



ADAPTATION FUND

AFB/PPRC.25-26/4
21 October 2019

Adaptation Fund Board
Project and Programme Review Committee

**TECHNICAL ASSISTANCE GRANT FOR THE
ENVIRONMENTAL AND SOCIAL POLICY AND GENDER
POLICY (TA-ESGP) – NATIONAL ENVIRONMENT
MANAGEMENT COUNCIL (NEMC) TANZANIA**

Background

1. At its twenty-second meeting, the Adaptation Fund Board Secretariat (the secretariat) had prepared document AFB/B.22/6 which outlined the possible elements and options for a phased programme to support readiness for direct access to climate finance for national and regional implementing entities and presented a framework and budget for a first phase of the programme. Following a discussion of the document, the Board decided to:

- (a) *Approve Phase I of the Readiness Programme as detailed in document AFB/B.22/6, on the basis that it would follow performance-based funding principles;*
- (b) *Take note of the options provided by the secretariat on a programme to support readiness for direct access to climate finance for national and regional implementing entities;*
- (c) *Request the secretariat to submit to the Board intersessionally between the twenty-second and twenty-third meetings, execution arrangements, criteria/eligibility criteria to allocate the funds to the accredited implementing entities for specific activities, as well as a timeline of activities, with a view to start implementing the programme before the twenty-third Board meeting; and*
- (d) *Approve an increase in the Administrative Budget of the Board, secretariat and trustee for FY2014 of US\$ 467,000 for the programme described in AFB/B.22/6, and authorize the trustee to transfer such amount to the secretariat and request the trustee to set aside the balance amount of US\$ 503,000 from the Adaptation Fund Trust Fund resources for subsequent commitment and transfer at the instruction of the Board.*

(Decision B.22/24)

2. At its twenty-third meeting, the Board had decided through decision B.23/26 to approve “the execution arrangements, criteria/eligibility criteria to allocate the funds to the accredited implementing entities for specific activities”, contained in document AFB/B.23/5, which included grants for technical assistance and South-South Cooperation (SSC).

3. Based on the Board Decision B.23/26, the first call for readiness project proposals was issued in May 2014 and eligible countries were given the opportunity to submit applications for a readiness grant.

4. At the tenth session of the Conference of the Parties serving as meeting of the Parties to the Kyoto Protocol (CMP 10), the Parties recognized the Readiness Programme of the Adaptation Fund and decided to:

Invite further support for the readiness programme of the Adaptation Fund Board for direct access to climate finance in accordance with decision 2/CMP.10, paragraph 5;

Decision 1/CMP.10

and also decided to:

Request the Adaptation Fund Board to consider, under its readiness programme, the following options for enhancing the access modalities of the Adaptation Fund:

- (a) Targeted institutional strengthening strategies to assist developing countries, in particular the least developed countries, to accredit more national or regional implementing entities to the Adaptation Fund;*
- (b) Ensuring that accredited national implementing entities have increased and facilitated access to the Adaptation Fund, including for small-sized projects and programmes;*

Decision 2/CMP.10

5. Upon completion of Phase I of the Readiness Programme, the secretariat had prepared document AFB/B.25/5 which outlined the progress made in Phase I and proposed Phase II of the Readiness Programme, taking into account the results from Phase I of the programme and integrating decision 2/CMP10. Following a discussion of the document, the Board decided to:

Approve Phase II of the Readiness Programme, as outlined in document AFB/B.25/5, with a total funding of US\$ 965,000, including funding of US\$ 565,000 to be transferred to the secretariat's budget and funding of US\$ 400,000 to be set aside for small grants to National Implementing Entities from resources of the Adaptation Fund trust fund.

(Decision B.25/27)

6. At its twenty-seventh meeting, the Board decided to integrate the Readiness Programme into the Adaptation Fund (the Fund) work plan and budget and set aside funding for small grants to be directly transferred from the resources of the Adaptation Fund Trust Fund. At this meeting, the Board decided to:

- a) Take note of the progress report for phase II of the Readiness Programme;*
- b) Integrate the Readiness Programme into the Adaptation Fund work plan and budget; and*
- c) Approve the proposal for the Readiness Programme for the fiscal year 2017 (FY17), comprising its work programme for FY17 with the funding of US\$ 616,500 to be transferred to the secretariat budget and US\$ 590,000 for direct transfers from the resources of the Adaptation Fund Trust Fund for allocation as small grants.*

(Decision B.27/38)

7. It is worth noting that at its twenty-seventh meeting, the Board also approved the Gender Policy (GP) of the Fund and consequently, the application template for technical assistance grants was revised to align with requirements of both the Fund's Environmental and Social Policy (ESP) and the GP.

8. According to the Board Decision B.28/30, a readiness project proposal can be submitted for consideration by the Project and Programme Review Committee (PPRC) and decision by the Board at the regular meetings of the Board and can also be submitted for review during an intersessional period of less than 24 weeks between two consecutive Board meetings.

9. Following Decision B.33/49 by the Board to approve the secretariat work schedule and work plan for fiscal year 2020 as contained in document AFB/EFC.24/7, the secretariat launched a call for project proposals intersessionally between the thirty-third and thirty-fourth meetings of the Board and eligible entities were given the opportunity to submit applications for a Technical Assistance Grant for the Environmental and Social Policy and Gender Policy (TA-ESGP).

10. All accredited NIEs that had not previously received a technical assistance grant were eligible to receive a TA-ESGP based on the relevance of the capacity and needs assessment as outlined in document AFB/B.23/5, and up to a maximum of US\$ 25,000 per NIE as outlined in document AFB/B.27/7. The NIEs would be expected to build capacity on environmental and social safeguards and gender related issues simultaneously and had to submit grant proposals that met all the following criteria as at the time of the 33-34 intersessional review cycle:

- Have been accredited by the Board,
- Have submitted a request document/grant proposal to the secretariat within the given deadline and with a clear description of the areas of support needed and budget for the requested support.

11. It is expected that the technical assistance grants will enable NIEs to source external expertise through short-term consultancies for more targeted technical assistance where such a need is identified to improve their capacity for assessment and management of environmental, social and gender related issues and subsequent compliance with the Fund's ESP and GP. This may include developing or strengthening a particular function relevant to the environmental and social, and gender policies such as an integrated environmental and social management system that addresses gender issues, a risk management system that is gender responsive, or familiarization with the principles of the ESP and GP.

12. The following readiness grant project proposal was submitted by the National Environment Management Council (NEMC) of Tanzania, which is an accredited NIE of the Fund.

13. The proposal includes a request for funding of US\$ 25,000 outlining the activities to be undertaken by NEMC to build its institutional capacity for addressing environmental and social risks and gender considerations in its projects and programmes.

14. The secretariat carried out a technical review of the project proposal and completed a review sheet.

15. In accordance with a request to the secretariat made by the Board in its 10th meeting, the secretariat shared this review sheet with NEMC, and offered them the opportunity to provide responses before the review sheet was sent to the PPRC.

16. The secretariat is submitting to the PPRC pursuant to decision B.17/15, the final technical review of the project, both prepared by the secretariat, along with the final submission of the proposal in the following section. In accordance with decision B.25.15, the proposal is submitted with changes between the initial submission and the revised version highlighted.



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ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT PROPOSAL UNDER THE READINESS PROGRAMME

PROJECT CATEGORY: Technical Assistance Grant for the ESP and GP

Country: **Tanzania**Implementing Entity: **National Environment Management Council (NEMC)**AF Project ID: **TZA/NIE/TA-ESGP/2019/1/Readiness**Requested Financing from Adaptation Fund (US Dollars): **25,000**Reviewer and contact person: **Farayi Madziwa**Co-reviewer(s): **Martina Dorigo, Mourad Shalaby**Implementing Entity Contact Person: **Fredrick F. Mulinda**

Review Criteria	Questions	Comments 20 September 2019	Comments 10 October 2019
Country Eligibility	1. Is the country Party to the Kyoto Protocol?	Yes	
Eligibility of IE	1. Is the project submitted through an Implementing Entity accredited by the Board?	Yes	
Project Eligibility	1. Has the designated government authority for the Adaptation Fund endorsed the project?	Yes	

	<p>2. Are the proposed activities to support the implementing entity to implement the Environmental and Social Policy and/or the Gender Policy of the Fund adequate?</p>	<p>No. The support requested does not specify what actual documents will need to be developed or updated, that is, whether NEMC intends to produce a manual or a policy or a set of procedures etc. It also does not specify whether the product to be developed will address only gender aspects or it will include environmental and social safeguards. Please note that there is a separate grant for gender related issues only (the TA-GP) which would be the relevant grant to request if the current submission is not intended to also address environmental and social safeguards.</p> <p>CR1: Please confirm whether the current submission intends to build institutional capacity for gender related issues only or to simultaneously address environmental and social safeguards and gender related issues.</p> <p>CR2: Please specify what products will be developed or updated through use of the requested grant for technical assistance and which institutions would work on these.</p>	<p>Addressed</p> <p>Addressed</p>
<p>Resource Availability</p>	<p>1. Is the requested project funding within the cap for Technical Assistance Grants for the Environmental and Social Policy and Gender Policy set by the Board?</p>	<p>Yes</p>	
	<p>2. If the implementing entity has requested, is the</p>	<p>Not applicable. No fee has been requested</p>	

	Implementing Entity Management Fee at or below 8.5 per cent of the total project/programme budget before the fee?		
Implementation Arrangements	1. Is the timeframe for the proposed activities adequate?	Unclear. The timeframe if one year for the current requested activities seems excessive. No timeline for the requested activities has been included. CR3: Please include a breakdown of the timeline (in weeks or months) for the requested activities.	Addressed
	2. Is a summary breakdown of the budget for the proposed activities included?	Yes	

Secretariat's Overall Comment	<p>The National Environment Management Council (NEMC) of Tanzania proposes to build its institutional capacity for addressing environmental and social risks and gender considerations in its projects and programmes by commissioning the services of multiple institutions on different aspects of the capacity building process.</p> <p>The initial technical review found that the proposal had not clarified whether the requested grant was to be used to address institutional capacity on gender related issues or to simultaneously address environmental and social safeguards and gender related issues; had not clarified what products would be developed or updated through use of the requested grant; and had not provided a breakdown of the timeline (in weeks or months) for the requested activities.</p> <p>The final review finds that the revised proposal has sufficiently addressed the clarification requests made in the initial technical review.</p>
Date:	10 October 2019



ADAPTATION FUND

Request for assistance in complying with the Fund's Environmental and Social Policy and Gender Policy

Submission Date:

Adaptation Fund Grant ID:

Country: United Republic of Tanzania

Implementing Entity: National Environment Management Council (NEMC)

A. Timeframe of Activity

Expected start date of activity	December 2019
Completion date of activity	December 2020

B. Type of support requested

Describe the activities to be undertaken to support the compliance of the NIE with the Fund's Environmental and Social policy and Gender policy

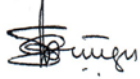
Types of Support Activities	Support requested (please provide short description)	Type/name of provider for the requested support ¹	Requested budget (USD)	Time Frame
Development of procedures/manuals/guidelines for screening projects for environmental and social risks as well as gender-related risks	Technical support from gender, environmental and social specialist in developing checklists for screening projects for environmental and social risks as well as	Tanzania Gender Networking Program Forum CC	11,000	3 months

¹ Specify if it is an institution, consulting firm or individual consultant. When possible, provide the name of the institution, firm or individual identified or selected.

	gender-related risks at different stages of project development			
Development of procedures manual/guidelines for undertaking project environmental and social risk assessment, gender assessment, and for formulating risk management plans that are gender responsive				
Development of a policy/avenues for public disclosure and gender-responsive consultation	Technical support for gender policy development	Development of Gender Policy through the support of the Ministry of Health, Community Development, Gender, Elderly and Children (Gender Section)	10,000	3 months
Development of transparent, accessible, fair and effective mechanisms for receiving and addressing complaints about environmental or social harms and complaints related to gender inequalities and other adverse gender impacts caused by projects/programmes during implementation	Review Whistle Blower Policy to accommodate gender, environmental and social complaints	Internal review with relevant stakeholders involvement	1500	1 week
Training of select entity staff to carry out the relevant tasks related to the implementation of the Fund's Environmental and Social Policy and the Gender Policy	Training staff/management in gender incorporation in NEMC's operations	University of Dar es Salaam, Gender Section	2500	3 Days
Other type of support requested (please describe)				
Total Grant Requested (USD)			25,000	

C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board's procedures

Head of Implementing Entity	Signature	Date (Month, day, year)	Implementing Entity Contact Person	Telephone	Email Address
Eng.Dr.Samuel I.G. Mafwenga		29/08/2019	Mr. Fredrick F. Mulinda	+2557532 140517	nieaf@nemc.or.tz/kasigazi.koku@gmail.com

D. Record of endorsement on behalf of the government

Provide the name and position of the government official, Designated Authority (DA) of the Adaptation Fund, and indicate date of endorsement. The DA endorsement letter must be attached as an annex to the request.

<i>Ambassador Joseph. E. Sokoine, Deputy Permanent Secretary, Vice President's Office</i>	Date of Endorsement: 28 th August 2019
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**UNITED REPUBLIC OF TANZANIA
VICE PRESIDENT'S OFFICE**

Telegraphic address: "MAKAMU",
Telephone: +255 -26-2329006
Fax. No.: +255 -26-2329007
E-mail: ps@vpo.go.tz



Government City,
Mtumba Area,
Vice President's Office
Building,
Ihumwa,
P. O. Box 2502,
DODOMA

In reply please quote:

Our Ref. BA/90/201/01

28th August 2019

The Adaptation Fund Board
c/o Adaptation Fund Board Secretariat
Email: afbsec@adaptation-fund.org
Fax: 202 522 3240/5

Re: Endorsement for Technical Assistance Grant for the Environmental and Social Policy and Gender Policy (TA-ESGP)

In my capacity as Designated Authority for the Adaptation Fund in Tanzania, I confirm that the above project proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in Tanzania.

Accordingly, I am pleased to endorse the above Technical Assistance Grant for the Environmental and Social Policy and Gender Policy (TA-ESGP) with support from the Adaptation Fund. If approved, the project will be implemented and executed by National Environment Management Council.

Sincerely,


Amb. Joseph E. Sokoine
DEPUTY PERMANENT SECRETARY

All correspondences should be Addressed to Permanent Secretary,