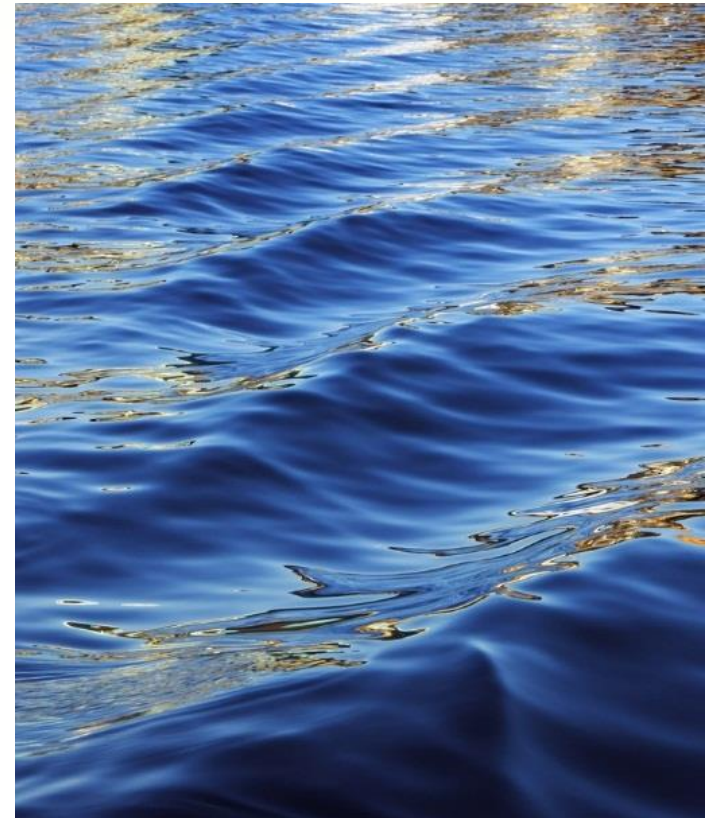




## ADAPTATION FUND

# The AF Designated Authority: Role and Responsibilities

Bangkok , September 2019



# Direct Access

- ❑ **Adaptation Fund pioneered fully operational direct access to climate financing**
- ❑ **Direct Access**, whereby a country can access funds directly from the AF and other funds adopting similar modality to manage adaptation/mitigation projects.

## DIRECT ACCESS

NATIONAL ORGANIZATION

ACCREDITED BY AF BOARD

PROJECT REVIEWED & APPROVED

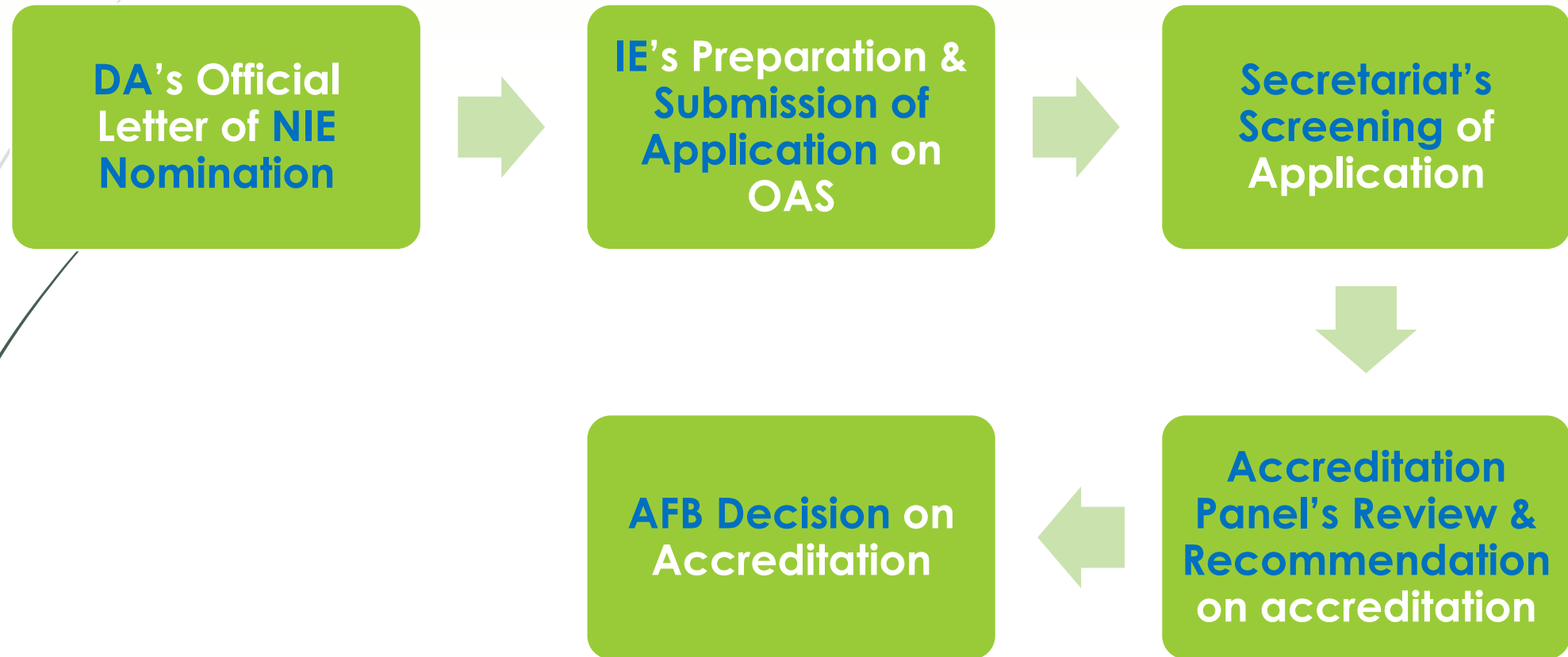
FUNDS DISBURSED, PERFORMANCE-BASED TRANCHES

PROJECT IMPLEMENTED

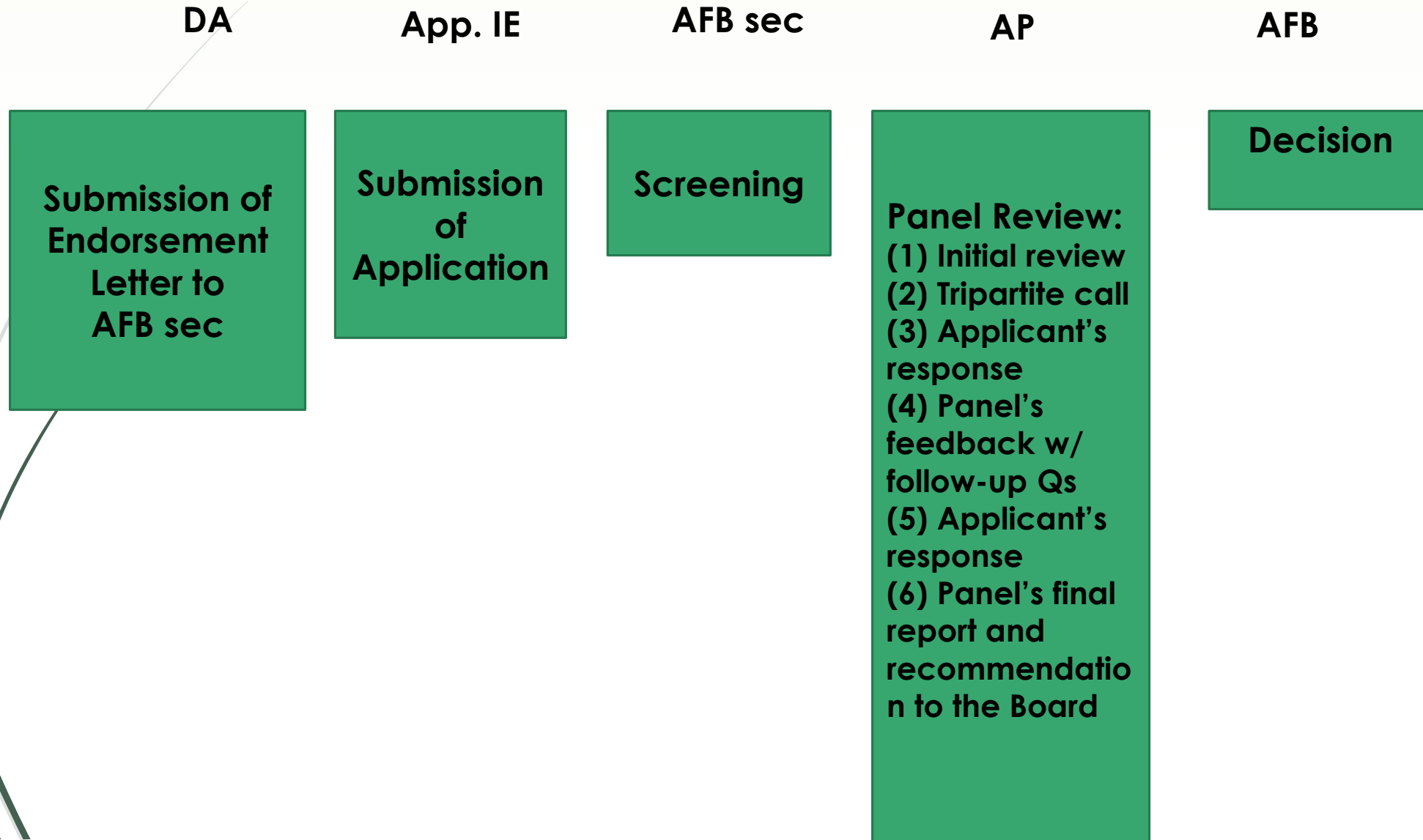
PROJECT MONITORED & EVALUATED



# Phases of the Accreditation Process



# Parties Involved in Accreditation Process

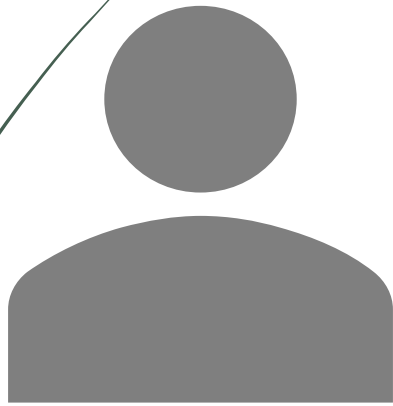


# Designated Authority: Role and Responsibilities

- **Definition of DA** → Government official who acts as a focal point for the Adaptation Fund
  - **DA designation process:** *An official letter of DA designation written and signed by an Ambassador, Minister or an Authority at Cabinet level is required*
- **On Behalf of national government, the DA:**
  - Endorse an NIE which is deemed eligible to meet AF fiduciary criteria
    - **NIE endorsement process:** *An official letter of NIE endorsement written and signed by DA needs to be communicated to AFB Secretariat*
    - **RIE endorsement :** *DA endorses and send a letter of endorsement of RIE as its member country (at least 2 letters are required)*
  - Endorse Project proposal by NIE, RIE or MIE for adaptation projects/programmes in the DA's country

# What is a Designated Authority (DA)?

*Government official who acts as a point of contact for the Adaptation Fund*



Person

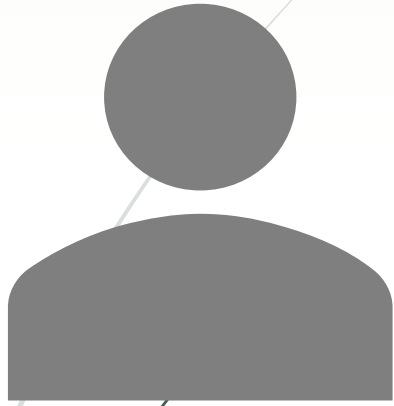


Appointed for task



Sufficient authority

# What is the role of the DA?



- ✓ Caretaker of country's engagement with AF
- ✓ Understanding of adaptation priorities and interventions

1

*Identify and nominate appropriate entities to apply for accreditation to the Adaptation Fund*

2

*Endorse the accreditation application of nominated entities*

3

*Endorse the project proposals of accredited entities*



## Suggestion for Identifying and nominating appropriate national entities for accreditation to the Adaptation Fund

### Process

1. Establish selection committee and identify potential entities
2. Conduct preliminary assessment and develop shortlist
3. Identify gaps against fiduciary standards and evaluate potential to bridge those gaps
4. Nominate most appropriate entity and work with it to fulfill gaps and complete accreditation application





## **Suggestion for Identifying and nominating appropriate national entities for accreditation to the Adaptation Fund**

### **Considerations**

1. Provide evidence of fiduciary competencies
2. Fit to take responsibility and accountability for full climate change adaptation project cycle
3. Appropriate processes and management for implementing adaptation projects
  - Implementation of such projects is significant or core activity





## Suggestion for Identifying and nominating appropriate national entities for accreditation to the Adaptation Fund

### Considerations

4. Promotes zero tolerance for fraud and corruption
5. Commitment and capacity to comply with Environmental & Social Policy and Gender Policy
6. Capable of working with government entities and leveraging co-financing organizations

Decision to accredit is *evidence-based*



# Examples of National Implementing Entities

## Non-Governmental Organization (I)

- Transparent management systems
- Satisfactory level of existing funds
- Robust project management infrastructure
- Capacity to manage relatively large projects

## Non-Governmental Organization (II)

- Expertise in raising, managing, and channeling resources
- Reliability and robust accountability
- Experience attracting private sector finance

## Government Agency

- Maturity (>50 years)
- Close ties to government and development institutions
- Experience obtaining development finance and managing projects
- Experience with large budgets



# Accreditation Related Documents

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- **Guidance on Accreditation Standards**
  - **Accreditation Application Form**
  - **NIE Accreditation Toolkit**
  - **Guidelines for Designated Authorities to Select an NIE**
  - **Available at <https://www.adaptation-fund.org/apply-funding/accreditation/accreditation-application/>**
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