

AFB/PPRC.27/18 10 March 2021

Adaptation Fund Board Project and Programme Review Committee Twenty-seventh Meeting Bonn, Germany (Virtual meeting), 22-23 March 2021

Agenda Item 6 b)

PROPOSAL FOR CHAD, SUDAN

Background

1. The strategic priorities, policies and guidelines of the Adaptation Fund (the Fund), as well as its operational policies and guidelines include provisions for funding projects and programmes at the regional, i.e., transnational level. However, the Fund has thus far not funded such projects and programmes.

2. The Adaptation Fund Board (the Board), as well as its Project and Programme Review Committee (PPRC) and Ethics and Finance Committee (EFC) considered issues related to regional projects and programmes on a number of occasions between the Board's fourteenth and twenty-first meetings but the Board did not make decisions for the purpose of inviting proposals for such projects. Indeed, in its fourteenth meeting, the Board decided to:

(c) Request the secretariat to send a letter to any accredited regional implementing entities informing them that they could present a country project/programme but not a regional project/programme until a decision had been taken by the Board, and that they would be provided with further information pursuant to that decision

(Decision B.14/25 (c))

3. At its eighth meeting in March 2012, the PPRC came up with recommendations on certain definitions related to regional projects and programmes. However, as the subsequent seventeenth Board meeting took a different strategic approach to the overall question of regional projects and programmes, these PPRC recommendations were not included in a Board decision.

4. At its twenty-fourth meeting, the Board heard a presentation from the coordinator of the working group set up by decision B.17/20 and tasked with following up on the issue of regional projects and programmes. She circulated a recommendation prepared by the working group, for the consideration by the Board, and the Board decided:

- (a) To initiate steps to launch a pilot programme on regional projects and programmes, not to exceed US\$ 30 million;
- (b) That the pilot programme on regional projects and programmes will be outside of the consideration of the 50 per cent cap on multilateral implementing entities (MIEs) and the country cap;
- (c) That regional implementing entities (RIEs) and MIEs that partner with national implementing entities (NIEs) or other national institutions would be eligible for this pilot programme, and

(d) To request the secretariat to prepare for the consideration of the Board, before the twenty-fifth meeting of the Board or intersessionally, under the guidance of the working group set up under decision B.17/20, a proposal for such a pilot programme based on consultations with contributors, MIEs, RIEs, the Adaptation Committee, the Climate Technology Centre and Network (CTCN), the Least Developed Countries Expert Group (LEG), and other relevant bodies, as appropriate, and in that proposal make a recommendation on possible options on approaches, procedures and priority areas for the implementation of the pilot programme.

(Decision B.24/30)

5. The proposal requested under (d) of the decision above was prepared by the secretariat and submitted to the Board in its twenty-fifth meeting, and the Board decided to:

- (a) Approve the pilot programme on regional projects and programmes, as contained in document AFB/B.25/6/Rev.2;
- (b) Set a cap of US\$ 30 million for the programme;
- (c) Request the secretariat to issue a call for regional project and programme proposals for consideration by the Board in its twenty-sixth meeting; and
- (d) Request the secretariat to continue discussions with the Climate Technology Center and Network (CTCN) towards operationalizing, during the implementation of the pilot programme on regional projects and programmes, the Synergy Option 2 on knowledge management proposed by CTCN and included in Annex III of the document AFB/B.25/6/Rev.2.

(Decision B.25/28)

6. Based on the Board Decision B.25/28, the first call for regional project and programme proposals was issued and an invitation letter to eligible Parties to submit project and programme proposals to the Fund was sent out on 5 May 2015.

7. At its twenty-sixth meeting the Board decided to request the secretariat to inform the Multilateral Implementing Entities and Regional Implementing Entities that the call for proposals under the Pilot Programme for Regional Projects and Programmes is still open and to encourage them to submit proposals to the Board at its 27th meeting, bearing in mind the cap established by Decision B.25/26.

(Decision B.26/3)

- 8. At its twenty-seventh meeting the Board decided to:
 - (a) Continue consideration of regional project and programme proposals under the pilot programme, while reminding the implementing entities that the amount set aside for the pilot programme is US\$ 30 million;
 - (b) Request the secretariat to prepare for consideration by the Project and Programme Review Committee at its nineteenth meeting, a proposal for prioritization among regional project/programme proposals, including for awarding project formulation grants, and for establishment of a pipeline; and
 - (c) Consider the matter of the pilot programme for regional projects and programmes at its twenty-eighth meeting.

(Decision B.27/5)

9. The proposal requested in (b) above was presented to the nineteenth meeting of the PPRC as document AFB/PPRC.19/5. The Board subsequently decided:

- a) With regard to the pilot programme approved by decision B.25/28:
 - (i) To prioritize the four projects and 10 project formulation grants as follows:

1. If the proposals recommended to be funded in a given meeting of the PPRC do not exceed the available slots under the pilot programme, all those proposals would be submitted to the Board for funding;

2. If the proposals recommended to be funded in a given meeting of the PPRC do exceed the available slots under the pilot programme, the proposals to be funded under the pilot programme would be prioritized so that the total number of projects and project formulation grants (PFGs) under the programme maximizes the total diversity of projects/PFGs. This would be done using a three-tier prioritization system: so that the proposals in relatively less funded sectors would be prioritized as the first level of prioritization. If there are more than one proposal in the same sector: the proposals in relatively less represented implementing entity would be prioritized as the third level of prioritization.

- (ii) To request the secretariat to report on the progress and experiences of the pilot programme to the PPRC at its twenty-third meeting; and
- b) With regard to financing regional proposals beyond the pilot programme referred to above:

(i) To continue considering regional proposals for funding, within the two categories originally described in document AFB/B.25/6/Rev.2: ones requesting up to US\$ 14 million, and others requesting up to US\$ 5 million, subject to review of the regional programme;

(ii) To establish two pipelines for technically cleared regional proposals: one for proposals up to US\$ 14 million and the other for proposals up to US\$ 5 million, and place any technically cleared regional proposals, in those pipelines, in the order described in decision B.17/19 (their date of recommendation by the PPRC, their submission date, their lower "net" cost); and

(iii) To fund projects from the two pipelines, using funds available for the respective types of implementing entities, so that the maximum number of or maximum total funding for projects and project formulation grants to be approved each fiscal year will be outlined at the time of approving the annual work plan of the Board.

(Decision B.28/1)

10. At its thirty-first meeting, having considered the comments and recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To merge the two pipelines for technically cleared regional proposals established in decision B.28/1(b)(ii), so that starting in fiscal year 2019 the provisional amount of funding for regional proposals would be allocated without distinction between the two categories originally described in document AFB/B.25/6/Rev.2, and that the funding of regional proposals would be established on a 'first come, first served' basis; and
- (b) To include in its work programme for fiscal year 2019 provision of an amount of US\$ 60 million for the funding of regional project and programme proposals, as follows:
 - (i) Up to US\$ 59 million to be used for funding regional project and programme proposals in the two categories of regional projects and programmes: ones requesting up to US \$14 million, and others requesting up to US\$ 5 million; and
 - (ii) Up to US\$ 1 million for funding project formulation grant requests for preparing regional project and programme concepts or fully-developed project and programme documents.

(Decision B.31/3)

11. According to the Board Decision B.12/10, a project or programme proposal needs to be received by the secretariat no less than nine weeks before a Board meeting, in order to be considered by the Board in that meeting.

12. The following concept document titled "Strengthening Resilience to Climate and Covid-19 shocks through Integrated Water Management on the Sudan – Chad Border area (SCCIWM)" was submitted for Chad and Sudan by the United Nations Food and Agriculture Organization (FAO), which is a Multilateral Implementing Entity of the Adaptation Fund.

13. This is the first submission of the regional project concept proposal using the two-step submission process.

14. The current submission was received by the secretariat in time to be considered in the thirty-sixth Board meeting. The secretariat carried out a technical review of the project proposal, with the diary number AF00000248, and completed a review sheet.

15. In accordance with a request to the secretariat made by the Board in its 10th meeting, the secretariat shared this review sheet with FAO, and offered it the opportunity of providing responses before the review sheet was sent to the PPRC.

16. The secretariat is submitting to the PPRC the summary and, pursuant to decision B.17/15, the final technical review of the project, both prepared by the secretariat, along with the final submission of the proposal in the following section. In accordance with decision B.25.15, the proposal is submitted with changes between the initial submission and the revised version highlighted.



ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: Regional Project Concept

Countries/Region: Chad, Sudan (Africa) Project Title: Strengthening Resilience to Climate and Covid-19 shocks through Integrated Water Management on the Sudan – Chad Border area (SCCIWM) Thematic Focal Area: Food Security, Disaster Risk Reduction and Early Warning Systems Implementing Entity: Food and Agriculture Organization (FAO) **Executing Entities:** Higher Council for Environment and Natural Resources (Sudan); Ministry of Agriculture and Natural Resources (Sudan); Ministry of Irrigation and Water Resources (Sudan); Ministry of Environment and Fisheries (Chad); Ministry of Agriculture (Chad) AF Project ID: AF00000248 **IE Project ID: Requested Financing from Adaptation Fund (US Dollars):** 14,000,000 Reviewer and contact person: Mahamat Assouyouti Co-reviewer(s): Katya Kuang-Idba IE Contact Person:

Technical Summary	The project "Strengthening Resilience to Climate and Covid-19 shocks through Integrated Water Management on the Sudan-Chad Border area (SCCIWM)" aims to strengthen the regional agro-ecology and sanitation resilience to climate change and COVID-19 in the border area between Chad and Sudan, by enhancing early response capacity to drought and flood events, improving water availability, water use efficiency, and promoting adaptive agriculture production systems and multipurpose water technologies for
	Disaster Risk Reduction (DDR) improved livelihoods, food security and sanitation of rural households. This will be done through the four components below:
	Project/Programme Background and Context:

	<u>Component 1</u> : Developing integrated water resource information systems for climate change adaptation in regional agriculture and food systems: USD 2,500,000
	<u>Component 2:</u> Reducing climate vulnerabilities through improved access to water for multiple uses: USD 6,000,000
	<u>Component 3</u> : Improving food security through climate-resilient agricultural practices and technologies: USD 2,680,000
	<u>Component 4</u> : Enhancing regional cooperation on water resource development-based food security and climate change adaptation in agricultural and policy development: USD 500,000
	Requested financing overview: Project/Programme Execution Cost: USD 1,225,000 Total Project/Programme Cost: USD 12,905,000 Implementing Fee: USD 1,095,000 Financing Requested: USD 14000,000
	It is noted that the proposal includes a request for a project formulation grant (PFG) of a total USD 100,000.
	The initial technical review raises some questions on adaptation reasoning, cost-effectiveness, potential duplication with other projects and sustainability of proposed investments, compliance with the Fund's environmental, social and gender policies. The review also raised issues related to environmental and social benefits and others which are discussed in the number of Clarification Requests (CRs) and Corrective Action Request (CAR) in the review.
	The final technical review finds that all CRs and CARs have been addressed sufficiently, and further review and clarifications will be conducted during full project development.
Date	March 2, 2021

Review Criteria	Questions	Jestions Comments at Initial Technical	Comments at Final Technical
Country Eligibility	 Are all of the participating countries party to the Kyoto Protocol? 	Are all of the participating countries party to the Kyoto Protocol?Yes.	-
	2. Are all of the participating countries developing countries particularly vulnerable to the adverse effects of climate change?	Are all of the participating countries developing countries particularly vulnerable to the adverse effects of climate change?Not fully addressed. Overall, the concept note describes well the vulnerability to climate change in the proposed countries including a focus on increasing vulnerability of water sources/resources.However, the concept note has not provided better articulation of climate vulnerability and scenario informatio for Sudan.CR1: Please provide further description of climate vulnerability 	CR1: Addressed The additional information provided in page 21 on climate change vulnerability for Sudan is adequate at this stage of project development.
Project Eligibility	 Have the designated government authorities for the Adaptation Fund from each of the participating countries endorsed the project/programme? 	Have the designated government authorities for the Adaptation Fund from each of the participating countries endorsed the project/programme?Yes. Both endorsement letters are attached.	-
	2. Does the length of the proposal amount to no more than fifty (50) pages for the	Does the length of the proposal amount to no more than fifty (50) pages for the	-

	project/programme concept, including its annexes?		
3.	Does the regional project / programme support concrete adaptation actions to assist the participating countries in addressing the adverse effects of climate change and build in climate resilience, and do so providing added value through the regional approach, compared to implementing similar activities in each country individually?	Yes. Both the adaptation rational as well as the regional approach are well articulated, particularly because the river basin covers areas in both target countries. However, please refer to item 11 on the full cost of adaptation reasoning regarding the concrete adaptation action.	Addressed. The table included in section II -J "Justification for Funding" has been amended to strengthen the rationale for the full cost of adaptation in relation to project component 1.
4.	Does the project / programme provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy of the Fund?	Not addressed. Although well detailed and articulated, the information provided here is still very general and does not allow economic, social and environmental benefits of proposed adaptation actions. CAR1: Please provide more specific information on quantifiable benefits if available, relating to the condition of improved livelihoods, improved health	CAR1: Addressed. Further information on quantifiable benefits has been provided under section II – C and D.

	and the participation of women in both countries.	
5. Is the project / programme cost- effective and does the regional approach support cost- effectiveness?	Not fully addressed. The project proposes to achieve cost- effectiveness through upscaling of best practices and lessons learned from the FAO pilots in Lebanon, Morocco, Uganda and Burkina Faso. Also, it is proposed to promote cost- effectiveness through implementation of investments in innovative water- efficient technologies and south-south cooperation. It is recommended to provide more information regarding alternatives costing and how the chosen initiatives are cost effective in comparison to any viable alternatives could build on the rationale in this section.	CR2: Addressed. The information provided under section II-D on cost-effectiveness is deemed adequate at this stage of project development.
	CR2: Please provide information on alternative options including options with rationale and benefits for each of the proposed investments and solutions. A table format will be helpful to conduct a benchmark.	
 Is the project / programme consistent with national or sub- national sustainable 	Partly addressed.	CAR2: Addressed. Addition information on national adaptation strategies including NAP for Chad has been provided.

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	development strategies, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of action and other relevant instruments? If applicable, it is also possible to refer to regional plans and strategies where they exist.	Any information regarding the NAP process in Chad? It is referenced for Sudan but not Chad. CAR2 : Please provide more information on national adaptation strategies including NAP for Chad and any other document.	In addition, table 3 under section II- E details the NAP development process undertaken by UNDP with GEF funding and complements the proposed project. Furthermore, the table in section II - E has been revised to include information and project alignment with the Action Plan for the implementation of the National Framework for Climate Services of Chad, (2016-2020); the National Strategy on Water, Sanitation and Hygiene in the School Environment (2018-2030); and the National Strategy and Action Plan on Biological Diversity.
7.	Does the project / programme meet the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund?	Not addressed. Although the document mentions that "The project is aligned to the National Environmental and Climate Strategies of Chad and Sudan and will comply with national environmental standards", it is not clear to which standards are being applied for the proposed investments and compliance. CR3: Please clarify which standards are assessed for compliance in both countries.	CR3: Addressed. The relevant national technical standards have been detailed under section II – E and F Strategic Alignment.
8.	Is there duplication of project / programme	Partly addressed.	CR4: Sufficiently addressed for this stage.

		,
with other funding sources?	Thank you for a detailed list of interventions for which potential duplication and complementarity is assessed. However, please note that the AfDB project in Chad with LDCF financing is now fully developed. The Chari River Basin seems to potentially have some overlap with the geographic area covered in the LDCF project (Logon, which is also a WASH sector adaptation project. Considering this, more details regarding potential complementarity and prevention of any potential duplication would be appreciated. CR4 : Please assess any potential duplication and complementarity with recently completed project funded by LDCF and whether any lesson learnt can be improve the design of the proposed interventions in WASH sector.	The section G- Duplication has been updated to reflect complementarity with other projects being implemented in the region, ref page 34. Further assessment should be at next stage of project development.
9. Does the project / programme have a learning and knowledge management component to capture and feedback lessons?	Yes. This section is adequately developed for this stage of project development. However, more details on how the project will generate, manage, capture and disseminate project lessons from the project activities with timelines and deliverables would be	-

	appreciated at the next stage of project development.	
10. Has a consultative process taken place, and has it involved all key stakeholders, and vulnerable groups, including gender considerations in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	Yes. The document confirms that consultations were undertaken by FAO in close collaboration with FAO Country offices in Khartoum, Sudan and N'djamena, Chad and a full list of parties consulted is attached in annex 3.	-
11. Is the requested financing justified on the basis of full cost of adaptation reasoning?	Partly addressed. Overall, the requested financing is justified based on full cost of adaptation; the proposed activities depend on any additional funding from other donors. The adaptation rationale is strong, as indicated with the integration of the EWS with a project with the SMART WASH pilots, without which would seem like a regular development project.	CAR3: Sufficiently addressed for this stage. Section II – "Justification for funding" has been revised to address the full cost of adaptation reasoning for component 1 and in relation to pilot investments, ref page 36.
	However, the component 1 does not seem to be well connected to the other components. How will the tools developed under component 1 be used to more effectively execute the pilots? Or is it that the activities under	

	component 1 will be packaged with the interventions deployed in the other components? It seems this is the case for component 2 but difficult to determine if it is bundled with the activities under components 3 and CAR3 : Please articulate better the full cost of adaptation reasoning for component 1 and in particular in	
	relation to pilot investments.	
12. Is the project / program aligned with AF's results framework?	Not addressed. The project seems to be aligned with AF's results framework and would contribute to many outcomes. However, unless mistaken, we do not find an initial results framework attached to the concept note. CR5: Please attach an initial project results framework in alignment with AF strategic results framework.	CR5: Addressed. Annex 4 provides alignment with the AF's results framework.
13. Has the sustainability of the project/programme outcomes been taken into account when designing the project?	Yes. The project sustainability seems to be built into the project design and is adequate for this stage of project development. However, there are some questions regarding operation and maintenance costs of the EWS and infrastructure and how they will be sustained beyond project closure.	-

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	14. Does the project /	Not addressed.	CR6: Sufficiently addressed for
	programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the	The project compliance with AF ESP and gender policy is missing. The table does not seem thoroughly filled out or well developed. Every category is classified as "no or low" risk, which does not seem reasonable. Additionally – conflict is mentioned	this stage. Section II - L has been revised to ensure compliance with the ESP and GP. In the fully-developed proposal a more in-depth analysis will be provided, including the ESMP.
	Fund?	document, but conflict is not listed as a risk nor is there any conflict analysis included. This should probably be raised to the agency/entity. CR6 : Please assess the project compliance with AF ESP and gender policy.	this stage. Annex 5 provides an initial gender assessment which will be further developed in the fully-developed project.
		CR7 : Please provide an initial gender assessment which can be further developed at later stage.	
	15. Does the project promote new and innovative solutions to climate change adaptation, such as new approaches, technologies and mechanisms?	Yes. The activities introduced in this concept seem innovative – Multiple Water Use (MWU) for the region as well as the two target countries and shows potential for addressing issues exacerbated by the COVID pandemic.	-
Resource Availability	 Is the requested project / programme funding within the funding 	Yes.	-

		windows of the regional projects/programmes?		
	2.	Are the administrative costs (Implementing Entity Management Fee and Project/ Programme Execution Costs) at or below 20 per cent of the total project/programme budget?	Yes.	-
Eligibility of IE	1.	Is the project/programme submitted through an eligible Multilateral or Regional Implementing Entity that has been accredited by the Board?	Yes.	-
Implementation Arrangements	1.	Is there adequate arrangement for project / programme management at the regional and national level, including coordination arrangements within countries and among them? Has the potential to partner with national institutions, and when possible, national implementing entities	N/A AT CONCEPT STAGE	

 (NIEs), been considered, and included in the management arrangements? 2. Are there measures for financial and project/programme risk 	N/A AT CONCEPT STAGE	
management?3. Are there measures in place for the management of for environmental and social risks, in line with the Environmental and Social Policy and Gender Policy of the Fund? Proponents are encouraged to refer to the Guidance document for Implementing Entities on compliance with the Adaptation Fund Environmental and Social Policy, for details.	N/A AT CONCEPT STAGE	
4. Is a budget on the Implementing Entity Management Fee use included?	N/A AT CONCEPT STAGE	
5. Is an explanation and a breakdown of the	N/A AT CONCEPT STAGE	

	execution costs included?		
	 Is a detailed budget including budget notes included? 	N/A AT CONCEPT STAGE	
	7. Are arrangements for monitoring and evaluation clearly defined, including budgeted M&E plans and sex-disaggregated data, targets and indicators, in compliance with the Gender Policy of the Fund?	N/A AT CONCEPT STAGE	
	8. Does the M&E Framework include a break-down of how implementing entity IE fees will be utilized in the supervision of the M&E function?	N/A AT CONCEPT STAGE	
	9. Does the project/programme's results framework align with the AF's results framework? Does it include at least one core outcome indicator from the Fund's results framework?	N/A AT CONCEPT STAGE	

10. Is a disbursement schedule with time- bound milestones included?	N/A AT CONCEPT STAGE	
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ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: Regional Project Concept

Countries/Region:

Project Title: Strengthening Resilience to Climate and Covid-19 shocks through Integrated Water Management on the Sudan - Chad Border area (SCCIWM) Thematic Focal Area: Food Security, Disaster Risk Reduction and Early Warning Systems Implementing Entity: Food and Agriculture Organization (FAO) **Executing Entities:** Higher Council for Environment and Natural Resources (Sudan) Ministry of Agriculture and Natural Resources (Sudan) Ministry of Irrigation and Water Resources (Sudan) Ministry of Environment and Fisheries (Chad) Ministry of Agriculture (Chad) **AF Project ID:** AF00000248 **IE Project ID: Requested Financing from Adaptation Fund (US Dollars):** 14,000,000 Reviewer and contact person: Mahamat Assouyouti Co-reviewer(s): Katya Kuang-Idba **IE Contact Person:**

Technical Summary	The project "Strengthening Resilience to Climate and Covid-19 shocks through Integrated Water Management on the Sudan-Chad Border area (SCCIWM)" aims to strengthen the regional agro-ecology and sanitation resilience to climate change and COVID-19 in the border area between Chad and Sudan, by enhancing early response capacity to drought and flood events, improving water availability, water use efficiency, and promoting adaptive agriculture production systems and multipurpose water technologies for Disaster Risk Reduction (DDR) improved livelihoods, food security and sanitation of rural households. This will be done through the four components below: Project/Programme Background and Context: Component 1: Developing integrated water resource information systems for climate change adaptation in regional agriculture and food systems: USD 2,500,000 Component 2: Reducing climate vulnerabilities through improved access to water for multiple uses: USD 6,000,000 Component 3: Improving food security through climate-resilient agricultural practices and technologies: USD 2,680,000 Component 4: Enhancing regional cooperation on water resource development-based food security and climate change adaptation in agricultural and policy development: USD 500,000 Requested financing overview: Project/Programme Execution Cost: USD 1,225,000 Total Project/Programme Execution Cost: USD 1,225,000 Total Project/Programme Execution Cost: USD 1,29,00,000 Implementing Fee: USD 1,095,000 Implementing Fee: USD 1,095,000 It is noted that the proposal includes a request for a project formulation grant of a total USD 100,000. The initial technical review raises some questions on adaptation grant of a total USD 100,000. The initial technical review also raised issues related to environmental and social benefits and others which are discussed in the number of Clarification Requests (CRs) and Corrective Action Request (CAR) in the review.
Date	February 6, 2021

Review Criteria	Questions	FAO response 17 February 2021

Country Eligibility	3. Are all of the participating countries party to the Kyoto Protocol?	Yes	
	4. Are all of the participating countries developing countries particularly vulnerable to the adverse effects of climate change?	Not fully addressed. Overall, the concept note describes well the vulnerability to climate change in the proposed countries including a focus on increasing vulnerability of water sources/resources. However, the concept note has not provided better articulation of climate vulnerability and scenario information for Sudan. CR1 : Please provide further description of climate vulnerability including projections for Sudan.	CR1 Please note that further climate change vulnerability description has been provided under para 21 to align with information provided for Chad on climate trends. More information was also provided to para 22 in the description of climate change temperature projections for Sudan.
Project Eligibility	 16. Have the designated government authorities for the Adaptation Fund from each of the participating countries endorsed the project/programme? 17. Does the length of the designation of the project designation of the design	Yes. Both endorsement letters are attached. Yes	
	proposal amount to no more than fifty (50) pages for the project/programme concept, including its annexes?		

		AFB/PPRC.27/18
18. Does the regional project / programme support concrete adaptation actions to assist the participating countries in addressing the adverse effects of climate change and build in climate resilience, and do so providing added value through the regional approach, compared to implementing similar activities in each country individually?	Yes. Both the adaptation rational as well as the regional approach are well articulated, particularly because the river basin covers areas in both target countries. However, please refer to item 11 on the full cost of adaptation reasoning regarding the concrete adaptation action.	Kindly note that as per item 11, the table in section II -J 'Justification for Funding' has been amended to strengthen the rationale for the full cost of adaptation in relation to component 1.
19. Does the project / programme provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy of the Fund?	Not addressed. Although well detailed and articulated, the information provided here is still very general and does not allow economic, social and environmental benefits of proposed adaptation actions. CAR1 : Please provide more specific information on quantifiable benefits if available, relating to the condition of improved livelihoods, improved health and the participation of women in both countries.	CAR 1 As requested more specific information on quantifiable benefits have been provided under section II – C highlighted in yellow as well as section II – D.

AFB/PPRC.27/18 CR2: Please note that a table has been added Not fully addressed. 20. Is the project / The project proposes to achieve costunder section II-D providing more information on programme costeffectiveness through upscaling of best the rationale of the project benefits of the effective and does the practices and lessons learned from the FAO investments and solutions as well as outlining the regional approach pilots in Lebanon, Morocco, Uganda and alternatives to the proposed project activities. support cost-Burkina Faso. effectiveness? Also, it is proposed to promote costeffectiveness through implementation of investments in innovative water-efficient technologies and south-south cooperation. It is recommended to provide more information regarding alternatives costing and how the chosen initiatives are cost effective in comparison to any viable alternatives could build on the rationale in this section. **CR2:** Please provide information on alternative options including options with rationale and benefits for each of the proposed investments and solutions. A table format will be helpful to conduct a benchmark. 21. Is the project / Partly addressed. **CAR 2** Additional information has been provided programme consistent in table 3 under section II-E with regards to the Any information regarding the NAP process with national or sub-NAP development process that Chad is currently national sustainable in Chad? It is referenced for Sudan but not undergoing with support from GEF and UNDP. As Chad. development the UNFCCC document 'Financing National strategies, national or Adaptation Plan (NAP) Processes: Contributing to sub-national the achievement of nationally determined development plans, contribution (NDC) adaptation goals: Guidance

		AFB/PPRC.27/18
poverty reduction strategies, national communications and adaptation programs of action and other relevant instruments? If applicable, it is also possible to refer to regional plans and strategies where they exist.	CAR2: Please provide more information on national adaptation strategies including NAP for Chad and any other document.	Note' explains, the process of developing the NAP for Chad is ongoing. This is happening through the UNDP/GEF Chad National Adaptation Plan Project that was approved in 2018 and is currently still being implemented. The UNFCCC guidance note explains that NAP teams "may coordinate [with AF initiatives] to gain additional support for elements of the development phase of the NAP process." As such the project will explore synergies with UNDP and GEF during full project design to explore ways in which the AF project can support the NAP process through working to integrate medium and long-term climate considerations into planning and budgeting processes. The project also aims to increase access to the socioeconomic and climate information needed to inform planning and policy making in climate-sensitive sectors. This information has been added to the table in section II – G 'Duplication'. Furthermore, the table in section II - E has been revised to include information and project alignment with the Action Plan for the implementation of the National Framework for Climate Services of Chad, (2016-2020); the National Strategy on Water, Sanitation and Hygiene in the School Environment (2018-2030); and the National Strategy and Action Plan on Biological Diversity.
programme meet the		strategies are those detailed under section II $-E$
relevant national	Although the document mentions that "The	Strategic Alignment'. Additionally, the revised
technical standards	project is aligned to the National	Concept Note has included a table in section II - F
iechnical Stanualus,		Concept Note has included a table in Section II - I

AFB/PPRC.27/18 Environmental and Climate Strategies of detailing the Laws, Acts and Decrees that the where applicable, in compliance with the Chad and Sudan and will comply with Concept Note has reviewed for compliance. The Environmental and national environmental standards", it is not details and processes of compliance and implementation will be further elaborated in the Social Policy of the clear to which standards are being applied for the proposed investments and Fund? full proposal. compliance. **CR3**: Please clarify which standards are assessed for compliance in both countries. Partly addressed. CR4. Thank you for bringing to the attention that 23. Is there duplication of the project is already being implemented and project / programme Thank you for a detailed list of interventions geographical locations identified. Kindly also note with other funding for which potential duplication and that this has been reflected in the table in section sources? complementarity is assessed. However, II-G 'Duplication'. please note that the AfDB project in Chad Further to the research conducted both on the with LDCF financing is now fully developed. GEF website The Chari River Basin seems to potentially (https://www.theaef.ora/project/strenatheninahave some overlap with the geographic area rural-and-urban-resilience-climate-change-andcovered in the LDCF project (Logon, which is variability-provision-water) and the AfDB website also a WASH sector adaptation project. (https://www.afdb.org/en/documents/tchad-Considering this, more details regarding programme-dapprovisionnement-en-eau-potablepotential complementarity and prevention of et-dassainissement-en-milieux-semi-urbain-etany potential duplication would be rural-de-onze-regions-phase-i-p-td-e00-005-eies) appreciated. it is clear that the GEF project forms part of a larger AfDB project called "Programme CR4: Please assess any potential duplication d'approvisionnement en eau potable et and complementarity with recently completed d'assainissement en milieux semi urbain et rural project funded by LDCF and whether any de onze regions, (PAEPA SU MR phase 1) that is lesson learnt can be improve the design of indeed already being implemented. The project the proposed interventions in WASH sector. appears to be among other areas, also be located in the Logone region that is in the south of Chad and forms part of the wider Chari basin. However the review of the PAEPA SU MR phase 1 project

		document and the GEF CEO Endorsement Letter available on the GEF link above, detail that the region is bordering Cameroon and so there is no geographical overlap with the AF proposed geographical area and therefore no duplication. The full proposal design will aim to consult with the AfDB project to explore whether there have already been any lessons learned at this stage of implementation that will be beneficial to the design of the Adaptation Fund project.
24. Does the project / programme have a learning and knowledge management component to capture and feedback lessons?	Yes. This section is adequately developed for this stage of project development. However, more details on how the project will generate, manage, capture and disseminate project lessons from the project activities with timelines and deliverables would be appreciated at the next stage of project development.	Thank you for this comment. The full proposal will be sure to provide more details on the generation, management, capture and dissemination of project lessons with timelines and deliverables.
25. Has a consultative process taken place, and has it involved all key stakeholders, and vulnerable groups, including gender considerations in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	Yes The document confirms that consultations were undertaken by FAO in close collaboration with FAO Country offices in Khartoum, Sudan and N'djamena, Chad and a full list of parties consulted is attached in annex 3.	

		AFB/PPRC.27/18
26. Is the requested financing justified on the basis of full cost of adaptation reasoning?	 Partly addressed. Overall, the requested financing is justified based on full cost of adaptation; the proposed activities depend on any additional funding from other donors. The adaptation rationale is strong, as indicated with the integration of the EWS with a project with the SMART WASH pilots, without which would seem like a regular development project. However, the component 1 does not seem to be well connected to the other components. How will the tools developed under component 1 be used to more effectively execute the pilots? Or is it that the activities under component 1 will be packaged with the interventions deployed in the other components? It seems this is the case for component 2 but difficult to determine if it is bundled with the activities under components. CAR3: Please articulate better the full cost of adaptation reasoning for component 1 and in particular in relation to pilot investments. 	CAR 3. Kindly note that the table in section II -J 'Justification for Funding' has been amended to strengthen the rationale for the full cost of adaptation in relation to component 1.
27. Is the project / program aligned with AF's results framework?	Not addressed. The project seems to be aligned with AF's results framework and would contribute to many outcomes. However, unless mistaken, we do not find an initial results framework attached to the concept note.	CR5. Thank you for your comment. FAO had submitted under the understanding that as per the submission guidelines, section III including the results framework(s) are not required at concept level as per the footnote on page 10 <u>here</u> . FAO is however happy to provide one as requested.

		AFB/PPRC.27/18
	CR5 : Please attach an initial project results framework in alignment with AF strategic results framework.	Kindly find the AF Strategic Alignment Results Framework added as annex 4.
28. Has the sustainability of the project/programme outcomes been taken into account when designing the project?	Yes. The project sustainability seems to be built into the project design and is adequate for this stage of project development. However, there are some questions regarding operation and maintenance costs of the EWS and infrastructure and how they will be sustained beyond project closure.	
29. Does the project / programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	 Not addressed. The project compliance with AF ESP and gender policy is missing. The table does not seem thoroughly filled out or well developed. Every category is classified as "no or low" risk, which does not seem reasonable. Additionally – conflict is mentioned numerous times throughout the document, but conflict is not listed as a risk nor is there any conflict analysis included. This should probably be raised to the agency/entity. CR6: Please assess the project compliance with AF ESP and gender policy. CR7: Please provide an initial gender assessment which can be further developed. 	 CR6 Kindly note that the table in section II - L has been revised to ensure compliance with the ESP and GP at concept note level as well as the addressing of conflict. At full proposal a more indepth analysis will be provided including as part of the ESMP annex. CR7 Thank you for this comment. FAO had not submitted an initial Gender Assessment on the basis of the <u>guidelines</u> in section II – B as this was interpreted to only be a requirement for full proposal submissions. However, FAO is happy to comply with the request and a brief IGA has been included in Annex 5. This will be further developed as part of the full project design.
	CR7 : Please provide an initial gender assessment which can be further developed at later stage.	

	30. Does the project promote new and innovative solutions to climate change adaptation, such as new approaches, technologies and mechanisms?	Yes. The activities introduced in this concept seem innovative – Multiple Water Use (MWU) for the region as well as the two target countries and shows potential for addressing issues exacerbated by the COVID pandemic.	
Resource Availability	 Is the requested project / programme funding within the funding windows of the regional projects/programmes? 	Yes	
	4. Are the administrative costs (Implementing Entity Management Fee and Project/ Programme Execution Costs) at or below 20 per cent of the total project/programme budget?	Yes	
Eligibility of IE	 Is the project/programme submitted through an eligible Multilateral or Regional Implementing Entity that has been accredited by the Board? 	Yes	

			/ (B/ 1 1 (C. 2 / 1)
Implementation Arrangements	11. Is there adequate arrangement for project / programme management at the regional and national level, including coordination arrangements within countries and among them? Has the potential to partner with national institutions, and when possible, national implementing entities (NIEs), been considered, and included in the management arrangements?	N/A AT CONCEPT STAGE	
	12. Are there measures for financial and project/programme risk management?	N/A AT CONCEPT STAGE	
	13. Are there measures in place for the management of for environmental and social risks, in line with the Environmental and Social Policy and Gender Policy of the	N/A AT CONCEPT STAGE	

Fund? Proponents are encouraged to refer to the Guidance document for Implementing Entities on compliance with the Adaptation Fund Environmental and Social Policy, for details.		
14. Is a budget on the Implementing Entity Management Fee use included?	N/A AT CONCEPT STAGE	
15. Is an explanation and a breakdown of the execution costs included?	N/A AT CONCEPT STAGE	
16. Is a detailed budget including budget notes included?	N/A AT CONCEPT STAGE	
17. Are arrangements for monitoring and evaluation clearly defined, including budgeted M&E plans and sex- disaggregated data, targets and indicators, in compliance with the Gender Policy of the Fund?	N/A AT CONCEPT STAGE	

		, « B/· · · · (0.2./ · 0
18. Does the M&E Framework include a break-down of how implementing entity IE fees will be utilized in the supervision of the M&E function?	N/A AT CONCEPT STAGE	
19. Does the project/programme's results framework align with the AF's results framework? Does it include at least one core outcome indicator from the Fund's results framework?	N/A AT CONCEPT STAGE	
20. Is a disbursement schedule with time- bound milestones included?	N/A AT CONCEPT STAGE	



REGIONAL PROJECT PROPOSAL

Title of Project:

Countries: Thematic Focal Area¹:

Type of Implementing Entity: Implementing Entity: **Executing Entities:**

Strengthening Resilience to Climate and Covid-19 shocks through Integrated Water Management on the Sudan – Chad Border area (SCCIWM) Chad, Sudan Food Security, Disaster Risk Reduction and Early Warning Systems Multilateral Implementing Entity (MIE) Food and Agriculture Organisation (FAO) Higher Council for Environment and Natural Resources (Sudan) Ministry of Agriculture and Natural Resources (Sudan) Ministry of Irrigation and Water Resources (Sudan) Ministry of Environment and Fisheries (Chad) Ministry of Agriculture (Chad) 14,000,000 (in U.S Dollars Equivalent) Amount of Financing Requested:

¹ Thematic areas are: Food security; Disaster risk reduction and early warning systems; Transboundary water management; Innovation in adaptation finance.

Table of Contents

Table of Contents xxxv		
List of Acronyms xxxvii		
PART I: PROJECT INFORMATION 1		
A. Project Background and Context: 1		
Sahel Region 1		
National Contexts 2		
Early Warning Early Action (EWEA) [,] 6		
Sanitation and Climate Change 7		
COVID-19 8		
SMART Irrigation – SMART WASH 8		
Project Area 9		
B. Project Objectives: 14		
C. Project Components and Financing: 14		
D. Projected Calendar: 15		
PART II: PROJECT JUSTIFICATION		
A. Project Components 16		
B. Innovative Approaches, Technologies and Mechanisms 26		
C. Economic, Social and Environmental Benefits 27		
D. Cost-effectiveness 30		
E. Strategic Alignment 33		
F. National Technical Standards and Environmental and Social Policy 35		
G. Duplication 37		
Learning, Knowledge Management and Lessons Learned 38		
I. Consultative Process 39		
J. Justification for Funding40		
K. Sustainability 42		
L. Environmental and Social Impact Risks 42		
PART IV: ENDORSEMENT BY GOVERNMENTS AND CERTIFICATION BY THE IMPLEMENTING ENTITY		
Annex 1 Endorsement Letters 47		

Annex 2 Project Command Area 49

Annex 3 List of People Met 52

Annex 4 Project Alignment with the Results Framework of the Adaptation Fund 54

Annex 5 Brief Initial Gender Assessment. 56
List of Acronyms

	Adaptation Fund
	Adaptation Fund
CBO	Community-Based Organisations
	Conference of the Parties
COVID-19	Coronavirus Disease 2019
CWU	Conjunctive Water Use
DRR	Disaster Risk Reduction
ECHO	European Civil Protection and Humanitarian Aid Operations
EWEA	Early Warning Early Action
EWS	Early Warning System
FAO	United Nations Food and Agriculture Organisation
FSTS	Food Security Technical Secretariat
GCF	Green Climate Fund
GDP	Gross Domestic Product
GEF	Global Environmental Facility
HDI	Human Development Index
IDP	Internally Displaced People
IPCC	Intergovernmental Panel on Climate Change
IFAD	International Fund for Agricultural Development
INDC	Intended Nationally Determined Contributions
ITCZ	Inter-Tropical Convergence Zone
IWM	Integrated Water Management
MWU	Multiple Water Use
NAPA	National Adaptation Programme of Action
NDC	Nationally Determined Contributions
NGO	Non-Governmental Organisations
SP	Service Provider
SREX	Special Report on Managing the Risks of Extreme Events and Disasters to
UNEX	Advance Climate Change Adaptation
	United Nations Development Programme
	United Nations Environment Programme
UNECCC	United Nations Eramework Conventions on Climate Change
	United Nations High Commissioner for Refugees
	Village Agriculture Technicians
	Village Development Committees
	Wast African Mansaan
	Water Sanitation and Hygione
WED	World Food Drogrammo
	Woher Lloer Accession
VVUA	Water User Associations

PART I: PROJECT INFORMATION

PROJECT BACKGROUND AND CONTEXT:

Provide brief information on the problem the proposed project is aiming to solve, including both the regional and the country perspective. Outline the economic social, development and environmental context in which the project would operate in those countries.

Sahel Region

1. **Geography.** The Sahel spans around 6,000 km from the Atlantic Ocean and Senegal in West Africa to Sudan and Ethiopia by the Red Sea in East Africa, in a belt covering an area of around 3 million square kilometres. It is a semi-arid region stretching longitudinally and latitudinally from just north of the tropical forests to just south of the Sahara Desert; it is a transitional ecoregion between the Saharan desert and the wet climate of tropical Africa comprising semi-arid grasslands, savannas, steppes, and thorn shrublands. The Sahel is mainly flat and most of the region lies between 200 and 400 meters above sea level and is known to be particularly vulnerable to natural variability as most human activities in the region depend on the highly volatile single annual rainfall season, June through September peaking in August.

2. **Precipitation.** Annual precipitation in the Sahel region averages between 250 and 500 mm.² Rainfall distribution can be roughly divided into different homogeneous regions: one along the west coast, a weaker one around Lake Chad and one over the western Ethiopian plateau. The dominant feature of the climate of this region is the West African Monsoon (WAM) system, which is a recurrent low latitude large-scale circulation pattern arising from the meridional boundary layer gradient of dry and moist static energy between the warm sub-Saharan continent and the tropical Atlantic Ocean. The WAM system develops from April to October, bringing the Inter-Tropical Convergence Zone (ITCZ) and associated rainfall peak in August.³ The predominant weather in the eastern Sahel, particularly south of Khartoum, are significantly influenced by weather patterns deflected around and over the Ethiopian Highlands to the southeast.⁴ Along with the transcontinental south-westerly winds carrying moisture from the South Atlantic, the moisture-laden weather systems entering from the Indian Ocean through the Turkana depression south of the Ethiopian Highlands, and circulating around the southwest corner of the latter, are significant players in the development of convective systems over the plains of East Sudan.⁵

3. **Climate change.** The Sahelian region is experiencing the full impact of climate change with rainfall deficits and severe droughts, but also heavy rains and severe flooding with devastating consequences on people's livelihoods. The region is one of the most severely affected from land degradation and desertification in the world.⁶ It has experienced severe drought and increasing deterioration of soil quality and vegetation cover⁷ and the scarcity of natural resources has led to conflict and migration. In the Sahel, droughts are becoming increasingly intense and temperatures are rising 1.5 times faster than in the rest of the world. But climate change is also causing heavy rains (violent thunderstorms, above-normal rainfall) and the land is too dry to absorb the water. More than elsewhere, in the Sahel these natural disasters are degrading the natural resources essential to the agropastoral livelihoods that underpin the economy in much of the area 80 to 90 percent of the population actively engage in agriculture.⁸ Under the combined effect of drought and floods, land is deteriorating and

² <u>http://www.fao.org/3/y7738e/y7738e09.htm</u>

³ Met Office Hadley Centre (2010), Sahelian climate: past, current, projections.

⁴ El Gamri T, Saeed AB, Abdalla AK (2009) Rainfall of the Sudan: characteristics and prediction. Arts J 27: 18–35. Journal of the Faculty of Arts, Univ of Khartoum, Sudan

⁵ Riddle EE, Cook KH (2008) Abrupt rainfall transitions over the greater horn of Africa: Observations and regional model simulations. J Geophys Res 113(D15): D15109.

⁶ UNEP. 1992. World Atlas of Desertification. Edward Arnold. London.

⁷ Geist, H.J., Lambin, E.F. 2004. Dynamic causal patterns of desertification. Bioscience 54(9): 817-829.

⁸ UNEP. 2012. Sahel Atlas of Changing Landscapes: Tracing trends and variations in vegetation cover and soil condition. United Nations Environment Programme. Nairobi.

losing its fertility. Insufficient rain-fed irrigation means that crops fail or are destroyed, while livestock struggle to find water for drinking and sufficient pasture. The Intergovernmental Panel on Climate Change (IPCC) predicted that yields from rainfed agriculture would already have fallen by 50% over the 20-year period between 2000 and 2020.⁹

4. Near surface temperatures have increased over the last 50 years in the Sahel with the number of cold days and cold nights decreasing and the number of warm days and warm nights increasing between 1961 and 2000, research also shows warming of between 0.5°C and 0.8°C between 1970 and 2010 over the region. Extreme precipitation changes over eastern Africa such as droughts and heavy rainfall have been experienced more frequently during the last 30 to 60 years.¹⁰ A continued warming in the Indian- Pacific warm pool has been shown to contribute to more frequent East African droughts over the past 30 years during the spring and summer seasons.¹¹ Projected increases in heavy precipitation over the region have been reported with high certainty in the IPCC Special Report on Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation (SREX) that indicate an increase in the number of extreme wet days by the mid-21st century.¹²

5. In order to tackle the climate-driven challenges, countries in the Sahel region have laid out their national priorities to adapt to climate change and committed to limit the impacts of climate change through specific adaptation measures. At the Conference of the Parties (COP) in Paris in 2015, the United Nations Framework Conventions on Climate Change (UNFCCC) requested signatory countries to present their Nationally Determined Contributions (NDCs) for both adaptation and mitigation. In the central-eastern Sahel the countries of Chad and Sudan have outlined their respective priority actions aimed at reducing the impacts generated by extreme weather events on the most vulnerable sectors. The proposed concept note focuses those sectors that have been identified as being of national adaptive importance for both countries, namely agriculture, water resources, livestock and land resources.

Sectors	Chad	Sudan
Agriculture	+	+
Water Resources	+	+
Rangeland		+
Livestock	+	+
Forestry	+	+
Land Resources	+	+
Coastal		+
Marine / Fisheries	+	+

Table 1 Identified national adaptation priority sectors for Chad and Sudan

National Contexts

Chad - General Characteristics

6. **Geography.** Chad is the fifth largest country in Africa and ranks second among Sahelian countries after Sudan and is landlocked. Chad is located in central northern Africa at 7-23° north of the equator, straddling the sub-tropical climate band called the Sahel. The north of Chad extends well into the arid Sahara Desert, whilst the south has a much wetter and typically tropical climate. The country's terrain is one of a shallow basin rising gradually from the Lake Chad area in the west

⁹ Boko, M., I. Niang, A. Nyong, C. Vogel, A. Githeko, M. Medany, B. Osman-Elasha, R. Tabo and P. Yanda, (2007): "Africa. Climate Change 2007: Impacts, Adaptation and Vulnerability." Contribution of Working Group II to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change, M.L. Parry, O.F. Canziani, J.P. Palutikof, P.J. van der Linden and C.E. Hanson, Eds., Cambridge University Press, Cambridge UK, 433-467.

 ¹⁰ Funk, C., M.D. Dettinger, J.C. Michaelsen, J.P. Verdin, M.E. Brown, M. Barlow, and A. Hoell, 2008: Warming of the Indian Ocean threatens eastern and southern African food security but could be mitigated by agricultural development. *Proceedings of the National Academy of Sciences of the United States of America*, 105(32), 11081-11086.

¹¹ Williams, A.P. and C. Funk, 2011: A westward extension of the warm pool leads to a westward extension of the Walker circulation, drying eastern Africa. *Climate Dynamics*, 37(11-12), 2417-2435.

¹² Niang, I., O.C. Ruppel, M.A. Abdrabo, A. Essel, C. Lennard, J. Padgham, and P. Urquhart, (2014): Africa. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part B: Regional Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 1199-1265.

and is surrounded by mountains to the north, east and south. Chad is bordered in the north by Libya, in the east by Sudan, in the south by the Central African Republic, and in the west by Cameroon, Nigeria, and Niger. The northern part of the country in the Sahara Desert has a population density of only about 8 people per square km, and is home to just 1 percent of Chad's population. The whole central swath is in the Sahel and both N'Djamena, the capital, and Lake Chad are found in this region. Lake Chad is fed by the country's two main rivers, the Chari and the Logone, it is the largest body of water in the Sahel and a major centre of economic activity for the region. Natural irrigation is limited to the rivers and their tributaries, which flow from the southeast into Lake Chad. Due to erratic variations in the region's climate and overexploitation of the rivers that feed it, this shallow lake (1.5 m deep on average) has shrunk to a small fraction of its 1960 size. Chad's population lives mainly in the southern part of the country, in the more humid Sudanian climate zone, southern Chad has the largest, relatively intact expanses of wooded savannas and woodlands of any of the Sahelian countries.

7. **Economy** Traditionally, Chad's economy has been based on farming and livestock, but in the last decade the economy has changed dramatically from the oil boom. Besides oil, there are also significant deposits of gold, marble, and sodium carbonate. After two years of recession (2016 and 2017) following the fall in the price of oil, which led to a debt crisis, real Gross Domestic Product (GDP) growth turned positive, reaching 2.4% in 2018 and 2019, driven by the good performance of grain (up 1.2% in 2019), cotton (142%), and oil production (14%, or 146,000 barrels a day).¹³

8. **Human Development Index (HDI)**. Chad's HDI value for 2019 is 0.398 which puts the country in the low human development category - positioning it 187th out of 189 countries and territories. Between 2000 and 2019, Chad's HDI value increased from 0.293 to 0.398, an increase of 35.8 percent. Between 1990 and 2019, Chad's life expectancy at birth increased by 7.2 years, mean years of schooling increased by 1.2 years and expected years of schooling increased by 4.2 years. Chad's Gross National Income (GNI) per capita increased by about 57.3 percent between 1990 and 2019.

9. **Food Security**. Chad has one of the highest levels of hunger in the world - 66.2 percent of its population of 15.5 million live in severe poverty. It is surrounded by countries at war, and conflict and the climate crisis exacerbate hunger and poverty. Around 40 percent of children aged under five suffer stunting, with low height for their age caused by chronic malnutrition. The presence of hundreds of thousands of refugees who have fled conflict in neighbouring countries has put additional pressure on Chad's already limited resources. Displaced people, and other poor communities, in the Lake Chad Basin, the east and south of the country are dependent on humanitarian assistance for survival. According to the 2019 Humanitarian Response Plan, 4.3 million people are in need of humanitarian assistance, of whom only 2 million are targeted with adequate support.¹⁴

10. **Climate.** The northern desert regions of Chad receive very little rainfall all year round while the southern, tropical savannah regions of Chad experience a wet season between May and October (receiving 150- 300mm per month), and the central sub-tropical regions have a shorter wet season between June and September (receiving 50-150 mm per month). In the dry months between November and March, almost no rain falls at all. These seasonal rainfalls are controlled by the movement of the tropical rain belt (also known as the ITCZ which oscillates between the northern and southern tropics over the course of a year. Variations in the latitudinal movements of the ITCZ from one year to another cause large inter-annual and decadal variability in wet-season rainfall. Annually, mean temperatures are similar across most of the country at 25-30 °C, and only differ substantially in the cooler mountainous regions of the north at 15-25 °C. However, seasonal variations are large, and differ in their patterns for different parts of the country. In the north and central regions, summer and winter temperatures are distinct at 27-35 °C in summer and 20-27 °C in winter (these temperatures are 5-10 °C lower, year-round, in the northernmost mountainous regions). In the south, less seasonal variation is evident, but the summer months are the coolest (22-25 °C) due to the cooling effects of rain at this time of year.

Recent Climate Trends¹⁵

11. **Temperature.** Mean annual temperature has increased by 0.7 °C since 1960, an average rate of 0.16 °C per decade. The rate of increase is most rapid in the wettest season, (July – September), at 0.36 °C per decade. There is insufficient daily observed data to identify trends in daily temperature extremes for all seasons, but the average number of 'hot' nights per year in Chad has increased by 50 (an additional 13.6% of days) between 1960 and 2003. Cold nights are observed to decrease in all seasons where data are available. The average number of 'cold' nights per month in these seasons has decreased by 3.6-4.6 (11.6-14.9% of days) between 1960 and 2003.

¹³ African Development Bank (2020) Chad Economic Outlook <u>https://www.afdb.org/en/countries/central-africa/chad/chad-economic-outlook</u>

¹⁴ WFP (2020) Chad Country Brief. https://www.wfp.org/countries/chad

¹⁵ C. McSweeney, M. New and G. Lizcano. UNDP Climate Country Profiles Chad. School of Geography and Environment, University of Oxford. Tyndall Centre for Climate Change Research

12. **Precipitation.** Mean annual rainfall over Chad has not changed with any discernible trend since 1960. Some unusually high rainfalls have occurred in the dry season in the very recent years (2000- 2006), but this has not been a consistent trend. There is not sufficient daily precipitation data available to determine trends in the daily variability of rainfall.

Climate Change¹⁶

13. **Temperature.** The mean annual temperature is projected to increase by 1.0 to 3.4 °C by the 2060s, and 1.6 to 5.4 °C by the 2090s with the range of projections by the 2090s under any one emissions scenario between 1.5- 2 °C. The projected rate of warming is similar across all seasons and regions of Chad and all projections indicate substantial increases in the frequency of increased maximum and minimum temperatures. Annually, projections indicate that 'hot' days will occur on 17-36% of days by the 2060s, and 21-54% of days by the 2090s with maximum temperatures increasing most rapidly in the summer months. Nights that are considered 'hot' for the annual climate of 1970-99 are projected to occur on 26-49% of nights by the 2060s and 31-63% of nights by the 2090s. Projected increases in maximum and minimum temperatures are expected to be more rapid in the south of the country than the north. All projections indicate increases in minimum temperatures leading to decreases in the frequency of days and nights that are considered 'cold' in current climate, and in much of the country, will not occur at all by the 2090s.

14. **Precipitation.** Projections of mean annual rainfall averaged over the country from different models project a wide range of changes in precipitation for Chad. Projected change in precipitation range from -15 to +9 mm per month (-28 to +29%) by the 2090s. Whilst the range of projections is large, the regional changes in rainfall more consistently indicate increases in wet-season rainfall in the south of the country. The proportion of total rainfall that falls in heavy¹⁷ events is projected to increase in the south of the country, but to decrease in the north. Projections indicate that maximum 1- and 5- day rainfalls may increase in magnitude in the south of the country.

15. **Impact of Climate Change**. Climate change will threaten food security due to the impact of projected temperature increases and extreme weather events on crop nutrient content and yields, livestock, fisheries and aquaculture, and land use. Climate change has already affected crop suitability in many areas, resulting in changes in the production levels of main agricultural crops. Crop production is negatively affected by the increase in both direct and indirect climate extremes. Changing precipitation patterns, and increased temperatures will cause increased probability of drought, heat stress and flooding. Climate change will also increase the spread of pest and diseases that also have detrimental effects on cropping systems.¹⁸

Sudan - General Characteristics

16. **Geography.** Sudan is the largest country in Africa and has a special geopolitical location bonding the Arab world to Africa south of the Sahara. It has an area of 2.5 million km² extending between 4° and 22° north latitudes and 22° to 38° east longitudes. Its north-south extent is about 2 000 km, while its maximum east-west extent is about 1 500 km. On the north-east it is bordered by the Red Sea and it shares common borders with nine countries: Eritrea and Ethiopia in the east, Kenya, Uganda and the Democratic Republic of Congo in the south, The Central African Republic, Chad and the Libyan Arab Jamahiriya in the west, and Egypt in the north. The country is a gently sloping plain with the exception of Jebel Marra, the Red Sea Hills, Nuba Mountains and Imatong Hills. Its main features are the alluvial clay deposits in the central and eastern part, the stabilized sand dunes in the western and northern part and the red ironstone soils in the south. The soils of Sudan are broadly divided into six main categories according to their locations and manner of formation: i) desert; ii) semi-desert; iii) sand; iv) alkaline catena; v) alluvial; and vi) iron stone plateau. Within these soil categories there are many local variations with respect to drainage conditions.

17. **Human Development.** Sudan's HDI value for 2019 is 0.510 which put the country in the low human development category - positioning it 170th out of 189 countries and territories a position shared with Haiti. Between 1990 and 2019, Sudan's HDI value increased from 0.331 to 0.510, an increase of 54.1 percent. Between 1990 and 2019, Sudan's life expectancy at birth increased by 9.8 years, mean years of schooling increased by 2.3 years and expected years of schooling increased by 4.0 years. Sudan's GNI per capita increased by about 142.9 percent between 1990 and 2019.¹⁹

18. **Food Security.** Conflicts and natural disasters cause widespread displacement (including both internally displaced persons and refugees from South), fractured infrastructure, and broken institutions. These factors have led to the Sudanese population suffering from expansive hunger (ranked 7th on Global Hunger Index²⁰) food insecurity and malnutrition with

¹⁶ Ibid

¹⁷ A 'Heavy' event is defined as a daily rainfall total which exceeds the threshold that is exceeded on 5% of rainy days in current the climate of that region and season.

¹⁸ World Bank Group Climate knowledge portal. <u>https://climateknowledgeportal.worldbank.org/country/chad</u>

¹⁹ UNDP (2020) Human Development Report Sudan Briefing note <u>http://hdr.undp.org/sites/all/themes/hdr_theme/country-notes/SDN.pdf</u>

²⁰ International Food Policy Research Institute. 2017. 2017 Global Hunger Index: The inequalities of hunger.

women, children and youth suffering disproportionately. Rural women and youth in Sudan form the majority of the extremely poor people in the country. According to World Food Programme (WFP), approximately 9.6 million people were food insecure in early 2020, and estimated 80 per cent were unable to afford the food one needs on daily basis²¹. And 2.7 million children under 5 are suffering from acute malnutrition. Basic health services are available to less than 50 per cent of the population, while only 13 per cent of the rural population has access to improved sanitation facilities.²²

19. **Economy**. In 2010, Sudan was considered as the 17th fastest growing economy in the world given the rapid development of the country largely from oil profits, despite international sanctions. However, the secession of the South in 2011 has gravely affected the economy as more than 80% of Sudan's oil fields existed in the southern part of the country. This decline in oil revenues caused a major adjustment to the Sudanese fiscal situation and prompting financial austerity measures. The situation was further exacerbated by the continuing tensions between Sudan and South Sudan and their inability to reach an agreement over transit fees for oil from South Sudan. Both parties have still not reached an agreement on this issue. Sudan however is endowed with rich natural resources, including natural gas, gold, silver, chromite, asbestos, manganese, gypsum, mica, zinc, iron, lead, uranium, copper, kaolin, cobalt, granite, nickel, tin and aluminium. Historically, agriculture has been the main source of income and employment in Sudan, hiring over 80% of Sudanese and making up a third of the economic sector. Despite this strong agricultural orientation, oil production drove most of Sudan's post-2000 growth. In the agricultural sector, the government has tried to diversify its cash crops; however cotton and gum Arabic remain its major agricultural exports. Livestock production also has vast potential, and many animals, particularly camels and sheep, are exported to Egypt, Saudi Arabia, and other Arab countries. Problems of irrigation and transportation remain the greatest constraints to a more dynamic agricultural economy.²³

Climate

20. Sudan has a variable climate ranging from desert and semi-desert areas in the north to arid savannah in the east, west and south, with seasonal rains in central areas between El Obeid and Atbara. Mean annual temperatures vary between 26°C and 32°C across the country. The most extreme temperatures are found in the far north, where summer temperatures can often exceed 43°C and sandstorms blow across the Sahara Desert from April to September. The main rainy season is from May to October, with precipitation ranging between less than 50 mm in the extreme north to more than 1500 mm in the extreme south.²⁴

Climate Change Trends

21. Observed trends in climate are difficult to assess due to the lack of reliable and consistent meteorological data. Rainfall amounts across the Sahel can vary naturally over decades, and it is not clear whether the cause of these trends is human induced long-term climate change or simply natural variability that may potentially reverse in the coming decades.^{25,26} However, FEWSNET reports that between the mid-1970s and late 2000s, summer rainfall decreased by 10–20 percent across parts of western and southern Sudan, and that summer rains in western and southern Sudan have declined by 10–20 percent since the mid-1970s. Temperatures have also increased by 1 degrees Celsius over most of central and Southern Sudan and over the past 30 years it has been among the most rapidly warming locations on the globe, with station temperatures increasing as much as 0.4°C per decade. Year-on-year variations in evapotranspiration are strongly related to changes in plant growth, cereal formation and filling, end-of-season yields, and pasture biomass. Climatic warming effects combine with decreases in rainfall to reduce evapotranspiration and crop yield. Over the past 20 years, declines in evapotranspiration are larger for the extended Darfur region (approximately -40 percent) and southern Sudan (approximately -28 percent) than the associated decreases in rainfall (approximately -10 per- cent). Temperature impacts appear to be amplifying the effects of drought.²⁷

Climate Change projections

Report of the Intergovernmental Panel on Climate Change [Barros, V.R., C.B. Field, D.J. Dokken, M.D. Mastrandrea, K.J. Mach, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L.White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 1199-1265.

https://www.globalhungerindex.org/pdf/en/2017.pdf

 ²¹ World Food Programme. October 2020. Country Brief Sudan. <u>https://docs.wfp.org/api/documents/WFP-0000121832/download/</u>
 ²² Ibid

²³ UNDP (2020) About Sudan. https://www.sd.undp.org/content/sudan/en/home/countryinfo.html

²⁴ Sudan Ministry of Environment and Physical Development. 2013. Second National Communications to the UNFCCC.

²⁵ World Food Programme (2016) Food Security and Climate Change Assessment: Sudan

²⁶ Niang, I., O.C. Ruppel, M.A. Abdrabo, A. Essel, C. Lennard, J. Padgham, and P. Urquhart, 2014: Africa. In: Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part B: Regional Aspects. Contribution of Working Group II to the Fifth Assessment

²⁷ Funk, C., Eilerts, G., Verdin, J., Rowland, J., Marshall, M., 2011, A climate trend analysis of Sudan, U.S. Geological Survey Fact Sheet 2011-3072, 6 p.

22. **Temperature**. Projections from the latest Intergovernmental Panel on Climate Change Fifth Assessment report^{28,29,30} indicate a substantial warming trend across Sudan with projected temperature increases of between 1.5°C and 3°C in daily maximum temperature for Sudan by the middle of the 21st century. Up to the mid-21st century there is little difference in the pattern of climate change across different future greenhouse gas concentration scenarios³¹. From the mid-21st century onwards, however, the climate change pathways under different greenhouse gas concentration scenarios diverge. A scenario of on-going and substantial increases in future global emissions of greenhouse gases (scenario RCP8.5) is consistent with projections where temperatures continue to increase to the end of the 21st century, from mid-century level. This scenario also indicates a small increase in annual rainfall in many model projections. In contrast, a scenario of rapid and sustained reduction in future global emissions of greenhouse gases (scenario scenario of rapid and sustained reduction in future global emissions of greenhouse gases (scenario RCP2.6) is consistent with a stabilisation of climate conditions from the middle of the 21st century.³²

23. **Precipitation**. Rainfall projections across the Sudan region show a pattern of potential increased rainfall emerging during the second half of the century. That pattern appears to be consistent across the majority of CMIP5 models in the ensemble. Relative magnitudes of potential increased rainfall in the Upper White Nile region could potentially reach about 500mm / year wetter by 2100 which equates to 40% of the baseline normal. The rainfall over the much drier Sahara region could potentially increase by up to 100% above the baseline normal. The increase in rainfall seems to be strongly associated with increase in all rainfall events and also high intensity rainfall events. It must be noted that these results are derived from GCM projections which may not accurately represent changes in extreme rainfall dynamics. They are, however, consistent with the increased convective rainfall intensity (e.g. thunderstorm-related rainfall) expected in a warmer climate. ³³

24. **Climate change impacts.** The frequency of extreme climatic events is increasing, particularly drought. Once a rare occurrence (occurring in the 1910s, 1940s, and 1970s, and 1980s), severe drought is now one of the most important and frequently recurring challenges facing Sudan. Since the end of the last drought in 1984, droughts have recurred with increasing frequency in 1987, 1989, 1990, 1991, 1993, and 1996, mainly in western Sudan in Kordofan and Darfur states, as well as in areas in central Sudan. Future drought threatens about 19 million hectares of rain-fed mechanised and traditional farms, as well as the livelihoods of many pastoral and nomadic groups.³⁴ The results of climate model simulations reveal that extreme negative variability will cost the Sudan cumulatively between 2018 and 2050 USD 109.5 billion in total absorption and USD 105.5 billion in GDP relative to a historical mean climate scenario without climate change.³⁵

Early Warning Early Action (EWEA)^{36,37}

25. Evidence shows that the frequency and intensity of climate-driven natural disasters and conflict is increasing. Natural disasters are occurring more often compared to 40 years ago, with great costs to local economies, livelihoods and lives. Expanding needs, competing priorities and scarce resources globally mean that new tools are needed to ensure smart, effective investments to help attenuate the impact of disasters before they occur. Acting early before a disaster occurs or reaches its peak is critical to save lives and protect livelihoods from the immediate shocks as well as protecting longer term development gains by increasing the resilience of local communities over time. At the global level, FAO has a number of strategic partnerships with humanitarian, development and scientific organisations who are doing pioneering work in linking early warning analysis with early action and funding. FAO closely collaborates with among others the International Federation of the Red Cross, the Red Cross Climate Centre, World Food Programme and the German Red Cross.

³⁰ IPCC (2014) AR5 Cliamte Change 2014: Impacts, Adaptation and Vulnerability. https://www.ipcc.ch/report/ar5/wg2/

²⁸ Ibid ref 26

²⁹ IPCC, 2013: Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change [Stocker, T.F., D. Qin, G.-K. Plattner, M. Tignor, S.K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex and P.M. Midgley (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, 1535 pp.

³¹ Stott, P. A., Mitchell, J. F. B., Allen, M. R., Delworth, T. L., Gregory, J. M., Meehl, G. A. and Santer, B. D., 2006: Observational Constraints on Past Attributable Warming and Predictions of Future Global Warming. J. Climate, 19, 3055–3069. doi: http://dx.doi.org/10.1175/JCLI3802.1

³² van Vuuren DP, Edmonds J, Kainuma MLT, RiahiK, Thomson A, Matsui T, Hurtt G, Lamarque J-F, Meinshausen M, Smith S, Grainer C, Rose S, Hibbard KA, Nakicenovic N, Krey V, Kram T. 2011: Representative concentration pathways: An overview. Climatic Change. doi:10.1007/s10584-011-0148-z.

³³ Siddig, Khalid; Stepanyan, Davit; Wiebelt, Manfred; Zhu, Tingju; and Grethe, Harald. 2018. Climate change and agriculture in the Sudan: Impact pathways beyond changes in mean rainfall and temperature. MENA RP Working Paper 13. Washington, DC and Cairo, Egypt: International Food Policy Research Institute (IFPRI). <u>http://ebrary.ifpri.org/cdm/ref/collection/p15738coll2/id/132833</u>

³⁴ Ministry of Environment Forestry & Physical Development (2013). Sudan's Second National Communication under the United Nations Framework Convention on Climate Change

³⁵ Siddig, Khalid; Stepanyan, Davit; Wiebelt, Manfred; Zhu, Tingju; and Grethe, Harald. 2018. Climate change and agriculture in the Sudan: Impact pathways beyond changes in mean rainfall and temperature. MENA RP Working Paper 13. Washington, DC and Cairo, Egypt: International Food Policy Research Institute (IFPRI). <u>http://ebrary.ifpri.org/cdm/ref/collection/p15738coll2/id/132833</u>

³⁶ FAO (2020) Early Warning Early Action http://www.fao.org/emergencies/fao-in-action/ewea/en/

³⁷ FAO (2019) Early Warning Early Action http://www.fao.org/3/CA3127EN/ca3127en.pdf

26. FAO's EWEA system was piloted in Sudan in 2016 and it translates warnings into anticipatory actions to reduce the impact of specific disaster events. It focuses on consolidating available forecasting information and putting plans in place to make sure FAO acts when a warning is at hand. At country level, the EWEA team works closely with country offices to develop EWEA systems tailored to the local context. These systems enable FAO to monitor major risks and to act early to mitigate its effects on the agriculture sector and livelihoods through an operational tool, the EWEA plan. The EWEA plans are tailored to each country and are based on existing early warning systems to identify timely triggers for early actions.

27. The EWEA approach in Sudan currently focuses on drought as it stands out as the prominent hazard affecting the agriculture sector and targeting vulnerable agro-pastoralist households, mostly engaged in traditional rain-fed cultivation of small plots and rearing of limited numbers of small ruminants. The EWEA Sudan plan for drought was piloted in two states that are chronically affected by the hazard: Kassala and North Darfur. It systematically monitors a set of indicators and thresholds for the likely impact of drought on the livelihoods and food security of target groups and links the monitoring to the implementation of specific early actions aiming to: safeguard target group's assets and support their production through likely droughts; enhance their livelihood diversification, increase access to income, food and nutrients; support resilience building; and reduce the costs of response. FAO's experience in piloting and developing the EWEA will be essential for the Adaptation Fund project as it looks to upscale and build on the positive results in developing the innovative Early Warning System (EWS) pilot that aims to collect and disseminate real-time water levels and climate risk data through a community developed, people-centred EWSs.

Sanitation and Climate Change

28. An estimated 4.5 billion people worldwide live without access to safely managed sanitation³⁸ putting them at risk of infectious diseases; climate variability and change exacerbate these risks by placing strain on sanitation systems. Climate change projections indicate changes to the timing, intensity and spatial distribution of weather- and climate-related events. Increasing global and regional temperatures have the potential to increase the frequency, intensity and duration of severe extreme weather events increasing the variability and unpredictability of precipitation. These changes affect sanitation systems and the infrastructure, water resources, water services, and other social and governance systems on which sanitation depends. Many of the direct and indirect effects on sanitation pose a danger to human health and development.³⁹

29. Climate change-related health consequences from sanitation systems generally fit within two overarching categories: (i) increased risk of disease or illness from exposure to pathogens and hazardous substances through increased environmental contamination, and/or (ii) increased risk of disease or illness resulting from a lack of access to adequate sanitation when systems are destroyed or damaged. Poor and vulnerable groups face the most immediate and severe consequences from climate change, and health is no exception. People without access to basic services experience overlapping forms of disadvantage and are likely to face the worst effects.⁴⁰

Climate change effect	Example impact on sanitation	Examples of associated health effects
More intense precipitation (leading to extreme rainfall events, floods, landslides, etc.) or inundation caused by mean sea-level rise	Flooding of on-site systems causing destruction of facilities, spillage, overflow and environmental contamination (e.g. in water supplies, floodwaters, surface water, soil)	 Increased stress, fear, potential exposure to violence and anxiety from lack of access to toilet facilities and reliance on open defecation Increased risks of water- and vector-borne diseases through reduced functioning Increased exposure to faecal contamination resulting in environmental enteric dysfunction
Long-term declines in rainfall and run-off	Declining water supply impeding function of water-	 Increased risks of water- and vector-borne diseases (e.g. due to lack of water for

Table 2 The	impact c	of climate	change (on sanitation ⁴¹
	impact c	n ciinate	change v	Sin Sanntation

³⁸ WHO and UNICEF (2017) Progress on Drinking Water, Sanitation and Hygiene: 2017 Update and SDG Baselines. Geneva: World Health Organization and United Nations Children's Fund.

³⁹ WHO (2019) Discussion Paper: Climate Sanitation and Health

⁴⁰ Mukheibir, P., Boronyak-Vasco, L. and Alofa, P. (2017) 'Dynamic Adaptive Management Pathways for Drinking Water Security in Kiribati', in Leal Filho, W. (ed.) *Climate Change Adaptation in Pacific Countries: Fostering Resilience and Improving the Quality of Life*. Springer International Publishing, pp. 287-301.

⁴¹ WHO (2017) Climate-resilient water safety plans: Managing health risks associated with climate variability and change. Geneva: World Health Organization.

Climate change effect	Example impact on sanitation	Examples of associated health effects		
(leading to e.g. long- term drought etc.)	reliant sanitation systems (e.g. flush toilets, sewerage); Increased demand for use of wastewater – especially in agriculture; shifting ground due to drying soils cracks or damages infrastructure	 flushing and cleaning resulting in poor sanitary conditions and poor hygiene, and changes in mosquito breeding between dry and wet) Increased open defecation and associated health risks Increase risk of water- and vector- borne diseases linked to untreated wastewater reuse for food production 		
Higher temperatures (leading to e.g. warmer surface water and soil temperatures, heatwaves)	Malfunction, breakdown or inaccessibility of sanitation systems deterring safe sanitation behaviours (e.g. strong odours during heatwaves deterring use of latrines)	 Health impacts resulting from unsafe use or non- use of sanitation systems (e.g. physical or mental health conditions) 		

COVID-19

30. The outbreak of coronavirus disease 2019 (COVID-19) caused by the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), is a global public health concern with rapid growth in the number of patients with significant mortality rates. FAO estimates that the COVID-19 in Sudan and related containment measures is adversely impacting all four dimensions of food security: availability, access, utilization and stability. Food availability is affected due to on-farm labour shortages as well as shortages of transportation of items while access to food will be challenged as many micro-enterprises and petty / small informal businesses are restricted or curtailed. This will cause the loss of essential income sources that enable vulnerable people to access basic commodities. With limited availability and access, vulnerable families will resort to low guality and quantity of food which will result in undernutrition.⁴²

31. At the extraordinary G20 Agriculture's Minister's meeting held in April 2020 involving inter alia FAO, a joint statement on the impacts of COVID-19 on food security and nutrition was issued concluding that the pandemic is also already affecting the entire food system at multiple levels. The situation poses critical challenges that might lead to food insecurity and that the impact is most devastating on people living in the poorest countries as they require urgent support to avoid further setbacks reversing progress thus far achieved in combating poverty, inequality and underdevelopment. Sudan's Food Security Technical Secretariat (FSTS)⁴³ projects that the consumption patterns will shift towards low quality and quantity and this will increase malnutrition. Restrictions and interruptions in the flow of goods and services that ensure safety nets and social protection of the vulnerable population is expected to have an impact on food stability. Safely managed water, sanitation, and hygiene services are an essential part of slowing the spread of COVID-19.

SMART Irrigation – SMART WASH

32. The Central and Eastern Sahel Region is largely underdeveloped and facing a number of critical climate changerelated challenges caused by increasing water insecurity and rising temperatures that adversely impact human and animal health causing increased food insecurity and reduced capacity to ensure basic sanitation requirements. In 2020 the global COVID-19 pandemic has brought additional vulnerability to bear on already climate-vulnerable rural communities, with no quick end on the horizon. To address these multiple and mutually reinforcing aggravating conditions, FAO has developed the innovative SMART Irrigation – SMART WASH initiative. The initiative proposes a twin-track approach for solutions to enhance irrigation and provide Water, Sanitation and Hygiene (WASH) facilities to vulnerable communities, hereby

⁴² OCHA (2020) Humanitarian Response Plan – Sudan <u>https://www.who.int/health-cluster/countries/sudan/Sudan-Humanitarian-Response-Plan-COVID-Addendum-March-December-2020.pdf?ua=1</u>

⁴³ SUDAN FEDERAL FOOD SECURITY TECHNICAL SECRETARIAT (2020) FOOD SECURITY INFORMATION AND KNOWLEDGE SHARING SYSTEM http://fsis.sd/Pages/FoodSecurity/PublicationsFind.aspx?lang=EN&l=103892&DId=0&CId=0&CMSId=5003213&g=creator:Food%20Security%20Tech nical%20Secretariat%20(FSTS)

responding inter alia to the needs in times of the COVID-19 crisis whilst ensuring adaptation to climate change at multiple levels in agriculture and sanitation through Multiple Water Use (MWU) systems.⁴⁴

33. The **Conjunctive Water Use (CWU)** includes the surface water from pond and groundwater resources lifted by a solar-powered system. The optimal combination of rainfall water and groundwater preserve the vulnerable groundwater resources, minimizes the undesirable physical and environmental effects and balances the water demand and supply.⁴⁵

34. **Multiple Water Use (MWU)** systems form part of the CWU and allow to fight the pandemic while helping to reduce the climate-vulnerability of rural communities by using water from the same source or infrastructure for multiple uses and functions; the SMART Irrigation – SMART WASH approach combines multiple water uses where water is required to meet the demands of both irrigation and WASH. The harmonised development of water resources through multiple water use techniques play a key role to mitigate the adverse impacts in developing countries, where food production systems are often fragile. The MWU also addresses access to safe water which is not available or affordable and health systems that are underdeveloped with institutions too fragile to establish appropriate infrastructure.⁴⁶

Project Area

35. The aim of the project is to build climate resilience into agricultural and sanitation systems within the broader watershed of the Chari River in Chad on the border between Chad and Sudan (as shown in Annex 2). The project will be focused on improving agricultural productivity, food security, health and sanitation and pilot innovative multi-purpose water infrastructure that will also help fight the COVID-19 pandemic. It will do this through an Integrated Water Management (IWM) approach involving the piloting of an Early Warning System (EWS) to provide real-time monitoring of existing water supplies that will i) enable planning and early action functions against future drought and flooding events; ii) upscale FAO pilots in macro- and micro-level water-efficient, multiple and conjunctive use climate-smart irrigation and sanitation infrastructure; iii) develop climate-resilient agricultural techniques to climate-proof livelihoods; and iv) promote a regional cooperation platform where national and knowledge institutions and organisations are able to share experiences and best practices.

36. **Regional Approach.** By adopting a regional approach, the project will enable the two countries to reap the full benefits of the potential spillover effects of a shared scale-up strategy in the most cost-effective way. A regional approach for irrigation development will help: (a) facilitate coordinated investment planning in shared natural resource areas; (b) build the knowledge base and facilitate cross-learning at the regional level; and (c) facilitate adoption of regional and national policies through institutional benchmarking. The regional approach provides a window of opportunity and entry point for solutions at scale that have not been achievable to date. Experience shows that separate national projects do not provide the scale and depth that is required to induce lasting institutional changes and that analytical work is not sufficient to create an enabling environment.

Chad- Sudan Chari River basin

37. The project proposes to implement climate change adaptation measures along the watershed between the Chad and Sudan border as shown in Annex 2 of this proposal. Activities are proposed along two rivers the Assongha River (Chad) and Wadi Kadja (Sudan) that are tributaries to the larger Chari river that in turn drains into Lake Chad. The Wadi Kadja originates from the western Jebel Marra highlands in North Darfur State and drains the west and southwest of the mountain towards Chari River in Chad. Along the Chadian side of the border the project proposes to focus on the Asoungha department in the Ouaddaï province while on the Sudan side the project will focus on the West Sudan State, on both sides focusing on villages and towns with agricultural potential.

38. **Disa Sandstone Aquifer.** The sandstone covers an area of 300 km² in West Darfur and extends into Chad. Geneina town is situated on top of the eastern part of the Sandstone aquifer. The lithology of the sandstone formation comprises mainly of medium to coarse grained sand, cemented by arenaceous and ferruginous materials, sometimes containing kaolinite and with thin inter-bedded layers of clay, ironstone and conglomerates (gravels). At Jebel Disa the bedding of the sandstone slightly dips to the north. However, the dipping of strata cannot be observed from boreholes data. The total water storage capacity of Disa Sandstone aquifer is calculated as 49.9 x 106m³. Disa Sandstone Aquifer is considered as one of

⁴⁴ Salman, M., Pek, E. and Ahmad, W. 2020. Smart irrigation – Smart wash. Solutions in response to the pandemic crisis in Africa. FAO Land and Water No. 16. Rome, FAO. <u>https://doi.org/10.4060/cb1306en</u>

⁴⁵ Ibid

the key aquifers providing towns in West Darfur, the Asoungha Department, Internally Displaced People (IDP) and refugee camps with water supply requirements.^{47,48}

39. **Water Availability.** The rivers are highly seasonal and according to the Aqueduct Water Risk Atlas⁴⁹, the target area is considered a high-water risk area in terms of water stress; water depletion; and is a high riverine flood risk area. The main water resources are seasonal surface water catchments and alluvial, fractured basement and deep groundwater aquifers. Many rural water systems in Darfur were damaged or destroyed as part of the conflict. High population densities in IDP camps in Darfur and refugee camps in Ouaddaï have created intense water demand, which can lead to diminished and depleted ground water, particularly when rainfall is low. The humanitarian response effort resulted in extensive drilling of boreholes as emergency measures, often in concentrated locations and without conducting coordinated hydrological and hydrogeological surveys. As a result, the ongoing extraction volume, especially in areas where deep wells have tapped into poor aquifers, water resources have been negatively affected and have lowered the water table and dried up some shallower wells.⁵⁰

Food Production

40. Ouaddai. Specific food production baseline information on the proposed project target watershed area is limited, however food production in the region of Ouaddaï can be defined as 'rain-fed cereals and market gardening', with most households also maintaining some animals. In a good year, the combination of rain-fed and off-season grain production coupled with off-season garden production along the wadis has potential to fulfil most food requirements. However, as a combined result of rainfall deficits, crop pests, animal disease outbreaks, over-grazing and environmental degradation, and sale of food stuffs to regions in the north, the zone as a whole is considered food deficient in two years out of three. The staple food crop are millet, groundnuts and sorghum, along with niébé, sesame, and cowpeas with grain crops used for household consumption, animal feed and alcohol production. Ground nuts are of particular importance as they can be transformed into peanut oil and the residue used for animal feed. They therefore generate an important source of household income and subsistence.⁵¹ Garden production comprises garlic, tomato, pepper, gumbo, squash, carrots, lettuce, parsley, melon and watermelon; fruit production includes guava, lemon, bananas and mango. Gathering activities particularly during the dry season, are also important, and conducted principally by women and children. Livestock holding is essentially of the sedentary kind, although the zone also provides important passage-ways for the herds of the transhumant zone in the north that are taken south for grazing. This promotes economic exchange between herders and the local farmers, but also provokes conflicts arising from crop damage by the transhumant herds.52

41. **West Darfur.** The project target area in Western Darfur falls under the FEWSNET category of 'Western Agropastoral Millet' zone that is a narrow agroclimatic zone stretching from the proposed project area on the border with Chad east through Darfur and neighbouring Kurdufan to the south of Khartoum in Central Sudan. This is considered a marginal agricultural zone in which only drought-resistant millet is reliably produced. Mean annual rainfall in much of the area is well under 300mm, at best marginally adequate for millet cultivation but not for cash crops such as groundnuts or sesame, although small amounts may be grown for home consumption.⁵³ Households also grow watermelon, hibiscus, and okra in low-lying areas. Given limited agricultural productivity, livestock sales account for the majority of the better-off and middle groups' cash income, while the incomes of the poor groups mainly come from labour and trade. When the rains fail poorer people may not even be able to harvest a month's supply of grain, and for the one or two extra goats they may have to sell they are likely to receive low prices, while grain prices may be unusually high. Wild foods can be sought, but there is little extra local work to be found, and so the only recourse is migration.⁵⁴

42. **Beneficiaries and Target Groups.** The exact number of beneficiaries will be defined during the design of the full project proposal. The SCCIWM will target the climate-vulnerable rural poor smallholders, engaged in agricultural, pastoral and agro-pastoral activities. The target group is characterised as ethnically diverse and will take into account measures of gender-responsive consultation, participation and inclusion of ethnic minorities where needed. Specific targeting measures

⁴⁷ Mandel, S. and Shiftan, Z. L., (1981): Groundwater Resources, Investigation and Development. Academic Press. New York. Journal of water resource and protection, vol. 6, No. 9, June 26, 2014.

⁴⁸ Ali, K.M., El Sheikh, A.E. and El Khidir, S.O., Determination of Hydrogeological Parameters of Alluvial and Disa Sandstone Aquifers of West Darfur State, Western Sudan. Al Neenlain Journal of Geosciences, vol 3, issue 1, 2019

⁴⁹ https://www.wri.org/aqueduct

⁵⁰ UN Fund for Recovery Reconstruction and Development in Darfur (2014) Increased Access to and Use of Sustainable Water, Sanitation and Hygiene (WASH) Services Underpinned by Improved Integrated Water Resources Management (IWRM) in Darfur. http://mptf.undp.org/document/download/15873

⁵¹ FEWSNET (2011) Chad Rapid Livelihood Zone Profiles https://fews.net/west-africa/chad/livelihood-profile/august-2011

⁵² Ibid

 ⁵³ FEWSNET (2011) Livelihood zoning "Plus" Activity in Sudan. <u>https://documents.wfp.org/stellent/groups/public/documents/ena/wfp239943.pdf</u>
 ⁵⁴ FEWSNET (2015) Rural Livelihoods of Eastern, Central and Northern Sudan

https://fscluster.org/sites/default/files/documents/Sudan%20Profiles%20Final%20en%20%283%29.pdf

will be also adopted to ensure that socially disadvantaged categories such as women, youth and elderly at risk of exclusion, will be included. Gender-responsive affirmative action will be taken into consideration for women participation in activities as well as decision-making processes and community-based organisations (CBO). The entry point of the project will be the CBOs that have already been formed and are operational, or create new ones where they are not, such as the Village Development Committees (VDC) in Sudan and the Groupements in Chad. The final selection of departments within the binational watershed will be assessed considering implementation capacities and potential impact at landscape level; consultations for the full design will be in full compliance with the AF Environmental and Social Policy as well as the Gender Policy.

Threats to the border area

Chad – Ouaddaï

43. The main factors causing food insecurity in Ouaddaï are linked first to low diversification of income sources and despite secondary incomes from growing produce such as tomatoes, onions and gumbo, 40.5% of the population suffers from severe food insecurity. The decrease in grain production in the area is also important considering that 97.2% of the population practices agriculture. Soil depletion is a major factor affecting production and following a period of poor production, locals are heavily dependent on local markets for food, especially during the lean season. The resilience of communities to external shocks on livelihoods such as irregular rains and flooding is also considered to be very weak. Proper hygiene practices are also an issue as just 14% of women with children demonstrated having knowledge of appropriate hand washing techniques. Furthermore, soap products are expensive or can some- times be in short supply and are also principally used for laundry and not for handwashing.⁵⁵

44. Key constraints to agriculture include: loss of soil fertility and lack of fertiliser, crop pests, population pressure on the land, including through the influx of refugees in the zone, loss of land through erosion by wadis, destruction of crops by animals, irregular and poorly timed rains; and post-harvest losses. Most cultivation is done with rudimentary instruments – primarily the hoe, which further limits productivity; tractor use remains rare. Water retention activities to enhance agricultural potential have included installation of weirs (seuils d'appendage) and dams; but these have so far had limited coverage. Key constraints to animal husbandry include lack of sufficient pasture, lack of pastoral water points, lack of veterinary services in the face of animal disease outbreaks and insufficient technical support, including for transformation and marketing of animal products. ⁵⁶

Sudan – Darfur.

45. Population growth, climate change, prolonged conflict, and rapid urbanization have combined to create conditions in Darfur that place the region's water resources under considerable strain. While raw data is scarce, there is a general decline and variability of rainfall over the past 50 years that has resulted in less dependable seasonal surface water availability, increased desertification, increased rates of surface water run-off, increased siltation rates and reduced rates of aquifer recharge. Over the past 30 years, climatic factors and conflict have driven rapid urbanization, and more recently the concentration into urban or peri-urban camps of upwards of 1.7m conflict-affected people. This has resulted in unsustainable rates of local groundwater extraction in some areas.

46. Agriculture and livestock are the two key sources of livelihoods for Darfuris and both are dependent upon rainwater. It is estimated that across Darfur there exist more than 50 surface water reservoirs and 100 major hafirs, along with numerous small storage structures and a scattering of other water harvesting schemes, as well as over 400 deep borehole water yards and thousands of hand pumps. Many rural water supply structures have suffered from conflict destruction and a historic lack of maintenance. Most are used for both animal and human water supply and are in need of water treatment facilities⁵⁷. Their restoration and increase in the coverage of surface and sub-surface water infrastructure will be central to successful integrated water resource management.

Water Health and Sanitation (WASH)

47. **West Darfur.** Water scarcity is a central factor in the border area's struggle to develop. Groundwater levels in the Disa Sandstone Aquifer have shown significant and substantial reduction due to excessive abstraction from the aquifer for

⁵⁵ Patrick McCarty (2016) Case Study Chad, Abdi District of the Ouaddaï region 2015-2016. Action Against Hunger <u>https://linknca.org/article/etude_de_cas_-_district_d_abdi_region_du_ouaddai_tchad.htm?lng=en&</u>

⁵⁶ Watson, C., Dnalbaye, E. and Nan-guer, B., 2018. REFUGEE AND HOST COMMUNITIES IN CHAD: DYNAMICS OF ECONOMIC AND SOCIAL INCLUSION. <u>http://documents1.worldbank.org/curated/en/734861563057353544/pdf/Refugee-and-Host-Communities-in-Chad-Dynamics-of-Economic-and-Social-Inclusion-Report-of-Qualitative-Research-Findings.pdf</u>

⁵⁷ UN Fund for Recovery Reconstruction and Development in Darfur (2014) Increased Access to and Use of Sustainable Water, Sanitation and Hygiene (WASH) Services Underpinned by Improved Integrated Water Resources Management (IWRM) in Darfur. <u>http://mptf.undp.org/document/download/15873</u>

various water utilisation purposes. The groundwater level fluctuations reflect the variation of the atmospheric pressure of the aquifer and the aquifer's water recharging and withdrawal are the most important factors that cause groundwater-level fluctuations. During the daytime, the water level is affected by abstraction, where it ranges from 12.2 to 12.8 m below surface, while the seasonal variation in water level indicates clear water level fluctuation. The water level reaches 12.5m below surface during the summer period, while it reaches a value of 12.1m during the rainy season.^{58,59}

48. Extremely poor water and sanitation conditions throughout the region have led to years of interventions from both humanitarian and development actors, but the situation remains extremely challenging throughout Darfur and Ouaddaï and on average only about half of the population in Darfur states have access to improved water sources. As per 2013 Sudan S3M (Simple Spatial Survey Methodology) around 17% of households in West Darfur have access to improved sanitation facilities.⁶⁰ Water quality monitoring capacity is underdeveloped, and in remote rural areas, is non-existent although the Ministry of Health (MOH) with World Health Organisation (WHO) support have established basic water quality laboratories in each Darfur state with trained personnel. Field missions from the State Laboratory and MOH environmental department, mostly supported by WHO, are organised to take water samples, assess the availability of water and conduct sanitary inspection of water sources and distribution.⁶¹

49. **Ouaddaï.** According to the comprehensive food security and vulnerability analysis conducted by Action Against Hunger in Ouaddaï, only 3% of households use a water source without risk for contamination. Additionally, faecal matter contamination from humans and animals is a major concern as only around 13% of households have access to latrines. Proper hygiene practices are also an issue as just 14% of women with children demonstrated having knowledge of appropriate hand washing techniques. Furthermore, soap products are expensive or can some-times be in short supply and are also principally used for laundry and not for handwashing.⁶² A generally improving security situation in recent years has made access to isolated regions easier, however capacity to sustainably manage WASH services at both community and institutional levels remains a challenge. Lack of clarity and accountability continues to affect the roles and responsibilities of relevant authorities, leading to the absence of clear regulatory framework, politicised decision-making, and waste of extremely limited resources. Key risks in the WASH sector include environmental factors (including groundwater depletion and reduced rainfall), continuing urban migration, and weak water management capacities that contribute to increased risks of disease outbreaks. ⁶³

Gender

50. **In Ouaddaï** an Action Against Hunger survey showed that the role of women in the community was found to affect their well-being and the nutrition of their children and their high workload was linked with infants' undernutrition due to the mother's general unavailability to be able to provide care. According to the survey 32% of local women reportedly were too busy to care for their young children. The research shows that the workload for women is higher than that for men and women are expected to carry out all domestic duties as well as collect well water and fire wood and buy and sell food in the local markets. Women are also the principal source of manual labour. In addition to tending their own plots, women are expected to work as agricultural day laborers, which is a key source of income during the lean season. Men and women alternate between working their own land and working other fields.⁶⁴

51. **In Darfur** women have largely been excluded from the Native Administration and from leadership positions among traditional authorities. There have been small steps toward women's participation in traditional structures although most female leaders attend primarily to women's affairs. Furthermore, there are perceptions that traditional structures and

⁵⁸ Mandel, S. and Shiftan, Z. L., (1981): Groundwater Resources, Investigation and Development. Academic Press. New York. Journal of water resource and protection, vol. 6, No. 9, June 26, 2014.

⁵⁹ Ali, K.M., El Sheikh, A.E. and El Khidir, S.O., Determination of Hydrogeological Parameters of Alluvial and Disa Sandstone Aquifers of West Darfur State, Western Sudan. Al Neenlain Journal of Geosciences, vol 3, issue 1, 2019

⁶⁰ Sudan National S3M (2013): Report of a Simple Spatial Surveying Method (S3M) survey in Sudan. Federal Ministry of Health, Sudan. 2013.

https://www.coverage-monitoring.org/wp-content/uploads/2014/12/Sudan S3M- 2013 FINAL-Endorsed-EXECUTIVE-SUMMARY_25Nov2014.pdf ⁶¹ UN Fund for Recovery Reconstruction and Development in Darfur (2014) Increased Access to and Use of Sustainable Water, Sanitation and Hygiene (WASH) Services Underpinned by Improved Integrated Water Resources Management (IWRM) in Darfur. http://mptf.undp.org/document/download/15873

⁶² Ibid

⁶³ Darfur Development Strategy Review Steering Committee (Oct. 2019). Review of the Darfur Development Strategy 2013-2019 – Consolidated Review Report. Trias Consult. <u>https://undarfurfund.org/content/dam/darfurfund/docs/dds-review/undp-darfurfund-documents-DDS-Review-Volume-I-Oct-</u> 2019.pdf

⁶⁴ Patrick McCarty (2016) Case Study Chad, Abdi District of the Ouaddaï region 2015-2016. Action Against Hunger https://linknca.org/article/etude_de_cas_-_district_d_abdi_region_du_ouaddai_tchad.htm?lng=en&

customary courts perpetuate patriarchal and social norms that may circumscribe women's access to justice, with particularly serious implications for disputes related to land or sexual and gender-based violence.65

Gender and Climate Change

Climate change severely affects the poorest and most vulnerable populations, particularly women and girls because 52. of the increased time burden, reduced economic opportunities, and health implications associated with increasingly scarce resources and the disproportionate exposure to risk from climate-induced phenomena such as droughts and floods compared with men. In the West Darfur - Ouaddaï border area climate change and environmental degradation are leading to deteriorating soil quality, water scarcity, severe droughts and floods which all have a disproportionate effect on women and girls. For example, women and girls are often the most affected by water shortages as they need access to water for tasks such as cooking, cleaning, and bathing children. In times of scarcity, they also restrict their own personal use, which can lead to psychological and physical discomfort during menstruation.

53. Climate change has a differential gender impacts on women and girls; the involvement of women on an equal basis with men in all climate and environment-related decision-making processes is essential to ensure a gender-responsive adaptation to climate change and resilience in the face of climate-induced disasters. Water and sanitation services for example, are often more effective and more sustainable if women have an active role in designing, planning, and operating facilities and programs. Women can also play an important role in educating their families and the community about good hygiene.

Social Structure

54. West Darfur, has a population of more than 1.7 million is ethnically mixed although African groups predominate: in Geneina and Habila provinces the Masalit are the majority (60 percent), followed by the Arabs and other Africans, namely, Zaghawa, Erenga, Gimr, Dajo, Borgo and Fur. In Zalingei, Jebel Marra, and Wadi Salih provinces the Fur predominate. In Kulbus province approximately 50 percent is Gimr, 30 percent Erenga, 15 percent Zaghawa, and 5 percent Arab. Together the Fur and the Masalit comprise the majority of the population of West Darfur. Dar Masalit, or homeland of the Masalit,⁶⁶ is located around the state capital Geneina and north and south along the border.67

Ouaddaï is multi-ethnic retaining its historical Islamic identity, with the main ethnic groups being the Massalit; 55. Assanghouris and other groups such as the Maba, Mimi, Tama as well as Zaghawa, Arab and Goran. Although the region is ethnically diverse, villages themselves are largely ethnically homogeneous.⁶⁸

Conflict and Refugees

In a sign of the improving security situation in Darfur, the joint United Nations-African Union mission in the Darfur 56. region of Sudan (UNAMID) confirmed the decision to close the mission, which followed the unanimous adoption of a Security Council resolution on 22 December 2020, and progress made by the transitional Government of Sudan in addressing the conflict in Darfur. This follows developments in October of the same year when a milestone peace agreement was reached between the Sudanese authorities and two armed groups in Darfur, some two years after the Sudanese Revolution, which led to the overthrow of longstanding leader, Omar Al-Bashir, in April 2019.69

57. Sudan has experienced nearly continuous conflict since independence in 1956, and has required external emergency assistance every year since 1984. Over the past fifty years, humanitarian crises in Sudan have been the result of inequality

⁶⁵ World Bank (2013) Brief overview of gender issues in Darfur http://documents1.worldbank.org/curated/en/715571468311372234/pdf/862970BRI0Box30ogica0DissNoteDarfur.pdf ⁶⁶ Dar roughly corresponds to homeland or home territory.

⁶⁷ Human Rights Watch (2004) https://www.hrw.org/reports/2004/sudan0504/4.htm#_ftn2

⁶⁸ Watson, C., Dnalbaye, E. and Nan-guer, B., 2018. REFUGEE AND HOST COMMUNITIES IN CHAD: DYNAMICS OF ECONOMIC AND SOCIAL INCLUSION. http://documents1.worldbank.org/curated/en/734861563057353544/pdf/Refugee-and-Host-Communities-in-Chad-Dynamics-of-Economic-and-Social-Inclusion-Report-of-Qualitative-Research-Findings.pdf

in the distribution of wealth and power between centre and periphery, of conflicts and displacement, as well as drought and economic crisis. The Sudan regime created famine and food crisis in the periphery of Darfur among others, in 1991, 1996, 2001, and a severe conflict-created humanitarian crisis in Darfur from 2003 onwards. The United Nations Office for the Coordination of Humanitarian Affairs (UNOCHA) estimates that 9.3 million people are in need of humanitarian assistance in 2020 including 1.9 million Internally Displaced People (IDP) and 1.1 million refugees with around 330,000 in Chad.^{70,71}

58. The 2016 OCHA humanitarian profile for the region of Ouaddaï reports that refugees (numbering 116,687) make up 6.5% of the total regional population of 892,981⁷². They are installed in four camps: the first one opened in 2004 is Farchana (area of 1,720,000 m², with a population of 28,552 refugees, the majority Massalit (95%) in 6,814 households); the second, is Bredjing (population 45,558; third Treguine (area 1,270,000 m², with a population of 24,471, also majority Massalit (98%) in 5,810 households; and the fourth is Gaga, opened in 2005, and the only one still accommodating incoming refugees, with a current population of 24,857, 85% Massalit, along with Zaghawa (4%) and Fur (4%) in 5,792 households.⁷³

PROJECT OBJECTIVES:

List the main objectives of the project

59. The project objective is to strengthen the regional agro-ecology and sanitation resilience to climate change and COVID-19 in the border area between Chad and Sudan, by enhancing early response capacity to drought and flood events, improving water availability, water use efficiency, and promoting adaptive agriculture production systems and multipurpose water technologies for Disaster Risk Reduction (DDR) improved livelihoods, food security and sanitation of rural households.

60. The project will be structured around four components:

- i. Developing integrated water resource information systems for climate change adaptation in regional agriculture and food systems
- ii. Reducing climate vulnerabilities through improved access to water for multiple uses
- iii. Improving food security through climate-resilient agricultural practices and technologies
- iv. Enhancing regional cooperation on water resource development-based food security and climate change adaptation in agricultural and policy development

PROJECT COMPONENTS AND FINANCING:

Fill in the table presenting the relationships among project components, outcomes, outputs and countries in which activities would be executed, and the corresponding budgets.

Project Components	Expected Outcomes	Expected Outputs	Countries	Amount (US\$)
Component 1: Developing integrated water resource information systems for climate change adaptation in regional agriculture and food	Outcome 1 Enhanced adaptive capacity through water resource assessment	Output 1.1 Regional tool developed and implemented for the identification and monitoring of water availability supporting decision making in drought and flooding planning and early response	Chad Sudan	300,000
systems	and monitoring to increase food security and agriculture preparedness	Output 1.2 Water monitoring system implemented and people-centred EWS plans developed	Chad Sudan	2,200,000

⁷⁰ OCHA (2018) Sudan Humanitarian Overview <u>https://www.humanitarianresponse.info/en/operations/sudan/infographic/darfur-humanitarian-overview-1-oct-2018</u>

⁷¹ Susanne Jaspars, Bedreldin Shutta (2020) One Year on: Sudan's Fragile Humanitarian Situation. <u>https://www.ispionline.it/it/pubblicazione/one-year-sudans-fragile-humanitarian-situation-26283</u>

⁷² OCHA (2016) Profil humanitaire de la region du Ouaddai (août 2016) <u>https://reliefweb.int/report/chad/tchad-profil-humanitaire-de-la-r-gion-du-ouaddai-ao-t-2016</u>

⁷³ UNHCR Farchana (2018) 'Bredjing Camp Profile' http://documents1.worldbank.org/curated/en/734861563057353544/pdf/Refugee-and-Host-Communities-in-Chad-Dynamics-of-Economic-and-Social-Inclusion-Report-of-Qualitative-Research-Findings.pdf

Project Components	Expected Outcomes	Expected Outputs	Countries	Amount (US\$)
Component 2: Reducing climate vulnerabilities through improved access to	Outcome 2 Improved water supply augmentation and integrated water resource management through conjunctive and multiple water use	Output 2.1 System-level water management implemented through conjunctive water use to improve sustainable access to water resources	Chad Sudan	4,000,000
uses		Output 2.2 On-farm and household climate adaptive water management enhanced through multiple water use systems to increase water efficiency	Chad Sudan	2,000,000
Component 3 Improving food security through climate-resilient agricultural practices and technologies	Outcome 3 Livelihood activities made climate	Output 3.1 Evidence-based climate- resilient agricultural practices capacity enhanced to increase productivity and production	Chad Sudan	680,000
	through application of climate- resilient agricultural practices	Output 3.2 Sustainable climate-resilient livelihoods promoted through integrated water management, improved climate resilient agricultural and livestock management and optimised water resource use	Chad Sudan	2,000,000
Component 4Outcome 4Enhancing regional cooperation on water resourceRegional adaptive capacity for food security and climate change adaptation in agricultural and policy developmentOutcome 4Regional adaptive regional cooperation increasedRegional adaptive capacity for food security through regional cooperation increased		Output 4.1 Role of integrated water management in climate change adaptation strengthened through regional platform	Chad Sudan	500,000
Project Cost	11,680,000			
Project Execution Cost	1,225,000			
Total Project Cost	12,905,000			
Project Cycle Management Fee charged by the implementing Entity (8.5%) 1,095,000				
Amount of Financing	14,000,000			

PROJECTED CALENDAR:

Indicate the dates of the following milestones for the proposed project

Milestones	Expected Dates
Start of Project Implementation	2022
Mid-term Review	2025
Project Closing	2027
Terminal Evaluation	2027

PART II: PROJECT JUSTIFICATION

21. PROJECT COMPONENTS

Describe the project components, particularly focusing on the concrete adaptation activities, how these activities would contribute to climate resilience, and how they would build added value through the regional approach, compared to implementing similar activities in each country individually.

61. The SCCIWM concept proposal places sustainable water management at the heart of this integrated water management (IWM) project. The project follows a four-pronged pilot approach aiming to i) develop a regional integrated water resource information system that identifies and monitors water harvesting sites; ii) improves access to, and storage of, river, rainfall and floodwater for improved agricultural productivity; iii) promotes climate-resilient agricultural and water saving techniques, and iv) promotes regional cooperation to mainstream the integrated water systems management pilots into national and regional platforms hereby reaping the full benefits of the potential spillover effects of a shared scale-up exit strategy. To achieve this, this AF-funded pilots, bring together and upscale proven technologies and innovative approaches from previous FAO pilot projects in Lebanon, Morocco, Uganda and Burkina Faso into one regional project applying the lessons learned and best practices to the regional context of the selected Sahelian countries.

62. The project will benefit from the lessons learned and will upscale a FAO pilot in Lebanon: The 'Improved Water Resources Monitoring System / Integrated Water Resources Management at regional level in Lebanon project'.⁷⁴ The aim of the Lebanon project was to improve the performance the capacity of regional water management institutions through the establishment of a multidimensional water monitoring system. This system brought together the four major aspects of water monitoring, namely the climate features, discharge, water quality and agricultural water use. The project enabled decision-makers to create an evidence-based management mechanism and to provide reliable and equitable water service to endusers. It also enhanced the adaptive capacities of the agricultural water users by providing spatial and real-time information on water scarcity. The AF project will benefit from the accumulated experience on creating complex data acquisition, monitoring and information system through combined approaches.

63. Secondly, the SCCIWM will also upscale the "Strengthening Agricultural Water Efficiency and Productivity on the African and Global Level (SAWEPAGL)"⁷⁵ project that focused on Improved Agriculture Water Management (AWM) and mainstreaming AWM in national frameworks and processes with the objective to reduce hunger and poverty in Burkina Faso, Morocco and Uganda. The programme covered 5 key areas: i) Water accounting; ii) Crop water productivity; iii) Water harvesting for agriculture; iv) Agricultural water policy; and v) Water use efficiency. The AF project will benefit from the knowledge that resulted from the SAWEPAGL through the guidelines that have been produced, these include a i) Field guide to improve crop water productivity in small-scale agriculture⁷⁶; ii) Field guide to improve water use efficiency in small-

⁷⁵ FAO (2014) STRENGTHENING AGRICULTURAL WATER EFFICIENCY AND PRODUCTIVITY ON THE AFRICAN AND GLOBAL LEVEL

http://www.fao.org/agwa/news-events/details/en/c/242109/

⁷⁴ FAO, 2020. Improved Water Resources Monitoring System/Integrated Water Resources Management at regional level in Lebanon <u>http://www.fao.org/3/cb1438en/cb1438en.pdf</u>

⁷⁶ Salman, M., Pek, E., Fereres, E., Garcia-Vila, M. 2020. Field guide to improve crop water productivity in small-scale agriculture. Rome. FAO. https://doi.org/10.4060/ca8443en

scale agriculture;⁷⁷ iii) Policy guide to improve water productivity in small-scale agriculture;⁷⁸ iv) Policy guide to improve water use efficiency in small-scale agriculture;⁷⁹ and v) An assessment of the best practices relating to 42 water harvesting techniques already extensively applied in Uganda, Burkina Faso and/or Morocco.⁸⁰ The project successfully piloted water-use efficiency and water harvesting measures in specific command areas in Sub-Saharan Africa. Given the fact that these measures involved mainly but not exclusively system-level development, they have high potential for upscaling and replication.

Component 1: Developing integrated water resource information systems for climate change adaptation in regional agriculture and food systems

Outcome 1 Enhanced adaptive capacity through water resource assessment and monitoring to increase food security and agriculture preparedness

64. Climate change affects global water resources by reducing the predictability of water availability and affecting water quality. Climate change also increases the occurrence and magnitude of extreme weather events, such as storms, floods and droughts harming ecosystems and societies, threatening biodiversity and sustainable social-economic development. This has major implications for water resources and the management of these water resources within a given country and across its borders. The world's poorest and most vulnerable women, men, children and elderly face the greatest risks associated with increased food insecurity, human health, energy production, and biodiversity hereby exacerbating existing social inequalities that can lead to further social strife, forced migration and conflict.

65. The impacts of climate change on the availability of water resources affect the poor disproportionately through their effects on agriculture, health and natural disasters. The rural poor largely rely on rainfed agriculture or livestock to sustain themselves and their families, all of which are highly climate- and water-dependent and therefore at risk to hydrometeorological variability. With increased rainfall variability they will become increasingly vulnerable and their opportunities for rising out of poverty will be reduced. As a consequence, agricultural production shocks may trigger significant increases in the price of food and lead to food insecurity. As poorer households spend a significantly larger share of their income on food, they will be the most impacted.

66. Climate change generates additional risks to water-related infrastructure, revealing also pre-existing threats to water management. Increased weather uncertainties require enhanced resilience better water monitoring capacities that improves flexibility and is focused on addressing risks, especially for people most at risk from poverty and vulnerability. National and regional water information and monitoring systems are essential to water governance and are fundamental to address challenges in service delivery; information and monitoring are key elements of any effective water service mechanisms. While water monitoring allow users to see the quality of the services delivered and to rationalize and reduce water use, it also enables institutions to track progress across a range of indicators related to water availability. As they are grounded in context-specific data acquisition, advanced information and monitoring systems can be turned into forecasting, and eventually early-warning systems. These indicators and monitoring technologies need to be adapted, developed and tailored to those in need and best suited to the priorities and resources of the central and eastern Sahel region and each individual and country.

Output 1.1 Regional tool developed and implemented for the identification and monitoring of water availability supporting decision making in drought and flooding planning and early response.

67. Despite significant progress in strengthening early warning systems across the world, disasters are increasing in frequency and severity in most areas caused by climate change and increased climate variability. Many developing countries, have not benefited as much as they could have from advances in the science, technology and governance behind early warning systems. The societal benefits of early warning systems have until now been spread unevenly and significant

⁷⁷ Maher Salman, M., Pek, E. and Lamaddalena, N. 2019. Field guide to improve water use efficiency in small-scale agriculture – The case of Burkina Faso, Morocco and Uganda. Rome, FAO. <u>http://www.fao.org/documents/card/en/c/ca5789en/</u>

⁷⁸ Salman, M., Pek, E., Fereres, E. & García-Vila, M. 2020. Policy guide to improve water productivity in small-scale agriculture - The case of Burkina Faso, Morocco and Uganda. Rome, FAO. <u>https://doi.org/10.4060/CA7596EN</u>

⁷⁹ Salman, M., Pek, E. and Lamaddalena, N. 2019. Policy guide to improve water use efficiency in small-scale agriculture – The case of Burkina Faso, Morocco and Uganda. Rome, FAO. <u>http://www.fao.org/documents/card/en/c/ca7144en/</u>

⁸⁰ Maher Salman, Lisa Bunclark & Motasem AbuKhalaf, 2016. Strengthening agricultural water eciency and productivity on the African and global level, FAO. <u>http://www.fao.org/3/a-i5976e.pdf</u>

gaps remain, especially in reaching the "last mile" - the most remote and vulnerable populations at the community level with timely, understandable and actionable warning information), including lack of capacities to make use of the information.

68. Through output 1.1, the Adaptation Fund will support a regional training programme hosted by the regional platform developed in component 4, for decision makers and water-sector actors (Non-Governmental Organisations 'NGOs' and civil society) on evidence-based decision making on water harvesting development. Component 1 will also develop an EWS based on the extensive work already carried out in Lebanon on designing a water monitoring system and the Early Warning Early Action (EWEA) programme in Sudan. With AF support the SCCIWM will develop an integrated regional database of water usage and the potential for water harvesting that will form the basis of the innovative e-platform. The regional information system will function as a gap-filler and while there have been considerable efforts globally to exploit the water harvesting potential in particular in Africa, this project brings together the FAO-piloted approaches in a scalable platform into one.

69. Output 1.1 will develop a highly flexible tool to systematically analyse the relevant information retrieved from the activities of output 1.2 and also combine remote-sensed and crowed-sourced information on geography, hydrology, environment including climate, agriculture, technical and socio-economic dimensions. This will feed into a regional GIS-based information system storing project-specific information and convert it into a user-friendly assessment tool integrating the data coming from field devices (as part of output 1.2). Such a computer-based tool will be multiple purpose; it will form the foundation of a multicriteria system for developing water harvesting sites or structures, harvesting potential will be measured and these sets of information applied. The methodology would be integrated into a user-friendly platform, hosted for example, by the Google Earth engine and be used for early-warning through the provision of real-time data on climate, the environment and hydrology. The information will be shared amongst neighbouring areas and countries to support the coordination and preparedness for any hazard such as drought and floods.

70. Farmers will be supported by easy-to-understand information. Monitoring gives a picture about the current situation, and this pool of collected data will be converted into useful information for a people-centred EWS (output 1.2) as well as policy makers at the national and regional level and other national and international multilateral and bi-lateral development and humanitarian agencies. This user-friendly software integrates two modules: 1) highly accurate water monitoring directly for project beneficiaries and 2) assessment tool for water harvesting potential at regional scale. The monitoring module visualises the measurement points with an analysis function to facilitate the decision-making, and also with the function of high-frequency reporting. The reporting function is responsible to deliver information to communities through extension-services or for example water user associations. The tool will also form the core framework for scalable water harvesting assessment methodology that will guide decision-makers towards evidence-based water resource development. The obtained information will support the identification and prioritisation of water harvesting sites on the basis of their potential contribution to livelihood development. Remote assessment of water harvesting sites will greatly facilitate the planning processes, thus providing a robust tool for further project formulations. The project, therefore, will have a long-term impact on water-based multisector development.





Activities under output 1.1:

- Activity 1.1.1 Regionally recognised training programme on evidence-based decision making on water harvesting development; training on water accounting and implications for national policy development.
- Activity 1.1.2 Software developed and databases compiled and integrated on climate, water use and water harvesting potential (flood and rainwater) in the region.
- Activity 1.1.3 A regional and national e-platform developed for climate services (agroclimatic; early-warning), water needs and flood and rainwater harvesting potential including existing facilities and condition; new facility assessment through geographical, environmental and socio-economic suitability assessments.

Output 1.2: Water monitoring system implemented and people-centred EWS plans developed

71. In output 1.2, the project will pilot a bottom-up approach for water monitoring. This kind of bottom-up monitoring system is established directly for informing communities in the selected pilot sites at micro-level. Each pilot site will be equipped with in-situ data acquisition devices, which will be directly connected to a local knowledge institution / NGO here referred to as Service Provider (SP). The SP will be responsible for the installation operation, monitoring and data generation to feed into output 1.1. An assessment will be conducted on the most appropriate technological solutions that will be implemented by the SP to ensure that the project does not fall into the sophistication trap whereby the technology used is too sophisticated for effective operation and maintenance.

72. The monitoring system will follow the water balance concept of matching water demand and water supply. These two elements are needed to create effective water distribution and to significantly improve sustainable water management. The monitoring devices will be selected based on their capacity to capture the entire water distribution system and integrate four interrelated aspects: water quantity, water quality, climate and multiple water use. The project is designed to facilitate multiple water use to increase overall efficiency, and the system will be configurated and calibrated based on the requirement of water uses and user-friendly technology. The project will use field devices that provide real-time data acquisition and through output 1.1 ensure the data can be utilised and interpreted to obtain readily available information that climate-vulnerable communities can use. The system will be fully integrated into the project components and also monitor the macro- and micro-level water infrastructure resulting from component 2.

73. **People-centred Early Warning Systems (EWS).** Thanks to significant technical and technological advances that bring about new ways to detect risks and issue warnings, EWS has more potential to save lives and livelihoods and contribute to building a more resilient community. However, if an EWS does not serve the people it is targeted to protect and empower, its effectiveness will be limited. It is essential that communities receive clear and relevant messages regarding hazards, which lead to practised and informed responses. Many sectors and levels of society should be involved in a people-centred system, in which education and awareness-raising are central.

74. To have an effective EWS, both of these approaches are crucial. Firstly, community participation is required to map needs, risks and vulnerabilities. Also, their involvement can lead to ownership and legitimacy to ensure that warnings lead to actions. Secondly, the early warning indications / messages from national, regional and global monitoring systems for specific risks, particularly those weather-related, need to reach the community level. Communities cannot achieve what these scientific systems can do, but on their own they are not effective unless they receive information from these risk monitoring systems and respond to the information appropriately.⁸¹

Activities under output 1.2:

- Activity 1.2.1 Training and technical capacity building of Service Providers on water and climate related information acquisition.
- Activity 1.2.2 Deploying real-time water monitoring systems for surface and groundwater resources with five dimensions of :crowed-sensed multiple water uses (irrigation, livestock, household), water quantity, water quality, and agro-meteorological service in pilot sites.
- Activity 1.2.3 Advancing decentralized prototype water monitoring system for communities in 3 pilot sites incorporating the data acquisition from monitoring systems, data storing, data analysis and sharing, and m-learning module.
- Activity 1.2.3 Community and SP capacity building for design and implementation of people-centred EWS response plans.

⁸¹ Yolanda Cowan et.al (2014) Community-Based Early Warning Systems – Key Practices for DRR Implementers. FAO and OCHA http://www.fao.org/3/a-i3774e.pdf

Component 2: Reducing climate vulnerabilities through improved access to water for multiple uses

Outcome 2 Improved water supply augmentation and integrated water resource management through conjunctive and multiple water use

75. The Sahel region has historically been characterised by increasing temperatures, severe droughts and intense rainfall; due to climate change these extremes are predicted to increase even further in intensity by mid-century. Extreme droughts in the Sahel region have caused extensive socio-economic and environmental damage as well as having been the cause of conflict. In the Sahel frequently insufficient rainfall and water extraction cause surface water tables to dry up leading to regular water shortages.⁸²

76. Intense rainfall on semi-arid land causes flash flooding which also have devastating impacts destroying houses, infrastructure, property, cultivated crops, and threatens lives, especially in arid regions. Usually, flash floods are produced mostly in the absence of vegetation during a short time after a rainfall event. The risk and the intensity of flash floods are determined by different factors, such as the intensity of the rainfall, its location and distribution, vegetation cover and its type, and the soil water content and type. In the absence of vegetation and on dry land, intense rainfall does not replenish groundwater supplies and instead flows overland causing devastation. ^{83,84}

77. **Conjunctive Water Use (CWU).** The increasing acuteness of water scarcity problems, worldwide and in the Sahel in particular, requires the adoption of a double approach of balancing the management of water supply and demand. Communities are already using groundwater for multiple purposes in the area. However, groundwater resources are highly vulnerable and without effective recharge, they are prone to depletion. The shrinking aquifers are widespread phenomenon in semi-arid areas, where communities withdraw groundwater resources to supply their needs. Uncontrolled and unmonitored groundwater use, however, poses both environmental and social risks. There is an enormous need to balance water use between surface and groundwater, and assess the recharge needs and inflows of aquifers. Conjunctive use of surface and groundwater consists of harmoniously combining the use of both sources of water in order to minimise the undesirable physical, environmental and economic effects of each solution and to optimise the water demand / supply balance. In order to ensure underground water can be used as part of the Conjunctive Water Use without causing additional water stress, CWU includes the active augmenting of underground water supplies. The artificial recharge of aquifers can be achieved inter alia using two different methods, namely water harvesting and recharge wells. These include spate irrigation; check dams; water management and water harvesting.

78. **Multiple Water Use (MWU).** As part of CWU is an innovative approach to adapting to water scarcity namely the multiple use of water. Multiple water use (MWU) can provide vulnerable users with low-cost services for domestic water, water for agriculture (irrigation, rain fed), homestead, garden, water for cattle, and rural enterprise water supplies. Multiple use systems also support important functions that are essential for local well-being and livelihoods including flood control, groundwater recharge, water harvesting and water purification. MWU is an essential strategic approach to increase water use efficiency, as multiple use allows for integrated management of resources. It is a closed system, whereas non-consumptive water needs can be re-used for other needs. Diversification of water sources and of productive activities is instrumental in increasing local community resilience and management to climate shocks. In component 2 investment packages are divided into two levels of intervention, system level (output 2.1) and on-farm level (output 2.2). The system-level packages showcase possible engineering solutions aimed at water harvesting, groundwater augmentation and distribution. The on-farm packages will be aimed at providing engineering solutions to decrease evapotranspiration, hereby improving water productivity and water use efficiency without compromising yield productivity. In each case, investments in multiple water use combine on-farm and system-level solutions in order to enhance climate-resilience and the sustainability of SMART Irrigation – SMART WASH development.

Output 2.1 System-level water management implemented through conjunctive water use to improve sustainable access to water resources

79. In output 2.1 the project promotes the augmentation of underground water, water harvesting, and water use efficiency at macro / system-level and structures for water distribution. In order to be able to promote the MWU it is essential to identify the most efficient water allocation strategies whereby to supply sufficient water for any kind of use that in the context of this project, will be specifically for agriculture, livestock and non-drinking domestic use. To this end needs-assessments and feasibility studies will be conducted to identify and target appropriate technologies for the given geological, hydrological and

⁸² UNAMID (2016) <u>https://unamid.unmissions.org/darfur-struggles-obtain-water-midsummer</u>

⁸³ https://reliefweb.int/report/sudan/homes-roads-swept-away-central-darfur-flash-floods

⁸⁴ https://news.un.org/en/story/2020/08/1069502

socio-economic conditions; the SCCIWM will subsequently design and implement water infrastructure with multiple outlets. The infrastructure proposals will be screened based on selection criteria including water availability, investment need, the feasibility of the engineering design and socio-economic factors. The diagrams below illustrate a number approaches ranging from traditional technologies such as water harvesting structures to innovative methodologies such MWU for irrigation and household use. These will include but not be limited to water harvesting in dams, ponds, hill lakes, retention basins as well as groundwater recharge in pilot areas.

80. A key element of this output as with all aspects of this proposal, is that of knowledge management in the form of awareness raising and capacity building at regional, national and local levels on system-level / macro-level CWU, MWU. Knowledge management, training and awareness raising about climate change and sustainable water use and reuse will be key to ensure project sustainability. The training will also cover operational and maintenance aspects of the CWU and MWU infrastructure; drought and flooding EWS training; awareness raising about need for the installation and operation of water-level monitoring technology; and basic sanitation and COVID-19 awareness raising.





Figure 3 Traditional cisterns for rain harvesting⁸⁶



⁸⁶ Ibid

⁸⁵ Salman, M., Pek, E. and Ahmad, W. 2020. Smart irrigation – Smart wash. Solutions in response to the pandemic crisis in Africa. FAO Land and Water No. 16. Rome, FAO. https://doi.org/10.4060/cb1306en

Figure 4 Infiltration gallery⁸⁷



(left) Along a main irrigation canal, infiltration galleries are installed as multiple water source points for domestic water use. When used as a gravity-fed system, this eliminates the need for pumping.

Activities under Output 2.1.1 will include:

- Activity 2.1.1 Regionally recognised training programme conducted on conjunctive and multiple water use techniques for climate-similar target areas to achieve impact-at-scale
- Activity 2.1.2 Technical capacity of communities developed on participatory management of MWU structures and raising awareness about responsible groundwater use and resource conservation and management. The training will include community-wide consultations and awareness raising as well as community leaders, NGOs, extension service, technical staff, Water User Associations (Sudan) or Groupements (Chad).
- Activity 2.1.3 Studies conducted and multiple water use structures designed and implemented (dams, ponds, check dams, hill lakes, retention basins etc.) for irrigation, livestock and household purposes, applying conjunctive water use of harvested flood- and rainwater and artificially recharged groundwater.
- Activity 2.1.4 Experience-exchange on mainstreaming water-saving techniques through regionally recognized information campaign.

Output 2.2 On-farm and household climate adaptive water management enhanced through multiple water use systems to increase water efficiency

All components of the SCCIWM project will form part of the integrated water management approach being adopted. 81 The project will complement efforts to build resilience to climate events such as irregular precipitation and drought. It will improve food security and basic sanitation hereby reducing the incidence of infectious diseases and COVID-19; output 2.2 will focus on the implementation of micro-level on-farm efficient water saving irrigation systems, such as among others the restoration of irrigation canals, multipurpose irrigation systems and spate irrigation systems. Another innovation that this project will introduce is the previously piloted and tested root zone technology (see figure below). Following the required feasibility studies for location siting, the root zone technology involves an artificially constructed wetland for nature-based solutions to wastewater management. The innovative technology is effective for treating chemical as well as organic pollutants and for the filtering of physical particles. The approach is to divert the wastewater into the wetland where it acts on three levels involving rootzone, soil and gravel layers. Through aerobic processes in the rootzone it degrades organic and chemical components which are then further decomposed in the soil under the rootzone. Finally, the gravel layer acts as a mechanical filter, filtering any remaining small physical particles. The advantages of the root mechanical wetland root zone technology are multiple: it is easy and inexpensive to operate; it is unaffected by periods of temporal water scarcity as it can stand dry; and if well maintained, it can last for up to 60 - 70 years. Lastly a by-product of the root zone technology is that it produces significant amounts of biomass that can be used for carbon-neutral energy purposes. The rootzone technology contributes to safe environment, as increased water quality is suitable for fresh vegetable irrigation.

82. As with each output, output 2.2 will also have a knowledge management and capacity building activity. This will be focused on training and demonstrating the technology mainstreaming the other elements of the IWM system in this project including the EWS, awareness raising about climate change sustainable water use, sanitation and COVID-19. Training and

⁸⁷ Ibid

consultations will be delivered to government extension services, water user associations (WUAs), NGO Service Providers, and members of the local communities through training sessions, workshops, demonstrations and townhall events.

Figure 5 Constructed wetland in surface system



Activities under Output 2.2 will include:

- Activity 2.2.1 Developing resource-efficient water supply systems in pilot areas through the upgrade of existing irrigation systems (canals, spate systems, etc.) for multiple purposes of irrigation, livestock and household, applying water purifying nature-based solution (rootzone technology).
- Activity 2.2.2 Training and technical capacity building of government extension services, Service Providers (NGOs, extension services and or other local knowledge institutions) and communities on multiple water use and the operation and management of rootzone technology. This will include the production of leaflets, awareness raising workshops, townhall events, community consultations.
- Activity 2.2.3 Experience-exchange on mainstreaming water-saving techniques through regionally recognized information campaign.

Component 3 Improving food security through climate-resilient agricultural practices and technologies

Outcome 3 Livelihood activities made climate-resilient through the application of climate-resilient agricultural practices

83. Global temperature increases will adversely impact the project target areas and the vulnerable sectors including rainfed agriculture and surface and groundwater resources. This ultimately increases the vulnerability of certain communities, such as poor farmers, pastoralists and generally communities that rely on rainfed agriculture. The impact of climate change will not only be limited to temperature increases that are projected to increase by up to 3°C by 2050, but also increasing rainfall variability with increased frequency of both droughts and floods. ⁸⁸ Communities that are most vulnerable to droughts and floods on the border area between West Darfur (western Sudan) and Ouaddaï (eastern Chad) are pastoralists, poor farmers, and generally poor families with senior members, children, and women.

84. Agriculture in the region is already inherently complex, and risk-prone, and farmers have long struggled to respond to challenging environmental conditions. Depending on the location and year, they face either moisture or soil fertility constraints as their primary challenge.⁸⁹ The majority of the adaptive crop-based agricultural technologies that have been applied in the region generally target these constraints and can be loosely clustered into three categories responsive to

⁸⁸ Sayed, M.A. and B. Abdala. 2013. Sudan Environmental and Climate Change Assessment. ECCA No. 3226-SD Rome: International Fund for Agricultural Development (IFAD).

⁸⁹ Brower, J. and J. Bouma, 1997. Soil and Crop Growth Variability in the Sahel: Highlights of Research (1990-95) at ICRISAT Sahelian Center. Information Bulletin No. 49. ICRISAT and the Agricultural University of Wageningen. Patancheru: ICRISAT.

climate stressors: moisture capture; supplemental water supply; and soil fertility enhancement. Many of the technologies contribute to more than one core function, while others represent composite technology packages, composed of several related practices for example check dams, compost and manure applications, and conservation agriculture.⁹⁰

Output 3.1 Evidence-based climate-resilient agricultural practices capacity enhanced to increase productivity and production

85. Output 3.1 will focus on the knowledge management and capacity building requirements of output 3.2, aiming to build the climate-resilience of the agricultural livelihoods of climate-vulnerable rural communities in Chad and Sudan. The output will build the adaptive capacity of key agriculture practitioners – farmers, farmers' organizations, and extension agents - to acquire a more professional profile for sustainable agricultural production and adopt proactive measures towards the adaptation of agronomic systems to climate risks and climate change predictions. The process will start with a mapping and conducting an inventory of existing and ongoing experiences on climate-resilient water harvesting, efficient irrigation and sustainable agriculture systems and techniques. The project will then develop the capacity and work with the Community-Based Organisations (CBOs) such as Village Development Committees (VDCs) and Groupements⁹¹, government field extension agents and NGOs through farmer field schools. The project will ensure sustainability by training Village Agriculture Technicians (VAT) who will act as resource persons to provide extension and advice to local farmers. The AF project will empower VATs to effectively guide farmers in the process of mainstreaming climate change adaptation, improved water use practices and natural resource conservation as well as the diversification of livelihoods and income generation.

86. Training will focus on nutrition-sensitive and climate-resilient technologies such as grow-bags, wicking beds, drought tolerant crops, diversification in production and water efficient practices. It will also include the use of climate resilient practices such as low-cost greenhouse technology, drip irrigation and other water-efficient techniques. For women, the focus is expected to be on nutrition, small ruminants, poultry, kitchen gardening including support to smallholder beekeepers for honey production and processing. Training will furthermore focus on enhancing agriculture productivity, introduction of drought tolerant varieties, climate change adaptation and risk management in farming and sustainable livestock management practices, introduction of water efficient practices and technologies, sustainable livestock management and feed production, detection of animal disease symptoms and management.

87. In line with FAOs effort at developing guidance notes for the pilots in Lebanon, Morocco, Uganda and Burkina Faso, the project will learn from the best practices and develop guidance notes that will enable upscaling through component 4.

Activities under Output 3.1 will include:

- Activity 3.1.1 Mapping of selected climate-resilient agricultural practices (use of climate-resilient varieties, crop residues retention, organic fertilizers, mulching, etc.)
- Activity 3.1.2 Design and implement a training programme to build the capacity of key agriculture practitioners on climate-resilient agriculture production and on-farm water production ensuring VATs, VDCs (Sudan) and Groupements (Chad) have good understanding of climate risks and climate change adaptation measures are established and operational.
- Activity 3.1.3 The adaptive capacity of farmers and other key agriculture practitioners on climate-resilient natural resources management and agriculture production is developed.
- Activity 3.1.4 Based on the lessons learned and best practices guidelines on climate-resilient agricultural practices and mainstreaming guidelines are developed.
- Activity 3.1.5 Experience-exchange on mainstreaming water-saving techniques through regionally recognized information campaign.

Output 3.2 Sustainable climate-resilient livelihoods promoted through integrated water management, improved climate resilient agricultural management and optimised water resource use

88. Under output 3.2 the SCCIWM project will support training programme for VATs, VDCs, Groupements, extension agents and Service Providers under output 3.1 with investments in climate change adaptation technologies, including suitable equipment and inputs for efficient irrigation, for sustainable drought-resistant on-farm agronomic systems. The introduction of innovative technologies will favour better soil moisture storage and retention capacity and optimal use of

⁹⁰ Siddig, Khalid; Stepanyan, Davit; Wiebelt, Manfred; Zhu, Tingju; and Grethe, Harald. 2018. Climate change and agriculture in the Sudan: Impact pathways beyond changes in mean rainfall and temperature. MENA RP Working Paper 13. Washington, DC and Cairo, Egypt: International Food Policy Research Institute (IFPRI). <u>http://ebrary.ifpri.org/cdm/ref/collection/p15738coll2/id/132833</u>

⁹¹ Groupements are forms of association among families with the aim to improve the agricultural production so as to create self-sufficiency and entrance on the market. Each group is composed of 25 members, each member representing a family.

irrigation water, while ensuring a more stable and improved production, and preventing environmental problems such as drought and soil erosion. The project will support the identification and dissemination of drought-resistant crop and forage varieties, and more water efficient crop varieties that will better respond to limited water availability during the crop production period and will require lower water quantities under irrigation.

89. The project will identify, demonstrate, validate and disseminate efficient irrigation technologies and conservation agriculture management systems and technologies (crops rotation methods, soil fertility management, crop residue and mulch management, the selection of suitable crop varieties, and integrated pest management). Additionally, a diversified production based on a wider range of crops and complementary products (honey, aromatic and medicinal plants, small ruminants and the use of mesquite to combat the invasive species invasion etc) will help increase food and income security and resilience of rural communities to climate risks.

Activities under Output 3.2 will include:

- Activity 3.2.1 Testing and piloting selected climate-resilient agricultural practices (use of heat / drought tolerant, disease / pest tolerant climate-resilient varieties; crop residues retention; organic fertilizers).
- Activity 3.2.2 The provision of tools and equipment for adaptive farm management systems, such as conservation agriculture, introducing permanent soil cover, direct seeding (no tillage / reduced till), crop rotation and crop sequence that conserve / restore fertility.
- Activity 3.2.3 Testing and piloting and introducing water-saving techniques (water retainer, biostimulants, etc.) for yield stabilization and increase.
- Activity 3.2.4 Measures to prevent soil erosion and floods, including the set-up of live shelterbelts of trees and shrubs, and construction of micro-fences using dead stems to build barrier fences that reduce sand encroachment and mitigate the impact of dust and windstorms.

Component 4 Enhancing regional cooperation on water resource development-based food security and climate change adaptation in agricultural and policy development

Outcome 4 Regional adaptive capacity for food security through regional cooperation increased

International cooperation is important to better enhance climate resilience and is an essential element for the success of the SCCIWM project. The countries of the Sahel region have many features in common including similar environmental, climatic and climate change environments hereby enhancing the added value of sharing of knowledge, expertise, and also good practices. In order to be able to develop such an international approach and foster cooperation in for example, developing a cross border Early Warning System (EWS) as well as learning from each other's experiences that will generate lessons and best practices. It is important to support the process of knowledge exchange, identify relevant regional experts who can train others and join the thematic expertise in groups that can be consolidated and evolve according to the emerging needs of each country. The western border of Sudan often involves transborder migration of refugees from Darfur to Ouaddaï and the project target area is also characterised by mutual dependence on the same ground and surface water supplies through the Bar Salamat river and its tributaries. At a regional level, the project needs to be implemented through an organisation with established project coordination and implementation experience at country- as well regional-level in central and eastern Sahel.

Output 4.1 Role of integrated water management in climate change adaptation strengthened through regional platform

90. By 2050, climate change is set to further aggravate extreme drought and flooding events in the central and eastern Sahel region, the impacts of which do not recognise borders as pressures on dwindling water supplies and impacts of severe flooding events will increase. Early warning systems (EWS) are essential tools to help mitigate the impact of droughts and floods and to adapt to climate change as needs are expanding and resources are limited. New tools and new ways of thinking and acting are essential to reduce the impact of these disasters as effectively as possible. The EWS piloted by the SCCIWM would build on existing efforts and would enable the identification and real-time monitoring of surface and groundwater levels across borders, both to mitigate the risk of drought as well as floods to save lives and make livelihoods more climate-resilient.

91. The regional platform developed as part of component 4, would facilitate dialogue enabling international cooperation through the AF project and enabling the upscaling of EWS cooperation at the national and international level. The regional platform would be multipurpose and bring together many international and national development and humanitarian partners

such as the European Civil Protection and Humanitarian Aid Operations (ECHO) that already makes use of the FAO EWEA⁹² in Sudan. Participation will be open to all national and international actors in Chad and Sudan that can benefit from an early warning system in disaster mitigation planning and the development of early response mechanisms hereby broadening the impact and cost-effectiveness of the SCCIWM. The regional platform will also offer the opportunity for showcasing, learning and the sharing of information on the effectiveness of the number of innovative technical solutions in water management being proposed by FAO with the support of the Adaptation Fund. Synergies will also be sought with other agencies and projects to install water monitoring equipment beyond the SCCIWM to broaden the early warning impact.

92. Component 4 also will showcase the achievements and successes of the SCCIWM and promote the upscaling of initiatives under the project beyond the SCCIWM, by assisting in the deployment of other bankable project proposals. It will provide an opportunity for workshops and knowledge sharing events to inter alia also improve the capacity of national and regional institutions to upgrade their respective early warning and early response mechanisms, for policy development and to work towards establishing links to national budget codes for future financing of the above services as cross-sectoral / inter-ministerial platforms are good platforms to advance such a dialogue.

Activities under Output 4.1

- Activity 4.1.1 Establishing regional platform suitable for face-to-face and e-events to allow information flow and experience exchange
- Activity 4.1.2 Preparing regional bankable project proposals to enhance systematic planning of result scale-up and improve harmonized decision-making on adaptation
- Activity 4.1.3 Mainstreaming the developed water resource information systems into national and regional platforms, supporting national water policy development including in water accounting, improving water productivity and efficiency in small-scale agriculture.
- Activity 4.1.4 Improving capacities of national and regional institutes to upgrade existing food security early warning systems with climate-proofing early action functions

INNOVATIVE APPROACHES, TECHNOLOGIES AND MECHANISMS

Describe how the project would promote new and innovative solutions to climate change adaptation, such as new approaches, technologies and mechanisms.

93. The SCCIWM will be promoting innovative solutions to climate change adaptation that have been tried and tested in previous FAO pilots in Lebanon, Uganda, Morocco and Burkina Faso. Under component 1 the project will upscale the GISbased software developed in a previous FAO project in Lebanon and used to store project site-specific information converting it into a user-friendly assessment tool. This tool will be tailored to the needs and technical capacity in the project area. Early action is essential in Disaster Risk Reduction (DRR) and this innovative approach to be piloted in the two Sahelian countries through the SCCIWM, will be an essential tool to monitor and quickly identify climate hazards. The technology will be used to collect real-time water level data from upstream reservoirs that will be conveyed to the centralised database whereby life-saving decisions can be made in evacuating. It will also be able to reduce the impact of drought by monitoring available surface and ground water levels that will enable better planning and response mechanisms with the aim to reduce the impact and severity that future droughts will have on food security in the targeted areas. The project will develop site-specific combined drought indicators that will alert communities early on, farmers will then have sufficient time to be prepared and adopt strategies.

94. The SCCIWM in component one will have a two-pronged approach whereby the software is developed and the realtime data is gathered and uploaded, while also developing new transboundary cooperation and improved institutional capacity essential for an international EWS to work. The project will build on previous project experience in the development

⁹² FAO (2019) THE SUDAN - IMPACT OF EARLY WARNING EARLY ACTION

of international cooperation for flood and drought EWS and promote the development of regional cooperation through this project for improved climate-resilience. To achieve this, the project will promote regional and transboundary cooperation through the regional platform in component 4, but also identify local-level context-specific technical solutions that will enable the gathering of the required technical data for processing. The AF project will conduct technical assessments that will identify the required technologies, identify and work with appropriate ministries, water and meteorological authorities as well local-level knowledge institutions to train and install the required technological hardware and computer software. The second of the two-pronged approach will be the people-centred EWS whereby innovative community-driven response mechanisms will be developed for improved ownership through experienced NGOs or service providers (SP) with the aim to develop appropriate DRR information and communicate these effectively with the affected communities. The aim is to be eventually integrated into existing government and regional structures, frameworks and response mechanisms for enhanced sustainability.

95. Component 2 will promote the new FAO Smart Irrigation – Smart WASH initiative. This is an innovative approach to provide multiple-use water solutions that meet the needs of food production as well as sanitation in areas of water insecurity and characterised by an absence of basic sanitation. The project will be based on detailed assessments that will identify context-specific technical solutions already tried and tested in other FAO pilots in Morocco, Uganda and Burkina Faso. The aim is to enhance the climate-resilience of the vulnerable rural communities through enhancing water efficiency, promoting ground water augmentation and promoting the reuse of waste water for improved agricultural and sanitation resilience. While linking up with the EWS system developed in component 1, the project will develop macro- and micro-level irrigation solutions that will have multiple uses in agriculture, for livestock and for basic sanitation requirements inter alia also needed to combat COVID-19 and other diseases. The AF project will develop affordable Conjunctive Water Use (CWU) and Multiple Water Use (MWU) technologies that aim to harmoniously combine surface and ground water supplies to optimise the balance in managing water demand and supply without causing additional water-stress. The combination of CWU and MWU will ensure the artificial recharging of aquifers through water harvesting and recharge wells, spate irrigation; check dams; water management and water harvesting.

96. The MWU will inter alia also ensure the reuse of waste water through an innovative, affordable, long-living, naturebased wetland or rootzone technology for water reuse. This innovative approach is an artificial technique that will further improve the water use efficiency by facilitating the water reuse and is a treatment technology of wastewater produced by the communities and is operated entirely through biological processes effective in neutralising chemical, organic pollutants in water and by filtering of physical particles. The resulting water will be utilisable for agricultural and cleaning purposes, but not for drinking.

97. The regional and transboundary cooperation being promoted through the project and in component 4 will also promote essential dialogue between communities that have historically experienced community conflict over scarce natural resources aggravated by climate change. The dialogue and cooperation promoted by this project at international / regional, national, and community levels will demonstrate the benefits of cooperation to improve natural resource availability and the benefits of cooperation to adapt to climate change and hereby reduce future social tensions.

ECONOMIC, SOCIAL AND ENVIRONMENTAL BENEFITS

Describe how the project would provide economic, social and environmental benefits, with particular reference to the most vulnerable communities, and vulnerable groups within communities, including gender considerations. Describe how the project would avoid or mitigate negative impacts, in compliance with the Environmental and Social Policy of the Adaptation Fund.

Economic Benefits

98. Cross border drought and flooding in the Sahel have historically caused extensive economic damage both directly on livelihoods and human health, but also indirectly through the resulting community and regional conflict. An economic assessment conducted in 2011⁹³ on the impact of the historical droughts in Sudan in 1984 and 1990 but that also significantly affected the broader region, have shown that at the macroeconomic-level the two droughts have caused steep declines in national GDP. In 1984 the drought saw an 18% reduction in private income, a 19% reduction in in government income with reductions of 6% in total imports, and 8% in the total exports. The study shows that the 1984 drought caused a reduction of Sudan's GDP by 12%. The 1990 drought caused similar impacts with around 11% GDP loss. These losses are shown to be driven by significant declines in the domestic agricultural outputs not only the rain-fed agriculture, but also in the output of the irrigated agriculture. Agricultural output alone reduced in 1984 and 1990 by an average of 22.6% and 18%

⁹³ Khalid H. A. Siddig (2011) Modeling the Impact of Drought on Agriculture and Food Security in Sudan. University of Khartoum

respectively. In economic terms this is equated to SDG 302 million (roughly USD 5.5 million dollars at today's exchange rate). The drought caused further damage at industrial and services sectors also.

99. Flooding also regularly causes extensive economic damage. Historical data is limited about the impact of climate events in Chad, however in 2020 alone floods have affected around 400,000 people, threating them with food insecurity.⁹⁴ FAO also estimates that in 2020 in Sudan nearly 530,000 households have been affected with an estimated agricultural production loss of around 1 million tonnes. The SCCIWM target area of West Darfur, have seen around 2,300 households impacted and a combined loss of around 8,300 tonnes in agricultural production in already one of the most vulnerable regions of the country.⁹⁵

100. The SCCIWM aims to mitigate these risks through: an Integrated Water Management (IWM) system including an innovative drought and flooding EWS tool; an innovative and efficient water system that aims to increase both surface and groundwater availability and water use-efficiency to help mitigate some of the effects of droughts; an improved climate-resilient and drought resistant crop and livestock management approaches; and an innovative regional platform that brings together all these elements of the IWM, fosters dialogue and the sharing of lessons learned and upscaling of the pilot projects across the neighbouring countries. The potential economic benefits of the EWS can be seen from FAOs existing efforts in piloting the Early Warning Early Action (EWEA) system in Sudan while upgrading it with real-time centralised water data management software and expanding it to include both neighbouring countries. FAO conducted an assessment to understand the impact that the EWEA has had on vulnerable agro-pastoralists that found out that for every USD 1 invested by FAO, households gained USD 6.7. This included the value of animals saved, the avoided drop in their value because of poor condition and the extra milk they produced. The FAO EWEA initiative is also being used by other international actors in Sudan namely the European Civil Protection and Humanitarian Aid Operations (ECHO) in the support of an additional 5,000 drought affected households and their animals. The proposed innovative EWS system and the integrated cross-sectoral approach of the SCCIWM has the potential to further catalyse and upscale these positive results.⁹⁶

101. **WASH.** Improvements to water and have been found by the WHO to be cost-beneficial, and the benefits are applicable to all world regions. In developing regions for example research shows that the return on a US\$1 investment was in the range US\$5 to US\$28. The main contributor to benefits was the saving of time associated with better access to water supply and sanitation services.⁹⁷

102. Through the early warning system, improved access to water and climate-resilient agriculture, the project will sustainably increase incomes in the short and medium term, generating the following economic benefits:

- i. Increased income and food security for an estimated 15,000 households (HH) of which 20% will be femaleheaded HH. This will happen through reduced harvest and post-harvest losses caused by a lack of information on water availability and early awareness of drought onset warnings through the generation and sharing of information linked to early warning and contingency planning;
- ii. Based on studies conducted for the FAO EWEA programme that interventions produced around a 670% increase in household income as a return on project investment, it is estimated that the 15,000 households (50% women and 20% women-headed HH) benefitting from the USD 2,000,000 component 3 will bring economic benefits per household of USD 890.
- Increased capacity to plan and diversify planting and other livelihood strategies, through improved access to a centralised database of water availability, EWS, trainings and the introduction and testing of diversified planting models;
- iv. Improved incomes from the cultivation, transformation and sale of native crops and products in regional markets, including less land water intensive species;
- v. A return on investment on WASH activities of between 5 28 USD for every USD 1 invested.
- vi. Reduction in outmigration due to increased livelihood opportunities.

Social Benefits

⁹⁴ Food Security Cluster (2020) <u>https://fscluster.org/sites/default/files/chad_flood_advocacy_0.pdf</u>

⁹⁵ FAO (2020) The Sudan 2020 Flood Impact Rapid Assessment. http://www.fao.org/resilience/resources/resources-detail/en/c/1312533/

⁹⁶ FAO (2019) The Sudan - Impact of Early Warning Early Action: Protecting Agropastoralists ahead of drought.

http://www.fao.org/3/ca4653en/ca4653en.pdf

⁹⁷ WHO (2005) Evaluation of the costs and benefits of water and sanitation improvements at the global level https://www.who.int/water_sanitation_health/publications/wash-improvements-costs-benefits/en/

103. The project focuses on the most vulnerable namely women, youth and vulnerable refugees. The region has been affected by recurrent conflict and forced migration both in the Darfur-Chad region as well as in eastern Sudan and the broader Horn of Africa. A study by the office of the United Nations High Commissioner for Refugees (UNHCR) on climate change and refugees in the East and Horn of Africa, have found that climatic trends and events not only had a negative impact on agricultural production and food security, but also led to deteriorating social cohesion and the occurrence of resource-use conflicts. Pastoralists and farmers, who are the most exposed groups to climatic stresses, have had to develop wide-ranging coping and adaptation mechanisms because of the negative impacts for example in the building of water reservoirs, changes in production practices, livelihood diversification as well as complete changes to livelihood strategies.⁹⁸

104. The project will address the fundamental factors that are shown to force vulnerable communities to migrate or cause social conflicts to happen and are being aggravated by climate change. It will provide vulnerable communities with basic adaptive skills to increase water availability, respond sooner and better to the threat of drought or floods and improve their livelihoods through livelihood diversification, improved climate-resilient and drought-resistant crops, and better knowledge. It will enhance their capacity and knowledge about basic sanitation and provide them with the tools for improved sanitation that will reduce the incidence of disease and help combat COVID-19. In doing so it will promote the full participation of women in decision-making processes for identifying, planning and implementing climate change adaptation strategies and actions; it will strengthen community organisation and social cohesion and empower women, men, youth and the elderly to participate in activity planning and implementation; improve water access and quality for crop production and animal use; enhance the capacities of the target groups to rehabilitate and sustainably manage natural assets contributing to the protection of natural resources, risk reduction and livelihood strengthening; diversify diets and improve nutrition through the promotion of climate-resilient crops; and contribute to reconciliation and peace-building through trainings and events.

105. The project will proactively integrate gender-responsive climate actions and culturally sensitive programming through training and awareness-raising for native, IDP and refugee female and male participants on issues related to climate change, culture and gender for natural resource management and food security and nutrition. Through these actions the project will generate the following social benefits:

- Full participation of villagers, refugees and IDP women in decision-making process for identifying, planning and implementing climate change adaptation strategies and actions;
- ii. The project will set gender quotas of 50% women and 20% women headed households.
- iii. Strengthened community organization and social cohesion and empowerment of both women and men to participate in activity planning and implementation;
- iv. Increased capacities of women, youth and elders to rehabilitate and sustainably manage water infrastructure contributing to the protection of water resources, risk reduction and livelihood strengthening;
- v. Diversified diets and improved nutrition through the promotion of climate-resilient, non-invasive species;
- vi. Improved water access and quality for human consumption, crop production and animal use.
- vii. Improved access to WASH infrastructure and training will help reduce the risk of disease contraction (including COVID-19), improve general health and lead to improved quality and productivity.

Environmental Benefits

106. Environmental benefits are at the foundation of the SCCIWM project made possible through Adaptation Fund support that help mitigate the identified adverse environmental and climate risks from a changing climate in terms of future water scarcity and flooding and the impact this will have on agricultural production, food security and sanitation. Through a participatory approach the project will ensure that appropriate and innovative Multiple Water Use (MWU) measures will be identified and adopted by climate-vulnerable smallholders. The project will promote innovative technologies that have been tested and piloted in other FAO projects that will have direct environmental benefits in augmenting underground water supplies and making more efficient use of water for agricultural production as well as sanitation. The AF project will introduce micro-level on-farm efficient water saving irrigation systems, including the restoration of irrigation canals, multipurpose water for irrigation and household use. Beneficiaries will also benefit from water harvesting in dams, ponds, hill lakes, retention basins as well as groundwater recharge and the innovative rootzone technology for cost-effective and sustainable nature-based solutions to wastewater management and water reuse.

⁹⁸ Tamer Afifi, Radha Govil, Patrick Sakdapolrak and Koko Warner (2012) Climate Change, vulnerability and human mobility: perspectives from the East and Horn of Africa. UNHCR <u>https://www.unhcr.org/protection/environment/4fe8538d9/climate-change-vulnerability-human-mobility-perspectivesrefugees-east.html</u>

107. **Wadi recharge dams.** The project is designed around a central premise to sustainably enhance the livelihoods of the climate-vulnerable rural poor through a multi-pronged approach that will build resilience to climate events. This will inter alia be done by enhancing under- and overground water availability and improving the efficiency of water use and reducing water waste. Following results from previous FAO projects it is known that in-stream wadi recharge dams have relatively high efficiency to collect run-off and control the release. Considering the wadi dimensions in the target area (approximately 200 m width and <2.5 m depth), the planned dams' capacities range between 100,000 – 150,000 m³ with an estimated recharge capacity of an average size wadi dam of around 400 – 800 thousand m³ annually on the assumption that the dam is used only for recharge. In terms of conjunctive use, the supply of an average size dam together with the rainfall (averaging 400-450 mm per year) is sufficient for the irrigation of 150 ha and for the recharge of 100,000 – 150,000 m³. Such amount of recharged groundwater per dam is equivalent to the domestic need of 200 – 850 households (900 – 3800 people).

108. **Water retainer technology** improves the retaining capacity of soil moisture, hereby allowing more efficient irrigation and water saving irrigation strategies (such as deficit irrigation). Field trials have shown that deficit irrigation supplying 50 percent of the total crop water requirement can maintain 20-30 percent soil moisture at 0.2-1-meter depth for 7 days. Farmers can also save up to 50 percent of irrigation water and manage irrigation demand in 7-days irrigation rotation. During the production season, this can save up to 2,000 m³ per ha in staple crop producing areas. Such technology has the potential to increase the cropping intensity by 80-100 percent either by additional land cultivation or double-cropping, without higher water need than the current.

109. **In-situ water harvesting** has yield increase effects even in the absence of supplemental irrigation. Evidence in Sudan shows that sorghum productivity can be increased only through the application of in-situ techniques (contour bunds, ridging, furrows, infiltration pits, etc.). Smallholders can achieve over 1.1 biomass matter per ha through in-situ water harvesting. While comparing to the current average of 0.5 ton per ha, in-situ techniques have the potential to achieve over 100 percent yield increase. As such techniques do not entail any additional cost and can be implemented directly by farmers during land preparation, in-situ water harvesting has a potential to improve nutrition, productivity but also household income.

110. **Rootzone technology.** A net treatment area of the constructed wetland beds of 1340 m² has the potential to treat 120 m³/day of wastewater for the daily domestic need of 1,500 people⁹⁹. Evidence shows that wetlands are efficient to reduce water pollution, especially in dry and arid condition, where biological reaction are enhanced by high temperatures. Wetlands can reduce Biochemical Oxygen Demand (BOD) by 95 % and Chemical Oxygen Demand (COD) by 80%, hereby reaching the required parameters for safe irrigation purposes. In addition, wetlands generate biomass that also meet rural energy needs in a climate-neutral fashion. Only 8% of Chad population has access to electricity and only 3% has access to clean fuels for cooking¹⁰⁰, while in Sudan 38% of population has access to electricity and 41% to clean fuels for cooking.¹⁰¹ In the rural areas, where there is no access to electricity, people use burning sources of energy. Often, women are responsible for household cooking and energy sourcing, through the collection of timbers and the cutting of trees, leading to deforestation of the area.

111. Considering a hypothetical area of constructed wetland of about 1,340m² and a production of 20 tonnes dry mass/ha/year, with a frequency of 1-2 years depending on the construction design, it can be assumed that 2680 kg/biomass /ha per year can be produced. By the assumption that the dry biomass has an energy content of about 16 MJ/kg, the wetland can generate an energy of about 42.8 GJ per year. Respectively, for Chad and Sudan the average requirement of energy is of 0.3 GJ and 1 GJ per year. Thus, a local production of biomass can be used as an alternative source of energy, generating a positive impact.

COST-EFFECTIVENESS

Describe or provide an analysis of the cost-effectiveness of the proposed project and explain how the regional approach would support cost-effectiveness.

Component	Benefits Generated	Alternative to Project
Component 1	The AF project will improve climate-resilience through	There are no alternatives to the upscaling of the existing early
Developing	the development of real-time water monitoring systems	warning system; developing EWS are a fundamental tool in
integrated	for surface and groundwater resources in components	disaster risk reduction. In the absence of the AF project,
water resource	2 and 3. Improved knowledge on available irrigation,	communities will continue to be without the ability to develop
information		information-based community risk mitigation plans. Their

⁹⁹ According to WHO the minimum domestic need for human needs is around 70-90 I per day

https://reliefweb.int/sites/reliefweb.int/files/resources/D66395517030BBC6C1256F9400478FEE-Water_needs_WHO_Jan_2005.pdf ¹⁰⁰ Sustainable Energy for All: Africa Hub <u>https://www.se4all-africa.org/seforall-in-africa/country-data/chad/</u>

¹⁰¹ Hannah Ritchie (2014) - "Energy". *Published online at OurWorldInData.org.* Retrieved from: 'https://ourworldindata.org/energy' [Online Resource] https://ourworldindata.org/energy/country/sudan?country=~SDN

Component	Benefits Generated	Alternative to Project
systems for	livestock, household), water quantity, water quality, and	climate-resilience will continue to be limited due to a continued
climate	agro-meteorological service in pilot sites.	lack of capacity in generating and analysing centralised
<mark>change</mark>	Improved understanding through the analysis of data	knowledge about water availability and risks of drought and
adaptation in	generated from the water monitoring system covering	flooding. Without this knowledge and without it being made
regional	geographical hydrological, environmental, climatic,	accessible to the climate-vulnerable communities of West
agriculture and	agricultural, technical and socio-economic dimensions.	Darfur and eastern Ouaddaï, communities will continue to be
food systems	15,000 vulnerable households (50% women and 20%	unable to make development plans that would otherwise
(USD	women-headed households) will benefit through user-	improve their livelihoods through their ability to overcome
<mark>2,500,000)</mark>	friendly assessment tools and the development of	drought through informed decision making.
	community-based EWS enabling for the mapping of	
	needs, risks and vulnerabilities.	
Component 2	The project will develop rainwater and floodwater	Without the AF intervention, essential innovative approaches
Reducing	harvesting capacity through micro-dams, ponds, hill	FAO has developed in CWU and MWU would not be brought
climate	lakes, retention basins as well as groundwater recharge	to the most vulnerable and water insecure in the region.
vulnerabilities	in pilot areas. The component will introduce innovative	Consequently without the project, vulnerable women, youth
<mark>through</mark>	rootzone technology that is a nature-based solution to	and elderly as well as men will not benefit from needed training
<mark>improved</mark>	wastewater management and is effective for treating	and awareness raising in sustainable water use, as well as in
<mark>access to</mark>	chemical as well as organic pollutants and for the	the needed innovative technology that will i) help in rainwater
water for	filtering of physical particles. The technology is easy	and floodwater harvesting that will replenish surface and
multiple uses	and inexpensive to operate it is resilient to periods of	underground water supplies; and ii) increase water efficiency
(USD	temporal water scarcity; and its long-term sustainability	allowing for multiple use in meeting the needs of water for
<mark>6,000,000)</mark>	is assured for if up to 60 – 70 years if well maintained.	agriculture and domestic use and urgently needed sanitation
	Some of the benefits of the project include:	requirements. Farmers will not learn about the need to balance
	Wadi recharge dams have an estimated	extraction rates with rates of water replenishment leading to
	underground recharge capacity for an average	the most vulnerable to continue to be water insecure and
	size wadi dam of around 400 – 800 thousand m ^{3.}	unable to manage scarce water supplies that would help them
	Postzone technology has the potential to treat	to mitigate drought-related climate risks.
	Rootzone technology has the potential to treat	
	120 m/day of wastewater for the daily domestic	
	need of 1,500 people. Wetlands are efficient in	
	reducing water pollution, especially in dry and arid	
	condition.	
	WASH activities reduce disease and improve health	
	and productivity and have a return on investment of up	
	to USD 28 for ever USD 1 invested.	

Component	Benefits Generated	Alternative to Project
Component 3	The component will reduce the vulnerability to climate	The proposed activities aim at building climate-resilience into
Improving food	risks through income diversification and reduced natural	rural livelihoods, and is based on broad FAO experience as the
<mark>security</mark>	resource dependency through the capacity	most effective with few alternatives. This component is
<mark>through</mark>	development of Community-Based Organisations	designed to be fully integrated with the EWS and the water
climate-	(CBOs) such as Village Development Committees	infrastructure and plays a key role in adding value to the
resilient	(VDCs), and Groupements, government field extension	former two components.
agricultural	agents and NGOs through farmer field schools.	Without this component beneficiaries will not learn about the
practices and	The AF project will empower Village Agriculture	benefits of adopting and integrating climate-resilient crop
technologies	Technicians (VAT) to effectively guide farmers in the	varieties, improved soil management techniques that will
(USD	process of mainstreaming climate change adaptation,	improve soil water retention and fertility. They will
<mark>2,680,000)</mark>	improved water use practices and natural resource	consequently not benefit from increased household earnings.
	conservation as well as the diversification of livelihoods	Without access to innovative climate resilient approaches
	and income generation. An estimated 15,000	farmers would continue to lose production capacity because of
	vulnerable households (50% women and 20% women-	climate change; water consumption would be higher and soil
	headed households) will benefit from increased	water retention will continue to be lower, leading to continued
	agricultural production due to better access to inputs	exacerbation of fragile water supplies; and soils would become
	such as more efficient drip irrigation systems; adaptive	increasingly infertile and degraded.
	agronomic practices and technologies; nutrition	Without the AF project, women inequalities and lack of
	sensitive and climate resilient technologies such as	empowerment within community-based organisations will
	grow-bags, wicking beds, drought tolerant crops,	continue to be perpetuated, hereby putting families and
	diversification in production and water efficient	children at further disadvantage and exposed to higher levels
	practices. It is estimated that the project interventions	of climate-vulnerability. Without AF support, FAO will also not
	Increase HH Income by around USD 890.	be able to develop context-specific best practices guidelines
	The project will also have a strong focus on women with	based on the climate-resilient agricultural practices developed
	women being empowered to participate in decision	in this component as part of the broader IWM approach being
	making roles within the CBOs. Women will also benefit	developed by the SCCIWM. This will impoverish the
	from improved nutrition, small ruminants, poultry,	knowledge base for future projects.
	kitchen gardening including support to smallholder	
	beekeepers for honey production and processing.	
	Some of the environmental benefits of the technologies	
	and approaches proposed for this component include:	
	Water retainer technologies can maintain 20-30	
	percent soil moisture at 0.2-1-meter depth for 7 days;	
	and	
	In-situ water harvesting techniques have the	
	potential to achieve over 100 percent vield increase	
	compared to the current average of 0.5 ton per ha.	
Component 4	The regional platform will be key for international	A regional platform is the ideal framework where to share
Enhancing	training by experts to share lessens and demonstrate	knowledge and showcase best practices that will lead to
	project results to pational and international partners for	improvements at national level in terms of institutional
	future replication and unscaling. This platform will inter	strengthening, policy development and future project
water resource	alia help raise awareness but also mainstream water	development. There are no alternatives to a regional
development-	resource information systems into national and regional	knowledge sharing platform that are as effective. Additionally
based food	platforms contribute to policy development and	the benefits of international dialogue between Chad and Sudan
security and	institutional strengthening.	go beyond the scope of the project and would offer essential
climate	The component will showcase the project's	opportunities to contribute to the building of international
change	achievements and successes and promote the	relations particularly in one of the world's most climate-
adaptation in	upscaling of initiatives by assisting in the deployment of	vulnerable border regions with hundreds of thousands of cross
agricultural	other bankable project proposals. Workshops and	border refugees and that regularly is subject devastating
and policy	knowledge sharing events will improve the capacity of	drought events.
development	national and regional institutions to upgrade their	Without the AF project, the region will continue to be
(USD 500,000)	respective early warning and early response	impoverished by a lack of international dialogue and needed
	mechanisms, for policy development and to work	international opportunities to discuss and learn of applicable
	towards establishing links to national budget codes for	and innovative solutions for improved knowledge about water
	future financing of activities.	availability and community-based response mechanisms to
		mitigate against drought and flood events, policy development
1		and institutional strengthening

STRATEGIC ALIGNMENT

Describe how the project is consistent with national or sub-national sustainable development strategies, including, where appropriate, national or sub-national development plans, poverty reduction strategies, national communications, or national adaptation programs of action, or other relevant instruments, where they exist. If applicable, please refer to relevant regional plans and strategies where they exist.

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Country	Strategy / Plans	Project Alignment
Sudan	 Intended Nationally Determined Contributions (INDC) 2015 National Adaptation Plan (NAP) 2014 National Adaptation Programme of Action (NAPA) 2007 	 The project is aligned to the national climate change adaption strategies outlined in the NAPA, INDC and NAP by aiming to promote: Crop diversification and introduction of improved drought-resistant varieties/early maturing varieties (both field and horticultural crops) in areas affected by rainfall decease/ variability. Diversification of income-generating activities in order to increase adaptive capacity of vulnerable farmers' communities in order to achieve food security/reduce poverty. Enhancing the participation of women and youth in activities related to adaptation and environmental conservation in order to empower them and enhance their adaptive capacity. Integrated management of the water resources. Water harvesting (dams, hafirs, etc.) to assist vulnerable communities to adapt and build their resilience facing increasing vulnerability of water sources/resources. Construction of water-networks in rural areas for achieving water security. Advance research related to climate change impacts on water sector e.g. Undertaking geophysical studies of the aquifers for sustainable ground water utilization. Improve community sanitation services.
	Sudan Policy and Strategy on Integrated Water Resources Management (2007-2022)	The Strategy included the focus of water services provided by women in remote rural areas and their effect on small scale crop production and animal husbandry practices. The project will be in alignment through the focus on women equality and empowerment in the provision of WASH services as well as improved water availability for household and animal husbandry activities.
	National Plan for Development and Utilization of Water Resources (NPDUWR, 2014)	NPDUWR aims to establish water harvesting projects in rain-fed areas. The Plan also aims to improve crop production technology research and knowledge transfer. The project will be aligned with the National Plan by focusing on the provision of conjunctive water solutions and to enhance rain-fed water harvesting investments and promote drought-resistant crop varieties.
	Poverty Reduction Strategy Paper – PRSP (2012)	The PRSP aims to upgrade water-users-associations skills to increase use and improve management of irrigation water supplies, rehabilitate major and minor irrigation canals, expand pilot demonstration farms of innovative soil-moisture retention tillage operations, and expand improved water harvesting projects in dry areas of Sudan. The project will be aligned with the PRSP through the rehabilitation and establishment of improved irrigation water supplies, the rehabilitation of irrigation canals the establishment of farmer field schools to demonstrate innovative water and soil moisture management techniques.
	National Food Security Policy – NFSP (2011)	The NFSP aims to mitigate the effect of water-use waste on the environment and to transfer successful and improved water-users-associations (WUA) practices to other irrigation schemes to reduce water loss. It aims to incentivise the private sector to invest in manufacturing of water pumps, drilling equipment, water pipes and tanks, and supplies of spare parts. The project will be aligned with the NFSP through the strengthening of WUAs and sharing of best practices in irrigation schemes from other pilot projects to reduce water loss. The project will also promote the construction of water infrastructure for enhancing the capture and storage enhancing surface and underground water availability.
	Water Supply and Environmental Sanitation Policy – WSESP (2010)	The overall goal of the WSESP is to improve the health status and living conditions of the population and the economic growth of the nation by providing all of the population with adequate and sustainable access to WASH basic services and hygienic practices. The project will be aligned with the WSESP through the focus on FAOs SMART-Irrigation SMART-WASH initiative. This initiative aims to promote multiple water use (MWU) services in order to minimise waste and maximise water use in water scarce environments. The MWU will ensure that more water is available for sanitation purposes and combined with health and sanitation awareness raising mainstreamed into the training programmes will help build resilience against disease including COVID-19 as well as future climate-induced water scarcity events.

Country	Strategy / Plans	Project Alignment
	Sudan National Drought Plan (2018)	The goal of the National Drought Plan is to prepare at the national and state levels: (i) a drought preparedness system; (ii) regional efforts to reduce drought vulnerability and risk; and (iii) a toolbox to boost the resilience of people and ecosystems to drought. The project will be aligned with the National Drought Plan through the project's focus on helping the rural climate-vulnerable communities to adapt to future drought events as these are expected to increase in intensity due to climate change. The project will pilot a drought preparedness system by developing real-time water monitoring capacity of surface and underground water supplies. The data that is gathered will be processed centrally and translated into easy-to-understand information useful for the monitoring, planning and early action in case of water scarcity. This will be coupled with community-level response tools through the people-centred EWS output (1.2) of the project. Other elements of alignment include the regional approach to disaster risk reduction through the platform developed in component 4 that will enhance capacity building, knowledge sharing and policy development.
Chad	Vision 2030	Vision 2030 aims to ensure that Climate Change Adaptation (CCA) and mitigation actions and climate-related disaster risk reduction (DRR) are developed in a coordinated and efficient manner to develop resilience in the face of climate variability and adverse climate-related impact on agro - pastoral production systems in Chad and their contribution to food security and the well-being of populations. The project will be aligned with Vision 2030 through the promotion of climate resilient agro-pastoral production. The main focus will be around monitoring available water sources and development disaster response and resilience plans both at the community- as well as policy-level. The focus on increasing water availability through the Conjunctive water use (CWU) as well as the MWU will ensure enhanced capacity at storing and making more efficient use of scare water supplies. This will in turn enhance water availability to ensure increase sustainability of the agropastoral production. Through component 3 the project will also train beneficiaries on the need for sustainable natural resource management as well as climate-resilient agricultural techniques and approaches such as climate-resilient crops and techniques aimed at increasing soil moisture-levels.
	National Strategy to Combat Climate Change in Chad – NSCCCC, (2017)	This NSCCCC aims for the sustainable and coherent integration of the challenges in CCA and mitigation into national development policies as well as improving effective coordination of initiatives aimed at the fight against climate change. The project will be aligned with the objectives to strengthen the resilience of agro-pastoral systems; promote actions to mitigate and adapt to climate change; prevent risks and manage extreme climatic phenomena; strengthen the capacity of institutions and actors in the fight against climate change; and strengthen the instruments and capacities for mobilising climate-related finance.
	 National communications to the UNFCCC (2001 and 2012) National Adaptation Programme of Action – NAPA, (2009) Intended nationally determined contributions – INDC (2015) National Adaptation Plan (NAP) 	The project will be aligned with the national priorities to adapt to climate change as detailed in the national communications to the UNFCCC, the NAPA and the INDC. This will be done through the focus on: i) managing water through the rehabilitation and /or creation and development of water harvesting and agricultural irrigation structures and the application of Integrated Water Resources Management (IWRM) and Water Governance; and ii) developing intensive and diverse cultivation, using improved inputs, (organic fertilisers including composts, adapted plant varieties), land and water conservation. NAP. LDCF funding has recently in 2018 been approved to support for the development phase of the NAP process in Chad. There at present is no NAP for Chad and its development will be suported as part the UNDP/GEF project Chad National Adaptation Plan Project. ^{102,103,104.} The Adaptation Fund proposal will aim to also contribute to the NAP development process as it looks to achieve similar objectives. These include increasing access to the socioeconomic and climate information needed to inform planning and policy making in climate-sensitive sectors as well as working to integrate medium and long-term climate considerations into planning and budgeting processes.
	National Development Plan – NDP (2017-2021)	The main objectives of the NDP that are in alignment with the SCCIWM is that of achieving food security through investments in the rural agro-pastoral sectors. The main sectors relevant to this project are those of agriculture, livestock, water and the environment. The NDP aims to ensure the sustainable management of natural resources and implement policies to adapt to climate change;

¹⁰² Global Envrionment Facility (GEF) <u>https://www.thegef.org/project/chad-national-adaptation-plan</u>
 ¹⁰³ United Naitons Development Programme (UNDP) <u>https://www.adaptation-undp.org/projects/chad-national-adaptation-plan</u>
 ¹⁰⁴ UNFCCC (2017) Financing National Adaptation Plan (NAP) Processes: Contributing to the achievement of nationally determined contribution (NDC) adaptation goalsGuidance Note <u>https://www4.unfccc.int/sites/NAPC/Documents/Supplements/napgn-en-2017-financing-nap-processes.pdf</u>

Country	Strategy / Plans	Project Alignment
		to combat climate change and preserving biodiversity; implement climate-resilient agricultural practices; and develop a mechanism for the prevention and management of risks and natural disasters.
	National Poverty Reduction Strategy Paper (2008 – 2011)	The NPRSP aims to: (i) promote good governance; (ii) reduce poverty through growth based on the development of rural areas and basic infrastructure; (iii) ensuring the development of human resources, particularly through education and health; (iv)improving the protection of vulnerable segments of the population; and (v) protecting ecosystems.
		smallholders. It will do this through addressing the main poverty-inducing factors such as water insecurity, lack of knowledge on climate-resilient agricultural practices, and increasing the capacity to mitigate drought and flood disasters that aggravate poverty.
	Action Plan for the implementation of the National Framework for Climate Services of Chad, (2016-2020).	The Plan aims to consolidate and promote the production, provision, access, effective application of relevant and easily understandable meteorological and climate information and services, consistent with the objectives of the Global Framework on Climate Service. The Action Plan is structured around agriculture and food security; water resources; and health and the management of natural risks and disasters. In addition, it incorporates the government's priority actions in terms of economic recovery, the fight against food insecurity and malnutrition and poverty, including vulnerability and adaptation to the adverse and devastating effects of climate as detailed in the INDC. The project will be aligned with the Plan through the focus on inter alia collecting real-time water and climate data that will be shared with beneficiaries to develop disaster risk reduction as part of the EWS in component 1. Furthermore through the CWU and MWU in component 2 the project will enhance sanitation through improved access to water and through awareness and training that will directly improve health.
	National Strategy on Water, Sanitation and Hygiene in the School Environment (2018-2030)	The objective of the National Strategy on Water, Sanitation and Hygiene in the School Environment is inter alia to promote the adoption of good hygiene practices by students and their families. While the project will not specifically target sanitation improvements in schools, it will be closely relevant to the national strategy to improve sanitation to vulnerable youth, women and elderly as well as men. The project will aim to improve access to and efficiency in the use of water for multiple purposes. Through the project activities the full project proposal will explore options in alignment with the national strategy for sanitation in schools to target the youth in schools as part of a sanitation awareness campaign. Improved access to water for multiple uses will be accessible to all without favouritism including school pupils.
	National Strategy and Action Plan on Biological Diversity.	The overall objective targeted through the Action Plan is to slow down in the short term the trend of loss of biological diversity and the degradation of its biotopes through sustainable participatory management strengthening current achievements. The specific objectives of the Action Plan include to: strengthen the conservation of ecosystems and endangered species and / or of marked importance; promote the sustainable use of biological resources of known or potential value; ensure a fair and equitable sharing of the benefits arising from the exploitation of biological resources (especially genetic). The project will be aligned with the Strategy and Action Plan through the promotion of climate-resilient and drought resilient indigenous crop varieties as well as agricultural techniques and approaches that will help reduce soil degradation and improve soil fertility.

NATIONAL TECHNICAL STANDARDS AND ENVIRONMENTAL AND SOCIAL POLICY

Describe how the project meets relevant national technical standards, where applicable, such as standards for environmental assessment, building codes, etc., and complies with the Environmental and Social Policy of the Adaptation Fund.

112. The project is aligned to the national laws and degrees of Chad and Sudan as summarised in the table below and will ensure that the relevant permits are obtained for compliance with national Laws, Acts and Decrees. The process of compliance through the screening of proposed activities will include the need to secure relevant permits where required by law. Additionally, the screening will also ensure compliance with the Adaptation Fund's requirements in accordance to the Fund's Environmental and Social Policy, Gender Policy as well as FAOs Environmental and Social Standards. Assessments and feasibility studies will outline in detail, what the national environmental standards are and how the project will comply with them. In doing so it will also detail how the project will address the 15 ESP principles as well as design an Environmental
and Social Management Plan (ESMP). Controls will be put in place to ensure that the project will not exacerbate inequalities, negatively impact marginalised populations or harm the environment.

Country	National Technical Standards	Description and Project Aligment
	Water Resources Act of 1995.	The Act establishes the National Council for Water Resources with the aim to manage the use of water resources to mitigate the effects of natural disasters resulting from drought and floods, to protect these resources from pollution and degradation; to develop a long-term national water management program; supervise the withdrawal of water from rivers and groundwater for the purposes of irrigation, drinking, industry, hydro-power generation and sanitation; the Ministry of Irrigation and Water Resources issues permits for water withdrawal; allocation of specific quantities of surface or underground water for extraction; distribution of water for fair use; and organize the drilling of deep surface wells.
	Irrigation and Drainage Act	The Act regulates any activity related to irrigation or drainage through requirement of permits from the Ministry of Irrigation and Water Resources
Sudan	Combat Desertification Law of 2009.	The Law aims to achieve the elimination or mitigation of desertification; promote human development. It established the National Council to Combat Desertification; the General Secretariat; the State Council to Combat Desertification; and the National Fund to Combat Desertification. The Law regulates the cultivation of marginal lands; requires the establishment of a tree belt in all farms in arid and semi-arid regions; requires a feasibility study for any agricultural project; establishes legislation for environmental protection from solid and liquid factories waste, highly toxic pesticides; encourages the use of technologies that help land protection; promote educational programs and scientific researches on soil protection, land reclamation, and water management and conservation.
Sudan	Environmental Protection Act of 2001.	The aims of this Act are to: (a) protect the environment; (b) develop and improve the environment as well as guide the use of natural resources; (c) make a connection between environment and development; (d) assure and confirm responsibilities of the competent Authorities for the protection of the environment; and (e) established the High Council for Environment and Natural Resources.
	Environment Health Act No. 1 of 2009.	This Act establishes the Environmental Health National Council, defines its composition, competences and powers; it regulates the air and potable water and combats disease vectors; It also regulates public health, hazardous waste, as well as solid and liquid waste among other general provisions
	National Biosafety Law No.15 of 2010.	Law prohibits the direct release of genetically modified organisms or products into the environment or food, feed or vaccine, or manufacturing, import or export GMOs, which could have risks to human health, biodiversity and the environment. It established the Bio Safety Council
	Law No. 569 of 1973 on food control.	The aim of this Law is to protect the public health by laying down requirements and rules for the distribution and sale of food. The Law is enforced by the Ministry of Health and prohibits the distribution and handling of harmful food; defines requirements and conditions for exported, canned and packed food; sets the standards for the control and inspection on food; and establishes the Consultative Committee for Food Control.
	Wildlife and National Parks Protection Act of 1986.	This Act protects wildlife, and conserves national parks; it regulates the development of the wildlife resources; and implements the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES);
Chad	Law nº 016 / PR / 99 for the Water Code.	The Law covers inter alia special conditions for the collection, treatment, storage, supply of drinking water and sanitation; conditions surrounding the use of water; the approval mechanism for hydraulic works; and offenses and penalties for non-compliance. All water resources are a collective asset forming part of the public domain of the State and their exploitation is subject to declaration or authorization by the Ministry of Water.
	Law nº 014 / PR / 98 defining the general principles of environmental protection.	This law is establishes the fundamental principles for the sustainable management of the environment and its protection against all forms of degradation, in order to safeguard and develop natural resources and improve the living conditions of the population.
	Decree n ° 630 / PR / PM / MERH / 2010 OF AUGUST 04, 2010 regulating environmental impact studies.	This decree sets out the procedures for implementing the environmental impact studies. It requires that the prior authorization of the Minister responsible for the environment be submitted to all developments, structures and works likely to have incidences and significant effects, as well as harmful consequences on the biophysical and human environment, in particular in particularly sensitive areas such as forests, arid or semi-arid areas prone to desertification, oases and wetlands.
	Decree n ° 904 / pr / pm / merh / 2009 regulating	This Decree defines the rules relating to pollution and environmental nuisances, in accordance with Title V of Law No. 014 / PR / 98 of August 17, 1998. It aims to protect the environment against

pollution and nuisances to the environment.	any form of degradation, alteration and its sustainable management, as well as the improvement of the framework and the living conditions of the population are of public order.
Order No. 0059 / MSP / DG187 / DACS / 96 regulating the import, distribution and use of pesticides usable in public health.	This decree regulates the import, distribution and use of pesticides that can be used in public health. The project wil comply with national and international standards on pesticide use. training on pesticide use and management will be integrated into the training programme for component 3.
Law No. 24 on Land Tenure and Customary Rights.	This law establishes the procedure for the registration of land ownership. This procedure consists in the establishment and registration of a title deed called a land title. The project will at all times ensure compliance with the land tenure laws, and that the rights to land tenure of the project beneficiaries are ensured beyond the project cycle, hereby ensure project sustainability.

DUPLICATION

Describe if there is duplication of project with other funding sources, if any.

Other projects	Summary of project	Synergies with proposed project.
Chad		
AfDB / Green Climate Fund (GCF) – Programme for integrated development and adaptation to climate change in the Niger Basin (PIDACC/NB) USD 210 million (2018-2025)	This programme will implement a series of integrated and comprehensive actions that reduce the silting of the Niger River, improve natural resources management and enhance the population's ability to adapt to climate change. It also includes some mitigation activities, including through forestry and land use.	Multiple (9) countries but no geographical overlapping in Ouaddaï. Synergies or lessons learned may be explored during design consultations.
AfDB / GEF – Strengthening rural and urban resilience to climate change and variability by the provision of water supply and sanitation in Chad. As part of the AfBD Programme d'approvisionnement en eau potable et d'assainissement en milieux semi urbain et rural de onze regions, (PAEPA SU MR phase 1) USD 36.5 million (2020- 2024)	The project aims to increase access to drinking water and sanitation services, as well as through job creation, especially for the youth and women. This will be achieved through the construction of boreholes, micro-irrigation systems, hand pumps, public latrines as well as the installation of piezometers and employment.	The project is part of a larger AfDB project called Programme d'approvisionnement en eau potable et d'assainissement en milieux semi urbain et rural de onze regions, (PAEPA SU MR phase 1) and while there are potential synergies in sanitation and water-related activities there is no geographical overlap with the AF project. The project is located in the Logone region in the south of Chad bordering Cameroon. It is also located in Borkou in central-northern Chad, Tibesti on the border with Niger and Libia, and Ennedi on the northern border with Sudan and Libia.
IFAD / GEF – Enhancing the Resilience of the Agricultural Ecosystems USD 32 million (2015-2022)	The overall goal is to contribute to the sustainable improvement of food security and incomes of rural households and improve the resilience of agricultural systems and the economy of rural households to climate chance and external shocks.	The project focuses on the Sahelian areas of Chari Baguirmi, Hadjer Lamis, Guéra and Batha. There is no geographical overlap with the SCCIWM project. Synergies or lessons learned may be explored during design consultations.
World Bank – Chad Local Development and Adaptation Project. USD 54.5 million (2020-2025)	The objective is to improve the management of natural resources and the livelihood of populations in selected climate vulnerable areas in and around the Ouadi Rime and Ouadi Achim (OROA) reserve in Chad.	The project is taking place in Ouadi Rime and Ouadi Achim in the centre and northern parts of Chad. There is no geographical overlap with the SCCIWM. Synergies or lessons learned may be explored during design consultations.
UNDP / GEF - Chad National Adaptation Plan Advancement Project (USD 33.7 million)	The project will contribute to the advancement of the Chad National Adaptation Plan (NAP) process. To this effect, the objective of the project is to facilitate the integration of adaptation to climate change into the medium-and long-term planning and budgeting processes of climate-sensitive sectors.	The AF project proposal design will aim to consult with UNDP to develop synergies for the furthering of the NAP process in Chad as the project will result in similar outputs in increasing access to the socioeconomic and climate information needed to inform planning and policy making in climate- sensitive sectors. As well as working to integrate

Other projects	Summary of project	Synergies with proposed project.
		medium and long-term climate considerations into planning and budgeting processes.
Sudan		
GCF / UNDP - Building resilience in the face of climate change within traditional rain fed agricultural and pastoral systems in Sudan USD 25.6 million (2021-2026)	The overall goal is to promote a paradigm shift in dryland pastoral and farming systems through i) an integrated approach by increasing resilience of food production systems; ii) improving availability / access to climate resilient water sources; and iii) strengthening capacities of institutions/communities on climate resilience.	Project locations include: West Darfur, Central Darfur, East Darfur, Western Kordofan, South Kordofan, Kassala, Red Sea , Northern and Khartoum state. There is a strong chance of overlap and synergies that will be further explored during full project design to minimise risks.
United Nations Environment Programme (UNEP) – The Wadi El Ku catchment management project – phase 2 USD 1,1934,000 (2017-2022) North Darfur	The project aims to expand and promote scientific information for improved integrated water resources management (IWRM) and early warning systems. It will also demonstrate and promote improved agricultural and natural resource management approaches and cooperation mechanisms.	North Darfur – no geographical overlap although synergies or lessons learned may be explored during design consultations.
AfDB – Sustainable Rural Water Supply and Sanitation Project for North and South Kordofan UA 25,2 million (2019-2024)	The objective of the project is to improve households' livelihoods and resilience against climate variability and change through provision of reliable water and sanitation services and economic empowerment.	North and South Kordofan – no overlap although synergies or lessons learned may be explored during design consultations.
GEF – World Bank Sustainable Natural Resources Management Project USD 23.5 million (2020-2023) in Gedarif and Khartoum	To increase the adoption of sustainable land and water management practices in targeted landscapes. Overarching goal: Reduce environmental degradation and vulnerability of rural poor and marginalized people to climatic impacts.	No geographical overlap although synergies or lessons learned may be explored during design consultations.

LEARNING, KNOWLEDGE MANAGEMENT AND LESSONS LEARNED

If applicable, describe the learning and knowledge management component to capture and disseminate lessons learned.

113. Knowledge management and knowledge generation will be an integral part of the project and fully mainstreamed throughout the project components. The project will introduce a number of innovative approaches and technologies as pilots that will have the purpose to demonstrate technology and will be showcased to other national and international partners. These will primarily involve the Early Warning System (EWS) and the Multiple water Use (MWU) technologies. Training will be provided under component 1 for the pilot EWS as well as the people-centred EWS element where community-based approaches will be developed to develop plans and strategies to mitigate against drought and flooding. EWS awareness will also mainstreamed through the other components as beneficiaries will be developing community disaster preparedness plans that involve all elements of the Integrated Water Management (IWM) approach of the SCCIWM. Through component 2 the project will be raising awareness about the importance of sustainable water management and use as well as about the importance of sanitation to combat disease and COVID-19. Training and awareness raising will also be provided for the operation and maintenance of the rootzone technology for water reuse.

114. The training programmes and awareness raising activities will also be based on the lessons learned and best practices generated from previous FAO pilots. These produced guidelines for improving crop water productivity in small-scale agriculture, improving water-use efficiency (including water accounting) in small-scale agriculture, policy development in improving water productivity and efficiency in small-scale agriculture, and the best practices relating to 42 water harvesting techniques already extensively applied in Uganda, Burkina Faso and/or Morocco. The project will also aim to develop guidelines based on the lessons learned and best practices from the climate-resilient agricultural practices developed in component 3 as part of the broader IWM approach being developed by the SCCIWM.

115. While the project will have training and awareness raising on climate change and sustainable natural resource management (NRM) throughout the project, the regional platform in component 4 will be key for international training by experts to share lessons and demonstrate project results to national and international partners for future replication and

upscaling. This platform will inter alia help raise awareness but also mainstream water resource information systems into national and regional platforms contribute to policy development and institutional strengthening.

CONSULTATIVE PROCESS

Describe the consultative process, including the list of stakeholders consulted, undertaken during project preparation, with particular reference to vulnerable groups, including gender considerations, in compliance with the Environmental and Social Policy of the Adaptation Fund.

116. The consultation for the SCCIWM Concept Note was undertaken by FAO in close collaboration with FAO Country offices in Khartoum, Sudan and N'Jamena, Chad. Due to the global COVID-19 pandemic, the consultations have had to be conducted remotely through video conferences, over the phone interviews and through gender-responsive, questionnaire-based beneficiary consultations whilst ensuring that national and international COVID-19 safety requirements were being upheld. The consultations for both Sudan and Chad involved ministerial-level and civil society / NGO presentations of an initial pre-concept proposal that was shared in advance to ensure validation and participatory development. Video conference consultations were held with ministries and civil society in both countries, the attendance lists of which are presented in annex 3.

117. With regards to Sudan, the first roundtable meeting was attended by the Higher Council for Environment and Natural Resources; the Hydraulic Research Centre; Federal Ministry of Irrigation and Water Resources, the Groundwater and Wadis Directorate of the Federal Ministry of Irrigation and Water Resources; the Water Harvesting Research Institute, Agricultural Research Corporation; the Agriculture Production Administration, Federal Ministry of Agriculture and Natural Resources; the Undersecretary Office of the Federal Ministry of Agriculture and Natural Resources; the Undersecretary Office of the Federal Ministry of Agriculture and Natural Resources; the Ministry of Infrastructure and Urban Development; and the Ministry of Production and Economic Resources as well as the country and regional office specialists. A second meeting was held with civil society that was attended by the JAMAR (national NGO); the Sudanese Red Crescent; WHH (German Agro Action); the national NGO Abu Hadia Society for women and community development; Veterinarians Without Borders, Germany (VSFG); and the Sudanese Organisation for Research and Development (SORD). A national-consultation meeting with national stakeholders in Chad was held and was attended by the Ministry of Environment and Fisheries; the Ministry of Agriculture; the Ministry of Ministry of Livestock and Animal Production; the national NGO Badia and FAO country and regional experts. The consultations in chad were more challenging than in Sudan due to available technology and the remoteness of the proposed project area.

118. The meetings were constructive and productive in providing validation of the preparatory work that had been conducted by FAO in the preparation of the initial pre-concept. Some of the concerns that were raised and have been addressed in the development of the proposal included the strengthening of the link with COVID-19, the need to identify and promote synergies with other projects in the area and to avoid duplication such as with the GCF project in Darfur, which has been done through section II-G and will be further developed during full project design. Participants were keen to highlight the important impact that the project will have in helping vulnerable communities adapt to increasing temperatures and the stress this will cause on water availability and variability, making food production more challenging. It was also reminded to focus on adaptation measures on reducing the sensitivity on rainfall levels; improving resilience to climate variability and improving heat tolerance; the importance of water efficiency in agricultural production and water harvesting, for which site selection is important.

119. The project also consulted with sample beneficiaries in the target area, because of COVID-19 and the limited internet availability in remote areas, these were done through questionnaires and telephone interviews. Questionnaires were designed and shared with FAO country offices who in turn shared them with regional offices and ultimately with community leaders in 9 sample villages with the instruction for consultations to be gender-responsive. The community leaders had the time to ask their respective communities and follow-up telephone calls were made to collect the information. The picture that emerges from the beneficiary survey in the target area is that all villages only have access to well or wadis as their sources of water and 1 out of the 9 villages only had wadis as their source of water. On average and as expected, the majority of women (70%) were involved in collecting water both from the wells and from the wadis compared to 30% of men. In terms of awareness about sanitation issues men responded 77% that they were aware about the importance of sanitation compared to 50% of women. With respect to the number of people that were affected by COVID-19 there was a 100% response rate with a unanimous response that the whole community is affected by COVID-19 due to an increase in the price of essential goods and severely reduced mobility to major centres to sell their local produce. All surveyed villages also demonstrated a 100% awareness about climate change with 8 out of 9 villages reporting reduced water availability; 6 out

of 9 villages reported a feeling that drought events are becoming more frequent; 7 out of 9 villages report an increase in animal disease and 3 out of 9 villages report increases in severe flooding events as a major concern.

JUSTIFICATION FOR FUNDING

Provide justification for funding requested, focusing on the full cost of adaptation reasoning.

Table 4 Adaptation Fund Additionality

Baseline Scenario	Alternative benefits of Adaptation Fund Project
Climate disasters. Over the last 3 decades rainfall has decreased over eastern Africa and the Sahelian region is experiencing the full impact of climate change with rainfall deficits and severe droughts, but also heavy rains and severe flooding with devastating consequences on people's livelihoods. Insufficient rain- fed irrigation means that crops fail or are destroyed, while livestock struggle to find water for drinking and sufficient pasture. Historical drought events in Sudan in 1984 and 1990 have seen severe reductions in GDP of around 20% as agricultural production ground to a halt. The scarcity of natural resources is leading to conflict	The adaptation Fund will support the piloting of an innovative Early Warning System (EWS) based on proven technology from a previous FAO pilot in Lebanon. The EWS will enable the collection and centralisation of real-time ground and surface water monitoring as well as climate-risk data that will help in providing early warning of both drought and flood events. This will be an essential tool giving policy makers and communities on the ground the information required to make preventative plans and adaptive livelihood strategies and implement them ahead of future climate events, helping to save lives and livelihoods. This essential tool will be made available in a user-friendly format and at the regional and national levels and will help institutional capacity building and informed policy making while at community level, plans will be made for awareness raising and capacity building for a people-centred EWS.
The scarcity of natural resources is leading to conflict and migration as near surface temperatures have increased over the last 50 years and land is deteriorating and losing its fertility under the combined effects of drought and floods. In 2020 alone 400,000 people in Chad and around 500,000 in Sudan have been adversely affected by floods forcing many into food insecurity. Climate change forecasts predict an increased climate variability with more intense droughts and floods by 2050. In response to the repeated and increasing intensity of climate emergencies in Sudan, in 2016 FAO piloted the Early Warning Early Action (EWEA) initiative that has demonstrated to enable pastoralists to reduce the impact of drought and providing a return on investment of around USD 7 per household for every USD 1 invested.	The EWS system will form an overarching community response mechanism to mitigate risks associated with drought and also flooding that will be fully integrated into the other components and form an integral part of the IWM approach of the project. Part of the function of the EWS will be to provide a centralised and easily accessible database in both countries documenting information on geography, hydrology, environment including climate, agriculture and technical and socio-economic dimensions. This information will play a central role in building the understanding of the potential climate risks as well as being a resource whereby it will be possible to better identify locations and the types of interventions that are most needed. These could include the identification of areas with most critical underground water exploitation levels and where vulnerable refugees and IDPs are located, also where a greater concentration of water augmentation infrastructure could be needed under component 2 to reduce the vulnerability to a drought event. Other examples could include the identification of areas subject to frequent flooding that cause devastation to agricultural land leading to increased food insecurity. In such cases the project could develop interventions based on the data collected by the EWS to locate flood protection and surface and underground floodwater harvesting infrastructure.
	The function of the EWS will not be to only provide data for component 2, it will also form an integral part of component 3. The aim of the people-centred EWS will be to help communities develop response plans to drought and the development of climate-resilient agriculture techniques, drought-resistant crops and efficient on-farm technologies will be central to the integrated approach to build drought response mechanisms through advanced planning. Advanced knowledge of droughts and flooding and the development of a community response mechanisms can include for example early harvesting of crops or better community policing of water usage rates during period of water scarcity. Such approaches and response mechanisms will be fully integrated into the training in component 1 and also fully integrated into the training in components aim to be mutually reinforcing and aim to develop a 'virtuous circle' cycle of development and climate-resilience.

Baseline Scenario	Alternative benefits of Adaptation Fund Project	
	of the project nationally and also internationally as well as identify areas for institutional strengthening and EWS and DRR policy development.	
Water, Sanitation and COVID-19. Water insecurity is a main factor in the region's struggle to develop. Over the past half a century seasonal surface water availability has become less dependable, desertification has increased as well as rates of surface water run-off together with siltation rates and reduced rates of aquifer recharge. Climate change and conflict have resulted in unsustainable rates of local groundwater extraction in some areas.	As part of FAO's SMART irrigation – SMART WASH initiative, the project will be introducing innovative multiple water use (MWU) solutions aimed at providing vulnerable users with low-cost services for domestic water, water for irrigated and rainfed agriculture, household use, food gardens, cattle, and rural enterprise water supplies. Multiple use systems also support important functions that are essential for local well-being and livelihoods including flood control, groundwater recharge, water harvesting and water purification. Through output 2.1 the project will be creating multiple outlet structures such as dams, ponds, check dams, hill lakes, retention basins for irrigation, livestock and	
Extreme weather events and increasingly variable and unpredictable precipitation worsened by a changing climate, affect sanitation systems and the infrastructure, water resources, water services, and social and governance systems on which sanitation depends; the direct and indirect effects on sanitation pose a danger to human health and development.	nousehold purposes. This will be made possible by applying conjunctive water use technologies through harvested flood- and rainwater and artificially recharged groundwater. At on-farm level, output 2.2 will develop resource- efficient water supply systems through the upgrading of existing irrigation systems as well as the creation of new ones such as canals and spate irrigation systems for multiple purposes of irrigation subject to water scarcity and feasibility studies. The piloting of the innovative water purifying nature-based	
The water and sanitation situation in the project target areas is challenging as in the Ouaddaï region only 3% of households have access to a water source without risk of contamination and just 14% of women with children have knowledge of appropriate hand washing techniques. In West Darfur region around 17% of households have access to improved sanitation facilities.	solution (rootzone technology) will further contribute to reducing water insecurity through the reuse of wastewater. Through the activities in component 2, the project will help ensure the climate-resilience of agriculture, livestock and households, improved sanitation and also help combat COVID-19.	
COVID-19 is a global pandemic with a significant mortality rate. Combating it requires awareness about sanitation, improved sanitation, safely managed water, and hygiene services but this is being challenged by the limited access.		
Livelihoods. Farmers in the area have long struggled with challenging environmental conditions that include moisture or soil fertility constraints depending on the time of year. Additionally, climate change forecasts predict temperature increases of up to 3°C by 2050 in the region but also increasing rainfall variability with increased frequency of both droughts and floods further aggravating the precarious situations of already vulnerable communities. In the target area soil depletion is a major factor affecting production. During periods of poor production particularly during the lean season, locals are heavily dependent on local markets for food. As a baseline there is a fundamentally weak resilient capacity of communities to external shocks on livelihoods such as irregular rains and flooding which is aggravated by a changing climate.	The project will identify existing and otherwise create new Village Development Committees (VCD), in Sudan and Groupements in Chad and train them as well as government field extension agents and NGOs through farmer field schools. The component will train the target groups on nutrition sensitive and climate resilient technologies such as grow-bags, wicking beds, drought tolerant crops, diversification in production and water efficient practices. It will also include the use of climate resilient practices such as conservation agriculture, low cost-green- house technology, drip irrigation and other water efficient techniques. Women will be taught about agricultural diversification with a focus on small ruminants, poultry, kitchen gardening including support to smallholder beekeepers for honey production and processing. Beneficiaries will also be trained on drought tolerant crop varieties, climate change adaptation and risk management in farming and sustainable livestock management practices, water efficient practices and technologies, sustainable livestock management and feed production, detection of animal disease symptoms and management.	
Aggravated by a changing climate. COVID-19. The response to the virus has caused limited availability and access to food through increased market prices, that is further aggravating food insecurity of communities that are already some of the most vulnerable in the respective countries. Vulnerable families are forced to resort to low quality and quantity of food resulting in undernutrition. It is expected that consumption patterns will shift towards lower quality and quantity increasing malnutrition	Component 3 will furthermore provide investments in climate change adaptation technologies, including suitable equipment and inputs for efficient irrigation, conservation agriculture and sustainable drought-resistant farming. Activities will include use of heat / drought tolerant, disease / pest tolerant climate-resilient crop varieties, crop residues retention and organic fertilizers. This will promote better soil moisture storage and retention capacity and optimal use of irrigation water, while ensuring a more stable and improved production, while also preventing environmental problems such as drought and soil erosion.	

SUSTAINABILITY

Describe how the sustainability of the project outcomes has been taken into account when designing the project.

120. The sustainability of the project stems from the participatory approach promoted throughout all project activities, that allow local communities and authorities to build ownership of the project and help ensure lasting results. The sustainability of the project is further enhanced through the combination of developing a community-based EWS supported through low-tech water monitoring equipment that is easy to operate, maintain and repair. Sustainability will be further enhanced through efforts at regional level to train and support national efforts in policy development in improving water productivity and efficiency in small-scale agriculture.

121. The project aims to influence IWM practices beyond project implementation. To achieve this, it builds on the successes and lessons learned of the pilot projects implemented by FAO in Lebanon, Morocco, Uganda and Burkina Faso. These technologies have been demonstrated to reduce water consumption, reduce labour costs, increase production for more and better-quality agricultural produce. Crucially however, the FAO pilots have shown that there is great appetite among farmers to adopt modern water efficient agricultural technologies. This project will upscale efforts by FAO that have been proven to be sustainable through a widely participatory and consultative approach that will welcome beneficiary inputs and ultimately ownership at the smallholder, extension worker, national and regional levels.

122. Project sustainability will be further strengthened through the training programmes that will be implemented for all components. The project area is one of environmental precariousness with significant stresses on limited water resources. The project will be environmentally sustainable as it will conduct detailed hydrological, geological studies and feasibility assessments and work to increase ground and surface water availability. A central tenet of the project will be to promote conjunctive and multiple water-use based on the principle of striking a water balance ensuring that the project will not extract more water than it is putting back underground or making available in surface storage.

ENVIRONMENTAL AND SOCIAL IMPACT RISKS

Provide an overview of the environmental and social impacts and risks identified as being relevant to the project.

Checklist of environment al and social principles	No further assessment required for compliance	Potential impacts and risks – further assessment and management required for compliance
Compliance with the Law	Further assessment	As detailed in section II – F the project will be in compliance with relevant Laws, Degrees and Acts in both countries. The processes of compliance will be further detailed in the full proposal.
	will be conducted for full proposal	Conflict. In a sign of the improving security situation in Darfur, the joint United Nations-African Union mission in the Darfur region of Sudan (UNAMID) confirmed the decision to close the mission, which followed the unanimous adoption of a Security Council resolution on 22 December 2020, and progress made by the transitional Government of Sudan in addressing the conflict in Darfur. This follows developments in October 2020 when a milestone peace agreement was reached between the Sudanese authorities and two armed groups in Darfur. The risk of armed conflict has reduced, however the project will comply with security protocols and integrate community-level conflicts. The project will also aim to reduce the vulnerability associated with access to scarce resources that has been a historical driver of community conflict in the area. The full project design will also consult with international partners on how best to mitigate risks associated to conflict in the project area.
Access and Equity		The project will not reduce or prevent communities in the targeted areas from accessing basic services. The project will take a number of transparent steps that will help ensure that the benefits of the project are being distributed fairly with no discrimination nor favouritism. Project targeting will comprise targeting

		AFB/PPRC.27/18
Checklist of environment al and social principles	No further assessment required for compliance	Potential impacts and risks – further assessment and management required for compliance
		criteria based on gender and age quotas. The project will advertise broadly through the mass media (radio, social media, town hall and village meetings, workshops etc.) for the implementation of an outreach/mobilisation strategy.
Marginalized and Vulnerable Groups		Marginalized and vulnerable groups including internally displaced people and refugees, women and youth will be consulted during the proposal development process to ensure that their identified threats, priorities and mitigation measures are reflected. This project will empower vulnerable groups to make decisions on concrete adaptation actions, valuing their traditional and local knowledge. This project will encourage women, and youth to choose adaptation activities in a transparent and participatory manner. Additionally, this project will respect land, property and customary rights.
Human Rights		The Most recent Office of the High Commissioner on Human rights (OHCHR) Special Procedures Report for Darfur (2019) highlights a number of human rights concerns namely
		 Sexual and gender-based violence and conflict-related sexual violence. To address this risk the project will integrate gender-based violence (GBV) awareness into all project training activities. The situation of internally displaced persons lacking adequate food, safe drinking water and adequate health care. The project will address this concern by directly targeting vulnerable IDP camps for project activities that will help alleviate the absence of access to food, water and sanitation.
		The OHCHR most recent Special procedures report for Chad highlighted a number of issues that the project will try to address, namely:
		 Violence Against Women and concerns relating to the prevalence of violence against women and girls as a problem deeply rooted in the country's patriarchal and traditionalist society. Particularly women refugees are found to be vulnerable to GBV. The project will mainstream training on GBV into all project training activities. Women victims of multiple forms of discrimination. The report recommends that the development of long-term strategies for the empowerment of rural women needs to be a priority. The project will promote gender equality and include women guotas and promote women participation in rural
O a mada m E an itu		decision-making bodies and Groupements.
and Women's Empowerment		are integrated in each activity. The project targeting strategy will have gender quotas and will promote women leadership in public spaces and decision-making power for climate change adaptation and food security and nutrition. During project formulation women machinery will be consulted at national and local level in both Chad and Sudan and a full Initial Gender Assessment will be conducted that will enable the appropriate risk screening of the ESP 5 on Gender Equality and Women's Empowerment.
Core Labour Rights		Sudan and Chad have been members of ILO since 1956 and 1960 respectively. Sudan has ratified 7 fundamental conventions on forced labour; the right to organise and collective bargaining; equal renumeration; abolition of forced labour; discrimination; minimum age; and child labour. The 2020 Report of the Committee of Experts to the 180th International Labour Conference, on the Application of Convention and Recommendations (CEACR) reports concerns vis-à-vis Sudan on forced labour. In response to this risk, the project will at all times ensure workers rights are respected at all times and upheld to international standards. Chad has ratified 8 fundamental conventions on forced labour; the right to organise and collective bargaining; equal renumeration; abolition of forced labour; freedom of association; discrimination; minimum age; and child labour. One of the main concerns of the CEACR for Chad was that of forced child labour. The project will ensure that at all times international labour standards will be applied at all times.
Indigenous Peoples		According to the Indigenous World 2020 34 th edition, there are no indigenous people in Chad and Sudan and not in the target project area.
Involuntary Resettlement		The project will not engage in involuntary resettlement. All consultations will be based on the Free, Prior and Informed Consent (FPIC) Principle. Should a situation of resettlement or economic displacement arise during the implementation of the project that was not anticipated during design, the implementers and FAO will ensure that a consultation and negotiation process is undertaken with the potentially affected people, according to the FPIC and do-no-harm principles. In case no agreement is reached, the project implementers will modify the specific interventions associated with the affected people, or halt them if changes are not possible. In the case where project implementers fail to undertake a consultation and negotiation process with the affected people, according to the FPIC and do-no-harm principles, the conditions and terms of the agreement could be considered to be breached and suspended, following IFAO normal procedures for suspension.

		AFB/PPRC.27/18
Checklist of environment al and social principles	No further assessment required for compliance	Potential impacts and risks – further assessment and management required for compliance
Protection of Natural Habitats		The project is not expected to have any negative impact on critical natural habitats including those that are (a) legally protected; (b) officially proposed for protection; (c) recognised by authoritative sources for their high conservation value, including as critical habitat; or (d) recognised as protected by traditional or indigenous local communities. Based on preliminary findings the project area (Sudan and Chad) does not contain natural habitats that are legally protected; officially proposed for protection; recognized by authoritative sources for their authoritative sources for their high conservation value, including as critical habitat; or recognized as protected by authoritative sources for their high conservation value, including as critical habitat; or recognized as protected by local communities.
Conservation of Biological Diversity		The project area has not been found to contain UNESCO biosphere reserves or RAMSAR sites applicable to this ESP.
Climate Change		The project will not have any negative impact on climate change. The project does not promote any drivers of climate change (energy, transport, heavy industry, building materials, large-scale agriculture, large-scale forest products, and waste management), it will therefore not contribute to climate change as it is based on the premise of assisting smallholders to adapt in a climate neutral fashion.
Pollution Prevention and Resource Efficiency		The proposed project activities will not pose any significant pollution risks and no further assessments will be required. The project will bring environmental benefits in integrated water management (IWM) and climate change adaptation, bring innovative solutions in waste water management and generally improved access to water and reduced inefficiencies in water management.
Public Health		With a focus on improved access to conjunctive and multiple water use including sanitation as well as introducing disaster response mechanisms, the project will have direct positive impacts on health and indirectly through improved livelihoods and nutrition. It is expected that the project will also improve health situations in relation to COVID-19.
Physical and Cultural Heritage		Sudan ratified the Convention Concerning the Protection of World Cultural and Natural Heritage in 1974 and Chad in 1999. The project area does not contain UNESCO World Heritage Sites. Concept note findings also concluded that are also no national cultural heritage sites in the project area.
Lands and Soil Conservation		The project will promote sustainable land management practices including sustainable water management and sustainable agricultural practices. The activities will not negatively affect land and soil conservation.

PART IV: ENDORSEMENT BY GOVERNMENTS AND CERTIFICATION BY THE IMPLEMENTING ENTITY

A. Record of endorsement on behalf of the government¹⁰⁵

Provide the name and position of the government official and indicate date of endorsement for each country participating in the proposed project. Add more lines as necessary. The endorsement letters should be attached as an annex to the project proposal. Please attach the endorsement letters with this template; add as many participating governments if a regional project:

Mrs Fatime Ousmane, Geographer and Environmentalist, Ministry of Environment and Fishery	Date: January, 12, 2021
Professor Rashid Mekki Hassan, Secretary General, Higher Council for Environment and Natural Resources (HCENR) and National UNFCCC Focal Point	Date: January, 12, 2021

B. Implementing Entity certification

Provide the name and signature of the Implementing Entity Coordinator and the date of signature. Provide also the project contact person's name, telephone number and email address

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans (.....list here....) and subject to the approval by the Adaptation Fund Board, <u>commit to</u> <u>implementing the project in compliance with the Environmental and Social Policy of the</u> <u>Adaptation Fund</u> and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project.

Hind Salm

Maher Salman Implementing Entity Coordinator

Date: 02-17-2021

Tel. and email:

^{6.} Each Party shall designate and communicate to the secretariat the authority that will endorse on behalf of the national government the projects and programmes proposed by the implementing entities.

	0039 06 57054718 Maher.Salman@fao.org
Project Contact Person: Maher Salman	
Tel. And Email: 0039 0657054718; Maher.S	Salman@fao.org

ANNEX 1 ENDORSEMENT LETTERS





Letter of Endorsement by Government

Republic of Chad

January 12th, 2021

To: The Adaptation Fund Board c/o Adaptation Fund Board Secretariat Email: afbsec@adaptation-fund.org Fax: 202 522 3240/5

Subject: Endorsement for Strengthening Resilience to Climate and Covid-19 shocks through Integrated Water Management on the Sudan – Chad Border area (SCCIWM)

In my capacity as designated authority for the Adaptation Fund in Chad, I confirm that the above regional project proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in Eastern Chad (Asoungha department, Ouaddaï province).

Accordingly, I am pleased to endorse the above project proposal with support from the Adaptation Fund. If approved, the project will be implemented by the Food and Agriculture Organization of the United Nations (FAO) and executed by the Ministry of Environment and Fisheries and the Ministry of Agriculture.

Sincerely,

Mrs. Fatime Ousmane Geographer and Environmentalist Ministry of Environment and Fishery



ANNEX 2 PROJECT COMMAND AREA



Note: The size of Lake Chad is defined as per the EU Global Surface Water: <u>https://global-surface-water.appspot.com/download</u>. Water seasonality only extends to Lake Chad location and is defined as: "the intra-annual behaviour of water surfaces for a single year (2019) and shows permanent and seasonal water and the number of months water was present."



ANNEX 3 LIST OF PEOPLE MET

Adaptation Fund – Consultative Meeting, Sudan 21 st December 2020
Strengthening Resilience to Climate and Covid-19 shocks through Integrated Water Management
on the Sudan – Chad Border area (SCCIWM)

List of participants

Name	Position	Organisation	Gender	Telephone / Email
Mr. Rashid <u>Mekki</u> Hassan	Secretary General	Higher Council for Environment and Natural Resources	Male	+249183784279 hcenr2005@yahoo.com
Mr. <u>Nagmeldin</u> Goutbi	Senior Researcher	Environment and Natural Resources Specialist, Higher Council for Environment and Natural Resources	Male	+249912252578 goutbi@yahoo.com
Ms. Rania <u>Elsadig</u>	Environment Inspector Assistant	Higher Council for Environment and Natural Resources	Female	+249912821181 ranosh2244@hotmail.com
Mr. Younis Abdalla	Senior Researcher	Hydraulic Research Centre, Federal Ministry of Irrigation and Water Resources		+249122607967 hrs_younis@hotmail.com
Ms. Nahid Abdulrasoul	Geological Engineer	Groundwater and Wadis Directorate, Federal Ministry of Irrigation and Water Resources	Female	+249124932666 nahoda009@gmail.com
Mr. Khalid Elnoor	Irrigation Engineer	Federal Ministry of Irrigation and Water Resources	Male	+249122592797 hrs_khalid@yahoo.com
Mr. Elwaleed Mohamed Elamin	Director	Water Harvesting Research Institute, Agricultural Research Corporation	Male	+249912889300 melwaleed@yahoo.com
Mr. Abaelmagied M. Eltayeb	Director General	Agriculture Production Administration, Federal Ministry of Agriculture and Natural Resources	Male	+249915103572 mageedtayeb@yahoo.com
Mr. Ahmed Omer Ahmed	Executive Director	Undersecretary Office, Federal Ministry of Agriculture and Natural Resources	Male	+249912655091 ahmedomer181818@gmail.com
Mr. Adam Ali Ahmed	Director General	Ministry of Infrastructure and Urban Development – West Darfur State	Male	+249918010726
Mr. Osman Hussein	Director General	Ministry of Production and Economic Resources	Male	+249999991131 Osmanahmahussein@gmail.com

Name	Position	Organisation		Telephone / Email
Mr. Khaleel Zayed Ibrahim	State Coordinator	Food Security Technical Secretariat – Ministry of Production and Economic Resources	Male	+249118998938 atazay05@yahoo.com
Mr. <u>Moawia</u> Omer Mustafa	Director General	Ministry of Infrastructure and Urban Development	Male	+249915142959 muawiamustafa466@gmail.com
Mr. Mohamed Abdalla Tahir	Director General	Ministry of Production and Economic Resources Male		
Mr. Ali Mohamed Salah	Chair	Higher Council for Management of Water Resources, and Director General – Ministry of Infrastructure and Urban Development		+249911131483 <u>3alisala71961@gmail.com</u>
Mr. Elwathig Mukhtar	Assistant FAO Representative for Programme	FAO	Male	+249912396711 Elwathig.MukhtarHamid@fao.org
Ms. Aisha <u>Oshiek</u>	Senior Natural Resources Officer	FAO	Female	+249912299259 Aisha.Oshiek@fao.org
Mr. Mohammed Nour Maninai	National Technical Advisor	FAO	Male	+249912538531 Mohammed.Maninai@fao.org
Mr. Ibrahim Ahmed Mustafa	Social Mobilizer	FAO	Male	+249963236211 Siddig.Abufudda@fao.org
Mr. Siddig Abufudda	Technical Field Officer	FAO	Male	+249912396712 Siddig.Abufudda@fao.org

Continued from left

Adaptation Fund – Consultative Meeting, Sudan 31st December 2020 Strengthening Resilience to Climate and Covid-19 shocks through Integrated Water Management on the Sudan – Chad Border area (SCCIWM)

List of participants

Name	Position	Organization	Gender	Email
Ghada Batran	Head of Office	JASMAR (National NGO)	Female	ghadabatran2211@gmail.com
Elmutalib Ibrahim	Technical Consultant	Sudanese Red Crescent	Male	hashim_225@hotmail.com
Mahmoud Elhai	Project Manager	WHH (German Agro Action)	Male	mahmoud.elhaj@Welthungerhilfe.de
Abdulgadir Ohai.	Chairman	Abu <u>Hadia</u> Society for women and community development (National NGO)	Male	abuhadia@yahoo.com
Abdalla Yahia	Programme Officer	Vétérinaires sans Frontieres Germany (VSFG)	Male	abdalla.adam@vsfg.org
Mustafa Ali	Project Manager	Sudanese Organisation for Research and Development (SORD)	Male	mustafato100@gmail.com
Elwathig Mukhtar	Assistant FAO Representative for Programme	FAO Sudan	Male	Elwathig.MukhtarHamid@fao.org
Aisha <u>Oshiek</u>	Senior Natural Resources Officer	FAO Sudan	Female	Aisha.Oshiek@fao.org
Hassan Mohamed	Technical Field Officer	FAO Sudan	Male	Hassan.Mohamed@fao.org
Mohammed Nour Maninai	National Technical Advisor	FAO Sudan	Male	Mohammed.Maninai@fao.org
Ibrahim Ahmed Mustafa	Social Mobilizer	FAO Sudan	Male	Ibrahim.AhmedMustafa@fao.org
Siddig Abufudda.	Technical Field Officer	FAO Sudan	Male	Siddig.Abufudda@fao.org

Adaptation Fund – Consultative Meeting, Chad 21st December 2020 Strengthening Resilience to Climate and Covid-19 shocks through Integrated Water Management on the Sudan – Chad Border area (SCCIWM)

List of participants

Name	Position	Institution	Gender	contact
<u>Fatimé</u> Ousmane <u>Daba</u>	Deputy Director of the Fight against Climate Change and Environmental Education, National Focal Point of the Climate Change Adaptation Fund	ght against Climate tal Education, National e Change Adaptation		ousmanefatime0@gmail.com
Kouesse Ramadane.	Deputy Technical Director of Environment and Sustainable Development. National Gender Focal Point at the UNCAC	Ministry of Environment and Fisheries Female		rkouesse2015@gmail.com
Oumar Abdelrahmane Abdoulaye	Director of Agricultural Hydraulics	Ministry of Agriculture	Male	oumarkarfo@hotmail.com
Adyl bechir	Director of the Organization of Livestock Professionals and the Securing of Pastoral Systems	Ministry of Livestock and Animal Production	Male	ady.lbechir@gmail.com
Ahmat Adoum Ngardiana	Coordinator	NGO BADIA	Male	adoumahmat107@gmail.com
<u>Mohamadou</u> Mansour Ndiaye	Representative	FAO	Male	Mansour.Ndiaye@fao.org
Mahamat Sorto	Head of Programme	FAO	Male	Mahamat.Sorto@fao.org
Maladonan Issa Bolmbang	Programme Technical Assistant	FAO	Male	Bolmbang.Maldonanissa@fao.org
Diarma Ali	National Consultant	FAO	Male	Ali.Djarma@fao.org

ANNEX 4 PROJECT ALIGNMENT WITH THE RESULTS FRAMEWORK OF THE ADAPTATION FUND

Project Objective(s) ¹⁰⁶	Project Objective Indicator(s)	Fund Outcome	Fund Outcome Indicator	Grant Amount (USD)		
Component 1 Developing i	ntegrated water res	ource information systems for cli	mate change adaptation in regional agriculture and food systen	ns		
Outcome 1 Enhanced adaptive capacity	Early warning system developed	Outcome 1: Reduced exposure to climate-related hazards and threats	1. Relevant threat and hazard information generated and disseminated to stakeholders on a timely basis	<mark>2,500,000</mark>		
assessment and monitoring to increase food security and	and functioning	Outcome 3: Strengthened awareness and ownership of	3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses	_		
agriculture preparedness		processes at local level	3.2. Percentage of targeted population applying appropriate adaptation responses			
Component 2 Reducing cli	mate vulnerabilities	s through improved access to wat	er for multiple uses			
Outcome 2 Improved water supply augmentation and integrated water resource management	No. of households benefitting from CWU and MWU water infrastructure	Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets	4.2. Physical infrastructure improved to withstand climate change and variability-induced stress	6,000,000		
multiple		Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress	5. Ecosystem services and natural resource assets maintained or improved under climate change and variability-induced stress			
Component 3 Improving fo	od security throug	h climate-resilient agricultural pra	ctices and technologies			
Outcome 3 Livelihood activities made climate resilient through application of climate-resilient agricultural practices	Number of households benefitting from climate-resilient agriculture	Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas	 6.1 Percentage of households and communities having more secure access to livelihood assets 6.2. Percentage of targeted population with sustained climate-resilient alternative livelihoods 	<mark>2,680,000</mark>		
Component 4 Enhancing regional cooperation on water resource development-based food security and climate change adaptation in agricultural and policy development						
Outcome 4 Regional adaptive capacity for food security	Regional platform developed for knowledge sharing,	Outcome 7: Improved policies and regulations that promote and enforce resilience measures	7. Climate change priorities are integrated into national development strategy	<mark>500,000</mark>		

¹⁰⁶ The AF utilized OECD/DAC terminology for its results framework. Project proponents may use different terminology but the overall principle should still apply 54

through regional cooperation increased strength	development stitutional thening. Outcome 8: Support the development and diffusion of innovative adaptation practices, tools and technologies	8. Innovative adaptation practices are rolled out, scaled up, encouraged and/or accelerated at regional, national and/or subnational level	
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ANNEX 5 BRIEF INITIAL GENDER ASSESSMENT.

Sudan

Demography, health and education

123. Gender inequality is high as the Gender Inequality Index places Sudan 130th in the rank of nations with an index of 0.545.¹⁰⁷ Women in Sudan comprise 50% of the general population, they form 23.8% of the female-headed households.¹⁰⁸ Women form around 43% of the labour force¹⁰⁹ of which 49% are employed in the agriculture sector, 22% in the service sector 2% in industry and 26% in other activities. Women engaged in agriculture are mainly involved in the traditional subsistence sector (78% - 90%), with only 10% being involved in the modern sector.¹¹⁰

124. **Health**. In 2018 the country had a fertility rate of 4.4 which has seen a steady decline over the decades from a high of around 7 in the 1970s. Women have a life expectancy of 66.7 as of 2018 while men 63.3 years.¹¹¹ Sudan spends just over 5% of its GDP on health, with private spending as the largest source for health spending. Out-of-pocket payments represented 75.5% of total health expenditure in 2014 Sudan has recently taken steps to expand its National Health Insurance (NHI) program from civil servants and formal sector employees only, to include all poor and vulnerable populations. Enrolment is now offered to all citizens under the same scheme, and coverage of vulnerable groups (pensioners, indigents) is subsidized by public funds. As a result, insurance coverage is reported to have increased rapidly in the past two years. The government has also recently implemented a free maternal and child health medicines program for all. Coverage with free medicines for children under 5 has increased; however, the availability of free pregnancy-related medicines remains low.¹¹²

125. **Education**. Sudan has one of the largest number of out-of-school children in the Middle East and North Africa region. It's estimated that over three million children here, aged 5-13 years, are not in the classroom. Seventy-six percent of primary age children attend school; in secondary that figure dips to 28 percent. Conflict, a lack of awareness about the importance of education and chronic under-development all contribute to the poor schooling of boys and girls in Sudan. The inability to pay fees—even though school is free per government policy—prevents many poor families from sending children to school. Finally, cultural pressures and the traditional views of the role of women mean fewer girls attend, and remain in, school. ¹¹³

Women in agriculture.

126. In the pastoral and traditional rainfed sector, women provide a considerable contribution to the household's wellbeing and food security. Women's specific responsibilities include: (i) in settled farming communities women practice farming, both on the household (HH) fields together with their husbands and on the jubraka land, generally 2 feddans (0.84 ha), where they mainly grow green vegetables, tomatoes, cowpeas, okra, millet and maize for both HH consumption and sale; (ii) all HH work, which includes preparing food, collecting fire wood and fetching water; (iii) childcare; (iv) rearing small animals; and (v) petty trade. The varied tasks mean that women generally work longer hours than men. In spite of their responsibilities, women have access to smaller plots of land and generally can control cash income coming from petty trade and poultry rearing, but are rarely involved in decisions concerning key productive assets, such as land and livestock sale. Women also have limited decision-making power in the household or within

¹⁰⁷ UNDP 2020 Human Development Report

¹⁰⁸ FAO <u>http://www.fao.org/3/x0176e/x0176e.htm</u>

¹⁰⁹ World Bank / ILO (2020) Ratio of female to male labour force participation rate (%)

https://data.worldbank.org/indicator/SL.TLF.CACT.FM.ZS?locations=SD

¹¹⁰ Karshenas, Massoud (2001). "Agriculture and Economic Development in sub-Saharan Africa and Asia". Cambridge Journal of Economics. 25 (3): 315–342. doi:10.1093/cje/25.3.315.

¹¹¹ World Bank <u>https://data.worldbank.org/indicator/SP.POP.TOTL.FE.ZS?locations=SD</u>

¹¹² World Bank (2017) Moving Toward Universal Healthcare. Sudan National Initiatives, Key Challenges, and the Role of

collaborative initiatives. http://documents1.worldbank.org/curated/en/929661513159699256/pdf/BRI-Moving-Toward-UHC-series-PUBLIC-WorldBank-UHC-Sudan-FINAL-Nov30.pdf

¹¹³ UNICEF https://www.unicef.org/sudan/education (accessed Feb 2021)

the community. Their empowerment is hindered by a high rate of illiteracy, persisting gender inequalities perpetuated by the customary law, and early marriage. Compared to men, women earn lower incomes, but tend to allocate more of their earnings to buy food items for their HH. Women headed HH are particularly vulnerable. They can be categorized in four main groups: (i) polygamous HH, which represent the largest segment; (ii) widows, in which the wife and the children remain attached to the late husband's relatives; (iii) women whose husbands have left; and (iv) households whose male head left temporarily to take up non-farm employment opportunities or enrolled in the army. In the absence of the husband, the HH are still under the nominal supervision of a male head, although it is up to the women to earn a livelihood and look after the children.¹¹⁴

Gender-Based Violence

127. Violence against Women and Girls (VAWG) is regarded as a prevalent and critical hindering factor for human development and peace-building in Sudan. The country has a weak normative framework regarding VAWG, as it is not a state party to the Convention on the Elimination of All forms of Discrimination Against Women (CEDAW). Women are disproportionately affected by the various conflicts and security situations across the country; yet their involvement in leadership and participation in peace talks, conflict resolutions, and peace building continue to reflect only token treatment.¹¹⁵ Gender-based violence against women in Darfur is widespread, particularly among IDP populations but also for women residing outside displacement camps. Although prevalence statistics are not readily available, sexual and physical assault of women and girls throughout the crisis in Darfur has constituted a systematic pattern of attack perpetrated by armed forces, as well as by armed militia and opposition groups. Rape has been used as a mechanism to destabilize, destroy and displace populations, to build fear and to humiliate and disempower local communities, as men are unable to protect their wives and relatives.¹¹⁶ Rape carries extreme social stigma, as well as potential legal implications. Women and girls are therefore often reluctant to report cases of rape or to seek medical attention or psychosocial support. A culture of impunity prevails as there is little legal recourse for victims of violence, as well as little political will to investigate or prosecute allegations of rape, particularly against government forces, which are often immune to legal action under the 1991 Criminal Law. Sudan's laws governing against rape moreover often expose women to further abuse and stigmatization.117

Chad

Demography, health and education

128. Chad fares poorly in terms of gender equality as the Gender Inequality index palaces it 160th out of 189 countries with an index value of 0.7. As of 2019, women make up 50% of the general population and according to ILO estimates women form 64% of the labour force between 15-65 years of age. Average life expectance in Chad is low as women in Chad live on average 55.4 years while men 52.6 years. Child mortality has come down significantly in chad from 129 per 1000 in 1972 to 69 per 1000 in 2019.

129. **Health**. In terms of health, main causes of consultations are malaria, acute respiratory infections, diarrheal diseases, trauma, skin infections and chronic malnutrition (women and children). Maternal mortality rates remain among the highest (856 per 100,000 in 2015). Malaria still remains a major public health problem for which 77% of households have impregnated mosquito nets. The prevalence rate of HIV is 1.6% in the general population and 2.9 in pregnant women and the rate of transmission of HIV from mother to child after breastfeeding is 32%. Tuberculosis cases have increased from 6,200 cases detected in 2007 to 12,305 cases in 2014, and TB / HIV co-infection accounts for 46.6% of tuberculosis cases.

¹¹⁶ Medecins Sans Frontieres. 2005. "The Crushing Burden of Rape: Sexual Violence in Darfur." Medecins Sans Frontieres, Geneva ¹¹⁷ Human Rights Watch. 2006. "Lack of Conviction: The Special Criminal Court on the Events in Darfur." Human Rights Watch, New York.

¹¹⁴ IFAD (2017) Integrated Agricultural and Marketing Development Project (IAMDP) Final Project Design Report.

https://webapps.ifad.org/members/eb/122/docs/EB-2017-122-R-24-Project-Design-Report.pdf

¹¹⁵ UNWOMEN https://africa.unwomen.org/en/where-we-are/eastern-and-southern-africa/sudan

Malnutrition affects 44.2% of the population and acute malnutrition reaches the critical threshold of 15% in most regions: nearly 40% of children suffer from chronic malnutrition.¹¹⁸

130. **Education**. In terms of education Chad ranked second last of the 10 sub-Saharan countries participating in the French 2014 Educational Systems Analysis Program of CONFEMEN (PASEC). At the end of primary schooling (CM2) 84.3% of students are below the minimum threshold for reading skills and 80.9% in mathematics. The majority of pupils reaching the end of primary school are not equipped to succeed in average and this proportion is all the more important for girls¹¹⁹. The evaluation also revealed the low level of equipment in classrooms in Chad, where less than 5% of primary students have their own textbook in reading and mathematics. Compounding the educational problems is the fact that around 75% of students are supervised by teachers whose highest diploma does not exceed secondary level.¹²⁰

Women in Society and Agriculture

131. Although there have been improvements in the situation of women in Chad in recent years, traditional customs and practices continue to hinder development as traditionally women are considered at the same level as children, and their views are frequently neglected. In Chad modern and customary laws coexist creating problems for women that are reflected in the difficulties they experience. Socio-economic factors such as overwork and access to dowry limit women's access to education and many parents believe that girls should only aspire to domestic activities, while others believe that their education increases the risk of prostitution. In the socioreligious field, the role of women is conditioned by the perpetuation of traditional practices including domestic violence, polygamy and early and forced marriages.

132. Statistics on gender participation in agriculture in Chad is limited however, gender inequalities extend to the productive sectors such as agriculture, livestock, fisheries, natural resources and crafts that are marked by strong inequalities and gender disparities. In general, women who make considerable productive contributions have little access to the means of production, to human capital and to financial and extension services. In particular, land tenure insecurity as well as poor access to equipment, agricultural inputs, technologies, credit observed among women and to a lesser extent among men, constitute a major constraint on productivity, especially that of women.¹²¹

Gender-Based Violence

133. Gender-based violence (GBV) is a fundamental and pervasive problem in Chadian communities, and which is exacerbated in times of crisis. Data produced by the Demographic and Health Survey and Multiple Indicators in Chad over the period 2014-2015 show that in Chad, 23% of girls are married before the age of 15 and 65% before 18 years old; 38% of women aged 15 - 49 have undergone female genital mutilation. Also, one in three women report being a victim of physical violence and 12% of women experience sexual violence each year.¹²² In Chad at least a third of women and adolescent girls in Chad face severe violations of their rights and violence on a daily basis. Experiences of child, early and forced marriage, denial of access and control over resources, eviction of one's home and sexual violence within their household, are all examples of violence against women and girls that impact negatively on the ability of survivors to secure their livelihoods, their health and their rights.¹²³

Differentiated Climate Change Impacts on Gender (Sudan and Chad)

134. Climate change severely affects the poorest and most vulnerable populations, particularly women and girls because of the increased time burden, reduced economic opportunities, and health implications associated with increasingly scarce resources and the disproportionate exposure to risk from climate-

¹²⁰ UNHCR (2020) Strategie Education 2030 <u>https://data2.unhcr.org/en/documents/download/83328</u>

¹¹⁸ WHO 2017 – 2020 Chad Development Strategy

https://apps.who.int/iris/bitstream/handle/10665/137147/ccsbrief_tcd_fr.pdf;jsessionid=CF03FA2ADBA1922051941E62CDD66C9 6?sequence=1

¹¹⁹ PASEC2014 – Performances du système éducatif tchadien : Compétences et facteurs de réussite au primaire – PASEC, CONFEMEN, 2016. http://www.pasec.confemen.org/wp- content/uploads/2016/10/PASEC2014_Tchad.pdf

¹²¹ AfDB (2018) Environmental and Social Impact Assessment Programme d'approvisionnement en eau potable et d'assainissement en milieux semi urbain et rural de onze regions, (PAEPA SU MR phase 1)

¹²² OCHA (2020) Gender-based violence in Chad in the face of COVID-19

¹²³ United Nations Environment Programme, UN Women, UNDP and UNDPPA/PBSO (2020) Gender Climate & Security: Sustaining inclusive Peace on the Front lines of climate change

induced phenomena, such as floods and hurricanes, compared with men. The number of women farmers and those performing traditionally male roles—has grown out of the impacts of climate change and environmental degradation. All regions in the Sahel have suffered from increasing temperatures, uneven distribution and variability of rainfall, and drought. In turn, this has affected the livelihoods of pastoralists and farmers, with men migrating to the capital of Khartoum or other cities in search of employment. In turn, women-whose traditional roles have been caring for children and performing household chores-have stepped into the role of providers. By renting fields for their livestock and crops, they have been able to sell goods at the market and earn a small income. The women are left on the frontlines of both climate change and climate change-related conflict. Climate change is leading to shifts in livelihood patterns that are resulting in men either migrating away from the communities to find alternative employment or changing the migration patterns in such a way that women, instead of travelling with the men, now stay within the community.¹²⁴

135. In Chad violence against women and girls creates a vicious cycle, undermining households' and communities' capacities to adapt to environmental changes which in turn reinforces gender-based violence and discriminatory practices. For example, the practice of denying women's access to and control over resources creates economic stress for the entire family. Economic insecurity is in turn exacerbated by climate change that results in families being less able to keep their children in school, making adolescent girls more vulnerable to early marriage and young men more vulnerable to being enlisted in armed groups, and driving male migration within and outside of Chad. The consequences are detrimental for climateresilience capacities, reinforcing women's lack of safety and undermining social cohesion in communities of origin.125

Concept note responses to climate change gender inequalities.

136. In view of the differentiated vulnerability of women, youth and men from vulnerable households to climate change, it is critical to address the developmental needs of increased drought, access to water. land degradation and gender discrimination. This will help develop and implement a more enabling and gender-transformative environment for addressing climate change. Women in Sudan and Chad face specific educational, social and labour barriers that are exacerbated by climate change. In response to the challenges outlined briefly in this Brief IGA the full project proposal will integrate a number of genderresponsive measures. These will include the setting of quotas for women participation that are reflective of the roles they play in the agricultural sector and will be set at 50% all beneficiaries in both countries and the targeting of 20 % of women-headed households. Additionally the project will promote gendertransformative roles where gender awareness and capacity building will be mainstreamed throughout. including for project staff. Women will be included in all community-level decision making bodies such as Community-Based Organisations (CBOs) Village Development Committees (VDCs). All training for women will furthermore be conducted by women to the extent possible.

¹²⁴ UNEP (2019) Empowering Women on the Front lines of Climate Change. <u>https://www.unenvironment.org/news-and-</u>

stories/story/empowering-women-frontlines-climate-change 125 United Nations Environment Programme, UN Women, UNDP and UNDPPA/PBSO (2020) Gender Climate & Security: Sustaining inclusive Peace on the Front lines of climate change



Project Formulation Grant (PFG)

Submission Date:

18 January 2021

Adaptation Fund Project ID: Country/ies: Chad, Sudan **Title of Project/Programme**: Strengthening Resilience to Climate and Covid-19 shocks through Integrated Water Management on the Sudan – Chad Border area (SCCIWM) **Type of IE (NIE/MIE)**: **Implementing Entity**: **Food and Agriculture Organization of the United Nations (FAO) Higher Council for Environment and Natural Resources (Sudan)** Ministry of Agriculture and Natural Resources (Sudan) Ministry of Irrigation and Water Resources (Sudan) Ministry of Environment and Fisheries (Chad) Ministry of Agriculture (Chad)

A. Project Preparation Timeframe

Start date of PFG	01 April 2021
Completion date of PFG	01 August 2021

B. Proposed Project Preparation Activities (\$)

Describe the PFG activities and justifications:

List of Proposed Project	Output of the PFG Activities	USD Amount
Preparation Activities		
Consultation with local	Consultation and	8 000.00 (Consultancy)
stakeholders and project	assessment tools and	5 000.00 (Workshop)
beneficiaries. In order to ensure	processes	
project activities will effectively		Sub-total: 13 000.00
address challenges faced by local	Stakeholders' consultation	
communities, local-level gender-	reports	
responsive consultations will be		
held in project target countries	Indication of sustainable	
throughout the full project proposal	adaptation options for	
design phase to enhance	integrated water	
ownership and strengthen	management in target areas	
sustainability		
Institutional capacity	Consultation and	8 000.00 (Consultancy)
assessment. In order to identify	assessment tools and	5 000.00 (Workshop)
institutional capacity gaps to be	processes	
addressed and improved by the		Sub-total: 13 000.00
project, consultations will be held	Institutional capacity reports	
with national and local institutions		
in project target countries to		
strengthen collaboration, enhance		

 Regional inception meeting Detailed mapping of project area to identify potential project locations based on the assessment of water infrastructure and village clusters with agricultural potential Social vulnerability survey (women, youth, vulnerable refugees) Initial Gender Assessment (IGA) Detailed vulnerability and food security targeting exercise Regional validation meeting 	20 000.00 (Meetings) 8 000 (Project area mapping) 4 000 (Social vulnerability survey) 5 000 IGA 7 000 (Targeting exercise) Sub-total: 44 000.00
 Environmental and climate-risk baseline assessment of the project area Environmental and Social risk management plan 	10 000 (Consultancy) Sub-total: 10 000.00
Full-project proposal document in 2 languages (English and French)	20 000.00 (Consultancy) Sub-total: 20 000.00
_	1 Regional inception meeting 1 Detailed mapping of project area to identify potential project locations based on the assessment of water infrastructure and village clusters with agricultural potential 1 Social vulnerability survey (women, youth, vulnerable refugees) 1 Initial Gender Assessment (IGA) 1 Detailed vulnerability and food security targeting exercise 1 Regional validation meeting 1 Environmental and climate-risk baseline assessment of the project area 1 Environmental and Social risk management plan

C. Implementing Entity This request has been prepared in accordance with the Adaptation Fund Board's procedures and meets the Adaptation Fund's criteria for project identification and formulation

Implementing Entity Coordinator, IE Name	Signature ଐ୶୶ୄଽୖୖୖୖୢୢୢୢ	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
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