



ADAPTATION FUND

AFB/B.37/2
15 October 2021

Adaptation Fund Board
Thirty-seventh meeting
Bonn, Germany (Virtually held), 19-21 October 2021

ANNOTATED PROVISIONAL AGENDA

1. Opening of the meeting

The meeting will be opened by the Chair of the Adaptation Fund Board, Mr. Mattias Broman (Sweden, Annex I Parties).

2. Organizational matters

a) Adoption of the agenda

Documents: AFB/B.37/1, Provisional agenda and AFB/B.37/2, Annotated provisional agenda.

Action expected: The Board will be invited to adopt the agenda of the meeting and to raise any other matters it may wish to discuss, to be included on the agenda under item 18.

b) Organization of work

Document: AFB/B.37/2, Annotated provisional agenda.

Background: The Chair will propose to the Board the organization of work, in accordance with the Provisional timetable attached to document AFB/B.37/2.

3. Report on activities of the Chair

Document: AFB/B.37/Inf.5, Report of the activities of the Chair.

Background: The Chair of the Board, Mr. Mattias Broman (Sweden, Annex I Parties), will report on the activities carried out during the intersessional period.

Action expected: The Board will be invited to take note of the report.

4. Report on activities of the secretariat

Document: AFB/B.37/3, Report on the activities of the secretariat.

Background: The Manager of the secretariat will present the report contained in document AFB/B.37/3.

Action expected: The Board will be invited to take note of the report.

5. Report of the Accreditation Panel

Document: AFB/B.37/10, Report of the thirty-sixth Meeting of the Accreditation Panel.

Background: The Chair of the Accreditation Panel will present the Panel's report to the Board with the support of the secretariat. The report contains information on the status of accreditation and reaccreditation applications which are under Panel's review and other issues related to the accreditation and reaccreditation process.

Action expected: The Board will be invited to take note of the Report of the Accreditation Panel and to consider and decide on the recommendations of the Accreditation Panel.

6. Report of the twenty-eighth meeting of the Project and Programme Review Committee (PPRC) on:

Document: The PPRC will issue its report after its twenty-eighth meeting, which will take place on 11-13 October 2021.

Background: The Chair of the PPRC will present the PPRC report to the Board. The report contains recommendations on:

- a) Report of the secretariat on initial screening/technical review of project and programme proposals;
- b) Report of the secretariat on initial screening/technical review of enhanced direct access project proposals;
- c) Report of the secretariat on initial screening/technical review of innovation large project proposals;
- d) Report of the secretariat on initial screening/technical review of innovation small grant project proposals;
- e) Report of the secretariat on initial screening/technical review of learning grant and project scale-up grant proposals;

7. Report of the twenty-eighth meeting of the Ethics and Finance Committee (EFC) on:

Document: The EFC will issue its report after its twenty-seventh meeting, which will take place on 14-15 October 2021.

Background: The Chair of the EFC will present the EFC report to the Board. The report contains recommendations on:

- a) Annual performance report for fiscal year 2021;
- b) Financial issues;
- c) Report of the Chair of the Technical Evaluation Reference Group;
- d) Management response to the Mid-term Review of the Medium-term Strategy;
- e) Update on implications of the fiduciary issues related to the United Nations Development Programme.

8. Medium-term Strategy of the Fund beyond 2022

Document: AFB/B.37/11, *Options for a process for developing the Medium-term Strategy of the Fund beyond 2022*

Background: The Adaptation Fund's current, first Medium-term Strategy (2018 – 2022) (MTS) was developed following Decisions B.27/39 and B.28/46. The MTS Implementation Plan (IP), approved in Decision B.31/32, states that a “mid-term evaluation is planned to be useful in informing the possible subsequent Medium-term Strategy (which could span years 2023-2027)” and that such evaluations would be carried out by the Evaluation Function of the Fund. The addendum of the IP suggests that the development of such a MTS 2023-2027 would be launched in 2021 and finalized in 2022. The AF-TERG has presented a Mid-term Review of the MTS in document AFB/EFC.28/7, including recommendations for the next MTS. Taking into account the recommendations, the document presents a possible process for developing the MTS of the Fund beyond 2022.

Action expected: The Board will be invited to consider the information presented in Document AFB/B.37/11 and to approve a process for developing the Medium-term Strategy of the Fund beyond 2022.

9. Draft resource mobilization strategy -for the period 2021- 2024

Document: AFB/B.37/4, *Draft resource mobilization strategy 2021- 2024*

Background: The Secretariat will present the draft resource mobilization strategy for the period 2021-2024. The report contains information on draft resource mobilization strategy for the period 2021– 2024 to develop in a second phase the associated draft resource mobilization action plan. The strategy lays out the current financial situation of the Fund, provides an overarching strengths, weaknesses, opportunities and threats (SWOT) analysis of the Fund's ability to mobilize resources, and builds on the SWOT analysis to define a framework for raising resources. Based on the defined framework an overarching resource mobilization strategy has been developed to guide the Adaptation Fund in its fund raising efforts and to support a Board decision in setting resource mobilization targets for the 2021-2024 timeframe.

Action expected: The Board will be invited to consider Document AFB/B.37/4 and decide on the direction of the overall resource mobilization strategy for the Fund.

10. Objectives and indicators for innovation aspects of projects

Document: AFB/B.37/6, *Large grants for innovation (Specific objectives and indicators for the innovation aspects of the projects)*

Background: At the thirty-sixth meeting, the Board decided to request the secretariat to consider the need to develop specific objectives and indicators for the innovation aspects of the projects, beyond what is included in the regular project performance reporting process and make relevant recommendations to the Board at its thirty-seventh meeting. (Decision B.35.b/8)

Action expected: The Board will be invited to consider Document AFB/B.37/6 and approve the specific objectives and indicators for the innovation aspects of the projects.

11. Amendment of Operational Policies and Guidelines

Document: AFB/B.37/5, Amendment of the Fund's Operational Policies and Guidelines

Background: At the thirty-sixth meeting, the Adaptation Fund Board (the Board) considered the matter of *provision of financial resources between single-country and regional country projects and programmes (country cap)* (Document AFB/B.36/5) and decided to enable the accreditation of up to two National *Implementing Entities (NIEs) per country for eligible developing-country Parties, and to request the secretariat to reflect that change in the Operational Policies and Guidelines (OPG) and to submit the revised OPG for consideration by the Board at its thirty-seventh meeting* (Decision B.36/42). Accordingly, the secretariat prepared a draft amendment of the Operational Policies and Guidelines of the Adaptation Fund (OPG) and will present it to the Board for consideration and approval at the thirty-seventh meeting.

Action expected: The Board will be invited to consider Document AFB/B.37/5 and its Annex and approve the amendment of the Fund's Operational Policies and Guidelines as contained in Annex to Document AFB/B.37/5.

12. Issues remaining from the earlier meetings:

a) Strategic discussion on objectives and further steps of the Fund. Potential linkages between the Fund and the Green Climate Fund

Document: AFB/B.37/7, *Update on strategic discussion on objectives and further steps of the Fund: potential linkages between the Fund and the Green Climate Fund.*

Background: The Board and secretariat has continued discussions with the Green Climate Fund (GCF) to advance the collaborative activities and explore the options for fund-to-fund arrangements in line with decision B.32/41. The discussions have been taking place at two levels: through dialogue between the AFB Chair and Vice-Chair with the Co-chairs of the GCF Board both exclusively and in the context of the annual dialogue among a

larger group of climate funds, and through ongoing discussions between the secretariats of the Fund and the GCF on concrete activities. At the thirty-fourth meeting, document AFB/B.34/8 was introduced, allowing the secretariat to present updates on its ongoing discussions with the GCF to advance the collaborative activities and explore options for fund-to-fund arrangements as described in document GCF/B.22/09 and its Annex 1 and in line with decision B.32/41. After considering the secretariat's ongoing efforts to enhance complementarity between the GCF and the Adaptation Fund, the Board decided (Decision B.34/47) to continue the discussions on two levels to consideration of the four options for fund-to-fund arrangements, as described in document GCF/B.22/09 and its Annex 1, from the perspective of whether they are comprehensive of all potentially feasible options of operation linkages between the GCF and the Adaptation Fund. The Chair and the secretariat will present the progress made in these activities contained in document AFB/B.36/6.

Action expected: The Board will be invited to take note of the information presented and decide on a course of action regarding the matter.

b) Options to further enhance civil society participation and engagement in the work of the Board

Document: AFB/B.37/8, *Options to further enhance civil society participation and engagement in the work of the Board.*

Background: At the thirty-third meeting of the Board, it was generally agreed that it would be important to enhance the participation of civil society in the work of the Board, but it was also asked how large that participation should be and how the representatives of civil society would be chosen. As per Board decision B.33/54, the secretariat explored, in consultation with civil society participation and engagement in the work of the Board and presented document AFB/B.34/11 to the Board for consideration. The Board, at its thirty-fourth meeting, decided to provide the secretariat with comments on the options provided in the document during the intersessional period between its thirty-fourth and thirty-fifth meetings. The secretariat conducted the survey on the matter among the Board members and alternates and compiled the survey results and comments received from them. Due to the time constraints imposed by the virtual meeting format in 2020, this agenda item was not taken up by the Board at the first and second sessions of the thirty-fifth meeting. At the thirty-sixth meeting of the Board, the secretariat presented document AFB/B.36/8 which compiled the survey results and input received from the Board, and the Board decided to request the secretariat to conduct the second round of the Board survey with the updated questions due to its low participation rate in the first round of the survey. The secretariat will present the result of the Board survey and a study on the need for a policy for civil society engagement for the Adaptation Fund.

Action expected: The Board will be invited to consider the information presented by the secretariat and decide on a course of action regarding the matter.

c) Options to address the issue of an absence of a quorum

Document: AFB/B.37/9, *Options to address the issue of an absence of a quorum.*

Background: As per Board decision B.33/55, the secretariat explored options to address the issue of an absence of a quorum, including other climate funds' relevant practices, and will present a document which contains an analysis of the explored options to the Board.

Action expected: The Board will be invited to consider the information presented by the secretariat and decide on a course of action regarding the matter.

13. Knowledge management, communications and outreach

Document: AFB/B.37/3, *Report on the activities of the secretariat.*

Background: The secretariat will report on its knowledge management activities and communication efforts since the thirty-sixth meeting of the Board.

Action expected: The Board will be invited to take note of the report.

14. Dialogue with civil society organizations

Document: AFB/B.37/Inf.5, *Agenda of the civil society dialogue*

Background: The Board will hold another session of its ongoing dialogue with civil society organizations.

Action expected: The Board will be invited to take note of the conclusions of the dialogue.

15. Election of officers for the next period of office

Documents: *Rules of procedure of the Adaptation Fund Board*, section IV; *General guidelines for Board Committees*, paragraphs 4-6; and *Terms of reference of the Accreditation Panel*.

Background: The Board will elect officers for the period of office starting at the thirty-fifth meeting, namely:

- Board Chair from non-Annex I Party
- Board Vice-Chair from Annex I Party
- EFC Chair from Annex I Party
- EFC Vice-Chair from non-Annex I Party
- PPRC Chair from non-Annex I Party
- PPRC Vice-Chair from Annex I Party
- Accreditation Panel member from Annex I Party to serve as Chair
- Accreditation Panel Vice-Chair from non-Annex I Party

Action expected: The Board will be invited to elect its officers for the period of office starting at the thirty-eighth meeting.

16. Date and venue of meetings in 2022 and onwards

Document: None.

Background: The Board decided to hold its thirty-eighth meeting on 14-18 March 2022 and thirty-ninth meeting on 10-14 October 2022 in Bonn, Germany (Decision B.36/45). The secretariat has confirmed the availability of meeting rooms for the respective meetings.

Action expected: The Board will be invited to confirm the meetings' schedule.

17. Implementation of the code of conduct

Document: [Code of Conduct](#) and [Zero Tolerance Policy for the Board](#) (Adopted in October 2014)

Background: At its nineteenth meeting, the EFC underscored that all Board members and alternates should be aware of the code of conduct, the zero tolerance policy for fraud and corruption, and the procedure for reporting such issues to the EFC. Having considered the comments and recommendation of the EFC, the Board decided to address the item relating to the code of conduct as the last substantive item on its agenda at every Board meeting (Decision B.28/41).

Action expected: Board members and alternates can raise any issues related to the implementation of the code of conduct for deliberation by the Board. The Board will be invited to discuss any issues raised and make decisions as appropriate. The code of conduct and zero tolerance policy are available on the Fund's website.

18. Other matters

Action expected: The Board will be invited to discuss any other matters raised during the adoption of the agenda.

19. Adoption of the report

Action expected: The Board will be invited to adopt the decisions approved at its thirty-seventh meeting. The report of the thirty-seventh meeting will be finalized by the Chair with assistance of the secretariat and adopted during the intersessional period.

20. Closure of the meeting

PROVISIONAL TIMETABLE

All timetables are (UTC+01:00) Central European Time (Bonn, Germany).

Day 1: 19 October 2021

14:00 – 14:20	1	Opening of the meeting
	2 a)	Adoption of the agenda
	2 b)	Organization of work
14:20 – 14:30	3	Report on activities of the outgoing Chair
14:30 – 14:45	4	Report on activities of the secretariat
14:45 – 15:25	14	Dialogue with civil society organizations
15:25 – 15:45		[Coffee Break]
15:45 – 16:15	6	Report of the twenty-eighth meeting of the Project and Programme Review Committee (PPRC)
16:15 – 16:45	7	Report of the twenty-eighth meeting of the Ethics and Finance Committee (EFC)
16:45 – 17:15	8	Medium-term Strategy of the Fund beyond 2022
17:15 – 17:45	5	Report of the Accreditation Panel

Day 2: 20 October 2021

14:00 – 14:10	1	Opening of the meeting
14:10 – 14:40	9	Draft resource mobilization strategy for the period 2021-2024
14:40 – 15:00	10	Objectives and indicators for innovation aspects of projects
15:00 – 15:30	11	Amendment of Operational Policies and Guidelines
15:30 – 15:50		[Coffee Break]
15:50 – 16:20	12 a)	Strategic discussion on objectives and further steps of the Fund. Potential linkages between the Fund and the Green Climate Fund
16:20 – 16:50	12 b)	Options to further enhance civil society participation and engagement in the work of the Board
16:50 – 17:20	12 c)	Options to address the issue of an absence of a quorum

Day 3: 21 October 2021

14:00 – 14:10	1	Opening of the meeting
14:10 – 14:30	13	Knowledge management, communications and outreach
14:30 – 14:50	15	Election of officers for the next period of office
14:50 – 15:00	16	Date and venue of meetings in 2021 and onwards
15:00 – 15:10	17	Implementation of the code of conduct
15:10 – 15:40	18	Other matters
15:40 – 16:10		[Coffee break/Finalization of draft decisions to adopt]
16:10 – 16:30	19	Adoption of the report
	20	Closure of the meeting