



ADAPTATION FUND

AFB/EFC.27/2/Rev.1
17 March 2021

Adaptation Fund Board
Ethics and Finance Committee
Twenty-seventh Meeting
Bonn, Germany (held virtually), 24-25 March 2021

ANNOTATED PROVISIONAL AGENDA

1. Opening of the meeting

The Chair of the Ethics and Finance Committee (EFC), Ms. Sylviane Bilgischer (Belgium, Annex I Parties) will open the meeting.

2. Transition of the Chair and Vice-Chair

The outgoing Chair of the EFC, Ms. Sylviane Bilgischer (Belgium, Annex I Parties) will hand over the Chairmanship of the EFC to the incoming Chair, Mr. Mohamed Zmerli (Tunisia, Africa). Mr. Mohamed Zmerli (Tunisia, Africa) will hand over the Vice-Chairmanship of the EFC to Ms. Sylviane Bilgischer (Belgium, Annex I Parties).

3. Organizational matters

a) Adoption of the agenda

Documents: AFB/EFC.27/1/Rev.1, *Provisional agenda* and AFB/EFC.27/2/Rev.1, *Annotated provisional agenda*.

Action expected: The EFC will be invited to adopt the agenda for the meeting and to raise any other matters it may wish to discuss. These other matters will be included on the agenda under item 9.

b) Organization of work

Documents: AFB/EFC.27/1/Rev.1, *Provisional agenda* and AFB/EFC.27/2/Rev.1, *Annotated provisional agenda*.

Background: The Chair will propose to the EFC the organization of work as suggested in the attached provisional timetable.

Action expected: The EFC will be invited to approve the organization of work as proposed by the Chair.

4. Financial issues

a) Financial status of the Trust Fund and CER monetization

Document: AFB/EFC.27/4, *Adaptation Fund Trust Fund: Financial report prepared by the trustee (as at 31 December 2020).*

Background: The trustee will report on the financial status of the trust fund to the EFC.

Action expected: The EFC will be invited to consider and take note of the report presented by the trustee.

b) Work Plan of the Board and Secretariat for the fiscal year 2022

Document: AFB/EFC.27/5, *Work Plan for fiscal year 2022.*

Background: The secretariat will present the work plan proposal for fiscal year 1 July 2021 – 30 June 2022 (FY22).

Action expected: The EFC will be invited to consider and recommend to the Board for approval of the work plan for FY22.

c) Administrative budget of the Board and Secretariat, and Trustee for fiscal year 2022, and the AF-TERG and its secretariat for fiscal years 2022-2023

Documents: AFB/EFC.27/6, *Administrative budget of the Board and Secretariat, and Trustee for fiscal year 2022, and the AF-TERG and its secretariat for fiscal years 2022-2023.*

Background: The secretariat and the trustee will present the administrative budget request for fiscal year 1 July 2021 – 30 June 2022 (FY22). The secretariat of the AF-TERG will present the administrative budget request for fiscal year 1 July 2021 – 30 June 2023 (FY22-23).

Action expected: The EFC will be invited to consider and recommend to the Board for approval, the administrative budgets for the Board, secretariat and trustee for fiscal year 2022 and the AF-TERG and its secretariat for fiscal year –2022 - 2023.

5. Report of the Chair of the Technical Evaluation Reference Group, including:

a) AF-TERG progress update on the review and revision of the Evaluation Framework

Document: AFB/EFC.27/7, *Progress Update on the Review and Revision of the Evaluation Framework*

Background: The Board has requested the AF-TERG to review and revise the Fund's Evaluation Framework as part of AF-TERG's Board-approved Strategy and Work Programme. The AF-TERG will present the revised Fund's Evaluation Framework responding to the Board request.

Action expected: The EFC will be invited to consider the report and recommendations contained in the document AFB/EFC.27/7, and to make a recommendation to the Board for approval.

b) AF-TERG draft emerging findings of the mid-term review of the Medium-Term Strategy

Document: AFB/EFC.27/Inf.2, *Draft emerging findings of the mid-term review of the Medium-Term Strategy*

Background: The Board approved the Implementation Plan for the Medium-Term Strategy (MTS) in March 2018 and requested the AF-TERG to undertake a Mid-term Review (MTR) of the MTS and its Implementation Plan in FY21 as part of AF-TERG's Board-approved Strategy and Work Programme. The AF-TERG will present the above-mentioned document responding to the Board request.

Action expected: The EFC will be invited to consider and take note of the report presented by the AF-TERG.

c) AF-TERG synthesis of Adaptation Fund final evaluations

Document: AFB/EFC.27/8, *Synthesis of Adaptation Fund Final Evaluations*

Background: The Board requested the AF-TERG to undertake a synthesis of final evaluations – the first quality review to assess the overall quality of final evaluation reports according to set criteria described in Guidelines for Project/Programme Final Evaluations (AFB/EFC.5/5) – as part of AF-TERG's Board-approved Strategy and Work Programme. The AF-TERG will present the above-mentioned document responding to the Board request.

Action expected: The EFC will be invited to consider the report and recommendations contained in document AFB/EFC/.27/9, and to make a recommendation to the Board for approval.

d) AF-TERG advisory groups

Document: AFB/EFC.27/9, *Board's Participation on Advisory Groups of the AF-TERG*

Background: The Board, at its second session of the thirty-fifth meeting, decided to request the AF-TERG to prepare, for the consideration of the Ethics and Finance Committee at its twenty-seventh meeting:

- (i) the necessary information on the objectives, composition and membership of the PWGs, the roles and responsibilities of their members, the timeframe and time commitment, and present options for the possible participation of members of the Board in the work of the PWGs.

The AF-TERG has, since then, decided to change the name of the Project Working Groups to Advisory Groups to reflect better the nature of the group. The AF-TERG will present the above-mentioned document responding to the Board request.

Action expected: The EFC will be invited to consider the report and recommendations contained in the document AFB/EFC.27/10, and to make a recommendation to the Board for approval.

6. Report on project inception delays and proposed options

Document: AFB/EFC.27/3, *Report on project inception delays and proposed options.*

Background: The Board, at its thirty-fourth meeting, decided to request secretariat to prepare, for the consideration of the Ethics and Finance Committee at its twenty-seventh meeting:

- (i) a document which contains options for dealing with project inception delays, including measures to ensure compliance with the Policy for Project/Programme Delays and to address significant delays, and to present it at the twenty-seventh meeting of the Ethics and Finance Committee for consideration.

The secretariat will present the relevant document responding to this request.

Action expected: The EFC will be invited to consider the report and recommendations contained in the document AFB/EFC.27/3, and to make a recommendation on a proposed option for addressing inception delays to the Board for approval.

7. Update on Resource mobilization strategy and action plan

Document: AFB/EFC.27/10, *Update on Resource Mobilization Strategy and Action Plan*

Background: The secretariat will present a summary of the Resource Mobilization activities during the period from the approval of the Resource Mobilization Strategy to date. The discussion is based upon the principles set out in the Resource Mobilization Strategy and Resource Mobilization Action Plan, and scoping carried out by the secretariat.

Action expected: The EFC will be invited to consider the report and recommendations contained in the document AFB/EFC.27/10, and to make a recommendation to the Board for approval.

8. Classification of implementing entity applicants as regional implementing entities

Document: AFB/EFC.27/11, Classification of implementing entity applicants as regional implementing entities

Background: The Secretariat will present an analysis prepared in line with Decision B.34/2 on how to classify IE applicants as RIE, taking into account relevant Board discussions on the designation of MIEs and RIEs at its twenty-third and twenty-fourth meetings as recorded in documents AFB/B.23/7 and AFB/B.24/7. The analysis contains a proposal of a Certified Self-Assessment (Annex I) to be completed by the IE applicants. The purpose of the self-assessment is to obtain information on the entity applying for accreditation which will help ascertain whether such applicant entity is eligible to apply as an RIE.

Action expected: The EFC will be invited to consider the report and recommendations contained in the document AFB/EFC.27/11, and to make a recommendation to the Board for approval.

9. Other matters

EFC members will be invited to discuss any other matters raised during the adoption of the agenda.

10. Adoption of the recommendations and report

11. Closure of the meeting

PROVISIONAL TIMETABLE

All timetables are (UTC+01:00) Central European Time (Bonn, Germany).

Day 1: 24 March 2021

14:00 – 14:15	1	Opening of the meeting
	2	Transition of the Chair and Vice Chair
	3 a)	Adoption of the agenda
	3 b)	Organization of work
14:15 – 14:45	4 a)	Financial status of the Trust Fund and CER monetization
14:45 – 15:15	4 b)	Work Plan of the Board and Secretariat for the fiscal year 2022
15:15 – 16:00	4 c)	Administrative budget of the Board and Secretariat, and Trustee for fiscal year 2022, and the AF-TERG and its secretariat for fiscal years 2022-2023
16:00 – 16:45	6	Report on project inception delays and proposed options

Day 2: 25 March 2021

14:00 – 15:00	5	Report of the Chair of the Technical Evaluation Reference Group
15:00 – 15:30	7	Update on Resource mobilization strategy and action plan
15:30 – 16:00	8	Classification of implementing entity applicants as regional implementing entities
16:00 – 16:30	9	Other matters
16:30 – 17:00	10	Adoption of the recommendations and report
	11	Closure of the meeting