



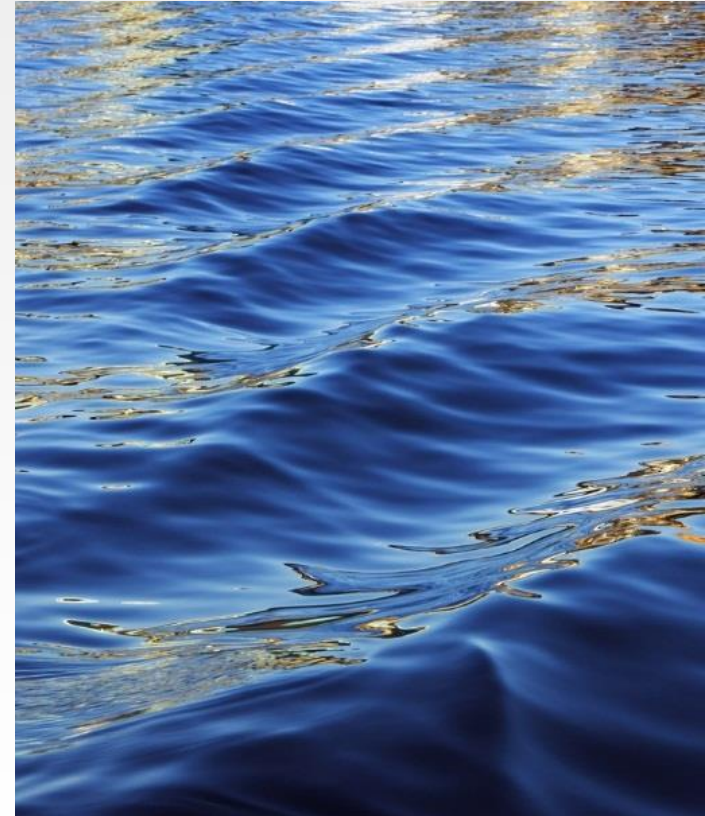
**ADAPTATION FUND**

**APPLICATION PROCESS AND  
REVIEW CRITERIA FOR PROJECT  
SCALE-UP GRANTS**

6<sup>th</sup> ANNUAL CLIMATE FINANCE  
READINESS SEMINAR

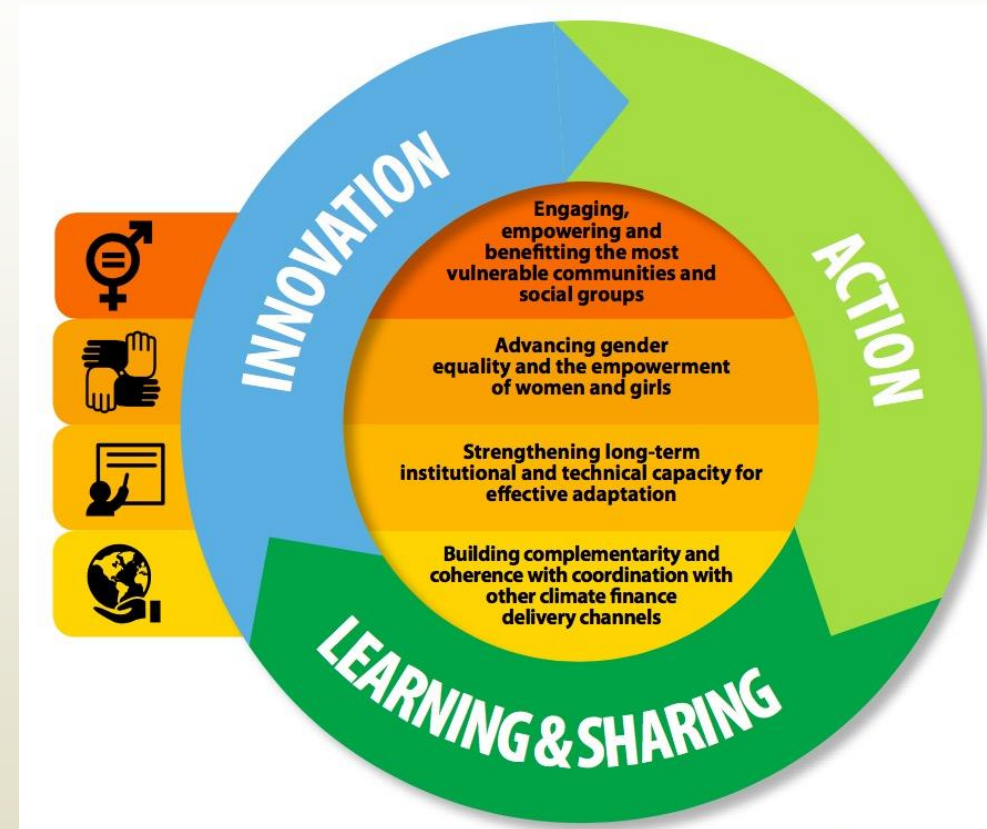
5 - 9 August 2019, Antigua and Barbuda

Farayi Madziwa: Adaptation Fund Board Secretariat



# Medium-term Strategy (MTS): approved in October 2017

- Fund's purpose is aligned with goal of Paris Agreement, SDG 13
- Focus remains on the most vulnerable
- Fund has delivered:
  - concrete **action**,
  - **innovative** approaches to climate finance
  - valuable **learning**
- Inclusion of new pillars into concrete projects + targeted support



# MTS Action Pillar

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- ❖ The Fund's MTS places emphasis on the quality of concrete activities and long-term capacity strengthening for effective adaptation.
- ❖ Action Pillar under MTS, has specific objective:

Support eligible countries to undertake high quality adaptation projects and programmes consistent with their priority needs, goals and strategies

## Expected results:

- ER1 – Vulnerability reduced, resilience strengthened, and adaptive capacity enhanced. Project/programme beneficiaries' vulnerability to climate variability and change reduced, their resilience strengthened, and adaptive capacity enhanced
- ER2 – Institutional capacity strengthened. Long-term capacity of national and regional institutions to implement and execute high quality adaptation projects/programmes strengthened through Fund processes, including accreditation and adaptive management
- ER3 – Effective action scaled up. Countries readied to scale up effective projects/programmes with support from other climate funds and finance channels (including private sector)

# Objective of project scale-up grants

- ❖ **the size of each project scale-up grant is US\$ 100,000 per project/programme;**
- ❖ **the Fund's portfolio of concrete projects includes:**
  - those that have a life-span of less than 4 years – MTR not required
  - those that have a life-span of more than 4 years – MTR required
- ❖ **Overall Goal:**
  - to increase the readiness of accredited national implementing entities (NIEs) to expand or replicate quality projects that are based on country needs, views and priorities, in order to reach more people and/or broaden project/programme effectiveness
- ❖ **Objective:**
  - to provide readiness funding to support planning, assessment, capacity enhancement (individual, organization and institutional) for designing and developing scaling up pathways for Adaptation Fund project/programmes under implementation and nearing completion or completed

**Note: It is expected that implementation of project/programme scale-up would be funded by various sources, e.g., other climate funds, other channels, including private sector.**

# Eligibility Criteria

- ❖ **NIEs eligible to receive project scale-up grants are those entities that have tangible achievements on their project/programme with the Fund and are nearing completion as evidenced by the submission of:**
  - **at least 2 project performance reports (PPR) for projects with a life span < 4 years, or**
  - **a mid-term evaluation/review (MTE/MTR) for projects with a life span >4 years,**

**or**

  - **have completed implementation as demonstrated by the submission of the project/programme terminal evaluation report**
- ❖ **Other requirements. The NIE:**
  - **Must** be an accredited national implementing entity of the Adaptation Fund and have an accreditation status of “Accredited”.
  - **Must** submit together with the application form detailing information on the proposed activities, a letter of endorsement by the Designated Authority to the Adaptation fund in support of the scale-up grant application.
  - **Must** have identified a potential source, or sources, where the entity could seek funding to scale up the proposed project/programme.



# Eligible Activities

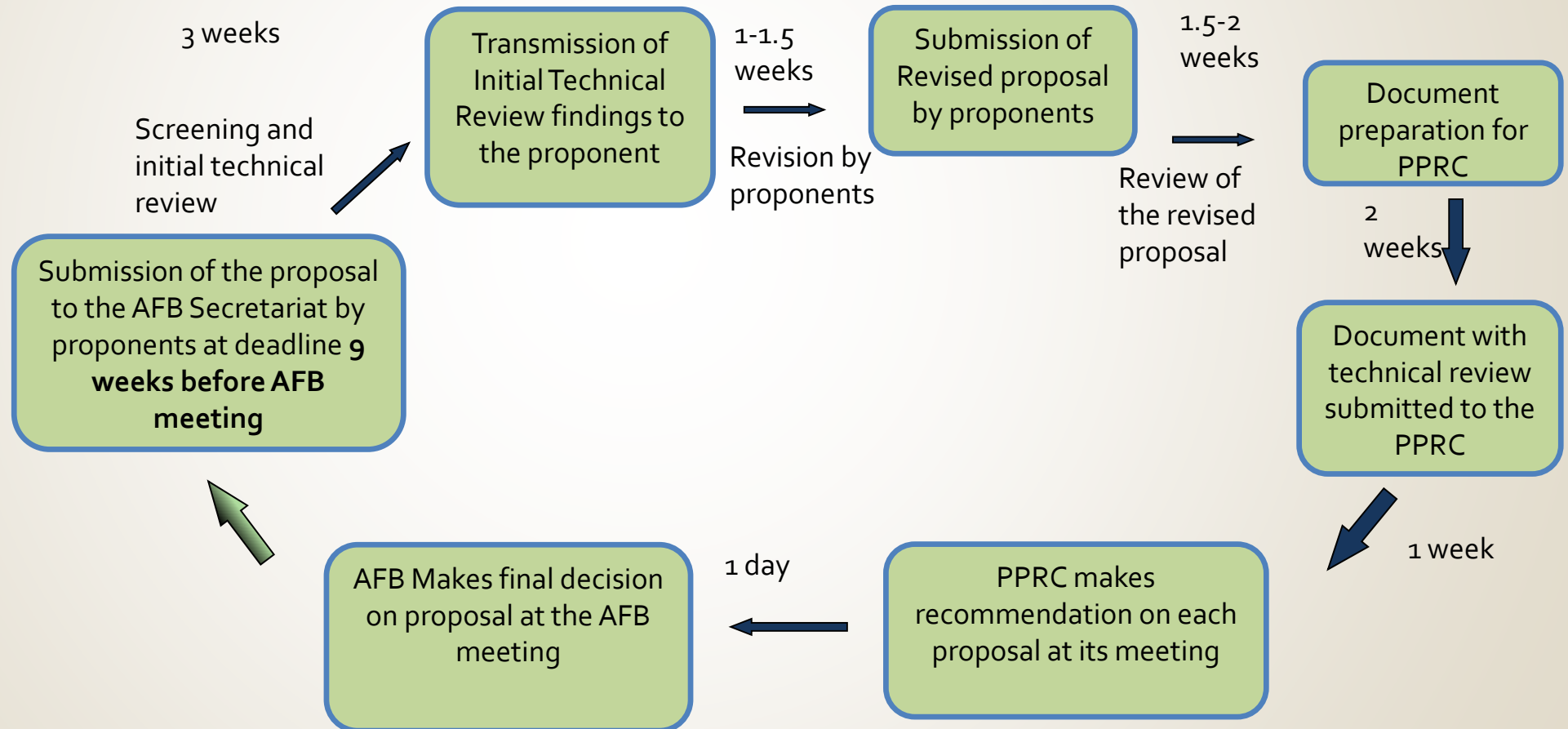
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- ❖ **Activities should reflect an evidence-based approach to project/programme scale-up and could include, but are not limited to the following options:**
  - i. Undertaking an assessment of project/programme scalability (which could include but is not limited to, for example, undertaking technical studies such as vulnerability assessment, feasibility study, socio-economic study, cost effectiveness study etc).
  - ii. Consultation with public and private stakeholders for project/programme scale up.
  - iii. Development and implementation of a scale-up strategy or development of a proposal for funding scaled-up activities.
  - iv. Enhancing individual, organization or institutional capacity for scaling up through attending training, workshops, seminars and/or adapting policies and/or procedures in line with different contexts.

## 2. Submission of the project or programme proposal

- ▶ NIEs have to use the **project proposal materials** outlined in the instructions for applying for each of the grants including application forms and templates available for download on the AF website
- ▶ Project proposals must be accompanied by **endorsement letters** when submitted by IEs to the AFB Secretariat
- ▶ IEs can submit proposals **twice a year**, for consideration at the respective bi-annual Board meetings (March/October)
- ▶ AFB may consider an **intersessional review cycle** in future to allow certain proposals to be submitted 3 times a year, e.g.:
  - ▶ Resubmissions of proposals

# Timeline of the review process





## 6. Contracting by the AFB – the agreement

N-AR-2



ADAPTATION FUND

### AGREEMENT

**(The Enhancing the Adaptive Capacity and Increasing Resilience of Small-scale Agriculture Producers of the Northeast of Argentina Project in Argentina)**

between

**THE ADAPTATION FUND BOARD**

and

**UNIDAD PARA EL CAMBIO RURAL (UCAR)**

16 April 2013



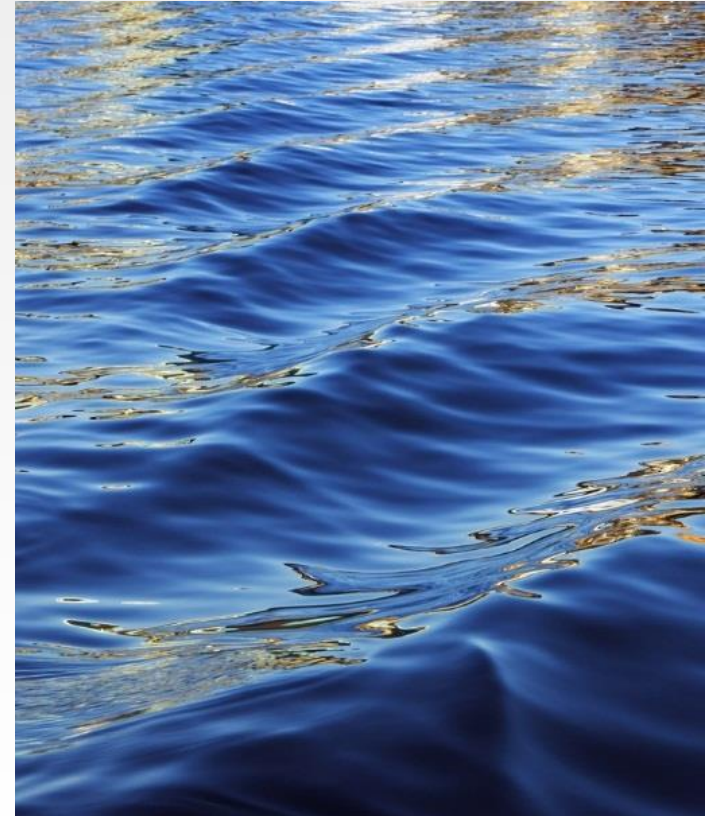
**ADAPTATION FUND**

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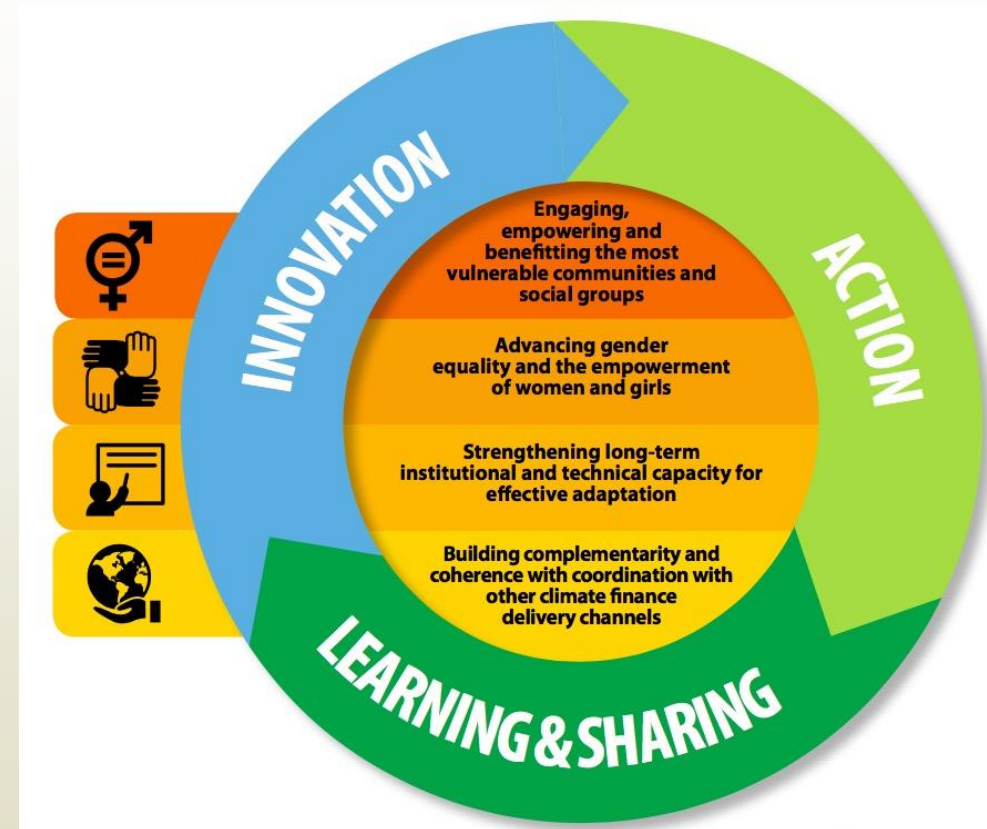
5 - 9 August 2019, Antigua and Barbuda

Cristina Dengel: Adaptation Fund Board Secretariat



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  - valuable **learning**
- Inclusion of new funding window in the Learning & Sharing pillar



# MTS Learning and Sharing Pillar

- The MTS Learning and Sharing Pillar has a specific objective: to support learning and sharing about adaptation finance and action

## Three Expected Results (ERs)

**ER 1: Lessons learnt and shared.** Practical lessons from Fund processes and projects/programmes captured and effectively communicated to adaptation actors around the world;

**ER 2: Knowledge and guidance developed.** Under this practical knowledge gained, and guidance provided vis-à-vis select themes (e.g. improving the durability of adaptation actions; integrating traditional knowledge, the knowledge of indigenous peoples and local knowledge systems into adaptation actions; and the role of adaptive management in project implementation);

**ER 3: Analytical capacity strengthened.** Long-term analytical/learning capacity strengthened in developing country Parties.

# Objective of Learning Grants

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## The main objectives :

- a. Transferring knowledge from one NIE to another;
- a. Transferring knowledge from NIEs to the wider climate finance adaptation community;
- b. Developing knowledge and guidelines through partnerships



# Sub-objectives of Learning Grants

- Capture, study and disseminate practical lessons additional to KM activities financed under AF approved projects/ programmes;
- Complement collaborative knowledge and sharing efforts with respect to partnerships with diverse stakeholders;
- Study successful climate change adaptation actions (thematic or sectoral) and disseminate knowledge gained to enable robust interventions;
- Share knowledge gained at the institutional level with the climate change networks at the national, regional and international level
- Play an enabling role by capturing, disseminating and communicating knowledge to a diverse set of adaptation actors locally and globally;
- Leverage South-South learning by providing a platform for NIEs to exchange knowledge and best practices and find solutions to shared challenges;
- Develop knowledge products as well as setting up a knowledge management system

# Eligibility criteria and size of learning grants

## Eligibility criteria:

- Be a National Implementing Entity of the Adaptation Fund and have an accreditation status of “Accredited”;
- Have an Adaptation Fund funded project that has reached the midpoint in implementation or where a Mid-Term review or Evaluation has been submitted;
- Submit together with the application form, a letter endorsed by the Designated Authority to the AF in support of the learning grant application;

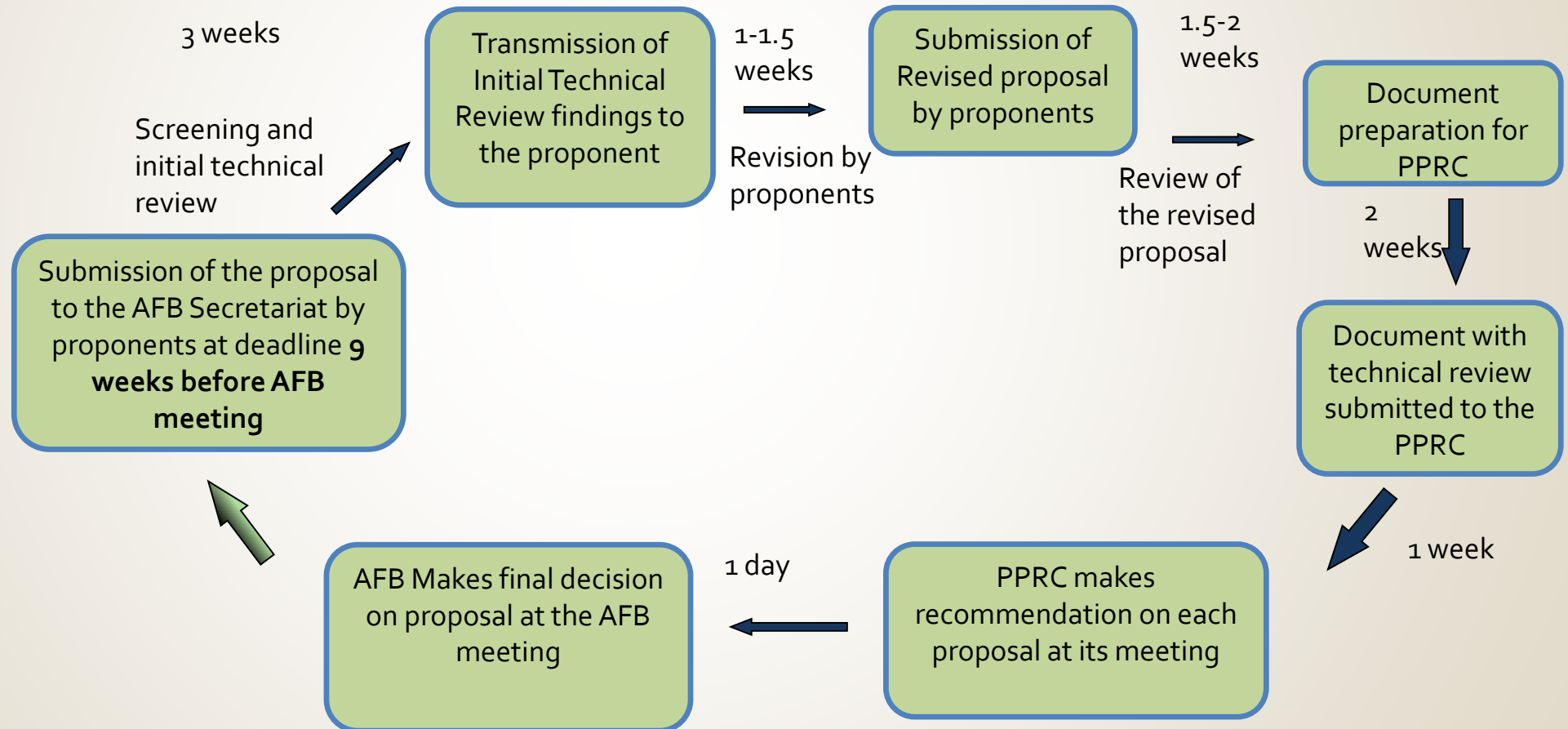
Size: up to US\$150,000 per project; US\$400,000 per year, at least 10 grants

# Eligible activities

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- They should fall under the 3 categories stated in the Implementation Plan of the MTS, namely: a) transferring knowledge from one NIE to another; b) transferring knowledge from NIE to the wider climate adaptation community and c) developing knowledge and guidelines through partnerships
- **Activities:** Lessons learned, studies & publications, technical guidance briefs, partnerships, knowledge platforms, adaptation financing workshops, resource centers, knowledge sharing events, country exchanges and learning courses
- List is non-exhausting and further activities could be added

# Timeline of the review process



# THANK YOU!



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[www.adaptation-fund.org](http://www.adaptation-fund.org)

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