



ADAPTATION FUND

29 October 2021

Adaptation Fund Board

Addendum to the report of the Adaptation Fund Board to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its sixteenth session and to the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its third session

The Adaptation Fund Board (the Board) decided to approve the Addendum to the report of the Board to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its sixteenth session and to the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its third session.

Decision B.37-38/1



ADAPTATION FUND

AFB/B.37-38/1
29 October 2021

**REPORT OF THE ADAPTATION FUND BOARD, NOTE BY THE
CHAIR OF THE ADAPTATION FUND BOARD – ADDENDUM**

I. Background

1. This addendum to the report of the Adaptation Fund Board (the Board) at the sixteenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP 16) and at the third session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA 3) provides an update to the CMP and the CMA on progress made by the Board during the period from 1 July 2021 to the thirty-seventh meeting of the Board which ended on 21 October 2021. Milestones achieved are summarized in the next sections.

II. Election of the Chair and Vice-Chair of the Board.

2. At its thirty-sixth meeting the Board had elected by consensus Mattias Broman (Sweden, Annex I Parties) as its Chair and Albara Tawfiq (Saudi Arabia, Asia-Pacific States) as its Vice-Chair. At its thirty-seventh meeting the Board decided to elect the Chair and Vice-Chair of the Board for the term of office commencing at its first meeting in 2022 during the intersessional period.

III. Changes in the composition of the Board

3. Following the decision of the COP, the CMP and the CMA Bureau to extend the current membership of the Convention, Kyoto Protocol and Paris Agreement bodies due to the postponement of COP 26, the Board took note of the guidance. One alternate member position for the Western European and other States had not been filled by the end of the reporting period. During the reporting period, the Board appointed Mr. Matthias Bachmann (Switzerland, Annex I Parties) as an alternate member replacing Ms. Antonia Elena Flück (Switzerland, Annex I Parties).¹

IV. Resources in the Adaptation Fund Trust Fund

4. As at 30 September 2021, the trustee had sold 31.2 million Fund Certified Emission Reduction (CER) units at an average price of USD 6.7, generating revenues of USD 208.7 million. Receipts from the monetization of CERs amounted to USD 0.28 million during the 3-month period from 1 July 2021 to 30 September 2021. As at 30 September 2021, 10.8 million CERs were still available to be sold, in accordance with the CER monetization guidelines adopted by the Board. The Board has instructed the trustee to transfer USD 506.1 million to implementing entities to date.

5. Funds available for new funding approvals amounted to USD 185.3 million as at 30 September 2021.

V. Accreditation of implementing entities

6. Following recommendations made by the Accreditation Panel of the Board (the Panel), the Board decided, through an intersessional process, to accredit two implementing entities (IEs): the Palli Karma-Sahayak Foundation (PKSF) of Bangladesh² as a National Implementing Entity (NIE) and the Pacific Community (SPC)³ as a Regional Implementing Entity (RIE) of the Fund. Both applications were assessed under the fast-track accreditation process and based on the operational complementarities between the Adaptation Fund and the Green Climate Fund.

7. The thirty-sixth meeting of the Panel was held on 1 – 2 September 2021 and was chaired by the Vice-Chair of the Panel Ms. Eleonora Cogo (Italy, Western European and Other States). In addition to the reviews of 11 accreditation applications and 14 re-accreditation applications, the Accreditation Panel considered and discussed the following two matters: (i) Report on result of the review of the Certified Self-Assessment submitted by RIE applicant(s) as per Document AFB/EFC.27/11 and Decision B.36/37; and (ii) Effectiveness and efficiency of the review process of the accreditation and re-accreditation applications.

8. Following recommendations made by the Panel, the Board intersessionally approved the fast-track re-accreditation of four NIEs: Profonampe of Peru⁴, Fundecooperación Para El Desarrollo Sostenible of Costa Rica⁵, the Micronesia Conservation Trust (MCT)⁶; and the Partnership for Governance Reform in Indonesia (Kemitraan)⁷.

¹ As per decision B.36-37/11.

² Adaptation Fund Board decision B.36-37/10

³ Adaptation Fund Board decision B.36-37/12

⁴ Adaptation Fund Board decision B.36-37/1

⁵ Adaptation Fund Board decision B.36-37/2

⁶ Adaptation Fund Board decision B.36-37/3

⁷ Adaptation Fund Board decision B.36-37/14

VI. Adaptation Fund Board committees

9. At its twenty-eighth meeting the EFC considered and made recommendations to the Board on the following items: the annual performance report for fiscal year 2021; the report of the Chair of the Technical Evaluation Reference Group, including on the draft evaluation policy of the Fund and the mid-term review of the medium-term strategy; the management response to the mid-term review of the medium-term strategy; and the update on implications of the fiduciary issues related to the United Nations Development Programme.

10. During the reporting period, in the review cycle in the intersessional period between the thirty-sixth and thirty-seventh meetings of the Board, the PPRC had reviewed two fully-developed project single country project proposals and four fully-developed project documents for regional projects and programmes etc.

11. At its twenty-eighth meeting, the Project and Programme Review Committee (PPRC) recommended the approval of 12 concrete project/programme proposals, including three fully-developed single country project proposals, four single country project concepts, one fully developed regional project proposal, two regional project concepts and two regional pre-concepts. The PPRC also recommended the approval of one enhanced direct access project concept and one large innovation project pre-concept, as well as one small innovation grant proposal, and one learning grant proposal. The PPRC also discussed and conveyed to the Board its recommendations to request the secretariat to analyse issues related to unidentified sub-projects (USPs), to further clarify regional implementation and execution costs where implementing entities provide part or all of the execution services. Similarly, it also suggested to the Board to consider limiting the execution costs in which the implementing entity provides a portion of the execution services to the cost of the part of the project or programme executed by the implementing entity. Finally, it presented to the Board the option to raise the maximum amount of individual project formulation grants to US\$ 50,000 and to discontinue grants on project formulation assistance.

VII. Funding decisions on adaptation projects and programmes

12. During the two review cycles, the Board approved one and two single-country proposals for funding for a total amount of USD 5.34 million and USD 1.79 million, respectively. In addition, the Board at its thirty-seventh meeting noted the recommendation by the PPRC to approve three additional fully-developed single-country proposals proposed by multilateral implementing entities (MIE), for a total amount of USD 25.92 million and, owing to the fact that the Board, through decision B.12/9 had decided to establish a cap of 50 per cent on the funding of projects/programmes implemented by MIEs and that the funding of those proposals would have exceeded the cap, decided to place them in a waitlist, and to consider them for approval subject to the availability of funds, at a future Board meeting, or intersessionally. The Board also approved one regional proposal in each cycle for funding for a total amount of USD 25.94 million. The Parties whose single-country proposals were approved or recommended for approval for funding are Cote d'Ivoire, Djibouti, Haiti, Indonesia (two proposals), and Malaysia. The Parties included in regional proposals that were approved for funding under the funding window for regional projects and programmes are Angola, Benin, Burkina Faso, Côte d'Ivoire, Gambia (Republic of The), Ghana, Guinea, Liberia, Mali, Namibia, Niger, Nigeria, Senegal, Sierra Leone, Togo.

13. In addition to the funding decisions referred to in paragraph 12 above, the Board endorsed four single-country project concepts at its thirty-seventh meeting, for North Macedonia, Trinidad and Tobago, United Republic of Tanzania and Vanuatu, for a total amount of USD 30.62 million.

14. Under the funding window for regional projects and programmes, the Board endorsed two project concepts for a total amount of USD 28.00 million, during the thirty-seventh meeting, for Cuba and Panama, and India and Sri Lanka. Additionally, the Board endorsed, at its thirty-seventh meeting, two regional project pre-concepts for a total amount of USD 25.90 million, for regional projects in Benin, Costa Rica, Panama, and Togo. The Board also approved USD 220,000 in project formulation grants for endorsed regional pre-concepts and concepts, as illustrated in the appendix, figures 1.

15. The sectors represented in the approved fully developed proposals and endorsed pre-concepts and concepts include agriculture, coastal management, disaster risk reduction and early warning systems, food security, urban development, water management, transboundary water management and multisectoral projects.

VIII. Implementation of the Medium-Term Strategy

16. The Board, at its thirty-seventh meeting in October 2021, considered specific objectives and indicators for the innovation aspects of projects and programmes and approved the piloting of the use of such indicators.⁸ The Board also considered and approved the first proposals under two new funding windows under the Medium-term Strategy (MTS) that were approved at the thirty-sixth Board meeting, namely enhanced direct access projects (two proposals considered and one approved) and large grants for innovation (four proposals considered and one endorsed). The Board also approved one small grant proposal for innovation and one learning grant proposal.

17. Following the EFC's recommendation on the mid-term review (MTR) of the MTS and the initial management response to the MTR, the Board at its thirty-seventh meeting decided to develop an action plan to respond to the recommendations arising from the MTR, reflecting a final management response, for approval by the Board during the intersessional period between the thirty-seventh and thirty-eighth meetings of the Board.

18. Taking into account the findings of the MTR of the MTS, the Board also considered and approved a process for developing the Medium-term Strategy of the Fund beyond 2022. The Board decided to develop a MTS for the Fund for the period 2023–2027 and to establish a task force to guide the work of the secretariat, composed of three members from Annex I countries and three members from non-Annex I countries, to be elected intersessionally. The preparatory work to be undertaken by the secretariat includes the preparation of a document which contains elements and options for the MTS 2023–2027 to be considered by the Board at its thirty-eighth meeting with a view to developing the final draft strategy for consideration by the Board at its thirty-ninth meeting. The Board also decided, when developing the MTS 2023–2027, to solicit inputs through open and inclusive consultations of the various stakeholders of the Fund, including the Board, contributor and recipient governments, accredited entities, Adaptation Fund Civil Society Organization Network and other civil society representatives, as well as other relevant stakeholders.

19. To further strengthen complementarity and coherence with other climate finance delivery channels, the Fund continued its collaboration with other climate funds, including by issuing a joint statement with the Green Climate Fund (GCF), Global Environment Facility (GEF) and Climate Investment Funds (CIFs) on supporting a climate-resilient recovery from COVID-19.

20. Gender equality and empowerment of women and girls: In terms of gender balance in the composition of the AFB, 15 seats out of 31 (one seat is vacant) are held by women as of October 2021. The annual performance report for the fiscal year 2021 of the Fund, in its gender dedicated section, reported on the Fund's gender work during fiscal year 2021.⁹ During the reporting period, the secretariat commissioned a *study on intersectional approaches to gender mainstreaming in adaptation-relevant interventions* with a view to enhancing understanding on intersectional approaches by examining the current state of intersectional approaches to gender mainstreaming in adaptation-relevant interventions as well as how the concept of intersectionality and various intersectional analytic approaches have been applied to adaptation-relevant sectors. The study is under final review and is expected to be published on the Fund's website before the COP 26. The draft gender scorecard for the Fund has been developed in line with the updated Gender Policy of the Fund and is under review. The gender scorecard, upon completion expected in the first quarter of 2022, will serve as a tool to monitor the Fund's Gender Policy implementation portfolio-wide, i.e., quality of Fund-supported projects and programmes at entry, during implementation, and at exit, supplementing the Fund's five core impact indicators.

IX. Operational linkages and relations with institutions under the Convention

21. The Fund continued pursuing active engagement with other climate funds. During the reporting period, the secretariats of the Fund and the GCF have started implementation of the structured common approach to further operationalize the potential for scaling up and replications in their portfolio of projects and programmes. In addition, the two secretariats have regularly met through a coordination mechanism to discuss potential projects eligible for scaling up, initial technical review of projects and other preparatory work including reaching out to Designated Authorities and Implementing Entities.¹⁰

22. At the thirty-seventh meeting of the Board, the Board considered an update on potential linkages between the Fund and the Green Climate Fund, including the Annual Dialogue on climate finance delivery channels, the pilot of scaling-up approach between the Fund and the GCF, jointly supporting the community of practice for direct access entities, and the discussion on the complementarity between the Fund and GCF on matters related to accreditation. The Board decided to continue through its Chair and Vice-Chair its active engagement with the GCF Board, assisted by the secretariat, with a view to further exploring complementarity and coherence between the two funds and taking concrete steps to advance the options for fund-to-fund arrangements. The Board also decided to request the secretariat to continue discussions with

⁸ Adaptation Fund Board Decision B.37/39.

⁹ Available at <https://www.adaptation-fund.org/document/annual-performance-report-for-the-fiscal-year-2021/>.

¹⁰ Document AFB/B.37/7.

the GCF to advance the collaborative activities identified at the Annual Dialogue in November 2020 and progress in implementing the six activities of the 2019 roadmap.¹¹

X. Readiness Programme for Climate Finance

23. During the reporting period, the following activities were carried out under the readiness programme:

- (i) Virtual global accreditation training workshop on accessing climate finance and obtaining accreditation with the Fund to increase Direct Access to climate finance by developing countries on 15 July 2021. The workshop sought to enhance understanding of the Fund's accreditation process among developing countries, to close the gaps on accreditation and to promote the Fund's e-learning course on accreditation.
- (ii) 2021 Annual NIE Seminar on 3-5 August with more than 75 participants including executing entities for the first time. The seminar discussed the Fund's expanded funding windows offering additional finance in project scale-up, innovation, learning and Enhanced Direct Access. The NIEs also shared experiences and lessons in project design, implementation, review and monitoring, readiness, accreditation/reaccreditation and accessing AF's existing and new resources for countries that are vulnerable to climate change.
- (iii) A virtual country exchange under the theme of Food Security, hosted in partnership with the National Bank for Agriculture and Rural Development (NABARD), an accredited NIE of the Fund in India. The events for the exchange took place on 17, 19, and 24 August and supported South-South peer-to-peer learning among the Fund's accredited NIEs based on NABARD's project funded by the Fund.
- (iv) Virtual workshop on accreditation on accessing climate finance and obtaining accreditation with the Fund on 9 September 2021. The workshop sought to enhance understanding on the Fund's accreditation and re-accreditation process among developing countries, and discussed the common gaps and challenges identified in the Fund's Bridging the Gaps in Accreditation Report, 2020.

XI. Technical Evaluation Reference Group of the Adaptation Fund

24. During this reporting period the Technical Evaluation Reference Group of the Adaptation Fund (AF-TERG) continued its work to develop an Evaluation Policy for the Fund. The Chair of the AF-TERG provided a report on this work to the EFC at its twenty-eighth meeting. The final draft Evaluation Policy will be presented for consideration by the EFC in March 2022.

25. The Chair of the AF-TERG also provided a progress update on the AF-TERG's work on ex-post evaluations to the EFC at its twenty-eighth meeting.

26. During the twenty-eighth meeting of the EFC, the Chair of the AF-TERG also presented the final report of the mid-term review (MTR) of the Medium-term Strategy (MTS). The MTR findings reiterate the strong relevance, niche and ambition of the Adaptation Fund in helping to achieve the pace and scale of climate change adaptation action. The review regards the Fund's MTS as a good fit-for-purpose and forward-looking strategy that has positioned the Fund well in the overall climate landscape. Overall, the review acknowledges that the MTS has achieved significant strides such as funding for adaptation actions and the opening of seven new funding windows for innovation and knowledge sharing, for example. On the other hand, some of the funding targets for these new windows have been uneven. It is also the AF-TERG's view that the implementation plan could be used more effectively to optimize the Fund's synergies and impact across all three pillars. It also notes the constraints posed by resource mobilization challenges. The MTR provides four recommendations for the current phase of the MTS and two recommendations that could inform the development of the next MTS. The EFC considered the MTR to the MTS and made recommendations to the Board.

27. During the next six months, the AF-TERG aims for the critical delivery of the following important milestones:

- (i) the finalisation of the Evaluation Policy, following extensive consultation; and an assessment of evaluation capacity needs within the Fund that will inform its operationalisation; (ii) development of an overall framing approach to inform the Fund's performance evaluation in FY23; (iii) completion of at least one thematic evaluation; and (iv) completion of two ex-post pilot evaluations.

XII. Resource Mobilization Strategy

¹¹ Adaptation Fund Board Decision B.37/41.

28. The Board, at its thirty-seventh meeting considered the draft resource mobilization strategy for the period 2021-2024 to develop in a second phase the associated draft resource mobilization action plan. The strategy presented the current financial situation of the Fund, provided an overarching “strengths, weaknesses, opportunities and threats” (SWOT) analysis of the Fund’s ability to mobilize resources, and built on the SWOT analysis to define a framework for raising resources. Based on the defined framework an overarching resource mobilization strategy has been developed to guide the Fund in its resource mobilization efforts and to support a Board decision in setting resource mobilization targets for the 2021-2024 timeframe. The Board, at its thirty-seventh meeting, did not take a decision on the matter, and it will consider it at its thirty-eighth meeting.

29. The Board took note, as part of its consideration of the report by the secretariat at the thirty-seventh meeting, that a contributor dialogue was planned to be held at COP 26, hosted by the incoming COP 26 Presidency.

XIII. Amendment of the Operational Policies and Guidelines

30. The Board, at its thirty-seventh meeting considered and approved an amendment of the Fund’s Operational Policies and Guidelines (i) to reflect the Board decision¹² on two NIEs per country; (ii) to include the decision of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) and the decision of Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA) which mandated the Fund to serve the Paris Agreement¹³; (iii) to eliminate the reference to sub-regional entities (SRIE) which has never been operationalized and is therefore obsolete¹⁴; (iv) to adjust paragraph numbering. All amendments are contained in the annex to document AFB/B.37/5.

XIV. Enhanced civil society engagement

31. The Board, at its thirty-seventh meeting considered options to further enhance civil society participation and engagement in the work of the Board, that were developed based on the results of a survey among the Board and a study on the need for a policy on civil society engagement. The Board decided to enhance the Fund’s collaboration with civil society by continuing to engage with civil society and other stakeholders for their active contribution to the process of reviewing the existing and emerging policies and procedures of the Fund; by creating a dedicated section on the Fund’s website related to the fund’s engagement with stakeholders, including civil society, and update it as the discussion on stakeholder engagement evolve; and to invite civil society members to the Fund’s readiness workshops and webinars. The Board also decided to develop a policy or guidelines on civil society engagement, taking into account the results of the survey and the study for a need for a policy, and to consider a draft outline of a policy or guidelines at its thirty-eighth meeting.

XIII. Recommendations for actions by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its fifteenth session

32. The CMP may wish to take note of the information contained in this addendum to the report of the Adaptation Fund Board to the CMP at its sixteenth session and to the CMA at its third session.

¹² Adaptation Fund Board Decision B.36/42.

¹³ Decisions 13/CMA.1 and 1/CMP.14.

¹⁴ Multi-country entities within a subregion can be accredited as regional implementing entities (RIE).

Appendix

Project funding approvals by the Adaptation Fund Board between 26 July and 21 October 2021

Figure 1

Project-related funding decisions made during the intersessional period between the 36th and 37th meetings of the Adaptation Fund Board
(United States dollars)

1. Full Proposals: Single-country	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Uganda	MOWE	AFB/PPRC.27-28/2	2,249,000			<i>Not approve</i>	-
MIE								
	Djibouti	IFAD	AFB/PPRC.27-28/3			5,339,285	Approve	5,339,285
	<i>Sub-total, USD</i>			<i>2,249,000</i>		<i>5,339,285</i>		<i>5,339,285</i>
2. Full Proposals: Regional	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
RIE								
	Angola, Namibia	OSS	AFB/PPRC.27-28/4		11,941,038		Approve	11,941,038
	Benin, Burkina Faso, Côte d'Ivoire, Gambia (Republic of The), Ghana, Guinea, Liberia, Mali, Niger, Nigeria, Senegal, Sierra Leone, Togo	OSS	AFB/PPRC.27-28/5		14,000,000		<i>Not approve</i>	-
MIE								
	Cambodia, Lao (People's Democratic Republic), Thailand, Viet Nam	UNESCO	AFB/PPRC.27-28/6			4,898,775	<i>Not approve</i>	-
	Côte d'Ivoire, Ghana	UN-Habitat	AFB/PPRC.27-28/7			13,951,160	<i>Not approve</i>	-
	<i>Sub-total, USD</i>				<i>25,941,038</i>	<i>18,849,935</i>		<i>11,941,038</i>
	GRAND TOTAL (1+2)			2,249,000	25,941,038	24,189,220		17,280,323

Figure 3

Project-related funding decisions made at the 37th meeting of the Adaptation Fund Board

(United States dollars)

1. Full Proposals: Single-country	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Bhutan	BT FEC	AFB/PPRC/28/5	9,998,955			<i>Not approve</i>	0
	Indonesia (1)	Kemitraan	AFB/PPRC.27/5	963,456			Approve	963,456
	Indonesia (2)	Kemitraan	AFB/PPRC.27/6	824,835			Approve	824,835
	Tanzania (United Republic of) (1)	NEMC	AFB/PPRC/28/6	2,500,000			<i>Not approve</i>	0
	Tanzania (United Republic of) (2)	NEMC	AFB/PPRC/28/7	4,000,000			<i>Not approve</i>	0
	Uganda (1)	MoWE	AFB/PPRC/28/8	2,249,000			<i>Not approve</i>	0
MIE								
	Côte d'Ivoire	IFAD	AFB/PPRC/28/9			6,000,000	<i>Waitlist (2nd)</i>	0
	Haiti	UNESCO	AFB/PPRC/28/10			9,916,344	<i>Waitlist (1st)</i>	0
	Malaysia	UN-Habitat	AFB/PPRC/28/11			10,000,000	<i>Waitlist (3rd)</i>	0
Sub-total, USD				20,536,246		25,916,344		1,788,291
2. Concepts: Single- country	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Costa Rica	Fundecooperación	AFB/PPRC/28/12	10,000,000			<i>Not endorse</i>	-
	Honduras	CASM	AFB/PPRC/28/13	4,000,000			<i>Not endorse</i>	-
	Tanzania (United Republic of) (3)	NEMC	AFB/PPRC/28/14	3,500,000			Endorse	-
	Uganda (2)	MoWE	AFB/PPRC/28/15	9,504,600			<i>Not endorse</i>	-
RIE								
	Trinidad and Tobago	CAF	AFB/PPRC/28/16		10,000,000		Endorse	-
MIE								
	Nicaragua	FAO	AFB/PPRC/28/17			10,000,000	<i>Not Endorse</i>	-
	North Macedonia	FAO	AFB/PPRC/28/18			9,991,711	Endorse	-
	Vanuatu	FAO	AFB/PPRC/28/19			7,128,450	Endorse	-
Sub-total, USD				27,004,600	10,000,000	27,120,161		-

3. Project Formulation Grants (PFG) / Project Formulation Assistance (PFA): Single-country	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
PFG	Costa Rica	Fundecooperación	AFB/PPRC/28/12/Add.1	30,000			Not approve	0
PFA	Costa Rica	Fundecooperación	AFB/PPRC/28/12/Add.2	20,000			Not approve	0
PFG	Honduras	CASM	AFB/PPRC/28/13/Add.1	30,000			Not approve	0
PFA	Honduras	CASM	AFB/PPRC/28/13/Add.2	20,000			Not approve	0
PFG	Tanzania (United Republic of) (3)	NEMC	AFB/PPRC/28/14/Add.1	30,000			Approve	30,000
PFG	Uganda (2)	MoWE	AFB/PPRC/28/15/Add.1	45,000			Not approve	0
Sub-total, USD				175,000	-	-		30,000
4. Full Proposals: Regional	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
RIE								
	Benin, Burkina Faso, Côte d'Ivoire, Gambia (Republic of The), Ghana, Guinea, Liberia, Mali, Niger, Nigeria, Senegal, Sierra Leone, Togo	OSS	AFB/PPRC/28/20		14,000,000		Approve	14,000,000
Sub-total, USD				-	14,000,000	-		14,000,000
5. Concepts: Regional	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
MIE								
	Botswana, Malawi, Mozambique, South Africa,	IFAD	AFB/PPRC/28/21			13,932,000	Not endorse	-
	Cuba, Panama	FAO	AFB/PPRC/28/22			14,000,000	Endorse	-
	India, Sri Lanka	WFP	AFB/PPRC/28/23			13,995,524	Endorse	-
Sub-total, USD				-	-	41,927,524		-
6. Project Formulation Grants (PFG): Regional Concepts	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
MIE								
PFG	Cuba, Panama	FAO	AFB/PPRC/28/22/Add.1			100,000	Approve	100,000
PFG	India, Sri Lanka	WFP	AFB/PPRC/28/23/Add.1			80,000	Approve	80,000
Sub-total, USD				-	-	180,000		180,000

7. Pre-concepts: Regional	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
RIE								
	Benin, Togo	OSS	AFB/PPRC/28/24		14,000,000		Endorse	-
MIE								
	Cambodia, Lao (People's Democratic Republic), Myanmar, Thailand, Viet Nam	WMO	AFB/PPRC/28/25			13,662,862	<i>Not endorse</i>	-
	Cambodia, Viet Nam	UNIDO	AFB/PPRC/28/26			14,000,000	<i>Not endorse</i>	-
	Costa Rica, Panama	UNEP	AFB/PPRC/28/27			11,900,000	Endorse	-
Sub-total, USD				-	14,000,000	39,562,862		-
8. Project Formulation Grants (PFG): Regional pre-concepts	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
RIE								
PFG	Benin, Togo	OSS	AFB/PPRC/28/24/Add.1		20,000		Approve	20,000
MIE								
PFG	Cambodia, Lao (People's Democratic Republic), Myanmar, Thailand, Viet Nam	WMO	AFB/PPRC/28/25/Add.1			19,980	<i>Not approve</i>	0
PFG	Cambodia, Viet Nam	UNIDO	AFB/PPRC/28/26/Add.1			20,000	<i>Not approve</i>	0
PFG	Costa Rica, Panama	UNEP	AFB/PPRC/28/27/Add.1			20,000	Approve	20,000
Sub-total, USD				-	20,000	59,980		40,000
GRAND TOTAL (1+2+3+4+5+6+7+8)				47,715,846	38,020,000	134,766,871		16,038,291

9. Full Proposal: Enhanced Direct Access	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Rwanda	MoE	AFB/PPRC/28/29	5,000,000			Not approve	0
Sub-total, USD				5,000,000	-	-		0
10. Concept: Enhanced Direct Access	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Belize	PACT	AFB/PPRC/28/30	5,000,000			Endorse	-
Sub-total, USD				5,000,000	-	-		-
11. Project Formulation Grants (PFG) / Project Formulation Assistance (PFA): Enhanced Direct Access	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
PFG	Belize	PACT	AFB/PPRC/28/30/Add.1	30,000			Approve	30,000
PFA	Belize	PACT	AFB/PPRC/28/30/Add.2	20,000			Approve	20,000
Sub-total, USD				50,000	-	-		50,000
GRAND TOTAL (9+10+11)				10,050,000	-	-		50,000

12. Concepts Single-country: Large Innovation Projects	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Belize	PACT	AFB/PPRC/28/32	4,970,000			<i>Not endorse</i>	-
MIE								
	Somalia	UNEP	AFB/PPRC/28/33			5,000,000	<i>Not endorse</i>	-
	Viet Nam	IFAD	AFB/PPRC/28/34			5,000,000	<i>Not endorse</i>	-
Sub-total, USD				4,970,000	-	10,000,000		-
13. Project Formulation Grants (PFG) / Project Formulation Assistance (PFA): Single-country Large Innovation Projects	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
PFG	Belize	PACT	AFB/PPRC/28/32/Add.1	30,000			<i>Not approve</i>	0
PFA	Belize	PACT	AFB/PPRC/28/32/Add.2	20,000			<i>Not approve</i>	0
Sub-total, USD				50,000	-	-		-
14. Pre-concepts Regional: Large Innovation Projects	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
MIE								
	Kenya, Uganda	UNIDO	AFB/PPRC/28/35		5,000,000		Endorse	-
Sub-total, USD				-	5,000,000	-		-
GRAND TOTAL (12+13+14)				5,020,000	5,000,000	10,000,000		-

15. Innovation Small Grants	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Bhutan	BT FEC	AFB/PPRC/28/37	250,000			Approve	250,000
	Uganda	MoWE	AFB/PPRC/28/38	250,000			Not approve	0
Sub-total, USD				500,000	-	-		250,000
16. Learning Grants	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Costa Rica	Fundecooperación	AFB/PPRC/28/40	149,994			Approve	149,994
Sub-total, USD				149,994	-	-		149,994
GRAND TOTAL (1+2+3+4+5+6+7+8+9+ 10+11+12+13+14+15+16)				63,435,840	43,020,000	144,766,871		16,488,285

Active pipeline as of 22 October 2021

Figure 4

Active pipeline of projects and programmes considered by the Board during the 12 months preceding 22 October 2020 but not yet approved by the Board.

(United States dollars)

The total current active pipeline, including Regular, EDA and Large innovation projects amounts to USD 324,231,555

Full Proposals: Single-country	Region/Countries	Implementing Entity	Financing Requested (USD)
NIE			
	Belize	PACT	4,000,000
	Bhutan	BTFC	9,998,955
	Tanzania (United Republic of)	NEMC	2,500,000
	Tanzania (United Republic of)	NEMC	4,000,000
	Uganda	MOWE	2,249,000.00
MIE			
	Côte d'Ivoire*	IFAD	6,000,000
	Haiti*	UNESCO	9,916,344
	Malaysia*	UN-Habitat	10,000,000
Sub-total, USD			48,664,299
Concepts: Single-country	Region/Countries	Implementing Entity	Financing Requested (USD)
MIE			
	Costa Rica	Fundecooperación	10,000,000
	Honduras	CASM	4,000,000
	Lebanon	FAO	2,139,174
	Tanzania (United Republic of) (3)	NEMC	3,500,000
	Uganda (2)	MoWE	9,504,600
	Viet Nam	FAO	3,580,000
	Yemen	UN-Habitat	10,000,000
RIE			
	Trinidad and Tobago	CAF	10,000,000
MIE			
	Nicaragua	FAO	10,000,000
	North Macedonia	FAO	9,991,711
	Vanuatu	FAO	7,128,450
Sub-total, USD			79,843,935

Full Proposals: Regional	Region/Countries	Implementing Entity	Financing Requested (USD)
MIE			
	Cambodia, Lao (People's Democratic Republic), Thailand, Viet Nam	UNESCO	4,898,775
	Côte d'Ivoire, Ghana	UN-Habitat	13,951,160
Sub-total, USD			18,849,935
Concepts: Regional	Region/Countries	Implementing Entity	Financing Requested (USD)
MIE			
	Azerbaijan, Iran (Islamic Republic of)	UN-Habitat	14,000,000
	Botswana, Malawi, Mozambique, South Africa, Zambia, Zimbabwe	IFAD	13,932,000
	Chad, Sudan	FAO	14,000,000
	Cuba, Panama	FAO	14,000,000
	India, Sri Lanka	WFP	13,995,524
Sub-total, USD			69,927,524
Pre-concepts: Regional	Region/Countries	Implementing Entity	Financing Requested (USD)
RIE			
	Benin, Togo	OSS	14,000,000
	Guinea, Mali, Mauritania, Senegal	OSS	14,000,000
MIE			
	Cambodia, Lao (People's Democratic Republic), Myanmar, Thailand, Viet Nam	WMO	13,662,862
	Cambodia, Viet Nam	UNIDO	14,000,000
	Cameroon, Central African Republic, Chad, Niger, Nigeria	WMO	10,620,000
	Costa Rica, Panama	UNEP	10,693,000
Sub-total, USD			76,975,862
GRAND TOTAL			294,261,555