



## ADAPTATION FUND

AFB/PPRC.28/12/Add.2  
4 October, 2021

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Adaptation Fund Board  
Project and Programme Review Committee  
Twenty-eighth Meeting  
Bonn, Germany (virtual), 11-13 March 2021

Agenda Item 4 f)

### **PROJECT FORMULATION ASSISTANCE GRANT FOR COSTA RICA**

## I. Background

1. The Board at its twenty-second meeting, in decision B.22/24, approved the document AFB/B.22/6 which included, in the options for the Readiness Programme, grants for Project Formulation Assistance (PFA) which would provide support for project formulation to accredited National Implementing Entities (NIEs) in addition to Project Formulation Grants (PFGs). NIEs that are at the concept development stage of the Fund's project cycle process have the option to request a PFG up to a maximum of US\$30,000 together with their submission of the project concept to the Board. To compliment the PFG and support the undertaking of specialist technical assessments during project preparation and design, the PFA grant is available for each accredited NIE up to a maximum of US\$20,000 per project and can be accessed by submitting a request to the Board through the Adaptation Fund Board Secretariat.

2. In July 2018, the Fund's Readiness Programme launched a call for PFA grants, which is open throughout the year and does not close. In the call, the Board is inviting accredited NIEs that are going through the 2-step project approval process to apply for a PFA grant to strengthen their capacity to undertake the necessary technical assessments related to the design and development of adaptation projects and programmes. The PFA grants are meant to help NIEs undertake specific technical assessments such as environmental impact assessments and vulnerability assessments to comply with the Fund's Environmental and Social Policy (approved 2013, amended 2016) and Gender Policy (approved 2016). The PFA grant is meant to support, among other areas, the following types of assessments

- Environmental Impact Assessment (EIA)
- Vulnerability Assessment (VA)
- Risk Assessment
- Other Environmental and Social Assessments for adaptation projects

## II. The Project Formulation Assistance Grant Request

3. This addendum to the document AFB/PPRC.28.12 "Proposal for Costa Rica" includes a request for a Project Formulation Assistance Grant, requesting a budget of US\$ 20,000 which was received by the secretariat along with the concept for the project AF00000257 "Increasing the Resilience of Vulnerable Populations in Costa Rica by Scaling Up Adapta2". This proposal was submitted by Fundecooperación para el Desarrollo Sostenible (Fundecooperación), which is a National Implementing Entity of the Adaptation Fund, in time for consideration by the Adaptation Fund Board at its thirty-seventh Board meeting.

4. In accordance with Decision B.22/24, the secretariat carried out an initial review of the PFA request and found that the document provided detailed information on the use of the requested funds. The proposed activities were aligned with the goal of the project and would include stakeholder consultations, and hiring of consultants to support the project formulation.

5. Therefore, the PPRC may want to consider and recommend to the Board to approve the PFA Grant Request, provided that the related concept is endorsed.



## ADAPTATION FUND

## Project Formulation Assistance (PFA) Grant

Submission Date: August 9,2021

Adaptation Fund Project ID:

Country: Costa Rica

Title of Project/Programme: "Increasing the resilience of vulnerable populations in Costa Rica by scaling up Adapta2+".

National Implementing Entity: Fundecooperacion para el Desarrollo Sostenible.

Executing Entity/ies: National Ministry of Environment and Energy (MINAIE), Ministry of Agriculture (MAG), Academia, NGO's, local organizations, others.

## A. Project Preparation Timeframe

Start date of PFA Grant	November 2021
Completion date of PFA Grant	November 2022

## B. Proposed Project Preparation Activities (\$)

Describe the PFA Grant activities and justifications:

List of Proposed Project Preparation Technical Assessments/Studies	Justification of the Requested Technical Assessments/Studies	US\$ Amount
Stakeholder consultation implementation of workshops at local level	To ensure the programme address the challenges faced. This will support stakeholder participation	US\$5,000
Hire of National and International Consultants	To formulate a high quality project proposal for submission	US\$15,000
Total Project Formulation Assistance Grant Requested		US\$20,000

## C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board's procedures and meets the Adaptation Fund's criteria for project identification and formulation

Implementing Entity Coordinator, IE Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
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