



ADAPTATION FUND

AFB/PPRC.26-27/2  
2 November 2020

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Adaptation Fund Board  
Project and Programme Review Committee

**TECHNICAL ASSISTANCE GRANT FOR THE  
ENVIRONMENTAL AND SOCIAL POLICY AND GENDER  
POLICY (TA-ESGP) – INTERPROFESSIONAL FUND FOR  
AGRICULTURAL RESEARCH AND ADVICE (FIRCA) CÔTE  
D'IVOIRE**

## Background

1. At its twenty-second meeting, the Adaptation Fund Board Secretariat (the secretariat) had prepared document AFB/B.22/6 which outlined the possible elements and options for a phased programme to support readiness for direct access to climate finance for national and regional implementing entities and presented a framework and budget for a first phase of the programme. Following a discussion of the document, the Board decided to:

- a) *Approve Phase I of the Readiness Programme as detailed in document AFB/B.22/6, on the basis that it would follow performance-based funding principles;*
- b) *Take note of the options provided by the secretariat on a programme to support readiness for direct access to climate finance for national and regional implementing entities;*
- c) *Request the secretariat to submit to the Board intersessionally between the twenty-second and twenty-third meetings, execution arrangements, criteria/eligibility criteria to allocate the funds to the accredited implementing entities for specific activities, as well as a timeline of activities, with a view to start implementing the programme before the twenty-third Board meeting; and*
- d) *Approve an increase in the Administrative Budget of the Board, secretariat and trustee for FY2014 of US\$ 467,000 for the programme described in AFB/B.22/6, and authorize the trustee to transfer such amount to the secretariat and request the trustee to set aside the balance amount of US\$ 503,000 from the Adaptation Fund Trust Fund resources for subsequent commitment and transfer at the instruction of the Board.*

### (Decision B.22/24)

2. At its twenty-third meeting, the Board had decided through decision B.23/26 to approve “the execution arrangements, criteria/eligibility criteria to allocate the funds to the accredited implementing entities for specific activities”, contained in document AFB/B.23/5, which included grants for technical assistance and South-South Cooperation (SSC).

3. Based on the Board Decision B.23/26, the first call for readiness project proposals was issued in May 2014 and eligible countries were given the opportunity to submit applications for a readiness grant.

4. At the tenth session of the Conference of the Parties serving as meeting of the Parties to the Kyoto Protocol (CMP 10), the Parties recognized the Readiness Programme of the Adaptation Fund and decided to:

*Invite further support for the readiness programme of the Adaptation Fund Board for direct access to climate finance in accordance with decision 2/CMP.10, paragraph 5;*

### Decision 1/CMP.10

and also decided to:

*Request the Adaptation Fund Board to consider, under its readiness programme, the following options for enhancing the access modalities of the Adaptation Fund:*

- a) *Targeted institutional strengthening strategies to assist developing countries, in particular the least developed countries, to accredit more national or regional implementing entities to the Adaptation Fund;*
- b) *Ensuring that accredited national implementing entities have increased and facilitated access to the Adaptation Fund, including for small-sized projects and programmes;*

**Decision 2/CMP.10**

5. Upon completion of Phase I of the Readiness Programme, the secretariat had prepared document AFB/B.25/5 which outlined the progress made in Phase I and proposed Phase II of the Readiness Programme, taking into account the results from Phase I of the programme and integrating decision 2/CMP10. Following a discussion of the document, the Board decided to:

*Approve Phase II of the Readiness Programme, as outlined in document AFB/B.25/5, with a total funding of US\$ 965,000, including funding of US\$ 565,000 to be transferred to the secretariat's budget and funding of US\$ 400,000 to be set aside for small grants to National Implementing Entities from resources of the Adaptation Fund trust fund.*

**(Decision B.25/27)**

6. At its twenty-seventh meeting, the Board decided to integrate the Readiness Programme into the Adaptation Fund (the Fund) work plan and budget and set aside funding for small grants to be directly transferred from the resources of the Adaptation Fund Trust Fund. At this meeting, the Board decided to:

- a) *Take note of the progress report for phase II of the Readiness Programme;*
- b) *Integrate the Readiness Programme into the Adaptation Fund work plan and budget; and*
- c) *Approve the proposal for the Readiness Programme for the fiscal year 2017 (FY17), comprising its work programme for FY17 with the funding of US\$ 616,500 to be transferred to the secretariat budget and US\$ 590,000 for direct transfers from the resources of the Adaptation Fund Trust Fund for allocation as small grants.*

**(Decision B.27/38)**

7. It is worth noting that at its twenty-seventh meeting, the Board also approved the Gender Policy (GP) of the Fund and consequently, the application template for technical assistance grants was revised to align with requirements of both the Fund's Environmental and Social Policy (ESP) and the GP.

8. According to Board Decision B.28/30, a readiness project proposal can be submitted for consideration by the Project and Programme Review Committee (PPRC) and decision by the Board at the regular meetings of the Board and can also be submitted for review during an intersessional period of less than 24 weeks between two consecutive Board meetings.

9. Following Decision B.35.a-35.b/28 by the Board to approve the secretariat work schedule and work plan for fiscal year 2021 as contained in document AFB/EFC.26.a-26.b/2. Rev.1, the

secretariat launched a call for project proposals intersessionally between the first and second part of the thirty-fifth meetings of the Board and eligible entities were given the opportunity to submit applications for a Technical Assistance Grant for the Environmental and Social Policy and Gender Policy (TA-ESGP).

10. All accredited NIEs that had not previously received a technical assistance grant were eligible to receive a TA-ESGP based on the relevance of the capacity and needs assessment as outlined in document AFB/B.23/5, and up to a maximum of US\$ 25,000 per NIE as outlined in document AFB/B.27/7. The NIEs would be expected to build capacity on environmental and social safeguards and gender related issues simultaneously and had to submit grant proposals that met all the following criteria as at the time of the 33-34 intersessional review cycle:

- Have been accredited by the Board,
- Have submitted a request document/grant proposal to the secretariat within the given deadline and with a clear description of the areas of support needed and budget for the requested support.

11. It is expected that the technical assistance grants will enable NIEs to source external expertise through short-term consultancies for more targeted technical assistance where such a need is identified to improve their capacity for assessment and management of environmental, social and gender related issues and subsequent compliance with the Fund's ESP and GP. This may include developing or strengthening a particular function relevant to the environmental and social, and gender policies such as an integrated environmental and social management system that addresses gender issues, a risk management system that is gender responsive, or familiarization with the principles of the ESP and GP.

12. The following readiness grant project proposal was submitted by the Interprofessional Fund for Agricultural Research and Advice (FIRCA) of Côte d'Ivoire, which is an accredited NIE of the Fund.

13. The proposal includes a request for funding of US\$ 24,820 outlining the activities to be undertaken by FIRCA to build its institutional capacity for addressing environmental and social risks and gender considerations in its projects and programmes.

14. The secretariat carried out a technical review of the project proposal and completed a review sheet.

15. In accordance with a request to the secretariat made by the Board in its 10th meeting, the secretariat shared this review sheet with FIRCA, and offered them the opportunity to provide responses before the review sheet was sent to the PPRC.

16. The secretariat is submitting to the PPRC pursuant to decision B.17/15, the final technical review of the project, both prepared by the secretariat, along with the final submission of the proposal in the following section. In accordance with decision B.25/15, the proposal is submitted with changes between the initial submission and the revised version highlighted.



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## ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT PROPOSAL UNDER THE READINESS PROGRAMME

PROJECT CATEGORY: Technical Assistance Grant for the ESP and GP

Country: **Côte d'Ivoire**

Implementing Entity: **Interprofessional Fund for Agricultural Research and Advice (FIRCA)**

AF Project ID: **CIV/NIE/TA-ESGP/2020/1/Readiness**

Requested Financing from Adaptation Fund (US Dollars): **24,820**

Reviewer and contact person: **Farayi Madziwa**

Co-reviewer(s): **Ishani Debnath**

Implementing Entity Contact Person: **KOUADIO Kouacou Fidele**

Review Criteria	Questions	Comments 21 October 2020	Comments 2 November 2020
Country Eligibility	1. Is the country Party to the Kyoto Protocol?	Yes	
Eligibility of IE	1. Is the project submitted through an Implementing Entity accredited by the Board?	Yes	
Project Eligibility	1. Has the designated government authority for the Adaptation Fund endorsed the project?	Yes	

	2. Are the proposed activities to support the implementing entity to implement the Environmental and Social Policy and/or the Gender Policy of the Fund adequate?	<p>Yes. However, the entity has not indicated whether they intend to engage an individual consultant or a consulting firm for the proposed scope of work. In addition, please consider mainstreaming of gender in all proposed activities, including in the development of complaint management mechanism so that all produced guidelines, procedures and updated policies will be aligned to each other including in terminology, language and referencing each other.</p> <p><b>CR1:</b> Please indicate in the proposal whether the proposed scope of work is anticipated to be undertaken by an individual consultant or a consulting firm as required in the instruction in the proposal template.</p>	Addressed
Resource Availability	1. Is the requested project funding within the cap for Technical Assistance Grants for the Environmental and Social Policy and Gender Policy set by the Board?	Yes	
	2. If the implementing entity has requested, is the Implementing Entity Management Fee at or below 8.5 per	No. The fee is 9.3% and should be reduced. In addition, audit fees should be included as Implementing	

	cent of the total project/programme budget before the fee?	Entity Management Fees.  <b>CAR1:</b> Please revise the Implementing Entity Management Fee to at or below 8.5% of the total project/programme budget before the fee as per the Funds Operational Policies and Guidelines (OPG).	Addressed
Implementation Arrangements	1. Is the timeframe for the proposed activities adequate?	Unclear. No timeline for the requested activities has been included.  <b>CR2:</b> Please include a timeline (in weeks or months) for the requested activities.	Addressed
	2. Is a summary breakdown of the budget for the proposed activities included?	Yes	
Secretariat's Overall Comment	<p>The Interprofessional Fund for Agricultural Research and Advice (FIRCA) of Côte d'Ivoire proposes to update its environmental, social and gender policy, complaint handling mechanism for environmental and social risks, and to develop a public disclosure avenue on related issues for its projects and programmes.</p> <p>The initial technical review found that the proposal had not clarified whether the proposed scope of work is anticipated to be undertaken by an individual consultant or a consulting firm as required in the instruction in the proposal template, had not included a timeline for the proposed activities and that the proponent had requested an implementing entity management fee above the Board approved cap and that the proposal.</p> <p>The final review finds that that the revised proposal has sufficiently addressed the clarification requests and corrective action request made in the initial technical review.</p>		
Date:	2 November 2020		



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### **Request for Assistance in Compliance with the Fund's Environmental, Social and Gender Policy**

Submission review Date:  
October 27<sup>th</sup>, Tuesday, 2020

Adaptation Fund Grant ID:  
Country: COTE D'IVOIRE  
Implementing Entity: Fonds Interprofessionnel pour la Recherche et le Conseil Agricoles (FIRCA)

#### **A. Timeframe of Activity**

Expected start date of activity	<b>January, 2021</b>
Completion date of activity	<b>August, 2021</b>

#### **B. Type of support requested**

Please, find below the description of the activities to be undertaken with the support in compliance with the NIE Fund's Environmental, Social and Gender Policy:

The Fonds Interprofessionnel pour la Recherche et le Conseil Agricoles (FIRCA), under the provisions of Law No. 2001-635 dated 9th October, 2001 and the establishment of the Agricultural Development Fund ratified by Decree No. 2002-520 dated 11th December, 2002, and legally constituted on the 28th of October, 2003, is a legal entity of private law of a particular type recognized as being of public utility, sui generis status.

The objective of the FIRCA is aimed at ensuring the financing of agricultural services programs for farmers in the following areas:

- (i) Applied Agronomic and Forestry Research
- (ii) Agricultural council/extension
- (iii) Training in trades
- (iv) Capacity building for professional agricultural organizations.



FIRCA has two essential missions:

- (i) to mobilize financial resources from the agricultural sectors, the state and development partners and
- (ii) to ensure the sustainable financing of programs for the provision of services to the agricultural sectors.

In light of the new provisions by the Fund's Policy, the FIRCA has decided to update and develop its Environmental, Social and Gender Policy to make them more consistent with the current development (and as part of the accreditation process) of the Adaptation Fund.

This support, however, will be used to audit the implementation of these two policies (ESS and Gender) within the institution in order to assess the level of implementation and make recommendations for better integration into the institution's developmental policies.

All activities will be carried out by **individual consultants**.

At the end of this consultation, the following results are expected:

- The audit of the implementation of the ESS is carried out and an action plan is drawn up with a view to better integrating the ESS into the FIRCA's development policies.
- An updated manual integrating the principles of the fund is available within the FIRCA.
- The FIRCA has a gender mainstreaming manual.
- The complaint management mechanism is updated taking into account the Fund's principles and a reporting template is made available.


Types of Support Activities	Support requested (please provide short description)	Type/name of provider for the requested support <sup>1</sup>	Requested budget (USD)	Time
Updating of procedures/manuals/guidelines for screening projects for environmental and social risks as well as gender-related risks.	<ul style="list-style-type: none"> <li>- Carry out the audit of the ESS and develop an action plan.</li> <li>- Organize a workshop to present the results of the audit.</li> <li>- Review of the FIRCA's Environmental and Social Policy.</li> <li>- Development of E&amp;S and gender risk selection tools for all projects implemented by the FIRCA.</li> <li>- Develop a manual for gender mainstreaming at the institutional level based on the project and</li> </ul>	local individual consultant	16 875	04 mois

<sup>1</sup> Specify if it is an institution, consulting firm or individual consultant. When possible, provide the name of the institution, firm or individual identified or selected.

	program.			
Development of a policy or an avenue for public disclosure and gender-responsive consultation.	<ul style="list-style-type: none"> <li>- Set up external communication channels that allow the entity to:               <ul style="list-style-type: none"> <li>(i). receive and record external communications from the public;</li> <li>(ii). review and assess issues raised and determine how to resolve them;</li> <li>(iii). Provide, track and document responses.</li> </ul> </li> </ul>	local individual consultant	3 000	02 mois
Development of transparent, accessible, fair and effective mechanisms for receiving and addressing complaints about environmental or social harms and complaints related to gender inequalities and other adverse gender impacts caused by projects/programs during implementation.	<ul style="list-style-type: none"> <li>- Review the FIRCA's complaint management mechanism.</li> <li>-Develop a complaint reporting model.</li> </ul>	local individual consultant	3 000	02 mois
Management Fees	Monitoring and reporting of activities.		1945	FIRCA
Total Grant Requested (USD)			24820	

**C. Implementing Entity**

This request has been prepared in accordance with the Adaptation Fund Board's Procedures.

Head of Implementing Entity	Signature	Date (Month, day, year)	Implementing Entity Contact Person	Telephone	Email Address
ATSIN Yao Léon, Executive Director of the FIRCA		October 27 <sup>th</sup> Tuesday, 2020	KOUADIO Kouacou Fidèle	Tel: 22 52 81 81 Mobile: +225 07 03 55 09	kouadiokf@firca.ci

**D. Record of Endorsement on behalf of the Government**

Provide the name and position of the government official, Designated Authority (DA) of the Adaptation Fund, and indicate date of endorsement. The DA endorsement letter must be attached as an annex to the request.

(Enter Name, Position, Ministry) AKOSSI Oreste Santoni Adaptation Fund National Designated Authority, Côte d'Ivoire	Date: (Month, day, year) August 31 <sup>th</sup> , Monday, 2020
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**ANNEX 1: TIMELINE OF ACTIVITIES**

N°	Activities to be carried out	MONTH									
		M1	M2	M3	M4	M5	M6	M7	M8		
1	Carry out the audit of the ESS and develop an action plan.										
2	Organize a workshop to present the results of the audit.										
3	Review of the FIRCA's Environmental and Social Policy.										
4	Development of E&S and gender risk selection tools for all projects implemented by the FIRCA.										
5	Develop a manual for gender mainstreaming at the institutional level based on the project and program..										
6	Set up external communication channels that allow the entity to: (i). receive and record external communications from the public; (ii). review and assess issues raised and determine how to resolve them; (iii). Provide, track and document responses.										
7	Review the FIRCA's complaint management mechanism. -Develop a complaint reporting model.										
8	Project evaluation and reporting										



**MINISTRY OF ENVIRONMENT AND  
SUSTAINABLE DEVELOPMENT**  
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**GENERAL DIRECTION OF ENVIRONMENT**  
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**CLIMATE CHANGE DEPARTMENT**  
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**REPUBLIC OF CÔTE D'IVOIRE**  
Union - Discipline - Work

Abidjan, le **31 AOUT 2020**

**84** MINEDD/CAB/DGE/DLCC/FA/aos

**Letter of Endorsement by Government of Côte d'Ivoire**

To: **The Adaptation Fund Board**  
c/o Adaptation Fund Board Secretariat  
Email: [afbsec@adaptation-fund.org](mailto:afbsec@adaptation-fund.org)  
Fax: 202 522 3240/5

**Subject:** Endorsement for TA-ESGP for the project *"Request for assistance in complying with the Fund's Environmental and Social Policy and Gender Policy"*

In my capacity as designated authority for the Adaptation Fund in Côte d'Ivoire, I confirm that the above project proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in Côte d'Ivoire.

Accordingly, I am pleased to endorse the above Technical Assistance Grant for the Environmental and Social Policy and Gender Policy (TA-ESGP) with support from the Adaptation Fund. If approved, the project will be implemented by Fonds Interprofessionnel pour la Recherche et le Conseil Agricoles (FIRCA).

Sincerely,



**AKOSSI Oreste Santoni**

Adaptation Fund National Designated Authority, Côte d'Ivoire  
Deputy Director, Climate Change Department  
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Email: [o.akossi@environnement.gouv.ci](mailto:o.akossi@environnement.gouv.ci)  
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