

Strategic Overview of AF Innovation Priorities



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Innovation Facility

Outline



Innovation Facility

- Background
- Definition of innovation



Funding windows

- Small grants for innovation
- AFCIA programme
- Large innovation projects



How to apply

- Application process
- Key materials



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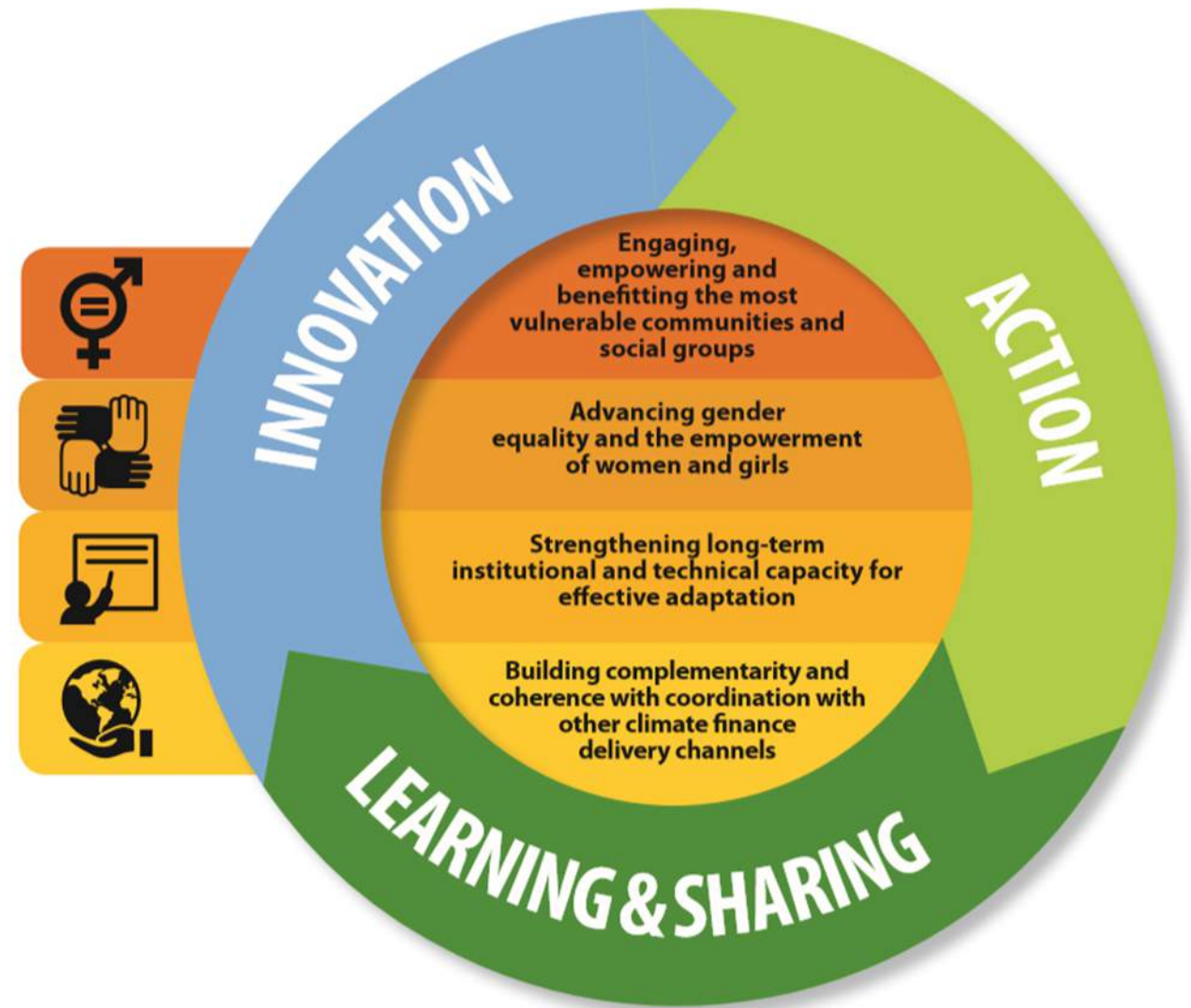


Innovation as a Strategic Focus for Adaptation

The Adaptation Fund's Medium-Term Strategy established the Innovation Facility

An **Innovation Facility** dedicated to:

- a) Roll out successful innovations;
- b) Scale up viable innovations;
- c) Encourage and accelerate innovations; and
- d) Generate evidence of effective and efficient innovation in adaptation.



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Background – Innovation Pillar



Innovation at its core

Direct access and Enhanced Direct access

New services, such as the Readiness Programme



Medium-Term Strategy 2018-2022

To accelerate, encourage and enable innovation



Paris Climate Agreement

Critical for an effective global response to climate change



Vulnerable communities

To help them adapt to climate change



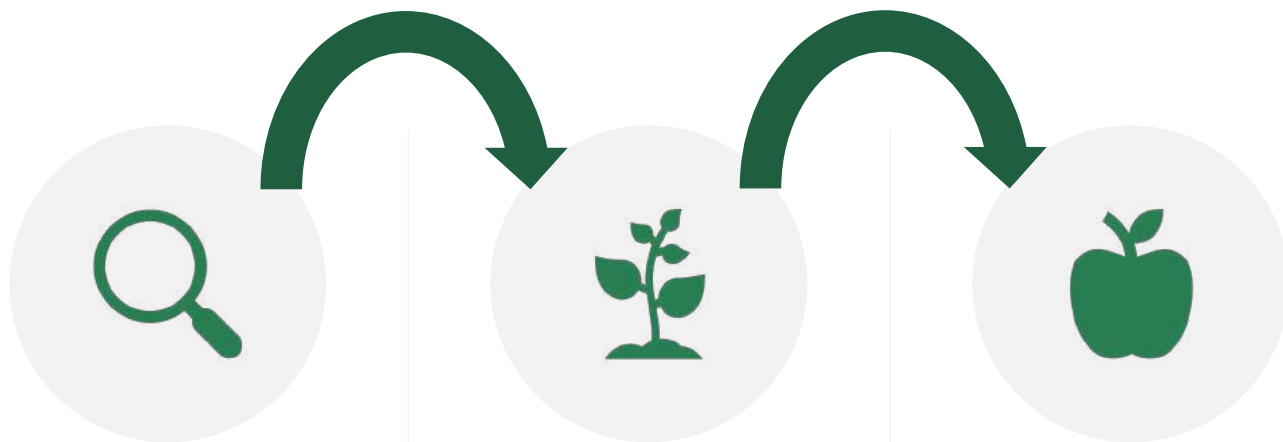
Funding windows

Innovation Small Grants, Innovation Large Grants & AFCIA



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What is Innovation?



Understood as the creating, testing, deployment or diffusion of new, adapted or improved adaptation solutions

Developed contextually and with the inclusion of the communities most vulnerable to climate change

Emphasis on iterative deployment where change, learning, and new information are embraced



Adaptation Fund's Innovation Funding Opportunities

Adaptation Fund's Innovation Facility (USD 52 million allocated)

Small Grant Mechanism
(grants of up to USD 250,000)

Large Innovation Projects
(grants of up to USD 5 million)

Direct Access
NIE Grants (USD
2 million
allocated + USD
4 projected for
RFP 2)

AFCIA Programme
(USD 10 million allocated +
an additional EUR 10
million by the EU)
Administered by UNDP &
UNEP/CTCN

To all Implementing Entities
accredited with the Fund
(USD 30 million allocated
for RFP 1 + USD 60 million
projected for RFP 2)



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Adaptation Fund's Innovation Funding Opportunities



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Thematic areas



- Disaster Risk Reduction



- Nature-based solutions



- Coastal management



- Agriculture



- Water resources Management



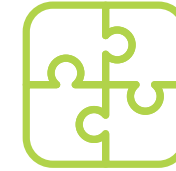
- Forests and Land Use



- Rural development



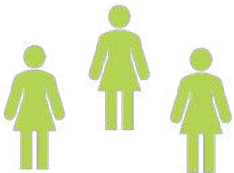
- Food Security



- Social innovation



- Urban adaptation



- Advancement of gender equality



- Enhancement of cultural heritage



- Focus on communities



- Inclusion of youth



- Innovative adaptation financing

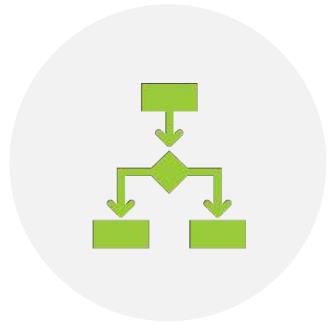


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Indicators on innovation



Strategic Results Framework amended in March 2019. Consists of impact level results, with associated core indicators, outcomes and outputs, and indicators associated with the outcomes and outputs



Outcome 8 is focused specifically on innovation, which aligns with the MTS Innovation Strategic Focus



It is foreseeable that the objectives and indicators for innovation will need to be **periodically revisited and potentially refined**



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Specific indicators on innovation

Performance Indicator	Definition	Measurement	Note
Number of innovations supported	New, adapted or improved adaptation solutions, developed contextually and with the inclusion of the communities most vulnerable to climate change, to enable those communities to become more resilient to climate change. Innovation solutions may include approaches, technologies and mechanisms.	Number of innovations	This replaces Indicator 8.1
Number of innovators supported	Persons who can introduce an innovation.	Number of innovators, disaggregated by gender (male/female) and youth status (youth/non-youth)	This is a new indicator , disaggregated in line with the innovation programme’s effort to promote gender equality and youth inclusion.
Number of partnerships leveraged	Exchange of goods or services or ideas, consultations and assistance (that could be in form of technical assistance, funds, in-kind contributions and other) between grantee and a stakeholder (for example, contributor, recipient governments, accredited Implementing Entities, the AF NGO Network and other civil society stakeholders, as well as other relevant stakeholders, including unconventional actors.)	Number of partnerships	This is a new indicator in line with the definition and vision on innovation adopted by the Board that includes stakeholder engagement on innovation
Number of “learning and sharing” initiatives	Number of learning and sharing initiatives undertaken, including communication initiatives. This can include studies and reports, lessons-learned events and workshops, articles, broadcasts, social media. This can also include any “change, learning and new information” initiatives that support iterative deployment of the innovation, and can take innovation projects and programmes in different directions.	Number of “learning and sharing” initiatives	This indicator complements indicator 8.2. It also strengthens the link between learning-and-sharing pillar and innovation pillar.
Number of applicants to innovation calls under the project or programme	Number of individuals or organizations that submit an application to an innovation competition or challenge.	Number of applicants	This is a new indicator that would serve the MIE aggregator programmes (AFCIA), and any large or small innovation projects that include innovation competitions.

5 Awarded Small Innovation Projects



Eastern Europe
1 project, US\$230,000



Asia-Pacific
1 project, US\$250,000



Latin America & Caribbean
3 projects,
US\$730,000



Key documents



Options for further Defining Innovation in Adaptation Projects and Programmes
[AFB/PPRC.26.b/17](#)



Further Clarification of Vision and Definition of Innovation under the Adaptation Fund: Analysis of Relevant Elements and Guidance on Review Criteria [AFB/B.36/8](#)



Specific Objectives and Indicators for the Innovation Aspects of Projects and Programmes [AFB/B.37/6](#)



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Accessing AF Innovation Grants



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Application Process

Innovation Projects



Experimenting



Adapting



Transforming



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Adaptation Fund's Innovation Funding Opportunities



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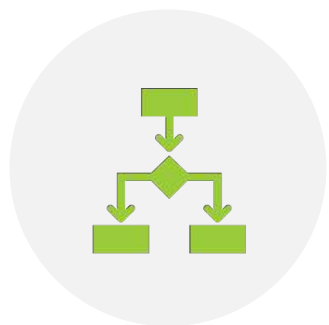
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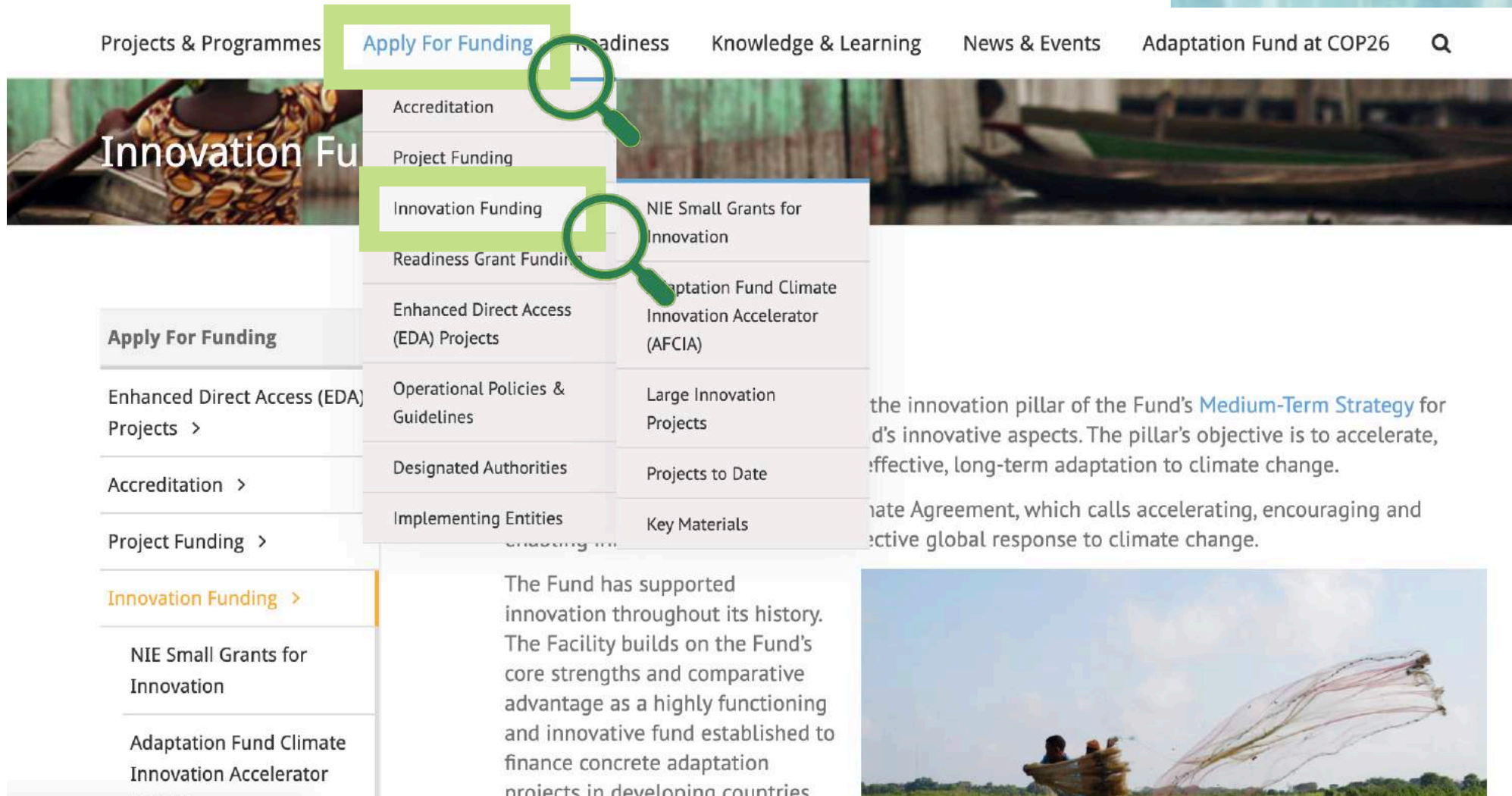
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How to apply

<https://www.adaptation-fund.org/>



The screenshot displays the Adaptation Fund website's navigation structure. The main menu includes 'Projects & Programmes', 'Apply For Funding', 'Readiness', 'Knowledge & Learning', 'News & Events', and 'Adaptation Fund at COP26'. The 'Apply For Funding' menu is expanded, showing options like 'Accreditation', 'Project Funding', 'Innovation Funding', 'Readiness Grant Funding', 'Enhanced Direct Access (EDA) Projects', 'Operational Policies & Guidelines', 'Designated Authorities', and 'Implementing Entities'. The 'Innovation Funding' sub-menu is further expanded, listing 'NIE Small Grants for Innovation', 'Adaptation Fund Climate Innovation Accelerator (AFCIA)', 'Large Innovation Projects', 'Projects to Date', and 'Key Materials'. A magnifying glass icon highlights the 'Innovation Funding' option in the main menu and the 'Innovation Funding' sub-menu item.

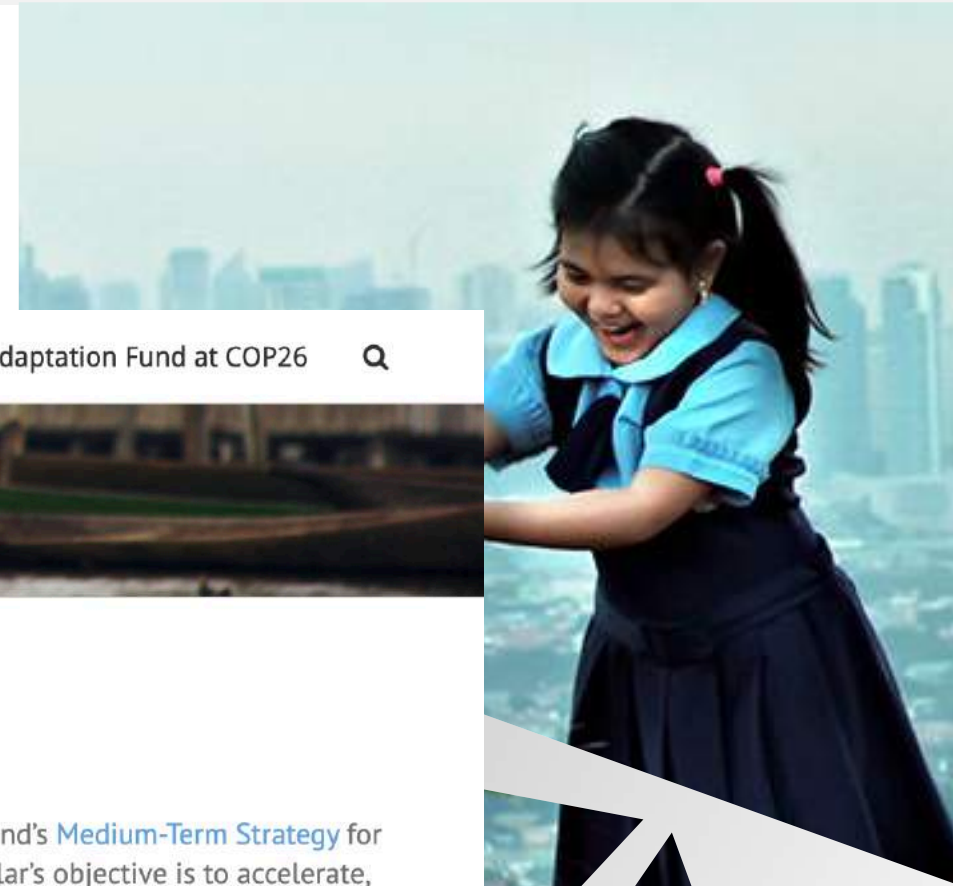
Apply For Funding

- Enhanced Direct Access (EDA) Projects >
- Accreditation >
- Project Funding >
- Innovation Funding >**
 - NIE Small Grants for Innovation
 - Adaptation Fund Climate Innovation Accelerator

The Fund has supported innovation throughout its history. The Facility builds on the Fund's core strengths and comparative advantage as a highly functioning and innovative fund established to finance concrete adaptation projects in developing countries

the innovation pillar of the Fund's [Medium-Term Strategy](#) for d's innovative aspects. The pillar's objective is to accelerate, effective, long-term adaptation to climate change.

ate Agreement, which calls accelerating, encouraging and active global response to climate change.



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How to apply



Download and complete the provided application form



Attach a signed letter of endorsement by the Designated Authority

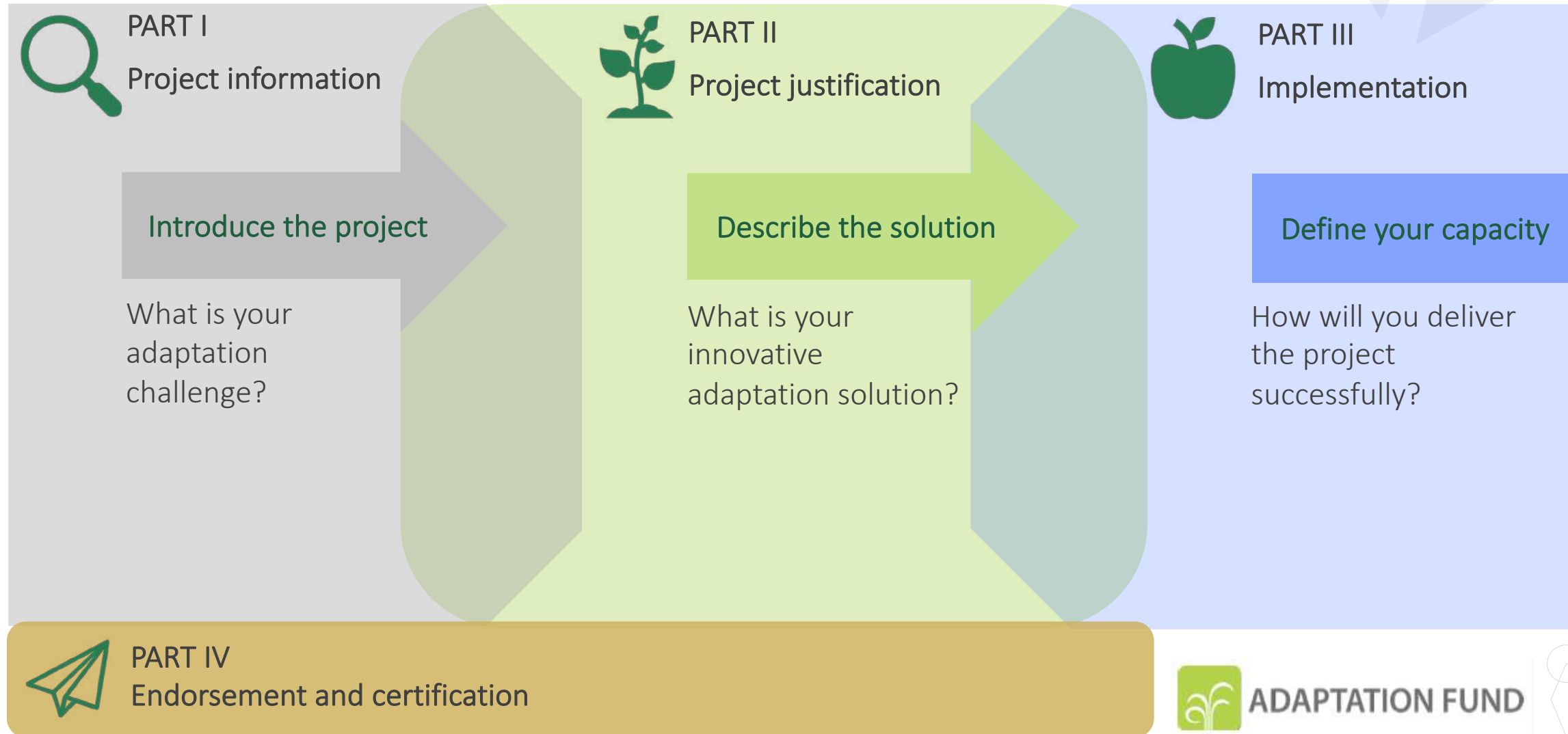


Send completed application to the Adaptation Fund

The call for innovation grants opens **twice a year** for consideration at the bi-annual board meetings and follows the project cycle submission process. After review by the secretariat comments can be addressed by the IE and the proposal resubmitted.



The application form



Key materials



Free on-line training on the understanding of innovation



Free online training on the Innovation Small Grant application



Application sample for Innovation Small Grants



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Upcoming opportunities

Under the Innovation Facility

- Small Innovation Grants and Large Innovation Projects are open to receive proposals for the AFB38 Board meeting. Deadline is January 10, 2022 at 18:00 (Washington DC time). Proposals may be submitted as early as January 3, 2022.



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Thank You



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