



## ADAPTATION FUND

AFB/PPRC.26.b/5  
5 October 2020

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Adaptation Fund Board  
Project and Programme Review Committee  
Twenty-sixth Meeting  
Bonn, Germany (virtual), 13-14 October 2020

### **PROPOSAL FOR INDONESIA (2)**

## Background

1. The Operational Policies and Guidelines (OPG) for Parties to Access Resources from the Adaptation Fund (the Fund), adopted by the Adaptation Fund Board (the Board), state in paragraph 45 that regular adaptation project and programme proposals, i.e. those that request funding exceeding US\$ 1 million, would undergo either a one-step, or a two-step approval process. In case of the one-step process, the proponent would directly submit a fully-developed project proposal. In the two-step process, the proponent would first submit a brief project concept, which would be reviewed by the Project and Programme Review Committee (PPRC) and would have to receive the endorsement of the Board. In the second step, the fully-developed project/programme document would be reviewed by the PPRC, and would ultimately require the Board's approval.

2. The Templates approved by the Board (Annex 5 of the OPG, as amended in March 2016) do not include a separate template for project and programme concepts but provide that these are to be submitted using the project and programme proposal template. The section on Adaptation Fund Project Review Criteria states:

*For regular projects using the two-step approval process, only the first four criteria will be applied when reviewing the 1st step for regular project concept. In addition, the information provided in the 1st step approval process with respect to the review criteria for the regular project concept could be less detailed than the information in the request for approval template submitted at the 2nd step approval process. Furthermore, a final project document is required for regular projects for the 2nd step approval, in addition to the approval template.*

3. The first four criteria mentioned above are:

- (i) Country Eligibility,
- (ii) Project Eligibility,
- (iii) Resource Availability, and
- (iv) Eligibility of NIE/MIE.

4. The fifth criterion, applied when reviewing a fully-developed project document, is:  
(v) Implementation Arrangements.

5. It is worth noting that at the twenty-second Board meeting, the Environmental and Social Policy (ESP) of the Fund was approved and at the twenty-seventh Board meeting, the Gender Policy (GP) of the Fund was also approved. Consequently, compliance with both the ESP and the GP has been included in the review criteria both for concept documents and fully-developed project documents. The proposal template was revised as well, to include sections requesting demonstration of compliance of the project/programme with the ESP and the GP.

6. At its seventeenth meeting, the Board decided (Decision B.17/7) to approve "Instructions for preparing a request for project or programme funding from the Adaptation Fund", contained in the Annex to document AFB/PPRC.8/4, which further outlines applicable review criteria for both concepts and fully-developed proposals. The latest version of this document was launched in conjunction with the revision of the Operational Policies and Guidelines in November 2013.

7. Based on the Board Decision B.9/2, the first call for project and programme proposals was issued and an invitation letter to eligible Parties to submit project and programme proposals to the Fund was sent out on April 8, 2010.

8. According to the Board Decision B.12/10, a project or programme proposal needs to be received by the secretariat no less than nine weeks before a Board meeting, in order to be considered by the Board in that meeting.

9. The following fully-developed project document titled “Embracing the Sun: Redefining Public Space as Solution for the Effects of Climate Change in Indonesia’s Urban Areas” was submitted for Indonesia by the Partnership for Governance Reform (Kemitraan), which is the National Implementing Entity of the Adaptation Fund.

10. This is the third submission of the proposal using the two-step submission process.

11. It was first submitted in the thirty-fourth meeting and was endorsed by the Board.

12. It was resubmitted in the thirty-fifth meeting as a fully-developed project and the Board decided: to not approve the fully-developed proposal. The proposal was re-submitted during intersessional period between the first and second sessions of the thirty-fifth and the Board decided to:

*(a) Not approve the fully-developed project document, as supplemented by the clarification responses provided by the Partnership for Governance Reform in Indonesia (Kemitraan), to the request made by the technical review;*

*(b) Suggest that Kemitraan reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board’s decision, as well as the following issues:*

*(i) The proposal should further elaborate the linkages and synergies with all the relevant projects, including areas of overlap and complementarity.*

*(c) Request Kemitraan to transmit the observations under subparagraph b) to the Government of Indonesia.*

#### **Decision B.35.a-35.b/48**

13. The current submission was received by the secretariat in time to be considered in the second session of the thirty-fifth meeting of the Board. The secretariat carried out a technical review of the project proposal, assigned it the diary number IDN/NIE/Urban/2019/1 and completed a review sheet.

14. In accordance with a request to the secretariat made by the Board in its 10th meeting, the secretariat shared this review sheet with Kemitraan and offered it the opportunity of providing responses before the review sheet was sent to the PPRC.

15. The secretariat is submitting to the PPRC the summary and, pursuant to decision B.17/15, the final technical review of the project, both prepared by the secretariat, along with the final submission of the proposal in the following section. In accordance with decision B.25.15, the proposal is submitted with changes between the initial submission and the revised version highlighted.



ADAPTATION FUND

## ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: Small-sized Project

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Country/Region: **Indonesia**  
 Project Title: **EMBRACING THE SUN: Redefining Public Space as a Solution for the Effects of Global Climate Change in Indonesia's Urban Areas**  
 Thematic Focal Area: **Urban Development**  
 Implementing Entity: **Partnership for Governance Reform in Indonesia (Kemitraan)**  
 AF Project ID: **IDN/NIE/Urban/2019/1**  
 IE Project ID: \_\_\_\_\_  
 Reviewer and contact person: **Chibulu Luo**  
 IE Contact Person: \_\_\_\_\_

Requested Financing from Adaptation Fund (US Dollars): **824,835**  
 Co-reviewer(s): **Saliha Dobardzic**

<b>Technical Summary</b>	<p>The project “EMBRACING THE SUN: Redefining Public Space as a Solution for the Effects of Global Climate Change in Indonesia's Urban Areas” aims to design climate-resilient urban public spaces as to enable community resilience in Samarinda City, Indonesia. This will be done through the four components below:</p> <p><u>Project/Programme Background and Context:</u></p> <ul style="list-style-type: none"> <li>▪ <b>Component 1:</b> Research and Development on citywide adaptation to climate change through public spaces (USD 109,207).</li> <li>▪ <b>Component 2:</b> Awareness raising and local resilience strengthening through the design and implementation of a new public space typology (USD 459,682).</li> <li>▪ <b>Component 3:</b> Capacity building, knowledge management and communication (USD 81,000).</li> <li>▪ <b>Component 4:</b> Monitoring (USD 38,288).</li> </ul> <p><u>Requested financing overview:</u></p> <p>Project/Programme Execution Cost: USD 72,221        Total Project/Programme Cost: USD 687,996        Implementing Fee: USD 64,618        Financing Requested: USD 824,835</p>
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	<p>The initial technical review raised many issues, such as the need to further elaborate climate rationale and planned activities, with clear connection to adaptation, presenting a specific set of interventions for the concrete adaptation/infrastructure measures for the public space, improving on important elements of the proposal template including the results framework, sustainability aspects and stakeholder consultations, presenting a clear and complete budget and ensuring that fees are aligned with AF requirements, addressing discrepancies in the project component table and the disbursement schedule, providing a comprehensive gender assessment and demonstrating how such an assessment will inform overall project design and implementation arrangements, as is discussed in the number of Clarification Requests (CRs) raised in the review.</p> <p>The final review finds that the proposal has not addressed several of the CR requests. Namely, more justification on flood resilience benefits needs to be demonstrated in the proposal and critical aspects such as the gender assessment and project sustainability need to be included.</p>
Date:	September 21 <sup>st</sup> 2020

Review Criteria	Questions	Comments	Comments on September 21
Country Eligibility	1. Is the country party to the Kyoto Protocol?	<b>Yes.</b>	-
	2. Is the country a developing country particularly vulnerable to the adverse effects of climate change?	<b>Yes.</b> Indonesia is highly vulnerable to the adverse effects of climate change – most notably, rising sea levels, increasing mean temperatures, changing rainfall patterns, and the increased frequency and magnitude of extreme weather events. Climate risks have already resulted in substantial loss of life, economic losses and infrastructural damages.	-
Project Eligibility	1. Has the designated government authority for the	<b>Yes.</b> As per the Endorsement letter dated 16 January 2020.	-

	Adaptation Fund endorsed the project/programme?		
	2. Does the length of the proposal amount to no more than Fifty pages for the project/programme concept, including its annexes; or One hundred pages for the fully-developed project document, and one hundred pages for its annexes?	<b>Yes.</b>	-
	3. Does the project / programme support concrete adaptation actions to assist the country in addressing adaptive capacity to the adverse effects of climate change and build in climate resilience?	<p><b>Not entirely.</b> The project is largely theoretical, focusing on public space as the mechanism of building resilience in Samarinda city. The proposed public space aims to provide a diverse set of adaptation benefits to communities, including flood/drought protection, access to clean water, and reliable energy sources, among other interventions.</p> <p><b>CR1:</b> Please further elaborate and provide more details on the climate rationale and planned activities and highlighting clearly the connection to adaptation.</p> <p><b>CR2:</b> The project presents a very high number of interventions under component 1, which leads to the risk of the project being ineffective in the long-run. In addition, the project seems too ambitious in its scope, compared to the requested AF</p>	<p><b>CR1 and CR2: Partially addressed,</b> as per information provided in pages 14-17 and 38-50.</p> <p>The proposal has clarified aspects of how the public space will enable flood resilience (page 44) and highlighted that critical design aspects will be considered following a detailed literature review of best practice case studies, policies, and technologies under Component 1.</p> <p>The project envisions that a “palette of technologies and technological solutions” will be developed “to enhance flood water management and establish a cohesive network of interventions to manage floods”. Adaptation benefits will be enabled through a “multi-level” structure that</p>

		<p>funds. Please consider restructuring of Components 1 and 2 to focus on a fewer set of the most impactful interventions for the public space. We suggest to focus on delivering benefits ideally within one sector e.g., flood risk management, waste management or energy production, or any of the other sectors highlighted under Component 1, rather than spreading the financing too thinly across too many different themes.</p> <p>Please also explicitly state the interventions that will be used in the project, and provide examples of the technologies to be used (page 34). You may consider focusing on the ones that provide more leverage on the ground.</p> <p><b>CR3:</b> Some of the capacity building activities under Component 3 have duplication with the awareness raising activities under Component 1.</p> <p>Upon restructuring the project proposal as per CR2 above, please consider having focused and targeted awareness raising and capacity building that are complementary and avoid duplication. The suggested focus on one sector in CR2 above, should support more targeted awareness raising and capacity building.</p>	<p>will accommodate communities over one- or two-days during flood events. The project team refers to “Nishiki Tower” in Japan as an example design idea.</p> <p>However, despite these efforts, the envisioned public space is still general and wide-ranging. For example, the resubmission still references other infrastructures (described as “ancillary items”, page 47) such as energy infrastructure/solar panels that may not have direct resilience benefits to communities.</p> <p><b>CR1:</b> Please clarify if (1) the public space, through its design and construction, <i>is</i> the proposed flood resilience measure. Please also specify which <i>design</i> aspects of “Nishiki” and “MFO-Park” (shown as example designs) would be relevant in the context of Samarinda. We understand that more detailed reviews will be made under Component 1, however, some explicit descriptions of design aspects are necessary during this stage to enable evaluating the proposed adaptation measures.</p> <p><b>CR2:</b> Please consider restructuring of Components 1 to focus on a fewer set of the most impactful interventions for the public space. Please clarify the need for the proposed “ancillary”</p>
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			<p>measures given the limited small project funds. We recommend that the project team outline in the project component table the percentage of funds allocated to the design/construction of the space (CR1) and the percentage allocated for these ancillary measures. To ensure a more effective use of funds, a focus on a few targeted interventions is recommended.</p> <p><b>CR3: Addressed</b>, as per the information provided in pages 74-76.</p> <p>The proposal specifies that awareness raising under Component 2 will be targeted towards communities engaging in the design and building of the public space. Component 3 will have broader focus on community awareness raising and climate change education.</p>
	<p>4. Does the project / programme provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative</p>	<p><b>Not entirely.</b> The current section on economic, social and environmental benefits reads rather generally and is not based on any specific interventions, i.e., as already mentioned, it is not clear what types of hard/soft infrastructure interventions will be prioritized. The project is very ambitious in its approach, involving interventions in several sectors/interventions i.e., water-management, energy production, food production, and waste-management, among others. Each of</p>	<p><b>CR 4 and CR5: Not addressed.</b></p> <p>The resubmission has not provided sufficient justification on social and economic benefits, and especially benefits to vulnerable groups. Section B needs to be substantially improved. Several elements in the Table on page 78, for example, are not populated, and there is no supporting text to justify inputs. In their response, the</p>



	impacts, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	<p>these sectors could have their own design elements and potential economic, social and environmental benefits for communities and vulnerable/marginalized groups.</p> <p><b>CR4:</b> Please consider a more focused approach as stated in CR2 above. In addition, please provide specific information and estimations of the intended social and economic benefits of the selected concrete interventions.</p> <p><b>CR5:</b> Please provide more information on how marginalized and vulnerable groups will benefit from the proposed adaptation interventions once you address CR2.</p>	<p>project team noted that additional documentation will be provided to the AF to address these CRs, however nothing has been provided.</p> <p><b>CR3:</b> Please provide specific information and estimations of the intended social and economic benefits of the selected concrete interventions.</p> <p><b>CR4:</b> Please provide more information on how marginalized and vulnerable groups will benefit from the proposed adaptation interventions once you address CR2.</p>
	5. Is the project / programme cost effective?	<p><b>No.</b> The proposal currently presents no information in the section C. Cost-effectiveness of the project/programme.</p> <p><b>CR6:</b> Once previous CRs are addressed (especially CR2), please ensure providing elements with regards to the cost-effectiveness of the selected adaptation interventions. Please ensure that there is a good presentation of elements that justify the effectiveness of the chosen measures as well as information on the cost-effectiveness of the selected options compared with other measures. Also please ensure that the section C is filled out (at this stage of the project, all sections of the project template should be completed).</p>	<p><b>CR6: Not addressed.</b></p> <p>In the response sheet, the IE noted that additional documentation will be provided to the AF to address this CR, however nothing has been provided.</p> <p><b>CR5:</b> Please provide justification of the cost-effectiveness of the selected adaptation interventions. Please ensure that there is a good presentation of elements that justify the effectiveness of the chosen measures as well as information on the cost-effectiveness of the selected options compared with other measures. Also please ensure that the section C is filled out (at this stage of</p>

			the project, all sections of the project template should be completed).
	6. Is the project / programme consistent with national or sub-national sustainable development strategies, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of action and other relevant instruments?	<b>Yes.</b> The document lists a number of plans/projects that are consistent with the project, including Indonesia's National Action Plan for Climate Change and the NDC.	-
	7. Does the project / programme meet the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund??	<p><b>Not entirely.</b> The project document currently specifies that the project will comply with generic laws and standard mainly related to DRR and urban planning. However adaptation interventions may require following other specific standards of other sectors.</p> <p><b>CR7:</b> Upon identification of specific projects/interventions (as already noted in the above CRs), please clarify how the project is consistent with any national/technical standards related to specific sectors/areas identified.</p>	<p><b>CR7: Not addressed.</b></p> <p>No response was provided by the IE to address this CR. Section E on compliance with technical standards simply lists a number of standards e.g., "Law 24" on "Disaster Management" but does not provide any follow-up details. More details are needed on each of these standards and how the project aligns with them.</p> <p><b>CR6:</b> Please clarify how the project is consistent with any national/technical standards related to specific sectors/areas identified. Please also highlight any standards related to flood</p>

			resilience, given the project focus on this area.
	8. Is there duplication of project / programme with other funding sources?	<p><b>No.</b> Although the project doesn't seem to be duplicating efforts with other funding sources the project can benefit from lessons learned and building synergies with projects mentioned in section F.</p> <p><b>CR8:</b> Please clarify how the project will build on lessons learned and results of other urban sector projects funded by other donors at the national or city level.</p>	<p><b>CR8: Addressed</b>, as per information provided in pages 82 and 83.</p> <p>The proposal provides some further information on integrating lessons learned from other projects on urban areas in section F.</p>
	9. Does the project / programme have a learning and knowledge management component to capture and feedback lessons?	<b>Yes.</b> The project had a learning and knowledge management component under Component 3.	
	10. Has a consultative process taken place, and has it involved all key stakeholders, and vulnerable groups, including gender considerations in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	<p>Details on stakeholder consultations have been provided. Accounting for challenges experienced due to the COVID-19 pandemic, the project team set-up a number of consultations with government and community members between April and August 2020, (including a virtual meeting with the City Government of Samarinda in July).</p> <p><b>CR9:</b> Please provide the highlights of the main recommendations from these meetings, and provide summaries of meeting outputs, minutes, and an</p>	<p><b>CR9: Addressed</b>, as per information provided in pages 84-89 and in Annex C.</p> <p>The proposal provides more information on the meetings and consultations that were undertaken.</p> <p><b>CR10: Not addressed.</b></p> <p>The proposal does not include changes addressing this CR. The IE did not provide a</p>

		<p>outline of next steps/action items that stemmed from these meetings (including the engagements with local youth members, women and vulnerable groups that are mentioned in the proposal), as an appendix.</p> <p><b>CR10:</b> Considering the comments provided in CR2 above, the suggested refocusing would require additional consultations with stakeholders necessary to identify the specific activities to be implemented during the inception phase of the project. Please ensure to also include plans for these consultations and details on how you would integrate outputs from these consultations in the design and implementation of the sector-based interventions.</p>	<p>response for this CR in their comments.</p> <p><b>CR7:</b> Please ensure to include plans for the consultations to refocus component 1 and details on how you would integrate outputs from these consultations in the design and implementation of the sector-based interventions.</p>
	11. Is the requested financing justified on the basis of full cost of adaptation reasoning?	<p><b>No.</b> Full cost of adaptation reasoning cannot be assessed until the above-mentioned CRs (1 to 10) are addressed.</p> <p><b>CR11:</b> Please ensure addressing all CRs above and update the justification of funding consequently.</p>	<b>CR 11: Not addressed.</b>
	12. Is the project / program aligned with AF's results framework?	<b>Unclear.</b> Alignment with the AF's results framework cannot be assessed until the above-mentioned CRs (1 to 6) are addressed.	<b>Not addressed.</b>
	13. Has the sustainability of the project/programme outcomes been taken into account	<b>No.</b> Currently the project is too ambitious with regard to the requested funds and has a high risk of being ineffective. In addition, it is not clear what plans are being made (societal or	<p><b>CR12: Somewhat addressed,</b> as per information provided in pages 94-95.</p> <p>Some justification on sustainability has been stated in the resubmission -</p>

	when designing the project?	<p>financial) to ensure the sustainability of the project beyond the AF resources.</p> <p>The sustainability of the project cannot be fully evaluated until other CRs have been effectively addressed, in particular CR2.</p> <p><b>CR12:</b> Please provide further clarifications on how will the project ensure that the city government will incorporate activities within the city budget? What processes of be put in place to ensure this?</p>	<p>Section J. However, the sustainability of the project cannot truly be evaluated until CR1 and CR2 are addressed.</p> <p><b>CR8:</b> Please address CR1 and CR2, and update this section accordingly.</p>
	14. Does the project / programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	<p><b>Not entirely.</b> Table 10 presents an overview of the risks and mitigation measures for each of the risks identified. However, it is also not clear how initial consultations have been considered in the overall project design. In addition, the gender assessment provided in the updated document is not sufficient based AF requirements.</p> <p><b>CR13:</b> Please describe how initial stakeholder consultations have been considered in the overall project design, particularly with regards to risks related to marginalised and vulnerable groups, and indigenous people.</p> <p><b>CAR1:</b> Please review the AF Gender Policy Guidance Document: <a href="https://www.adaptation-fund.org/wp-content/uploads/2017/03/GenderGuidance-Documents.pdf">https://www.adaptation-fund.org/wp-content/uploads/2017/03/GenderGuidance-Documents.pdf</a> and revise the Gender Assessment Section of the proposal based on guidance outlined in the AF Gender</p>	<p><b>CR13: Not addressed.</b> The proposal does not provide information on how initial consultations have been considered and how they address risks to marginalized, and vulnerable groups and indigenous people.</p> <p><b>CR9:</b> Please describe how initial stakeholder consultations has been conducted with marginalised and vulnerable groups, and indigenous people.</p> <p><b>CAR1: Not Addressed.</b> No gender assessment was included. In their comments, the IE noted that a gender assessment has not been completed due to COVID-19 related constraints.</p>

		<p>Policy and ensure it contains the following points:</p> <ul style="list-style-type: none"> <li>○ Ensure that the gender assessment provides both qualitative and quantitative data for gender roles, activities, needs and available opportunities, challenges or risks for men and women relevant to the project.</li> <li>○ A strategy for gender-responsive implementation and monitoring arrangements within project planning and design, including gender responsive indicators.</li> <li>○ Gender responsive indicators are included in the results framework, where relevant.</li> <li>○ Grievances and complaints related to equality and women's empowerment should also be noted in grievance mechanisms.</li> </ul>	<p><b>CAR1:</b> Please revise the Gender Assessment Section of the proposal based on guidance outlined in the AF Gender Policy. In light of the challenges posed by the pandemic, alternative ways of gathering the required information may be considered (for example, through socially distant or virtual consultations.)</p>
Resource Availability	1. Is the requested project / programme funding within the cap of the country?	<b>Yes.</b>	
	2. Is the Implementing Entity Management Fee at or below 8.5 per cent of the total project/programme budget before the fee?	<p><b>No.</b> The project component and financing table does not include Implementing Fees (up to 8.5 per cent of the total project/programme budget before the fee). However we note that according to the disbursement schedule (page 95), an IE fee of \$64,274.40 is stated.</p>	<p><b>CAR2 and CAR3: Largely addressed.</b></p> <p>CAR2 and CAR3 have been addressed except for a \$1 discrepancy in the component total.</p>

		<p>This stated amount is also <b>higher</b> than the 8.5% cap (i.e., 64,274.4 / 710,000 = 9.1%)</p> <p>We also note some discrepancies in the budget table (pages 48/49) with the disbursement schedule. The sum of \$820,443.83 is presented as the total project cost in the disbursement schedule (page 95), but not in Table 9 (page 49) or the project information page.</p> <p><b>CAR2:</b> Please provide an IE Management Fee and update the budget and Project component and financing table carefully and ensure inclusion of all required information, and address the discrepancy between disbursement schedule figures and project component and financing table (Table 9).</p> <p><b>CAR3:</b> Please ensure to present figures rounded to the nearest dollar (i.e. please no decimals or cents.)</p>	<b>CAR2:</b> Please fix the discrepancy accordingly (i.e., in the disbursement schedule and project component table).
	3. Are the Project/Programme Execution Costs at or below 9.5 per cent of the total project/programme budget (including the fee)?	<p><b>No.</b> The Execution Costs are above the cap.</p> <p><b>CAR4:</b> Please revise the Execution Costs and update the Project component and financing table accordingly. There is also a large discrepancy in execution cost reflected in Table 9 and the disbursement schedule (page 95).</p>	<b>CAR4: Addressed.</b>
Eligibility of IE	4. Is the project/programme submitted through an eligible	<b>Yes.</b>	

	Implementing Entity that has been accredited by the Board?		
Implementation Arrangements	1. Is there adequate arrangement for project / programme management, in compliance with the Gender Policy of the Fund?	<p><b>Not clear.</b> Given the comments above in CAR1 related to gender considerations, it is not clear whether additional partnerships or collaborations with relevant civil society organizations or women's groups should be sought for the implementation of specific components and/or outputs.</p> <p><b>CR14:</b> Please address CAR1 above and further update implementation arrangements, identifying relevant stakeholder partners, in compliance with the Gender Policy of the Fund.</p>	<p><b>CR14: Not addressed.</b> The project team has noted that a gender assessment has not been completed due to COVID-19 related constraints.</p> <p><b>CR10:</b> Please update implementation arrangements, taking into account results of the gender assessment and identifying relevant stakeholder partners, in compliance with the Gender Policy of the Fund.</p>
	2. Are there measures for financial and project/programme risk management?	<b>Yes.</b>	
	3. Are there measures in place for the management of for environmental and social risks, in line with the Environmental and Social Policy and Gender Policy of the Fund?	<b>Yes.</b> these are identified in Table 10	
	4. Is a budget on the Implementing Entity Management Fee use included?	<b>No.</b> IE Management Fee is not stated in the Project Component and financing table in page 49. No detail is provided on the use of the Implementing Entity Management Fee.	<b>CAR5: Addressed.</b>



		<b>CAR5:</b> Please update the Project Component and financing table, as noted in CAR2. Please also provide a detailed budget breakdown of the Implementing Entity Management Fee.	
	5. Is an explanation and a breakdown of the execution costs included?	<p><b>No.</b> There is no detailed budget provided in this submission. The project document refers to Annex B, but the annex has not been added, and the project document contains no details on the breakdown of the execution costs.</p> <p><b>CAR 6:</b> Please provide a detailed budget (updated once the above-mentioned CARs and CRs are addressed) including details on the breakdown of the execution costs.</p>	<b>CAR6: Addressed</b> , as per the information provided in the Annex B (Excel File).
	6. Is a detailed budget including budget notes included?	<p><b>No.</b> There is no detailed budget provided in this submission. The project document refers to Annex B, but the annex has not been added.</p> <p><b>CAR 6:</b> (Same as above) Please include a detailed budget providing budget notes alongside the detailed budget.</p>	<b>CAR6 (same as above): Addressed.</b>
	7. Are arrangements for monitoring and evaluation clearly defined, including budgeted M&E plans and sex-disaggregated data, targets and indicators, in	<p><b>No.</b> This is not clear, especially in the absence of a detailed gender assessment.</p> <p><b>CR15:</b> Please provide a complete M&amp;E plan, including sex-disaggregated data, targets and indicators (based on the a complete gender assessment as per CAR1), indicators for component 1, as well as specify the</p>	<p><b>CR15: Not Addressed.</b></p> <p>The M&amp;E plan does not include indicators for component 1 and does not include sex-disaggregated data, targets and indicators.</p> <p><b>CR11:</b> Please provide a complete M&amp;E plan, including sex-</p>

	compliance with the Gender Policy of the Fund?	arrangements to be used for monitoring and evaluation.	disaggregated data, targets and indicators (based on the a complete gender assessment as per CAR1), indicators for component 1, as well as specify the arrangements to be used for monitoring and evaluation.
	8. Does the M&E Framework include a break-down of how implementing entity IE fees will be utilized in the supervision of the M&E function?	<b>No.</b>  <b>CAR 7:</b> Please provide a complete M&E Framework with a break-down of IE fee.	<b>CAR7: Not Addressed.</b>  <b>CAR3:</b> Please provide a complete M&E Framework with a break-down of IE fee.
	9. Does the project/programme's results framework align with the AF's results framework? Does it include at least one core outcome indicator from the Fund's results framework?	<b>Yes.</b>  The proposal shows alignment with the AF's results framework in Section F page 93.	
	10. Is a disbursement schedule with time-bound milestones included?	A disbursement schedule is included but without time-bound milestones. In addition, we note several discrepancies between the disbursement schedule and the project component table, as noted in above CARs/CRs.  <b>CAR 8:</b> Please address above CARs related to budget. In addition, please update the disbursement schedule taking into account the following points:	<b>CAR8: Largely Addressed.</b>  Please refer to <b>CAR 2</b> above and please fix the 1\$ discrepancy in the project total.

		<ul style="list-style-type: none"> <li>○ The breakdown of IE fee is required for 1st and 2nd tranches in the disbursement schedule.</li> <li>○ Please do not use decimal points in the financials, namely the disbursement table. Please round to the nearest whole number, similarly to the comment in <b>CAR 3</b>.</li> <li>○ The totals of project funds, execution cost and the grand total are inconsistent with the main budget table and project component table in updated proposal (Table 9).</li> </ul>	
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ADAPTATION FUND

## ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: Small-sized Project

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Country/Region: **Indonesia**  
Project Title: **EMBRACING THE SUN: Redefining Public Space as a Solution for the Effects of Global Climate Change in Indonesia's Urban Areas**  
Thematic Focal Area: **Urban Development**  
Implementing Entity: **Partnership for Governance Reform in Indonesia (Kemitraan)**

AF Project ID: **IDN/NIE/Urban/2019/1**

IE Project ID:

Reviewer and contact person: **Chibulu Luo**

IE Contact Person:

Requested Financing from Adaptation Fund (US Dollars): **710,000**Co-reviewer(s): **Saliha Dobardzic**

<b>Technical Summary</b>	<p>The project “EMBRACING THE SUN: Redefining Public Space as a Solution for the Effects of Global Climate Change in Indonesia's Urban Areas” aims to design climate-resilient urban public spaces as to enable community resilience in Samarinda City, Indonesia. This will be done through the four components below:</p> <p><u>Project/Programme Background and Context:</u></p> <ul style="list-style-type: none"> <li>▪ <b>Component 1:</b> Research and Development on citywide adaptation to climate change through public spaces (USD 75,000).</li> <li>▪ <b>Component 2:</b> Awareness raising and local resilience strengthening through the design and implementation of a new public space typology (USD 450,000).</li> <li>▪ <b>Component 3:</b> Capacity building, knowledge management and communication (USD 75,000).</li> <li>▪ <b>Component 4:</b> Monitoring (USD 25,000).</li> </ul> <p><u>Requested financing overview:</u></p> <p>Project/Programme Execution Cost: USD 85,000  Total Project/Programme Cost: USD 710,000  Implementing Fee: Not provided  Financing Requested: USD 710,000</p> <p>The initial technical review raises many issues, such as the need to further elaborate climate rationale and planned activities, with clear connection to adaptation, presenting a specific set of interventions for the concrete adaptation/infrastructure measures for the public space, improving on important elements of the proposal template including the results framework, sustainability aspects and stakeholder consultations, presenting a clear and complete budget and ensuring that fees are aligned with AF requirements, addressing discrepancies in the project component table and the disbursement schedule, providing a comprehensive gender assessment and demonstrating how such an assessment will inform overall project design and implementation arrangements, as is discussed in the number of Clarification Requests (CRs) raised in the review.</p>
<b>Date:</b>	August 27 <sup>th</sup> , 2020

Review Criteria	Questions	Comments	Team Response
Country Eligibility	3. Is the country party to the Kyoto Protocol?	<b>Yes.</b>	-
	4. Is the country a developing country particularly vulnerable to the adverse effects of climate change?	<b>Yes.</b> Indonesia is highly vulnerable to the adverse effects of climate change – most notably, rising sea levels, increasing mean temperatures, changing rainfall patterns, and the increased frequency and magnitude of extreme weather events. Climate risks have already resulted in substantial loss of life, economic losses and infrastructural damages.	-
Project Eligibility	15. Has the designated government authority for the Adaptation Fund endorsed the project/programme?	<b>Yes.</b> As per the Endorsement letter dated 16 January 2020.	-
	16. Does the length of the proposal amount to no more than Fifty pages for the project/programme concept, including its annexes; or One hundred pages for the fully-developed project document, and one hundred pages for its annexes?	<b>Yes.</b>	-

	<p>17. Does the project / programme support concrete adaptation actions to assist the country in addressing adaptive capacity to the adverse effects of climate change and build in climate resilience?</p>	<p><b>Not entirely.</b> The project is largely theoretical, focusing on public space as the mechanism of building resilience in Samarinda city. The proposed public space aims to provide a diverse set of adaptation benefits to communities, including flood/drought protection, access to clean water, and reliable energy sources, among other interventions.</p> <p><b>CR1:</b> Please further elaborate and provide more details on the climate rationale and planned activities, and highlighting clearly the connection to adaptation.</p> <p><b>CR2:</b> The project presents a very high number of interventions under component 1, which leads to the risk of the project being ineffective in the long-run. In addition, the project seems too ambitious in its scope, compared to the requested AF funds. Please consider restructuring of Components 1 and 2 to focus on a fewer set of the most impactful interventions for the public space. We suggest to focus on delivering benefits ideally within one sector e.g., flood risk management, waste management or energy production, or any of the other sectors highlighted under Component 1, rather than spreading the financing too thinly across too many different themes.</p> <p>Please also explicitly state the interventions that will be used in the project, and provide examples of the technologies to be used (page 34). You may consider focusing on the ones that provide more leverage on the ground.</p> <p><b>CR3:</b> Some of the capacity building activities under Component 3 have duplication with the awareness raising activities under Component 1. Upon restructuring the project proposal as per CR2 above, please consider having focused and targeted awareness</p>	<p><b>CR1 &amp; CR2:</b></p> <p><i>Team already revise the proposal body regarding CR1 &amp; CR2, please take a look at the page 29-46</i></p> <p><b>CR3</b></p> <p><i>Basically they are different. Component 1 focuses on the development of a new typology of public space. Current best practice case studies, literature, policies, technologies, and tactics that will be reviewed and evaluate their feasibility for the Indonesian context, their accessibility, cost-effectiveness, and their overall potential impact in mitigating climate change hazards and causes. This phase will help the team to develop new approach regarding project preparation. Otherwise, raising awareness on component 2 focuses on the engagement of local stakeholders with the project while component 3 focuses on improving citizens capacity in terms of climate change adaptation after the development and implementation of the project.</i></p>
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Review Criteria	Questions	Comments	Team Response
		raising and capacity building that are complementary and avoid duplication. The suggested focus on one sector in CR2 above, should support more targeted awareness raising and capacity building.	<i>Please take a look again at the page 29-46</i>
	18. Does the project / programme provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	<p><b>Not entirely.</b> The current section on economic, social and environmental benefits reads rather generally and is not based on any specific interventions, i.e., as already mentioned, it is not clear what types of hard/soft infrastructure interventions will be prioritized. The project is very ambitious in its approach, involving interventions in several sectors/interventions i.e., water-management, energy production, food production, and waste-management, among others. Each of these sectors could have their own design elements and potential economic, social and environmental benefits for communities and vulnerable/marginalized groups.</p> <p><b>CR4:</b> Please consider a more focused approach as stated in CR2 above. In addition, please provide specific information and estimations of the intended social and economic benefits of the selected concrete interventions.</p> <p><b>CR5:</b> Please provide more information on how marginalized and vulnerable groups will benefit from the proposed adaptation interventions once you address CR2.</p>	<i>CR4 &amp; CR5 Regarding this question, the ESMP assessment has not finished yet and we will submit it as soon as possible</i>

Review Criteria	Questions	Comments	Team Response
	19. Is the project / programme cost effective?	<p><b>No.</b> The proposal currently presents no information in the section C. Cost-effectiveness of the project/programme.</p> <p><b>CR6:</b> Once previous CRs are addressed (especially CR2), please ensure providing elements with regards to the cost-effectiveness of the selected adaptation interventions. Please ensure that there is a good presentation of elements that justify the effectiveness of the chosen measures as well as information on the cost-effectiveness of the selected options compared with other measures. Also please ensure that the section C is filled out (at this stage of the project, all sections of the project template should be completed).</p>	<p><i>CR6</i>  <i>Similar to the CR4 &amp; CR5, the ESMP assessment has not finished yet so the cost-effectiveness of the project also still in progress and will be submitted as soon as possible</i></p>
	20. Is the project / programme consistent with national or sub-national sustainable development strategies, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of action and other relevant instruments?	<p><b>Yes.</b> The document lists a number of plans/projects that are consistent with the project, including Indonesia's National Action Plan for Climate Change and the NDC.</p>	



Review Criteria	Questions	Comments	Team Response
	21. Does the project / programme meet the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund??	<p><b>Not entirely.</b> The project document currently specifies that the project will comply with generic laws and standard mainly related to DRR and urban planning. However adaptation interventions may require following other specific standards of other sectors.</p> <p><b>CR7:</b> Upon identification of specific projects/interventions (as already noted in the above CRs), please clarify how the project is consistent with any national/technical standards related to specific sectors/areas identified.</p>	-
	22. Is there duplication of project / programme with other funding sources?	<p><b>No.</b> Although the project doesn't seem to be duplicating efforts with other funding sources the project can benefit from lessons learned and building synergies with projects mentioned in section F.</p> <p><b>CR8:</b> Please clarify how the project will build on lessons learned and results of other urban sector projects funded by other donors at the national or city level.</p>	<p><i>CR8</i>  <i>Section G related to the lessons learned on the proposal has been revised, please take a look at the page 64-65.</i></p>
	23. Does the project / programme have a learning and knowledge management component to capture and feedback lessons?	<p><b>Yes.</b> The project had a learning and knowledge management component under Component 3.</p>	-

Review Criteria	Questions	Comments	Team Response
	24. Has a consultative process taken place, and has it involved all key stakeholders, and vulnerable groups, including gender considerations in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	<p>Details on stakeholder consultations have been provided. Accounting for challenges experienced due to the COVID-19 pandemic, the project team set-up a number of consultations with government and community members between April and August 2020, (including a virtual meeting with the City Government of Samarinda in July).</p> <p><b>CR9:</b> Please provide the highlights of the main recommendations from these meetings, and provide summaries of meeting outputs, minutes, and an outline of next steps/action items that stemmed from these meetings (including the engagements with local youth members, women and vulnerable groups that are mentioned in the proposal), as an appendix.</p> <p><b>CR10:</b> Considering the comments provided in CR2 above, the suggested refocusing would require additional consultations with stakeholders necessary to identify the specific activities to be implemented during the inception phase of the project. Please ensure to also include plans for these consultations and details on how you would integrate outputs from these consultations in the design and implementation of the sector-based interventions.</p>	<p><i>CR9</i>  <i>Section H related to the consultative process has been revised, please take a look at the 65-70.</i>  <i>The detail of each meeting also already attached as an appendix (Annex C)</i></p>
	25. Is the requested financing justified on the basis of full cost of adaptation reasoning?	<p><b>No.</b> Full cost of adaptation reasoning cannot be assessed until the above-mentioned CRs (1 to 10) are addressed.</p> <p><b>CR11:</b> Please ensure addressing all CRs above and update the justification of funding consequently.</p>	<p><i>C11</i>  <i>Regarding this question, it will be revised after CR1 to CR10 completely addressed and will submit it as soon as possible</i></p>
	26. Is the project / program aligned	<p><b>Unclear.</b> Alignment with the AF's results framework cannot be assessed until the above-mentioned CRs (1 to 6) are addressed.</p>	<p><i>Idem</i></p>

Review Criteria	Questions	Comments	Team Response
	with AF's results framework?		
	27. Has the sustainability of the project/programme outcomes been taken into account when designing the project?	<p><b>No.</b> Currently the project is too ambitious with regard to the requested funds and has a high risk of being ineffective. In addition, it is not clear what plans are being made (societal or financial) to ensure the sustainability of the project beyond the AF resources.</p> <p>The sustainability of the project cannot be fully evaluated until other CRs have been effectively addressed, in particular CR2.</p> <p><b>CR12:</b> Please provide further clarifications on how will the project ensure that the city government will incorporate activities within the city budget? What processes of be put in place to ensure this?</p>	<p><i>CR12</i></p> <p><i>CR1 &amp; CR2 are addressed, the team also already reconstruct and focusing on the flood risk management system. Regarding the development beyond AF Fund please take a look at the section J page 71-72</i></p>
	28. Does the project / programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	<p><b>Not entirely.</b> Table 10 presents an overview of the risks and mitigation measures for each of the risks identified. However, it is also not clear how initial consultations have been considered in the overall project design. In addition, the gender assessment provided in the updated document is not sufficient based AF requirements.</p> <p><b>CR13:</b> Please describe how initial stakeholder consultations have been considered in the overall project design, particularly with regards to risks related to marginalised and vulnerable groups, and indigenous people.</p> <p><b>CAR1:</b> Please review the AF Gender Policy Guidance Document: <a href="https://www.adaptation-fund.org/wp-content/uploads/2017/03/GenderGuidance-Document.pdf">https://www.adaptation-fund.org/wp-content/uploads/2017/03/GenderGuidance-Document.pdf</a> and revise the Gender Assessment</p>	<p><i>CR13, Section H already revised please take a look at page 65-70.</i></p> <p><i>Regarding data and communication limitation due to Covid-19 the gender assessment will be updated, revised, and submitted as soon as possible.</i></p>

Review Criteria	Questions	Comments	Team Response
		<p>Section of the proposal based on guidance outlined in the AF Gender Policy and ensure it contains the following points:</p> <ul style="list-style-type: none"> <li>○ Ensure that the gender assessment provides both qualitative and quantitative data for gender roles, activities, needs and available opportunities, challenges or risks for men and women relevant to the project.</li> <li>○ A strategy for gender-responsive implementation and monitoring arrangements within project planning and design, including gender responsive indicators.</li> <li>○ Gender responsive indicators are included in the results framework, where relevant.</li> <li>○ Grievances and complaints related to equality and women's empowerment should also be noted in grievance mechanisms.</li> </ul>	
Resource Availability	5. Is the requested project / programme funding within the cap of the country?	<b>Yes.</b>	-
	6. Is the Implementing Entity Management Fee at or below 8.5 per cent of the total project/programme budget before the fee?	<p><b>No.</b> The project component and financing table does not include Implementing Fees (up to 8.5 per cent of the total project/programme budget before the fee). However we note that according to the disbursement schedule (page 95), an IE fee of \$64,274.40 is stated.</p> <p>This stated amount is also <b>higher</b> than the 8.5% cap (i.e., <math>64,274.4 / 710,000 = 9.1\%</math>)</p> <p>We also note some discrepancies in the budget table (pages 48/49) with the disbursement schedule. The sum of</p>	<i>The Budget plan has been revised, please take a look at the budget detail.</i>

Review Criteria	Questions	Comments	Team Response
		<p>\$820,443.83 is presented as the total project cost in the disbursement schedule (page 95), but not in Table 9 (page 49) or the project information page.</p> <p><b>CAR2:</b> Please provide an IE Management Fee and update the budget and Project component and financing table carefully and ensure inclusion of all required information, and address the discrepancy between disbursement schedule figures and project component and financing table (Table 9).</p> <p><b>CAR3:</b> Please ensure to present figures rounded to the nearest dollar (i.e. please no decimals or cents.)</p>	
	7. Are the Project/Programme Execution Costs at or below 9.5 per cent of the total project/programme budget (including the fee)?	<p><b>No.</b> The Execution Costs are above the cap.</p> <p><b>CAR4:</b> Please revise the Execution Costs and update the Project component and financing table accordingly. There is also a large discrepancy in execution cost reflected in Table 9 and the disbursement schedule (page 95).</p>	<i>idem</i>
Eligibility of IE	8. Is the project/programme submitted through an eligible Implementing Entity that has been accredited by the Board?	<b>Yes.</b>	

Review Criteria	Questions	Comments	Team Response
Implementation Arrangements	11. Is there adequate arrangement for project / programme management, in compliance with the Gender Policy of the Fund?	<p><b>Not clear.</b> Given the comments above in CAR1 related to gender considerations, it is not clear whether additional partnerships or collaborations with relevant civil society organizations or women's groups should be sought for the implementation of specific components and/or outputs.</p> <p><b>CR14:</b> Please address CAR1 above and further update implementation arrangements, identifying relevant stakeholder partners, in compliance with the Gender Policy of the Fund.</p>	<i>Regarding data and communication limitation due to Covid-19 the gender assessment will be updated, revised, and submitted as soon as possible.</i>
	12. Are there measures for financial and project/programme risk management?	<b>Yes.</b>	
	13. Are there measures in place for the management of for environmental and social risks, in line with the Environmental and Social Policy and Gender Policy of the Fund?	<b>Yes.</b> these are identified in Table 10	

Review Criteria	Questions	Comments	Team Response
	14. Is a budget on the Implementing Entity Management Fee use included?	<p><b>No.</b> IE Management Fee is not stated in the Project Component and financing table in page 49. No detail is provided on the use of the Implementing Entity Management Fee.</p> <p><b>CAR5:</b> Please update the Project Component and financing table, as noted in CAR2. Please also provide a detailed budget breakdown of the Implementing Entity Management Fee.</p>	<i>It's been revised please take a look again in the page 49 or table 9</i>
	15. Is an explanation and a breakdown of the execution costs included?	<p><b>No.</b> There is no detailed budget provided in this submission. The project document refers to Annex B, but the annex has not been added, and the project document contains no details on the breakdown of the execution costs.</p> <p><b>CAR 6:</b> Please provide a detailed budget (updated once the above-mentioned CARs and CRs are addressed) including details on the breakdown of the execution costs.</p>	<i>CAR 6, please take a look at the budget detail submitted along with the proposal</i>
	16. Is a detailed budget including budget notes included?	<p><b>No.</b> There is no detailed budget provided in this submission. The project document refers to Annex B, but the annex has not been added.</p> <p><b>CAR 6:</b> Please include a detailed budget providing budget notes alongside the detailed budget.</p>	<i>CAR 6, please take a look at the budget detail submitted along with the proposal</i>

Review Criteria	Questions	Comments	Team Response
	17. Are arrangements for monitoring and evaluation clearly defined, including budgeted M&E plans and sex-disaggregated data, targets and indicators, in compliance with the Gender Policy of the Fund?	<p><b>No.</b> This is not clear, especially in the absence of a detailed gender assessment.</p> <p><b>CR15:</b> Please provide a complete M&amp;E plan, including sex-disaggregated data, targets and indicators (based on the a complete gender assessment as per CAR1), indicators for component 1, as well as specify the arrangements to be used for monitoring and evaluation.</p>	<i>CR15, the M&amp;E plan will be revised and submitted as soon as possible</i>
	18. Does the M&E Framework include a break-down of how implementing entity IE fees will be utilized in the supervision of the M&E function?	<p><b>No.</b></p> <p><b>CAR 7:</b> Please provide a complete M&amp;E Framework with a break-down of IE fee.</p>	<i>Idem</i>
	19. Does the project/programme's results framework align with the AF's results framework? Does it include at least one core outcome indicator from the Fund's results framework?	<p><b>Yes.</b></p> <p>The proposal shows alignment with the AF's results framework in Section F page 93.</p>	



Review Criteria	Questions	Comments	Team Response
	20. Is a disbursement schedule with time-bound milestones included?	<p>A disbursement schedule is included but without time-bound milestones. In addition, we note several discrepancies between the disbursement schedule and the project component table, as noted in above CARs/CRs.</p> <p><b>CAR 8:</b> Please address above CARs related to budget. In addition, please update the disbursement schedule taking into account the following points:</p> <ul style="list-style-type: none"> <li>○ The breakdown of IE fee is required for 1st and 2nd tranches in the disbursement schedule.</li> <li>○ Please do not use decimal points in the financials, namely the disbursement table. Please round to the nearest whole number, similarly to the comment in <b>CAR 3</b>.</li> <li>○ The totals of project funds, execution cost and the grand total are inconsistent with the main budget table and project component table in updated proposal (Table 9).</li> </ul>	<i>please take a look at the budget detail submitted along with the proposal</i>



ADAPTATION FUND

## PROJECT/PROGRAMME PROPOSAL TO THE ADAPTATION FUND



**PROJECT/PROGRAMME PROPOSAL TO THE ADAPTATION FUND**

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## PART I: PROJECT/PROGRAMME INFORMATION

Project/ <del>Programme</del> <u>Program</u> Category	:	SMALL-SIZED PROJECT/PROGRAMME
Country/ies	:	INDONESIA
Title of Project/Programme	:	<b>EMBRACING THE <del>SUN: Redefining</del> <u>SUN: Redefining</u></b> Public Space as a Solution for the Effects of Global Climate Change in Indonesia's Urban Areas
Type of Implementing Entity	:	NATIONAL IMPLEMENTING ENTITY
Implementing Entity	:	<del>Resilience Research Institute, the University 17 Agustus 1945 Surabaya, Indonesia</del> <u>Kemitraan</u>
Executing Entity/ies	:	1. Resilience Research Institute, the University 17 Agustus 1945 Surabaya, Indonesia 2. School of Design Office, Creative Industries Faculty, Queensland University of Technology
Amount of Financing Requested-	:	\$ 824, <del>835-290</del> (in U.S Dollars Equivalent)

## 1. PROJECT/PROGRAMME BACKGROUND AND CONTEXT

This project aims to to explore flood adaptation infrastructures as a new typology of public space to face climate adaptation, so enhance awareness of Indonesian and laid a concrete resilient groundwork prepare the Indonesian people to be resilient in the face of the current climate crisis by increasing awareness of climate change<sup>1</sup>recognises the strategic role of public space in supporting communities located in urban environments. Recent research addresses issues of vulnerability in public spaces and explores resilience strategies that reducethereduce the<sup>2</sup><sup>3</sup> through water sensitive design, which is adopted to minimiseminimize<sup>4</sup>. All the measures described are reactive by nature; aiming to cope with the effects of climate change.-

This project adopts a different approach to face climate change, focusing on public space as an infrastructure that is ideal for facing the challenged of climate change, as well as a -keyan urban elements condition that is ideal for encouragingand as means -communityfor community engagement and education about the root causes ofon climate change. Through this project we will We will developformulate a new concept and typology of public space, which will be tested through the construction of an integrated network of interconnected public spaces in Samarinda, Indonesia as a pilot city. This new public space typology proposed will form a kind of as an integrated infrastructural support for local communities facing imminentfaced with the multi-layered complexities and challenges induced by of climate change. The design interventions in the city of Samarinda will be developed in consultation with, i.e. in particular flood preparedness and other relevant hydro-meteorological disasters. The project will support communities in adapting to the socialabsorbing and adapting to the impact of annual floodingsfloodings and support communities, before, during, and after the in pre and post disaster-flood event. This project is grounded in the positive development paradigm and aims to address climate change and its challenges through an integrated approach dealing with the multilayered complexities of this phenomenon. The project directly supports communities to adapt to climate change addressing the social impact of floods in urban environments.

The new typology of the "climate adaptive" public space is going to be tested through the constructiondevelopment of one multipurpose public space in Samarinda, Indonesia, as a pilot city. The city of Samarinda has provided critical input in the process indicating priorities for the local communities and indicated-suggesting possible locations for the intervention. The design process relies on the engagement and involvement of local communities, as well as local governments, and will provide structures and systems to deal with critical environmental issues that are relevant to Indonesia as well as a global context. TheseThe new public spacespace will integrate and improveand enhance the current urban environments in where it is locatedich they areit is situated and will establish ansimultaneously envisioned as an ecological-social "corridoranchor" to support the local sustainable-community developmentcommunities prone to flood. The social dimension of public space will be augmented with environmental values-features to help communities copingscope with the effects of climate change and contribute to reduce the ecological footprint impact of a community on the local environment. The

1. Can be found in: <http://habitat3.org/the-new-urban-agenda/>

2. Maria Matos, S. (2018). Urban Floods and Climate Change Adaptation: The Potential of Public Space Design When Accommodating Natural Processes. *Water*, 10(2), 180. doi: 10.3390/w10020180

3. Williams, K., Gupta, R., Hopkins, D., Gregg, M., Payne, C., Joynt, J. L. R., Bates-Brkljac, N. (2013). Retrofitting England's England&#39;s

suburbs to adapt to climate change. *Building Research & Information*, 41(5), 517-531. doi: 10.1080/09613218.2013.808893

4. Shane, G. (2003). The Emergence of 'Landscape Urbanism' &#39;Landscape Urbanism&#39;. *Harvard Design Magazine*.



overarching axiology of the proposed project is to pursue concrete adaptation actions ~~according to based on 3 (three)~~ strategic goals, (1) resilience; (2) response; and, (3) recovery. Through these actions a broad systems-level adaptation strategy will be achieved by *thinking global and acting local (glocal)*. ~~The project will address the social impact of floods on urban communities, it will provide an infrastructure with the main purpose of aiding communities during flood events. This infrastructure main scope will be functioning as a safe shelter during flood events; in order to achieve this scope, the new public space will engage also with other several hazards connected to climate change in general, and flooding in particular. The project will address the social impact of floods on urban communities, it will engage with several hazards connected to induced by climate change, prioritising/prioritizing flood adaptation, through~~ The project will deliver construction of ~~two~~ one pilot public space. It is anticipated that ~~knowledge generated findings~~ from this project will be ~~applicable/replicable~~ to other cities in ~~Indonesia and internationally, as well as international programs. Findings the knowledge~~ can be adapted ~~and tailored~~ to other communities ~~who face similar~~ with similar environmental ~~problems/changes due related~~ to climate change.

## ~~1.1. INDONESIA AND CLIMATE CHANGE~~

### ~~1.2.~~

#### 1.1. INDONESIA AND CLIMATE CHANGE

Indonesia is the largest archipelagic country in the world with more than 17,500 islands and 80,000 ~~kilometres~~ kilometers of coastline<sup>5</sup> and is highly vulnerable to the effects of climate change. Indonesia is also the fourth most populous country in the world and has extremely rich ecosystems and high levels of biodiversity. Rising sea levels, increasing mean temperatures, changing rainfall patterns and the increased frequency and magnitude of extreme weather events are some of the main climate change impacts the country faces<sup>6</sup>. According to a global risk analysis conducted by the World Bank, Indonesia ranks 12<sup>th</sup> out of 35 countries, facing high mortality risks from multiple hazard types<sup>7</sup>. Increasing disaster risk caused by floods, droughts, storms, and forest fires are being exacerbated by climate variability and presents a growing strain on public expenditures. For instance, the 2007 Jakarta floods amounted to more than US\$ 900 million due to resulting damages<sup>8</sup>.

~~Climate change adaptation activity in Indonesia over the past six years has been marked by increasingly widespread awareness-building campaigns about climate change and its impacts, including vulnerability assessment activities in several provinces, regional and city areas. In this way, these programs provide additional benefits in capacity strengthening and climate change adaptation. Climate change adaptation activity in Indonesia over the past six years has been marked by increasingly widespread awareness-building campaigns about climate change and its impacts, including vulnerability assessment activities in several provinces, regional and city areas. Although the program is still operated in a sectoral approach, it achieves its objectives by ensuring that communities continue programs independently and~~

<sup>5</sup>——— Ministry of Environment, 2007. National Action Plan Addressing Climate Change.

<sup>6</sup>——— ~~National Action~~ National Action plan for Climate Change Adaptation ~~Climate Change Adaptation~~ (RAN-API). ~~Synthesis Report~~ Synthesis Report, (2013).

<sup>7</sup>——— World Bank. Indonesia: Climate Risk and Adaptation Country Profile, (2011). Found online at: [http://sdwebx.worldbank.org/climateportal/countryprofile/doc/GFDRRCountryProfiles/wb\\_gfdr气候\\_change\\_country\\_profile\\_for\\_IDN.pdf](http://sdwebx.worldbank.org/climateportal/countryprofile/doc/GFDRRCountryProfiles/wb_gfdr气候_change_country_profile_for_IDN.pdf)

<sup>8</sup>——— Idem.

sustainably. In this way, these programs provide additional benefits in capacity strengthening and climate change adaptation.

According to Ari-Muhamad, a leading Indonesian environmental researcher, there are three things that have enabled the effective mainstreaming of climate change adaptation across several cities in Indonesia. First, regional leaders give attention to the issue of climate change. Second, the presence of conservation and environmental activists, who work together to provide assistance to support local governments so that their activities maintain existing sustainability benchmarks and local government commitments. Third, climate change adaptation is becoming a mainstreamed concern due to the increasing severity and frequency of climate induced disaster events. There is growing awareness that climate change is exacerbating such events, well as the loss of a number of hydrological functions including depletion of natural springs that which communities have been reliant upon.

According the fifth *Assessment Report* by the Intergovernmental Panel on Climate Change (IPCC) released in 2013, the south region of Indonesia will experience a decline in rainfall and, conversely, the north will experience increased rainfall. The threat of drought due to El Niño effects will be a driving factor for wildfires, which have so far destroyed millions of hectares of forest land in Indonesia. Climate change also poses a major threat specific to Indonesia's unique geographical conditions. Namely, rising sea levels threaten to submerge entire islands across the archipelago. This outcome is predicted as a certainty unless both climate change adaptation and mitigation strategies are urgently implemented.

A report published by the Ministry of Public Works and Ministry of Environment (2007) states that the impact of climate change for Indonesia, namely rising sea level, poses threats to several industries such as offshore oil and gas platforms, transportation, fisheries, agriculture, and ecotourism as well as coastal communities. The report also states that sea-level rise of about 1 meter is estimated to flood approximately 405,000 Ha of coastal land, including small islands. Another aspect of climate-related impacts in Indonesia relates to crop failure due to drought. The Department of Agriculture monitored drought conditions on rice crops over ten years from 1993-2002. Results indicated that the average amount of agricultural land affected by drought was 220,380 Ha with land deemed "crop-failed" to reach 43,434 Ha. In the El Niño Southern Oscillation (ENSO) years, the volume of water in reservoirs dropped significantly, far below normal levels; this was observed mainly during the dry season, which occurs from June - September resulted in lower electricity generation. A report published by the Ministry of Public Works in collaboration with the Ministry of Environment (2007) states that the impact of climate change for Indonesia—namely rising sea levels—poses threats to several industries such as offshore oil and gas platforms, transportation, fisheries, agriculture and ecotourism as well as coastal communities. The report also states that a sea-level rise of about 1 meter is estimated to flood approximately 405,000 ha of coastal land, including small islands. Another aspect of climate-related impacts in Indonesia relates to crop failure due to drought. The Department of Agriculture monitored drought conditions on rice crops over 10 years from 1993-2002. Results indicated that the average amount of agricultural land affected by drought was 220,380 ha with land deemed as "crop-failed" reaching 43,434 ha. In the El Niño Southern Oscillation (ENSO) years, the volume of water in reservoirs dropped significantly, far below normal levels. This was observed especially during the dry season, which occurs from June—September, and resulted in lower electricity generation. Meanwhile, data from Wetlands International (Burke et al., 2002) reported that an El Niño destroyed coral reef ecosystems across Southeast Asia. Additionally, coral bleaching has been observed in eastern parts of Sumatra, Java, Bali and Lombok. Further, in the Thousand Islands, around 90-95% of coral reefs at depths of 25m are partially bleached. These impacts on coral in the region have been attributed to increased sea water temperatures, especially during the 1997 El Niño, which have caused serious problems to the coral reef ecosystem.

## I. ECONOMIC CONTEXT

Various studies conducted by the IPCC and other research institutions located both nationally and internationally show that vulnerability levels in developing and underdeveloped economies are high and that these economies are likely to have low adaptation capacity. Indonesia cannot escape its responsibility in reducing activities that cause global warming. As part of a global community, attention needs to be given to the urgent threat of climate change. ~~In regard to~~ Regarding carbon emissions, as the leading cause of global warming, Indonesia is rated as a significant contributor, ranked as the fifth largest emitter of greenhouse gases<sup>9</sup>, while at the same time being highly vulnerable to the impacts of climate change. Agriculture, plantations and fisheries are the main industries that draw upon Indonesia's power generation economy, while also acting as pillars to support national food security. Other critical areas that have been identified as vulnerable to the impacts of climate change include the energy sector, forestry industries, coastal management, water resources, infrastructure, and health. Microeconomic disruption to livelihoods is another important consideration, where ~~localised~~ localized threats also exist ~~as a result of~~ because of climate change impacts. Extensive research enables relatively accurate predictions to be made when it comes to the impacts of climate change. As such, there is enormous potential to enact influential macro-economic measures to ~~minimise~~ minimize disruption and increase national security.

Serious efforts must be made to ensure the Indonesian people not only survive but thrive in the face of climate change and its impacts. The most vulnerable populations are those where communities depend on predictable climatic patterns. It is essential that the most vulnerable communities are identified, both in urban and rural areas, especially where people lack agency in relocating or adapting to issues such as erosion, abrasion, rising sea levels, flooding and landslides during high intensity rain. Indonesian communities also face threats of wildfire, drought and lack of access to clean water during long dry seasons. In extreme cases, annual growth of the economy of the country or a region is lost due to disaster events or climate variability. It is critical that we reduce embedded vulnerabilities in Indonesian communities and build resilience through development that pays attention to environmental management. Such development must account for ecological impacts, offsetting losses through strategies that build resilience across multiple domains.

## II. SOCIO-ECONOMIC CONTEXT

Indonesia is the largest economy in Southeast Asia<sup>10</sup>. Furthermore, the country's economy has recently grown due to faster export turnarounds, strengthened investment and increased consumption<sup>11</sup>. Despite levels of poverty and inequality having decreased in rural and urban areas, almost 10% of Indonesia's population (approximately 25.9 million people) lives below the World Health ~~Organisation~~ Organization (WHO) "poverty line", and approximately 20.78% remain vulnerable to falling into poverty<sup>12</sup>. The ADB estimates that costs related to the impacts of climate change will constitute between 2.5 and 7% of Indonesia's Gross Domestic Product (GDP) by 2100<sup>13</sup>. It is the country's poorest communities and vulnerable groups – such as women, children, the elderly and those with disabilities – who are expected to bear the greatest burdens of the impacts of climate change.

<sup>9</sup> World Resources Institute. Retrieved from: <https://www.wri.org/our-work/project/forests-and-landscapes-indonesia/climate-change-indonesia>

<sup>10</sup> OECD Economic Survey: Indonesia, (2018), p. 9. Online at: <http://www.oecd.org/eco/surveys/Indonesia-2018-OECD-economic-survey-overview.pdf>

<sup>11</sup> Asian Development Outlook, (2018), p. 255. Online at: <https://www.adb.org/sites/default/files/publication/411666/ado2018.pdf>

<sup>12</sup> World Bank. Indonesia: Climate Risk and Adaptation Country Profile, (2011).

<sup>13</sup> Idem.



Indonesia's biodiversity is extremely rich, accounting for 15.5% of the world's flora and 10% of fauna<sup>14</sup>. Biodiverse ecosystems are essential in supporting livelihoods and industry, as well as driving economic growth. Biodiversity ensures resilience of natural systems and is the backbone of Indonesia's ability to bounce back in the case of disasters. Climate change has been recognized as one of the main threats to biodiversity<sup>15</sup> and ecosystem services<sup>16</sup>. Furthermore, studies show that global climate change will have a negative effect on the agricultural sector<sup>17</sup>. In 2017, agriculture, forestry and fishing accounted for approximately 13% of Indonesia's total GDP<sup>18</sup> providing the main source of employment in rural areas<sup>19</sup>. This will not only result in a negative impact on rural incomes but will also affect food prices and food security (IFPRI).

### 4.3. CLIMATE CHANGE PROJECTIONS

Indonesia experiences a tropical climate with two major seasons – the rainy monsoon season from November to April (with regional variations), and the hot dry season. Average annual temperatures range from 23-32°C<sup>20</sup> (27.7°C in 2007 and 27.9°C in 2008). Observed climatic changes indicate a mean annual temperature increase of about 0.3°C. This is projected to continue increasing by 0.2 - 0.3°C per decade. Indonesia's average rainfall levels are 1.7-3.1 cm in the lowlands and up to 6.1cm in mountainous regions (per year). Precipitation changes, being less uniform, project an increase in annual rainfall across most of the country. At the same time, precipitation in the southern regions is projected to decline by up to 15%. The risks faced across the country exist at opposite ends of a spectrum. Where some regions are anticipating decreased rainfall, and therefore possible drought, other regions face flood risk from a predicted increase in rainfall.

**Table 1.** Amount of Precipitation and Number of Rainy Days by Month in Samarinda Municipality, 2018<sup>21</sup>

Bulan/Month	Curah Hujan/Precipitation (mm <sup>3</sup> )	Hari Hujan/Rainy Days
(1)	(2)	(3)
Januari/January	215,9	18
Februari/February	97,7	18
Maret/March	154,1	17
April/April	180,2	20
Mei/May	296,3	21
Juni/June	197,0	15

<sup>14</sup> The Fifth Annual Report of Indonesia to the Convention on Biological Diversity, 2014. Online at: <https://www.cbd.int/doc/world/id/id-nr-05-en.pdf>

<sup>15</sup> Idem

<sup>16</sup> WWF, (2007). Climate Change in Indonesia. Implications for Humans and Nature. Found online at: [http://awsassets.panda.org/downloads/inodesian\\_climate\\_change\\_impacts\\_report\\_14nov07.pdf](http://awsassets.panda.org/downloads/inodesian_climate_change_impacts_report_14nov07.pdf)

<sup>17</sup> IFPRI, (2011). The Impact of Global Climate Change on the Indonesian Economy. Online at: <http://ebrary.ifpri.org/utills/getfile/collection/p15738coll2/id/126762/filename/126973.pdf>

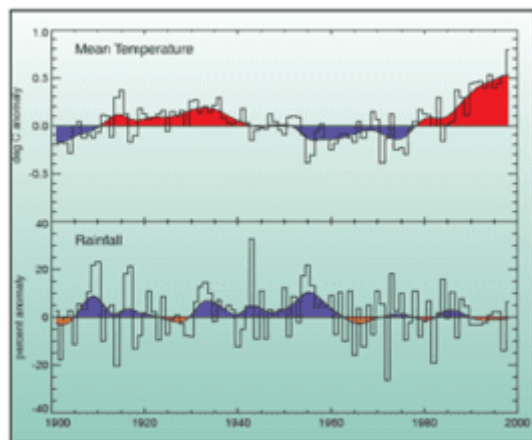
<sup>18</sup> The World Bank, (2017). Online at: <https://data.worldbank.org/indicator/NV.AGR.TOTL.ZS?locations=ID>

<sup>19</sup> ADB, (2015). Summary of Indonesia's Agriculture, Natural Resources, and Environment Sector Assessment

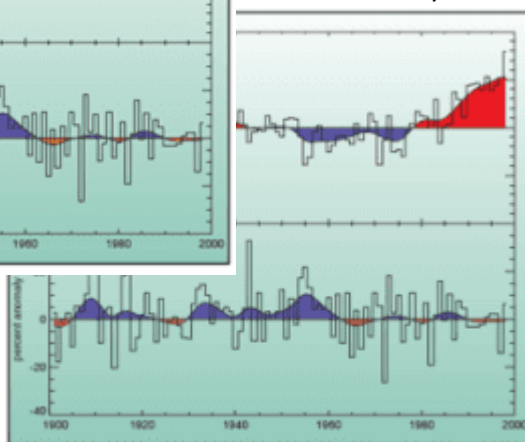
<sup>20</sup> University of Indonesia, (2007).

<sup>21</sup> Meteorology, Climatology, and Geophysics Board, Samarinda

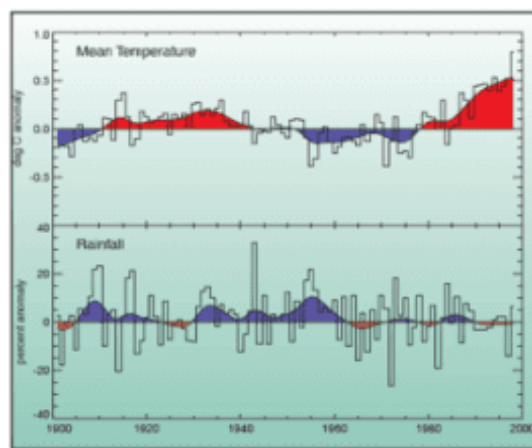
Juli/July	136,9	12
Agustus/August	47,9	10
September/September	127,4	9
Oktober/October	151,9	20
November/November	126,7	20
Desember/December	169,5	16
<b>Rata-rata/Average 2017</b>	<b>158,5</b>	<b>16</b>



There is currently a 30-day delay projected delay in the annual monsoon season, which raises the chances of up to a 10% increase in rainfall later in the crop year (April-June). Additionally, this can cause up to a 75% decrease in rainfall later in the dry season (July-September)<sup>22</sup>. Furthermore,



extreme weather events are expected to increase, leading to additional stressors, particularly in coastal areas<sup>23</sup>.



<sup>22</sup> \_WWF, (2007). Climate Change in Indonesia. Implications for Humans and Nature

<sup>23</sup> \_Indonesia ClimateChangeSectoralRoadmap ICCSR. SynthesisReport, (2009). Found online at: [https://adaptation-undp.org/sites/default/files/downloads/indonesia\\_climate\\_change\\_sectoral\\_roadmap\\_iccsr.pdf](https://adaptation-undp.org/sites/default/files/downloads/indonesia_climate_change_sectoral_roadmap_iccsr.pdf)

**Figure 1.** Changes in annual mean temperature, 1901-1998 (top) and annual rainfall, 1901-1998 (bottom), across Indonesia.<sup>24</sup>

#### IV. EXPECTED IMPACTS OF CLIMATE CHANGE

In recent years, hazards such as floods, landslides and droughts, have caused substantial loss of life, economic loss and damage to infrastructure in Indonesia. Between 2001 and 2007, 4000 disasters impacted the country, of these, 37% were floods, 24% drought, 11% were landslides and 9% were windstorms<sup>25</sup>. It is anticipated that changes in precipitation, seasonal shifts and timing of rainfall will lead to unpredictable and uncertain water availability, which will in turn influence agriculture and food security. Exacerbated droughts and ~~floodings~~floodings have the potential to cause ~~widespread crop~~widespread crop failure and water shortages, triggering a cascade of impacts – such as health emergencies, social instability, conflict, and population displacement – stemming from food and water insecurity.

Sea-level rise is expected to drastically impact many regions in the country. With anticipated global sea-level rise of about 2mm per year, which is projected to increase to about 5 mm per year over the next century<sup>26</sup>, significant loss of coastline and islands are expected<sup>27</sup>. Between 140 and 220 million people live within 100 km of the coast<sup>28</sup> and, of these, 115 to 160 million rely on marine sources for their livelihoods<sup>29</sup>. Valuable ecosystems such as coastal mangroves are threatened by projected increases in sea-level rise, among other aspects of climate change. Warming sea-surface temperatures, which are expected to lead to the loss of coral reefs and to cause changes in oceanic circulation patterns and salinity, will result in a reduction in fish in tropical oceans. Projected climate models indicate that ~~this large~~this large-scale change in fish habitat will impact on one of Indonesia's primary industries; a main food supply source, which will lead to economic losses.

Another aspect that requires consideration is the possible adverse effect of climate change on human health, both directly and indirectly. Direct effects relate to projected increases in temperature, changes in precipitation, sea-level rise, and extreme weather events leading loss of life. Indirect impacts on human health ~~as a result of~~because of climate change include an increase in the spread of diseases such as malaria, dengue fever, ~~diarrhoea~~diarrhea, cholera, and vector-borne diseases. This increased health risk is also exacerbated by weather variations caused during the ENSO. The World Health Organization (WHO) states that the spread of malaria is triggered by the occurrence of rainfall above normal levels and is further impacted by unstable weather patterns. The combination of the ~~aforementioned negative~~aforementioned effects on human health, with limited public health capacity, will greatly impact Indonesia's population, particularly poor and vulnerable group<sup>30</sup>.

<sup>24</sup> \_WWF, (2007). Climate Change in Indonesia. Implications for Humans and Nature

<sup>25</sup> \_The World Bank, (2017).

<sup>26</sup> \_Climate Change 2007: Impacts, Adaptation and Vulnerability. Contribution of Working Group II to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change.

<sup>27</sup> \_The World Bank, (2017).

<sup>28</sup> \_Idem.

<sup>29</sup> \_Idem.

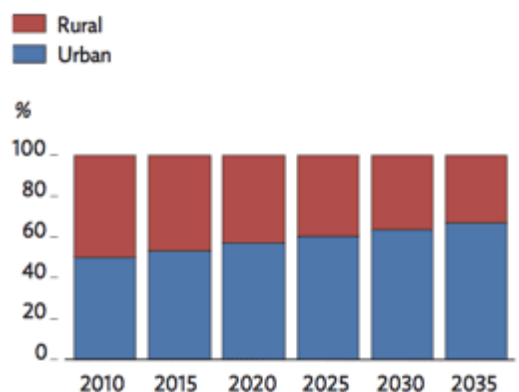
<sup>30</sup> \_Idem

Part 12 Technical Summary: Indonesia and Climate Change

a)	Indonesia is especially vulnerable to the impacts of climate change, notably, rising sea levels, increasing in mean temperatures, changes in rainfall patterns and the increased frequency and magnitude of extreme weather events.
b)	Indonesia is ranked 5th in the world for carbon emissions, highlighting the urgent need for mainstreaming sustainable development and climate change mitigation.
c)	Unless action is taken, Indonesia faces widespread biodiversity loss, economic losses, increased magnitude and frequency of both flood and drought events, and negative social and public health impacts.

**1.3.1.2. URBAN DEVELOPMENT IN INDONESIA**

The New Urban Agenda<sup>31</sup> approved in Quito in 2016, and subscribed to by Indonesia, as well as the Sustainable Development Goals<sup>32</sup> provide directions for sustainable development over the next 20 years. The Wuhan declaration<sup>33</sup> issued in 2018 promotes the needs of development focused on placemaking. These important documents advocate for people-centred development and recognize the important role that natural landscapes and public spaces serve in supporting contemporary urban lives. Indonesia is undergoing urban development at an unprecedented scale and pace, often adopting paradigms typical of western countries that do not appropriately reflect the local culture, society, environment and landscape. Currently, over 50% of Indonesians live in urban areas and up to two-thirds of the population are expected to live in cities by 2035<sup>34</sup> (figure 2).



<sup>31</sup> Can be found in: <http://habitat3.org/the-new-urban-agenda/>

<sup>32</sup> Can be found: <https://www.un.org/sustainabledevelopment/sustainable-development-goals/>

<sup>33</sup> Can be found in: <https://www.placemakingweek.org/wuhan>

<sup>34</sup> Asian Development Outlook, (2018).

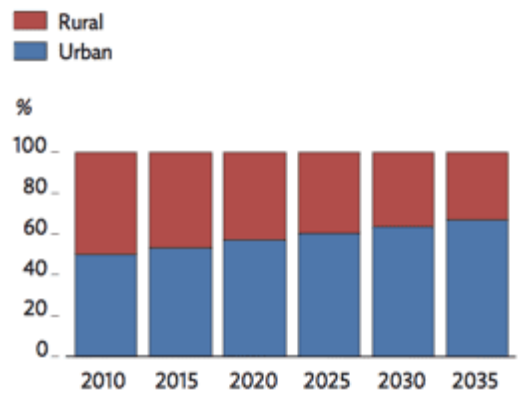


Figure 2. Population projections<sup>35</sup>

Rapid urbanization in combination with other issues such as a lack of adequate planning, service provision and financing pose serious challenges. Many urban ~~centres~~centers in Indonesia are experiencing social and environmental challenges due to the application of development paradigms ill-suited to the local landscape, society and culture. Cities, traditionally structured through a ~~recognisable~~recognizable pattern of public spaces and with a clear representation of local culture, morph in congested environments, facing serious environmental issues due to climate change and uncontrolled commercial development. Water management, waste management, sewerage systems, food security, pest control, energy production, affordable living, shelter in case of extreme weather events, provision of affordable and safe housing, and sense of community are all emerging issues in Indonesian cities. These issues are intensified by unpredictable weather, extreme temperatures and recurrent flood events. ~~According to Per~~ the Asian Development Outlook 2018<sup>36</sup>, only 1 in 3 urban households have access to clean water, and 1 in 100 water sources are directly connected to a sewerage system. The problem of this already deficient access to water infrastructure is further exacerbated by the impacts of natural hazards – in particular, floods and landslides – posing high risks to public health<sup>37</sup>.

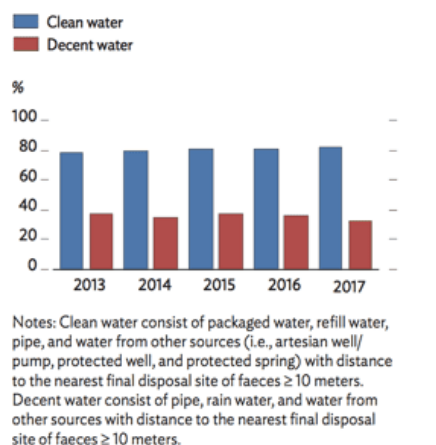
While major urban centres like Jakarta, Surabaya, and Yogyakarta have access to resources to face these challenges, second and third tier cities often rely only on the resourcefulness of their communities to face current climate and environmental challenges. As in many other emerging economies, Indonesia has often adopted a development paradigm that is typical of western temperate cities, often resulting in negative outcomes for established urban centres and communities. Car-based infrastructure, high-rise development and limited investment in public transport and public space challenge, not only the environmental sustainability of Indonesian cities, but also their social and economic viability. The traditional urban pattern of *Kampung* – a self-sufficient urban village – is today challenged by commercial development that leaves little to no space for public space and traditional community living. Some *Kampung*, as well as several communities in Jakarta and Surabaya, have demonstrated creativity and innovation in adapting to contemporary challenges. These communities have implemented programs that include urban agriculture, street beautification, waste recycling and community engagement. All these programs adapt existing in-between spaces within the city's urban form, contributing to the vibrancy of a *Kampung*. Despite the observed successes of such local communities and programs, there is currently a lack of structural capacity in cities to drive the necessary changes in mindset to move away from inappropriate ~~westernised~~westernized approaches to development. These paradigm changes could

<sup>35</sup> Badan Pusat Statistik, 2013. *Indonesia Population Projection*. Retrieved from: Asian Development Outlook, (2018), p. 259.

<sup>36</sup> Asian Development Asian Development Outlook, (2018).

<sup>37</sup> Idem.

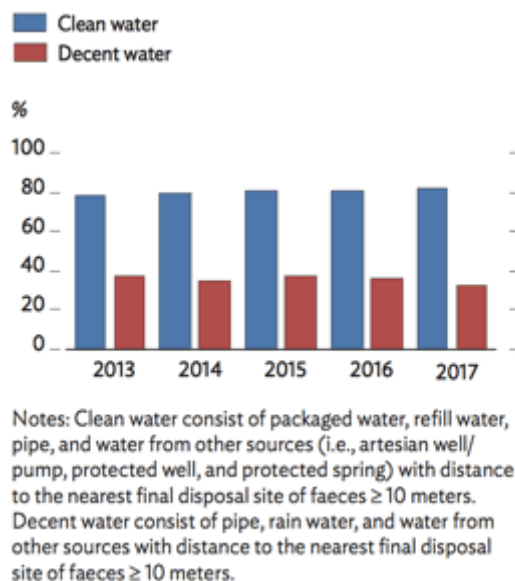
enable more traditional modes of development that are supportive of localised long-term sustainability, climatic conditions and resilience strategies for urban centres in Indonesia.



**Figure 3.** Access to clean (urban households)<sup>38</sup>

#### POLICY AND "ADAPTATION" CONTEXT OF INDONESIA

Limitations of funding, resources make vulnerable to climate policy intervention must the real needs of the islands. Therefore, the the impact of ecosystem, cultural changes (including exploring and using local wisdom and knowledge) is a priority that is essential in creating a prosperous future for Indonesia.



water and decent water

#### 1.4.1.3. NATIONAL STRATEGY FOR ACTIVITIES IN THE CLIMATE CHANGE IN

technology and human Indonesia especially change. The level of be approached through country, region and analysis and response of socio-economic and

Proactive measures have already been initiated through climate change research and exploration of opportunities for adaptation and mitigation of its impacts in several regions of Indonesia. This is done at the level of framework for developing policy strategies and implementing climate change adaptation activities in Indonesia. These activities are being carried out by ministries, institutions, non-governmental organizations and universities and regional governments, both funded by the state budget and through the support of donor organizations / institutions or other foreign government assistance.

In 2009, the National Development Planning Agency (Bappenas) published the Indonesia Climate Change Sectoral Roadmap (ICCSR). One of the thematic issues included detailed directions for responding to, and anticipating the threat of climate change. The report [emphasisedemphasized](#) the strategic importance of sectors, such as coastal and fisheries, agriculture and health within the framework of national policy

<sup>38</sup> \_BadanPusatStatistik, 2013. *Indonesia PopulationProjection*. Retrieved from: AsianDevelopment Outlook, (2018), p. 259.

preparedness. The ICCSR document is expected to influence the National Medium-Term Development Plan (RPJMN) for 2009 - 2014. In 2010, Bappenas issued a 2010 Development Work Plan (RKP) that set the priority focus on increasing climate change adaptation capacity and mitigating disasters. Currently there are 5 main sectors with climate change adaptation policies and strategies, namely; the agricultural sector, coastal sector, marine, fisheries and small islands, health sector, public works sector and disaster sector through the National Disaster Management Agency (BNPB).

There are two examples of policies within ~~a number of several~~ policies from the Ministry of Agriculture, which have been issued in response to climate change, or considered to be related to adaptation efforts. One of these includes *The National Law No. 41 Year 2009 concerning Sustainable Food Agricultural Land Protection and Ministerial Regulation No. 39/Permentan/OT.140/6/2010*, which outlines *Guidelines for Licensing of Food Crop Cultivation Businesses*. The action program of these policies seeks to develop water harvesting technology and efficiency of water use, such as drip irrigation, mulch and the development of land and plant management technologies to improve crop adaptability<sup>39</sup>.

In the coastal and marine sectors, 20 policies were issued in the context of climate change adaptation (DNPI, 2012) which were then translated into action programs. For the national level, there are provisions regarding the management of coastal areas and small islands (National Law No. 27 Year 2007~~), National~~, National Law No. 31 Year 2004 concerning Fisheries, *National Law No. 27 Year 2007 concerning Extension System and National Law on Fisheries No. 31 Year 2004*.

In the health sector, the Ministry of Health has issued *Ministerial Regulation No. 1018/MENKES/PER/V/2011* concerning the Strategy for Adapting the Health Sector to the Impact of Climate Change. This is followed by the issuance of action programs which include socialization and advocacy for climate change impacts vulnerable populations and regions of climate change, improvement of climate change response systems, increased community empowerment in climate change adaptation ~~according to per~~ local conditions and other action programs (DNPI, 2012).

Meanwhile, the public works sector is divided into 4 sub-sectors, (1) Water Resources; (2) Cipta Karya (Human Settlement); (3) Bina Marga (Roads and Bridges); and, (4) Spatial Planning. Water resourcing focuses on water balance including needs and availability, adequate water resources infrastructure, provision of alternative water sources, complete data and research, and water conservation. In the Cipta Karya (Human Settlement) sub-sector there are 3 strategic goals, (1) contribution of infrastructure services to economic growth; (2) contribution of infrastructure services to improving community welfare; and, (3) contribution of infrastructure to improve environmental quality. Some of the activities are assigned to the Roads and Bridges sub-sector, including roadside tree planting, drainage construction through the extension of run-off time, relocation of roads to areas that are less likely to be impacted by sea level rise and building levees or dykes in coastal areas.~~Meanwhile, the public works sector is divided into 4 sub-sectors, (1) Water Resources; (2) Cipta Karya (Building); (3) Roads and Bridges; and, (4) Spatial Planning. Water resourcing focuses on water balance including needs and availability, adequate water resources infrastructure, provision of alternative water sources, complete data and research, and water conservation. In the Cipta Karya (building) sub-sector there are 3 strategic goals, (1) contribution of infrastructure services to economic growth; (2) contribution of infrastructure services to improving community welfare; and, (3) contribution of infrastructure to improve environmental quality. Some of the activities are assigned to the Roads and Bridges sub-sector, including roadside tree planting, drainage construction through the extension of run-off time, relocation of roads to areas that are less likely to be impacted by sea level rise and building levees or dykes in coastal areas.~~

<sup>39</sup> \_Sector Action Plan Document in Response to Climate Change Adaptation (2012).



Finally, Indonesia is seeing climate change adaptation activities in the sub-field of Spatial Planning. Here, adaptation efforts are carried out through the mainstreaming of climate change issues across the national spatial planning system. Thus, it can guarantee that spatial planning is undertaken with climate change projections are taken into account, ensuring that spatial planning does not increase vulnerabilities in a region or locality to the effects of climate change. Instead, the objective is to increase regional resilience to the impacts of climate change in the future (DNPI, 2012).

The implementation of various laws and regulations across the four sub-sectors have become policies and action plans for climate change adaptation in the public works sector. For example, *National Law No. 7/2004* concerning *Management of Water Resources* forms the basis for action programs such as improved management of natural resource infrastructure to support water supply and food security. There are 6 implementation provisions made at the level of *Government Regulations and Ministerial Regulations*, each of which has its action program. The policy and action program of the Spatial Planning sub-sector is *National Law No. 26/2007* concerning Spatial Planning which is then formulated into action programs such as, (1) providing access and processing of data and information related to climate change to spatial planning; (2); (3) space utilization; (4) space control; (5) institutional capacity building; and, (6) spatial planning and supervision (DNPI, 2012).

Concrete activities in Indonesia that translate the attention and commitment of climate change issues, especially in developing adaptation strategies, must be placed as a top priority. Awareness of the impacts that have already been felt must be in the efforts of stakeholders, as well as those that are predicted in the future. This is especially important in sectors and departments that are directly affected by climate change. At a policy level, the goal is to strengthen the role of the development sector to achieve targets and objectives through coordination between sectors. This adaptation effort requires strong collaboration especially between development sectors.

At present there remains a great deal of work to be done in adapting to the impacts of climate change. In particular, responsibility lies with governance and environmental management of sectors that are a strategic priority when it comes to resilience-building. This includes, (1) protection of the Indonesian economy; (2) a focus on coastal areas under pressure due to various factors such as population growth; (3) exploitation of natural habitats for resources, including destruction and illegal deforestation; and, (4) reducing pollution caused by industry and housing activities. Addressing these issues will contribute toward resilience for Indonesian communities in the face of threats and impacts of climate change.

#### Part 23 Technical Summary: Urban Development in Indonesia

- |    |  |
|----|--|
| a) | Indonesia is undergoing an unprecedented scale and pace of urban development |
|----|--|



b)	Urban development in Indonesia frequently adopts western approaches that are often inappropriate to the local culture and climate. There are lost opportunities to adopt locally-responsive strategies, which are more sustainable.
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## 2. BRINGING IT ALL TOGETHER: FORGING NEW WAYS FORWARD FOR CLIMATE-RESPONSIVE URBAN PUBLIC SPACE

The challenges of Indonesia today and in the future regarding climate change adaptation must focus on local preparedness through the establishment of clear strategies, information and measurable outcomes. This is achievable only if the tasks and functions of each sector are understood through a spirit of collaboration between different government sectors, agencies, and local communities. This project proposes a new typology of public space for the Indonesian context – focused on people-centered development – addressing climate change through a coordinated and integrated approach. ~~This new type of public space will strategically address multiple current issues experienced by local Indonesian communities.~~ This new type of public space will strategically address flood adaptation, contextually addressing other current issues experienced by local Indonesian communities. Current strategies and policies aim to reduce the effect of climate change, minimize impact of development on local environments and prepare communities for future extreme weather events as well as environmental hazards<sup>40</sup> Redefining settlements patterns in Indonesian cities through an integrated and interconnected network of multiple public spaces will improve living conditions and wellbeing for local communities, while proactively tackling urgent issue of climate change. The aim of this to generate positive momentum that improves environments and ecosystems alongside sustainable urban development.






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Table 2 ~~summarisessummarizes~~ the main hazards and risks faced by Indonesians as a result of climate change. These data will inform the strategic priorities and vision developed through this project and the design of a new typology of public space.

<sup>40</sup> Santos Nouri, A., & Costa, J. P. (2017). Placemaking and climate change adaptation: new qualitative and quantitative considerations for the "Place Diagram". *Journal of Urbanism: International Research on Placemaking and Urban Sustainability*, 10(3), 356-382. doi: 10.1080/17549175.2017.1295096

<sup>41</sup> Santos Nouri, A., & Costa, J. P. (2017). Placemaking and climate change adaptation: new qualitative and quantitative considerations for the "Place Diagram". *Journal of Urbanism: International Research on Placemaking and Urban Sustainability*, 10(3), 356-382. doi: 10.1080/17549175.2017.1295096

**Table 2. Summary of main hazards and risks connected to climate change in Indonesia**

Climate-Related Hazards and Risks		Level of Risk
	<b>Flood and Drought<sup>42</sup></b> <i>Extreme events including droughts and floods are projected to increase in southern regions of Indonesia due to rainfall patterns. Droughts during El Niño events are expected to have more serious impacts on the south than temporary rainfall increases. Shorter and more intense rainy seasons will probably lead to more intense floods.</i>	Severe
	<b>Access to Clean Water<sup>43</sup></b> <i>Water availability could be impacted by climate change in Indonesia in <del>a number of</del> several ways:</i> <ul style="list-style-type: none"> <li>• <i>Decrease in freshwater availability in coastal zones due to saltwater intrusion</i></li> <li>• <i>Decrease in inland water availability and saltwater intrusion in the rivers due to river flow reductions</i></li> <li>• <i>Limited water availability due to a decrease in rainfall during the dry season.</i></li> </ul>	Severe
	<b>Access to Reliable Energy Sources</b> <i>The power sector in Indonesia is vulnerable to many effects of projected climate change, such as increasingly intense weather events, higher air and water temperatures, changes in rainfall and river discharge patterns, and sea level rise<sup>44</sup>. The power grid <del>is considered to be</del> overextended and potentially vulnerable to the impacts of extreme weather events and sea-level rise<sup>45</sup>.</i>	High
	<b>Community Vulnerability and Safety</b> <i>Community vulnerability to climate change, including climate variability and extremes, is related to social vulnerability as a pre-existing condition<sup>46</sup>. Despite existing progress, poverty is still significant<sup>47</sup>. Almost 10% of its population (approximately 25.9 million people) lives below poverty line and approximately 20.78% remains vulnerable of falling into poverty<sup>48</sup></i>	High
	<b>Food Security<sup>49</sup></b> <i>Food security could be affected by climate change in Indonesia in a number of ways:</i> <ul style="list-style-type: none"> <li>• <i>Limited crop productivity due to rising temperatures</i></li> </ul>	High

<sup>42</sup> \_Ministry of Foreign Affairs of the Netherlands (2018). Climate Change Profile Indonesia. Retrieved from: [https://reliefweb.int/sites/reliefweb.int/files/resources/Indonesia\\_2.pdf](https://reliefweb.int/sites/reliefweb.int/files/resources/Indonesia_2.pdf)

<sup>43</sup> ~~bid~~ *Idem*.

<sup>44</sup> \_Asian Development Bank (2015). Indonesia Country Water Assessment. Manila.


<sup>45</sup> \_Asian Development Bank (2015). Summary of Indonesia's Energy Sector Assessment <https://www.adb.org/sites/default/files/publication/178039/ino-paper-09-2015.pdf>

<sup>46</sup> \_Cutter and Emrich (2006). Social vulnerability to climate change variability hazards: a review of the literature. Final Report to Oxfam America

<sup>47</sup> \_Asian Development Bank (2018). Indonesia Member fact sheet. Retrieved from: <https://www.adb.org/sites/default/files/publication/27769/ino-2018.pdf>

<sup>48</sup> \_World Bank. Indonesia: ClimateRisk and Adaptation Country Profile, (2011).

<sup>49</sup> \_Ministry of Foreign Affairs of the Netherlands (2018). Climate Change Profile Indonesia. Retrieved from: [https://reliefweb.int/sites/reliefweb.int/files/resources/Indonesia\\_2.pdf](https://reliefweb.int/sites/reliefweb.int/files/resources/Indonesia_2.pdf)

	<ul style="list-style-type: none"> <li>• Increase in crop failure risks due to reduced durations and unpredictable starts of the rainy season and decreasing rainfall predictability</li> <li>• Decrease in food production due to increasingly severe floods across the country</li> <li>• Decrease in food production in southern regions (including Java, Bali and Nusa Tenggara) due to an increasing frequency and intensity of droughts</li> <li>• Decrease in production of specific crops due to projected decrease in number of cold nights during the planting season</li> <li>• Increase in crop pests and diseases as a result of increased temperatures</li> <li>• Challenges related to preservation of crops and seeds due to erratic and intense rainfall</li> <li>• Decrease in availability of fish for consumption due to rising sea water temperatures and levels</li> </ul>	
	<p><b>Waste Contamination</b></p> <p>Waste contamination is a pressing environmental issue in the country. It is associated with a lack of public awareness and investment in adequate waste management systems. Open burning of waste and solid waste disposal are amongst the major sources of GHG related to the waste sector<sup>50</sup> and are still common practices in the country.</p>	Severe

## 2.1. FOCUS OF THE PROPOSAL

The aim of this project/program is to develop a new typology of public space that promotes building solutions and techniques that improve the environment, harvest resources and contribute positively to the overall ecological balance of area following the bioregionalism approach. In doing so, the objective of this new proposed typology is to strengthen climate change adaptation and resilience know-how within Indonesian communities using the built-environment as an approach. The project vision is to establish public space that support communities in coping and adapting to the climate change. The project addresses multiple environmental challenges relevant to climate adaptation; preliminary research has outlined a hierarchical links on these challenges whereby flood preparedness has been identified as the most strategic issue to be tackled in the pilot city. In order to support local communities during flood events, other conditions connected with climate adaptation will be addressed within the overall strategy as necessary conditions to adapt to increasing extreme flood events.

Table 2 outlines the hierarchy of interventions and illustrates how these public spaces must necessarily focus on, (1) flood and drought; (2) access to clean water; (3) access to reliable energy sources; (4) community vulnerability and safety; and, (5) waste management. It is anticipated that the new public space will reduce the impacts of climate change through flood preparedness adaptation. Contextually, the project will also promote, energy production, sustainable water harvesting, and waste management. To achieve this, the project will focus on one pilot city with interventions and a series of low-cost high-impact design tactics - based on the template of the new typology - are planned. In this way, a new community-level climate resilient system will be developed to deal with climate change and its challenges.

<sup>50</sup> [https://www.bappenas.go.id/files/8913/5022/6069/climate-change-roadmap-waste-sector\\_\\_20110218181950\\_\\_0.pdf](https://www.bappenas.go.id/files/8913/5022/6069/climate-change-roadmap-waste-sector__20110218181950__0.pdf)

The selected city is Samarinda, capital of the East Kalimantan province; its position in the broader Indonesian context is shown in figure 4.

The aim of this project/programme/program is to develop a new typology of public space that promotes building solutions and techniques that improve the environment, harvest resources and contribute positively to the overall ecological balance of y of its local area following the bioregionalism approach. In doing so, the objective of this new proposed typology is to strengthen climate change adaptation and resilience know-how within Indonesian communities using a built environment as an approach. The project vision is to establish a network of multiple interconnected public spaces that support communities in coping and adapting to the with the effects of climate change. The project addresses multiple environmental challenges connected with relevant to climate adaptation; preliminary research has outlined a hierarchy/hierarchical links on in these challenges whereby and flood preparedness has been identified has the most strategic issue to be tackled in the context of our pilot city; other conditions connected with climate adaptation will be addressed within the overall strategy to adapt to increasing extreme flood events.

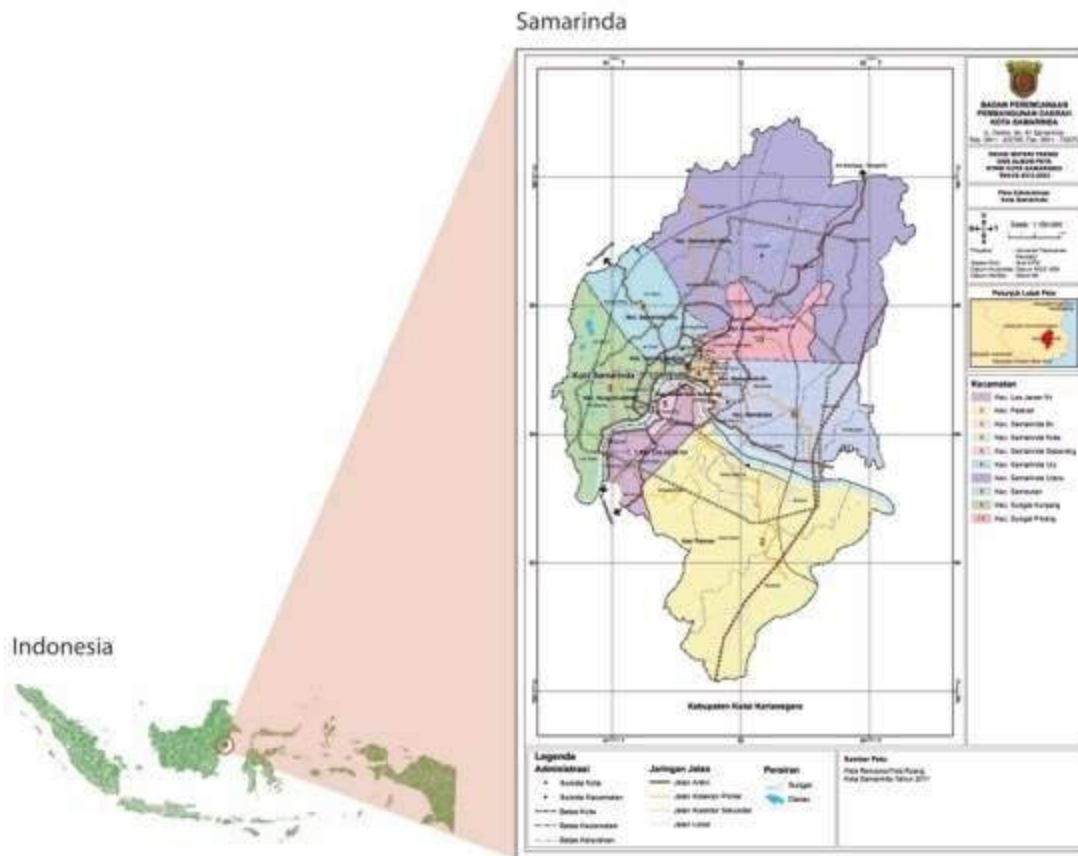
Table 2 outlines the hierarchy of interventions and illustrates how these public spaces must necessarily focus on, (1) flood and drought; (2) access to clean water; (3) access to reliable energy sources; (4) community vulnerability and safety; (5) food security; and, (6) waste management. It is anticipated that the new network of public spaces will reduce the impacts of climate change through flood preparedness, energy and local food production (food miles), sustainable water harvesting, and waste management. To achieve this, the project will focus on one pilot city with here two main interventions and a series of low cost high impact design tactics, based on the template of the new typology, are planned. In this way, a new community level climate resilient social and ecological system will be developed created to deal with face climate change and its challenges. The selected city is Samarinda, capital of the East Kalimantan province; its position in the broader Indonesian context is shown in figure 4.

Samarinda is the capital city of the Indonesian province of East Kalimantan on the island of Borneo/Kalimantan. The city lies on the banks of the Mahakam River with a land area of 718 km<sup>2</sup>. It is the most populous city on the entire Borneo/Kalimantan Island, with an estimated population of 872,42,691, up from 726,223 at the 2010 Census. Although it is the capital of East Kalimantan, some central government institutions such as the Police, Indonesian Army District VI of Tanjung Pura, and Pelabuhan Indonesia (Port Transportation) are also located in the city. The city also has a bridge connecting its river banks, Mahakam Bridge, with the city centre on one side and the Samarinda Seberang locality Seberang locality on the other.



Samarinda  
City is divided  
into ten  
districts  
known as

*kecamatan*; the city's population in 2017-2019 was 87243,768446, with approximately 52%male and 48%female. The average annual growth rate was 0.018%\_between 20186-20197. The majority of the people of Samarinda are of NativeIndonesianNative Indonesian and Chinese descent. There are also Americans, Canadians, Japanese and Koreans working in Samarinda. Life expectancy in Samarinda is 73.6 years as of 2014.



**Figure 4.** *Satellite Image (Left) and Map (Right) Administration Boundaries of Samarinda City*

The city of Samarinda has a wet tropical climate, with rain year-round. Air temperatures range between 20-34°C with an average rainfall per year of 1980 mm, while the average humidity is 85%. The coldest months occur in January and February, while the hottest months occur in April and October.

The name Samarinda originates from the description of the way in which the Bugis houses were constructed. At that time houses were customarily built on a raft and generally had the same height. This provided important social symbolism of equality between residents; no person's house, and thus no person, ~~was seen as was~~ higher as or lower than another. They named the settlement 'Samarenda', meaning 'equal in height'. After hundreds of years of use the pronunciation of the name changed slightly and the city became known as Samarinda.

The economy of Samarinda is driven by the large amount of logging and oil extraction companies based there. There are many abandoned coal mines in Samarinda. Previously, coal mining was very popular in Samarinda, however the Indonesian government revoked many mining licenses due to the use of illegal chemicals and machinery. Due to all these economical activities in Samarinda, it is one of the richest cities in East Kalimantan. Samarinda is connected to the Trans-Kalimantan Highway Southern Route, with the Samarinda-Balikpapan Expressway now under construction and expected to be operational by the end of 2018. The city is served by ~~Aji Pangeran Tumenggung Pranoto~~ Aji Pangeran Tumenggung Pranoto International Airport, one of Kaltim's busiest airports in terms of passenger and cargo movements. It is the primary hub of Kaltim Airlines. The prominent coal loading port of Tanjung Bara (TBCT) lies about 160 kilometres to the north of Samarinda.



## THE MAHAKAM RIVERS

The map displays the administrative boundaries of Kutai Kartanegara Regency, divided into seven districts (Kecamatan):

- Kecamatan Samarinda Ulu (Green)
- Kecamatan Samarinda Ulu (Light Green)
- Kecamatan Sungai Kanying (Purple)
- Kecamatan Samarinda Seberang (Red)
- Kecamatan Samarinda Bt (Orange)
- Kecamatan Palaran (Yellow)
- Kecamatan Samarinda Utara (Pink)

The map also shows the location of the regency within Indonesia (Inset Map), a scale bar (0 to 50 km), and a legend for the districts and rivers.



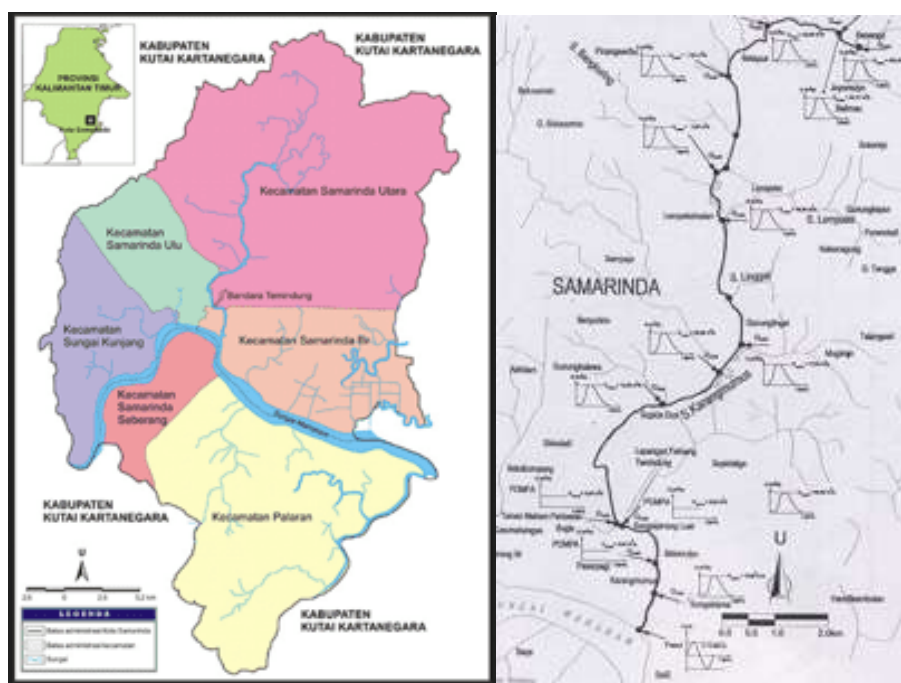


Figure 5. Mahakam River and Samarinda rivers system<sup>51</sup>

There are about 76 lakes spread in the Mahakam river basin and about 30 are located in the middle Mahakam area, including the three main lakes (Lake Jempang 15,000 Ha; Lake Semayang 13,000 Ha; Lake Melintang 11,000 Ha). The lake levels fluctuate seasonally from 0.5 m – 1 m during the dry season to 7 m during rainy season. The Mahakam lakes and surrounding wetlands act as water storage, as well as a trap of sediment contained in the water flowing into the lakes, which are now known to become shallower. This condition is presumably the result of an imbalance between sediment input and slow subsidence. Fishing is the primary source of livelihood in the Mahakam lakes area, with most of the men around the lakes involved in the fishing industry. The middle Mahakam lake area is an area of intensive fishing activity with a productivity of 25,000 to 35,000 metric tons of fish sourced per year since 1970.

The Mahakam delta is a mixed fluvial-tidal dominated delta. The delta covers about 1800 km<sup>2</sup>, consisting of mangrove areas near the shore, Nypa swamps in the central areas, and lowland forest near the apex, corresponding to the first bifurcation. However, recent fishery development in this area has converted a vast area of mangrove into shrimp ponds, known as *tambak*. The delta has three main distributaries directed northeast, southeast and south. The area between these distributaries consist of a series of tidal channels that are generally unconnected to the main distributaries. The distributary channels are narrow and linear, with depths ranging from 8 to 15 m and distributary channel bifurcations appearing every 10 to 15 km. This lower Mahakam area is the second most productive hydrocarbon basin of Indonesia, which contains around 3 billion barrels of oil and 30 Tcf of gas reserves. Field geological investigations in this area were started in 1888, and in 1897 exploration drilling discovered oil at a shallow depth of 46 m on the Louise structure. Oil production started in 1898 followed by expansion of exploration to the entire Mahakam.

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<sup>51</sup> Source: <http://kehidupan-disamarinda.blogspot.com/2008/12/peta-butut-hulu-hilir-sungai.html>



directed northeast, southeast and south. The area between these distributaries consist of a series of tidal channels that are generally unconnected to the main distributaries. The distributary channels are narrow and linear, with depths ranging from 8 to 15 m and distributary channel bifurcations appearing every 10 to 15 km. This lower Mahakam area is the second most productive hydrocarbon basin of Indonesia, which contains around 3 billion barrels of oil and 30 Tcf of gas reserves. Field geological investigations in this area were started in 1888, and in 1897 exploration drilling discovered oil at a shallow depth of 46 m on the Louise structure. Oil production started in 1898 followed by expansion of exploration to the entire Mahakam.

Mahakam and its floodplain is an ecologically important region. A total of 147 indigenous freshwater fish species had been identified in Mahakam. The Mahakam hosts the freshwater Irrawaddy dolphin *Orcaellabrevirostris*, called Pesut by local people. The dolphin is a critically endangered species, which is included in ~~the Convention~~ [the Convention](#) on International Trade in Endangered Species of Wild Fauna and Flora (CITES) Appendix I. The Mahakam river basin is also an important breeding and resting place for 298 bird species, among which, 70 are protected and 5 are endemic species. These include the Borneo dusky manikin *Lonchurafuscans*, Borneo whistler *Pachycephalahypoxantha*, Bornean peacock-pheasant *Polyplectronschleiermacheri*, Bornean blue-flycatcher *Cyornissuperbus* and Bornean bristlehead *Pityriasisgymnocephala*.

## FLOOD

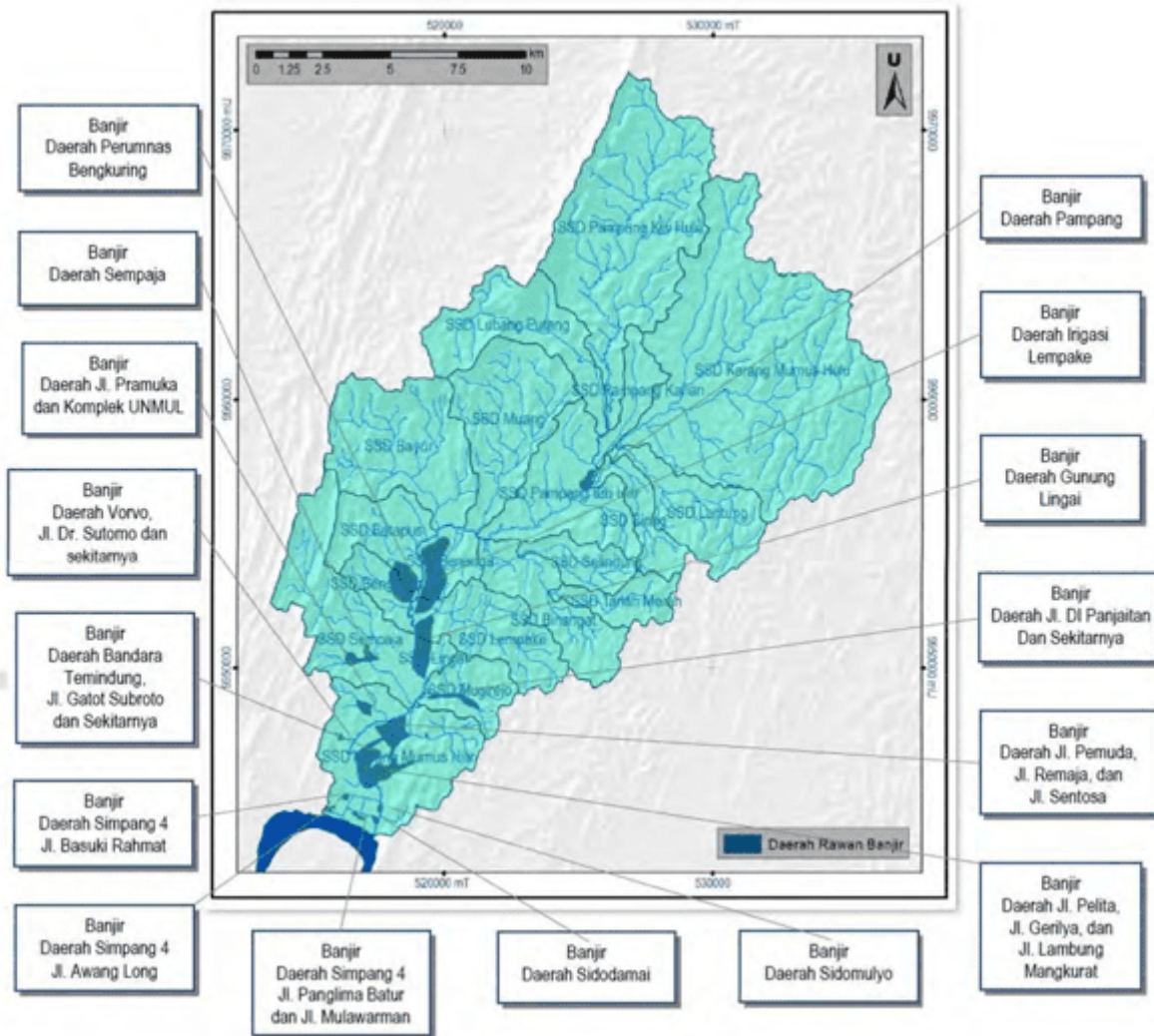
Floods in Samarinda occur almost every year and are always recurring, especially in the rainy season. Duration, height and extent of inundation happens to vary greatly. The duration of the flood that occurred ranged from 3-10 hours to the water height between 0.3-1.5 m, while the largest inundation area is in the Lempake area, with a pool area of  $\pm 200$  ha. Samarinda itself included in the Karang Mumus Sub-watershed where this sub-watershed is also part of the Mahakam watershed, in length the main river namely Karang Mumus River is  $\pm 17$  km long. Watershed Karang Mumus itself has an area of  $\pm 36$  thousand ha, about 50.9% of the area Samarinda. The critical land area in the Karang Mumus Sub-watershed is  $\pm 28.3$  ha or around 63.8% of the total Sub-watershed area. The average rainfall that occurs in this sub-watershed region it reaches  $> 150$  mm-/year. Besides that, Karang Mumus Watershed classified as a flat area (flat), thereby causing the flow velocity on this river is relatively low. Of the various conditions in the Karang Mumus Sub-watershed area, flooding is natural disasters most often occur in this sub-watershed. Because of this sub-watershed is a large part of Samarinda, which automatically floods ~~happens~~ [happen](#) will have an impact on the city of Samarinda itself.





**Table.3.** Flood Prone Areas in Samarinda.

No.Sub District/Street Name		Inundation Height (m)	Inundation Area (Ha)	Duration (Hour)
1	Sempaja Selatan	0.4 – 0.6	20	4
2	Sempaja Utara	0.5 – 1.5	50	8
3	Lampake	0.4 – 0.6	200	8
4	Gunung Lingai	0.4 – 0.6	50	8
5	Sungai Pinang Dalam	0.4 – 0.6	30	5
6	Sungai Siring	0.4 – 1.0	50	10
7	Lempake (Simpang 3)	0.3 – 0.6	3	3
8	Temindung Permai	0.3 – 0.6	5	6
9	Bandara Temnidung (Jl. Gatot Subroto)	0.3 – 0.8	5	6
10	Simpang Pinang Dalam	0.5 - 0.8	15	8
11	Simpang 4 Jl. Agus Salim	0.3 – 0.5	1	4
12	Sidomulyo	0.3 – 0.5	1	4
13	Sidodamai	0.3 – 0.5	1	4
14	Jl. Mulawarman	0.3 – 0.6	0.2	4
15	Simpang 4 Jl. Pang. 5 Batur	0.3 – 0.5	0.2	4
16	Jl. Awang Long	0.3 – 0.5	0.5	3
17	Rapak Dalam	0.4 – 0.7	40	6
18	Tani Aman	0.4 – 0.8	30	6
19	Sungai Kaledang	0.3 – 0.6	3	5
20	Loa Bakung	0.3 – 0.6	10	6
21	Karang Asam Ilir	0.3 – 0.5	0.5	5





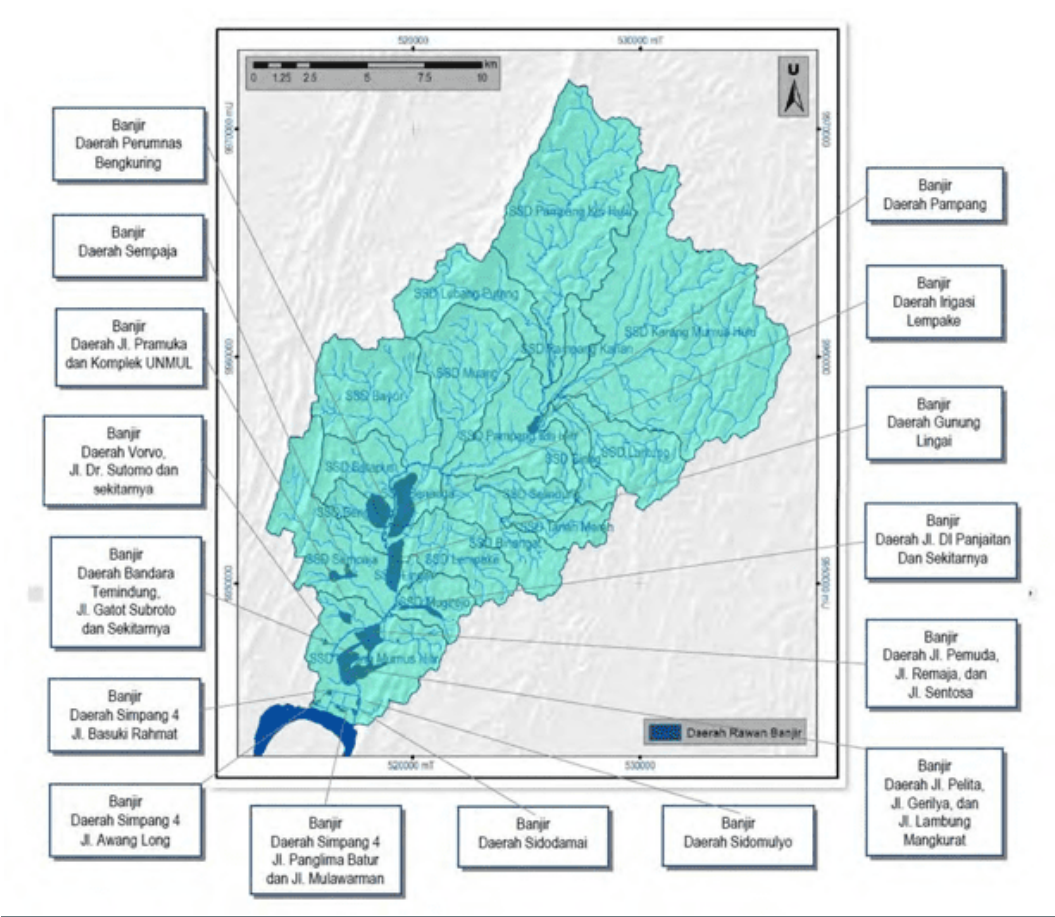


Figure 7. Map of Potential Flood Inundation Areas in the Karang Mumus Sub-watershed, Samarinda

Table 4. Maximum Average Rainfall at SSD Karang Mumus Hulu

Table 4. Maximum Average Rainfall at SSD Karang Mumus Hulu

Average Rainfall SSD Karang Mumus Hulu									
No	Date	Average Rainfall						Thiessen Average Score	Maximum Rainfall
No	Date	Rain-Station-Sei Siring		Rain-Station-Pampang		Rain-Station Tanah-Merah			
		R1	C1	R2	C2	R3	C3		
River Area : 69.53-Km <sup>2</sup>									
1	07-May-04	0	0,995	74	0,005	91	0	0,39	95,49
2	03-Dec-04	96	0,995	0	0,005	0	0	95,49	
3	12-Apr-04	0	0,995	0	0,005	112	0	0	
4	07-Jul-05	36,7	0,995	77	0,005	31,5	0	36,91	80,57
5	28-Jan-05	81	0,995	0	0,005	14	0	80,57	

6	03-Oct-05	28	0,995	68	0,005	118,9	0	28,21	-
7	04-Nov-06	14	0,995	75	0,005	14	0	14,32	-
8	25-Mar-06	99,5	0,995	74	0,005	15	0	99,36	99,36
9	24-Mar-06	0	0,995	0	0,005	71,1	0	0	-
10	06-Nov-07	10	0,995	78,5	0,005	57	0	10,36	-
11	10-Nov-07	86,7	0,995	79	0,005	61	0	86,66	86,66
12	11-May-07	86	0,995	0	0,005	100,1	0	85,55	-
13	10-Oct-08	47,5	0,995	85	0,005	0	0	47,69	-
14	22-Apr-08	86	0,995	33,5	0,005	0,9	0	85,72	85,72
15	04-Jun-08	0,8	0,995	3	0,005	63,9	0	0,81	-
16	28-Nov-09	52,6	0,995	80	0,005	11,8	0	52,74	-
17	16-Apr-09	91	0,995	0	0,005	48,5	0	90,52	90,52
18	24-Oct-09	20,6	0,995	52	0,005	53,9	0	20,76	-
19	28-Oct-10	59,6	0,995	81,8	0,005	7	0	59,71	-
20	17-Dec-10	82,3	0,995	0	0,005	0	0	81,86	81,86
21	31-Mar-10	12	0,995	0	0,005	90,3	0	11,94	-
22	24-Apr-11	6,3	0,995	96,4	0,005	2,5	0	6,77	-
23	05-Jan-11	93,4	0,995	0	0,005	14,7	0	92,91	92,91
24	31-Mar-11	0	0,995	0	0,005	90,3	0	0	-
25	10-Jun-12	0,2	0,995	77,2	0,005	8	0	0,6	-
26	24-Oct-12	53,6	0,995	10	0,005	1	0	53,37	53,37
27	06-Jul-12	15,1	0,995	11,1	0,005	67,5	0	15,08	-
28	03-May-13	0	0,995	96,1	0,005	0,5	0	0,5	-
29	18-May-13	128,5	0,995	0	0,005	2,5	0	127,82	127,82
30	19-Sep-13	0	0,995	0	0,005	115,7	0	0	-
Source : Sukmara, Riyan Benny (2014), Master Thesis "Flood Control Analysis of Karang Mumus River, Samarinda"									

SSD Karang Mumus Hulu									
No	Date	Average Rainfall						Thiessen Average Score	Maximum Rainfall
		Rain Station Sei Siring		Rain Station Pampang		Rain Station Tanah Merah			
		R1	C1	R2	C2	R3	C3		
River Area : 69.53 Km2									
1	7-May-04	0	0,995	74	0,005	91	0	0,39	95,49
2	3-Dec-04	96	0,995	0	0,005	0	0	95,49	
3	12-Apr-04	0	0,995	0	0,005	112	0	0	
4	7-Jul-05	36,7	0,995	77	0,005	31,5	0	36,91	80,57
5	28-Jan-05	81	0,995	0	0,005	14	0	80,57	
6	3-Oct-05	28	0,995	68	0,005	118,9	0	28,21	
7	4-Nov-06	14	0,995	75	0,005	14	0	14,32	99,36
8	25-Mar-06	99,5	0,995	74	0,005	15	0	99,36	
9	24-Mar-06	0	0,995	0	0,005	71,1	0	0	
10	6-Nov-07	10	0,995	78,5	0,005	57	0	10,36	86,66
11	10-Nov-07	86,7	0,995	79	0,005	61	0	86,66	
12	11-May-07	86	0,995	0	0,005	100,1	0	85,55	
13	10-Oct-08	47,5	0,995	85	0,005	0	0	47,69	85,72
14	22-Apr-08	86	0,995	33,5	0,005	0,9	0	85,72	
15	4-Jun-08	0,8	0,995	3	0,005	63,9	0	0,81	
16	28-Nov-09	52,6	0,995	80	0,005	11,8	0	52,74	90,52
17	16-Apr-09	91	0,995	0	0,005	48,5	0	90,52	
18	24-Oct-09	20,6	0,995	52	0,005	53,9	0	20,76	
19	28-Oct-10	59,6	0,995	81,8	0,005	7	0	59,71	81,86
20	17-Dec-10	82,3	0,995	0	0,005	0	0	81,86	
21	31-Mar-10	12	0,995	0	0,005	90,3	0	11,94	
22	24-Apr-11	6,3	0,995	96,4	0,005	2,5	0	6,77	92,91
23	5-Jan-11	93,4	0,995	0	0,005	14,7	0	92,91	
24	31-Mar-11	0	0,995	0	0,005	90,3	0	0	
25	10-Jun-12	0,2	0,995	77,2	0,005	8	0	0,6	53,37
26	24-Oct-12	53,6	0,995	10	0,005	1	0	53,37	
27	6-Jul-12	15,1	0,995	11,1	0,005	67,5	0	15,08	
28	3-May-13	0	0,995	96,1	0,005	0,5	0	0,5	127,82
29	18-May-13	128,5	0,995	0	0,005	2,5	0	127,82	
30	19-Sep-13	0	0,995	0	0,005	115,7	0	0	
Source: Sukmara, Rivan Benny (2014). Master Thesis "Flood Control Analysis of Karang Mumus River, Samarinda"									

## POLLUTION

Logging and mining activities have contributed to what has been termed an "alarming rate" of pollution of East Kalimantan's Mahakam River. Tests of water pollutants show that levels have increased sharply between 2009 and 2011. Despite the growing pollution, it is claimed that "the water is basically still safe for consumption." Unsafe concentrations of heavy metals have been observed in Mahakam fish. A 2015 study found lead concentrations in excess of 1000 times safe levels along with unsafe levels of copper, zinc, and cadmium<sup>52</sup>.

**Table 35.** Data on principal element analysis on surface sediments in the Mahakam delta Water (Darlan, Yuli et al., 2009)

NO	SAMPLE	SiO <sub>2</sub> (%)	Al <sub>2</sub> O <sub>3</sub> (%)	Fe <sub>2</sub> O <sub>3</sub> (%)	CaO (%)	MgO (%)	Na <sub>2</sub> O (%)	K <sub>2</sub> O (%)	TiO <sub>2</sub> (%)	MnO (%)	P <sub>2</sub> O <sub>5</sub> (%)	SO <sub>3</sub> (%)	H <sub>2</sub> O (%)	HD (%)
1	BH-01	64.16	14.30	5.71	0.64	1.18	0.43	1.26	0.81	0.12	0.17	0.01	1.88	11.18
2	BH-03	59.09	15.23	6.25	0.46	1.81	1.29	1.42	0.76	0.06	0.14	0.10	1.94	12.91
3	BMH-03	52.60	17.01	6.69	1.35	2.60	2.85	1.89	0.78	0.08	0.22	0.10	2.34	13.55
4	MH-09A(GC)	79.80	7.04	3.56	0.52	0.78	1.29	0.63	0.39	0.05	0.12	0.08	1.01	5.81
5	MH-09B(GC)	56.60	16.63	6.96	0.29	1.74	1.29	1.58	0.83	0.08	0.20	0.03	2.74	14.13
6	MH-11(GC)	67.00	12.39	5.47	0.67	1.57	1.72	1.26	0.76	0.06	0.18	0.08	1.38	9.23
7	MH-12A(GC)	59.80	12.96	6.00	0.82	1.76	2.15	1.26	0.71	0.08	0.16	0.15	1.77	14.10
8	MH-15A(GC)	56.10	14.35	6.12	3.83	1.99	1.72	1.58	0.75	0.08	0.21	0.10	1.87	13.24
9	MH-17B(GS)	80.00	6.39	4.30	1.53	0.98	1.29	0.63	0.46	0.07	0.20	0.05	0.57	4.35
10	MH-02(GS)	79.50	6.37	4.84	1.00	0.70	0.86	0.79	0.55	0.06	0.20	0.08	1.04	4.85
11	MH-13(GS)	55.20	13.38	6.19	4.23	1.89	1.67	1.51	0.73	0.07	0.22	0.11	1.93	12.87
12	MH-10(GS)	57.20	16.43	6.75	0.39	1.65	1.31	1.49	0.68	0.07	0.19	0.09	2.54	11.21
13	MH-14(GS)	58.80	11.95	6.11	0.79	1.72	1.95	1.13	0.69	0.06	0.16	0.12	1.68	14.84
14	MH-16(GS)	53.10	16.90	6.72	1.25	2.59	2.71	1.79	0.71	0.07	0.20	0.09	2.23	11.64
15	MH-07	78.90	6.32	4.78	0.09	0.71	0.82	0.77	0.52	0.06	0.19	0.10	1.01	5.71

## SOCIAL ASPECTS

The River Mahakam is an economic resource for fishermen and farmers, and as freshwater source, as a waterway since ancient time until today. It is in this river basin where the Kutai kingdom evolved. The Kutai history is divided into two periods, Kutai\_Martadipura (around year 350-400) and Kutai\_Kartanegara period (around year 1300). Kutai\_Martadipura, a Hindu kingdom founded by Mulawarman at Muara Kaman, is regarded as the oldest kingdom in Indonesia. Kutai\_Kartanegara was founded by settlers from Java at Kutai Lama near the mouth of Mahakam. In year 1565, Islam was extensively spread in Kartanegara by two Moslem preachers from Java, Tunggang\_Parangan and RiBandang.

The Dayaks are the indigenous people inhabiting Kalimantan beside the Kutais and the Banjars. Since the 1970s, transmigration of people to East Kalimantan was ~~organised~~ organized by the Indonesian government, especially in areas near River Mahakam. Transmigration aims to migrate people from overpopulated Java, Bali, and Madura islands to stimulate greater agricultural productivity in outer islands. By 1973, almost 26% of the land under cultivation in East Kalimantan was being worked by transmigrants.

Another social aspect that is also related to the issue of social vulnerability is the ~~issue of~~ gender issues in Samarinda .-~~Gender Problem Identification in Samarinda is included~~ where certain optimization are needed including for: (a) Gender Mainstreaming Working Group's role; (b) The role of women in development (c) reporting system for women and children abuse; (d) Implementation of activities towards a children friendly city; (e) Services in accordance with the Convention Children's rights; (f) information about children's forums at school, and community; (g) The role of social institutions as a forum community participation; (h) public awareness in preventing violence towards women and children. All those issues

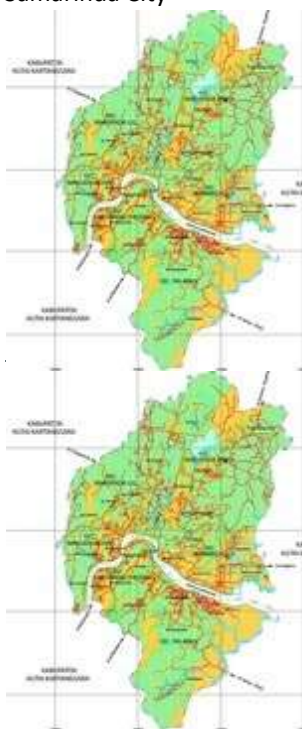
<sup>52</sup> Data on principal element analysis on surface sediments in the Mahakam Delta waters (Darlan, Yuli Et al., 2009)



cause high poverty rates includes the high of (1) The number of poor families due to limited access in utilizing development resources; as well as (2) The lack of adequate facilities and infrastructure for performance and service improvement.

The table below lists some of the main issues being faced in Samarinda City. These include from social to environmental or development issues, that exacerbate the community' vulnerability to climate change.

**Table 46.** Vulnerable Communities issues in Samarinda City

City Territory	Ethnicity	Vulnerable communities issues	Vision and Mission
<p>Samarinda City</p> 	<p>The first ethnic group living in this area was the Banjar and BugisWajo. Furthermore various kinds of ethnic groups began to arrive and settle in Samarinda City including:</p> <ul style="list-style-type: none"> <li>• Paser</li> <li>• Javanese</li> <li>• Madurese</li> <li>• Sasak</li> <li>• Dayak</li> <li>• Chinese</li> </ul> <p>And others.</p>	<ul style="list-style-type: none"> <li>• Environmental problems that arise are <u>floods</u>, and poor waste management, thus making the quality of health worse.</li> <li>• Lack of infrastructure development mainly related to the construction and maintenance of roads and other facilities. This resulted in the difficulty of connecting between one city area and another. Throughout 2017, the <del>highest temperature</del> <u>highest temperature</u> of Samarinda Municipality is 28.30°C with <del>the highest</del> <u>the highest</u> humidity of 86%. When viewed from rainfall <del>and rainy</del> <u>and rainy</u> days, the Municipality of Samarinda has the highest rainfall and rainfall in April and June in 2017.</li> <li>• Protection and support for vulnerable populations: women, children, elderly, disabled. <u>Gender Problem Identification in Samarinda is included optimization needed for: (a) Gender Mainstreaming Working Group's role; (b) The role of women in development (c) reporting system for women and children abuse; (d) Implementation</u></li> </ul>	<p>Focus (2018-2023) on:</p> <ul style="list-style-type: none"> <li>• Realizing the quality of East Kalimantan's human resources that are independent, highly competitive and noble;</li> <li>• Realizing a reliable economic structure with broadest community participation;</li> <li>• Realizing equity and proportionality of basic services, for the community;</li> <li>• Realizing effective, efficient, participatory and law-based governance;</li> <li>• <u>Realizing integrated and harmonious development with an economic and ecological based regional development approach.</u></li> </ul> <p><u>9 Priority Agenda Samarinda City:</u></p> <ul style="list-style-type: none"> <li>• <u>Priorities 1. Optimizing flood control.</u></li> <li>• <u>Priority 2. Increasing the degree of public health.</u></li> <li>• <u>Priority 3. Development of education to produce human resources</u></li> </ul>

City Territory	Ethnicity	Vulnerable communities issues	Vision and Mission
		<p><u>of activities towards a children friendly city; (e) Services in accordance with the Convention Children's rights; (f) information about children's forums at school, and community; (g) The role of social institutions as a forum community participation; (h) public awareness in preventing violence towards women and children.</u></p>	<p><u>professional character and religious.</u></p> <ul style="list-style-type: none"> <li>• <u>Priority 4. Development and improvement of infrastructure, urban facilities and utilities supporting leading sectors and environmentally sound.</u></li> <li>• <u>Priority 5. Poverty alleviation based on community economic empowerment.</u></li> <li>• <u>Priority 6. Disaster prevention and management, collaboratively and effectively.</u></li> <li>• <u>Priority 7. Improvement of religious life, arts and culture; increasing the role and achievements of youth, and sports; and increasing the empowerment of women.</u></li> <li>• <u>Priority 8. Strengthening regional income and development expenditure in the region.</u></li> <li>• <u>Priority 9. Improving good governance.</u></li> </ul>

## 2.2. CLIMATE MITIGATION IN SAMARINDA AND EAST KALIMANTAN PROVINCE

In 2010, the East Kalimantan government committed to sustainable development by launching the Green East Kalimantan focused strategy on improving natural resource governance. To reach its goal, the provincial government engaged various local stakeholders in East Kalimantan and requested support at national and international levels. Governor Awang Faroek Ishak launched *Green Growth Compact (GGC)* in September 2016 as a tool to bring together initiatives from the public and private sectors, local and national governments, communities, NGOs and universities. During the annual meeting of the Governor's

Task Force on Climate and Forests (GCF) 2017 in Balikpapan, an agreement was signed by several of the stakeholders containing seven pilot initiatives, namely: (1) implementing an emission reduction program carbon for the FCPF scheme; (2) strengthen social forestry efforts (targeting 660,782 Ha); (3) strengthen 21 KPHs; (4) strengthen management of Wehea-Kelay's Essential Ecosystem (KEE) for corridors Orangutan; (5) developing partnerships for the management of the Territory Delta Mahakam; (6) supports the development vision of Berau Regency (Berau Forest Carbon Program); and, (7) developing plantations sustainable in all districts. Since the launch of the East Kalimantan strategy Green, KLHK at the national level has supported it as a model sustainable development for Indonesia.

Within the framework of the *Forest Carbon Partnership Facility (FCPF) Carbon Fund program*, the East Kalimantan Environmental Service relied on several tools to assess the status of the local environment, for example it introduced a system for measuring, reporting and monitoring (MMR) greenhouse gas (GHG) emissions and the National Registration System (SRN). This program was endorsed by the Government of Indonesia ~~in order to~~ participate in the *Forest Carbon Partnership Facility (FCPF) Carbon Fund program*. Currently East Kalimantan is in the negotiation stage of the Emission Reduction Payment Agreement (ERPA), to be ~~finalised~~ ~~in~~ ~~2020~~ ~~finalised in~~ 2020. This program also engages other institutions, namely: Research and Development Center for Socio Economic Policy and Climate Change (P3SEKPI); Climate Change Regional Council; and WWF (World Wide Fund for Nature).


## CLIMATE KAMPONG PROGRAM

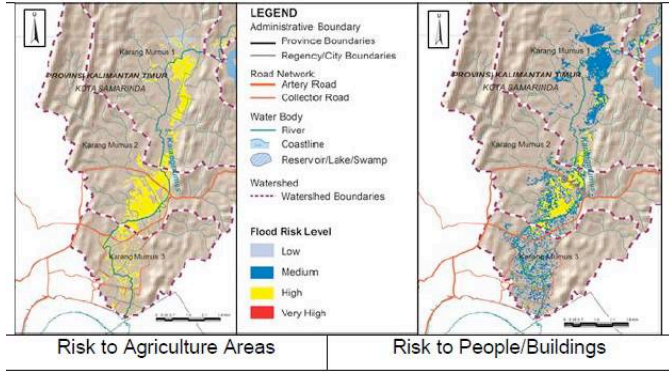

East Kalimantan Province has endorsed a green development model as the key to future sustainability in the region. Climate Change in East Kalimantan is not a figment of people's imagination. The Balikpapan Meteorology and Climatology Agency show that in the last 30 years there has been an increase in the average temperature of 0.043 °C per year in Samarinda, and 0.02 °C per year in Balikpapan. The Regional Council for Climate Change in East Kalimantan stresses how collaboration between stakeholders, government and citizens is a strategy to maximise efforts toward a Green Kalimantan. Currently, through the Green Development Agreement scheme, 11 pilot initiatives have been built, including emission reduction interventions through the *Forest Carbon Partnership Facility (FCPF) scheme*, covering an area of 660,782 hectares. Other interventions include the management of the Essential Ecosystem Area (KEE) for the orangutan corridor in the Wehea-Kelay Landscape, the development of the Delta Mahakam partnership, the Berau Forest Carbon Program (PKHB), the sustainable plantation development, land and garden fire control, SIGAP Program Prosperity and Climate Change Adaptation in the city of Balikpapan, and the Climate Kampong Program. Climate kampong program or PROKLIM is a national program by the Ministry of Environment and Forestry, aiming to increase the involvement of the community and other stakeholders in strengthening the capacity of adaptation to the effects of climate change and the effects of greenhouse gases. The purpose of the climate kampong is to encourage communities to adapt and take steps to address the impacts of climate change on their respective environments. Sindang Sari and Makroman Sub-districts at Sambutan district, Samarinda City, were selected to participate in the 2019 Climate Kampong Program. Sindang Sari Sub-district is a small example of Samarinda City's efforts to be pro-environment. The goal of this program is to facilitate citizens' engagement facing climate change and climate adaptation. The program has also a focus on educating new generations and preparing them for the future.



For the Samarinda City government, kampong development within the *Clean and Healthy Green Program* is very important as a practical and effective way to create an understanding of the importance of personal and environmental health for communities. Both selected kampongs in Samarinda City are expected to work towards creating a village that is in accordance with shared ideals. The climate kampong program

actually aims to endorse how people try to protect their environment, adapt to climate change disasters and also to get ecological and economic benefits, in order to increase people's income and liveability.



**Table 57.** Local Action for overcomes the issue in Samarinda City

No	Hazard and Risk	Climate-Related Hazards and Risks for Samarinda City	Samarinda Local Action	Stakeholder
1	Flood and drought			
		<p>■ Floods in Samarinda is happen annually. Length, height and spacious that occurred in 0,3–1,5 m, area, with an insih, Riyan</p> <p>ive Study of Management (Indonesia), 1 – 429</p> <p>Samarinda, ative impact</p> <p>s high</p> <p>o the tool of</p>	<p>➤ Flood prevention programs in Samarinda (Astuti, 2014; Sari, 2015): (1) The development of a retention pond as a water reservoir from rainfall runoff, (2) The development of drainage subsystems as the smooth management of the water discharge from residential unit toward the primary channel, (3) The development of floodgate on a tributary of the Mahakam River especially KarangMumus river and water pumps in flood area, (4) The City Rivers Normalization program for increasing water flows, (5) Development of Bendalis (a <del>smallwater</del><u>small water</u> reservoir).</p> <p>➤ The city government is less involved in the social aspects of the flood control programs. Only the physical infrastructure development of flood control is optimized (Sodik, 2015)</p> <p>➤ Improve the comprehensive and preventive flood mitigation planning</p> <p>➤ Repair the flood control infrastructure</p> <p>➤ Improving the Quality of Riverbank Settlement</p> <p>➤ Consolidating the sustainability of protected areas to support sustainable <del>citiesdevelopment</del><u>cities development</u></p> <p>➤ Flood control systems development</p>	Samarinda Municipality

		 <p><i>The problem of flooding in the Samarinda City is complex and driven by</i>  <i>i) fluvial floods along the Karang Mumus River,</i>  <i>ii) pluvial floods in the city, and</i>  <i>iii) coastal floods due to the high-water levels in the Mahakam River.</i></p> <p><i>Flooding cause frequent inundation of buildings, temporary relocation of people and associated health hazards. The river is a significant source of community activities despite the river pollution.</i></p>	<p>➤ <i>Drainage network system development and improvement</i></p> <p>➤ <i>Increase public and private green space</i></p>	<p><i>Samarinda Citizen</i></p>
2	Access to clean water			
		<p>■ <i>The community does not understand the essence of the existence of swamps on the left and right sides of the river that flow through the city of Samarinda, even though this can be an alternative source of clean water. Samarinda has lost swamps in the size of thousands of hectares and will continue to grow due to the decline of swamps in the interests of settlements and opening trade areas. (source: “Tidak ada kebijakan dibuat untuk menghentikan anok upasi atas rawa-rawa , <a href="https://www.niaga.asia/mengapa-air-menjadi-masalah-di-samarinda/">https://www.niaga.asia/mengapa-air-menjadi-masalah-di-samarinda/</a>). water scarcity is classified as very low or non-existent based on modelled flood information currently available to the tool of <a href="http://thinkhazard.org">http://thinkhazard.org</a> <a href="http://thinkhazard.org">http://thinkhazard.org</a></i></p>	<p>➤ <i>Clean Water Services through Regional Water Companies.</i></p>	<p><i>Samarinda Municipality</i></p>

3	Access to reliable energy resources			
		<ul style="list-style-type: none"><li>▪ The number of households served by PLN connections has almost doubled in the period 2011-2015. However, there are still 70% of households that have not been served (BPS Samarinda, 2015)</li></ul>	<ul style="list-style-type: none"><li>➤ Electricity Services by the State Electricity Company.</li></ul>	Samarinda Municipality
4	Community vulnerability and safety			
		<ul style="list-style-type: none"><li>▪ From 2010-2018 there was no significant reduction in the percentage of poverty, namely from 5.21% in 2010 to 4.59% in 2018 (BPS Kota Samarinda, 2018).</li><li>▪ East Kalimantan is faced with environmental problems due to uncontrolled mining exploitation. During the last 10 years, in addition to 32 fatalities, he said there were 632 excavated holes. Former mining excavations in East Kalimantan continue to take casualties in the past seven years. The number reached 32 people, 27 of whom were children.</li><li>▪ In the notes of the One Earth Forum, East Kalimantan is one of the deadliest provinces for its citizens. Because, since the Dutch colonial era, around 1894, this province, formerly called Borneo Land, has extracted itself. Through the dismantling of oil and natural gas and until this happens, natural wealth continues to be dredged. To this day, East Kalimantan is still relying on the economy for logging, coal extraction, and the opening of oil palm plantations. After Kaltara was expanded, the area of East Kalimantan became 12.7 million hectares. Of that number, 46 percent or equivalent to 5.2 million hectares are destined for mines. Meanwhile, the plantation area is only 3.37 million hectares. No more than 4.27 million hectares are living spaces that must be shared for houses of worship, hospitals and schools, roads and markets, as well as playgrounds and settlements for a population of 3.4 million. This all creates a living space that is of poor quality</li></ul>	<ul style="list-style-type: none"><li>➤ Demand lawfully issues related to the management of coal mining environment.</li><li>➤ Monitor mining business activities</li><li>➤ Protection and support for vulnerable populations: women, children, elderly, disabled</li></ul>	Samarinda Municipality



		<p>(<a href="https://www.mongabay.co.id/2017/03/27/masyarakat-kalimantan-timur-menderita-akibat-lingkungan-yang-rusak/">https://www.mongabay.co.id/2017/03/27/masyarakat-kalimantan-timur-menderita-akibat-lingkungan-yang-rusak/</a>)</p> <ul style="list-style-type: none"> <li>Protection and support for vulnerable populations: women, children, elderly, disabled.</li> </ul>		
5	Food security			
		<ul style="list-style-type: none"> <li>As of July 2019, at least there have been numerous forest fires which have burn an area more than 60 Ha (<a href="https://merdeka.com/peristiwa.html">https://merdeka.com/peristiwa.html</a>.) Indigenous people in that lived in East Kalimantan have continued to lose their main livelihoods since the presence of coal and mineral mining, the oil and gas industry, and palm oil plantations. The vast area of land needed investment has led to narrow areas of management of indigenous people (<a href="https://money.kompas.com">https://money.kompas.com</a>). As a result of uncontrolled mining, the agricultural sector in East Kalimantan was hit. Rice fields must be shifted because of being forced by mining sites.</li> </ul>	<ul style="list-style-type: none"> <li>Until now, Samarinda City is only able to fulfill 18 percent of Samarinda's food needs. The remaining 82 percent must be brought in from outside East Kalimantan by the city government.</li> <li>Synchronizing and sharpening the role of extension agents in the field plus increasing the capacity of education counseling in the field of agriculture.</li> </ul>	Samarinda Municipality
			<ul style="list-style-type: none"> <li>Diversification of food and utilization of land owned by the community.</li> <li>Coaching through the use of home yards to help fulfil household food needs</li> </ul>	Samarinda Citizen
6	Waste Contamination			
		<ul style="list-style-type: none"> <li>Every day, Samarinda City produces 800 tons of garbage. These organic and non-organic wastes are collected from various points. If added up every month, the city produces 24 thousand tons of waste. On certain days the amount of garbage in the capital has increased dramatically. For example on weekends, school holidays, Eid al-Fitr, Christmas and New Year. At that moment, garbage increases 30 percent compared to the usual day</li> <li>(Source: <a href="http://bontang.prokal.co/read/news/18363-astaga-sehari-samarinda-dipenuhi-800-ton-sampah">http://bontang.prokal.co/read/news/18363-astaga-sehari-samarinda-dipenuhi-800-ton-sampah</a>).</li> <li>The number of Final Disposal Sites is only one that is qualified. Namely Bukit Pinang Final Disposal Site on JalanPangeranSuryanata, Samarinda Ulu. Even then the capacity is only up to 500 tons per day. In other words</li> </ul>	<p>Processing waste into recycled goods that are worth selling.</p> <ul style="list-style-type: none"> <li>At certain times, where waste is very disturbing, the government invites Non-Governmental Organizations to clean up Waste together.</li> <li>Form a junk cyber team that is tasked with spurring the community to maintain cleanliness.</li> <li>The Government of Samarinda City has begun to formulate and issue policies related to the condition of solid waste in Samarinda such as the issuance of Perwali Number 1</li> </ul>	<p>Education Institution</p> <p>Samarinda Municipality, NGO, Citizen</p> <p>Samarinda Municipality</p>

		<p>there are still 300 tons of waste volume that meets the capital city. The alternative is the Sambuta Final Disposal, which is district scale. However, because of the problem of land, the volume of garbage that can be accommodated is only enough for the surrounding residents.</p> <p>(Source: <a href="http://samarinda.prokal.co/read/news/11758-volume-sampah-meningkat-tajam.html">http://samarinda.prokal.co/read/news/11758-volume-sampah-meningkat-tajam.html</a>)</p>	<p>Year 2019 concerning Reducing the Use of Plastic Waste.</p> <p>➤ Socialize the rules to the public to dispose of waste according to the place provided and the time determined according to Perda Number 2 Year 2011 namely, from 6 pm to 6 am local time.</p>	
			<p>▪ Organic Waste Management.</p>	<p>Samarinda Municipality and Citizen</p>

Part 34 Technical Summary: New ways forward for climate-responsive urban public space	
a)	The project vision is to create a new typology of public space, conceived as a series of public spaces that form an interconnected network within the pilot city of Samarinda.
b)	Key priorities for the project include solutions and education about (1) flood and drought; (2) access to clean water; (3) access to reliable energy sources; (4) community vulnerability and safety; (5) food security; and, (6) waste management.
c)	Each of the designed public spaces will encourage the local community to engage with the 6 key priorities. They will provide access to essential resources such as clean water, food and energy, provide educational opportunities to learn about sustainability and demonstrate how to reduce climate change impacts.

### 3. PROJECT/PROGRAMME OBJECTIVES

#### UNDERSTANDING OF THE TITLE:

*EMBRACING THE SUN: Redefining Public Space as a Solution for the Effects of Global Climate Change in Indonesia's Urban Areas*

Indonesia is a tropical country consisting of 5 large islands surrounded by many small islands. As a tropical country, of course the sun is the main daily companion for people in Indonesia, and is an icon of the climate. But lately, we have found and witnessed many changes in people's attitudes towards our natural climate. Mainly related to the effects of climate change. Climate change is something that cannot be avoided all over the world. Including in Indonesia. At present, people prefer to avoid and ignore climate issues which ~~are considered to be~~ unfriendly and disturb the comfort of daily lives.

*Through this proposal, we want to raise awareness of the threats and the potential of our Indonesian environment and our tropical climate; we want to increase awareness of the impacts of Climate Change and the main strategy to achieve this is rethinking Public Space.*

The objective of this ~~programme~~program is to prepare Indonesian communities to cope with the effect of climate change as well as ~~reduce the~~reduce the causes of the current environmental crisis. The focus is on addressing flood adaptation and its the social impact of floods on urban communities. This is achieved through the development of a new typology of public space and its implementation ~~to establish an integrated network of public spaces~~ within a pilot city, Samarinda city, and with the objective to address in the first instance the challenges of a hierarchical way different challenges, prioritising~~the first instance the challenges of a hierarchical way different challenges, prioritising~~ prioritizing flood adaptation and preparedness; other challenges connected to climate change will have also to be addressed so to deliver an infrastructure that is self-sufficient during floods events, and an integral part of the urban social fabric outside flood-events. The ~~programme~~program is based on an action research participatory methodology. The theoretical

framework adopted is the *Positive Development* paradigm<sup>53</sup>, which promotes building solutions and techniques that improve the quality of the environment, harvest resources sustainably and contribute in a positive fashion to positive externalities to the overall ecology ecological landscape in the vicinity of an area. Positive development paradigm advocates interventions on the triple bottom line of economy, environment, and society, to improve the overall net performance of systems in different fields. Going beyond sustainable development, positive development advocates interventions that contribute a positive gain to the system and that instead of depleting resources, generate improvements on ecosystems, communities, and economic systems. In this paradigm, interventions instead of requesting continuous inputs to function, would produce outputs to support communities and better the overall environment<sup>54</sup>. The Positive Development paradigm is implemented in this project through a systemic approach<sup>55</sup>, aimed to create a resilient ecosystem within the city of Samarinda. The systemic approach aims to establish a network of infrastructures that respond in a coordinated way to different challenges connected to climate change.

The systemic approach aim aims to address in first instance the main challenge of flood adaptation; the proposed public spaces space will then also address also other climate related challenges through the through the detailed design of the new infrastructures infrastructure. The systemic approach allows to maximise maximize the resources and possibility of an ecosystem, spreading distributing the load of current challenges, maximising maximizing the gains of the interventions, outreaching different communities within the selected pilot city<sup>56</sup>. The creation of public spaces based on the new proposed typology, will also foster dynamics aimed to connect, enhance, and integrate existing public spaces.

The long-term vision is to create a network of public spaces that will support a new ecosystem that will provide benefits to the entire city. Although the interventions intervention will be spatially limited to two one specific communities community, the creation of a network in the long term, including existing and proposed public spaces, green areas, water bodies, community and social infrastructure, will maximise maximize the environmental and social benefits of the programme program. The network will be established through low-cost high-impact design solutions to be progressively implemented in the urban tissue. Design guidelines for bottom-up or middle-out interventions will be developed building on learnings from this project. To provide an idea of the overall approach to the creation of this new typology, some relevant case studies are summaries in table 6. These case studies address one specific issue, contextually creating a public space addressing also secondary social and environmental issues.

**Table 68.** Preliminary case studies for the development of a new typology of public space

Case Study	Location	Project
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53——\_Birkeland, J. (2008). Positive development: from vicious circles to virtuous cycles through built environment design. London: Earthscan.

54——\_Idem.

55——\_Maser, C. (2012). Decision-making for a sustainable environment: a systemic approach. Boca Raton: Taylor & Francis.

56——\_Idem.

 	<p><u>Nishiki, Japan</u></p>	<p><u>The Nishiki Tower is an example of how in Japan structures designed to provide a haven for communities during tsunamis has been envisioned to solve also other community needs, and act as an urban landmark.</u></p>
	<p><u>Venice, Italy</u></p>	<p><u>igVenice has a strategy to deploy walkways across the city center quickly to face h</u></p>
	<p><u>Copenhagen, Denmark</u></p>	<p><u>Enghaveparken is a public park that is undergoing refurbishment so to accommodate the need of local communities as well as serve as a 24,000 cubic meter retention basin for water during major flooding events</u></p>
	<p><u>Zurich, Switzerland</u></p>	<p><u>MFO-Park in Zurich is an example of a vertical public space, where different platforms cater for different social activities. Ramps and stairs connect the different platforms providing a vibrant environment, especially for youngsters.</u></p>
	<p>Brisbane, Australia</p>	<p>Rainbank is an integrated system to collect rainwater in a 30h urban basin, treat the water through vegetation and store it for the use of the local area needs. The project relies on existing infrastructure and public spaces to collect and treat water, which is then stored underneath South Bank parkland, Brisbane main public space.</p>
	<p><u>Copenhagen, Denmark</u></p>	<p><u>Enghaveparken is a public park that is undergoing refurbishment so to accommodate the need of local communities as well as serve as a 24,000 cubic meter retention basin for water during major flooding events</u></p>
	<p>Sydney, Australia</p>	<p>Ballast Point Park in Sydney accommodates a structure built out of a recycled tank; this structure support micro wind turbines to produce clean energy for the local community</p>
	<p>Bangkok, Thailand</p>	<p>Urban farming is an activity that is taking place in several cities; Suanluang 1 community in Bangkok has taken this activity to a new level; public spaces in the urban village are used to grow food, which is then used to prepare traditional food. This is sold once a week in a unique Muslim market, the</p>

		larger of its genre in Thailand. This project has provided positive outcomes to residents in terms of quality of public space, access to food, and in terms of economic return through the markets.
	Bogotá, Colombia	Mayor of Bogotá, Enrique Peñalosa has invested in the creation of an integrated network of bikeways, public spaces, supported by a Bus Rapid Transit. These interventions have provided a reduced reliance on private transportation and increased accessibility to the urban core reducing its congestion.
	Tirana, Albania	Since 2000, Tirana has invested in rejuvenating its public space creating a series of <del>colourful</del> colorful interventions. This cost-effective project <del>was able to</del> engaged the community in rediscovering their city; public spaces were revitalized with a positive effect on street security and commercial activities.

Within this theoretical framework, this ~~programme~~ program suggests a strategic role ~~of a for~~ public spaces<sup>57</sup>. Public space is for definition communal space and a stage where private interests are generally negotiated for a greater common good. The disperse and interconnected nature of public spaces allow them to act as ecological corridors as well as social spaces<sup>58</sup>. Looking at public spaces as opportunities to connect different parts of a city, different ecosystems, different communities, can contribute to face in a networked way emerging challenges, to distribute access to resources, to integrate opportunities for positive development within the urban fabric and social life.

~~This programme~~The case studies reviewed individually address one specific issue connected to climate change; they all suggest the idea of public space as an infrastructure that links societal, economical, and environmental dynamics. This project recognizes the interconnected nature of flood events, their impact on communities at multiple levels, limiting access to resources and services. Flooding disrupts supply chains challenging food security and community sustenance; flooding affects access to clean water and power; flooding threatens the physical environment and undermines the social structure. This program aims to deliver a public space that will ~~provide a haven for communities during flood events; this haven will be designed so to address~~ ~~address the~~ the multilayered issue of floods. It will mitigate in first instance the effect of flooding within the selected community and provide access to resources to sustain the community during recovery. The public space will function as a community hub also outside flood season, maximizing the impact of the infrastructure on the local community.

Imagine the following scenario, you live in Samarinda and you find yourself in need of protecting yourself, your family, and your house from an incumbent flood. In first instance, ~~your seek haven in a purposely built structure, which will provide you shelter and support you during the incumbent event.~~

57——\_Wikantiyoso, R., &\_Suhartono, T. (2018). The role of CSR in the revitalization of urban open space for better sustainable urban development. International Review for Spatial Planning and Sustainable Development, 6(4), 5-20. doi:10.14246/irspsd.6.4\_5

58——\_Guaralda, M., &\_Kowalik, M. (2012). Negative space and positive environment: mapping opportunities for urban resilience: REAL CORP.



While in the structure, you need access to clean water, food, and power; you need services and toilets. During the flood, you might need to face the rising water level to reach haven and protected walkways would make this task easier and safer. you need to secure your house, building a physical barrier to contain water using sandbags (where to find the sandbags?). During the flood, you find yourself and your family cut out from the broader city and you can rely only on resources in your proximity. You might need food and water to cover the basic needs of your family. You also might need access to power to charge your phone so to stay connected and updated about the situation (where to find these resources?). After the flood, you need to clean up, store debris, manage waste, reinstate your access to distribution lines and resources (how to do this?). Our rationale is that the physical impact of floods on a community are just one aspect of the issue and that there are several other factors to consider in order to foster community resilience and preparedness to embrace climate adaptation. The public space we envision addresses one issue, **flood adaptation** ~~the social impact of floods on communities~~, proposing an integrated system that can cope with the nuances of this situation. The strength and innovation of this project is not limiting the intervention to **the provision of a simple shelter. one specific action, for example managing the physical hazard caused by floods.** The strength of this project is to bring together existing solutions and technologies into an integrated system to address one complex issue through multiple integrated actions. The improvement of integrated actions, through a systemic approach, will be able to support communities before, during, and after a flood event, supporting them to adapt to climate change. The different actions will be co-located and integrated within the same public space, so to maximize the impact of the intervention and its cost-effectiveness.

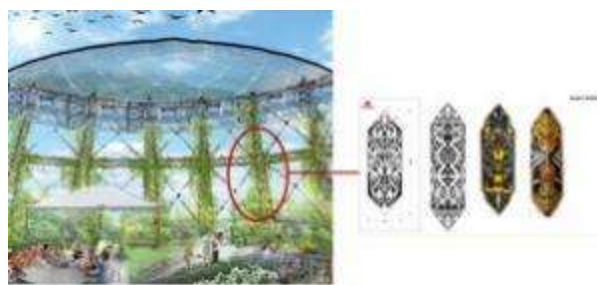
This program, in the long-term, aims to have a positive impact on the enhancement of lives quality and life expectancy of communities within Samarinda city in Indonesia, through the development and construction of an integrated network of public spaces that will function as infrastructure to increase community resilience and provide communities with basic access to resources. The first and main aim of the network is to adapt and to prepare to face disruptive flood events. ~~Two~~ **One** public ~~spaces~~ **space** will be developed to pilot this approach; ~~their~~ **its** design and structure will provide communities with a space that will support the community before, during, and after flood events. The new ~~two~~ **one** public ~~spaces~~ **space** will act as a hub ~~where~~ **where** communities will learn about flood and will access resources and materials to face flood (for example ~~sand bags~~ **sandbags**) in preparation ~~to~~ **for** a flood event. During a flood event, the public space will act as **a haven where communities will be able to find refuge in a multi-level structure. The lower section of the structure will be designed as a floodable landscape to harvest flood waters and mitigate the impact on surrounding communities. The infrastructure** ~~it~~ **will also provide communities with access to food, clean water, and power, so to support them while they need to shelter in the haven.** After the flood, the public space will act as a hub ~~where to access power, water, and food to support recovery,~~ temporarily store debris, organize clean-ups and community recovery. **A system of risen walkways is also suggested to connect the haven to surrounding areas. Outside the flood events, these walkways will act as benches and platforms supporting the local social community.** Overall, the proposed public spaces will increase community safety during flood events. The physical interventions will address current and emerging issues linked to climate change through passive systems, community engagement, and affordable low-tech solutions. **Outside flood events, the structure will host market functions at the lower level, children and youngsters facilities at the mezzanine level, and support also urban farming facilities through its envelope. At the upper levels, solar panels and turbines will generate electricity to support the community while sheltering and provide a source of income outside the flood events, selling power to local businesses. The pilot project in Samarinda City will provide then** Food production is seen as an important secondary component of this project, which can foster economic activities and social engagement also outside hazardous events. Local women will be a fundamental partner in the success of the intervention; they will ensure the redefinition of public space in the concept of positive development is achieved. The aim is no longer merely to bring women's voices to the public sphere



for the benefit of women and children but to further transform the existing power relations structure as a result of patriarchal culture that marginalizes vulnerable groups. The new public space will provide women with a space where to be economically active with the production of food and leading communities in adapting to climate change. The pilot project in Samarinda City will provide the template for interventions in other Indonesian cities through the development of implementation guidelines. These guidelines might also be implemented in other national contexts, taking in consideration local needs and conditions.

The nature of the physical intervention and the character of the methodology to design and deliver them will be a fundamental component in the long-term sustainability of the project. The use of passive technologies and design will ensure that the new public spaces will be maintained with the requirement of minimal investment in the long-term. The co-creation approach – through engagement with the local community during the design of public spaces – is aimed to foster a sense of ownership within the interested communities, who will then be entrusted with the day-to-day maintenance and activation of the public space system. The design of the new public space, will rely on the use of passive technologies and, where possible, off-the-shelf technologies. In order to identify suitable solutions and technologies for the city of Samarinda and the selected location, as part of the first component of the program, a contextual review will be developed. The selected solutions will be then discussed with community stakeholders and used as building blocks of the new public space. This approach will ensure cost effectiveness of the intervention; coupling existing technologies in the design of the pilot project will also allow to address specific issues of flooding on the social milieu, as identified with the community.





*Figure 7. Artist's indicative impressions of one type of public space*

### 3.1. INTEGRATED APPROACH TO PUBLIC SPACE DESIGN AND CLIMATE CHANGE ADAPTATION

The proposed typology aims to create a haven organised on multiple levels. The space that will be socially inclusive, culturally appropriate, vibrant to support the local economy, and have physical attributes to positively impact the local environment while increasing climate resilience. The project will address the need to prepare and adapt local communities to flood hazards, it will focus on five key elements to achieve a successful public space typology: water, energy, materials, social, and green. Water management and harvesting, food production, processing and storage, waste management and biodiversity enhancement will be by-product of the interventions related to each of these elements. The resulting public space network will be realised with dispersed low-tech design intervention built-in the day-to-day practices of local government and citizens (some examples provided in figure 08). This approach aims to promote social inclusion and diversity by enhancing activities that target people from different genders, ages and ethnicities. High impact low cost interventions across two public space sites will foster an integrated and Water Smart approach<sup>59</sup> to flood adaptation. The new public space typology will provide Samarinda with tangible adaptation strategies and tools including:

#### (1) Resilience

- Public community space for markets, gatherings, play and education including shade structures.
- Solar power generation for mobile phone charging and lighting at night, ensuring passive surveillance of space at night and safe access for all members of the community.
- Perpetual access to clean safe water.
- Waste management

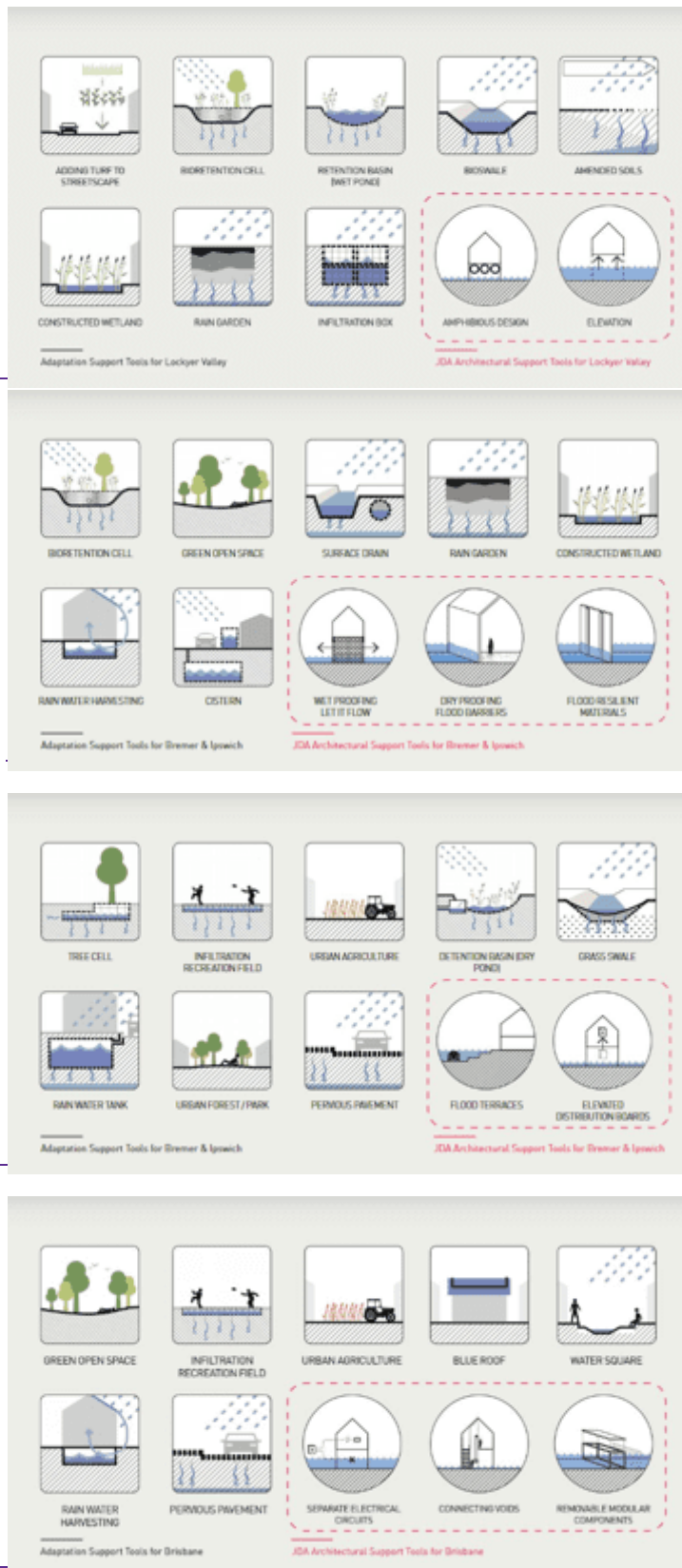
#### (2) Response

- Emergency distribution point for community access to crisis provisions, electricity for phone charging, sandbags etc.
- Access to reliable water and energy sources during a flood emergency.
- Evacuation and shelter management point for displaced people.

#### (3) Recovery

- Ongoing community resilience building following a flood event.

<sup>59</sup> Tsakalides, P., Panousopoulou, A., Tsagkatakis, G., & Montestruque, L. (2018). Smart water grids-grids: a cyber-physical systems approach. Boca Raton, FL: CRC Press/Taylor & Francis Group.



— **Figure 8.** Design tactics for flood management and mitigations<sup>60</sup>

<sup>60</sup>James Davidson Architects (2019) The Water Futures Book.  
[https://issuu.com/jamesdavidsonarchitect/docs/water\\_futures\\_book\\_digital\\_version](https://issuu.com/jamesdavidsonarchitect/docs/water_futures_book_digital_version)

Briefly, the project will deliver:

- Two A palette of technologies and technological solution to inform the design of the pilot project, developed through a co-design approach with the interested communities.
- One pilot public ~~spaces~~space in Samarinda
- ADesign guidelines consist of a palette of low-cost high-impact design tactics to be implemented in time within the urban environment, so as to enhance flood water management and establish a cohesive network of interventions to manage floods through adaptation of existing public spaces and development of new ones.

The ~~two~~selected public ~~spaces~~space, identified in consultation with Samarinda City Government, will act as multipurpose spaces within the specific framework of being in the first instance designed to support local communities to adapt to floods: not just in terms of physical response, and mainly focusing on the social response to flooding. Detailed design of the proposed public spaces ~~and their locations~~ will be negotiated with local government and local communities, ~~multi-level infrastructure.~~ The public space will be designed as a multi-level structure, on taking as an example the Nishiki Tower and the MFO-Park. While structures designed to cope with tsunamis provide shelter for a limited time, due to the nature of the hazard, the structure we propose needs to accommodate community members over one-two days, so it is necessary that they provide a more sophisticated form of shelter. On the basis of preliminary research, their main feature should anyway be:

- SectionsThe lower level of the public space ~~have~~s to act as a floodable landscape and work as a flood water retention basin during flood events. Flood water from surrounding areas has to flow to this space, where it can be safely managed.
- The community will therefore actively engage in the management of the public space and its preparation towards a flood event.
- Low-tech tactics in existing and proposed public spaces, including streetscapes, will facilitate the management and dispersion of flood waters.

~~Sections of the public space have to act as a floodable landscape and work as a flood water retention basin during flood eventevents. Flood water from surrounding areas havehas to flow to this space, where it can be safely managed. From the public space, water will be then safely managedthroughmanaged through ground infiltration and discharge in the Mahakam River through a swale.~~

The retention basin will be filled with sand during the dry season; residents will be able to collect this sand and sandbags from the public space to protect their houses ahead of the flood. Basically, the space will function as sand storage and local residents will be able to access sand stored here to form sandbagsandbags to protect their properties. The community will therefore actively engagedengage in the management of the public space and its preparation towards a flood event. Low-tech tactics in existing and proposed public spaces, including streetscapes, will facilitate the management and dispersion of flood waters. The network of public spaces will direct flood water from high ground towards the proposed public space, which will act as a retention basin, and in the second instance from the public space to the river when it will be safe to do so.

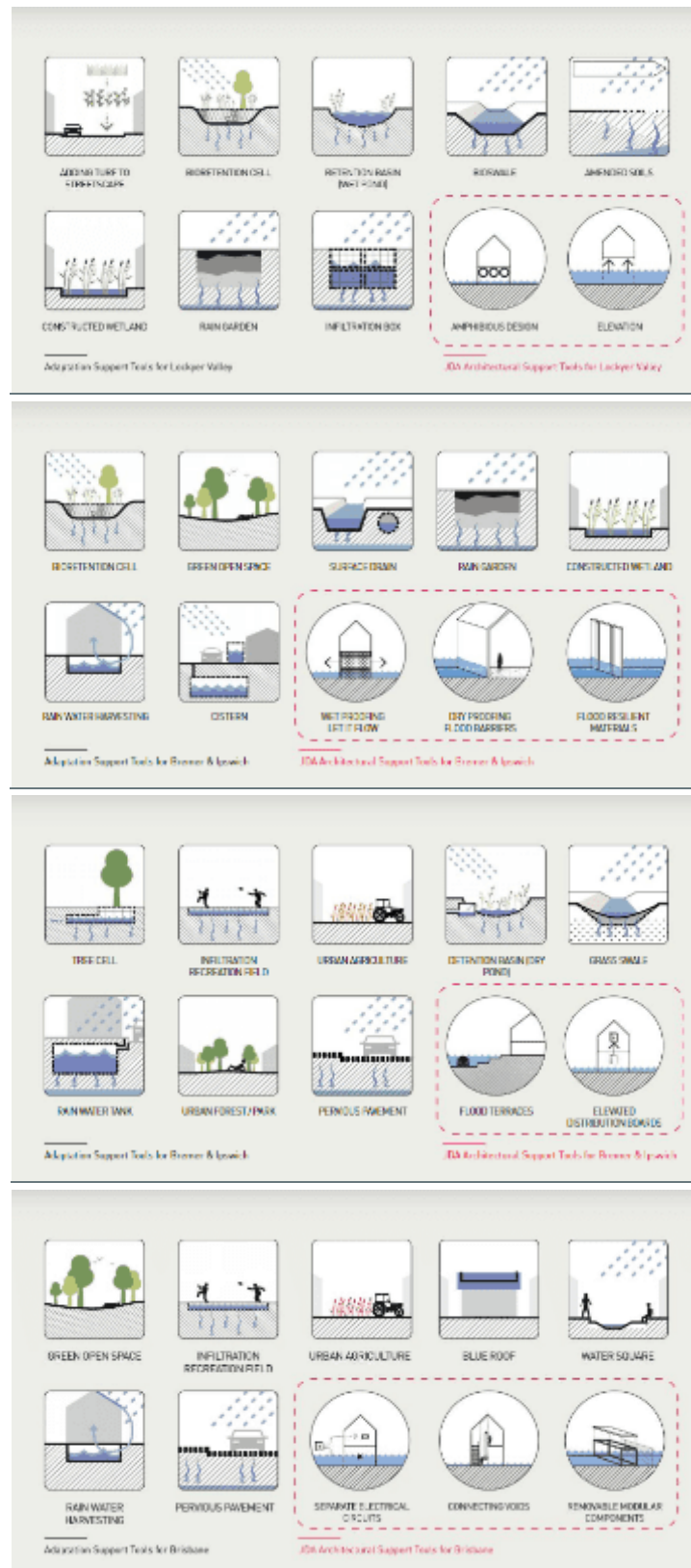


Figure 8. Design tactics for flood management and mitigations<sup>61</sup>

<sup>61</sup> James Davidson Architects (2019) The Water Futures Book.  
[https://issuu.com/jamesdavidsonarchitect/docs/water\\_futures\\_book\\_-\\_digital\\_version](https://issuu.com/jamesdavidsonarchitect/docs/water_futures_book_-_digital_version)



- Rainwater will be harvested through the shelter structures included in the space and stored in water tanks on-site at the mezzanine level. Access to clean water will also be fundamental during the recovery phase of the flood as well as to support the day-to-day life of the community.
  - While the ground level will be used for markets outside flood events, the mezzanine level will provide storage so that goods and equipment used for the markets can be safely preserved during floods.
  - The public space will be fitted with ancillary items to support community members while they are sheltering, the recovery and also the day-to-day life of the local communities. Wind turbines and solar panels will facilitate the production of affordable electricity. Access to an off-grid power source will be strategic for the recovery phase after the flood. These items will be designed at the upper level of the haven.
  - Landscaping of the public space will be realized as an edible landscape. The inclusion of greenery will have positive effects on the local microclimate and provide access to green areas for the local community, with benefits for their mental health.
  - Benches and raised platforms beds will be used as walkways during flood events so to provide continuous access to the haven.
  - The public space will also be fitted with components for composting and wet waste management. Areas will be dedicated to safe recycling of materials and these materials will also be implemented to improve and expand this public space.
  - The space will provide areas to support social entrepreneurship, with a specific focus on women and minorities.
1. ~~Rainwater will be harvested through shelter structures included in the space and stored in water tanks on-site. Access to clean water will also be fundamental during the recovery phase of the flood as well as to support the day to day life of the community.~~
  2. ~~The public space will be fitted with ancillary items to support the recovery and also the day to day life of the local communities. Wind turbines and solar panels will facilitate the production of affordable electricity. Access to an off grid power source will be strategic for the recovery phase after the flood.~~
  3. ~~Landscaping of the public space will be realisedrealized as an edible landscape. The inclusion of greenery will have positive effects on the local microclimate and provide access to green areas for thelocalthe local community, with benefits for their mental health. The edible landscape will be a feature engaging the local community daily, through production and harvest of produce. This feature will also assist recovery providing access to fresh food or to mean to produce fresh food in the recovery phase of the flood.~~
  4. ~~The public space will also be fitted with componentcomponents for composting and wet waste management. Areas will be dedicated to safe recycling of materials and these materials will also be implemented to improve and expand this public space.~~
  - ~~The space will provide areas to support social entrepreneurship, with a specific focus on women and minorities.~~

Figure 7 provides a preliminary artist impression of the proposed public space; its functions will be multiple:

- Safe shelter point during flood
- Retention basin for flood water
- Emergency distribution point
- Community hub

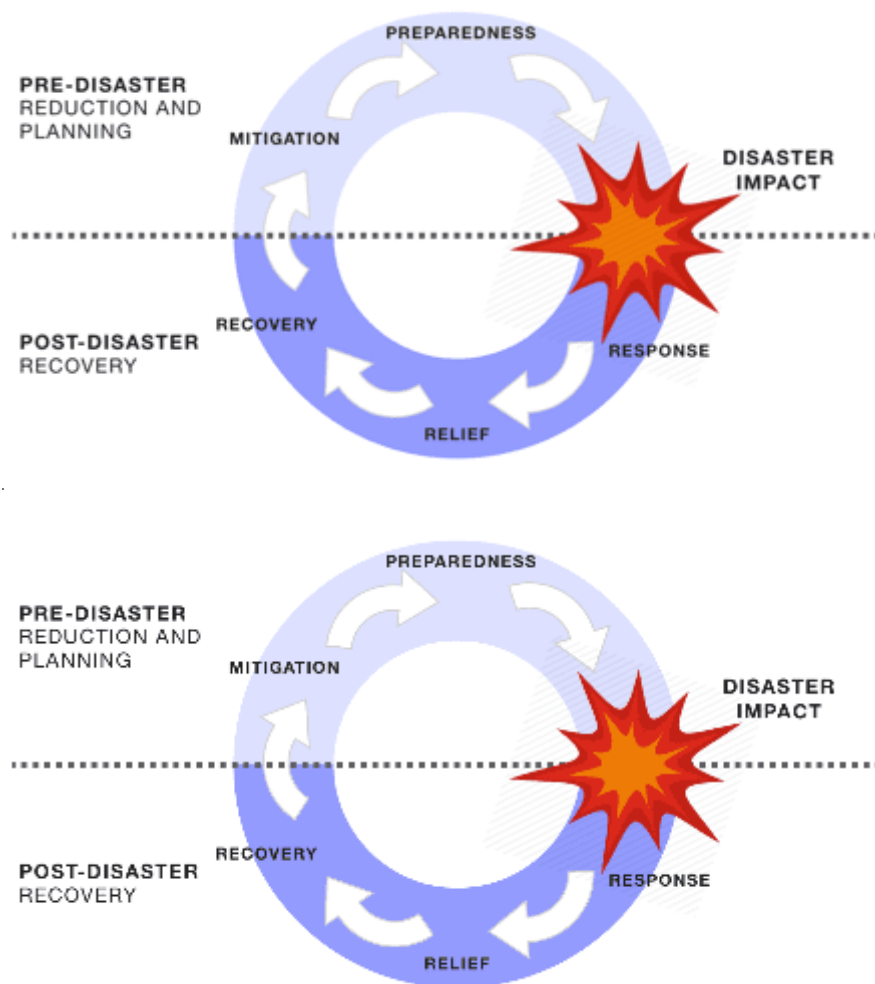
The design of the public space will be articulated so to have a floodable lower sections to collect water, host markets and store sand outside flood events; the mezzanine will provide storage for marketeers and will allocate services, such as rainwater storage and toilets. The upper sections that will provide

shelter to residents and protection from rain and flood water; collection points for items to prepare for floods, for example sandbags or tarps, and to recover after the flood. A multi-functional building will also serve as evacuation center. Recovery will be supported providing access to fresh water and off-grid electricity, as well as to food produced on site. The structure of the haven, will be used to host food production (urban agriculture) on the rooftop as well as on the facades.

One of the issues that was identified in the gender assessment is that female vendors often bring their young children with them, having to carry out their role as sellers and as caretakers. In order to provide suitable alternatives that can help alleviate the, several functions are proposed to be integrated in the design of the public space: a playground and a daycare center. The playground will be located on the floodable area and will be therefore be functional during times when there are no floods. The daycare will be located in the multi-functional center which will also act as evacuation center. Areas of the infrastructure will be designed so to accommodate the needs of children during and outside flood events.

The response to flooding events will support local communities to adapt to climate change integrating into the space a series of existing technologies and solutions, so to maximize the effects of the intervention and address multiple dimensions of the social impact of floods.

The approach to the design of the public space ~~apply~~applies the concept of the recovery cycle illustrated in figure 9.





**Figure 9. Recovery cycle**

The goal of this project is to design and implement facilities to support flood adaptation and act also as vibrant high-quality public spaces that invite a wide range of users to stay and enjoy, ensuring equal access to project benefits to various groups such as women, the youth and indigenous groups. Urban design plays an important role in finding synergies between climate resilience strengthening options and strategies that build social cohesion. For example, the creation of water buffers and water collection spaces can be designed as sports areas that benefit a wide range of groups of different ages and genders. Furthermore, interventions that increase greenery and vegetation in the city will not only help alleviate heat stress, offering refreshing spaces where people can find shelter during extreme hot days, but it can also enhance biodiversity in the city. Creating a diverse type of spaces that allow for water infiltration, vegetated areas that combine humid and dry spaces, shady and sunny areas, creating a rich environment for a more diverse flora and fauna will benefit urban communities, increasing their health and well-being while building their adaptive capacity.

Local citizens will be engaged in the design and construction of the proposed public spaces as a way to educate them in low-tech tactics to manage floods. It is hoped that by learning simple tactics to design open spaces so to be flood resistant and flood smart, citizens will be able to progressively improve the local urban landscape. Being engaged in the construction of the public spaces will enable participants to transfer into their broader community their learnings and to contribute to make their community more flood prepared through disperse, day-to-day interventions.-

Participatory approaches, including community consultations will support community ownership of the project process and of the created spaces. A holistic approach to build climate resilience by making use of a range of physical urban elements such as water, green spaces, energy, sustainable materials and social dynamic will be employed. Consultative processes will be embedded in the project plan prior to nominating site/s and to ensure proposals are aligned with community and stakeholder priorities. At this stage, consultation has commenced with the local government of Samarinda and local stakeholders, who have provided the specific location for the intervention. Broader community consultation will be undertaken in the co-design phase of the program. Local community members will be engaged in providing ideas, sharing suggestions, and actively participate into the design of the space. This will be achieved through a series of workshop and collecting community data through situated installations, as well as public consultation.~~Participatory approaches, including community consultations will support community ownership of the project process and of the created spaces. A holistic approach to build climate resilience by making use of a range of physical urban elements such as water, green spaces, energy, sustainable materials and social dynamic will be employed. Consultative processes will be embedded in the project plan prior to nominating site/s and to ensure proposals are aligned with community and stakeholder priorities. At this stage, consultation has commenced with the local government of Samarinda and local stakeholders commenced with the local government of Samarinda and local stakeholders, who have provided the specific location for the intervention. Broader community consultation will be undertaken in the co-design phase of the program. Local community members will be engaged in providing ideas, sharing suggestions, and actively participate into the design of the space. This will be achieved through a series of workshop and collecting community data through situated installations, as well as~~



*Figure 10. Diagrammatic representation of the integrated approach to public space design and climate change adaptation*





**Figure 11.** Urban elements and benefits





Stormwater Reuse & Rainwater Harvesting



Vegetated swales



Rain Garden



Energy Production

Average Rainfall



## WATER SENSITIVE URBAN DESIGN

Water-sensitive urban design integrates the urban water cycle (i.e., stormwater, groundwater, water supply, waste water) into urban design. It sustainably manages water resources, enhances ecosystems and provides recreational opportunities for communities. Examples of water sensitive design options include: stormwater reuse solutions that can be employed for irrigation, vegetated swales (or drainage swale) that are designed to slow, filter and infiltrate water, rainwater harvesting to collect, store and use water for future needs, and rain gardens that provide localized stormwater and flood control.





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## ENERGY EFFICIENT URBAN DESIGN

Access to reliable and renewable energy sources is essential to support community growth and contrast the effect of climate change. Low tech solutions that produce and distribute energy to communities such as solar panels, whirlpool turbines, and wind turbines are some of the technologies that can be explored to produce electricity locally, in conjunction to batteries and other system to store power.





Material Reuse



Community-based recycling initiatives



Social-network spaces for encounters

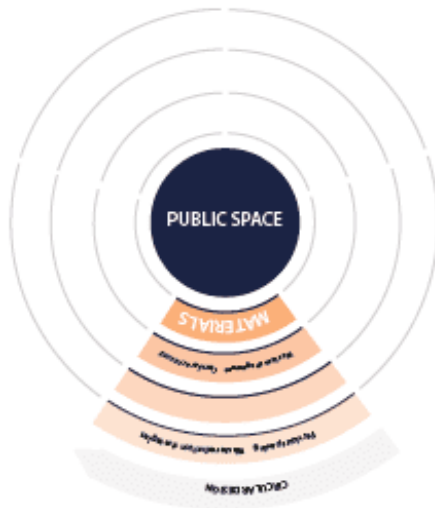


Community Safety



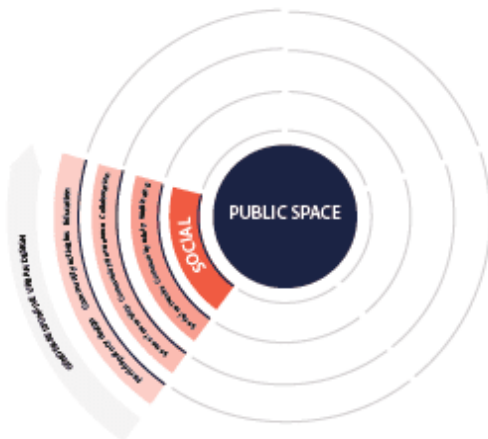
Water

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## CIRCULAR DESIGN

A sustainable approach towards materials for the construction of public space can bring many benefits in terms of waste management. This component will stretch the potential of this approach to identify techniques and tactics to create building materials from waste. Furthermore, choosing adequate materials will help build climate resilience to hazards such as floods. The use of pervious paving materials and green surfaces that allow for water infiltration will contribute to this.





## **GENDER RESPONSIVE DESIGN**

Sense of community will be enhanced through participatory processes. Communities will be requested to co-create the public spaces and engage in the design, development and construction phases. This will allow communities to have agency on their space and develop a sense of attachment to the new public space proposed. Gender-inclusive approaches will be integrated into the process. Appropriately designed public spaces will enhance social networks through the provision of spaces for encounter, will be designed to be safe and to promote health and well-being by providing a large variety of spaces activities such as sports, leisure, etc.



Pervious Paving



Urban Farming



High-performance vegetation

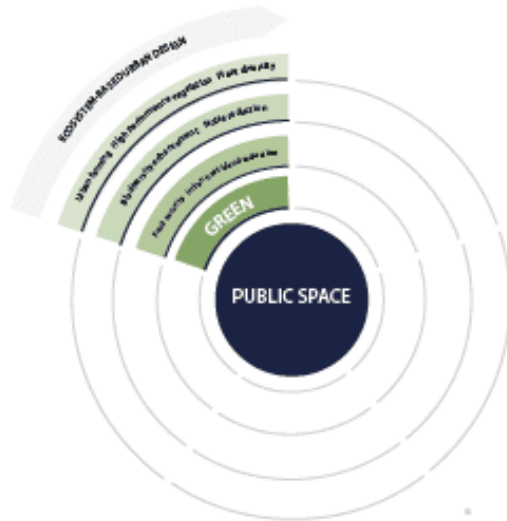


Plant Diversity



Integration of vegetation  
with overall design

Date



### ECOSYSTEM-BASED URBAN DESIGN

Greenery and vegetation help alleviate heat stress, offering refreshing spaces where people can find shelter during extreme hot days. The use of high-performance vegetation with significant water uptake via transpiration can improve stormwater management. The integration of greenery through diversification strategies will enhance biodiversity. Furthermore, food production, processing and storage can be integrated into the public space through urban farming. This will help achieving food security and self-sustainability for the communities involved. The aim of the program is also to provide community with common spaces where to process harvest together and store produce for community consumption.



3.2. MEASURING IMPACT

In order to evaluate the actual impact of the intervention on the local environment and the local community, a framework based on three intertwined areas will be adopted, these being (1) Adaptation; (2) Innovation; and (3) Education.

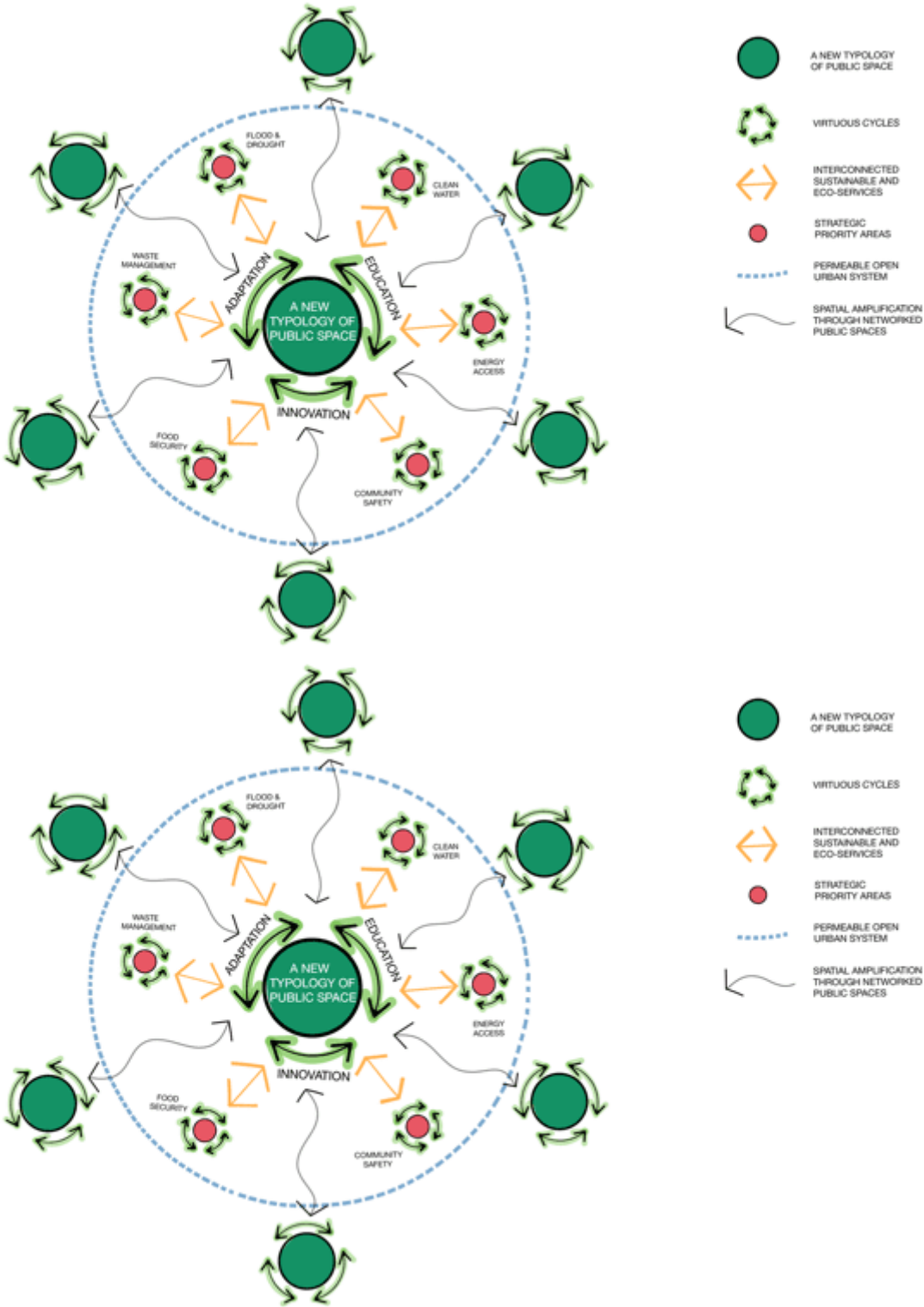


Figure 12. Conceptual diagram for the evaluation framework

In terms of **adaptation**, *Flood and Drought* are relevant issues for Samarinda; the project aims in the first instance to provide a safe shelter during flood events; it also aims to manage flood water as well as harvest and store rainwater. The integrated system of public places intends to act as a water management system during floods. The ~~two~~ proposed public ~~spaces~~ space will be floodable landscapes and include retention basins, so to contain water during floods and reduce the impact on surrounding communities. The proposed public spaces will include storage for sand, to be used to fill sandbags; citizens will be able to freely access this resource in preparation to flood events. Capacity and usage of the space will be one indicator of its performance; the number of people using the space as a refuge during hazardous events will be measured. The 5C-4R measurement framework (or similar freely available tool) will be used to measure flood resilience<sup>62</sup>. During flood events, the performance of the proposed public space will be monitored to assess the effectiveness in retaining stormwater and reducing overland flow. ~~In addition to this, the number of people using the space as a refuge during hazardous events will be measured.~~ *Access to Clean Water* will be evaluated through the average quantity of water harvested and used for civic uses is used as an impact of the intervention in this field.

Whilst flood adaptation is the main line of action of this project, the proposed public spaces will be designed so to address also a number of secondary outcomes. The strategic role that ecosystems play is recognised in the literature<sup>63</sup>. While forestation is adopted in rural setting as a strategy for carbon sequestration, within urban environments public spaces can play a fundamental role to reduce CO<sub>2</sub> emissions and provide opportunities to sink carbon. Thinking of public spaces as part of an integrated ecological system can provide concrete and measurable indicators for climate adaptation. The biomass of the vegetation integrated in the proposed new typology of public space can provide a quantitative measure of carbon reduction. A first indicator of the impact of the project therefore is the variation in the biomass of vegetation in the areas of intervention. Corollary from this indicator is the measurement of vegetation canopy; the project aims to increase the average shaded area in the location. A longitudinal record of temperature in selected points will also be used to measure the impact on the urban heat island.

Wind turbines and solar panels are included in the concept design for the new typology of public space; the *average power produced* by the intervention will address *Access to Reliable Energy Sources* as well as contribute to reduce CO<sub>2</sub> emissions providing a reliable alternative to fossil fuel combustion.

Community resilience will be fostered by engaging community groups and community members in every stage of the project. Impact on *Community Vulnerability and Safety* ~~will~~ Safety will be assessed, measuring daily use of the public space and their engagement in the activities afforded by the structure. Data will be collected through *survey tools* and “*counters*” to collect quantitative data on the number of people using the spaces. A longitudinal research study measuring attitudes and beliefs about climate change in the local community will also be implemented through a survey of the general population. Statistical data will also be used to evaluate a longitudinal impact on the citizens’ ~~liveability~~ livability (health improvements, energy consumption, infant mortality rates, water-borne disease, and hospital admissions).

*Food production* is a key component of the new typology of public space. Food will be produced in situ, and facilities such as communal kitchens will also guarantee that the public space can also be used to process food. Markets are also planned as one of the social activity for the pace. The quantity

<sup>62</sup> <https://floodresilience.net/frmc>

<sup>63</sup> Morecroft, M. D., Duffield, S., Harley, M., Pearce-Higgins, J. W., Stevens, N., Watts, O., & Whitaker, J. (2019). Measuring the success of climate change adaptation and mitigation in terrestrial ecosystems. *Science*, 366(6471), eaaw9256. doi: 10.1126/science.aaw9256

of food produced in situ will be monitored as well as citizens' use of the communal facility for social uses.

The proposed public space will act as a community hub where suitable waste is collected and recycled. The quantity of waste recycled in situ and the potential economic profit from this activity will also be monitored. As is common in several Indonesian Kampong, recycling can form the basis of a flourishing commercial activity where new artefacts are produced recycling and reusing waste.

In terms of **Innovation**, impact in this field is assessed measuring the ability of a system to produce a steady stream of opportunities. The replicability of the solutions adopted in the new typology of public space will be assessed through focus groups with residents and stakeholders. The project will also be proposed for independent scrutiny via academic publications and conference presentations. Community members participating in these construction and development of the new typology will be engaged in devising innovative solutions to achieve climate adaptation through low-tech approaches. Solutions developed during the process will provide participants with know-how that can be applied to start-ups or other medium scale enterprise. The new public space is intended to foster social entrepreneurship, so the economic system generated by the new space will be monitored and measured (number of start-ups, co-working opportunities, commercial activities). The engagement of some disadvantaged stakeholders such as women or those with a disability will also be an important indicator of the impact of the innovation component of the project. The Suanluang 1 community in Bangkok is an example of food markets ~~organised~~organized and driven by women; the new public space will afford women agency to grow and cook food as well as to start other small commercial activities, taking advantage of the structure of the space.

In terms of **Education**, the planning, design and construction of the new typology is envisaged as an opportunity to train locals in a number of skills. The focus of the project will be on recycling and reusing materials with a low-tech approach to mimic the performance of the public space at a domestic scale. Participants in the project will learn about water harvesting, water sensitive planting, energy generation, and building techniques that can be transferred to domestic environments. The number of participants to the process will be monitored to assess how learnings from this experience have impacted daily lives and employability. Entry and exit surveys will also ensure measurement of the impact of the learning experience on participants. The new typology of public space is also designed to be a learning space; schools will be monitored and surveyed to assess how they engage with the space in terms of their formal and informal learning.

These are preliminary indicators to monitor the project and assess its impact; more specific indicators will be negotiated also with the local community so that they can be the main actors to manage, monitor and assess how the new typology responds to their needs, and the needs of climate adaptation. The program is intended to operate according to a dual benefit model, using materials and construction methods typical of public space. E.g. soft and hard landscaping and rudimentary shelter structures for ordinary use. Through considered design, these will function effectively during periods of flood, serving to both shield water flow from areas of the site while retaining water in other parts to protect surrounding areas.

In its current development state, the project directly addresses the following Sustainable development goals:

- **SDG3 Good Health and Wellbeing**

*3d: Strengthen the capacity of all countries, in particular developing countries, for early warning, risk reduction and management of national and global health risks*

- **SDG5 Gender Equality**

5.1. End all forms of discrimination against all women and girls everywhere.

▪ **SDG 6 Clean Water and Sanitation**

6.1 By 2030, achieve universal and equitable access to safe and affordable drinking water for all  
6b: Support and strengthen the participation of local communities in improving water and sanitation management

▪ **SDG 7 Affordable and Clean Energy**

7.1 By 2030, ensure universal access to affordable, reliable and modern energy services  
7.2 By 2030, increase substantially the share of renewable energy in the global energy mix

▪ **SDG 9 Build Resilient Infrastructure**

9.1 Develop quality, reliable, sustainable and resilient infrastructure, including regional and transborder infrastructure, to support economic development and human well-being, with a focus on affordable and equitable access for all

▪ **SDG 11 Sustainable Cities and**

▪ **Communities**

11.5 By 2030, significantly reduce the number of deaths and the number of people affected and substantially decrease the direct economic losses relative to global gross domestic product caused by disasters, including water-related disasters, with a focus on protecting the poor and people in vulnerable situations

11.7 By 2030, provide universal access to safe, inclusive and accessible, green and public spaces, in particular for women and children, older persons and persons with disabilities

11.b By 2020, substantially increase the number of cities and human settlements adopting and implementing integrated policies and plans towards inclusion, resource efficiency, mitigation and adaptation to climate change, resilience to disasters, and develop and implement, in line with the Sendai Framework for Disaster Risk Reduction 2015–2030, holistic disaster risk management at all levels

▪ **SDG 13 Climate Action**

13.1 Strengthen resilience and adaptive capacity to climate-related hazards and natural disasters in all countries

13.2 Improve education, awareness-raising and human and institutional capacity on climate change mitigation, adaptation, impact reduction and early warning

Part 5 Technical Summary: Project Objectives	
a)	The objective of this project is to prepare Indonesian communities to cope with the effect of climate change as well as mitigate the causes of the current environmental crisis.
b)	The project is informed by a Positive Development Paradigm <u>of Global Climate Change and Sustainable Development</u> which is <del>---</del> including the growing understanding of innovation processes, developed to address technological change, but applicable to social innovation.
c)	The creation of public spaces will also foster community connection, enhance, and integrate existing public spaces. The vision is to create a network of public spaces that will support a new ecosystem that will provide benefits to the entire city.

d)	<p>The project's impact will be measured according to the following outcomes:</p> <ul style="list-style-type: none"> <li>▪ Adaptation</li> <li>▪ Innovation</li> <li>▪ Education</li> </ul>
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#### 4. PROJECT/PROGRAMMES COMPONENTS AND FINANCING

In Indonesia, informal public space is unconsciously found in many places. From urban to rural areas, people have their own terminology to describe communal space. The Indonesian Government itself doesn't use phrase "public space", but promotes open green space with some thematic models. In Jakarta, at the moment use the name of *RPTRA* (*Ruang Publik Terpadu Ramah Anak*) or Integrated Child Friendly Public Space and will be change soon to *Taman Maju Bersama* and became to political more then became city policy to provide place for public. Another case is in Bandung City, a place that was meant to provide for people and that became a very artificial environment, with many marketing twists providing thematic flavours, for example *Taman Jomblo* (Park for Single) and several others similar instances. All of this triggers questions and challenges us to re-define public space. Can a new typology of public space enhance our places and communities? Space for public often doesn't have any real meaning, which results in empty public spaces, unsafe, underused, and overall not felt as the hearth of the community. Public spaces are often the product of bureaucracy and the compromise between private interests and public needs. Commercialization of public spaces and competition for urban spaces are some of the complex fights and tensions that we experience in the contemporary city. Adding to these a risk adverse culture, the overall result is that "public space" became an expensive endeavor, it is not uncommon for a project to budget more than USD 20.000 just to make feasibility studies, often neglects the participation of people and community engagement. This project aims also to use this new typology of public space as an example of a process to integrate participatory process for the future development in a flexible, innovative, and democratic way

##### Lesson Learned from Surabaya:

*Cak Markeso* Cultural Centre in *Kampung Ketandan*, Surabaya, was inaugurated by the Mayor of Surabaya, Wednesday, 07/27/2016. This cultural center, which represents the public space for connecting people, was inaugurated with several delegates, The Third Session Preparatory Committee (Prepcom) 3 for Habitat III. The *Cak Markeso* Cultural Centre in the form of *Joglo* (traditional Javanese building) is located in the middle of the settlement, and becomes a venue for discussion about all things related to the environment in which it lives. Its construction is the result of cooperation between the United Cities Local Government of Asia Pacific (UCLG ASPAC), UN-Habitat, and the Surabaya City Government.

This development is an important thing for the Surabaya City Government in realizing Surabaya's development into a sustainable developing city. For Surabaya, public space is not just a green open space, but also in the form of buildings that people can gather and strengthen social interaction. With

the existence of this public space, the community's enthusiasm is maintained and still supports each other to improve the *kampung*.

*Kampung* Ketandan is one of the old *kampongs* at Surabaya. Its location is surrounded by modern buildings. This *Kampung*, in the heart of Surabaya City, lives for 24 hours because its citizens actively interact. Unlike the shopping area that was closed at 10:00 p.m., the people guarded the city for 24 hours when the shops were closed. Therefore, it is important to maintain the *Kampung* Ketandan.

### The components of this project are:

*Component 1:* this component focuses on the development of a new typology of public space. Current best practice case studies, literature, policies, technologies and tactics will be reviewed evaluating their feasibility for the Indonesian context, their accessibility, cost-effectiveness, and their overall potential impact in mitigating climate change hazards and causes. This component will be ~~formalised~~formalized with a series of guidelines, tactics, solutions and spatial relationships that will be then applied in the different communities involved in the ~~programme~~program. The new typology of public space will be defined through review and evaluation of:

- a. water sensitive urban design tactics
- b. water treatment processes using natural landscape
- c. rainwater harvesting, treatment and storage solutions
- d. urban agriculture and edible landscape options
- e. community based processes for food production, processing and storage
- f. waste reduction strategies
- g. recycling programs
- h. production of building materials through waste recycling
- i. off-grid solutions for energy production and storage
- j. synergies and processes to support community resilience and economic viability
- k. local social and cultural practices
- l. community dynamics, needs and aspirations

*Component 2:* This component is organised in two different phases. Phase 1 will engage communities in Samarinda city to apply the findings of component 1 to the actual co-design of public spaces and the creation of an integrated system of public spaces. This will be achieved with an inclusive participatory design approach structured through a series of workshops and interactive debates. A first workshop will be delivered with selected stakeholders to profile the local communities, ~~their character~~their character, and the best way to engage them. Strategic locations for the interventions will be discussed and negotiated with the local government; with the aim to identify key sites that could establish an integrated network, enhance existing public and green spaces, outreach and benefit different communities. Once the sites of the specific interventions are defined, the specific local communities will be consulted and invited to provide their input through formal and informal methods, such as surveys or idea walls. A second workshop will then be delivered to ~~analyse~~analyze data from the community engagement phase and gather a better understanding of the priorities,



needs, and desires of the local communities; during this second workshops, participants will be also involved in designing a public space to respond to global challenges as well as local issues. Phase 2 will progress the capacity building exercise and, after the construction of the project, deal with the maintenance of the new public sapce as well as its community activation, throught the establishment of ongoing community groups, community initiatives, and projects to maintaign the new areas.

~~Component 3 will~~ Component 23 will build on the findings from Component 1 and results from ~~Component 2 co-design phase~~. Component 23 is the co-development and construction of actual public spaces in ~~two selected~~ the selected communities. The construction site will engage professional builders who will share their knowledge with community members, so to use the construction also as an opportunity for skills development for community members. Inter-generational learning will also be promoted, with the participation of women, youth and the elderly. ~~The component will deal also with the maintenance of the new public spaces and community activations through the establishment of ongoing community groups, community initiatives, and projects to maintain the new areas.~~

*Component 34:* This component will develop training for community groups and government officials to divulgate finding of the project as well as ~~publicise~~ publicize the methodology of intervention, its benefits, and capabilities. The training will rely on soft resources, such as videos or rich-media contents, hard resources, for example booklets, and face-to-face training.

*Component 45:* This component will regard the monitoring of the long-term sustainability of the project and the assessment of its impact on the local communities. Data will be collected before the commencement of the project, after completion of the intervention and two years after the completion of the intervention. Data collection will be collected addressing a number of quantitative and qualitative indicators<sup>64</sup> to monitor the actual impact of the new integrated system of public spaces on the relevant communities.

The Budget of the Project as seen below (see table 7):

**Table 7.9.** *The Budget of the Project*

Project/ <u>Programme</u> Components		Expected Concrete Outputs	Expected Outcomes	Amount (US\$)
1	Research and Development on city-wide adaptation to climate change through public spaces	1.1.1. Research conducted on climate-resilient public spaces, including best practices and lessons learned within the Asia-Pacific Region, and South-East cities in particular 1.1.2. Assessment tool and methodology for the evaluation of climate-resilient public spaces developed 1.1.3. Public space guidelines, incorporating new typologies that can be used as a best practice for replication	1.1. Increased urban resilience through the development of a new public space typology and guidelines that can inform planning processes at the city-level	<del>109,20775.0</del> 00

<sup>64</sup> Pancholi, Surabhi, Yigitcanlar, Tan, & Guaralda, Mirko (2018) Attributes of successful place-making in knowledge and innovation spaces: Evidence from Brisbane's Diamantina knowledge precinct. Journal of Urban Design.



2.1	Awareness raising and local resilience strengthening through the design and implementation of a new public space typology	<p>2.1.1. Community profiling developed for targeted locations in <u>the City of Samarinda</u></p> <p>2.1.2. Targeted communities are engaged in design processes through a participatory approach (e.g. workshops, interactive debates, etc.), focused on climate-resilient public spaces</p> <p>2.2.1. <u>Climate-resilient public space is co-developed and built in the selected communities (across the four cities) based on previous findings</u></p> <p>2.2.2. <u>Community groups are established, based on existing governance structures (if present), to ensure adequate maintenance of the public spaces</u></p>	<p>2.1. Increased awareness and ownership of design processes</p> <p><del>2.2.</del></p> <p><u>2.2. Community-based infrastructure developed resulting in a strengthened adaptive capacity</u></p>	<p><del>459,682,504</del></p> <p><b>50,000</b></p>
3	Public Space construction and project implementation	<p><del>3.1.1. Climate-resilient public space is co-developed and built in the selected communities (across the four cities) based on previous findings</del></p> <p><del>3.1.2. Community groups are established, based on existing governance structures (if present), to ensure adequate maintenance of the public spaces</del></p>	<p><del>3.1. Community-based infrastructure developed resulting in a strengthened adaptive capacity</del></p>	<p><b>400,000</b></p>
<del>43.2</del> <del>23</del>	Capacity building, knowledge management and communication	<p><del>43.1.1.- Training for community groups to divulgate findings of the project and methodology of the intervention</del></p> <p><del>43.1.2.- Training for government officials in key sectors (e.g. planning departments) on project findings, methodologies and approaches applied for replication</del></p> <p><del>43.2.1.- Lessons learned and best practices on climate-resilient public spaces and community adaptive capacity building are captured and disseminated for regional replication</del></p>	<p>3.1. Increased capacity at the city- and community-levels on climate-resilient strategies and design options for public spaces</p> <p>3.2. Knowledge sharing and increased awareness on project results among targeted audience (communities, governmental bodies, general public)</p>	<p><del>75,81,000</del></p>
54	Monitoring	<p><del>54.1.1. Evaluation of place quality before the intervention, at completion of the intervention, and two years after the completion of the interventions</del></p>	<p><del>54.1 Increased understanding and awareness of the impact of the intervention</del></p> <p><del>5.2 Knowledge sharing and increased awareness on project results among targeted</del></p>	<p><del>38,288,250</del></p> <p><b>0</b></p>

			audience (communities, governmental bodies, general public)	
	<u>Total Project Execution Cost (PEC) and M &amp; E Cost</u> Project / programme execution cost			<u>72,221</u> 85.00 0
	<u>Project/Programme Cycle Management Fee charged by the Implementing Entity</u>			<u>64,618</u>
	Total project / programme execution cost			<u>824,835</u> 710. 000

**Table 810. Project Timeline**

Milestone	Expected Dates	Expected Duration
Component 1: <ul style="list-style-type: none"> <li>Development of theoretical model for the new typology of public space</li> </ul>	2021 <del>0</del>	4 months
Component 2: <ul style="list-style-type: none"> <li>Context analysis</li> <li>Community engagement</li> <li>Intervention design</li> <li><u>Space activation and management</u></li> </ul>	2021 <del>0</del>	1 month 2 months 3 months
Component 3: <ul style="list-style-type: none"> <li><u>Intervention construction</u></li> <li><u>Training and findings divulgation</u></li> </ul>	2021 <del>1</del> 2022	9 months 3 months
Component 4 <del>5</del> : <ul style="list-style-type: none"> <li>Monitoring of the impact of the interventions and their sustainability</li> </ul>	2021 <del>0</del> 2022 <del>1</del> 2023 <del>3</del>	1 month 1 month 1 month

#### **INDONESIA POLICY FOR CLIMATE CHANGE ADAPTATION**

~~(v) — Republic of Indonesia Law No. 23 of 1997 Concerning Environmental Management~~  
 Article 1~~1~~:

- The environment is a unity of space with all objects, power, circumstances, and living things, including human beings and their behaviour, which affect the survival of the lives and welfare of humans and other living things;
- Environmental management is an integrated effort to preserve the environmental function which includes policies for structuring, utilizing, developing, maintaining, restoring, controlling, and controlling the environment;
- Sustainable development that is environmentally sound is a conscious and planned effort, which integrates the environment, including resources, into the development process to ensure the ability, welfare and quality of life of present and future generations;
- Ecosystems are the elements of the environment which are whole unity and influence each other in forming environmental balance, stability and productivity;
- Preservation of environmental functions is a series of efforts to maintain the continuity of the carrying capacity and capacity of the environment;
- The carrying capacity of the environment is the ability of the environment to support the lives of humans and other living beings;
- Preservation of environmental carrying capacity is a series of efforts to protect the ability of the environment against the pressure of change and/or negative impacts caused by an activity, so that it is still able to support the lives of humans and other living beings;
- Environmental capacity is the ability of the environment to absorb substances, energy, and/or other components that enter or are included in it;
- Preservation of environmental capacity is a series of efforts to protect the ability of the environment to absorb substances, energy, and/or other components that are discharged into it;
- Resources are elements of the environment that consists of human resources, natural resources, both biological and non-biological, and artificial resources.

(vi) **National Action Plan For Climate Change Adaptation 2014**  
*(Rencana Aksi Nasional Adaptasi Perubahan Iklim 2014)*

By considering the notion of adaptation to climate change and its objectives, adaptation can be said as an effort to increase the resilience of a system to the effects of climate change. Climate change adaptation in Indonesia is directed as:

- ✓ Adjustment efforts in the form of strategy, policy, management, technology and attitude (negative) impacts of climate change can be reduced to a minimum, and even if possible can utilize and maximize the positive impact.
- ✓ Efforts to reduce the impact (consequences) caused by climate change, both directly and indirectly directly, both continuous and discontinuous and permanent and impacts according to their level.

In short, the action plan is directed so that: (a) the impact of climate change can be reduced to a minimum possible, (b) can increase resilience and reduce the level of vulnerability of a natural system, life records, programs or activities on the effects of climate change.

To support the field of sustainable living system resilience and resistance to climate change, the main target of the infrastructure sub-sector is to increase the coverage of services and strengthen a reliable and quality infrastructure system in the face of the effects of climate change. The main objectives can be achieved through several targets, as follows:

~~(Decision B.30/1) Development of the concept of infrastructure resilience that is adaptive to climate change~~

~~(Decision B.30/2) Development of infrastructure that is adaptive to climate change~~

~~(Decision B.30/3) Provision and adjustment of infrastructure that has a direct impact on the health of the community that has a high level of accessibility, especially for groups of people who are vulnerable and resilient to climate change~~

~~(Decision B.30/4) Management of integrated infrastructure layout with spatial planning in sustainable development~~

~~(vii) — Ministry of Public Work Regulation No. 11/PRT/M/2012 About National Action Plan for Climate Change Mitigation and Adaptation Year 2012-2020~~

~~In an effort to adapt to climate change, Indonesia faces enormous challenges, especially the characteristics of the territory of Indonesia as an archipelago, geographical location in tropical climates, and between the Asian Continent and the Continent of Australia and between the Pacific Ocean and Indian Ocean, which is why Indonesia very vulnerable to climate change. This is indicated by several facts, including droughts and floods, which harm food security, human health, infrastructure, settlements, and housing, especially in coastal areas and urban areas.~~

~~(viii) — Ministry of Environmental and Forestry Regulation No. P.33/Menlhk/Setjen/Kum.1/3/2016 About Development Guideline for National Adaptation Plan~~

~~The significant to integrating climate change adaptation actions into development policies, plans, and/or programs (Article 4 [letter e], Article 9 [paragraph 3], Article 10, Article 11)~~

~~(ix) — Nationally Determined Contribution (NDC) the Republic of Indonesia 2017~~

~~The GOI will implement enhanced actions to study and map regional vulnerabilities as the basis of adaptation information system, and to strengthen institutional capacity and promulgation of climate change sensitive policies and regulations by 2020. The medium-term goal of Indonesia's climate change adaptation strategy is to reduce risks on all development sectors (agriculture, water, energy security, forestry, maritime and fisheries, health, public service, infrastructure, and urban system) by 2030 through local capacity strengthening, improved knowledge management, convergent policy on climate change adaptation and disaster risks reduction, and application of adaptive technology.~~

## PART II: PROJECT/PROGRAMME JUSTIFICATION

~~This programme~~

### A. Project components

Describe the project / programme components, particularly focusing on the concrete adaptation activities of the project, and how these activities contribute to climate resilience. For the case of a programme, show how the combination of individual projects will contribute to the overall increase in resilience.

This program adopts an action research participatory methodology; it alternates phases of actions to phases of evaluation and reflection. It is articulated in an initial research phase and in then coordinated projects to design, develop, build, and manage public spaces in a pilot city. The theoretical background of the project is grounded in the *Positive Development* paradigm<sup>65</sup> and on a systemic approach<sup>66</sup>. The hypothesis of the *Positive Development* paradigm is that today we have enough knowledge and know-how to build buildings and structures that not only ~~minimise~~minimize the impact on the environment, but also could produce positive gain for local ecosystems. In the *Positive Development* paradigm, buildings incorporate different technical devices to treat water, clean air, produce food and broadly support an ecosystem. The systemic approach aims to consider the city as an integrated ecosystem, where interventions in a specific site can generate positive benefits for the entire system, through the creation of ecological and social corridors, networks of infrastructures and services.

This approach has already been implemented in the design of some public spaces and ecological corridors, where passive approaches, such as use of vegetation, have been successfully applied to manage rainwater, retain pollutants and contribute to stream and creek overall health<sup>67</sup>. Building on recent experiences of urban farming<sup>68</sup>, this ~~programme~~program aims to develop a new typology of public space that will provide a positive impact on community resilience, environment sustainability and economic development.

Public spaces have been ~~recognised~~recognized in the New Urban Agenda as strategic contexts where to address several of the recurrent issues of contemporary cities, including social and environmental issues. Public space requires communities to work together and an integrated approach to negotiate different aspects of public life. Expanding this concept, it is ~~recognised~~recognized that public spaces today can be rethought in a way to accommodate more soft landscapes, not for beautification effects, but for environmental protection<sup>69</sup>. The positive impact of urban greenery on environment is

<sup>65</sup> Birkeland, J. (2008). *Positive development : from vicious circles to virtuous cycles through built environment design*. London: Earthscan.

<sup>66</sup> Maser, C. (2012). *Decision-making for a sustainable environment: a systemic approach*. Boca Raton: Taylor & Francis.

<sup>67</sup> Lawson, G. M., & Wang, P. (2009). Water sensitive urban design : landscape planning and design to improve water quality in Shijiazhaung and Yueyang.

<sup>68</sup> Sekiyama, M., Terada, T., & Yokohari, M. (2017). Post-Disaster Food and Nutrition from Urban Agriculture: A Self-Sufficiency Analysis of Nerima Ward, Tokyo. *International Journal of Environmental Research and Public Health*, 14(7), 748. doi:10.3390/ijerph14070748

<sup>69</sup> Kowalik, M., & Guaralda, M. (2011). *Mapping resilience : A framework for changing cities*: AST Management Pty Ltd.

extensively discussed in literature<sup>70</sup>. In addition to environmental gains, greenery has been ~~recognised~~recognized having a positive effect also on mental health and community activities.<sup>71</sup> The incorporation of traditional wisdom in the design of public spaces, plants selections, ~~colour~~color schemes, and material applications, can also contribute to strengthen a community sense of identity providing a contemporary interpretation to ancient knowledge.

The application of western paradigms to the design of contemporary cities has often produced an urban form ~~characterised~~characterized by segregation of function and subdivision of activities. In many contemporary cities we can record a strong contraposition between parks for recreation and hard landscapes for civic activities. Zoning and modernist design have broken traditional pattern of public spaces and imposed a car-based approach that has profoundly impacted lifestyle, resilience, and sustainability<sup>72</sup>.

### Components of the ~~Programme~~Program

More than dispersing in the urban fabric different functions and activities, this ~~programme~~program will develop a new typology of public space to support communities 'positive development. In addition to social and cultural values, the new typology will provide an active strategy to cope with climate change. The proposed public spaces will also act as activity hubs and provide communities with a safe place during extreme weather events. Public spaces, being at the ~~centre~~center of community life, should be designed as safe shelter in the case of extreme weather events, provide conditions to face natural hazards in a self-sufficient way, protecting the community and its main assets. The establishment of an integrated system of public spaces, will allow the creation of ecological corridors to improve biodiversity and environmental resilience. The systems will be enhanced by the new public spaces and completed by their strategic role within the broader urban ecology.

The implementation of the new typology of public space is also promoted as an opportunity to educate communities in more strategic approaches to urban development. Learning building techniques, environmentally sustainable and advanced tactics, and a sensitivity to ecological systems, can inform communities to transform their environments and promote better ways to self-construct dwellings and community facilities. Public space is promoted as a space for the community where to exchange, learn and interact for the common good.

#### Component 1

The first component of the ~~programme~~program will be the theoretical development of this new typology, the parameters, characteristics and specification of this new type of space will be based on an analysis and review of case studies, researches, technologies, tactics, and solutions that have been or are suggested as potentially strategic to support *Positive Development*. The innovative component of this ~~programme~~program sits in the potential of the new typology to be applied to different contexts and be implemented in other cities at least in the Asia-Pacific region.

Public spaces are at the ~~centre~~center of communities. Indonesia today is experiencing a change of meaning in traditional public spaces and a general undersupply of community spaces. Top-down developments often focus on specific infrastructures, like sport facilities and playgrounds, and generally lack informal public spaces that can be appropriated by communities. Bottom-up projects

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<sup>70</sup> Climate change adaptation in practice : from strategy development to implementation. (2013). Chichester, West Sussex, UK: John Wiley & Sons Inc.

<sup>71</sup> Holt-Damant, K., Guaralda, M., Taylor Gomez, M., & Nicollet, C. (2013). Urban jungle : making cities healthy places for Australians with neurodiversity: AST Management Pty Ltd.

<sup>72</sup>—Guaralda, M. (2014). Form-based planning and liveable urban environments. Urban Morphology, 18(2), 157-162.



often limit to retrofit existing spaces and beautify available spaces, which often do not have the characteristics to host proper community activity and needs.

The first phase of the ~~programme~~program will ~~analyse~~analyze and evaluate the broader Indonesian context and formulate a new type in the form of a series of design guidelines, implementation processes, and spatial layouts to provide communities with a social communal space, as well as an integrated system to equip citizens to cope with climate change and environmental hazards.

From the environmental point of view, the new typology will have to deal with:

- Water management and harvesting. Access to clean drinkable water, stormwater management, sewerage ~~organisation~~organization, water storage and ~~utilisation~~utilization are all emergent issues in a society experiencing more and more extreme weather events. Current solutions, tactics and technologies will be gathered and evaluated so to develop a model that would afford communities with an infrastructure to provide them with clean water; ~~minimise~~minimize pollutants released in the environment, harvest water for domestic and agricultural uses. In selecting technologies and tactics, preference will be given to passive technologies, to solutions relaying on integrated environments, where plants can be used in the management of natural resources. Several case studies developed in Europe and North America have successfully demonstrated how plants and planting can be used to manage urban water system, urban pollutants, and mitigate effect of climate change. This ~~programme~~program will evaluate the principles of these case studies and develop a series of guidelines suitable for the Indonesian context, in terms of plants selections as well as cultural relevance of the solutions proposed.

This component of the ~~programme~~program will provide a positive impact on the community resilience providing access to drinkable water. It will also provide a positive impact on the broader environment reducing the release of pollutants in streams and creeks. The use of vegetation will mitigate urban heat island and contribute to the local microclimate<sup>73</sup>.

- Energy production. It is ~~recognised~~recognized how access to reliable and renewable energy sources is essential to support community growth and contrast the effect of climate change. This ~~programme~~program will evaluate low tech solutions to produce and distribute energy to communities, potentially providing also communities with a source of income selling energy surplus to other areas. Solar panels, whirlpool turbines, and wind turbines are some of the technologies that will be explored to produce electricity locally, in conjunction to batteries and other system to store power.

This component of the ~~programme~~program will reduce communities' reliance on fossil fuels and reduce carbon emission in the environment. From the social point of view, it will provide communities with a reliable and cheap source of energy to support their viability and growth<sup>74</sup>.

- Food production, processing and storage. The strategic use of vegetation to manage water systems will also be extended to cover food production. Several communities in Indonesia are already pursuing with success urban agriculture on a small scale. This component of the program aims to achieve food security and self-sustainability for the communities involved. Different technologies and solutions will be reviewed, such as community gardens, hydroponics, green walls and green roofs. The aim of the program is also to provide community with common spaces where to process harvest together and store produce for community consumption.

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<sup>73</sup>———Lee, S., & Yigitcanlar, T. (2010). Sustainable urban stormwater management : water sensitive urban design perceptions, drivers and barriers.

<sup>74</sup>———Sustainable future for human security : environment and resources. (2018). Gateway East, Singapore: Springer.

This component of the ~~programme~~program will address not only food security, will also address climate change in terms of mitigation of urban heat island. The extensive use of vegetation in the proposed new typology will allow to store carbon and reduce heat reflected by hard surfaces. Food production and processing will also allow to enhance spirit of community, preserve communities' traditional practice and provide a stream for local commercial growth<sup>75</sup>.

- Waste management. Indonesia is successfully adopting the model Reduce-Reuse-Recycle. This component of the program will stretch the potential of this approach to identify techniques and tactics to create building materials from waste. Some projects have already successfully recycled paper and plastic for the production of bricks, then used in the construction of small buildings. This tactic will be evaluated in the context of the Indonesian society and the process commenced with the construction of the new propose public space, which is envisioned as built with mainly recycled materials<sup>76</sup>.

This component of the ~~programme~~program addressed climate change in terms of reduction of pollutants in current ecosystems, ~~Encouraging~~encouraging reuse and recycle will also limit emissions and provide communities with a potential source of income linked to the production of building materials<sup>77</sup>.

- Economic viability. The first phase of the ~~programme~~program will identify synergies and tactics to support community growth and development. A first set of activities will be linked to the construction of the new public space. Community members will be involved in the actual construction so to learn new techniques and new skills that they can then use in their future life. A pillar of the project is the empowerment of the community, so skills development through the entire process will be fundaments. Participants will learn how to process waste to produce building materials, how to build structures, how to deal with urban food production and processing. A variety of skills will be offered to the community. This would allow participants options about their future life and the community different sources of income.

This component of the ~~programme~~program will address climate change through education and training. Participants will learn a set of skills aimed to achieve a sustainable positive development. Empowering communities with different kinds of knowledge will also allow them a better agency on their lifestyle and future development. Today many communities in Indonesia are ~~focussing~~focusing on tourism as the predominant source of income, this is anyway not realistic or viable, and so it is strategic that one of the outcomes of the project is providing communities with alternative options and economic models<sup>78</sup>.

- Community resilience. Sense of community will be enhanced through the participatory process of the ~~programme~~program. Communities will be requested to provide their input in the design,

75——\_Suparwoko, B., &\_Taufani, B. (2017). Urban Farming Construction Model on the Vertical Building Envelope to Support

\_the Green Buildings Development in Sleman, Indonesia. *Procedia Engineering*, 171, 258-264. doi:10.1016/j.proeng.2017.01.333

76 *Municipal solid waste management in Asia and the Pacific Islands : challenges and strategic solutions. (2013). New York: Springer.*

77—— *Municipal solid waste management in Asia and the Pacific Islands : challenges and strategic solutions. (2013). New York: Springer.*

78——\_McFarlane, C., &\_Desai, R. (2015). Sites of entitlement: claim, negotiation and struggle in Mumbai. *Environment &-\_Urbanization*, 27(2), 441-454. doi:10.1177/0956247815583635

development and construction of the new public spaces. In the development of Phase 01 guidelines and models, community members will also be consulted so to include provision for cultural symbols and meanings, social practices and ~~communities~~communities' aspirations.

This component of the ~~programme~~program stretches from phase 01 to phase 02. In phase 01, communities will be consulted to ~~finalise~~finalize the model of new public space, incorporating their aspirations, social practices and cultural values. In the second phase of the project, co-creation will allow communities to have agency on their space and develop a sense of attachment to the new public space proposed<sup>79</sup>.

The first component will include activities UNTAG and QUT joint activities, as well as some specific components developed by QUT:

- High level kick-off round table for Urban Climate Adaptation | this seminar aims to launch the program and discuss adaptation to climate change with local stakeholders, experts, and politicians. This activity will be organized by UNTAG with QUT staff attending the event.
- Two Workshops with experts to inform the design phase of the project and gather data on best practice. This activity will be organized by UNTAG with QUT staff attending the event.
- Tool and Method Development | this activity includes desktop research, literature review, contextual review, review of relevant technologies, R&D, prototyping, and preliminary design of components to include in the design of the pilot public space. This activity will be developed by QUT with input from UNTAG.
- Methodology development | this activity covers the development of a methodology integrating mobile technologies and situated installation for community engagement (InstaBooth) to involve local communities in the design and construction of the new public space. The InstaBooth<sup>80</sup> is an approach to community engagement developed at QUT since 2012 and applied in a number of different contexts in Australia, USA, China, Malaysia, and South America. This approach allows community members to engage in an asynchronous debate about emerging topics, provide feedback, share ideas, and develop original contents to inform planning, design and policy developments. This methodology has been successfully applied to a number of projects commissioned by the Queensland Government, community groups, as well as leading industry partners. The instaBooth is a mobile installation that allows participants to engage with a number of different components, to suit interests and attitudes of different community members. The InstaBooth is a key component in data collection and idea generation for the co-creation phase of the project. Prior to each project, the InstasBooth is customized and partially redesigned to suit the needs of the specific community. This activity will be developed by QUT with input from UNTAG.

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<sup>79</sup> — Wikantiyoso, R., & Suhartono, T. (2018). The role of CSR in the revitalization of urban open space for better sustainable urban development. *International Review for Spatial Planning and Sustainable Development*, 6(4), 5-20. doi:10.14246/irpsd.6.4\_5

<sup>80</sup> Guaralda, M., Mayere, S., Caldwell, G., Donovan, J., & Rittenbruch, M. (2019) The InstaBooth: an interactive methodology for community involvement and place-making. *Journal of Place Management and Development*, 12(2), pp. 209-226.



**Figure 13.** InstaBooth deployment for Community Engagement projects at Pomona, Brisbane Central Station, RBWH

## Component 2

As mentioned under sub-section *Focus of the proposal*, the second component of the programme program is organised in two stages and is based in Samarinda City. Locations of the specific locations for the interventions, indicatively 3 intervention, one (1) new public spaces, will be space, is being discussed and negotiated with local government, stakeholders and communities.

Selected communities within Samarinda City will be identified to pilot the new typology of public space. The pilot will be structured as a co-creation process:

- The community will be engaged in mapping their neighbourhood-neighborhood. Opportunities, challenges, conflicts, and possibilities will be recorded, through workshops and deployment of the InstaBooth;
- Public workshops will run to discuss ideas, locations and aspirations of the community. The guidelines developed in phase 01 will be discussed and scenarios for their implementation negotiated with the different stakeholders;
- The project for the physical new public space, one for each community, will be developed with the community support by a local professional who will ensure compliance to local legislation as well as provide creative input in the process;
- The community will be then engaged in the actual development of the project. In some cases this case, the land acquisition and location will be necessary as well as changes in the urban form provided by the City Government of the neighbourhood Samarinda based on the recommendation and assessment so that the project will have to be negotiated in line with the city development plan and strategy<sup>81</sup>. The new public space is meant to be a generator for the new physicality of the space as well as its identity, so as part of the development of the public space, plans for the future development of the neighbourhood-neighborhood will be negotiated;

<sup>81</sup> The City Government of Samarinda will give full support and granted access to government data that relevant to this project and will actively involve in the project development and implementation. Note of Meeting with Mayor of Samarinda H.E. Mr. Syaharie Ja&#39;ang attended by Head of Environment Agency, Head of Communication and Informatics Agency, Head of Planning and Development Agency at Jakarta, January 23, 2020.

- The physical construction of the space will be done engaging professionals as well as members of the community. This approach is to ensure that community members can learn a set of skills during the process and aspire to future professional opportunities;
- Once the project has been completed, the community will take charge of running and managing the public space. Apart ~~from~~ events and ~~festivals~~festivals, stress will be put on everyday activities to make the space dynamic, ~~liveable~~livable and sustainable.

The second phase of the second component will take place during and after construction of the infrastructure. It aims to engage community members in the construction, activation and management of the new public space through a series of workshops and pop-up events.

The continuous engagement of community in each phase of the process will ensure a sense of ownership for the new public space. The importance of engaging the community in developing everyday activity in this new space will be strategic for the success of the ~~programme~~program. The new typology of public space will have to be a space where to gather, work, play, and learn in a community setting. Participation methods are chosen because, in these phases, the community can involve in the planning and development process that is essential to the project implementation. This activity will trigger strong relationships with space and place

This component will be coordinated by UNTAG; QUT will provide material, data and support to be used in the workshops. QUT personnel will also aid UNTAG staff in running the co-creation workshops.

### Component 3

The ~~third~~second component of the project is the actual construction of the infrastructure.

The construction of the new public space will involve a survey of the current urban form and its potential ~~reorganisation~~reorganization. The local communities will be engaged in a discussion about their future social, environmental, physical, and economic outlook. The proposed process might involve land acquisition, relocation of some activities, new constructions and demolitions of existing buildings. Where necessary, the community will work together in building new dwellings, infrastructures and resources to benefit the entire community. Surpassing the fragmented and individualistic approach of traditional western zoning, the ~~programme~~program suggests a community approach to the development of ~~neighbourhoods~~neighborhoods. With the aid of experts, communities will implement guidelines and tactics developed as a new typology of public space to gain control and agency on their own environment. Regaining the traditional approach to urban development as a coordination and collaboration between citizens and communities, this ~~programme~~program will promote in the medium-long term changes to urban form to achieve a city that could better respond to the current challenges of climate change.

This component will be coordinated by UNTAG; ~~QUT will provide material, data, and support to be used in the workshops.~~ QUT personnel will also aid UNTAG staff in running ~~the co-creation workshop~~this component.

### **Component 34**

The third component of the program will deal with divulgation of the experience and learnings. Training will be ~~organised~~organized for designers, government officials and community leaders, so to create awareness about the new typology of public space proposed; its principles, its applicability to different contexts. In parallel, publications and event will be ~~organised~~organized to ~~publicise~~publicize the ~~programme~~program, its findings and educate the broader community.



Sharing and divulgating the findings of the ~~programme~~program and its achievement will allow other communities to gain agency on their urban form, to gain an awareness of the potential of public space in terms of building positive, sustainable, resilient communities and structure urban form in a more sustainable and responsive way.

UNTAG will lead this component with QUT input in the development of training and resources for government officials. QUT will lead the development of academic papers to publicize the project and its outcomes.

#### **Component 445**

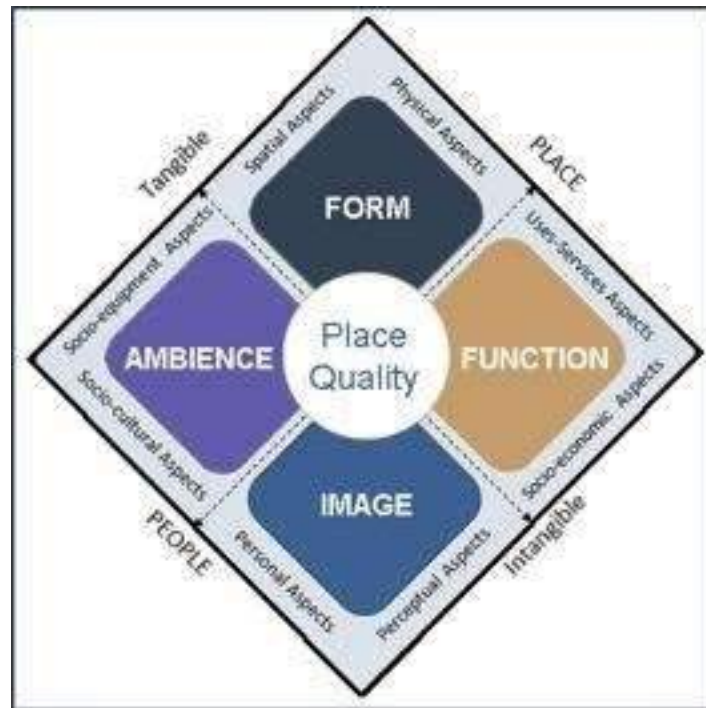
The fourth component of the program will deal with the assessment and monitoring of the interventions. In order to evaluate the impact and effectiveness of the new public spaces developed as well as of the system of public spaces that they will generate, qualitative and quantitative data will be collected before the construction of the new public spaces; at completion of the construction, two year after completion of the construction. The method to collect data and assess the impact of the interventions will be based on the following place quality framework<sup>828384</sup>:



<sup>82</sup>——Yigitcanlar, Tan, Guaralda, Mirko, Taboada, Manuela B., & Pancholi, Surabhi (2018) Place making for knowledge generation and innovation: Planning and branding Brisbane’s knowledge community precincts. In Yigitcanlar, Tan-& Bulu, Melih (Eds.) Urban Knowledge and Innovation Spaces Insights, Inspirations and Inclinations from Global Practices. Routledge (Taylor & Francis), New York, pp. 115-147.

<sup>83</sup>——Esmailpoorarabi, Niusha, Yigitcanlar, Tan, Guaralda, Mirko, & Kamruzzaman, Md. (2018) Does place quality matter for innovation districts? Determining the essential place characteristics from Brisbane’s knowledge precincts. Land Use Policy, 79, pp. 734-747.

<sup>84</sup>——Pancholi, Surabhi, Yigitcanlar, Tan, & Guaralda, Mirko (2018) Attributes of successful place-making in knowledge and innovation spaces: Evidence from Brisbane’s Diamantina knowledge precinct. Journal of Urban Design.



**Figure 13.**  
**Figure 13.** Place Quality Framework, based on Pancholi, Yigitcanlar, Guaralda (2018)

This framework considers tangible and intangible characteristics of place and it is articulated in a number of specific sub-indicators to specifically assess performance of public spaces in terms of their contribution to the overall urban form, economic sustainability, social dynamics, as well as experiential and cultural components. This framework will be used to monitor the impact of the intervention collecting statistical data, economic indicators, and assessing the performance of the public spaces in terms of community usage and perception, through surveys and site observations.

This component will be supervised by UNTAG, while QUT will lead publications to publicize the project and its findings.

In summary, this project aims to address specific climate change dynamics typical of Indonesia and relevant also for other geographical areas with similar challenges. The proposed new typology of public space, developed so to generate an integrated system of public spaces, will contribute to prepare Indonesian people to face the hazards of climate change through different tactics, strategies, and processes.

**Table 911.** Summary of Mitigation Action in ~~regards~~regard to main climate change hazards

Climate Change Impact	Adaptation Action
Flood or drought	Water sensitive urban design
Access to clean water	Rainwater harvesting and treatment
Access to reliable energy sources	Renewable energy production and distribution
Community vulnerability and safety	Community based interventions



Food security	Urban Farming
Waste contamination	Waste treatment and recycling

## B. Economic, social and environmental benefits

Describe how the project / programme provides economic, social and environmental benefits, with particular reference to the most vulnerable communities, and vulnerable groups within communities, including gender considerations. Describe how the project / programme will avoid or mitigate negative impacts, in compliance with the Environmental and Social Policy of the Adaptation Fund.

The project will bring various economic, social, and environmental benefits to all stakeholders. **The most dominant (economic, social and environmental) impacts of the gains are the citizens of Samarinda that are currently impacted by the impacts of climate change.**

Based on the analysis of the 15 principles under the Adaptation Fund's Social and Environmental Policy, the project is highly feasible to be implemented economically, socially and environmentally. It will result in multiple socio-economic and environmental benefits without significant negative risks.

Output	Expected Benefits		
	Social	Economic	Environmental
<b>Output 1.1.1.</b> <u>Research conducted on climate-resilient public spaces, including best practices and lessons learned within the Asia-Pacific Region, and South-East cities in particular</u>	<u>This project will endorse behavioral change for the community and strengthen social capital between vulnerable groups, e.g., youth, women, and children.</u>	=	=
<b>Output 1.1.2.</b> <u>Assessment tool and methodology for the evaluation of climate-resilient public spaces developed</u>	=	<u>The assessment tools will endorse time and budget efficiency for the city to have comprehensive information regarding the process of development.</u>	<u>A city can assess in more efficiency in time so that any environmental problem can be reported fast.</u>
<b>Output 1.1.3.</b> <u>Public space guidelines, incorporating new typologies that can be used as a best practice for replication</u>	=	<u>The guideline will endorse time and budget efficiency for the city to have comprehensive information regarding the process of development.</u>	<u>The same issue related to the development of public space can be solved faster.</u>
<b>Output 2.1.1.</b> <u>Community profiling developed for targeted locations in the City of Samarinda</u>			
<b>Output 2.1.2.</b> <u>Targeted communities are engaged in design processes through a participatory approach (e.g. workshops, interactive debates, etc.), focused on climate-resilient public spaces</u>	<u>Public participation will ensure that the needs of communities are met. Special emphasis is put on ensuring fair and equal participation of vulnerable groups.</u>		
<b>Output 2.2.1.</b> <u>Climate-resilient public space is co-developed and built in the selected communities (in the city of Samarinda) based on previous findings</u>	<u>People more cohesive more than before.</u>	<u>People can get economic benefit through public space</u>	<u>The environmental problem that been state in the problem statement will gradually decrease.</u>

Output	Expected Benefits		
	Social	Economic	Environmental
<b>Output 2.2.2.</b> <u>Community groups are established, based on existing governance structures (if present), to ensure adequate maintenance of the public spaces</u>		<u>The maintenance budget from the government will decrease by more than 50%.</u>	
<b>Output 3.1.1.</b> <u>Training for community groups to divulgate findings of the project and methodology of the intervention</u>			
<b>Output 3.1.2.</b> <u>Training for government officials in key sectors (e.g. planning departments) on project findings, methodologies and approaches applied for replication</u>			
<b>Output 3.2.1.</b> <u>Lessons learned and best practices on climate-resilient public spaces and community adaptive capacity building are captured and disseminated for regional replication</u>			
<b>Output 4.1.1.</b> <u>Evaluation of place quality before the intervention, at completion of the intervention, and two years after the completion of the interventions</u>			

### C. Cost-effectiveness of the programme

Describe or provide an analysis of the cost-effectiveness of the proposed project / programme.

### D. Consistency with national and sub-national strategies

Describe how the project / programme is consistent with national or sub-national sustainable development strategies, including, where appropriate, national or sub-national development plans, poverty reduction strategies, national communications, or national adaptation programs of action, or other relevant instruments, where they exist.

### INDONESIA POLICY FOR CLIMATE CHANGE ADAPTATION

- Republic of Indonesia Law No. 23 of 1997 Concerning Environmental Management

Article 1:

1. The environment is a unity of space with all objects, power, circumstances, and living things, including human beings and their behaviourbehavior, which affect the survival of the lives and welfare of humans and other living things;

2. Environmental management is an integrated effort to preserve the environmental function which includes policies for structuring, utilizing, developing, maintaining, restoring, controlling, and controlling the environment;
3. Sustainable development that is environmentally sound is a conscious and planned effort, which integrates the environment, including resources, into the development process to ensure the ability, welfare and quality of life of present and future generations;
4. Ecosystems are the elements of the environment which are whole unity and influence each other in forming environmental balance, stability and productivity;
5. Preservation of environmental functions is a series of efforts to maintain the continuity of the carrying capacity and capacity of the environment;
6. The carrying capacity of the environment is the ability of the environment to support the lives of humans and other living beings;
7. Preservation of environmental carrying capacity is a series of efforts to protect the ability of the environment against the pressure of change and/or negative impacts caused by an activity, so that it is still able to support the lives of humans and other living beings;
8. Environmental capacity is the ability of the environment to absorb substances, energy, and/or other components that enter or are included in it;
9. Preservation of environmental capacity is a series of efforts to protect the ability of the environment to absorb substances, energy, and/or other components that are discharged into it;
10. Resources are elements of the environment that consists of human resources, natural resources, both biological and non-biological, and artificial resources.

• **National Action Plan For Climate Change Adaptation 2014 (*Rencana Aksi Nasional Adaptasi Perubahan Iklim 2014*)**

By considering the notion of adaptation to climate change and its objectives, adaptation can be said as an effort to increase the resilience of a system to the effects of climate change. Climate change adaptation in Indonesia is directed as:

1. Adjustment efforts in the form of strategy, policy, management, technology and attitude (negative) impacts of climate change can be reduced to a minimum, and even if possible can utilize and maximize the positive impact.
2. Efforts to reduce the impact (consequences) caused by climate change, both directly and indirectly directly, both continuous and discontinuous and permanent and impacts according to their level.

In short, the action plan is directed so that: (a) the impact of climate change can be reduced to a minimum possible, (b) can increase resilience and reduce the level of vulnerability of a natural system, life records, programs or activities on the effects of climate change.

To support the field of sustainable living system resilience and resistance to climate change, the main target of the infrastructure sub-sector is to increase the coverage of services and strengthen a reliable and quality infrastructure system in the face of the effects of climate change. The main objectives can be achieved through several targets, as follows:

1. Development of the concept of infrastructure resilience that is adaptive to climate change
2. Development of infrastructure that is adaptive to climate change
3. Provision and adjustment of infrastructure that has a direct impact on the health of the community that has a high level of accessibility, especially for groups of people who are vulnerable and resilient to climate change

4. Management of integrated infrastructure layout with spatial planning in sustainable development

- **Ministry of Public Work Regulation No. 11/PRT/M/2012 About National Action Plan for Climate Change Mitigation and Adaptation Year 2012-2020**

In an effort to adapt to climate change, Indonesia faces enormous challenges, especially the characteristics of the territory of Indonesia as an archipelago, geographical location in tropical climates, and between the Asian Continent and the Continent of Australia and between the Pacific Ocean and Indian Ocean, which is why Indonesia very vulnerable to climate change. This is indicated by several facts, including droughts and floods, which harm food security, human health, infrastructure, settlements, and housing, especially in coastal areas and urban areas.

- **Ministry of Environmental and Forestry Regulation No. P.33/Menlhk/Setjen/Kum.1/3/2016 About Development Guideline for National Adaptation Plan**

The significant to integrating climate change adaptation actions into development policies, plans, and/or programs (Article 4 [letter e], Article 9 [paragraph 3], Article 10, Article 11)

- **Nationally Determined Contribution (NDC) the Republic of Indonesia 2017**

The GOI will implement enhanced actions to study and map regional vulnerabilities as the basis of adaptation information system, and to strengthen institutional capacity and promulgation of climate change sensitive policies and regulations by 2020. The medium-term goal of Indonesia's climate change adaptation strategy is to reduce risks on all development sectors (agriculture, water, energy security, forestry, maritime and fisheries, health, public service, infrastructure, and urban system) by 2030 through local capacity strengthening, improved knowledge management, convergent policy on climate change adaptation and disaster risks reduction, and application of adaptive technology.

At National Action Plan for Climate Change Adaptation (2014), it is stated that:

To support the field of sustainable living system resilience and resistance to climate change, the main target of the infrastructure sub-sector is to increase the coverage of services and strengthen a reliable and quality infrastructure system in the face of the effects of climate change. The main objectives can be achieved through several targets, as follows:

1. ~~1.~~ Development of the concept of infrastructure resilience that is adaptive to climate change
2. ~~2.~~ Development of infrastructure that is adaptive to climate change
3. ~~3.~~ Provision and adjustment of infrastructure that has a direct impact on the health of the community that has a high level of accessibility, especially for groups of people who are vulnerable and resilient to climate change
4. ~~4.~~ Management of integrated infrastructure layout with spatial planning in sustainable development

The infrastructure also refers to public space as resilience infrastructure. Public space is a place where physical and social resilience meet. Learn from the past, and even innovate to find solutions outside of nature-based solutions to address the risks of climate change. That is why public space must be considered as an important tool for reducing and adapting to rising temperatures and extreme weather.

Until now, Indonesia only has two resilient strategies for the city: City of Jakarta and City of Semarang. Meanwhile, the Badan Nasional Penanggulangan Bencana (BNPB) or Indonesia National Disaster Agency has published National Risk Index for Disaster in 7 priority area, ~~With~~with 71 indicators for Disaster Resilient and the City of Samarinda one of the city that adapts the program on their planning system. In this project, we are working day-to-day with the City Government of Samarinda and its people to develop the strategy of city resilient through the development of Public Space.

This project will involve the City Government of Samarinda from the first place and work closely with the City of Samarinda Development Agency, and this project also will follow their adaptation strategy planning, that stated at Regional Regulation on Samarinda Regional Spatial Planning, No. 2 of 2014-2043.

It is stated that Samarinda City Government has an obligation to provide public space, through related agencies, in realizing government policies to plan, utilize and control, related to regional development planning regarding public space by taking into account the indicators of the stages of supply and utilization public space includes: planning, land acquisition, engineering design, implementation of public space development, utilization and maintenance to be useful for current and future generations and the realization of an urban public space area

#### **E. Compliance with relevant standards and policies**

Describe how the project / programme meets relevant national technical standards, where applicable, such as standards for environmental assessment, building codes, etc., and complies with the Environmental and Social Policy of the Adaptation Fund.

The project will follow the technical standards publishes by the Ministry of Public Works and Housing the Republic of Indonesia, e.g., Law No. 28 the Year of 2002 about Building, Law No. 24 the Year of 2007 about Disaster Management, Law No. 26 the Year of 2007 about Spatial Planning, Ministry of Home Affairs Regulation No. 1 the Year of 2007 about Green Open Space Planning In Urban Area, Ministry of Public Works Regulation No. 5 the Year of 2008 about Provision and Utilization Guideline for Green Open Space in Urban Area, etc.

#### **F. Duplication of project**

Describe if there is duplication of project / programme with other funding sources, if any.

Currently, there is no duplication of this project with other funding sources. Although projects coordinated by the World Bank such as the “National Urban Development Project” (NUDP) (Project ID: P163896) and the “Improvement of Solid Waste Management to Support Regional and Metropolitan Cities” (Project ID: P157245) also focus on the urban environment, the areas targeted are different. The project “Improvement of Solid Waste Management to Support Regional and Metropolitan Cities” aims to improve solid waste management services in selected cities across Indonesia, supporting solid waste management policy and legislation, financial sustainability, and stakeholder collaboration across all aspects of the sector (e.g., collection, treatment, disposal, recycling and waste generation)<sup>85</sup>. Although *Embracing the Sun* integrates waste into the proposal, it is with a very different approach. The project aims to identify techniques and tactics to reuse materials that can be incorporated into the design and construction of spaces, with a focus on communities. In

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<sup>85</sup> <http://documents.worldbank.org/curated/en/640491496386470384/pdf/PIDISDS-CON-Print-P157245-06-02-2017-1496386463379.pdf>

this way, the objective in relation to waste will be to raise awareness on the importance of reducing-reusing-recycling model and to find creative solutions that could not only bring environmental benefits but also provide a source of income. It aims to promote and enhance bottom-up and community-based strategies, rather than top-down approaches.

The “National Urban Development Project” aims to improve subnational capacity to prioritize capital investment and help cities achieve more efficient infrastructure development through adequate land use planning. Although there are principles that are presented in both projects, such as the use of spatial tools with landscape carrying capacity to mitigate losses from natural disasters, the project coordinated by the World Bank has a much broader scope. The NUDP interventions aim to lay a foundation for more efficient and effective financing of infrastructure, conducting analyses of land suitability for land use planning and to guide infrastructure investment (referring to a wide range of urban elements, such as water supply, sanitation, schools, etc.), but not specifically public space.

In this way, although the three projects are envisioned within the urban environment, the current project targets public space and focuses on its strategic role as enabler of climate adaptation. In this way, the resulting concrete outputs from this project (i.e., assessment tool and methodology for the evaluation of climate-resilient public spaces, public space guidelines, lessons learned, etc.) aim to increase urban resilience, informing planning processes at the city-level in the area of public space. Therefore, there is no duplication with the aforementioned projects.

Strategic-wise, the project will follow the PPPP (Private - Public - People Partnership) approach. Lessons learned from Surabaya linked to their success in producing public space based on PPPP (i.e Joglo Markeso at Ketandan Kampong, Surabaya) will be taken into consideration. The project draws on lessons learned from several projects funded through UN-Habitat’s Global Public Space Programme (e.g., Kampong Ketandan, Tanah Kali Keinding and Keputih). Aspects such as public participation and the importance of integrating social aspects into the design rather than following only a technical approach mean that these projects can be relevant case studies among best practices that will be researched under component 1 of the project. However, there is no duplication given that this project focuses strongly on the strengthening of climate-resilience of public spaces while incorporating social dimensions to address the underlying causes of vulnerability.

## **G. Learning and knowledge management**

If applicable, describe the learning and knowledge management component to capture and disseminate lessons learned.

Ensuring the project sustainability clearly relies on knowledge management, public engagement strategy as well as the collaboration among stakeholders of each program component in the project. These fundamental aspects become important to make sure of the achievements of the project objectives as well as future replication. This effort can be seen in each component, especially in component 3. Several key activities that support the sustainability of the project are:

### **1. Establishing Segiri Market Public Space Community**

Under project component 2, citizens and municipal government officials will be engaged in every phase of the project development. Engagement experience throughout all the processes will directly and/or indirectly gave them opportunities to be aware then responsive to the climate change adaptation effort. Furthermore, they will be encouraged to establish a community that is intended to be a forum for coordination and knowledge management, discussing relevant issues, and together took a lesson learned along the process of resolving the problems. This community will also enable them to join forces in maintaining and develop segiri market public space for further needs.

## **2. Capacity Building**

Stakeholders capacity building related to the climate change adaptation effort will be crucial. Under component 3, citizens will be introduced to sustainable activities particularly through public space utilization that will help them reduce their vulnerability, while the government official will be introduced to several alternative mechanisms that support them in maintaining public space and formulate other strategies for further development. Eventually, both citizens or government officials will gain capacity improvement to strengthening their climate change adaptation effort, even after embracing the sun project completed.

## **3. Publication and Dissemination**

Aligned with capacity building effort, component 3 will also focus on how to disseminate lessons learned in adapting climate change through embracing the sun project. It will provide project documentation, infographic/video graphic, and other printed publications such as leaflets, posters, and banners as well as book as knowledge product to compile best practices that will capitalize as not only digital material for campaign on social media or website but also advocacy materials for policy brief formulation. Learning will also be obtained and disseminated through a series of studies to support the adaptation of climate change based on public space journal. The results of the study are then disseminated in the form of a research paper or a scientific journal.

In addition, learning and knowledge management that is integrated into the project under component 3 will create awareness about the new typology of public space proposed; its principles, its applicability to different contexts. Sharing and divulgating the findings of the program and its achievement will also allow other communities to gain agency on their urban form, to gain an awareness of the potential of public space in terms of building positive, sustainable, resilient communities and structure urban form in a more sustainable and responsive way.

Learning and knowledge management is integrated into the project under component 3. The third component of the program will deal with divulgation of the experience and learnings. Training will be organised for designers, government officials and community leaders so to create awareness about the new typology of public space proposed; its principles, its applicability to different contexts. In parallel, publications and event will be organised to publicise the programme, its findings and educate the broader community.

Sharing and divulgating the findings of the programme and its achievement will allow other communities to gain agency on their urban form, to gain an awareness of the potential of public space in terms of building positive, sustainable, resilient communities and structure urban form in a more sustainable and responsive way.

## **H. Consultative process**

Describe the consultative process, including the list of stakeholders consulted, undertaken during project preparation, with particular reference to vulnerable groups, including gender considerations, in compliance with the Environmental and Social Policy of the Adaptation Fund.

In the early stage of the project, the consultative process faces real challenges, especially with the COVID-19 pandemic. However, to maximize the result, the process starts from April 4, 2020, with minimal participants. The first online meeting was held on April 29, 2020, and attended by Head of



Environment Agency and a representative from Communication and Informatics Agency, the City of Samarinda. The second online meeting was held on April 30, 2020, and attended by Assistant II Mayor of Samarinda, Head of Environment Agency, Head of International Cooperation and Head of Public Relations, the City Government of Samarinda. In the second meeting, the discussion focused on the alternative of location that suitable for adaptation criteria.



Figure 14. Strengthening Communication with the City Government of Samarinda

On July 20, 2020, the team has organized the first formal meeting with the City Government of Samarinda by using online meeting tools. This meeting was attended by the Mayor of Samarinda City Mr. Syaharie Ja'ang, Samarinda City Secretary Mr. Sugeng Chairuddin, Assistant II Mayor of Samarinda Mrs. Nina Endang Rahayu, Head of Environment Agency Mrs. Nurrahmani, a representative from Kemitraan (Partnership) Mrs. Dewi Rizki and several others participant from City Government of Samarinda, Kemitraan (Partnership) and UNTAG-QUT team. This first meeting aims to get direction and input from the city government about the location that will be implementing place for the proposal. During this first meeting, Mayor of Samarinda explains how important is this project to be in-line with the City of Samarinda program plan, primarily related to flooding management plans. In the discussion, the City of Samarinda permitted the team to have a pre-survey to understand the location and city context better.

### Field Survey to The City of Samarinda



Figure 14. Several Location proposed by Samarinda Municipal Government

The first field survey was dedicated to visiting several locations that have proposed by the Mayor of Samarinda to be the location of embracing the sun project. Each location has its advantages and challenges. However, the location near Segiri Market is the preferable to choose because located in the higher ground and close to the area that prone to flood events. Moreover, the chosen area is also located closely with dense settlements that have complex challenges related to climate change. Issues such as poor waste management, low access to clean water, and minimum access to reliable energy

as well as gender issues become the main consideration why this location will be a perfect hub to boost climate change adaptation efforts in Samarinda.



Figure 15. Settlement and Poor Waste Management System Near Designated Area

Besides visiting the proposed area, further coordination with the Mayor of Samarinda is also held in this field survey. This meeting was obtained to reconnect the team objective and what the city can benefit from embracing the sun project implementation. Through this discussion, the team explained that the project will prioritize flood mitigation and risk reduction in the designated area, but along the process, the project will also engage with the local community to address climate change issues.

The Mayor of Samarinda agreed with the initial proposal and inform that they have other initiatives that might be integrated into the designated area. Government Municipal collaborate with the ministry of public works to build a waste treatment plant near Segiri Market and a retaining wall along Karang Mumus River as a mitigation strategy in reducing flood risk. Therefore, the mayor of Samarinda believes that embracing the sun project will bring added value for the city development plan that already made as well as become valuable assets in adapting climate change effort in Samarinda.



Figure 16. Coordination with Mayor of Samarinda City

Realizing challenges that will come along the implementation of the project, its important to engaged with the local youth community in Samarinda. The local youth community will be



involved in encouraging the wider public to take part in the development process as well as promoting a fresh perspective in utilizing public space as a tool to deal with climate change effect.

During the discussion, embracing the sun project need to address social as well as economic issues in designated area. The local youth community thinks it can begin with reimagining the root function of the market itself as an economic hub for the city. Consequently, the developed public space has to address the socio-economic context and combining with a new approach that promotes sustainability. they believe this idea will bridge the climate change adaptation process smoothly and encourage local citizens to involve more.

Aligned with those ideas, the collaboration established along the development process can also utilize to manifest a new sustainable maintenance scheme that will support the government municipal in maintaining the public space. The initial idea is to establish relevant economic activities in the designated area as an attraction that produces a new source of income or other benefits.

The attraction will draw citizens to utilize the public space and get an exposure to the climate change adaptation component builds there. The collective contribution from economic activities near the public space will be managed by the established community independently and capitalized for maintaining the public space itself. This approach believes will be a solution for boosting the community's sense of belonging to the public space, as well as to reduce the government cost in maintaining their assets.



Figure 17. Concultation process with local youth community

During the second visit to Samarinda, the team focused on the gender assessment and other issues relevant to it for further consideration. From the list of names form Local Technical Implementation Unit - Segiri Market and market observation, there tend to be more women sellers than men living and working in the market particularly in the “wet” section of the market that mostly sells fresh fruit, dry food, and fresh meat and vegetables.

This situation also leads the embracing the sun team to observe the basic infrastructure that supports women and children activities in the Segiri Market. The results showed that there is an absence of proper basic infrastructures such as toilet or day-care that accommodates women and children needs. This finding strengthens the need to establish comprehensive public space that not only focuses on the flood management system but also reducing community vulnerability as part of the climate change adaptation efforts.

Along the observation process, the government municipal official also explains that women and children near Segiri Market mostly affected by flood events. They cannot access water as easily as they can do before the flood happens. The water will be contaminated by the waste leftover near the Segiri Market and provoke greater risk not only for women's hygiene but also for the children's health.



Figure 18. Segiri Market Observation

The summarize of the consultation process that has been done can be seen in the following table:

No.	Stakeholders	Date	Issue
<u>1</u>	<u>Environment Agency City of Samarinda</u>	<u>April 29, 2020</u>	<u>Introduction to the project/program</u>
<u>2</u>	<u>Assistant II Mayor of Samarinda</u>	<u>April 30, 2020</u>	<u>Location of Implementation, Project Supporting Data</u>
<u>3</u>	<u>Mayor of Samarinda</u>	<u>July 20, 2020</u>	<u>Beneficiaries, Strategy, Location point</u>
<u>4</u>	<u>Environment Agency City of Samarinda</u>	<u>July 27, 2020</u>	<u>Priority locatin and issues in each location</u>
<u>5</u>	<u>Sidodadi sub-district officials</u>	<u>July 28, 2020</u>	<u>Disaster data event, beneficiaries, local community and stakeholders, and commitment in supporting this projectt</u>
<u>6</u>	<u>City Planning Agency</u>	<u>July 28, 2020</u>	<u>Spatial Plan of Samarinda City, Samarinda Mid-term Development Plan, other collaboration related to climate change adaptation in Samarinda</u>

<u>7</u>	<u>Environment Agency City of Samarinda</u>	<u>July 28, 2020</u>	<u>Climate Change Adaptation Local Action Plan, Environmental issues</u>
<u>8</u>	<u>Local Technical Implementation Unit - Segiri Market</u>	<u>July 28, 2020</u>	<u>Male and Female merchant's data, gender issues, existing waste management system, further development plan</u>
<u>9</u>	<u>Mayor of Samarinda</u>	<u>July 29, 2020</u>	<u>Next step and further consideration</u>
<u>10</u>	<u>Local Youth Community</u>	<u>July 29, 2020</u>	<u>Issues and key stakeholders in a preferable location, approaches in mass gathering, and existing local collaboration activities</u>
<u>11</u>	<u>Local merchant in Segiri Market</u>	<u>August 3, 2020</u>	<u>Basic infrastructure, daily activities, gender issues, economic issues, and other issues related to Pasar Segiri neighborhood development</u>
<u>12</u>	<u>Local Youth Community</u>	<u>August 4, 2020</u>	<u>Segiri Market field observation, alternative idea to support development plan</u>

Based on the data analysis and consultative process with the government municipal, the location near the Segiri Market will be the most suitable option to implement embracing the sun project. The total number of potential beneficiaries and the complexity of the issues will make the project became a valuable effort, especially in the climate change adaptation process in Samarinda.

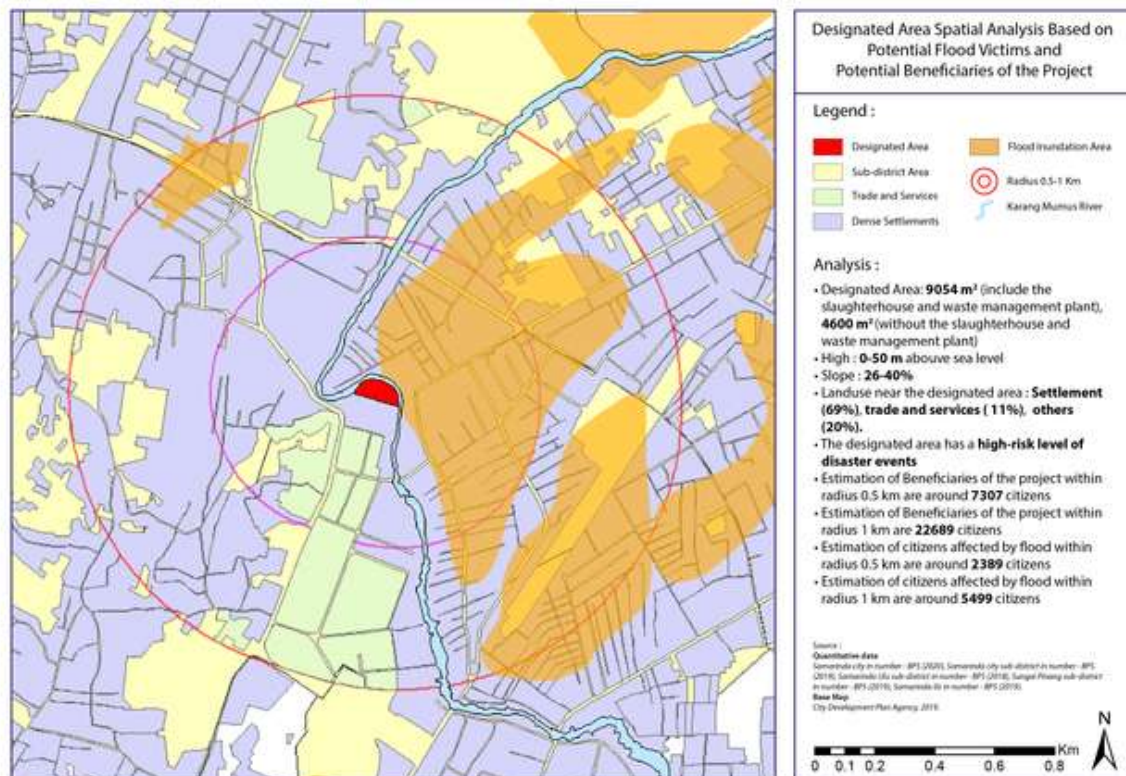


Figure 19. Spatial Analysis based on data gathering in the early stage of the project, the consultative process faces real challenges, especially with the COVID-19 pandemic. However, to maximize the result, the process starts from April 4, 2020, with minimal participants. The first online meeting was held on April 29, 2020, and attended by Head of Environment Agency and a representative from Communication and Informatics Agency, the City of Samarinda. The second online meeting was held on April 30, 2020, and attended by Assistant II Mayor of Samarinda, Head of Environment Agency, Head of International Cooperation and Head of Public Relations, the City Government of Samarinda. In the second meeting, the discussion focused on the alternative of location that suitable for adaptation criteria. The consultation process that has been done can be seen in the following table:

No.	Stakeholders	Date	Issue
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<u>6</u>	<u>City Planning Agency</u>	<u>July 28, 2020</u>	<u>Spatial Plan of Samarinda City, Samarinda Mid-term Development Plan, other collaboration related to climate change adaptation in Samarinda</u>
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<u>8</u>	<u>Regional Technical Implementation Unit – Segiri Market</u>	<u>July 28, 2020</u>	<u>Male and Female merchants data, gender issues, existing waste management system, further development plan</u>
<u>9</u>	<u>Mayor of Samarinda</u>	<u>July 29, 2020</u>	<u>Next step and further consideration</u>
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## Field Survey to The City of Samarinda

July 27–30, 2020

In this visit, several activities organized to maximize the time and make sure the health protocol implement. We have visited several locations given by the City Government of Samarinda and made a quick assessment for the locations. Besides that, we have done a focus group discussion with the youth group.



Figure 15. Location observation with Government Mincipal Officials



Figure 16. Coordination with Mayor of Samarinda City



Figure 17. Concultation process with local youth community

August 3—5, 2020

On this visit, the team focused more on three aspects, government officer of City Samarinda, woman group, and other vulnerable groups.



Figure 18. Segiri Market Night Observation



Figure 19. Bridging local youth community with government municipal officials

## **I. Justification for funding requested**

Provide justification for funding requested, focusing on the full cost of adaptation reasoning.

The proposed project components, outcomes and outputs fully align with national and local government priorities and gaps identified, and with the results framework of the AF. The table below provides a justification for funding requested, showing the impact of AF funding compared to no funding (baseline) related to expected project outcomes.

<b><u>No.</u></b>	<b><u>Program Component</u></b>	<b><u>Baseline</u></b>	<b><u>Additionally (with AF)</u></b>
<u>1</u>	<u>Research and Development on city-wide adaptation to climate change through public spaces</u>	<u>There is a lack of research conducted on climate-resilient public spaces, best practices in the region and assessment tools for the evaluation of public spaces.</u>	<u>Research is conducted on climate-resilient public spaces and best practices in the Asia-Pacific Region, with an emphasis on South-East countries. Based on the research, an assessment tool is developed in order to evaluate their level of climate-resilience. The aforementioned inform the development of public space guidelines that incorporate new typologies and that can be implemented and replicated.</u>
<u>2</u>	<u>Awareness raising and local resilience strengthening through the design and implementation of a new public space typology</u>	<u>The target locations for the development of the project are not climate-resilient, and frequently affected by floods. Public participation is not currently mainstreamed into design processes.</u>	<u>Communities have been fully involved in design processes of the pilot public space. The pilot project is co-developed and built in the selected locations, and community groups are established for the use and maintenance of the public spaces.</u>
<u>3</u>	<u>Capacity building, knowledge management and communication</u>	<u>1. Public awareness of climate change threats is very low. 2. Local authorities have limited understanding of local climate change vulnerabilities and disaster risks and have no plans to address these.</u>	<u>1. Public awareness on climate change threats and the importance of co-creation through participatory design of public spaces is increased. 2. Local authorities have used tools and are capable of implementing the approaches and methodologies.</u>
<u>4</u>	<u>Monitoring</u>	<u>There are no assessment tools for the evaluation of climate-resilient public spaces and no implemented projects that have been evaluated against an assessment framework.</u>	<u>One site is assessed before the intervention, at completion and after the completion of the intervention based on the assessment tool developed under component 1, serving as example on how to evaluate and monitor climate-resilient public spaces.</u>

## **J. Sustainability of the project**

Describe how the sustainability of the project/programme outcomes has been taken into account when designing the project / programme.

In terms of the long-term feasibility of the intervention; the public spaces will be designed applying solutions, technologies, and materials that will require minimal maintenance. The planning, design, construction, and maintenance processes of the project will be based on the 4P model<sup>86</sup>: Public-Private-People-Partnership. The engagement of public and private stakeholders, as well as the local communities, will be vital to the success of the project. The aim of the participatory approach is to create ownership by the community, so that in the future the community is empowered with the activation and maintenance of the public spaces in collaboration with the local government. Skills learned by community members during the construction phase will be strategic also for the day-to-day maintenance of the public spaces.

The 4P model<sup>87</sup> ensures a more resilient and sustainable management structure better equipped to face the challenges of climate change, because it relies on a variety of stakeholders and it is grounded in the engagement of end users, the communities where the public spaces will be developed, in every phase of the process. The handover of the space from the local government to the local community will be a key phase of the process, grounded in the participatory design of the project.

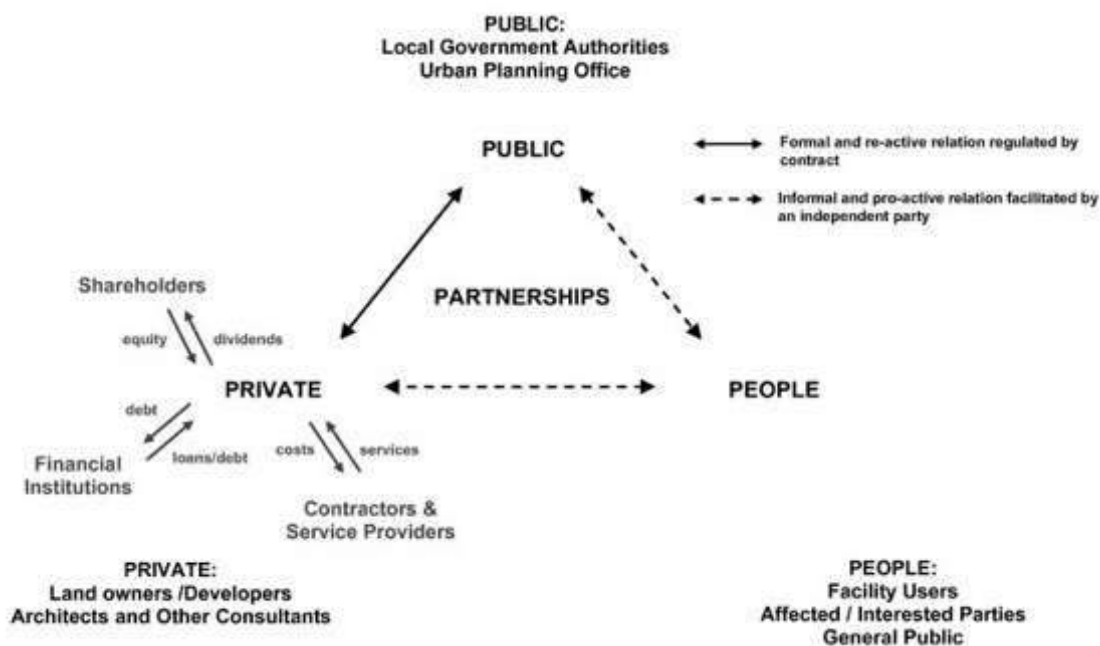


Figure 14. 4P model, based on Wong & Wong (2013)

To make sure the sustainability of the project regarding the limitation of funding source and time, the government municipal will establish a special task force based on Mayor decree and directly supervise by the city's secretary. The decree will be an ex-officio mandate to make sure the task force work sustainably. It will not depend on certain persons even though the government official changes along or beyond the process.

The task force will bring together government officials to support the project development and implementation as well as to align the planned public space with the existing city's development plan. Upon future needs and development, this task force will be encouraged to make sure that the

<sup>86</sup> Ng, S., Wong, J., & Wong, K. (2013). A public private people partnerships (P4) process framework for infrastructure development in Hong Kong. *Cities*, 31(C), 370–381. <https://doi.org/10.1016/j.cities.2012.12.002>

<sup>87</sup> Marana, P., Labaka, L., & Sarriegi, J. (2018). A framework for public-private-people partnerships in the city resilience-building process. *Safety Science*, 110, 39–50. <https://doi.org/10.1016/j.ssci.2017.12.011>



developed public space mention in the future city's development plan and integrated with the city's vision.

As mentioned in the consultative process, through the established Segiri Market public space community, the developed public space will have a part that became an economic attraction and generate valuable activities. This part will gain contributions and other benefits that can capitalize to maintain the developed public space independently. Eventually, both financial and institutional sustainability will achieve not only for near development but also for further climate change adaptation efforts.

#### **K. Overview of the environmental and social impacts and risks**

Provide an overview of the environmental and social impacts and risks identified as being relevant to the project / programme.

The proposed project seeks to fully align with the Adaptation Fund's Environmental and Social Policy (ESP) and the Adaptation Fund's Gender Policy. Table 10 summarizes findings of the preliminary assessment process that has been carried out to evaluate environmental and social impacts and risks of the entire project.

➤—Activities under Component 1 (Research and Development on city-wide adaptation to climate change through public spaces) and component 3 (Capacity building, knowledge management and communication )-resilience strengthening) have been categorized as low risk (Category C). The activities under Component 2 (output 2.2.1) are still to be defined based on the guidelines and methodology developed under component 1.

#### **ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS**

~~The proposed project seeks to fully align with the Adaptation Fund's Environmental and Social Policy (ESP) and the Adaptation Fund's Gender Policy. Table 10 summarizes findings of the preliminary assessment process that has been carried out to evaluate environmental and social impacts and risks of the entire project.~~

~~Activities under Component 1 (Research and Development on city-wide adaptation to climate change through public spaces) and component 3 (Capacity building, knowledge management and communication) resilience strengthening) have been categorized as low risk (Category C). The activities under Component 2(output 2.2.1) are still to be defined based on the guidelines and methodology developed under component 1. As such, some activities have the potential to adversely impact the environment and affected people, without an adequate management plan and mitigation measures. For this reason, activities under component 2 (output 2.2.1) are categorized as medium risk (Category B) or low risk (Category C). Given the small scale and localized interventions that are envisaged under this component, category A is not considered.~~

In this way, the project is regarded as a medium risk (Category B).

**Table 10.** *Overview of the environmental, social impacts and risks identified as being relevant to the project/programme.*

Checklist of environmental and social principles	Further assessment and management required for compliance	Potential impacts and risks and opportunities	Mitigation
Compliance with the Law	<i>The activities that have been defined at project preparation phase are aligned with existing laws and normative acts. However, those activities that are still to be defined under component 2 will need to be screened and assessed at a later phase to ensure full compliance with laws, regulations and standards.</i>	<i>Insufficient alignment with laws, regulations and standards, particularly for interventions under component 2 (construction of public space).</i>	<i>Compliance of project activities will be monitored throughout design and implementation phase. Local technicians will be consulted on this.</i>
Access and Equity	<i>The community profiling (Component 2, Output 2.1.1.) will provide an in-depth analysis of existing groups and dynamics within the community. This will help assess whether additional measures are required to ensure equal participation and access.</i>	<i>Unequal distribution of project benefits among target communities.  Unequal engagement and participation in workshops, consultations, etc. throughout the project process. This could potentially exclude less empowered community members from decision-making processes.</i>	<i>Vulnerable groups in the target communities will be identified. Then, activities will be designed to ensure full participation of vulnerable groups, by conducting specific focus group discussions (if needed).</i>
Marginalized and Vulnerable Groups	<i>Ensuring participation of people with disabilities or engaging peak bodies that represent them will be particularly important during the design phase to ensure that the public spaces meet accessibility requirements.</i>	<i>Potential risks include that traditionally vulnerable groups such as women, youth, children, the elderly, people with disabilities are not engaged appropriately throughout design and execution phases.</i>	<i>Consultations and other participatory approaches will be tailored to the context by for example, conducting women-only / youth-specific focus group discussions or workshops.</i>
Human Rights	<i>Consultations will capture issues related to human rights in the target areas.</i>	<i>Principle that applies to community-related processes and interventions in public space.</i>	<i>Consultations and participatory processes will be designed to follow a human-based approach.</i>
Gender Equity and Women's Empowerment		<i>Despite progress made, inequalities between men and women are still present across the</i>	<i>Women-only focus group discussions or workshops will be implemented if needed in order to ensure equal participation throughout the design phases.</i>



		<p>country<sup>88</sup>. Among the issues that hinder gender equality are: deficient participation of women in paid employment, gender inequality in access to education, weak institutional framework for gender mainstreaming, low participation of women in decision making and violence against women. Risks identified are related to a potential lack of participation of women.</p>	<p>Gender empowerment and involvement of women in decision-making will be promoted by ensuring that an equal number of female and male representatives are present in the established community groups.</p>
Core Labour Rights	<p>Safety and security measures related to the construction phase under 2 must be in place and are to be monitored throughout the construction phase.</p>	<p>Potential lack of adherence to the ILO labour Standards and national labour laws. Communities may not apply safety and security measures during construction works related to the implementation of activities under output 2.</p>	<p>Adherence to the ILO labour Standards and national labour laws is to be monitored throughout the process as a standard procedure.</p> <p>This includes the eight International Labour Organization Convention (ILO) core labour standards related to fundamental principles and rights of workers, as well as ILO Convention No. 169, which concerns rights of indigenous and tribal peoples. Contracts will be reviewed periodically to ensure compliance with these laws.</p>
Indigenous Peoples	<p>Consultations will capture issues and needs related to the different ethnic groups that are present in the target communities.</p>	<p>Indonesia is a country of great diversity and complexity in its culture, ethnicity, language, people, and geography<sup>89</sup>. There are 500 ethnic groups speaking more than 600 languages across the country<sup>90</sup>. The Javanese form</p>	<p>Appropriate tools translated to the relevant languages within each context will be used to ensure that communities are aware of their rights.</p> <p>The project will be consistent with UNDRIP, and particularly with</p>

<sup>88</sup> <https://www.adb.org/sites/default/files/institutional-document/32231/cga-indonesia.pdf>

<sup>89</sup> <https://www.adb.org/sites/default/files/institutional-document/32231/cga-indonesia.pdf>

<sup>90</sup> <https://www.adb.org/sites/default/files/publication/28024/indigenous-peoples-indonesia.pdf>

		<p>the majority ethnic group at 45% of the population. The Sundanese, Madurese, Coastal Malays, and other ethnic groups make up the rest. Muslims form the majority religious group at 89% of the total population<sup>91</sup>. The complexity of the context will require that this principle is monitored throughout the planning and implementation phases.</p>	<p>regard to Free, Prior, Informed Consent (FPIC) during project design and implementation.</p>
Involuntary Resettlement	<p>Interventions under component 2 will be designed to avoid resettlement.</p>	<p>The design of public spaces could potentially identify the need to demolish existing buildings. This could potentially lead to involuntary resettlement.</p>	<p>If involuntary resettlement is identified as a potential risk, related activities will not be approved.</p>
Protection of Natural Habitats		<p>Given that the interventions are planned to be executed within an urban context, the risk of negative environmental impacts in natural habitats is low. Furthermore, the project aims to incorporate <del>eco-system based</del> <u>system-based</u> adaptation measures that will provide environmental and socio-economic co-benefits.</p>	
Conservation of Biological Diversity	<p>Further assessment will be linked to the enhancement of identified opportunities. These are linked to both planning and implementation processes</p>	<p>Indonesia is considered to be one of the 17 megadiverse countries in the world. However, existing pressures</p>	<p>No risks identified</p>

<sup>91</sup> <https://www.adb.org/sites/default/files/institutional-document/32231/cga-indonesia.pdf>

	<i>(e.g. Promoting the enhancement of conservation of biological diversity as part of the Guidelines developed under component 1)</i>	<i>such as habitat degradation, overexploitation, climate change, economic crises in the country, among others, threaten biodiversity conservation<sup>92</sup>. Opportunities identified for the project include the recognition of public spaces as enhancers of biodiversity in urban contexts, potentially acting as ecological corridors.</i>	
<i>Climate Change</i>		<i>Project activities aim to increase climate change adaptation and to promote practices that contribute to climate change mitigation (e.g. renewable energy sources). No risks are identified for this principle.</i>	<i>The assessment tool and methodology for the evaluation of climate-resilient public space typologies (activity 1.1.4) will ensure that interventions under component 2 have no negative impacts with regards to this principle.</i>
<i>Pollution Prevention and Resource Efficiency</i>	<i>Design and construction phases will prioritize and promote the use of local materials.</i>	<i>Construction could lead to inadequate resource management and production of excessive waste</i>	<i>Waste management is integrated into the approach in order to raise awareness on the issue and promote good practices. This will be applied throughout the whole process</i>
<i>Public Health</i>	<i>Further assessment is related to the enhancement of opportunities.</i>	<i>Public spaces have the potential of improving citizens' health and well-being. This can be achieved by creating green spaces, spaces that can be used for recreational and sports activities, etc. Opportunities are identified that can be enhanced through the project.</i>	<i>No risks identified</i>
<i>Physical and Cultural Heritage</i>		<i>Project activities might affect unidentified cultural</i>	<i>The community profiling (Component 2, Output 2.1.1.) will collect local</i>

<sup>92</sup> <https://www.cbd.int/countries/profile/default.shtml?country=id>

		<i>sites which exist in the targeted areas and are impacted by project activities</i>	<i>knowledge on physical and cultural heritage in the targeted areas. This will allow analyzing the perceptions on physical and cultural assets that may be highly valuable to the community.</i>
<i>Lands and Soil Conservation</i>	<i>Screening of activity 2.2.1. will determine whether additional management is required once the design phase is completed.</i>	<i>No risks are identified for activities under components 1 and 3. Component 2 will require further assessment based on the activities that are defined after the designing phase. Given that the project is within an urban context and will promote urban agriculture at a small scale it is highly unlikely that any risks are triggered.</i>	<i>No risks identified</i>

## PART III: IMPLEMENTATION ARRANGEMENTS

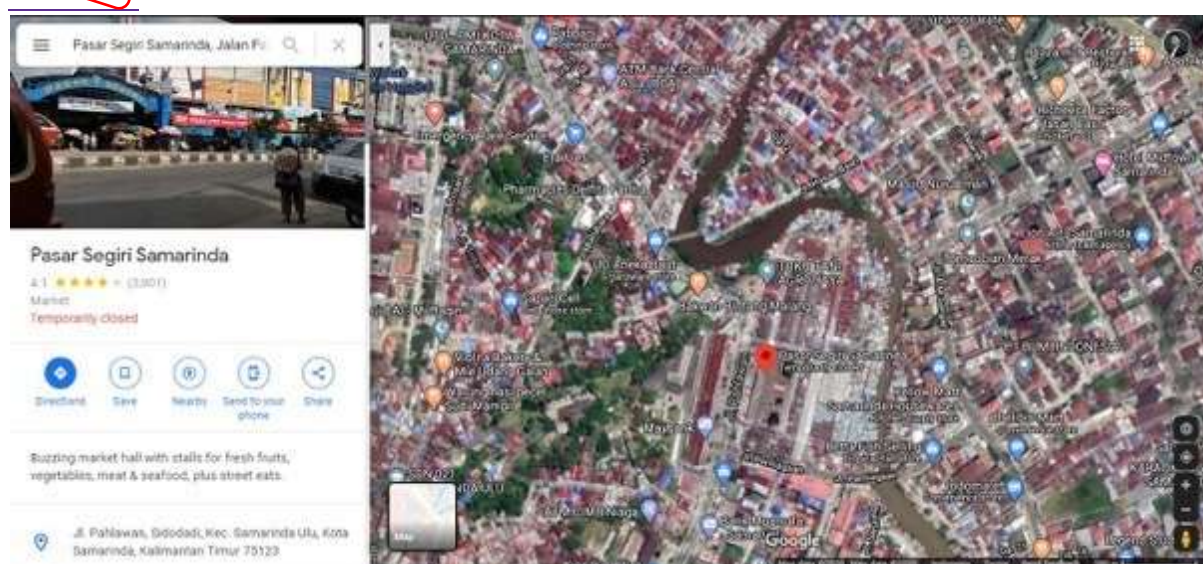
### A. Arrangements for project implementation

Describe the arrangements for project / programme implementation.

The Location:

#### THE LOCATION OF PUBLIC SPACE

@Jl. Pahlawan Kelurahan Pasar Segiri



Size of the land: 1,30054.090 m2 (Estimated)

Status: Belong to Samarinda Local Government

Other information:

- (1) Located on the banks of the Karang Mumus River
- (2) The government's plan to make it a Green Open Space on the banks
- (3) Located near the Traditional Market (Pasar Segiri)

The location for implementation of the project has discussed with the City Government of Samarinda with specific criteria that will in line with the city's strategic plan. This location located in the city center of Samarinda, near the Segiri Market, and the total area is 54.090-meter square.

The City Government of Samarinda's strategic plan explains that the location has a significant social problem. Based on that, this project hopefully will answer not only the climate change problem but also a social problem.

*Table 11. Stakeholder involvement by output or activity.*

<u>Output</u>	<u>Stakeholders</u>
<u>1.1.1 Research conducted on climate-resilient public space, including best practices and lesson learned within the Asia-Pacific Region, and South-East Asia cities in particular</u>	<u>Central Government, Municipal Government, UN-Habitat Global Public Space, and Pratt Institute New York.</u>
<u>1.1.2 Assessment tool and methodology for evaluation of climate-resilient Public Space developed</u>	<u>UNTAG Surabaya, QUT, Pratt Institute New York, Municipal Government, and UN-Habitat Global Public Space</u>
<u>1.1.3 Public Space guidelines, incorporating new typologies that can be used as a best practice for replication</u>	<u>UNTAG Surabaya, QUT, Pratt Institute New York, Municipal Government, and UN-Habitat Global Public Space</u>
<u>2.1.1 Community profiling developed for targeted locations</u>	<u>Municipal Government, UNTAG Samarinda, Local Community, Local CSO</u>
<u>2.1.2 Targeted communities are engaged in design processes through a participatory approach (e.g. workshop, interactive debate, etc.), focused on climate-resilient Public Space</u>	<u>Municipal Government, UNTAG Samarinda, Local Community, Local CSO</u>
<u>2.2.2 Community groups are established, based on the existing governance structure (if present), to ensure adequate maintenance of the Public Space</u>	<u>Municipal Government, UNTAG Samarinda, Local Community, Local CSO</u>
<u>3.1.1 Training for community groups to base strengthen community adaptation in Public Space location</u>	<u>Municipal Government, UNTAG Samarinda, Local Community, Local CSO</u>
<u>3.1.2 Training for government officials in key sector (e.g. planning department) on project findings, methodologies and approaches app</u>	<u>Municipal Government, UNTAG Samarinda.</u>
<u>3.2.1 Lessons learned and best practices on climate-resilient Public Space and community adaptive capacity building are captured and disseminated for regional replication</u>	<u>City Government of Samarinda, UNTAG Surabaya, QUT, Kemitraan</u>
<u>4.1.1 Evaluation of place quality before the intervention, at completion of the intervention, and two years after the completion of the intervention</u>	<u>City Government of Samarinda, UNTAG Surabaya, QUT, Kemitraan</u>
<u>4.2.1 Lessons learned and best practices on climate-resilient Public Space and community adaptive capacity building are captured and disseminated for regional replication</u>	<u>City Government of Samarinda, UNTAG Surabaya, QUT, Kemitraan</u>

## **B. Measures for financial and project risk management**

Describe the measures for financial and project / programme risk management.



All risks in project implementation are analyzed during the design phase with the participation of all relevant stakeholders. A mitigation strategy is established to ensure that the risk is well managed. The table below presents the types of risks, description of risk and risk level and the strategies that have been and will be done to minimize them.

<u>Type of Risk</u>	<u>Description of Risk</u>	<u>Risk category (H/M/L)</u>	<u>Risk Mitigation Strategy</u>
<u>Institutional</u>	<u>Weak commitment built by project implementers with central/provincial/local government due to changes in government structure and lack of coordination and communication.</u>	<u>Medium</u>	<p><u>This project will build in Segiri Market neighborhood that being hot-spot area related to political issues and social economy activities. The development process that happened in the election year might be one of the sensitive issues that use to politicization the designated area.</u></p> <p><u>To minimize the risk, PMU will ask the municipal government to form an official team under Secretary of Samarinda City (ex officio) supervision</u></p>
	<u>Changes in project personnel can affect the availability of qualified staff</u>	<u>Low</u>	<u>In establishing working relationships with the PMU, the Consortium implements a recruitment system with output of work contracts during the project. With this mechanism, the personnel attachment with the consortium in achieving the project goal will be the legal basis.</u>
<u>Financial</u>	<u>Delays in disbursement of funds, procurement and institutional efficiency (long approval process and others) that delay project implementation.</u>	<u>Medium</u>	<u>Building active communication with the grantor and fulfilling all forms of financial procedures in budget disbursement.</u>
<u>Social</u>	<u>Lack of community (direct beneficiaries) in supporting to the project</u>	<u>Medium</u>	<ul style="list-style-type: none"> <li><u>Building good relationships with local government, community and the community leaders (direct</u> </li></ul>

<u>Type of Risk</u>	<u>Description of Risk</u>	<u>Risk category (H/M/L)</u>	<u>Risk Mitigation Strategy</u>
			<u>beneficiaries) before the project starts</u> <ul style="list-style-type: none"> <li>• <u>Establishing temporary mini-groups for specific beneficiaries (local youth community, merchants, general citizens near designated location) to gather targeted community</u></li> <li>• <u>Utilization of activities in the form of training/workshops/group discussions to provide amn understanding of the project</u></li> </ul>
	<u>Communities are less aware of climate change and have lack of enthusiasm to respond to disasters. If beneficiaries are not fully aware of the impacts of climate change, it is difficult to gain their commitment in climate change adaptation through public space development.</u>	<u>Medium</u>	<u>This project will encourage the communities and introduce participatory methods to so that they can be provided with understanding on the impacts of climate change.</u>
	<u>Conflict of community interest in developed public space</u>	<u>Medium</u>	<u>This project will build trust among stakeholders in the Segiri Market neighborhood. There will be a representation person for each group that coordinates in the decision-making process. Furthermore, this project will promote collaboration in every phase of development to prevent conflict of community interest</u>
	<u>Low technical knowledge of municipal government officials and communities to maintaining the public space.</u>	<u>Medium</u>	<u>This project will provide the municipal government and communities with sustainable scheme related to public space maintenance. The alternative sustainable scheme is expected to reduce the maintenance cost that burden the local development budget</u>

### **C. Environmental and social risk management**

Describe the measures for environmental and social risk management, in line with the Environmental and Social Policy of the Adaptation Fund.

Project/program preparation has identified environmental or social risks, where the proposal should include environmental and social management plans that identify actions necessary to avoid, minimize, or mitigate potential environmental and social risks.

### **Vulnerable groups in the project location**

#### **People with disabilities**

Include description (context & project specific)

#### **Children**

Include description (context & project specific)

#### **Women**

Include description (context & project specific)

#### **Elderly people**

Include description (context & project specific)

### **Involvement of vulnerable groups in Local government activities**

Include description (context & project specific)

### **Special programs involving vulnerable groups in the project**

Include description (context & project specific)

### **Opportunities for inclusion of marginalized vulnerable groups**

Include description (context & project specific)

If there are community groups who then become increasingly marginalized as a result of project activities, the PMU level will try to solve this problem in a participatory way involving all parties, including the government, and vulnerable groups themselves, through a discussion process, and participatory approaches. The table below describes the environmental and social risk management, in accordance with the Adaptation Fund's Environmental and Social Policy.

<b><u>Environmental and Social Principles</u></b>	<b><u>Description of Risks</u></b>	<b><u>Risk category (H/M/L)</u></b>	<b><u>Risk Mitigation Strategy</u></b>
		<u>Low</u>	—
		<u>Low</u>	—

Project/program preparation has identified environmental or social risks, where the proposal should include environmental and social management plans that identify actions necessary to avoid, minimize, or mitigate potential environmental and social risks.

<u>Environmental and Social Principles</u>	<u>Description of Risks</u>	<u>Risk category (H/M/L)</u>	<u>Risk Mitigation Strategy</u>
<u>Marginal and Vulnerable Groups</u>	<u>There are several possibilities that will not involve some vulnerable groups in project activities</u>	<u>Low</u>	<ul style="list-style-type: none"> <li>• <u>The Assessments of vulnerable groups who will be involved in the project are identified by several categories such as age, work, income and family dependents. The data will then be fairly chosen for group involvement in each project activity</u></li> <li>• <u>The Project activity must record how much the involvement of vulnerable groups as beneficiaries, and must be evaluated</u></li> <li>• <u>Monitoring will have an impact on this will be carried out as scheduled during the project carried out through monitoring and evaluation on each project activity</u></li> </ul>
	<u>The participation of vulnerable groups who are beneficiaries is not fully approved, so that it will trigger uneven social impacts</u>	<u>Low</u>	<ul style="list-style-type: none"> <li>• <u>The existence of assistance activities for vulnerable groups in an effort to increase capacity</u></li> </ul>

### GRIEVANCE MECHANISM

In alignment to the Adaptation Fund's Environmental and Social Safeguards Policy, the implementing entity (Kermitraan) ~~will put in place~~ has a grievance mechanism in place, available in the target areas, ensuring an accessible, transparent, fair and effective means of communicating concerns during project design and implementation. Project stakeholders affected by the project will be informed of the grievance mechanism for any criticism or complaint of an activity.

This grievance ~~mechanism will~~ mechanism will allow affected stakeholders to raise concerns and will be given the option to remain anonymous. Modalities for raising grievances will include a postal address to which community members can write in any language, an email address and a confidential telephone number. Consultations and workshops, held throughout the project implementation will also serve as a means for stakeholders to raise concerns or suggestions.

These mechanisms consider the special needs of different indigenous groups as well as gender considerations. A hotline and mailbox offer an immediate way for affected stakeholders to express their concerns. The ~~hotline will~~ hotline will be available in local languages and offer the opportunity for those that may be affected by the project to complain or provide suggestions on how to improve project design and implementation.

In addition to the grievance mechanism set in place by the implementing entity, the address and e-mail address of the Adaptation Fund will also be made public (i.e., social media, participatory workshops, etc.) for anyone to raise concerns regarding the project:

Adaptation Fund Board secretariat  
Mail stop: MSN P-4-400

1818 H Street NW  
 Washington DC  
 20433 USA  
 Tel: 001-202-478-7347  
 afbsec@adaptation-fund.org

In terms of the long-term feasibility of the intervention; the public spaces will be designed applying solutions, technologies, and materials that will require minimal maintenance. The planning, design, construction, and maintenance processes of the project will be based on the 4P model<sup>93</sup>: Public-Private-People-Partnership. The engagement of public and private stakeholders, as well as the local communities, will be vital to the success of the project. The aim of the participatory approach is to create ownership by the community, so that in the future the community is empowered with the activation and maintenance of the public spaces in collaboration with the local government. Skills learned by community members during the construction phase will be strategic also for the day-to-day maintenance of the public spaces.

The 4P model<sup>94</sup> ensures a more resilient and sustainable management structure better equipped to face the challenges of climate change, because it relies on a variety of stakeholders and it is grounded in the engagement of end users, the communities where the public spaces will be developed, in every phase of the process. The handover of the space from the local government to the local community will be a key phase of the process, grounded in the participatory design of the project.



**Figure 14.** 4P model, based on Wong & Wong (2013)

<sup>93</sup> Ng, S., Wong, J., & Wong, K. (2013). A public-private people partnerships (P4) process framework for infrastructure development in Hong Kong. *Cities*, 31(C), 370–381. <https://doi.org/10.1016/j.cities.2012.12.002>

<sup>94</sup> Marana, P., Labaka, L., & Sarriegi, J. (2018). A framework for public-private people partnerships in the city resilience-building process. *Safety Science*, 110, 39–50. <https://doi.org/10.1016/j.ssci.2017.12.011>

## INSTITUTIONAL ARRANGEMENTS

The institutional arrangement includes the distribution of roles and responsibilities in the implementation of ESMP. The key players and their responsibilities will be as follows:

Designation	Responsibility
Program Management Unit (PMU)	<ul style="list-style-type: none"><li>- Identification of Environmental and Social Problems at the Project Site</li><li>- Public disclosure</li><li>- Creation of grievance mechanism at EE level</li><li>- Reporting and disposal of <del>grievances</del>grievances</li></ul>
Kemitraan (Partnership)	<ul style="list-style-type: none"><li>- Monitor and review the process ESMP implementation</li><li>- Set up the grievance mechanism at IE level</li><li>- Disposal of grievances</li></ul>

## GENDER ASSESSMENT

The proposed location of the planned public space is within the wet market complex of Pasar Segiri, the biggest foods and vegetables wholesaler market for the city of Samarinda. Other than dry foods and fresh vegetable products, the market also have wide range of products sold, such as clothings, face/body care products, and even reselling used products. Apart from small stalls in the “wet” area, it also has shop houses in buildings. The wet area consists of food products, while the shop houses sells more expensive goods, including electronic products.

The whole complex – around 5,4 hectares – lives for 24 hours non stop, catering the need of small vegetable and other food products vendors buying in small bulk. The market expand early in the morning from 2am-7am, (illegally) occupying part of the street to cater the need of vegetable vendors that will buy in bulk and distribute produce to residential areas. In day time, around 7am – 10am, home maker comes to buy fresh products for the needs of their household in smal amount. By mid day, the market is relatively not as busy as other times the day, and the sellers have time to arrange their goods or take some nap. In the afternoon, working women swarm in to buy foods for their family. The business continues, despite time, and the sellers continue doing many things, if not catering the buyers, cleaning vegetables or buying supply.



Though no data of sellers and buyers in Pasar Segiri disaggregated by sex is available, from the list of names and market observation, there tends to be more women sellers than men living and working in this market especially in “wet” section of the market that mostly sells fresh fruit, dry food and fresh meat and vegetables. In total there are over 1500 sellers in the market, that can be differentiated by products sold and locations.



At around 1am, women are arranging their stalls by the street to prepare for early morning buyers.

Going through the list of market stall tenants, –despite the common culturally unequal ownership/control over properties among women and men in other areas, market stalls are equally owned (or rent for a long period of time) under female and male names, reflecting a more equal position of men and women in the wet market context. Going through the list, some stalls are seen dominated by one person – renting the stalls to other sellers, mostly poorer food and vegetable seller. Women names (identified either by feminine name or by salutations) mostly own/rent stalls in the market selling vegetables (los sayur), and less women own/rent stalls selling meat/fish products and only very small proportion are listed as owner or renting shop houses. In vegetable stall sections, women names are listed as the tenant in over 50%- of the stalls.

A short observation on alleys in the vegetable sections –confirmed the above notion and more, showing that in around 10 shops, 8 women sellers were seen tending to their small food and/or vegetable stalls, mostly alone, few with their husband. Without enough support, women working as food seller are experiencing multiple burden in their reproductive and productive work. A young mother, in her thirty, has to tend her shop while nursing her baby in a not-so-clean stall she owned. She still looked after her shop when she was heavily pregnant and got only 3 months break after her baby was born. Once back in the shop, she took her baby with her even when looking after to the stall in the wet market, despite hygiene issues of the wet market context and the poor air circulation. She looks after the shop every day without break from around 9am to 5pm after she finishes all her reproductive works at home. Her husband usually leave home first to buy the food and vegetable they are selling. They have another child, around 8 years old, who stays at home with the grand mother. Next to her, in another stall, a mother was sleeping on top of her stall table, while rocking her baby that sleeps in a small “sarong” hammock hung at the stall’s ceiling. It was around 2 in the afternoon, business was rather quiet. Walking further inside the market alley, small children, elementary school age, were seen sitting on the stall’s table top, glued to a mobile phone playing game or watching videos. The mothers, who are vegetable food sellers, cannot give too much attention to them as they are busy taking care of their goods. The work never stops. When there is no buyer to serve, they sit and clean vegetables: peeling onion leaves or cabbage, packing vegetables that are already bought by a customer, or selecting fresh vegetables from the bad ones. When tired or feeling sleepy, these women just lay at the table stalls and fall asleep, without being disturbed by busy surroundings.



Sanitation facilities at Pasar Segiri with toilets with limited space for women with



A woman seller with her young child spending their days in the market, without day care centers.

Segiri market is not only a place to work but for some of the sellers it is also their home most of the days. The sellers and their family lives in their stalls or small rented rooms within the market complex. Women, men and children have to adapt to the market context with poor sanitation, poor air circulation and unhygienic conditions. Children do not have place to play, they are running around in-between stalls or -play right next to the river that has become the community waste dumping place. At an old wooden bridge connects Pasar Segiri with residential areas across the river, children are playing without any caution for safety. Bigger children are helping their parents selling goods, children in wet market are working at a very young age. The covid pandemic in the last 3 months have increased vulnerabilities of children as they do not go to school but spend most of their days in the market with their parents because no one can take care of them at home.

Other than Pasar Segiri community, there are also residential areas surrounding the proposed public space location. The main concern of this area is the frequent flood that happened twice in the last 6 months (when it used to happen only once in the last 10 years time). The flood brought dirty water from the river to their houses. One-storey houses owned or rented by low-income families will face more damaging impact as they do not have options to move to higher place or evacuate. Flooding in the wet market area increases the health risk for people living and working in the market. Women and children are at risk and are more vulnerable to the impact of flooding.

Gender Assessment Categories	Description relevant with Project
Gender roles	<u>Raising the awareness for public participation on public space</u>
Gender-related activities	<ul style="list-style-type: none"> <li><u>communication initiatives that aim to widely disseminate key messages, involving large-scale media;</u></li> <li><u>public events to convey the message to a specific target group, such as young people;</u></li> <li><u>the possibility of interactivity and the potential for the viral dissemination of the message online;</u></li> </ul>

	<ul style="list-style-type: none"> <li>community-based initiatives in a local context to mobilise communities, empower women and promote community dialogue on gender equality;</li> <li>static and travelling exhibitions and displays;</li> </ul>
<u>Gender needs</u>	<ul style="list-style-type: none"> <li>public events in public space e.g. concerts, information booths at festivals, etc.;</li> <li>social media and social networks, involving large-scale media such as television, newspapers, radio and websites;</li> <li>public meetings, presentations, workshops, informal social events using interpersonal and participatory approaches;</li> <li>printed materials — for example brochures, billboards, cartoons, comics, pamphlets, posters, resource books and audio-visual resources;</li> </ul>
<u>Opportunities and challenges/risks</u>	<ul style="list-style-type: none"> <li>Providing basic facts, evidence and arguments on various topics relating to gender equality to increase awareness and knowledge about gender (in)equality in public space;</li> <li>fostering communication and information exchange so as to improve mutual understanding and learning about gender (in)equality in public space;</li> <li>Mobilising communities and society as a whole to bring about the necessary changes in attitudes, behaviours and beliefs about gender equality in public space.</li> </ul>
<u>Gender Assessment Categories</u>	<u>Descriptions Relevant with Project</u>
<u>Gender Roles</u>	Women carry multiple burden as they perform their role as sellers in the market, especially in vegetable and food section, while they still have to perform most tasks in the domestic space. Without any reliable support system, the women have to carry out their reproductive roles in the market, especially caring for their small children. The proposed public space should provide some level of support for these women by providing a place where women could entrust the care of their children to, and involving women groups in the community as the care givers for the children.
<u>Gender Activities</u>	Women and children are the vulnerable groups within the proposed public space area in Pasar Segiri as they live lives inside the wet market complex and some have make Pasar Segiri their homes. Men and women work as sellers for almost 24 hours as the activities in the market never cease. Many children spend their days inside the wet market accompanying their parents. Older children, within elementary school age, were seen taking some responsibility in managing their stores (cleaning veggies, packing, weighing and other simple tasks). There is not many alternative activities for children to do within the area and almost no space that could be used by the children to learn and play.
<u>Gender Needs</u>	The health and safety of women and children that live most of their days inside the wet market are at risk. Women and children are lacking of proper place to rest, there is no proper sanitation facilities provided within the market place, no area to play for children who accompany their parents (living) in the market. Women are at risk because of multiple burden they have to carry the domestic works and family caring responsibilities, while managing their shops in the market.

	<p>The community living inside and in the surrounding areas of the market have to adapt to climate change, with more frequent flood happening in their living area that could last for a week, –poor water quality especially when the river is currently considered as waste dumping place, and- lacking of clean sanitation facilities that will have long term impact for women’s reproductive health.</p>
<p><u>Opportunities and Challenges/Risks</u></p>	<p>The involvement of women groups and young people in Samarinda kota communities to manage the public space that will become a safe space for women and children, especially for vulnerable groups living and working in the Pasar Segiri area. However, considering the burden of their work and the importance of sustaining their livelihood in Pasar Segiri market, inviting women merchants/sellers from Pasar Segiri could be a challenge as they have long working hours and are mostly reluctant to leave their working space to join any group activities.</p> <p>Care giving activities for children of women sellers could ease the burden, while giving a safer place for children to grow with more educative options of activities (library, conducting a non-formal education programs, providing space to play safely). However, day care program is yet to be a common practice in Indonesia, especially in Samarinda area, and any additional cost to daily family expenses (to pay for the day care facilities) may create rejection as the lower income family may have a tight budget. Building trust to leave a child with strangers may also be an issue, thus involvement of women sellers groups (informal or formal) is necessary to gain trust and allow women to participate in designing activities.</p>

#### **D. M&E PLAN**

Describe the monitoring and evaluation arrangements and provide a budgeted M&E plan.

#### **INCLUDE DESCRIPTION OF M&E ARRANGEMENTS**

Project Results	Indicators	Target	Sort by	Monitoring Methods & Tools	Frequency	Responsibility
<b>Project Component 1. Research and Development on city-wide adaptation to climate change through public spaces</b>						
<b>Outcome 1.1</b> Increased urban resilience through the development of a new public space typology and guidelines that can inform planning processes at the city-level					Quarterly	Kermitraan and EEs
<b>Output Level:</b>						
<b>1.1.1.</b> Research conducted on climate-resilient public spaces, including best practices and lessons learned within the Asia-Pacific Region, and South-East cities in particular					Quarterly	Kermitraan and EEs
<b>1.1.2.</b> Assessment tool and methodology for the evaluation of climate-resilient public spaces developed					Quarterly	Kermitraan and EEs
<b>1.1.3.</b> Public space guidelines, incorporating new typologies that can be used as a best practice for replication					Quarterly	Kermitraan and EEs
<b>Project Component 2. Awareness raising and local resilience strengthening through the design and implementation of a new public space typology</b>						
<b>Outcome 2.1</b> Increased awareness and ownership of design processes	Percentage of targeted population aware of climate projections and expected impacts and	50 %	Neighborhood	Documentation review Activity Report review Participation lists Quarterly Report review	Quarterly	Kermitraan and EEs

<b>Outcome 2.2</b> <u>Community-based infrastructure developed resulting in a strengthened adaptive capacity</u>	<u>Physical infrastructure improved to withstand climate change and variability-induced stress</u>	<u>1 public space</u>	<u>City</u>		<u>Quarterly</u>	<u>Kermitraan and EEs</u>
<b>Output Level:</b>						
<b>2.1.1.</b> <u>Community profiling developed for targeted location in the City of Samarinda</u>	<u>No. of community profiles developed for the targeted location</u>	<u>1</u>	<u>None</u>	<u>Documentation review</u>	<u>Quarterly</u>	<u>Kermitraan and EEs</u>
<b>2.1.2.</b> <u>Targeted communities are engaged in design processes through a participatory approach (e.g. workshops, interactive debates, etc.), focused on climate-resilient public spaces</u>	<u>No. and type of risk reduction actions or strategies introduced at local level</u>	<u>1</u>	<u>Neighborhood</u>	<u>Activity Report review</u> <u>Participation lists</u> <u>Quarterly Report review</u>	<u>Quarterly</u>	<u>Kermitraan and EEs</u>
<b>2.2.1.</b> <u>Climate-resilient public space is co-developed and built in the selected communities (in the city of Samarinda) based on previous findings</u>	<u>No. of physical assets strengthened or constructed to withstand conditions resulting from climate variability and change (by asset types)</u>	<u>1 public space</u>	<u>City</u>	<u>Activity Report review</u> <u>documentation</u> <u>Quarterly Report review</u>	<u>Quarterly</u>	<u>Kermitraan and EEs</u>
<b>2.2.2.</b> <u>Community groups are established, based on existing governance structures (if present), to ensure adequate maintenance of the public spaces</u>	<u>Number of community groups established and number of people</u>	<u>3</u>	<u>Neighborhood</u>	<u>Activity Report review</u> <u>Participation lists</u> <u>Quarterly Report review</u>	<u>Quarterly</u>	<u>Kermitraan and EEs</u>
<b>Project Component 3. Capacity building, knowledge management and communication</b>						



<b>Outcome 3.1</b> Increased capacity at the city- and community-levels on climate-resilient strategies and design options for public spaces	No. and type of targeted institutions with increased capacity to minimize exposure to climate variability risks	<u>1</u>	<u>City</u>	Activity Report review Participation lists	<u>Quarterly</u>	<u>Kermitraan and EEs</u>
<b>Outcome 3.2</b> Knowledge sharing and increased awareness on project results among targeted audience (communities, governmental bodies, general public)	Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses	<u>Number to be defined</u>	<u>None</u>	<u>Quarterly Report review</u> <u>Documentation</u>	<u>Quarterly</u>	<u>Kermitraan and EEs</u>
<b>Output Level:</b>						
<b>3.1.1.</b> Training for community groups to divulgate findings of the project and methodology of the intervention	Number of people aware of predicted adverse impacts of climate change, appropriate responses and project and methodologies implemented in the intervention	<u>1 training (at least 50% women participating)</u>	<u>Neighborhood</u>	Activity Report review Documentation Participation lists	<u>Quarterly</u>	<u>Kermitraan and EEs</u>
<b>3.1.2.</b> Training for government officials in key sectors (e.g. planning departments) on project findings, methodologies and approaches applied for replication	<u>20 staff trained</u>	<u>20 staff trained</u>	<u>City</u>	Activity Report review Documentation Participation lists	<u>Quarterly</u>	<u>Kermitraan and EEs</u>
<b>3.2.1.</b> Lessons learned and best practices on climate-resilient public spaces and community adaptive capacity building are captured and disseminated for regional replication	<u>5 news outlets in the local press and media covering the topic.</u>	<u>5</u>	<u>None</u>	<u>Quarterly Report review</u> <u>Documentation</u>	<u>Quarterly</u>	<u>Kermitraan and EEs</u>
<b>Project Component 4. Monitoring</b>						
<b>Outcome 4.1</b>	Evaluation of the project impacts	<u>1</u>	<u>None</u>	Documentation review Baseline survey, end of completion survey, survey	<u>Quarterly</u>	<u>Kermitraan and EEs</u>

<u>Increased understanding and awareness of the impact of the intervention</u>				<u>two years after the completion of the intervention</u>		
<b>Output Level:</b>						
<b>4.1.1</b> – <u>Evaluation of place quality before the intervention, at completion of the intervention, and two years after the completion of the interventions</u>	<u>Evaluation report in the project location</u>	<u>3 surveys</u>	<u>None</u>	<u>Baseline survey, end of completion survey, survey two years after the completion of the intervention</u>	<u>Quarterly</u>	<u>Kermitraan and EEs</u>

## E. Results Framework

Include a results framework for the project proposal, including milestones, targets and indicators.

Outcome/ Output	Indicator	Baseline	Target	Source of Verification	Risk & Assumption
<b>Project Component 1.</b> <u>Research and Development on city-wide adaptation to climate change through public spaces</u>					
<b>Outcome 1.1</b> <u>Increased urban resilience through the development of a new public space typology and guidelines that can inform planning processes at the city-level</u>		<u>0</u>		<u>Activity Report, Documentation</u> <u>Copy of assessment tool and methodology</u> <u>Copy of public space guidelines</u>	
<b>Output 1.1.1.</b> <u>Research conducted on climate-resilient public spaces, including best practices and lessons learned within the Asia-Pacific Region, and South-East cities in particular</u>		<u>0</u>		<u>Activity Report, Documentation</u>	
<b>Output 1.1.2.</b> <u>Assessment tool and methodology for the</u>		<u>0</u>		<u>Copy of assessment tool and methodology</u>	

<u>Outcome/ Output</u>	<u>Indicator</u>	<u>Baseline</u>	<u>Target</u>	<u>Source of Verification</u>	<u>Risk &amp; Assumption</u>
evaluation of climate-resilient public spaces developed					
<b>Output 1.1.3.</b> <u>Public space guidelines, incorporating new typologies that can be used as a best practice for replication</u>		<u>0</u>		<u>Copy of public space guidelines</u>	
<b>Project Component 2. Awareness raising and local resilience strengthening through the design and implementation of a new public space typology</b>					
<b>Outcome 2.1</b> <u>Increased awareness and ownership of design processes</u>	<u>Percentage of targeted population aware of climate projections and expected impacts and</u>	<u>0</u>	<u>50 %</u>	<u>Copy of community profiles</u> <u>Activity Report</u> <u>Participation lists</u> <u>Documentation</u>	
<b>Output 2.1.1.</b> <u>Community profiling developed for targeted location in the City of Samarinda</u>	<u>No. of community profiles developed for the targeted location</u>	<u>0</u>	<u>1</u>	<u>Community profiles (documentation)</u>	
<b>Output 2.1.2.</b> <u>Targeted communities are engaged in design processes through a participatory approach (e.g. workshops, interactive debates, etc.), focused on climate-resilient public spaces</u>	<u>No. and type of risk reduction actions or strategies introduced at local level</u>	<u>0</u>	<u>1</u>	<u>Activity Report</u> <u>Participation lists</u>	
<b>Outcome 2.2</b> <u>Community-based infrastructure developed resulting in a strengthened adaptive capacity</u>	<u>Physical infrastructure improved to withstand climate change and variability-induced stress</u>	<u>0</u>	<u>1 public space</u>	<u>Activity Report, documentation</u>	

Outcome/ Output	Indicator	Baseline	Target	Source of Verification	Risk & Assumption
<b>Output 2.2.1.</b> <u>Climate-resilient public space is co-developed and built in the selected communities (in the city of Samarinda) based on previous findings</u>	No. of physical assets strengthened or constructed to withstand conditions resulting from climate variability and change (by asset types)	0	1 public space	Activity Report, documentation	
<b>Output 2.2.2</b> <u>Community groups are established, based on existing governance structures (if present), to ensure adequate maintenance of the public spaces</u>	Number of community groups established and number of people	0	3 (at least 1 with a focus on gender) (at least 1 with a focus on youth)	Activity Report Participation lists	
<b>Project Component 3. Capacity building, knowledge management and communication</b>					
<b>Outcome 3.1</b> <u>Increased capacity at the city- and community-levels on climate-resilient strategies and design options for public spaces</u>	No. and type of targeted institutions with increased capacity to minimize exposure to climate variability risks	0	1	Activity Report Participation lists	
<b>Output 3.1.1.</b> <u>Training for community groups to divulgate findings of the project and methodology of the intervention</u>	Number of people aware of predicted adverse impacts of climate change, appropriate responses and project and methodologies implemented in the intervention	0	1 training (at least 50% women participating)	Activity Report Participation lists	
<b>Output 3.1.2.</b> <u>Training for government officials in key sectors (e.g. planning departments) on project findings, methodologies and approaches applied for replication</u>	20 staff trained	0	20 staff trained	Activity Report Participation lists	

<u>Outcome/ Output</u>	<u>Indicator</u>	<u>Baseline</u>	<u>Target</u>	<u>Source of Verification</u>	<u>Risk &amp; Assumption</u>
<b>Outcome 3.2</b> <u>Knowledge sharing and increased awareness on project results among targeted audience (communities, governmental bodies, general public)</u>	<u>Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses</u>	<u>0</u>	<u>Number to be defined</u>	<u>Documentation</u>	
<b>Output 3.2.1.</b> <u>Lessons learned and best practices on climate-resilient public spaces and community adaptive capacity building are captured and disseminated for regional replication</u>	<u>5 news outlets in the local press and media covering the topic.</u>	<u>0</u>	<u>5 news outlets (local press and media)</u>	<u>Documentation</u>	
<b>Component 4. Monitoring</b>					
<b>Outcome 4.1</b> <u>Increased understanding and awareness of the impact of the intervention</u>	<u>Evaluation of the project impacts</u>	<u>0</u>	<u>1</u>	<u>Documentation</u> <u>Baseline survey, end of completion survey, survey two years after the completion of the intervention</u>	
<b>Output 4.1.1</b> <u>Evaluation of place quality before the intervention, at completion of the intervention, and two years after the completion of the interventions</u>	<u>Evaluation report in the project location</u>	<u>0</u>	<u>1 report</u>	<u>Documentation</u> <u>Baseline survey, end of completion survey, survey two years after the completion of the intervention</u>	

## F. Alignment with the Results Framework of the Adaptation Fund

Demonstrate how the project / programme aligns with the Results Framework of the Adaptation Fund

Project Outcome(s)	Project Outcome Indicator(s)	Fund Outcome	Fund Outcome Indicator	Grant Amount (USD)
<b>Outcome 1.1.</b> Increased urban resilience through the development of a new public space typology and guidelines that can inform planning processes at the city-level		<b>Outcome 7:</b> Improved policies and regulations that promote and enforce resilience measures	<b>7.</b> Climate change priorities are integrated into national development strategy	<b>105.363</b>
<b>Outcome 2.1.</b> Increased awareness and ownership of design processes	Percentage of targeted population aware of climate projections and expected impacts and	<b>Outcome 3:</b> Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level	<b>3.2.</b> Modification in behavior of targeted population	<b>40.000</b>
<b>Outcome 2.2.</b> Community-based infrastructure developed resulting in a strengthened adaptive capacity	Physical infrastructure improved to withstand climate change and variability-induced stress	<b>Outcome 4:</b> Increased adaptive capacity within relevant development and natural resource sectors	<b>4.2.</b> Physical infrastructure improved to withstand climate change and variability-induced stress	<b>409.681</b>
<b>Outcome 3.1.</b> Increased capacity at the city- and community-levels on climate-resilient strategies and design options for public spaces	No. and type of targeted institutions with increased capacity to minimize exposure to climate variability risks	<b>Outcome 2:</b> Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses	<b>2.1.</b> No. and type of targeted institutions with increased capacity to minimize exposure to climate variability risks	<b>30.000</b>
<b>Outcome 3.2.</b> Knowledge sharing and increased awareness on project results among targeted audience (communities, governmental bodies, general public)	Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses	<b>Outcome 3:</b> Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level	<b>3.1.</b> Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses	<b>51.000</b>



<u>Project Outputs</u>	<u>Project Objective Indicator(s)</u>	<u>Fund Outcome</u>	<u>Fund Outcome Indicator</u>	<u>Grant Amount (USD)</u>
<p><u>1.1 Research conducted on climate-resilient public spaces, including best practices and lessons learned within the Asia-Pacific Region, and South-East cities in particular.</u></p> <p><u>1.2 Assessment tool and methodology for the evaluation of climate-resilient public spaces developed</u></p> <p><u>1.3 Public space guidelines, incorporating new typologies that can be used as a best practice for replication.</u></p>		<p><u>Output 7: Improved integration of climate-resilience strategies into country development plans</u></p>	<p><u>7.1. No., type, and sector of policies introduced or adjusted to address climate change risks</u></p>	<p><u>105.363</u></p>
<p><u>2.1.1 Community profiling developed for targeted locations in the City of Samarinda</u></p> <p><u>2.1.2 Targeted communities are engaged in design processes through a participatory approach (e.g. workshops, interactive debates, etc.), focused on climate-resilient public spaces</u></p>	<p><u>No. of community profiles developed for the targeted locations</u></p> <p><u>No. and type of risk reduction actions or strategies introduced at local level</u></p>	<p><u>Output 3: Targeted population groups participating in adaptation and risk reduction awareness activities</u></p>	<p><u>3.1.1 No. and type of risk reduction actions or strategies introduced at local level</u></p>	<p><u>50.000</u></p>
<p><u>2.2.1 Climate-resilient public space is co-developed and built in the selected communities (across the four cities) based on previous findings</u></p>	<p><u>No. of physical assets strengthened or constructed to withstand conditions resulting from climate variability and change (by asset types)</u></p>	<p><u>Output 4: Vulnerable physical, natural, and social assets strengthened in response to climate change impacts, including variability</u></p>	<p><u>4.1.2. No. of physical assets strengthened or constructed to withstand conditions resulting from climate variability and change (by asset types)</u></p>	<p><u>409.681</u></p>
<p><u>3.1.1 Training for community groups to divulgate findings of the project and methodology of the intervention</u></p>	<p><u>Number of people aware of predicted adverse impacts of climate change, appropriate responses and project and methodologies implemented in the intervention</u></p>	<p><u>Output 3: Targeted population groups participating in adaptation and risk reduction awareness activities</u></p>	<p><u>3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses</u></p>	<p><u>15.000</u></p>

3.1.2 Training for government officials in key sectors (e.g. planning departments) on project findings, methodologies and approaches applied for replication	20 staff trained	<b>Output 2.1:</b> Strengthened capacity of national and regional <del>centres</del> centers and networks to respond rapidly to extreme weather events	<b>2.1.1.</b> No. of staff trained to respond to, and mitigate impacts of, climate-related events	<b>15.000</b>
3.2.1. Lessons learned and best practices on climate-resilient public spaces and community adaptive capacity building are captured and disseminated for regional replication	5 news outlets in the local press and media covering the topic.	<b>Output 3:</b> Targeted population groups participating in adaptation and risk reduction awareness activities	<b>3.1.2</b> No. of news outlets in the local press and media that have covered the topic	<b>51.000</b>

## G. Budget

Include a detailed budget with budget notes, a budget on the Implementing Entity management fee use, and an explanation and a breakdown of the execution costs.

For the detail see Annex B (Excel File)

Look at the Annex B

### INCLUDE

Code	Program	Description	Budget Notes	Detail Budget
-	-	-	-	Total in USD
1	Component 1	Development of theoretical model for the new typology of Public Space	-	\$ 109,027
1.1	Outcome 1.1	New Public Space typology and guideline that can be implemented in the city	-	\$ 109,027
1.1.1	Output 1.1.1	Research conducted on climate-resilient public space, including best practices and lesson learned within the Asia-Pacific Region, and South-East Asia cities in particular	Objection: Developing collective approaches and theoretical model for a new typology of Public Space related to the traditional market and riverside area improvement as the social and economic activities epicenter in adapting climate change  Involvement: Central Government, Municipal Government, UN-Habitat Global Public Space, and Pratt Institute New York.	\$ 85,027
1.1.2	Output 1.1.2	Assesment tool and methodology for evaluation of climate-resilient Public Space developed	Objection: Developing user-friendly tools and apps to help citizens to perceive climate and resilience context in public space that will be utilized in development location  Involvement: UNTAG Surabaya, QUT, Pratt Institute New York, Municipal Government, and UN-Habitat Global Public Space	\$ 14,000
1.1.3	Output 1.1.3	Public Space guidelines, incorporating new typologies that can be used as a best practice for replication	Objection: Building interactive boots that will be used as knowledge transfer media among citizens, local stakeholders, and government officials to understand climate change and resilience through in public space development. So it can be a best practice that will replicate for further development in Samarinda City or other Indonesian cities.  Involvement: UNTAG Surabaya, QUT, Pratt Institute New York, Municipal Government, and UN-Habitat Global Public Space	\$ 10,000
-	Component 2	Awareness raising and local resilience strengthening through the	-	\$ 459,682

		<u>design and implementation of a new Public Space typology</u>		
<u>2.1</u>	<u>Outcome 2.1</u>	<u>Increased awareness and ownership of the design processes</u>	-	\$ 50,000
<u>2.1.1</u>	<u>Output 2.1.1</u>	<u>Community profiling developed for targeted locations</u>	<u>Target: Citizens, community, and local merchants in Segiri Market neighborhood as well as the youth community in Samarinda</u>  <u>Objection: Building Trust with the local community as well as municipal government to collaborate and support the public space development through embracing the sun project</u>  <u>Involvement: Municipal Government, UNTAG Samarinda, Local Community, Local CSO</u>	\$ 10,000
<u>2.1.1</u>	<u>Output 2.1.2</u>	<u>Targeted communities are engaged in design processes through a participatory approach (e.g. workshop, interactive debate, etc.), focused on climate-resilient Public Space</u>	<u>Target: Citizens, community, and local merchants in Segiri Market neighborhood</u>  <u>Objection: Collaborating and incorporating local ideas to public space development plan in the designated area</u>  <u>Involvement: Municipal Government, UNTAG Samarinda, Local Community, Local CSO</u>	\$ 40,000
<u>2.2</u>	<u>Outcome 2.2</u>	<u>Community-based infrastructure developed resulting in a strengthened adaptive capacity</u>	-	\$ 409,682
<u>2.2.1</u>	<u>Output 2.2.1</u>	<u>Climate-resilient Public Space is co-developed and built in the selected communities (in the City of Samarinda) based on previous findings</u>	<u>Target: Segiri Market Neighborhood</u>  <u>Objection: Improvement and construction of basic infrastructure (exp: sanitation, waste management, lighting, education center, etc) in Segiri Market Neighborhood</u>  <u>Involvement: Municipal Government, UNTAG Samarinda, Local Community, Local CSO, Private Sector (Construction and installation)</u>	\$ 399,682
<u>2.2.2</u>	<u>Output 2.2.2</u>	<u>Community groups are established, based on the existing governance structure (if present), to ensure adequate maintenance of the Public Space</u>	<u>Target: Segiri Market Neighborhood</u>  <u>Objection: Establishing Segiri Market public space community and strengthening their bonds to support the maintenance of public space process through collaborative and sustainable model in the effort of adapting climate change</u>  <u>Involvement: Municipal Government, UNTAG Samarinda, Local Community, Local CSO</u>	\$ 10,000
<u>3</u>	<u>Component 3</u>	<u>Capacity building, knowledge management and communication</u>	-	\$ 81,000

3.1	Outcome 3.1	Increased capacity at the city and community-level on climate-resilient strategies and design option for Public Space	-	\$ 30,000
3.1.1	Output 3.1.1	Training for community groups to base strengthen community adaptation in Pubiic Space location	<p><u>Target: Segiri Market Neighborhood</u></p> <p><u>Objection: Introducing citizens near Segiri Market with sustainable activities that will help them in adapting to climate change effect. Strengthening local community ownership of the developed public space through embracing the sun project</u></p> <p><u>Involvement: Municipal Government, UNTAG Samarinda, Local Community, Local CSO</u></p>	\$ 15,000
3.1.2	Output 3.1.2	Training for government officials in key sector (e.g. planning department) on project findings, methodologies and approaches app	<p><u>Target: Municipal Government</u></p> <p><u>Objection: Improving Municipal Government Officials' understanding regarding sustainable maintenance schemes that will help them in monitoring and preserving the public space that has developed by embracing the sun projects. Introducing them with best practices from cities throughout the globe related to improving and managing public space for further development</u></p> <p><u>Involvement: Municipal Government, UNTAG Samarinda.</u></p>	\$ 15,000
3.2	Outcome 3.2	Knowledge sharing and increased awareness on project result among targeted audience (communities, governmental bodies, general public)	-	\$ 51,000
3.2.1	Output 3.2.1	Lessons learned and best practices on climate-resilient Public Space and community adaptive capacity building are captured and disseminated for regional replication	<p><u>Target: Governmental bodies and the general public</u></p> <p><u>Objection: Spreading embracing the sun project' result and lessons learned to the wider public (national and international)</u></p> <p><u>Involvement: City Government of Samarinda, UNTAG Surabaya, QUT, Kemitraan</u></p>	\$ 51,000
4	Component 4	Monitoring	-	\$ 38,288
4.1	Outcome 4.1	Increased understanding and awareness of the impact of the intervention	-	\$ 17,500
4.1.1	Output 4.1.1	Evaluation of place quality before the intervention, at completion of the intervention, and two years after the completion of the intervention	<p><u>Target: Segiri Market Neighborhood</u></p> <p><u>Objection: Monitoring and evaluation of the project implementation, especially how the intervention promotes better adaptation of climate change</u></p>	\$ 17,500

			<u>Involvement: City Government of Samarinda, UNTAG Surabaya, QUT, Kemitraan</u>	
<u>4.2</u>	<u>Outcome 4.2</u>	<u>Knowledge sharing and increased awareness on project result among targeted audience (communities, governmental bodies, general public)</u>	<u>Target: Governmental bodies and the general public</u>  <u>Objection: Spreading embracing the sun project' result and lessons learned to the wider public (national and international)</u>  <u>Involvement: City Government of Samarinda, UNTAG Surabaya, QUT, Kemitraan</u>	\$ 20,788
-	<u>Total Activity</u>	-	-	\$ 687,996
-	<u>Total Output</u>	-	-	\$ 687,996
-	<u>Total Outcome</u>	-	-	\$ 687,996
-	<u>Total Component</u>	-	-	\$ 687,996
<u>A.</u>	<u>Total Project/Programme Activities Cost</u>			\$ 687,996
<u>B</u>	<u>Total Project Execution Cost (PEC) and M &amp; E Cost</u>			\$ 72,221
<u>C</u>	<u>Project/Programme Cycle Management Fee charged by the Implementing Entity</u>			\$ 64,618
	<u>TOTAL</u>			\$ 824,835

## H. Disbursement schedule

Include a disbursement schedule with time-bound milestones.

### Disbursement Milestones

	Upon signature of Agreement	One Year after Project Start <sup>a)</sup>	Total
Schedule DateSchedule-Date	Friday, January 1, 2021 <del>01 January 2021</del>	Saturday, January 1, 2022 <del>01 January 2022</del>	-
Project Cost -Project-Cost	\$ 458,664 \$ 456.222	\$ 229,332 \$ 228.111	\$ 687,996 \$ 684.333
Execution Cost -Execution-Cost	\$ 48,147 \$ 47.891	\$ 24,074 \$ 23.945	\$ 72,221 \$ 71.836
Implementing Entity Fee	\$ 43,079	\$ 21,539	\$ 64,618
Implementing Entity Fee	\$ 42.850	\$ 21.425	\$ 64.275
Total -Total	\$ 549,890 \$ 546.963	\$ 274,945 \$ 273.481	\$ 824,835 \$ 820.444

INCLUDE

a) Use projected start date to approximate first year disbursement

b) Subsequent dates will follow the year anniversary of project start

c) Add columns for years as needed





[illegible]



[illegible]

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## ENDORSEMENT BY GOVERNMENT AND CERTIFICATION BY THE IMPLEMENTING ENTITY



### WALIKOTA SAMARINDA

Samarinda, July 30<sup>th</sup> 2019

Subjected : Endorsement Of Resilience Research Institute, The University Of 17 Agustus 1945 Surabaya Forthe Adaptation Fund Project In Indonesia And School Of Design Office, Creative Industries Faculty, Queensland University Of Technology

The Adaptation Fund Board Secretariat  
And  
Kemitraan Indonesia

To whom it may concern

On behalf of the City of Samarinda it is my pleasure to endorse the project, proposed by Resilient Research Institute, The University of 17 Agustus 1945 Surabaya and School of Design Office, Creative Industries Faculty, Queensland University Of Technology.

As I concern this project/program will be good pilot project for the City of Samarinda and also in-line with City of Samarinda priorities in implementing activities adaptation program and activities to reduce adverse impact of, and risk, posed by climate change within the city. This project outcome also will bring community more understood on how they should adapt and became more resilient for the future. Therefore, I am pleased to endorse the project title "Embracing The Sun" to be implemented in City of Samarinda.

Sincerely

  
H. Syaharie J. J. S. S. S.  
Mayor of Samarinda

The Adaptation Fund Board Secretariat  
1818 H Street NW  
MSN P4-400  
Washington, D.C., 20433  
U.S.A

Surabaya, 20<sup>th</sup> January, 2019

Subject:  
Endorsement of UNTAG Surabaya Resilience Institute ( Pusat Studi Resiliensi), Universitas  
17 Agustus 1945 Surabaya, Indonesia, for the Adaptation Fund Project in Indonesia

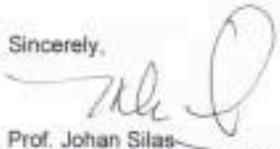
To Whom It May Concern,

On behalf of the Surabaya City Government, it is my pleasure to endorse the project,  
proposed by UNTAG Surabaya Resilience Institute (Pusat Studi Resiliensi), Universitas 17  
Agustus 1945 Surabaya, Indonesia.

Surabaya is one of the largest cities in Indonesia. The city has won various global awards,  
because it represents the future of the city of Indonesia, with good governance and  
innovation in overcoming the challenges facing the urban environment, especially related to  
global climate change, to bring economic and environmental benefits holistically to the  
people of Surabaya. In developing its benefits, Surabaya hopes to be a role model for other  
cities in Indonesia.

Therefore, it is necessary to invite other local governments in the potential cities, to learn  
what has been done by Surabaya, so that it can be duplicated in their cities. Here the role of  
non-government organizations, such as the UNTAG Surabaya Resilience Institute is  
needed. This institution is always active and participates in various activities in Surabaya  
and its surroundings. They participate to building resilience at the local level through  
advocacy, awareness raising, capacity building, design workshop & implementation and  
promoting city-to-city collaboration. One of the trusted institutions that are partners is the  
School of Design Office, Creative Industries Faculty, The Queensland University of  
Technology. I am confident, through this collaboration; they will produce better and more  
useful products.

Sincerely,



Prof. Johan Silas  
Advisor to the Mayor for City Planning  
and Urban Heritage



**B.**

**A. Record of endorsement on behalf of the government**

Provide the name and position of the government official and indicate date of endorsement. If this is a regional project/programme, list the endorsing officials all the participating countries. The endorsement letter(s) should be attached as an annex to the project/programme proposal. Please attach the endorsement letter(s) with this template; add as many participating governments if a regional project/programme:

<u>H. Syaharie Ja'ang</u> Mayor of Samarinda	<u>Date: July, 30<sup>th</sup>, 2019</u>
<u>Prof. Johan Silas</u> Advisor to the Mayor for City Planning and Urban Heritage	<u>Date: January, 10<sup>th</sup>, 2019</u>

**B. Implementing Entity certification**

Provide the name and signature of the Implementing Entity Coordinator and the date of signature. Provide also the project/programme contact person's name, telephone number and email address

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans (President Decree No. 16/2015; P.13/MENLHK/Setjen/OTL.0/1/2016; P.33/MENLHK/Setjen/Kum.1/3/2016; Indonesia Intended Nationally Determined Contribution/INDC; COP 21; Paris Agreement signed by Government of Indonesia; Book and Map of Information System of Vulnerability Index Data (SIDIK); Permen-KP No. 2 year 2013; Climate Change Adaptation National Action Plan) and subject to the approval by the Adaptation Fund Board commit to implementing the Project in compliance with the Environmental and Social Policy of the Adaptation Fund and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this Project..



**Inda Presanti Loekman**  
**Executive Director a.i. of Kemitraan**  
**Implementing Entity Coordinator**

Date: 17th Jan 2020

Tel. and email: +62-21-7279 9566;  
Inda.Loekman@kemitraan.or.id

Project Contact Person: **Dewi Rizki**

Tel. and Email: +62-21-7279 9566; [Dewi.Rizki@kemitraan.or.id](mailto:Dewi.Rizki@kemitraan.or.id)

#### **ANNEX A**

#### **Endorsement letters**

The Endorsement Letter from the Mayor of Samarinda City (Municipal Government City of Samarinda)



## WALIKOTA SAMARINDA

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Samarinda, July 30<sup>th</sup> 2019

Subjected : Endorsement Of Resilience Research Institute, The University Of 17 Agustus 1945 Surabaya Forthe Adaptation Fund Project In Indonesia And School Of Design Office, Creative Industries Faculty, Queensland University Of Technology



The Adaptation Fund Board Secretariat  
And  
Kemitraan Indonesia

To whom it may concern

On behalf of the City of Samarinda it is my pleasure to endorse the project, proposed by Resilient Research Institute, The University of 17 Agustus 1945 Surabaya and School of Design Office, Creative Industries Faculty, Queensland University Of Technology.

As I concern this project/program will be good pilot project for the City of Samarinda and also in-line with City of Samarinda priorities in implementing activities adaptation program and activities to reduce adverse impact of, and risk, posed by climate change within the city. This project outcome also will bring community more understood on how they should adapt and became more resilient for the future. Therefore, I am pleased to endorse the project title "Embracing The Sun" to be implemented in City of Samarinda.

Sincerely

  
  
H. Syaharie Ja'ang, SH, M.S.  
Mayor of Samarinda

The Endorsement Letter from the Advisor to the Mayor for City Planning and Urban Heritage (Municipal Government City of Samarinda)

The Adaptation Fund Board Secretariat  
1818 H Street NW  
MSN P4-400  
Washington, D.C., 20433  
U.S.A

Surabaya, 20<sup>th</sup> January, 2019

Subject:  
Endorsement of UNTAG Surabaya Resilience Institute ( Pusat Studi Resiliensi), Universitas  
17 Agustus 1945 Surabaya, Indonesia, for the Adaptation Fund Project in Indonesia

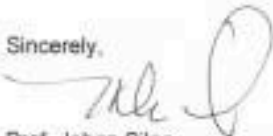
To Whom It May Concern,

On behalf of the Surabaya City Government, it is my pleasure to endorse the project,  
proposed by UNTAG Surabaya Resilience Institute (Pusat Studi Resiliensi), Universitas 17  
Agustus 1945 Surabaya, Indonesia.

Surabaya is one of the largest cities in Indonesia. The city has won various global awards,  
because it represents the future of the city of Indonesia, with good governance and  
innovation in overcoming the challenges facing the urban environment, especially related to  
global climate change, to bring economic and environmental benefits holistically to the  
people of Surabaya. In developing its benefits, Surabaya hopes to be a role model for other  
cities in Indonesia.

Therefore, it is necessary to invite other local governments in the potential cities, to learn  
what has been done by Surabaya, so that it can be duplicated in their cities. Here the role of  
non-government organizations, such as the UNTAG Surabaya Resilience Institute is  
needed. This institution is always active and participates in various activities in Surabaya  
and its surroundings. They participate to building resilience at the local level through  
advocacy, awareness raising, capacity building, design workshop & implementation and  
promoting city-to-city collaboration. One of the trusted institutions that are partners is the  
School of Design Office, Creative Industries Faculty, The Queensland University of  
Technology. I am confident, through this collaboration; they will produce better and more  
useful products.

Sincerely,



Prof. Johan Silas  
Advisor to the Mayor for City Planning  
and Urban Heritage

|

## **ANNEX C**

### **Field Report**

#### **Field Report for Adaptation Fun Proposal “Embracing the Sun”**

Samarinda, July 27 - 30, and August 4 - 6, 2020

#### **1. Meeting Summary with Samarinda Environmental Agency**

##### Attendance:

<u>No.</u>	<u>Name</u>	<u>Position</u>
<u>1.</u>	<u>Nurrahmani</u>	<u>Head of Environmental Office, City Government of Samarinda</u>
<u>2.</u>	<u>Rosana</u>	<u>Head of Pollution Control and Environmental Damage (PCED) Department, Environmental Office, City Government of Samarinda</u>
<u>3.</u>	<u>Yudi Sulistyanto</u>	<u>Head of Environmental Division, PCED Department, Environmental Office, City Government of Samarinda</u>
<u>4.</u>	<u>M. Arief Surochman</u>	<u>Regional Asset Division, Regional Financial and Asset Management Agency, City Government of Samarinda</u>

##### Meeting Notes:

\*The field visit to the City of Samarinda was conducted during the COVID-19 pandemic. All attendance during the field visit is following the strict health protocol.

The field visit aims to have confirmation from the City Government of Samarinda about the location that will be used for the Adaptation Fund Implementation project. Before the field visit, the City Government of Samarinda has sent four places that might be possible to be the location for project implementation. The four sites are scattered in a different part of the city. Two areas are located in the north of Samarinda, and the other two. are located in the south of Samarinda. One of the indicators used to select the place is the land should be owned by the City Government of Samarinda and should be used for the public interest.

The first location is located at the Karang Mumus riverbank near the Segiri Market. This location has complexity problems, not only the environment but also social. The second location is still located at Karang Mumus riverbank but more to the south; this area is more ready, but during the field visit, based on a quick observation, there is no significant problem of the environment nor social that need to be addressed. The third location is at Untung Suropati Street in front of the big mall; this area is not suitable for implementing the program due to the place's condition were only green median that separated two streets. The fourth location was inside the new low-cost housing complex at Cipto Mangunkusumo Street. This location was also not suitable for the project's aim because it has lower environmental and social problems that need to be addressed.

Based on the field observation, a suitable location for project implementation is the Karang Mumus riverbanks near the Segiri Market. And as the conclusion of this field survey, the Head of the Environment Office will report it to the Mayor of Samarinda and discuss it with the project team.

#### **2. Meeting Summary with the Mayor of Samarinda**

##### Attendance:

<u>No.</u>	<u>Name</u>	<u>Position</u>
<u>1.</u>	<u>H.E. Mr. Syaharie Ja'ang</u>	<u>Mayor of the City of Samarinda</u>

<u>2.</u>	<u>Dr. Sugeng Chairuddin</u>	<u>City Secretary of the City of Samarinda</u>
<u>3.</u>	<u>Mrs. Nina Endang Rahayu</u>	<u>Assistant II for the Mayor of the City of Samarinda</u>
<u>4.</u>	<u>Nurrahmani</u>	<u>Head of Environmental Office, City Government of Samarinda</u>
<u>5.</u>	<u>Rosana</u>	<u>Head of Pollution Control and Environmental Damage (PCED) Department, Environmental Office, City Government of Samarinda</u>
<u>6.</u>	<u>Idfi Septiani</u>	<u>Head of Public Relations, the Regional Secretariat the City of Samarinda, City Government of Samarinda</u>

Meeting Notes:

\*The field visit to the City of Samarinda was conducted during the COVID-19 pandemic. All attendance during the field visit is following the strict health protocol.

During the meeting with the Mayor of the City of Samarinda, the proposal team presents the field observation result. The aims of the meeting to get the same perception between the city government and the project team. The perception alignment is crucial to put the proposal in line with the city government's future development plan, so the proposal's result will be sustainable in the future.

The other thing that the proposal team discusses in this meeting is the methods used for the project. The approach that will be implemented is a bottom-up method that will focus on participation and collaboration. This approach will put the public as an active subject, not just the proposals or projects' object. It means that the design process will be based on observation, discussion, the public's input, or in other words, all the phases of programming and designing will be produced in Samarinda and with the public. The proposal team will not bring any program or design to the Samarinda; we will use a blank sheet.

The Mayor of the City of Samarinda has agreed with the proposals' ideas and will give full support to the proposal team. The Mayor of the City of Samarinda also instructed the City Secretary of Samarinda and Assistant II to the Mayor of Samarinda to help, support, and convoy the program and align with the program be implemented in Samarinda.

The meeting's conclusions are:

- the Mayor of Samarinda asked for a regular meeting between the proposal team and the City Government of Samarinda, and it will lead by the City Secretary of Samarinda,
- the City of Samarinda will provide all data that will be needed by the proposal team, and the Head of Environmental Office will be the person in charge,
- the City Government of Samarinda will facilitate the process of discussion between the proposal team with all the stakeholder inside the city government, and
- the City Government of Samarinda will follow-up on several processes that need to be done in the field.

3. Meeting Summary with the Youth Group

Attendance:

<u>No.</u>	<u>Name</u>	<u>Position</u>
<u>1.</u>	<u>Rachel I. T.</u>	<u>Sajen Group</u>



<u>2.</u>	<u>Adi Chandra</u>	<u>Sajen Group Owner</u>
<u>3.</u>	<u>Yogi Septiawan</u>	<u>Ngegass Group Owner</u>
<u>4.</u>	<u>Christian</u>	<u>Airo Water</u>
<u>5.</u>	<u>Ryanto Chaniago</u>	<u>Karma Industries</u>
<u>6.</u>	<u>Idfi Septiani</u>	<u>Head of Public Relations, the Regional Secretariat the City of Samarinda, City Government of Samarinda</u>

Meeting Notes:

\*The field visit to the City of Samarinda was conducted during the COVID-19 pandemic. All attendance during the field visit is following the strict health protocol.

The youth group meeting talks about bringing economic value and developing a creative economy in line with the proposal idea. Not only that, during the meeting, but we also discuss the engagement with the youth, how they can contribute since the early phase of the program.

This phase is essential since the process of climate change adaptation must be supported by all and giving both benefits to the environment and humans. The youth was giving the idea of how the river can use not only a river but also the centre of attention; by doing that, people will start to think of the river as their asset.

Meeting conclusion, the youth group agrees to work together with the proposal team to involve the process actively.

4. Meeting Summary with Woman and Vulnerable

Meeting Notes:

The field visit to the City of Samarinda was conducted during the COVID-19 pandemic. All attendance during the field visit is following the strict health protocol.

Meeting with women and other vulnerable groups was talking about what they understand about climate change and adaptation to climate change. This question triggers the discussion about the necessary infrastructure that they hope can support them in adapting climate change, how they see their daily life in reality. During the field observation, the team found out that their not enough facility can be used both by women and children.

