plan	1	Pauline Lindeque/Louise Brown	US\$8,000
PFA Grant Requested (USD)			US\$20,000
PFG Grant Requested (USD)			US\$30,000

*Footnote: Technical assistance could include EIA, VA, technical studies, gender assessment etc.

NIEs may request both PFA and PFG simultaneously when they submit proposals using the two-step proposal approval process, that is, when they submit a project concept for consideration of endorsement by the Adaptation Fund Board.

C. Implementing Entity


This request has been prepared in accordance with the Adaptation Fund Board's procedures

Head of Implementing Entity	Signature	Date (Month, day, year)	Implementing Entity Contact Person	Telephone	Email Address
Executive Director		23 July 2019	Dr Martin Schneider	+264-81-2460379	martin.schneider@drfn.org.na

¹ Specify if it is an institution, consulting firm or individual consultant. When possible, provide the name of the institution, firm or individual identified or selected.

D. Record of endorsement on behalf of the government

Provide the name and position of the government official, Designated Authority of the Adaptation Fund, and indicate date of endorsement. The endorsement letter must be attached as an annex to the request.

<i>Dr. Fredrick Sikabongo</i> <i>Deputy Environmental Commissioner</i> <i>Ministry of Environment and Tourism (MET)</i>	Date: <i>July 23rd 2019</i> 
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