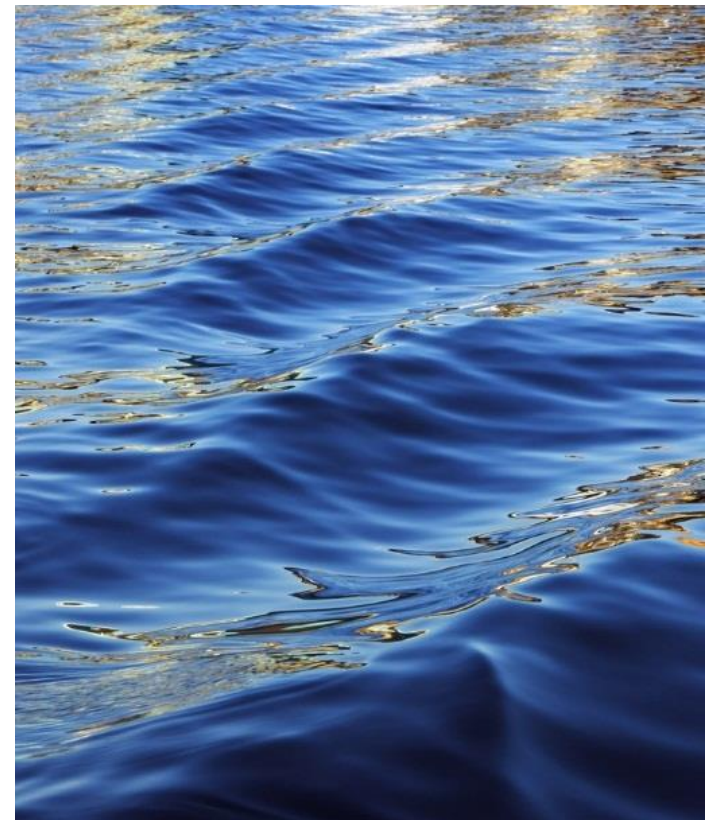




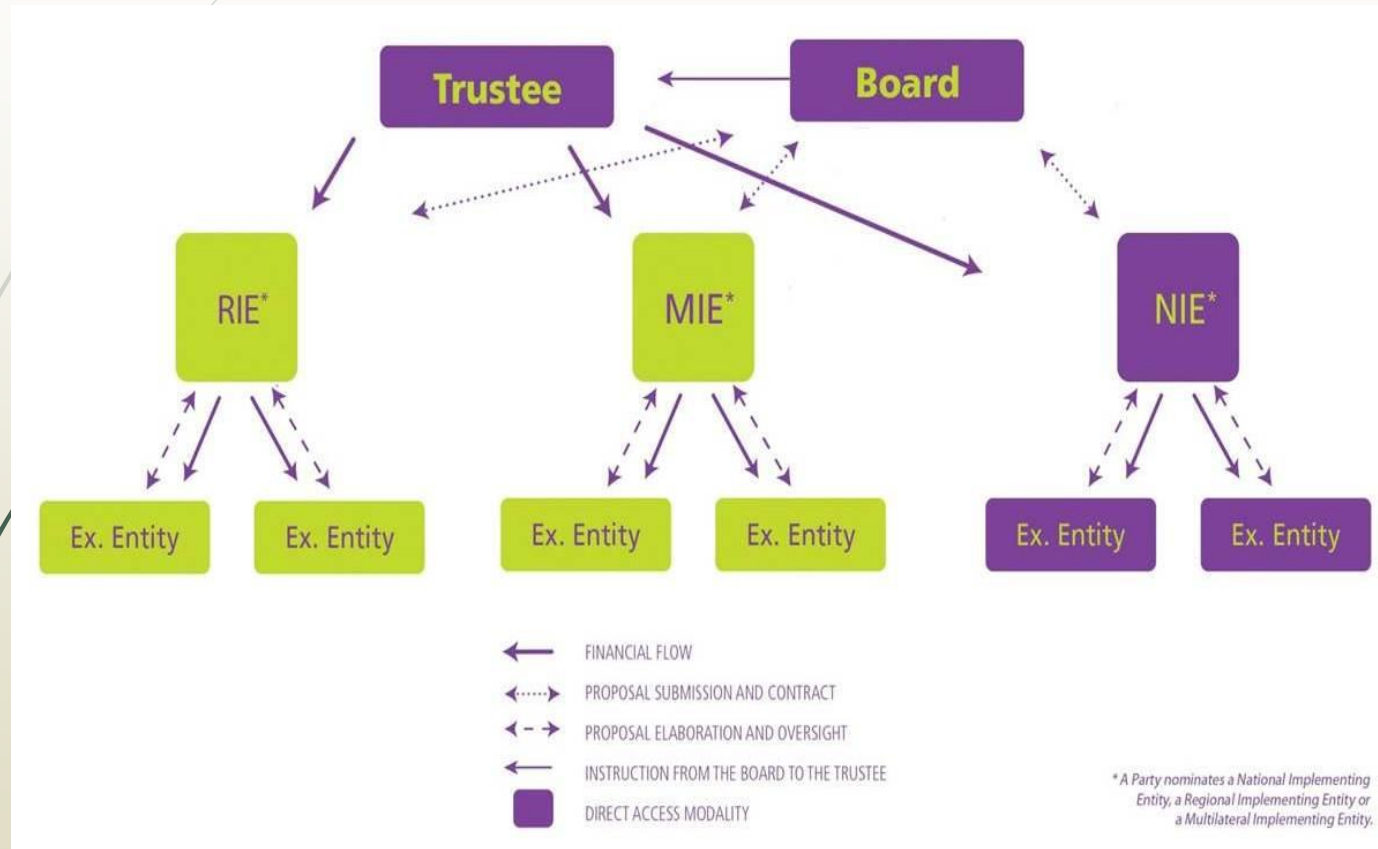
ADAPTATION FUND

Accreditation : Access to Adaptation Fund Resources

Bangkok , September 2019



There are 3 modalities that developing countries can use to access Adaptation Fund resources



- Funding decision (AFB)
- Funds transfer (Trustee)

- Proposal submission
- Project supervision
- Financial responsibility

- Project execution: work on the ground
- Report to the IE

6 RIEs
accredited

12 MIEs
accredited

31 NIEs
accredited

Direct Access Modality

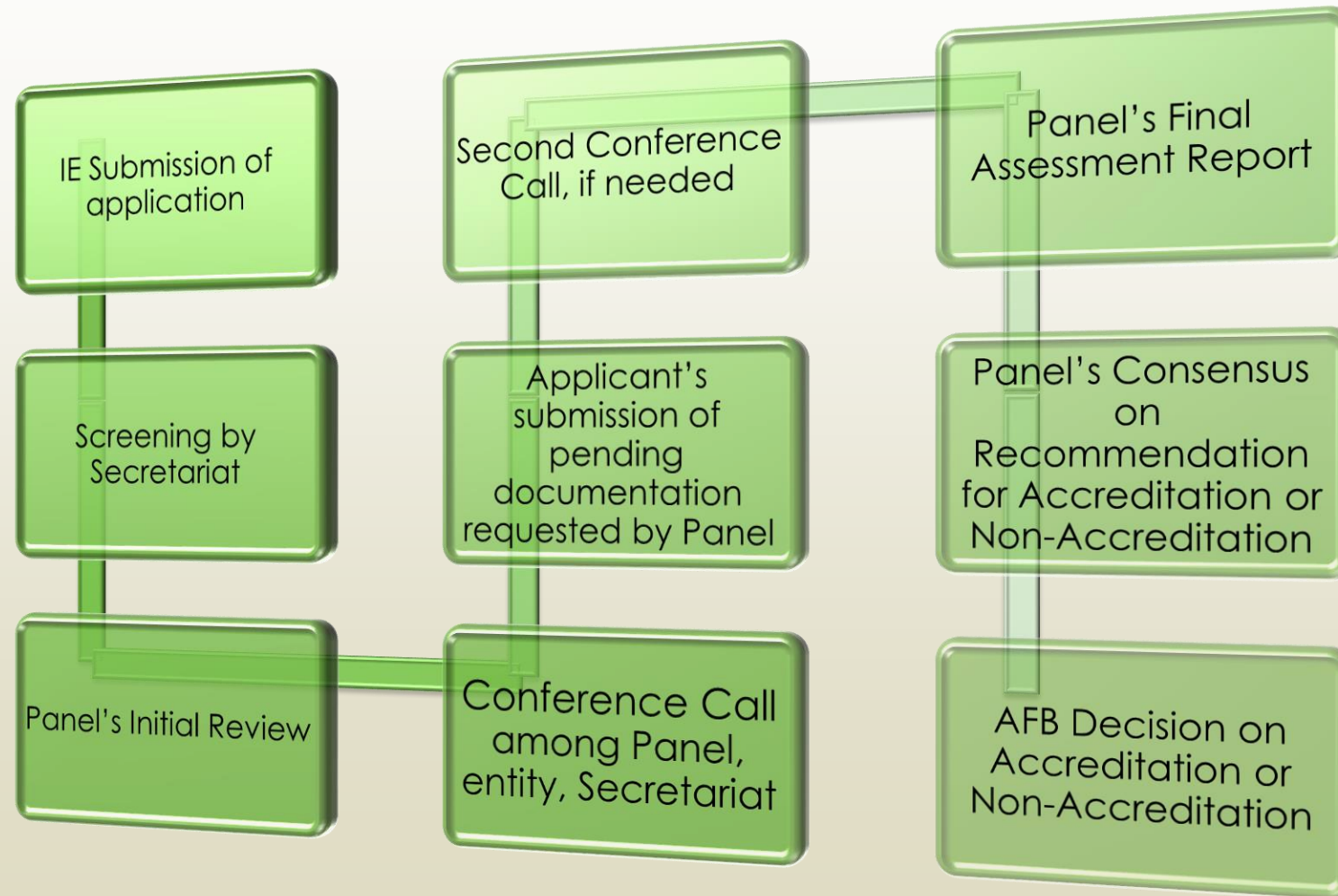
- Objectives:

- ✓ **Direct Access**, whereby a country can access funds directly from the AF and other funds adopting similar modality to manage adaptation/mitigation projects, requires an accredited National Implementing Entity meeting the funds' fiduciary standards, environmental and social safeguards and gender policy

- Implementing Entities (IEs):

- ✓ **Bear full responsibility** for the **overall management** of the projects and programmes approved by the AFB; and
- ✓ Carry out **financial management, monitoring and reporting responsibilities** for the project.

Accreditation Cycle





Accreditation Panel

- Independent review of the application
 - Deliberate on the findings and conclusions and reach consensus
 - Provide feedback to the applicant
 - Make a recommendation on accreditation or non-accreditation to the AF Board
-

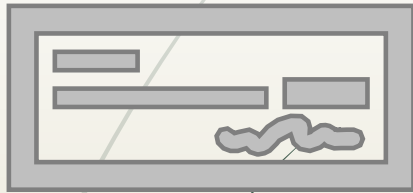
Average Period of Time for Accreditation



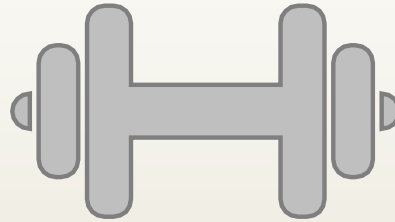
**The Accreditation
Process can take up
from Six to 24 Months**

- Lapsed time depends on how quickly applicant entity provides all the required information
-

Why become accredited?



Receive **direct finance transfers** to design, implement, and oversee climate projects



Build internal capacity and codify organizational systems



Garner international recognition

Benefits of Direct Access

- Funds projects directly managed and led by countries
- Elevates issues relating to climate change and adaptation to the national level
- Improves intragovernmental collaboration and amplifies stakeholder voices
- Fosters transparency, inclusiveness and competition in project formulation
- Sustains institutional knowledge and enhances internal management
- Empowers developing countries to build national adaptive capacities

Why Accreditation Process Sometimes Takes Longer for One Entity than Others?

Limited capacity in some areas of Fiduciary Standards

Applicant's experience limited to handling of small projects (hence systems and processes not adequate to meet AF Standards)

Applicant's underestimating the work involved in completing the accreditation process and not driving process actively.

Lack of in depth understanding of Accreditation Criteria and their requirements



Accreditation Modalities



Regular Accreditation

Streamlined Accreditation

Fast-Track Accreditation

Regular Reaccreditation

Fast-Track Reaccreditation

Regular Accreditation

Entities of all types, sizes, and risk profiles

Streamlined Accreditation

Designed to support smaller entities:

- Project costs up to USD 1M
- Staff up to 25 members
- Administrative expenses up to USD 1M

Accreditation Panel will assess areas where risk mitigation measures could be necessary; Secretariat will inform entity of options



Streamlined Accreditation

Undergo Streamlined Accreditation with understanding:



Process less time-consuming



Upfront costs reduced



Financial limit to size of projects

Opens up possibilities for a smaller entity to demonstrate competence and capacity to meet requirements

Regardless of accreditation modality, entity must:

- ✓ Nominated by Designated Authority
- ✓ Adhere to AF's fiduciary standards



Streamlined Accreditation

Application involves:

- Assessment of potential risks to AF
- Appraisal of alternative ways for entity to meet requirements
- Flexibility for entity to demonstrate how it employs mitigation measures



Possibility of management override

- ✓ Oversight body that reviews operations



Limited segregation of duties

- ✓ Active management supervision of staff



High staff turnover

- ✓ Key positions fully staffed



Regular Accreditation

(i) Compliance with Fiduciary Standards

(ii) Adoption of E&S Policy and Gender Policy

Demonstrate capacity and commitment
to assessing and managing E&S risk

Provide evidence of mechanism to deal
with complaints on E&S harm and
gender harm caused by project



Regular Accreditation



(iii) Quality-at-Entry Review and Project Implementation

Secretariat will conduct assessment on:

- Caliber of project proposals submitted by entity to the AF
 - Quality-at-Entry Review
- Performance of projects on the ground

Secretariat will develop and provide scorecard to Accreditation Panel



Fast-Track Accreditation

Only available to Entities accredited with the Green Climate Fund (GCF) within 4 years from the GCF Board decision.

Application by Accreditation Panel will focus on entity's:

- (i) Compliance with the AF's Financial Management and Integrity fiduciary standard, particularly legal personality
- (ii) Commitment to apply AF's E&S Policy and Gender Policy
- (iii) Application of mechanism to deal with complains on E&S harms and gender harms caused by projects
- (iv) Performance of projects on the ground

Accreditation Panel may request information beyond scope of Framework

Accreditation Related Documents

- **Guidance on Accreditation Standards**
 - **Accreditation Application Form**
 - **NIE Accreditation Toolkit**
 - **Guidelines for Designated Authorities to Select an NIE**
 - **Available at <https://www.adaptation-fund.org/apply-funding/accreditation/accreditation-application/>**
-



ADAPTATION FUND

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