



ADAPTATION FUND

AFB/B.36/2
31 March 2021

Adaptation Fund Board
Thirty-sixth meeting
Bonn, Germany (Virtually held), 6-8 April 2021

ANNOTATED PROVISIONAL AGENDA

1. Opening of the meeting

The meeting will be opened by the outgoing Chair, Mr. Ibila Djibril (Benin, Africa).

2. Transition of the Chair and Vice-Chair

Background: The Board, at the second session of its thirty-fifth meeting, elected Mr. Mattias Broman (Sweden, Annex I Parties) as Chair of the Board and Mr. Albara Tawfiq (Saudi Arabia, Asia-Pacific) as Vice-Chair of the Board for the period of office starting at the thirty-sixth meeting.

Action expected: The outgoing Chair will hand over the Board Chairmanship to the incoming Chair and Vice-Chair.

3. Organizational matters

a) Adoption of the agenda

Documents: AFB/B.36/1, Provisional agenda and AFB/B.36/2, Annotated provisional agenda.

Action expected: The Board will be invited to adopt the agenda of the meeting and to raise any other matters it may wish to discuss, to be included on the agenda under item 17.

b) Organization of work

Document: AFB/B.36/2, Annotated provisional agenda.

Background: The Chair will propose to the Board the organization of work, in accordance with the Provisional timetable attached to document AFB/B.36/2.

4. Report on activities of the outgoing Chair

Document: AFB/B.36/Inf.5, Report of the activities of the Chair

Background: The outgoing Chair of the Board, Mr. Ibila Djibril (Benin, Africa), will report on the activities carried out during the intersessional period.

Action expected: The Board will be invited to take note of the report.

5. Dialogue with Mr. Carlos Manuel Rodriguez, CEO and Chairperson of the Global Environment Facility

Document: None.

Background: The new CEO and Chairperson of the Global Environment Facility (GEF), Mr. Carlos Manuel Rodriguez, will address the Board.

Action expected: The Board will be invited to engage in dialogue with the CEO and Chairperson of the GEF.

6. Report on activities of the secretariat

Document: AFB/B.36/3, Report on the activities of the secretariat.

Background: The Manager of the secretariat will present the report contained in document AFB/B.36/3.

Action expected: The Board will be invited to take note of the report.

7. Report of the Accreditation Panel

Document: AFB/B.36/4, Report of the thirty-fifth Meeting of the Accreditation Panel.

Background: The Chair of the Accreditation Panel will present the Panel's report to the Board with the support of the secretariat. The report contains information on the status of accreditation and reaccreditation applications which are under Panel's review and other issues related to the accreditation and reaccreditation process.

Action expected: The Board will be invited to consider of the Report of the Accreditation Panel and to consider and decision on the recommendations of the Accreditation Panel.

8. Report of the twenty-seventh meeting of the Project and Programme Review Committee (PPRC) on:

Document: The PPRC will issue its report after its twenty-seventh meeting, which will take place on 22-23 March 2021.

Background: The Chair of the PPRC will present the PPRC report to the Board. The report contains recommendations on:

- a) Report of the secretariat on initial screening/technical review of project and programme proposals;

- b) Report of the secretariat on initial screening/technical review of innovation small grant project proposals;
- c) Report of the secretariat on initial screening/technical review of learning grant proposal;
- d) Innovation Programme: Large grant projects and programmes;
- e) Report on the Readiness Support Package Pilot;
- f) Report of the secretariat on the intersessional review cycle for readiness grants;
- g) Request for a change in project approval conditions;
- h) Full cost of adaptation reasoning.

Action expected: The Board will be invited to consider and adopt the recommendations of the PPRC.

9. Report of the twenty-seventh meeting of the Ethics and Finance Committee (EFC) on:

Document: The EFC will issue its report after its twenty-seventh meeting, which will take place on 24-25 March 2021.

Background: The Chair of the EFC will present the EFC report to the Board. The report contains recommendations on:

- a) Financial issues;
- b) Report of the Chair of the Technical Evaluation Reference Group;
- c) Report on project inception delays and proposed options;
- d) Update on resource mobilization strategy and action plan;
- e) Classification of implementing entity applicants as regional implementing entities.

Action expected: The Board will be invited to consider and adopt the recommendations of the EFC.

10. Further clarification of definition of innovation under the Adaptation Fund: Analysis of relevant elements and guidance for review criteria

Document: AFB/B.36/8, *Further Clarification of Definition of Innovation under the Adaptation Fund: Analysis of Relevant Elements and Guidance of Review Criteria.*

Background: At its thirty-fifth meeting, the Board decided, via Decision B.35.b/9, to:

(a) Request the secretariat to prepare a document that further clarifies the definition and elaborates on the vision for innovation under the Fund, to guide further programming, taking into account the views and considerations expressed by the members of the Project and Programme Review Committee (PPRC) at its twenty-sixth meeting and by the Board at the second part of its thirty-fifth meeting, and in consultation with the Board and other stakeholders, for consideration by the Board at its thirty-sixth meeting;

(b) Request the secretariat to present as part of the above-mentioned document an analysis on the relevant elements related to innovation and adaptation, including but not limited to definition of innovation, innovation rationale, innovation review criteria, risk appetite, focus on particularly vulnerable groups, countries, sectors or themes, as well as innovation in the context of COVID-19 pandemic;

(c) Establish a task force composed of Board members to guide the work under the subparagraphs a) and b) above; and

(d) Request the secretariat to prepare, based on the above-mentioned analysis, guidance on review criteria for innovation grant proposals for consideration by the Board at its thirty-sixth meeting.

The Secretariat will therefore present Document AFB/B.36/8, *Further Clarification of Definition of Innovation under the Adaptation Fund: Analysis of Relevant Elements and Guidance of Review Criteria*.

Action expected: The Board will be invited to take note of the information presented and decide on a course of action regarding the matter.

11. Issues remaining from the second session of the thirty-fifth meeting:

a) Strategic discussion on objectives and further steps of the Fund. Potential linkages between the Fund and the Green Climate Fund;

Document: AFB/B.36/6, *Update on strategic discussion on objectives and further steps of the Fund: potential linkages between the Fund and the Green Climate Fund*.

Background: The Board and secretariat has continued discussions with the Green Climate Fund (GCF) to advance the collaborative activities and explore the options for fund-to-fund arrangements in line with decision B.32/41. The discussions have been taking place at two levels: through dialogue between the AFB Chair and Vice-Chair with the Co-chairs of the GCF Board both exclusively and in the context of the annual dialogue among a larger group of climate funds, and through ongoing discussions between the secretariats of the Fund and the GCF on concrete activities. At the thirty-fourth meeting, document AFB/B.34/8 was introduced, allowing the secretariat to present updates on its ongoing discussions with the GCF to advance the collaborative activities and explore options for fund-to-fund arrangements as described in document GCF/B.22/09 and its Annex 1 and in line with decision B.32/41. After considering the secretariat's ongoing efforts to enhance complementarity between the GCF and the Adaptation Fund, the Board decided (Decision B.34/47) to continue the discussions on two levels to consideration of the four options for

fund-to-fund arrangements, as described in document GCF/B.22/09 and its Annex 1, from the perspective of whether they are comprehensive of all potentially feasible options of operation linkages between the GCF and the Adaptation Fund. The Chair and the secretariat will present the progress made in these activities contained in document AFB/B.36/6.

Action expected: The Board will be invited to take note of the information presented and decide on a course of action regarding the matter.

b) Provision of financial resources between single-country and regional concrete adaptation projects and programmes (country cap);

Document: AFB/B.36/5, *Provision of financial resources for single-country and regional concrete adaptation projects and programmes.*

Background: The Board, at its thirty-second meeting, decided to request the secretariat to present to the Board for its consideration at its thirty-third meeting a document presenting options for criteria for the provision of financial resources between single-country and regional concrete adaptation projects and programmes, including options to establish a country cap on regional projects and programmes and review the country cap on single-country projects and programmes. The issue was not taken up by the Board at its thirty-third meeting, owing to a lack of time. At the thirty-fourth meeting, the Board discussed the item but was unable to close it, which meant that it would be automatically deferred to the subsequent meeting. The discussion continued at the second session of the thirty-fifth meeting, but again no decision was reached. The secretariat will present the above-mentioned information contained in document AFB/B/36/5.

Action expected: The Board will be invited to consider the information presented by the secretariat and decide on a course of action regarding the matter.

c) Application of the environmental and social policy by implementing agencies

Documents:

- AFB/B.36/9, *Application of the environmental and social policy by implementing entities (confidential)*
- AFB/B.36/9/Add.1, *Documents previously submitted to the Board (B.35.b and B.35-36) related to the matter of application of the environmental and social policy by implementing entities (confidential)*

The documents are marked as confidential because they contain proprietary and/or confidential information as well as deliberative information which are exempt from disclosure as per paragraph 26 of the Rules of Procedure of the Board and Fund's Open Information Policy.

Background: To be eligible to submit funding proposal to the Fund, the entity needs to be accredited with the Fund demonstrating its competency, policies and procedures to comply with the required accreditation criteria of the Fund. As part of accreditation and re-accreditation criterion, 'statement from top management communicating entity's

commitment to abide by the AF's environmental and social policy and gender policy" needs to be provided as an "evidence of entity's commitment to addressing environmental and social risks and gender risks." The Board considered this matter at the second session of the thirty-fifth meeting in October 2020 and took a decision B.35.b/18. As per this decision, the secretariat launched an intersessional survey among the Board during the intersessional period (B.35-36) with a view to identifying most feasible and optimal options to address the matter, among the nine options outlined in the independent study which was presented to the Board at the second session of the thirty-fifth meeting. Based on the results of the survey, the secretariat has prepared a document to provide a further analysis on the selected options focusing on the respective operational implications and will present document AFB/B.36/5 for Board's consideration at this meeting.

Action expected: The Board will be invited to consider the documents and decide on a course of action regarding the matter.

12. Issues remaining from the earlier meetings:

a) Options to further enhance civil society participation and engagement in the work of the Board

Document: AFB/B.36/7, *Options to further enhance civil society participation and engagement in the work of the Board.*

Background: At the thirty-third meeting of the Board, it was generally agreed that it would be important to enhance the participation of civil society in the work of the Board, but it was also asked how large that participation should be and how the representatives of civil society would be chosen. As per Board decision B.33/54, the secretariat explored, in consultation with civil society participation and engagement in the work of the Board and presented document AFB/B.34/11 to the Board for consideration. The Board, its thirty-fourth meeting, decided to provide the secretariat with comments on the options provided in the document during the intersessional period between its thirty-fourth and thirty-fifth meetings. The secretariat conducted the survey on the matter among the Board members and alternates and compiled the survey results and comments received from them. Due to the time constraints imposed by the virtual meeting format in 2020, this agenda item was not taken up by the Board at the first and second sessions of the thirty-fifth meeting. The secretariat will present document AFB/B.36/8 which compiled the survey results and input received from the Board to the thirty-sixth meeting of the Board for consideration.

Action expected: The Board will be invited to consider the information presented by the secretariat and decide on a course of action regarding the matter.

13. Knowledge management, communications and outreach

Document: AFB/B.36/3, *Report on the activities of the secretariat.*

Background: The secretariat will report on its knowledge management activities and communication efforts since the thirty-fourth meeting of the Board.

Action expected: The Board will be invited to take note of the report.

14. Dialogue with civil society organizations

Document: AFB/B.36/Inf.5, [Agenda of the civil society dialogue](#)

Background: The Board will hold another session of its ongoing dialogue with civil society organizations.

Action expected: The Board will be invited to take note of the conclusions of the dialogue.

15. Date and venue of meetings in 2021 and onwards

Document: None.

Background: The secretariat has made tentative bookings of the meeting rooms in Bonn, Germany for the thirty-seventh meeting of the Board on 11-15 October 2021. The secretariat has also confirmed the availability of meeting rooms for the thirty-eighth and thirty-ninth meetings as follows: thirty-eighth meeting – 14-18 or 21-25 March 2022 and thirty-ninth meeting – 10-14 or 17-21 October 2022.

Action expected: The Board will be invited to confirm the meetings' schedule.

16. Implementation of the code of conduct

Document: [Code of Conduct](#) and [Zero Tolerance Policy for the Board](#) (Adopted in October 2014)

Background: At its nineteenth meeting, the EFC underscored that all Board members and alternates should be aware of the code of conduct, the zero tolerance policy for fraud and corruption, and the procedure for reporting such issues to the EFC. Having considered the comments and recommendation of the EFC, the Board decided to address the item relating to the code of conduct as the last substantive item on its agenda at every Board meeting (Decision B.28/41).

Action expected: Board members and alternates can raise any issues related to the implementation of the code of conduct for deliberation by the Board. The Board will be invited to discuss any issues raised and make decisions as appropriate. The code of conduct and zero tolerance policy are available on the Fund's website.

17. Other matters

Action expected: The Board will be invited to discuss any other matters raised during the adoption of the agenda.

18. Adoption of the report

Action expected: The Board will be invited to adopt the decisions approved at its thirty-sixth meeting. The report of the thirty-sixth meeting will be finalized by the Chair with assistance of the secretariat and adopted during the intersessional period.

19. Closure of the meeting

PROVISIONAL TIMETABLE

All timetables are (UTC+01:00) Central European Time (Bonn, Germany).

Day 1: 6 April 2021

14:00 – 14:20	1	Opening of the meeting
	2	Transition of the Chair and Vice-Chair
	3 a)	Adoption of the agenda
	3 b)	Organization of work
14:20 – 14:30	4	Report on activities of the outgoing Chair
14:30 – 15:00	5	Dialogue with Mr. Carlos Manuel Rodriguez, CEO and Chairperson of the Global Environment Facility (GEF)
15:00 – 15:15	6	Report on activities of the secretariat
15:15 – 15:45	8	Report of the twenty-sixth meeting of the Project and Programme Review Committee (PPRC)
15:45 – 16:15	9	Report of the twenty-sixth meeting of the Ethics and Finance Committee (EFC)
16:15 – 17:15	11 b)	Provision of financial resources between single-country and regional concrete adaptation projects and programmes (country cap)
17:15 – 18:00	11 c)	Application of the environmental and social policy by implementing agencies

Day 2: 7 April 2021

14:00 – 14:10	1	Opening of the meeting
14:10 – 14:50	14	Dialogue with civil society organizations
14:50 – 16:00	10	Further clarification of definition of innovation under the Adaptation Fund: Analysis of relevant elements and guidance for review criteria
16:00 – 16:45	11 a)	Strategic discussion on objectives and further steps of the Fund. Potential linkages between the Fund and the Green Climate Fund
16:45 – 17:15	7	Report of the Accreditation Panel

Day 3: 8 April 2021

14:00 – 14:10	1	Opening of the meeting
14:10 – 14:50	12 a)	Options to further enhance civil society participation and engagement in the work of the Board
14:50 – 15:10	13	Knowledge management, communications and outreach
15:10 – 15:20	15	Date and venue of meetings in 2021 and onwards
15:20 – 15:30	16	Implementation of the code of conduct
15:30 – 15:50	17	Other matters
15:50 – 16:30	18	Adoption of the report
	19	Closure of the meeting