

# Strategic Overview of AF Innovation Priorities



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Innovation Facility



# Outline



## **Innovation Facility**

- Background
- Definition of innovation



## **Funding windows**

- Small grants for innovation
- AFCIA programme
- Large innovation projects



## **How to apply**

- Application process
- Key materials



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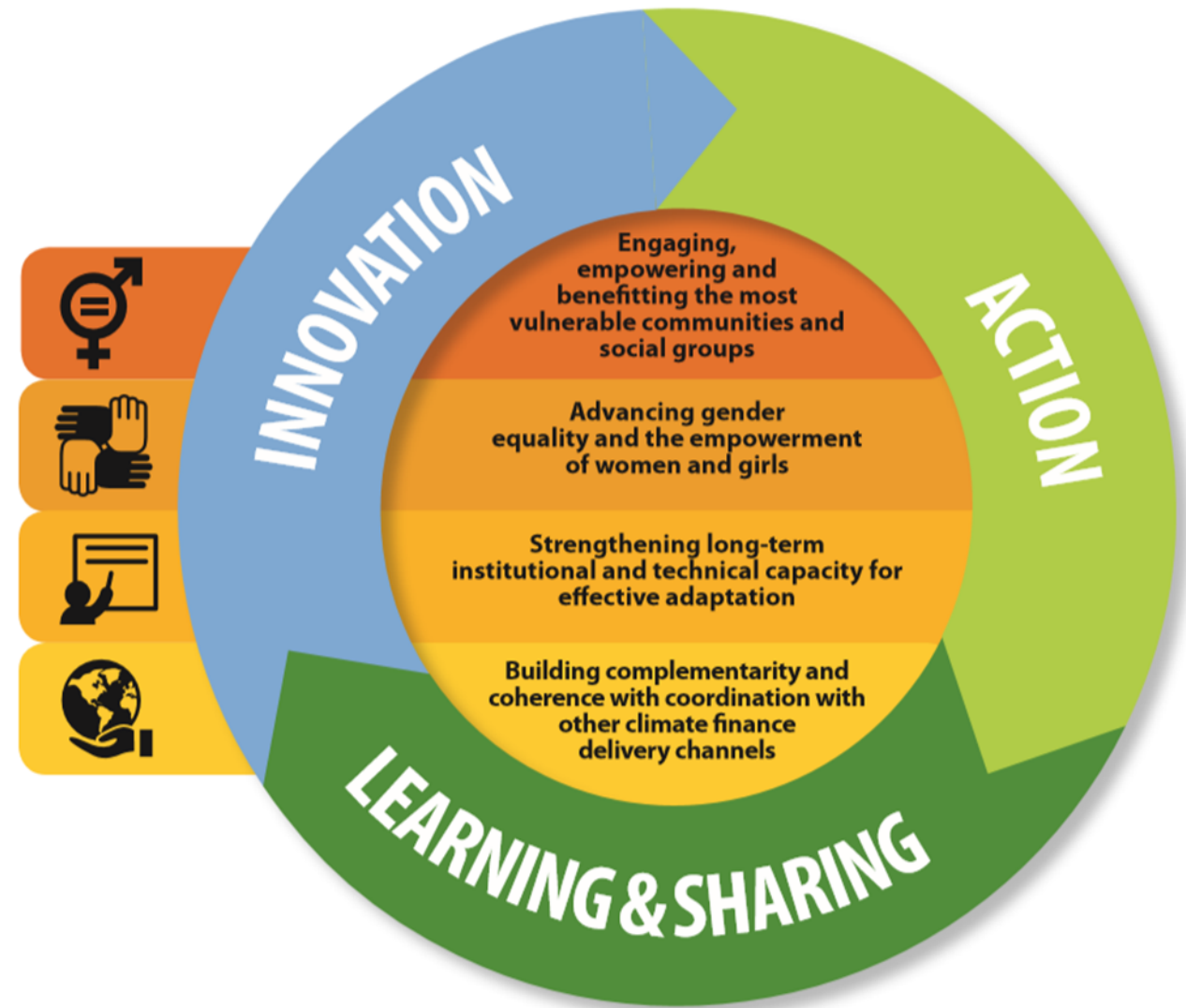


# Innovation as a Strategic Focus for Adaptation

The Adaptation Fund's Medium-Term Strategy established the Innovation Facility

An **Innovation Facility** dedicated to:

- a) Roll out successful innovations;
- b) Scale up viable innovations;
- c) Encourage and accelerate innovations; and
- d) Generate evidence of effective and efficient innovation in adaptation.



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# Background – Innovation Pillar



## **Innovation at its core**

Direct access and  
Enhanced Direct access  
New services, such as the  
Readiness Programme



## **Medium-Term Strategy 2018-2022**

To accelerate, encourage  
and enable innovation



## **Paris Climate Agreement**

Critical for an effective  
global response to climate  
change



## **Vulnerable communities**

To help them adapt to  
climate change

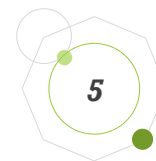


## **Funding windows**

Innovation Small Grants,  
Innovation Large Grants &  
AFCIA

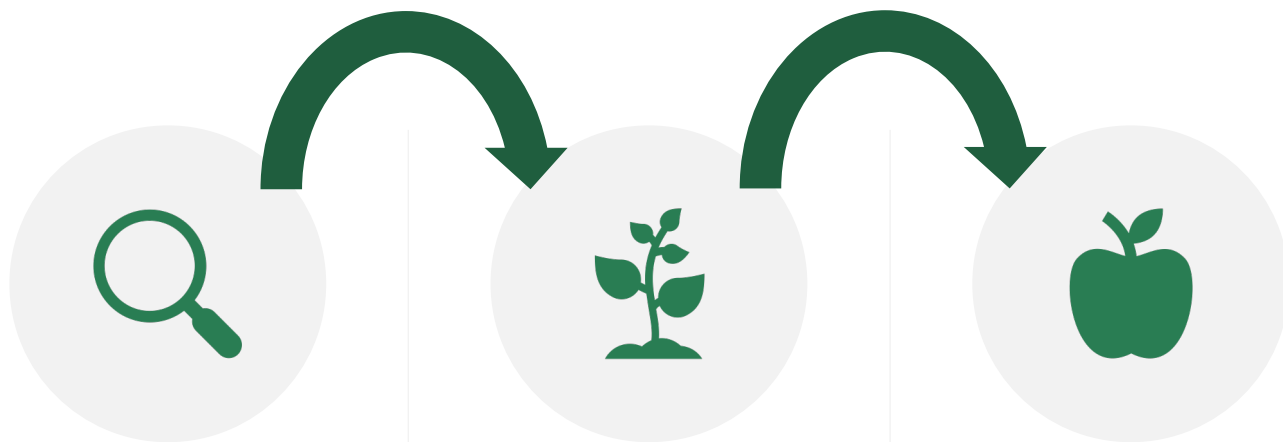


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# What is Innovation?



**Understood as the creating, testing, deployment or diffusion of new, adapted or improved adaptation solutions**

**Developed contextually and with the inclusion of the communities most vulnerable to climate change**

**Emphasis on iterative deployment where change, learning, and new information are embraced**



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# Adaptation Fund's Innovation Funding Opportunities

Adaptation Fund's Innovation Facility (USD 52 million allocated)

Small Grant Mechanism  
(grants of up to USD 250,000)

Large Innovation Projects  
(grants of up to USD 5 million)

Direct Access  
NIE Grants (USD  
2 million  
allocated + USD  
4 projected for  
RFP 2)

AFCIA Programme  
(USD 10 million allocated +  
an additional EUR 10  
million by the EU)  
Administered by UNDP &  
UNEP/CTCN

To all Implementing Entities  
accredited with the Fund  
(USD 30 million allocated  
for RFP 1 + USD 60 million  
projected for RFP 2)



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# Adaptation Fund's Innovation Funding Opportunities



## AFCIA CONTACTS

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UNEP-CTCN  
Mr. Clara Landeiro  
CTCN Regional Manager for Asia and the Pacific  
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# Thematic areas



- Disaster Risk Reduction



- Nature-based solutions



- Coastal management



- Agriculture



- Water resources Management



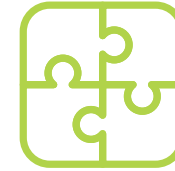
- Forests and Land Use



- Rural development



- Food Security



- Social innovation



- Urban adaptation



- Advancement of gender equality



- Enhancement of cultural heritage



- Focus on communities



- Inclusion of youth



- Innovative adaptation financing



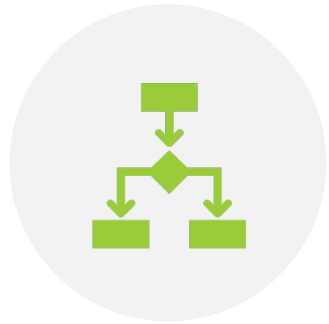
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# Indicators on innovation



**Strategic Results Framework** amended in March 2019. Consists of impact level results, with associated core indicators, outcomes and outputs, and indicators associated with the outcomes and outputs



**Outcome 8** is focused specifically on innovation, which aligns with the MTS Innovation Strategic Focus



It is foreseeable that the objectives and indicators for innovation will need to be **periodically revisited and potentially refined**



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# Specific indicators on innovation

Performance Indicator	Definition	Measurement	Note
Number of innovations supported	New, adapted or improved adaptation solutions, developed contextually and with the inclusion of the communities most vulnerable to climate change, to enable those communities to become more resilient to climate change. Innovation solutions may include approaches, technologies and mechanisms.	Number of innovations	This replaces Indicator 8.1
Number of innovators supported	Persons who can introduce an innovation.	Number of innovators, disaggregated by gender (male/female) and youth status (youth/non-youth)	This is a <b>new indicator</b> , disaggregated in line with the innovation programme’s effort to promote gender equality and youth inclusion.
Number of partnerships leveraged	Exchange of goods or services or ideas, consultations and assistance (that could be in form of technical assistance, funds, in-kind contributions and other) between grantee and a stakeholder (for example, contributor, recipient governments, accredited Implementing Entities, the AF NGO Network and other civil society stakeholders, as well as other relevant stakeholders, including unconventional actors.)	Number of partnerships	This is a <b>new indicator</b> in line with the definition and vision on innovation adopted by the Board that includes stakeholder engagement on innovation
Number of “learning and sharing” initiatives	Number of learning and sharing initiatives undertaken, including communication initiatives. This can include studies and reports, lessons-learned events and workshops, articles, broadcasts, social media. This can also include any “change, learning and new information” initiatives that support iterative deployment of the innovation, and can take innovation projects and programmes in different directions.	Number of “learning and sharing” initiatives	This indicator complements indicator 8.2.  It also strengthens the link between learning-and-sharing pillar and innovation pillar.
Number of applicants to innovation calls under the project or programme	Number of individuals or organizations that submit an application to an innovation competition or challenge.	Number of applicants	This is a <b>new indicator</b> that would serve the MIE aggregator programmes (AFCIA), and any large or small innovation projects that include innovation competitions.



# 5 Awarded Small Innovation Projects



**Latin America & Caribbean**  
3 projects,  
US\$730,000



**Eastern Europe**  
1 project, US\$230,000



**Asia-Pacific**  
1 project, US\$250,000



# Key documents



Options for further Defining Innovation in Adaptation Projects and Programmes

[AFB/PPRC.26.b/17](#)



Further Clarification of Vision and Definition of Innovation under the Adaptation Fund:

Analysis of Relevant Elements and Guidance on Review Criteria [AFB/B.36/8](#)



Specific Objectives and Indicators for the Innovation Aspects of Projects and Programmes [AFB/B.37/6](#)



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# Accessing AF Innovation Grants



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Application Process



# Innovation Projects



Experimenting

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Adapting

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Transforming



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# Adaptation Fund's Innovation Funding Opportunities



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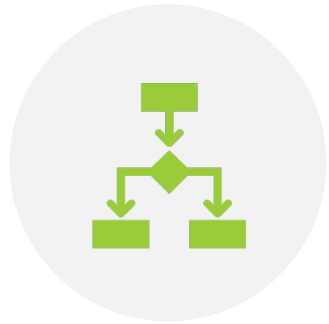




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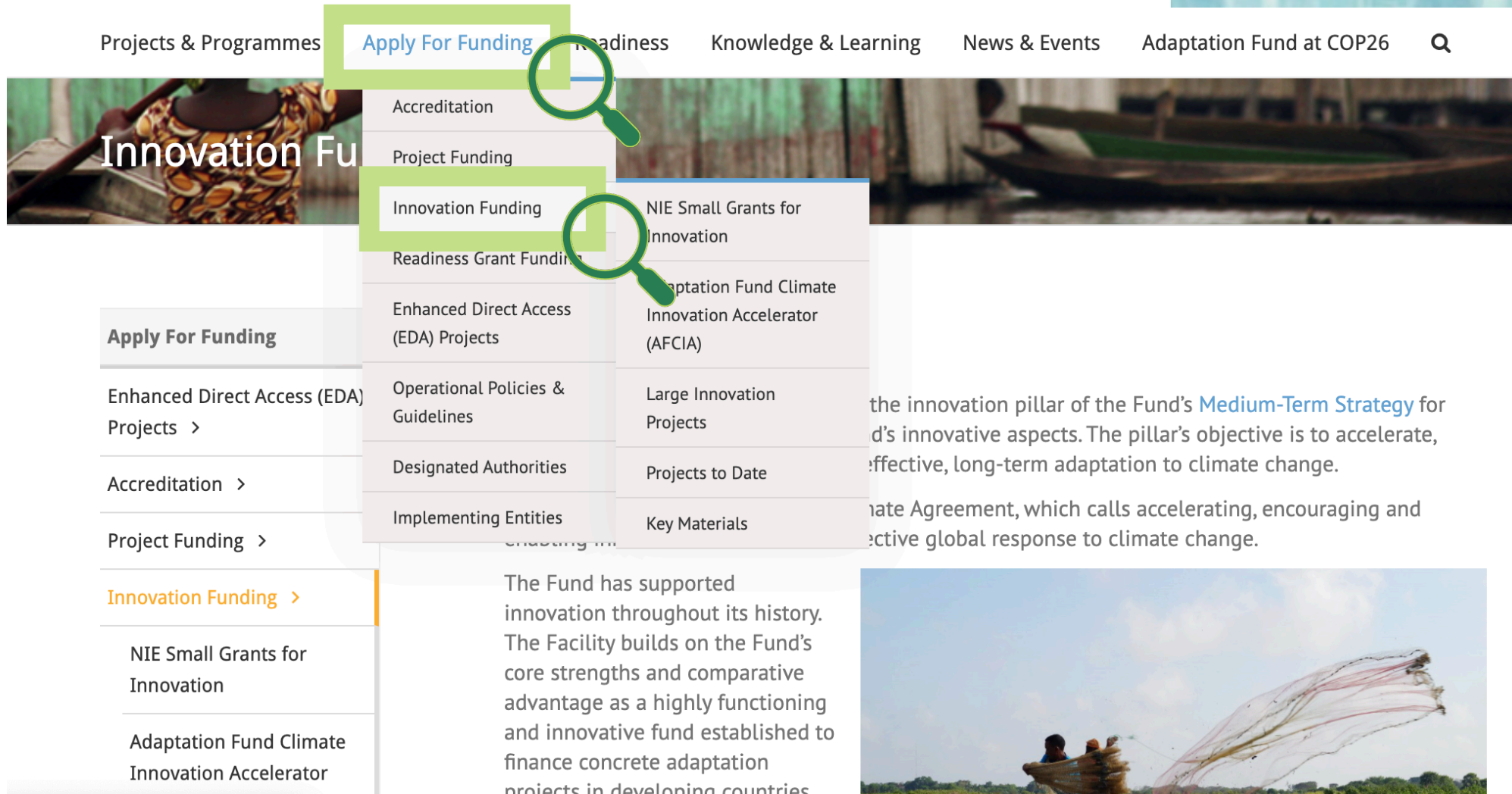
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# How to apply

<https://www.adaptation-fund.org/>



The screenshot shows the Adaptation Fund website's navigation menu. The 'Apply For Funding' link is highlighted with a green box and a magnifying glass. Below it, the 'Innovation Funding' sub-menu is also highlighted with a green box and a magnifying glass. The sub-menu includes links to 'NIE Small Grants for Innovation', 'Adaptation Fund Climate Innovation Accelerator (AFCIA)', 'Large Innovation Projects', 'Projects to Date', and 'Key Materials'. The main menu also includes 'Projects & Programmes', 'Readiness', 'Knowledge & Learning', 'News & Events', and 'Adaptation Fund at COP26'. The background of the website features a collage of images, including a person in a boat, a young girl in a school uniform, and a person fishing with a net.

Projects & Programmes **Apply For Funding** Readiness Knowledge & Learning News & Events Adaptation Fund at COP26

**Apply For Funding**

- Enhanced Direct Access (EDA) Projects >
- Accreditation >
- Project Funding >
- Innovation Funding >**
  - NIE Small Grants for Innovation
  - Adaptation Fund Climate Innovation Accelerator (AFCIA)
  - Large Innovation Projects
  - Projects to Date
  - Key Materials

The Fund has supported innovation throughout its history. The Facility builds on the Fund's core strengths and comparative advantage as a highly functioning and innovative fund established to finance concrete adaptation projects in developing countries

the innovation pillar of the Fund's [Medium-Term Strategy](#) for d's innovative aspects. The pillar's objective is to accelerate, effective, long-term adaptation to climate change.

ate Agreement, which calls accelerating, encouraging and active global response to climate change.



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# How to apply



**Download and complete the provided application form**



**Attach a signed letter of endorsement by the Designated Authority**



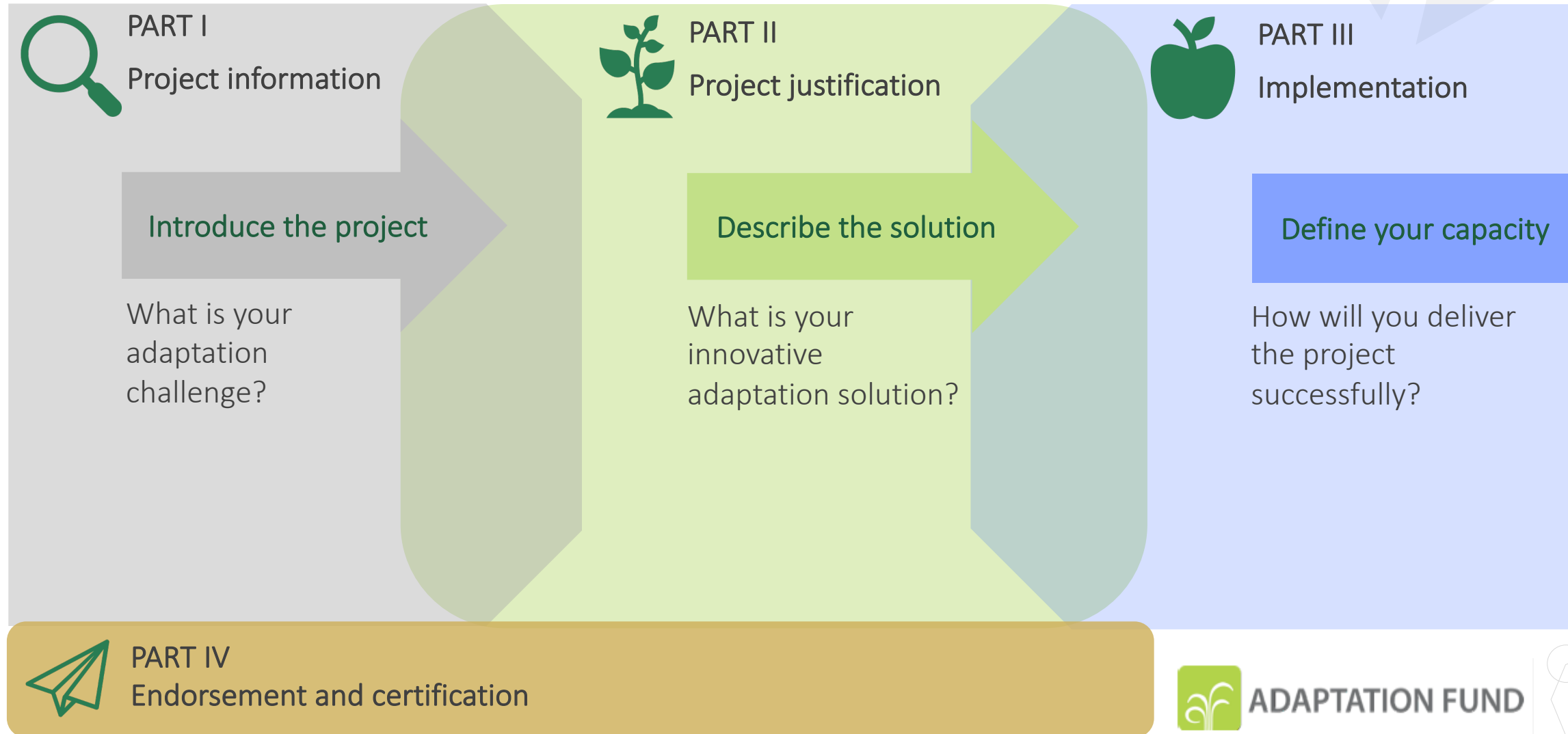
**Send completed application to the Adaptation Fund**

The call for innovation grants opens **twice a year** for consideration at the bi-annual board meetings and follows the project cycle submission process. After review by the secretariat comments can be addressed by the IE and the proposal resubmitted.



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# The application form



# Key materials



Free on-line training on the understanding of innovation



Free online training on the Innovation Small Grant application



Application sample for Innovation Small Grants



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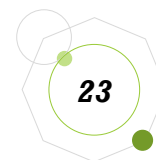
# Upcoming opportunities

Under the Innovation Facility

- Small Innovation Grants and Large Innovation Projects are open to receive proposals for the AFB38 Board meeting. Deadline is January 10, 2022 at 18:00 (Washington DC time). Proposals may be submitted as early as January 3, 2022.



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# Thank You



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## Innovation Team at the Adaptation Fund

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