



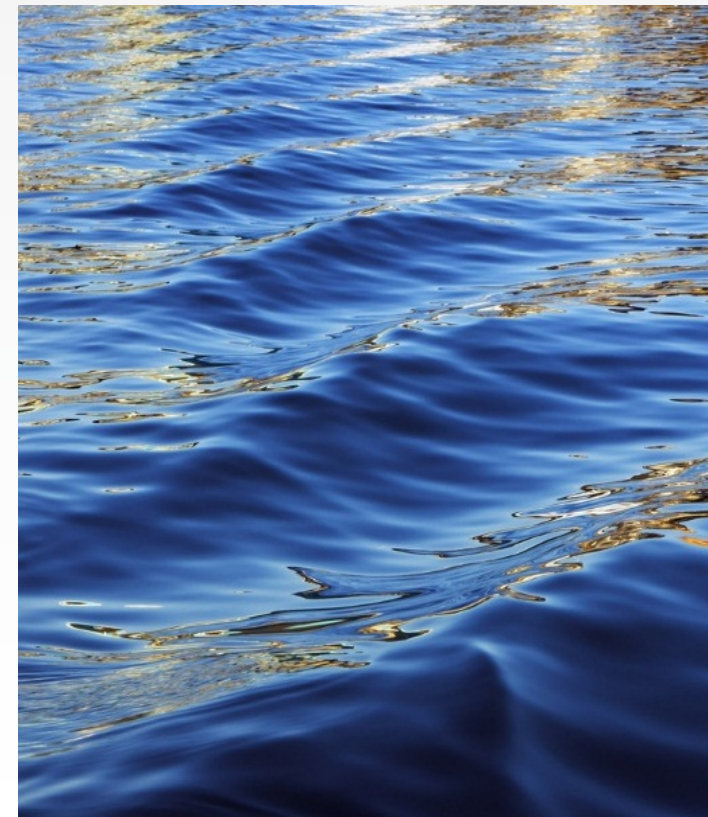
**ADAPTATION FUND**

# **APPLICATION PROCESS AND REVIEW CRITERIA FOR PROJECT SCALE-UP GRANTS**

**ANNUAL CLIMATE FINANCE  
READINESS SEMINAR FOR NIES**

**1 - 3 September 2020,**

Farayi Madziwa: Adaptation Fund Board Secretariat



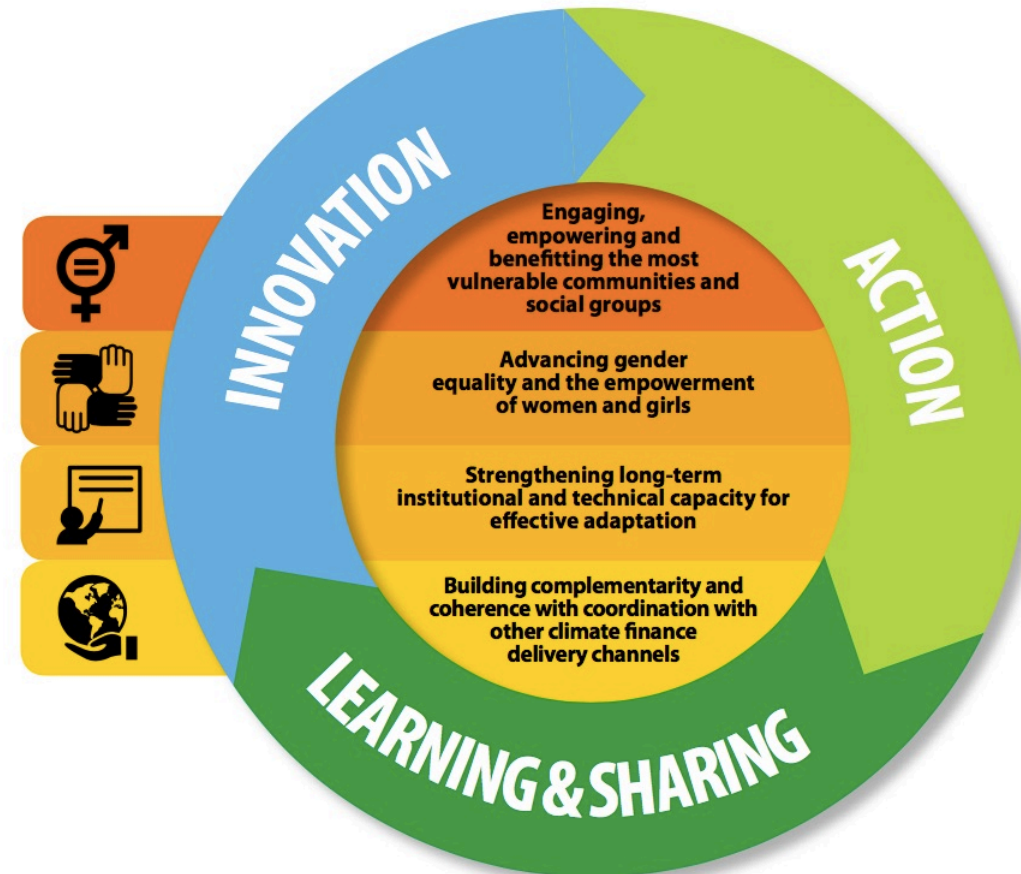
# Medium-Term Strategy (MTS): Approved in October 2017

## Action Pillar

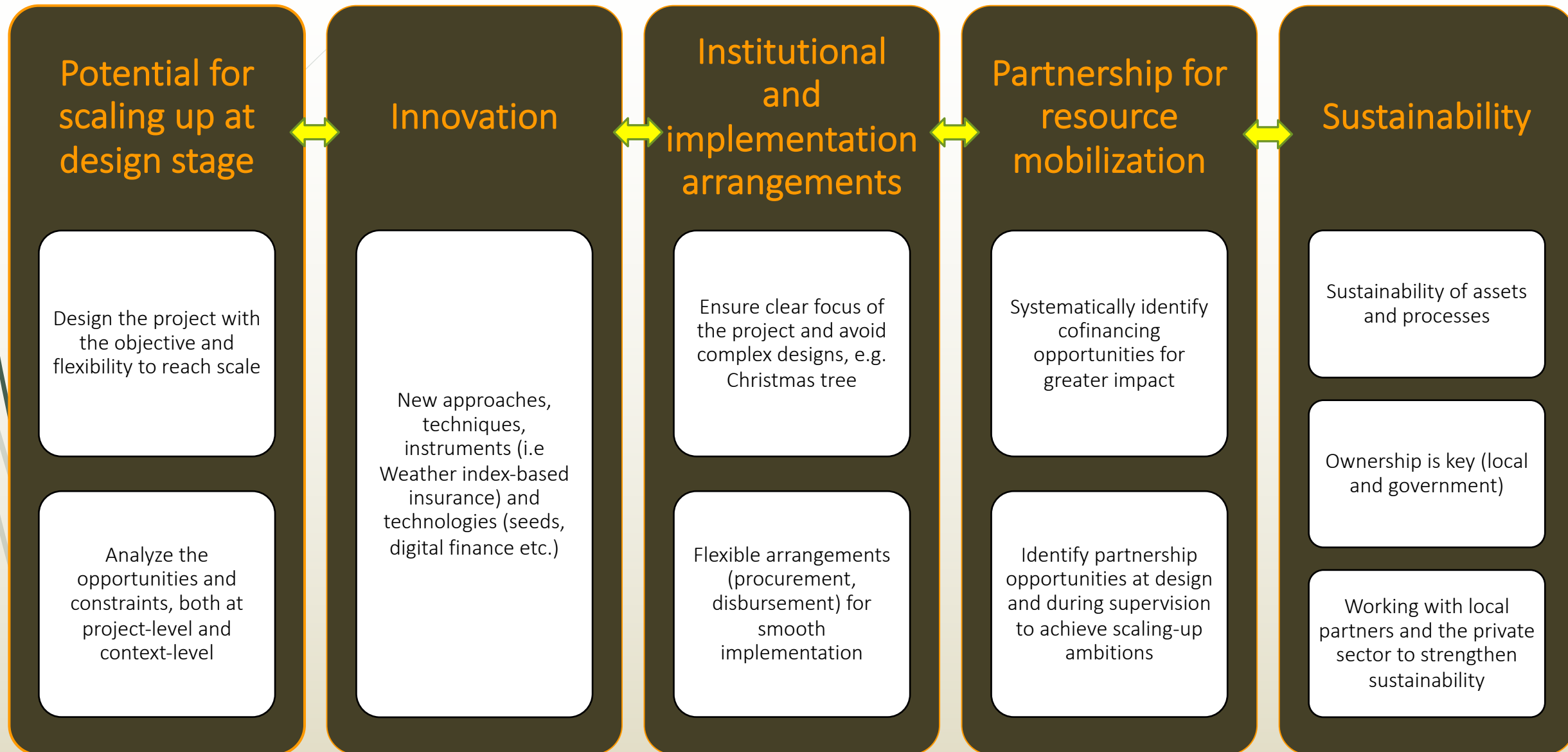
- **Objective:** Support eligible countries to undertake high quality adaptation projects and programmes consistent with their priority needs, goals and strategies

## Expected Result (ER) 3

- **Effective action scaled up.** Countries readied to scale-up effective projects/ programmes with support from other climate funds and finance channels (including private sector)



# Planning for maximizing impacts and scaling-up





# Project scale-up grants under the Readiness Programme

Available to NIEs up to  
US\$100,000 per project

Support evidence-based  
approach to scaling-up  
(planning, assessment, capacity  
enhancement, stakeholder  
consultation, public and private  
partnerships, etc)

NIEs with tangible achievements  
on their project/programme

Project near completion (2PPRs,  
MTE or PCR)

Endorsement by Designated  
Authority of the scale-up grant  
application

Identification of potential source  
of funding to scale up the  
proposed project





# Eligibility criteria



- To increase the readiness of accredited national implementing entities (NIEs) to expand or replicate concrete Adaptation Fund funded adaptation activities that are based on country needs, views and priorities, in order to reach more people and/or broaden project/programme effectiveness

## ❖ Mandatory requirements



- **Must** be an accredited national implementing entity of the Adaptation Fund and have an accreditation status of "Accredited".
- **Must** submit together with the application form detailing information on the proposed activities, a letter of endorsement by the Designated Authority to the Adaptation fund in support of the scale-up grant application.
- **Must** have identified a potential source, or sources, where the entity could seek funding to scale up the proposed project/programme.

# Submitting the grant application



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## Request for assistance to design and develop scaling-up pathway for Adaptation Fund projects/programmes

The application template should be completed and transmitted to the Adaptation Fund Board Secretariat by email or fax.

The overall goal of project scale-up grants is to increase the readiness of accredited national implementing entities (NIEs) to expand<sup>1</sup> or replicate<sup>2</sup> quality projects that are based on country needs, views and priorities, in order to reach more people and/or broaden project/programme effectiveness to help vulnerable communities in developing countries adapt to the adverse effects of climate change.

Please type in the responses using the template provided. The instructions in the annex to the template provide guidance to filling out the template.

Complete documentation should be sent to:

The Adaptation Fund Board Secretariat  
1818 H Street NW  
MSN N7-700  
Washington, D.C., 20433  
U.S.A  
Fax: +1 (202) 522-3240/5  
Email: afsec@adaptation-fund.org

<sup>1</sup> Expansion in this context refers to extending organizational structures and/or service provision such as geographical expansion or expanding the population reached.

<sup>2</sup> Replication in this context refers to implementing effective or good practices in other settings. Such practices could be new or innovative ones.

### A. PROJECT INFORMATION

Date of receipt:  
Title of Adaptation Fund project/programme to be scaled-up:  
Adaptation Fund Grant ID:  
Country:  
Implementing Entity:  
Execution Entity (if applicable):  
Amount of Financing Requested (in U.S. Dollars Equivalent):

### B. BACKGROUND (Maximum 200 words)

### C. Timeframe of Activity

Expected start date of activity	
Completion date of activity	

### D. Type of support requested

#### D.1. Describe the goal of the proposed scale-up. (Maximum 100 words)

#### D.2. What is the expected output from use of the proposed grant for project scale-up?

#### D.3. Provide a brief description of the project components that will be scaled-up, including a description of the scaling-up approach. (Maximum 200 words)

#### D.4. Provide a brief rationale/justification for the proposed project/programme scale-up and scaling-up strategy, including any supporting evidence. (Maximum 200 words)

- Use **project proposal materials** outlined in the instructions for applying for project scale-up grants including application forms and templates available for download on the AF website
- Submit proposals **twice a year**, for consideration at the respective bi-annual Board meetings (March/October)
- Proposals must be accompanied by **DA endorsement letters**

# Take note! Key elements of the proposal

## BACKGROUND

- Provide context including project status
- Outline results/achievements of project identified for scale-up
- State any non-AF funding that was used in project

## GOAL OF THE PROPOSED SCALE-UP

- explain main objective for wanting to scale-up the project/programme
- provide a clear description of the benefits to vulnerable communities

## EXPECTED OUTPUT

- To be presented to a source of funding outside of AF
- state the source(s) of funding where the output will be submitted, and state engagement already done with potential funders

## IDENTIFY PROJECT COMPONENTS TO BE SCALED-UP

- Explain how these components will be scaled up
- Outline any information from MTE/PPR/PCR that would improve the components

## PROVIDE SCALE-UP RATIONALE/JUSTIFICATION

- Describe how the scaled-up activities will enhance climate resilience further to the current or completed project/programme
- Should be evidence-based including reference to any recommendations from MTE/PCR etc

## Identifying sources of funding

- List potential sources e.g., other financing institutions; agreements under discussion by the NIE
- State any on-going discussions with funders and the outcome from completed discussions



# Budget arrangements



- Submitted budget Must not exceed US\$100,000 per project



- Budget can include an Implementing Entity Management Fee  $\leq 8.5\%$  of the total project or programme budget before the fee



- Budget can include an explanation and a breakdown of the Execution Costs  $\leq 9.5\%$  of the total project/programme budget (including the fee) where relevant

# Contracting by the AFB – The legal agreement



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## PROJECT SCALE-UP GRANT AGREEMENT

(Support for the development of a scale-up plan for the  
[Project]/[Programme] [Project/Programme Title])

In [Country])

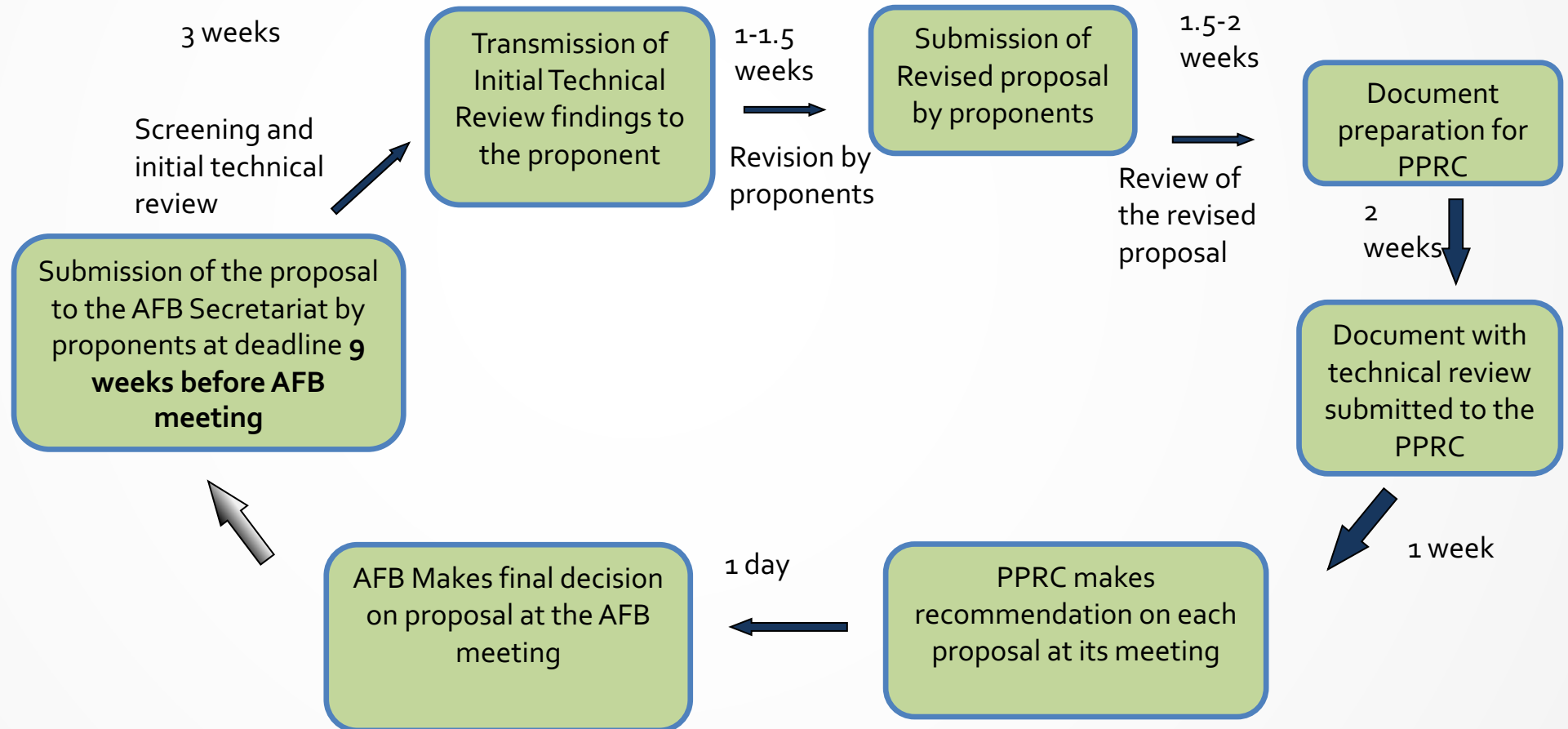
between

THE ADAPTATION FUND BOARD

and

[IMPLEMENTING ENTITY]

# Timeline of the review process





# THANK YOU!



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[www.adaptation-fund.org](http://www.adaptation-fund.org)  
@adaptationfund

