



ADAPTATION FUND

## ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: **Regional Project**

**Countries/Region:** Côte d'Ivoire, Ghana

**Project Title:** Improved Resilience of Coastal Communities in Côte d'Ivoire and Ghana

**Thematic Focal Area:** Disaster Risk Reduction and Early Warning Systems

**Implementing Entity:** United Nations Human Settlements Programme (UN-Habitat)

**Executing Entities:** Côte d'Ivoire: Ministry of Environment and Sustainable Development, Ministry of Planning and Development, others

Ghana: Land Use Spatial Planning Authority (LUSPA), others

**AF Project ID:** AF00000121

**IE Project ID:**

**Reviewer and contact person:** Dirk Lamberts

**IE Contact Person:** Javier Torner, Mathias Spaliviero

**Requested Financing from Adaptation Fund (US Dollars):** 13,986,990

**Co-reviewer(s):**

### Technical Summary

The project "Improved Resilience of Coastal Communities in Côte d'Ivoire and Ghana" aims<sup>1</sup> (1) to promote regional coordination, inter-country experience sharing and cross-fertilization regarding the adaptation to transboundary climate-related natural hazards and disseminate lessons learned for progressively building urban climate resilience in coastal West Africa and (2) to develop capacities and establish conditions to adapt to the adverse effects of climate change in vulnerable urban contexts in Ghana and Côte d'Ivoire. This will be done through the three components<sup>2</sup> below:

Component 1: Improved coordination of governments and stakeholders at Regional and sub-regional level to cope with climate change, to integrate major infrastructural projects in their spatial strategies, and to learn from each other in order to face common transboundary cc-related hazards (USD 532,500);

Component 2: Promote climate change resilience through spatial development frameworks (USD 1,724,600);

<sup>1</sup> The description of the project objectives is not consistent in the proposal.

<sup>2</sup> The three components are not consistently named in the proposal, with differences in meaning and scope. The component names used here are those of the headers of the components in Section II.A of the application.

	<p>Component 3: Municipal staff, communities, and local stakeholders have successfully planned and implemented strategic physical interventions to cope with floods (urban floods due to poor drainage and coastal/riverine floods), and to access to climate-change resilient livelihoods (USD 9,437,135).</p> <p><u>Requested financing overview:</u>  Project/Programme Execution Cost: USD 1,197,000  Total Project/Programme Cost: USD 12,891,235  Implementing Fee: USD 1,095,775  Financing Requested: USD 13,986,990<sup>3</sup></p> <p>The initial technical review raises several issues, such as climate change adaptation focus, insufficient demonstration of regional relevance and added value, the risk of maladaptation, compliance with Fund's ESP and GP, the use of Unidentified Sub-Projects (USPs), project execution arrangements and administrative costs, as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.</p>
Date	24 January 2022

Review Criteria	Questions	Comments	Responses
Country Eligibility	1. Are all of the participating countries party to the Kyoto Protocol?	<b>Yes.</b> Côte d'Ivoire and Ghana are party to the Kyoto Protocol.	
	2. Are all of the participating countries developing countries particularly vulnerable to the adverse effects of climate change?	<b>Yes.</b> The coastal countries of western Africa are particularly vulnerable to the adverse effects of climate change through the effects on coastal features and processes.	
Project Eligibility	1. Have the designated government authorities for the Adaptation Fund from each of the	<b>Yes.</b> As per the endorsement letters dated 17 December 2021 (Côte d'Ivoire) and 24 December 2021 (Ghana).	

<sup>3</sup> The budget and financing requested contain errors.

	participating countries endorsed the project/programme?		
	2. Does the length of the proposal amount to no more than One hundred (100) pages for the fully-developed project document, and one hundred (100) pages for its annexes?	<p><b>No.</b> The proposal amounts to 102 pages and contains over 6 pages with several essential tables as images (e.g. the detailed budget and the budget notes) in an unreadable font corresponding to size 3. The annexes are 92 pages.</p> <p><b>CAR 1:</b> Please adjust the length of the proposal to within the limits, presenting the required information in a regular font size. Please also ensure consistency in the project objectives and the title of the project components throughout the document.</p>	<p><b>CAR 1:</b> The number of pages and the fonts in sheets have been revised. Project components throughout the documents have been revised and completed for consistency.</p> <p>The document was formatted (margins) and images and tables were updated with readable fonts – these modifications are not in track changes</p> <p>The clean version of the proposal is now 100 pages and the Annexes are 96 pages.</p>
	3. Does the regional project / programme support concrete adaptation actions to assist the participating countries in addressing the adverse effects of climate change and build in climate resilience, and do so providing added value through the regional approach, compared to implementing similar activities in each country individually?	<p><b>No.</b></p> <p>The proposed activities do not constitute concrete climate change adaptation actions. The project background and context description clearly show that the issues the project intends to address are very diverse, complex, not all well understood but clearly all with multi-factor causes and processes. The role of climate change in these, and in generating the conditions the project intends to address, is equally poorly understood, unknown or controversial yet in most cases likely to be only a lesser causal factor compared to the natural dynamics of the coastal ecosystems and to other anthropogenic</p>	<p><b>CAR 2 and CAR 3:</b> Both the lists of CC hazards and impacts, and adaptation measures around which the project proposal is developed, derive from globally shared literature (INDC from Ghana and Cote d'Ivoire, IPCC reports, WB reports, UN reports, scientific articles), and from an extensive and continuous dialogue with the national governments of Ghana and Cote d'Ivoire. UN-Habitat will seek further clarification with the AF to resolve CAR2 and CAR3. Given the time constraints, these comments have not been addressed in the current review.</p> <p>CAR related to CAR 2 and CAR 3 below will be addressed once CAR 2 and CAR 3 are resolved.</p>

		<p>causes, at least for the foreseeable future.</p> <p>The project proposal does not demonstrate if or how would the project likely make an impact in addressing the issues it targets and generate the envisaged climate change adaptation, even when it would fully achieve its intended direct outputs. This is not demonstrated for the coastal erosion problems, nor for the loss of wetlands, overfishing and soils salinisation or urban areas and flooding. In addition to the likelihood of ineffectiveness, the proposed activities also carry a considerable risk of creating or aggravating challenges in the coastal environment, and thus leading to maladaptation.</p> <p>The proposed project activities fail to demonstrate that they will build climate change impact resilience that is relevant in the medium or long term, or that they do not constitute maladaptation. As such, the project is largely a “business-as-usual” short-term development and environmental protection project.</p> <p><b>CAR 2:</b> Please revise the proposed activities so as to support concrete adaptation actions to assist the participating countries in addressing the adverse effects of climate change and build in climate resilience, whilst</p>	
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		<p>providing added value through the regional approach.</p> <p>The regional approach is ill-defined. In addition, there is insufficient demonstration of the added value or the effectiveness of the proposed regional approach. Most of the issues raised in the proposal are local without transboundary characteristics - as are their proposed remedies - and those that do transcend the local level involve much larger regions than the two project countries.</p> <p>Component 1 seems to include the two stated target countries but also those “within the Abidjan Convention” and “the West African Region”. The Abidjan Convention includes all the coastal countries in western Africa from Morocco to South Africa. The West African Region as a UN Sub-region (if that is the intended scope) includes also land-locked countries.</p> <p><b>CAR 3:</b> Please provide an improved justification of the regional approach and clearly state all countries that will be involved in the project and outline how will they be involved. All countries involved in the project will require letters of endorsement.</p>	
	4. Does the project / programme provide economic, social and environmental	<p><b>Partially.</b> Some of the project activities have the potential to provide economic, social or environmental benefits. For most activities, however, it is unlikely</p>	<p><b>CAR 4:</b> The environmental, social, and economic expected benefits have been revised and further detailed. Specified quantitative</p>

	benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy of the Fund?	<p>that there will be benefits beyond those directly generated by and during the execution of the project (please also see point 3 above).</p> <p>The proposal does describe the expected benefits for all three areas, in a general way, taking into account gender and vulnerable groups.</p> <p><b>CAR 4:</b> Please provide more details on the expected economic, social and environmental benefits of the project with quantified estimates. Please also see CAR 2.</p>	benefits have been described for components 1 and 2 and 3. Moreover, in this section, physical interventions that fall under component 3 have been specifically detailed on a table with quantified benefits that cover the three spheres of benefits, highlighting the most relevant long-term benefits that the project delivers.
	5. Is the project / programme cost-effective and does the regional approach support cost-effectiveness?	<p><b>No.</b></p> <p>The project does not appear to be cost effective. This is mostly due to the low effectiveness of the proposed activities to generate the envisaged outcomes of climate change adaptation (please also see point 3 above). The proposal does provide a description and appreciation of the cost-effectiveness of an alternative option for the activities of component 3. The regional approach does not seem to support cost-effectiveness.</p> <p><b>CR 1:</b> Please revise this section after addressing CAR 2-3 above.</p>	<b>CR 1:</b> This comment related to issues raised under CAR 2 and CAR 3, questioning the “adaptation” dimension of the measures included in the project proposal: please, kindly see response provided to CAR 2 and CAR 3.
	6. Is the project / programme consistent with national or sub-national sustainable development	<p><b>Yes.</b></p> <p>Relevant plans and strategies for both countries have been listed in the proposal and in Annex 6 with some</p>	

	<p>strategies, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of action and other relevant instruments? If applicable, it is also possible to refer to regional plans and strategies where they exist.</p>	<p>explanation on how the project complies with those.</p>	
	<p>7. Does the project / programme meet the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund?</p>	<p><b>No.</b> Applicable national technical standards are not specified as such. The information is limited to the support relevant government agencies will provide (e.g. “validation of selected sites and choices of plant species so as compliance of technical standards”) to comply. The actual standards and how the project meets those are not listed.</p> <p>The statement that the activities of components 1 and 2 do not need to meet relevant national technical standards due to their nature and regional character is not justified. All activities need to meet relevant national technical standards.</p> <p><b>CAR 5:</b> Please include the national technical standards relevant to all project activities</p>	<p><b>CAR 5:</b> Applicable national technical standards have been identified for all components (1, 2 and 3) and they have been listed on tables describing how each technical standard is linked with the activities proposed on the project.</p> <p>In addition, procedures and governmental authorizing entities have been identified and their role described for each country, guaranteeing coherence between the implementation process and the compliance with technical standards.</p>

	<p>8. Is there duplication of project / programme with other funding sources?</p>	<p><b>Yes.</b></p> <p>The proposal should show how the activities of component 1 do not duplicate those of the Abidjan Convention. These activities appear to be a core remit of the Abidjan Convention.</p> <p>In addition, the information provided on other ongoing projects is not up to date and risks of duplication and opportunities for collaboration are missed. E.g. the German BMU-funded Mami Wata project “Enhancing Marine Management in West, Central and Southern Africa through Training and Application” has completed several activities that are most relevant to the proposed project, including facilitating the establishment of a transboundary marine protected area between Côte d’Ivoire and Ghana. The proposal, however, states that the Mami Wata project “Started in 2016 so no lessons learnt reported yet” (p.53).</p> <p>The same applies to the mentioned AF project in Senegal.</p> <p>The AF project “Integrating Flood and Drought management and Early warning for climate change adaptation in the Volta Basin (VFDM)” implemented since 2019 by WMO in Benin, Burkina Faso, Côte d’Ivoire, Ghana, Mali, and Togo is still listed as being in the pre-</p>	<p><b>CAR 6:</b></p> <p>The project alignment with the Abidjan Convention is expressed in the proposal. Activities under component 1 are directly related and interact with the convention by supporting the knowledge management, exchange and contributing to the implementation of interventions towards the common goals. Partnerships and complementary actions with other agencies are a key component for the Abidjan Convention (workplan 2020-2021) to implement projects and programmes.</p> <p>Projects identified during the December 2021 mission were already incorporated/ updated to the proposal. Other projects (e.g. Mami Wata) in the table have now been reviewed and updated.</p>
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		<p>concept note phase. This project includes the following: “Outcome 2.1: Improved flood and drought forecasting instruments and Early Warning Systems (EWS) and coordination at the transboundary level to reduce disaster risks in vulnerable communities”. This activity is near-identical to Output 3.2.1 of the proposed project with a 1.53 million USD budget.</p> <p><b>CAR 6:</b> Please clarify, and adjust the proposal as needed, how there will be no duplication with ongoing or recent projects and how lessons learned will be used, using the most recent information available.</p>	
	<p>9. Does the project / programme have a learning and knowledge management component to capture and feedback lessons?</p>	<p><b>No.</b></p> <p>The project does not have a dedicated learning and knowledge management component. The related section of the proposal (II.H) focuses on training in the sense of the transfer of exogenous knowledge and skills to project beneficiaries as part of project activities. In general, there is little scope for lessons learning or the generation of knowledge that would be relevant elsewhere. The proposed activities of component 3 involve well-known techniques and were not designed with a learning or knowledge generation objective, and they have little or no potential to generate such knowledge. The climate change adaptation focus is rather limited in the activities, further</p>	<p><b>CR 2:</b></p> <p>Knowledge and learnings are generated at the different levels and components of the project, structured by Execution Entities, systematized by Project Supervision and Coordination Units and disseminated by Execution Entities and UN-Habitat. The project includes mechanisms to capture, analyse, transfer and share lessons in between components, project stakeholders and scales.</p> <p>The knowledge product that the project aims at generation are:</p> <p>i) M&amp;E plans, ii) the project inception report, iii) 6-month, annual and terminal project performance reports; iv) the mid-term review; v) technical reports of</p>

		<p>limiting the project's potential in this respect.</p> <p><b>CR 2:</b> Please clarify 1) which relevant knowledge the project intends to generate, 2) how that will be captured and 3) used to enrich the global, national and local knowledge on climate change adaptation and to accelerate understanding about what kind of interventions work.</p>	<p>subprojects; and vi) the independent terminal evaluation.</p> <p>In addition, the project knowledge components part of specific outputs are listed in table 18:</p> <ul style="list-style-type: none"> <li>- One knowledge platform to share learning materials</li> <li>- Report on improved inter-governmental climate change adaptation practices for improved coordination</li> <li>- One Regional Assessment identifying key common challenges, climate change hotspots and priority areas</li> <li>- 2 Spatial Development Frameworks with adapted SDF methodology with climate change adaptation focus</li> <li>- 3 Spatial Development Frameworks at the local level with climate change adaptation focus</li> <li>- Training materials for spatial planning and the mainstreaming of climate change adaptation in territorial and urban planning</li> <li>- 21 community plans with climate adaptation focus</li> <li>- 1 Best practices report for community climate adaptation planning</li> <li>- 1 Manual for the design and execution of EWS community projects in the West African context</li> </ul>
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			<ul style="list-style-type: none"> <li>- 1 Manual for the design and execution of NBS projects in the West African context</li> <li>- 1 Manual for the design and execution of mangrove restoration projects and adaptation finance in the West African context</li> <li>- 1 Manual for the design and execution of NBS projects in the West African context including guidelines for the establishment of training centres</li> <li>- Training materials for municipal staff and communities in thematic areas of the sub-projects</li> </ul>
	10. Has a consultative process taken place, and has it involved all key stakeholders, and vulnerable groups, including gender considerations?	<b>Yes.</b> Consultations were held since 2016 and throughout the development of the project proposal up to December 2021. Annex 3 provides some details. It also shows that only limited consultations were held on the current version of the proposal, which is substantially different from the previous versions.	
	11. Is the requested financing justified on the basis of full cost of adaptation reasoning?	<b>Unclear.</b> The proposal does not clearly state what its adaptation objectives are. As discussed above under point 3, the project is unlikely to make an impact in addressing the issues it targets and generate the envisaged climate change adaptation. The other factors that are also causes of the issues the project intends to address are not adequately considered for the most part.	<b>CR 3:</b> This comment related to issues raised under CAR 2 and CAR 3, questioning the “adaptation” dimension of the measures included in the project proposal: please, kindly see response provided to CAR 2 and CAR 3

		<b>CR 3:</b> Please clarify how the project will achieve its stated outcomes without addressing these issues comprehensively, justifying the requested financing on the basis of full cost of adaptation reasoning.	
	12. Is the project / program aligned with AF's results framework?	<b>Yes.</b>	
	13. Has the sustainability of the project/programme outcomes been taken into account when designing the project?	<p><b>Yes.</b> Sustainability of the project outcomes has been taken into account when designing the project, albeit that for the bulk of the requested funding achieving the outcomes is unlikely (please see issues raised above) and sustainability considerations become irrelevant. In particular (but not only) the activities under component 3 seem to lack essential elements that might provide sustainability, e.g. related to funding for maintenance and repairs to the provided infrastructure.</p> <p>The conceptual approach of the coastal zone used in this proposal is flawed and destined to not achieve the project goals. The inherently dynamic and ever-changing estuaries, beaches and lagoons first and foremost require adapting at any time to the dynamic environment and its services. Climate change impacts may exacerbate the challenges but equally may facilitate such adaptation. Instead, the approach</p>	<b>CAR 7:</b> This comment related to issues raised under CAR 2 and CAR 3, questioning the "adaptation" dimension of the measures included in the project proposal: please, kindly see response provided to CAR 2 and CAR 3



		<p>proposed by the project is to try to control parts of this dynamic environment and perpetuate an untenable development by investments that will all prove to be inadequate since not commensurate to the scale of the challenge and not compatible with the nature of the environmental dynamics.</p> <p><b>CAR 7:</b> Please provide a coherent justification of the project sustainability addressing all issues raised above. Please also <b>see CAR 2.</b></p>	
	<p>14. Does the project / programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?</p>	<p><b>ESP and GP compliance is unclear.</b> A summary overview of environmental and social risks and impacts of the project is provided in section II.L. A gender assessment is included in Annex 4.</p> <p>The project contains USPs. In particular for the activities of Outcome 3.2, the information provided in the proposal shows that it is not yet possible to adequately identify environmental and social risks and to adequately comply with the GP. Information both on the activities – that will allow to determine their inherent risks – as on the environmental and social setting in which they will take place, is incomplete.</p> <p>The ‘Subproject sheets’ presented in Annex 2 detailing the activities of 3.2. show that these activities are</p>	<p><b>CAR 8:</b> Noted.</p> <p>UN-Habitat would like to highlight the fact that the subprojects for all outputs Have been defined, drafted, discussed with technical experts and communities. Among other details, precise location, implementation activities, material, budget, beneficiaries, as well as environmental and social risks have been identified and discussed.</p> <p>Activities inside the sub-projects had not been included previously due to space limitation and have been included in the present review. Initial proposed studies, for example, in the case of Early Warning Systems are not due to lack of definition. Technical experts consultations stated that, even though the plan is designed and validated, before implementation a construction study needs to occur, to verify that conditions in the area have not</p>

		<p>insufficiently or not identified, for all four outputs. The outputs all start with “Detailed engineering study and design”, which in fact amounts to identification of the activities. E.g. the detailed engineering study for the Early Warning System (3.2.1) is actually a very broad scoping exercise (please also see the related comment under point 8 above). Furthermore, if the activities of Outcome 3.2. were fully identified, the community-based planning under Outcome 3.1. would be redundant. The USP nature of these activities is also reflected in the determination of the number of beneficiaries for some of the activities. The footnote on p. 117 states “Direct (targeted with high intensity): people living next to the interventions (assumption: 40% of the population).” The proposal does not acknowledge the use of USPs, and also does not include the required justification.</p> <p>The risks findings presented in II.L include all activities, including the USPs, and are therefore premature.</p> <p>Substantiation of the risks findings is presented in Annex 5. There are several significant shortcomings in the risks identification and justification, including but not limited to ethnic groups, resettlement, child labour, child</p>	<p>changed, and to investigate construction details. All the elements of the subprojects up to the execution level have been identified: the elements, the location and are presented in the map. In addition, all activities (result of a set of design phases that are coherent with the state of the project) are presented in the budget notes.</p> <p>Activities with reduced font size but they (CAR 16 have been now been improved for readability).</p> <p>To conclude, UN-Habitat has developed the subprojects to a level of detail that would allow to move to execution. Some of the proposed activities (such as “direct beneficiaries: assumption of the 40% of the population) has been adjusted to emphasize the defined nature of projects and activities.</p> <p>Regarding the shortcomings mentioned in the overall comment, we clarified the “child trafficking” under “human rights” and why the risk is not triggered by the project. Similarly, for “resettlement”, risk of resettlement is mentioned in the document, but not as a risk triggered by the project: people in one community are at risk of resettlement due to some financial operation occurring in the surrounding area (pg 107): the project, by boosting their resilience and ensuring their livelihoods, on the contrary will help them in not being resettled. Child labour risk was already mentioned in the ESP,</p>
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		<p>trafficking, physical and cultural heritage, pollution.</p> <p><b>CAR 8:</b> Please identify all the proposed project activities to the point where adequate identification of environmental and social risks and GP compliance are possible, and revise the risks identification and any risk management arrangements accordingly.</p>	<p>but we highlighted it. Regarding “Ethnic groups”, of course they do exist, but as described in the ESP, through consultation in emerged that the ethnic groups that are present are well integrated: there are no refugees or marginalized groups.</p>
	<p>15. Does the project promote new and innovative solutions to climate change adaptation, such as new approaches, technologies and mechanisms?</p>	<p><b>No.</b> Innovation is notably lacking from the proposal. Very few of the proposed activities are novel, and most are not new to the project countries or target areas.</p> <p>The Blue Carbon Project activities to capitalize on future sequestered carbon in the rehabilitated mangrove areas are among the more innovative aspects of the project. However, they are climate change mitigation in nature rather than adaptation.</p> <p><b>CAR 9:</b> Please revise the proposal to promote new and innovative solutions for climate change adaptation.</p>	<p><b>CAR 9.</b> The innovation of the proposal has been revised. The project activities contribute to the Expected Results (ER) from the Strategic Focus on Innovation, Implementation Plan of the MTS (2018-2022) considering:</p> <p><b>(ER1) Rolling out innovations that have demonstrated success in other countries/regions:</b> The implementation of climate-resilient practices (<b>Innovation 7,9 and 10</b>) from the <i>Innovation section</i> of the proposal) can be categorized as innovative approaches since they have not been implemented in these countries. Despite some efforts to address the low productivity of agriculture lands in the Volta region and Gran Bassam, there has not been a successful approach that considers a comprehensive solution where salt-resilient crops are implemented. These approaches have been successful in other countries, for example, in Pakistan the adoption of salt</p>

			<p>tolerant crops delivered a 42% higher crop yield compared to local conventional methods (2016-2017) while in Kenya, the adoption of salt-tolerant carrot doubled the yield under saline soil conditions.</p> <p>Rolling out international Nature-based Solutions best practices adapted to traditional knowledge and local skills <b>(Innovation 8)</b> for increasing urban flood resilience is intrinsically connected with the New Urban Agenda objectives using nature-based innovation approaches. The use of infiltration cells in specific sites such as rain gardens, the implementation of bioretention facilities and drainage channels such as bioswale are technologies that have been proved to be effective in developed countries like the USA and other countries in Europe. Implementing these technologies in the African region brings new options in novel social and environmental contexts, adapting NbS infrastructure to the local traditional knowledge for addressing flood risks. This embedded solution can boost the development of treatments to deal with floods using vegetation and systems designed to capture, treat and infiltrate water runoff.</p> <p><b>(ER2) Scaling up innovations:</b>  <b>Innovation 1</b> addresses one of the goals of the project to mainstream implemented practices in a local level that could be replicated in other countries of the region</p>
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			<p>This goal builds-up from the existing regional platforms to enhance transboundary climate resilience benefits. The process of mainstreaming best practices inherently scales up and delivers innovation so that the experiences and lessons learned can be adapted and tailored to other local circumstances among the countries of the Abidjan Convention.</p> <p>Particularly, Output 3.2.4, through <b>Innovations 7,9 and 10</b> for climate-resilient agriculture considers the implementation, replication, and upscaling of the planned activities, as the adoption of these practices is slowly integrated through the daily life and socio-economic dynamics of the rural population. As described previously, this comprehensive approach has demonstrated to be feasible in other countries, where activities start in small pilots plots to show the benefits of the climate-resilient practices and forwardly start replicating the solutions to the farmers' plots. The piloting plot and the activities developed in this area are essential to guarantee a successful local acceptance and to generate confidence among the farmers, as they can see the results and viability of the practices, being confident to adopt them in their own plots.</p> <p><b>(ER3) Developing, encouraging and accelerating innovations:</b></p>
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			<p>The project <i>encourages</i> the implementation of innovative tools for spatial management, compiling the Strategic Spatial Planning Approach and the Area-based development and Integrated Landscape System (<b>Innovation 2</b> and <b>3</b> respectively) which are tools that can provide climate solutions to the African realities and can be furtherly adapted for future climate adaptation projects.</p> <p>In addition, the Early Warning System (Output 3.2.1) contributes to safeguarding communities' lives and belongings, providing the infrastructure to quickly respond to climate hazards related to floods. The core of the subproject is not just depending on the installation of alarms and sensors that the system provides but it also aims to <i>accelerate</i> the adaptation process by strengthening the risk-response strategies, through <b>Innovation 5</b> using local disaster volunteers from the communities and the governmental bodies that are involved in this process. In addition, Mangrove restoration subproject (Output 3.2.3) is not uniquely focused on delivering mitigation benefits. Nature-based Solutions applied as Ecosystem-based adaptation measures <i>encourage</i> and provide cross-cutting benefits for both mitigation and adaptation processes. When implementing a blue carbon project (<b>Innovation 6</b>), the maintenance and revenues generated by the conservation</p>
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			<p>of the wetlands can become an income source generator as the carbon offset revenues are directly managed by the communities. <b>Innovation 4</b> regarding the participation of the Community Resource Management Areas is a spatial development tool that can <i>accelerate</i> the implementation of climate adaptation interventions. This management tool guarantees the participation of local communities and authorities for managing land and overall natural resources,</p> <p><b>(E4) Generating an evidence base of effective adaptation practices:</b> Upscaling and replication of EWS (<b>Innovation 11</b>) has the potential to mainstream best practices and generate evidence using the collected data and models, which can enhance efficient adaptation process in other districts, countries, and regions.</p>
Resource Availability	1. Is the requested project / programme funding within the funding windows of the programme for regional projects/programmes?	<b>Yes.</b>	
	2. Are the administrative costs (Implementing Entity Management Fee and Project/ Programme Execution Costs) at or below 20 per cent of the total	<b>Yes.</b> The administrative costs as presented in the project components and financing table are at 17.8 per cent below the 20 per cent threshold. However, that table contains errors. The relevant information in the detailed	<p><b>CAR 10:</b> Identified errors have been corrected and the information is now presented in a more readable format.</p> <p><b>CAR 11:</b> In the call that took place on the 20<sup>th</sup> of May 2021, the AFS and UN-Habitat agreed that the execution</p>

	<p>project/programme budget?</p>	<p>budget could not be reviewed due to the way it is presented in the proposal.</p> <p><b>CAR 10:</b> Please provide a project components and financing table with correct figures, as well as a detailed budget and budget notes in a regular format (please <b>see CAR 1</b>).</p> <p>The proposal states that the IE will also be providing execution services, and in line with OPG 7, the administrative costs then should be lower.</p> <p><b>CAR 11:</b> Please revise the proposal and budget to comply with AF Board decision B.18/30 and OPG Annex 7.</p> <p>In exceptional circumstances and when duly justified can an IE be allowed to provide project execution services, in compliance with AF Board decision B.18/30 and OPG Annex 7. The letters of endorsement of both NDAs include a statement that the IE will execute "Output 2.2.1". No further information is provided by the NDAs. Other accompanying letters, not signed by the NDA, refer to the IE executing certain outputs that do not correspond with the output mentioned in the NDA letters. This letter from Ghana is unsigned, and pre-dates (11 December 2020) the previous submission of the proposal. Neither letter explains the exceptional situation justifying IE involvement in</p>	<p>services for a total amount of USD 293,000 provided by UN-Habitat are justified as a special circumstance on the basis of the explicit request of the Governments of Ghana and Cote d'Ivoire and given the specialized role as a technical Agency of UN-Habitat for territorial and urban planning and best positioned to deliver on-the-job trainings and workshops to strengthen capacity of the Ministries and specialized agencies on spatial planning.</p> <p>The calculation method used to lower the administrative costs percentage was also revised and initially validated by AFS, detracting from the sub-total of Project Components the USD 293,000 corresponding to output 2.2.1, to make sure that no project Execution Costs are charged in relation to the executed component 2.2.1.</p> <p><b>CAR 12:</b> UN-Habitat recognizes that this is an exceptional request resulting from the demand of Member States.</p> <p>Since up to this review there was no request by AF to include NDA signatures in EE letters, they had not been included. UN-Habitat has requested the NDAs of Ghana and Cote d'Ivoire and the EE in Ghana and Cote d'Ivoire to update the letter that justify the rationale for their request for the execution of output 2.2.1 by UN-Habitat. Given the short time</p>
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		<p>execution of the project, nor is there the required assumption of responsibility by the respective governments. It is unclear if this is an exceptional request for projects submitted by this IE.</p> <p><b>CAR 12:</b> Please provide the required documentation for the IE to provide execution services in this project.</p>	<p>frame to receive these letters, UN-Habitat will share them with the AFS as soon as they have been received.</p>
Eligibility of IE	<p>1. Is the project/programme submitted through an eligible Multilateral or Regional Implementing Entity that has been accredited by the Board?</p>	<p><b>Yes.</b></p>	
Implementation Arrangements	<p>1. Is there adequate arrangement for project / programme management at the regional and national level, including coordination arrangements within countries and among them? Has the potential to partner with national institutions, and when possible, national implementing entities (NIEs), been considered, and</p>	<p><b>No.</b></p> <p>The arrangements for project management at the different levels are unclear. They include a large number of government agencies in both countries. The organigram (p. 71) shows that there may be conflicts of interest, whereby certain parties control and approve their own work.</p> <p>In particular, the role of the Abidjan Convention is unclear and possibly of concern. While it is not listed as an EE, it does have a prominent role in the regional aspects of the project, and de facto acts as EE for Component 1. It is listed as co-chair of the regional Project</p>	<p><b>CAR 13:</b> The arrangements for project management have been clarified and functions of stakeholders completed, including a table for all outputs of the project and detailing execution, validation and monitoring and evaluation responsibilities.</p> <p>Conflict of interest is avoided since the validation of the parties' work is always done by the Regional and/or National Project Steering Committees, which comprise as members the key institutions at the regional, national and local levels. Individual outputs are therefore approved by Committee consensus, and not through a single institution validation.</p>

	<p>included in the management arrangements?</p>	<p>Steering Committee (PSC). The role and the suitability of the Abidjan Convention to execute or implement component 1 of the project are not demonstrated.</p> <p>Similarly, the role of the University of Cape Coast is unclear.</p> <p>There appears to be some confusion about contractors executing parts of the project and executing entities. The project management arrangements also include a number of "Pre-identified Execution Entity". It is unclear what that means or how that is in line with the procurement rules of the IE.</p> <p>It is unclear by whom and how the activities of Component 1 outside the two project countries will be implemented.</p> <p>The NIE in Côte d'Ivoire, the <i>Fonds Interprofessionnel pour la Recherche et le Conseil Agricoles</i> (FIRCA) has a minor role in the execution of the project, there is no accredited NIE in Ghana.</p> <p><b>CAR 13:</b> Please specify the executing entities of the project, as well as the project management arrangements, with a clear description of their roles and responsibilities and demonstrating how there are no conflicts of interest.</p>	<p>The Abidjan Convention is listed as EE in table 23 and a justification for its role and suitability to execute component 1 is justified in table 23, including delivered outputs.</p> <p>The University of Cape Coast is listed as EE in table 23 and a justification for its role and suitability to execute component 1 is justified in table 23, including delivered outputs.</p> <p>The EE and contractors role have been clarified in tables 24 and 25.</p> <p>The pre-identified private sector EE will be invited to participate in the competitive procurement process upon approval of the funding of the project, and will be selected as EE of the project according to the criteria established in UN competitive procurement processes. No private sector EE can be engaged in a project without the due procurement process.</p> <p>Activities of Component 1 outside the two countries will be executed by the Abidjan Convention, clarified in table 23.</p> <p>The NIE in Cote d'Ivoire plays a role as execution entity in the outputs related to its mission and mandate. FIRCA is also member of the PSC in Cote d'Ivoire and decision maker for the overall decision-making and validation of the project.</p>
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		<p><b>CR 4:</b> Please clarify and review the envisaged role for the Abidjan Convention in the implementation of the project.</p>	<p><b>CR 4:</b> The Abidjan Convention is listed as EE in table 23 and a justification for its role and suitability to execute component 1 is justified in table 23, including delivered outputs</p>
	<p>2. Are there measures for financial and project/programme risk management?</p>	<p><b>Partially.</b> One risk consideration that is lacking from the proposal is that of sudden, possibly catastrophic developments in the highly dynamic project area that would render certain project activities inadequate or ineffective, e.g., a flood or a break in a sea wall rendering a freshwater lagoon brackish.</p> <p><b>CR 5:</b> Please clarify how the project will address the risk of sudden major changes in the environment and how the project outcomes are resilient to such changes.</p> <p>The COVID-19 risks identified are listed with mitigation measures that may impose undue restrictions on the project implementation and that carry considerable risks in their own right. It in effect gives “the UN security unit” control over field work, and their relevant policies or guidelines are unclear.</p> <p><b>CR 6:</b> Please clarify how the mitigation measures for COVID-19 constraints on project implementation will be effective,</p>	<p><b>CR5:</b> Noted and addressed in the section</p> <p><b>CR6:</b> Noted and addressed in the section</p>

		without causing unnecessary additional delays or complications. Please clarify how planning tools and protocols to respond to any restrictions will be prepared during project inception.	
	3. Are there measures in place for the management of for environmental and social risks, in line with the Environmental and Social Policy of the Fund? Proponents are encouraged to refer to the Guidance document for Implementing Entities on compliance with the Adaptation Fund Environmental and Social Policy, for details.	<p><b>Partially.</b> The project contains USPs and the ESP-related risks management arrangements need to reflect this.</p> <p>There are gaps in the risks' identification and management arrangements for several ESP principles. e.g., compliance with the law (please <b>see CAR 5</b>). The risks assessment found that air travel under components 1 and 2 would generate 600 tonnes of CO<sub>2</sub>, for which mitigation would come from the mangrove reforestat ion under output 3.2.3. However, that carbon credit is not available as it is committed under the Blue Carbon Project activities.</p> <p>Please <b>see CAR 8</b>.</p> <p>The description of the grievance mechanism is incomplete as it does not include the arrangements at country-level (p. 189).</p> <p><b>CR 7:</b> Please include a full description of the whole grievance mechanism.</p>	<p><b>CR 7:</b> Noted. Regarding the Grievance Mechanism, we added a full description of tools, steps and responsibilities in Annex 6 (under "Grievance mechanism" section). Alignment of the Grievance Mechanism with national governments (based on ESIA, national indications, and consultation) was also specified</p> <p>Regarding the mitigation of CO2 generated by component 1 and 2, the comment is well received, and we adjusted the ESP accordingly. More in general, referring to UPS, please refer to our reply to CAR8.Regarding national standards and compliance with the law, please refer to our reply to CAR5.</p>
	4. Is a budget on the Implementing Entity Management Fee use included?	<p><b>Unclear.</b> The budget, including the Implementing Entity Management Fee, is presented as an image table in a font</p>	<b>CAR 14:</b> Budget was included in a regular format.

		<p>corresponding to size 5 and could thereby not be reviewed.</p> <p><b>CAR 14:</b> Please present the budget in a regular format.</p>	
	5. Is an explanation and a breakdown of the execution costs included?	<p><b>No.</b></p> <p><b>CAR 15:</b> Please include a breakdown of the execution costs.</p>	<b>CAR 15:</b> Breakdown of execution costs was included
	6. Is a detailed budget including budget notes included?	<p><b>Unclear.</b></p> <p>The budget notes are presented as an image table in a font corresponding to size 3 and could thereby not be reviewed. Throughout the proposal document, different methods are used to denote decimal points and thousands separators. Please ensure consistent use of a comma (,) as thousands separator.</p> <p><b>CAR 16:</b> Please present the budget notes in a regular format.</p> <p>The budget does not seem to include sub-totals by component.</p> <p><b>CR 8:</b> Please add component sub-totals to the budget tables.</p>	<p><b>CAR 16:</b> Numbers were reviewed to ensure consistent use of a comma (,) as thousands separator</p> <p><b>CR 8:</b> Budget tables with component sub-totals were included in a readable format.</p>
	7. Are arrangements for monitoring and evaluation clearly defined, including budgeted M&E plans and sex-disaggregated data, targets and indicators,	<p><b>Mostly yes.</b></p> <p>Specific gender targets are provided, e.g., as 40% women beneficiaries. There is no explanation or justification provided for those specific gender targets. Furthermore, these targets are inconsistent throughout the proposal.</p>	<b>CR9:</b> Gender target has been reviewed throughout the project, with considerations to the type of activity and target. Outcomes and outputs involving government staff (e.g., roundtables for regional and national bodies, training, workplan with Abidjan Convention, assessment reports and others) target

	in compliance with the Gender Policy of the Fund?	<p><b>CR 9:</b> Please clarify, explain and justify the gender targets in the proposal and how they will reflect gender equality, ensuring consistency throughout the proposal.</p> <p>A budgeted M&amp;E plan is included on p. 101.</p>	<p>25% of women participation. The value considers the 24% rate of women's Political Participation in parliament in West Africa (IDEA, 2021). Gender target for outcome and activities involving (e.g., physical interventions, community plans, trainings, local events for exchange of experiences and others) uses the approximate average rate of female population of all the targeted communities: 50%.</p> <p>Detail has been provided in Annex 4: Gender Policy and Cross-cutting Issues., both in text and in the Table 21 Vulnerable groups targets</p>
	8. Does the M&E Framework include a break-down of how implementing entity IE fees will be utilized in the supervision of the M&E function?	<b>Yes.</b>	
	9. Does the project/programme's results framework align with the AF's results framework? Does it include at least one core outcome indicator from the Fund's results framework?	<p><b>Yes.</b></p> <p>The proposal contains a results framework with indicators for each outcome and output. Some of the indicators are unclear, e.g., the indicator for Outcome 1.1. (Improved coordination among governments to adapt to climate change and to implement effective coherent measures for climate change adaptation at regional level) is "Number of trainings</p>	<p><b>CR 10:</b></p> <p>Regarding Outcome 1.1., indicators have been revised. The coordination on effective and coherent measures for climate change adaptation in the region will be promoted through meetings, discussions and alignment will be reflected into strategies, policies and regulations and assessment reports. Trainings under this component are captured under outcome 1.2 and</p>

		<p>and reports". It is unclear how the quality of coordination can be measured by the indicator suggested. It also includes indicators (e.g., infrastructure for climate monitoring) that do not relate to any planned activity. Other indicators are questionable as well, e.g. those for output 3.2.3 mangroves restoration, where the survival/ success rate of mangrove restoration has been set at 60%. It is unclear if this has been taken into account in the other indicators and targets for these components. Does e.g., the target for carbon capture refer to all the 582 ha that will be replanted or just the 60% successful ones? And how will the carbon capture be measured?</p> <p><b>CR 10:</b> Please clarify and justify the indicators in the results framework.</p> <p>The project result framework does not include the core impact indicator "Number of beneficiaries including estimations for direct and indirect beneficiaries" and a second required core indicator relevant the areas identified in AF results framework, namely (1) Early Warning System; (2) Assets Produced, Developed, Improved, or Strengthened; (3) Increased income, or avoided decrease in income or (4) Natural Assets Protected or Rehabilitated.</p>	<p>knowledge sharing, and lessons learnt in outcome 1.3. Means of verification are the discussions minutes, action points agreed and follow-up. These details have been incorporated into the results framework table for clarity.</p> <p>The indicator on infrastructure for climate monitoring refers to the set of equipment that will support the Early Warning System. This activity is mentioned under EWS Sub-project EWS (Annex 2). The indicator has been rephrased for clarity: 'No of communities covered by operating hydro meteorological equipment (e.g. radar, rainfall stations, meteorological stations, gage stations, water level monitoring) (Aligned with AF core indicator 1.2/ EWS component 1 risk knowledge)'.</p> <p>Regarding output 3.2.1 for mangrove restoration, the targets have been revised: survival rate range of 75%-97.50% of the 582ha. Success rate will be defined by measuring mangrove growth parameters such as the height, leaves amount and size, stem diameter, and stilt roots compared to specific standard values for each mangrove species. The tCO<sub>2</sub>e captured now also refer to this range: 9,770 tCO<sub>2</sub>e - 12,700 tCO<sub>2</sub>e. Initial estimations of carbon removal are measured using version 3.0 of the CDM AR-A0014 A/R. Large-scale Methodology: Afforestation and reforestation of</p>
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		<p><b>CAR 17:</b> Please revise the results framework to include the required core indicators.</p>	<p>degraded mangrove habitats with a minimum effective rate of 75% and a maximum of 97.50%. Further details and references are included in the results framework table and footnotes.</p> <p><b>CAR 17:</b>  AF core indicator No. of beneficiaries was already provided on the direct beneficiaries. Information on total and indirect beneficiaries, including gender and age rates, has been added to the revised proposal.</p> <p>(1) Early Warning System: indicator for EWS per component and number hazard targeted have been added for clarity. Geographical coverage will be the 21 communities and the absolute number in km2 will be identified during the first stage of the project. The number of municipalities was already provided. (2) Assets Produced, Developed, Improved or Strengthened: physical infrastructure produced is already reported with absolute numbers (5,550 meter<sup>2</sup> infiltration cells, 252 meter of drainage channels, 180acres/year of crop planting for agriculture, 4 water infiltration systems, etc.). (3) Increased income or avoided decrease in income: information has been incorporated. Specific and reliable data is scarce. Estimations used census and other project experiences:</p> <ul style="list-style-type: none"> <li>- EWS: The reduction of loss of belonging and livelihoods is expected produce and income</li> </ul>
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			<p>increase of 20 - 35% in the first two years of adopting the strategy.</p> <ul style="list-style-type: none"> <li>- NBS: linked to the EWS, avoided loss due to extreme events are expected to be 20% after two years. Nature based solutions also promote water use efficiency and improvement of agriculture practice, increasing yield up to 79%. Considering the time for the implemented system to integrate to the natural environment, after 2 years of project implementation, increase is expected to be at least 20%.</li> <li>- Resilient agriculture: increase in food productivity is expected to be of 15%. Agriculture practice represent the first or second main activity of the targeted communities. Income is also expected to increase by at least 15%.</li> </ul> <p>(4) Natural assets protected or rehabilitated are already specified in absolute numbers and type: 582 ha of mangrove rehabilitated.</p>
	10. Is a disbursement schedule with time-bound milestones included?	<p><b>Yes.</b></p> <p>The project does not include a detailed activities calendar, other than the very concise one on p. 23. It is clear that the expected implementation period for the project will be at least 4 years. The disbursement schedule in the proposal includes time-bound milestones relative</p>	<p><b>CAR 18:</b> The disbursement schedule was edited. The new disbursement schedule is arranged more equally over time. More specifically, the former version only saw a disbursement upon signing, one after year one, and one after year two. Being the project a four-years project, for this new version we split the budget in four</p>

		<p>to project inception but not to the annual reporting requirement. The schedule suggests that 93 per cent of the entire budget be disbursed by the end of year 1, and the remainder by the end of year 2 of this four-year project. There are no elements in the proposal to justify such an early disbursement, on the contrary.</p> <p><b>CAR 18:</b> Please include a disbursement schedule in line with the envisaged implementation schedule for the project, and with the appropriate milestones.</p> <p>Please <b>see CAR 10.</b></p>	<p>payments. Each of the payments is calculated based on what is be expected to be delivered during that specific year</p>
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ADAPTATION FUND

## REGIONAL PROJECT/PROGRAMME PROPOSAL

### PART I: PROJECT/PROGRAMME INFORMATION

Title of Project/Programme: Improved Resilience of Coastal Communities in Côte d'Ivoire and Ghana  
Countries: Côte d'Ivoire and Ghana  
Thematic Focal Area: Disaster risk reduction and Early warning systems  
Type of Implementing Entity: MIE  
Implementing Entity: United Nations Human Settlements Programme  
Executing Entities: **Ghana:** MESTI (LUSPA); NGO  
**Côte d'Ivoire:** Ministry of the Environment and Sustainable Development, Ministry of Planning and Development; NGO  
Amount of Financing Requested: US\$ 13,986,990

### PART I. PROJECT / PROGRAMME BACKGROUND AND CONTEXT

- ***The problem: Coastal West Africa combines high exposure with high vulnerability to ~~climate change~~. ~~a~~And the derived risk is expected to increase***

In the last decade, Coastal West Africa has experienced worsening floods, often deadly, damaging infrastructures, homes, livelihoods, and assets<sup>1</sup>. In August 2019 torrential rains wreaked havoc on the coast, threatening humans and ecosystems. Yet, if flooding and aggravated coastal erosion grow more dangerous, climate change hazards go beyond these phenomena. Together with floods and storms, significant warming (between 0.5°C and 0.8°C) was registered between 1970 and 2010 over the region<sup>2</sup>. Significant warming has been registered together with increased evapotranspiration, increased rainfall variability and intensity, accelerated sea level rise of around 1 m per century<sup>3</sup>. The resultant shifts in the hydro-graphical and oceanic conditions due to climate change are likely to exacerbate coastal erosion and sedimentation problems in the West African region<sup>4</sup>. Countries along the coast of West Africa are aligned across an important environmental transition which is likely to be modified by sea level rise and climate change-related events, such as coastal and riverine floods.

The impacts of climate change in the Region, and the losses, can be told under different aspects: number of events, economic losses, human lives. No matter the way: any aspect brings the same message of urgency to intervene to boost climate change adaptation. Flood events in coastal West Africa have been more severe between 2005-2015, with a total of 132 events, with more than 14 million people affected, 2,000 dead and almost 400,000 homeless. Economies were affected as it caused US\$ 830 million of economic losses (IUCN/PAC, 2016<sup>5</sup>). In addition to flood events (both riverine and pluvial), and sea-level rise, soil erosion and salinization are threatening food security. More precisely, the combination of hazards, exposure and vulnerability, worsen by risk multipliers (such as unsustainable development, poverty and inequality, and environmental degradation),

<sup>1</sup> <https://www.wacaprogram.org/article/risin-tide-protecting-vulnerable-coastal-communities-west-africa>

<sup>2</sup> Niang, I., O.C. Ruppel, M.A. Abdrabo, A. Essel, C. Lennard, J. Padgham, and P. Urquhart, 2014: Africa. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part B: Regional Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Barros, V.R., C.B. Field, D.J. Dokken, M.D. Mastrandrea, K.J. Mach, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 1199-1265.

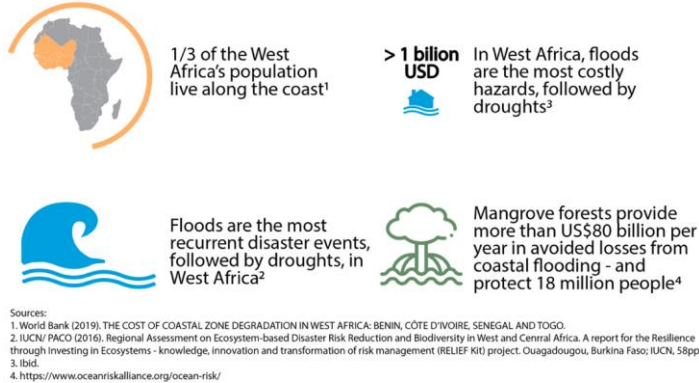
<sup>3</sup> *Adaptation to Climate Change- Responding to Coastline Change in its human dimensions in West Africa through Integrated Coastal Area Management (ACCC), UNDP, 2007*

<sup>4</sup> Allersman and Tilsman 1993 – quoted in Africa Environmental Outlook (2000)

<sup>5</sup> IUCN/PACO (2016). Regional Assessment on Ecosystem-based Disaster Risk Reduction and Biodiversity in West and Central Africa. A report for the Resilience through Investing in Ecosystems – knowledge, innovation and transformation of risk management (RELIEF Kit) project. Ouagadougou, Burkina Faso: IUCN. 58pp.

determine climate change impacts such as loss of lives, damaged settlements and infrastructures, ecosystems loss, increased poverty, increased inequalities, and increased food security (Figure 1Figure 1Figure 1).

Figure 1: Climate Change and Coastal West Africa



West Africa's coastal areas host about one third of the region's population and generate 56 percent of its GDP (WB, 2019<sup>6</sup>), meaning that any hazard occurring along the coast affects huge -and still growing- numbers of human beings and livelihoods. Together with Eastern Africa, Western Africa hosts the Africa's largest regional populations of people living in extreme poverty. Population that is highly vulnerable to the impacts of climate change has and will continue to increase in Western Africa more than any other African region<sup>7</sup>. From the total estimated 412 million inhabitants in West Africa in 2021<sup>8</sup>, the region has over 165 million inhabitants living in extreme poverty. These population, more vulnerable to climate change effects, is growing and projected to reach 210 million inhabitants living in extreme poverty by 2040.

Western Africa has a huge variety of ecosystems and climatic areas (ranging from humid rainforests to hyper-arid desert). Due to such climatic diversity, the 165 million Western Africans living in extreme poverty face threats from coastal heatwaves (exceeding 35°C), to flooding and intense droughts.

The urban growth, especially along the coast, is a driver of vulnerability, as the combination of poverty and of the pace of urbanization promote the spread of settlements which are highly vulnerable and highly exposed to flooding and heat waves. The risks include food insecurity, major loss of life from floods, increasing likelihood of mass migration, and conflicts. According to Welborn (2018), "pressure on governing institutions at all levels to respond to major climate-related humanitarian crises in the region will intensify through the foreseeable future"<sup>9</sup>.

Of course, different dimensions of risk and impacts call for different adaptation strategies. Adaptation to climate change, in general, can be built on the combination of vulnerability reduction and resilience development. While it is impossible to generalize across the varied ecologies of the West African coast, there are some common trends that should be of particular concern to policymakers. These include increasing coastal erosion and loss of wetlands (including mangroves and deltas), negative impacts on fisheries and croplands, and increasing risks to urban settlements areas (Badjeck et al. 2014<sup>10</sup>).

The Governments of Ghana and Côte d'Ivoire have requested UN-Habitat to support coastal (and riverine/ delta) cities and communities to better adapt to climate change. This project proposal aims at responding to this request by addressing the main challenges in these coastal zones: coastal inundation, flooding, drought, salinization, coastal erosion and accelerated sedimentation of the small lagoons, and livelihoods' resilience.

<sup>6</sup> World Bank (2019). THE COST OF COASTAL ZONE DEGRADATION IN WEST AFRICA: BENIN, CÔTE D'IVOIRE, SENEGAL AND TOGO.

<sup>7</sup> Africa and climate change. Projection and vulnerability and adaptive capacity. Lily Welborn, 2018

<sup>8</sup> World Population Review 2021

<sup>9</sup> Africa and climate change. Projection and vulnerability and adaptive capacity. Lily Welborn, 2018

<sup>10</sup> Badjeck, M.C., Bohn, B., and Sommerville, M. (2014) Climate Change and Water Resources in West Africa: Coastal Biophysical and Institutional Analysis. USAID.

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- **Economic context. Climate change is harming the major engine of economic prosperity of the Region**

The Abidjan-Lagos corridor is one of these megaregions, with a fast-growing urban population of over 30 million. Many experts<sup>11</sup> consider this coastal urban corridor to be the engine of West Africa's regional economy. Economic growth disparities do exist among the countries of the region. Some countries are experiencing higher economic growth while others are expected to decline. Despite this urbanization, rural development plays a key role as agriculture is still the cornerstone of rural economies in West Africa. Agriculture accounts for 65% of employment and 35% of gross domestic product (GDP)<sup>12</sup>. Marine artisanal fishing is also a major contributor to this GDP. Still, poverty is higher in rural areas where most of the population, nearly 80% of the region, depends on subsistence agriculture and fishing. Nowadays, these activities are generating fewer jobs due to how badly they are impacted by climate change and unsustainable practices. This explains why rural areas

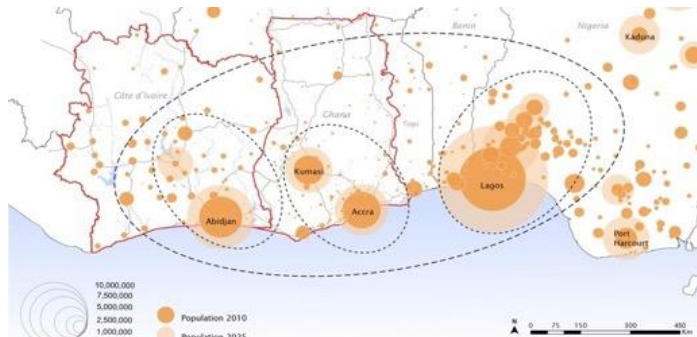


Figure 2: Abidjan-Lagos corridor mega region. Source: UN-Habitat

are diversifying and highlights the importance of its interaction with urban settlements as growth continues. Another major socioeconomic challenge in West Africa is the high unemployment rate. After declining from 4.2% in 2010, to 3.7% in 2015, the region's average rate of unemployment shot up to 5.2% in 2018. Youth unemployment is generally much higher than adult unemployment.

A focus on Côte d'Ivoire. Findings of the Living Standards Monitoring Survey carried out by the World Bank in 2015 indicate that the recent economic upturn has brought the poverty rate back down to 46 %<sup>13</sup>. Despite recent efforts, Côte d'Ivoire remains one of the countries with the highest gender inequality rates in the world, a high rate of 36% of youth unemployment and inequal distributions of benefits across the region with low integration of women into the economy. Poverty rate is still high with more than 45% of the population living under the poverty threshold. In addition, climate change is also challenging the sustainable and equal development of Côte d'Ivoire by impacting more directly the vulnerable groups.

Côte d'Ivoire plays a key role in the West African region as it functions as transit trade for neighbouring, landlocked countries. The country is the largest economy in the West African Economic and Monetary Union and has a relatively high income per capita with a favourable GDP growth rate since 2012 reaching 7.4% in 2018.<sup>14</sup> Nevertheless, GDP growth has gradually declined from 10.1% in 2012, but is still estimated to remain above 7%. Despite good economic performance, around a quarter of the working population remains unemployed. Based on estimates by the World Bank, data shows an unemployment rate of 2.4% in 2019.<sup>15</sup>

The country is the fourth-largest exporter of goods, in general, in sub-Saharan Africa. Indeed, agricultural sector remains the country's prime employer and foreign exchange earner, and it is key to poverty reduction in the rural areas. Cacao farming has contributed to 15% of GDP and about 38% of exports<sup>16</sup>. However, the price paid for expanding cultivated areas has led to destruction of massive forests land in the country. Secondly, agriculture is not sufficiently diversified and rural households are not adequately combining agricultural and non-agricultural activities to boost their incomes. Regarding the services sector, it contributed 3.4% to growth in 2018, remaining a main driver of economy. Industry sector such as agri-food industry, construction and public works sector contributed by 1.5%. The coastline is the principal economic resource of Côte d'Ivoire. The diverse habitats that

<sup>11</sup> [https://www.uneca.org/sites/default/files/PublicationFiles/int\\_progr\\_ri\\_inceptionecowaseng.pdf](https://www.uneca.org/sites/default/files/PublicationFiles/int_progr_ri_inceptionecowaseng.pdf)

<sup>12</sup> West Africa Economic outlook, African Development Bank Group, 2019

<sup>13</sup> <http://www.worldbank.org/en/country/cotedivoire/overview>

<sup>14</sup> African Development Bank Group Portal, <https://www.afdb.org/en/countries/west-africa/cote-divoire/>

<sup>15</sup> World Bank Data Portal <http://www.worldbank.org/en/country/cotedivoire/overview>

<sup>16</sup> Ibid

characterize the littoral constitute an ecologic asset for the country due to its economic, cultural, and touristic value. The principal activities in the coastal area include forestry, plantations, factories, tourism, and fishing.<sup>17</sup> The fisheries and aquaculture sector contribute about 3.2% of the agricultural GDP, its contribution to the total GDP is 0.8%, providing employment especially among vulnerable groups. With regards to food security, fish is the primary source of animal protein, and the sector produces 30% of locally consumed fish (annual consumption is estimated at 275,000 tonnes).<sup>18</sup>

A focus on Ghana. Ghana has taken major steps towards economic development. As stated by the Climate Change Policy: "Ghana has moved from a Low Income to a Lower Middle-Income country (as defined by the World Bank) and is both high-growth and energy-hungry".<sup>19</sup> To give a sense of scale, within the ECOWAS region, its economy is the second largest,<sup>20</sup> and on 2011 the country was one of the six fastest growing economies in the world.<sup>21</sup> However, an important remark is how employment growth has not kept pace with economic prosperity.<sup>22</sup>

Based on the latest ECOWAS Convergence Report in 2016 Ghana faced a moderate GDP growth of 3.5%. Shares of GDP are 19.1%, 24.2% and 56.5%, for primary, secondary, and tertiary sectors, respectively.<sup>23</sup> This distribution, as well as stronger growth reported for industry and services, demonstrate a shift from an agriculture-based economy to services oriented. Climate change plays a key role given that higher temperatures, stronger storms, reduced rain, and sea level rise, highly impact natural resources communities rely on. Despite this, employment data shows how the primary sector is still a main provider of livelihoods, accounting for 30.4% in 2018.<sup>24</sup> This demonstrates the relevance traditional livelihoods still have in Ghanaian workforce structure. On this regard, latest data shows growth values of 2.5% and 5.7% for agriculture and fishing, respectively. This sector has also a structural role in terms of food security, for example fishing highly contributes to protein intake of the population and therefore is fundamental for adequate nutrition. Its demand keeps increasing, leaving a production deficit of 702,004 tonnes a year. Fish production includes marine, inland, and aquaculture processes.

#### • Social Context

According to the World population prospects of the United Nations Department of Economic and Social Affairs, West Africa's total population is estimated at 381 million people as of 2018<sup>25</sup>. The region has been experiencing intensive urbanization for more than fifty years. This urbanization has affected the region's largest towns and small urban centres mostly in coastal countries. Indeed, a large percentage of West Africa's urban population lives in coastal cities. The population concentrated in coastal urban areas, could double by 2030 and double again by 2050. In Lagos only, the number of inhabitants could almost reach 90 million by 2100, making it the largest city in the world by then<sup>26</sup>. The 2010 UN-Habitat State of the World Cities report identified "megaregions" and "urban corridors" as new urban forms that could be "one of the most significant developments—and problems—in the way people live and economies grow in the next 50 years".

<sup>17</sup> African Development Bank Group Portal, <https://www.afdb.org/en/countries/west-africa/cote-d'ivoire/>

<sup>18</sup> Fisheries Committee for the West Central Gulf of Guinea - <https://fcwc-fish.org/uncategorized/cote-d-ivoire>

<sup>19</sup> Ministry of Environment, Science, Technology and Innovation. 2012. Ghana National Climate Change Policy.

<sup>20</sup> <https://countryeconomy.com/countries/groups/economic-community-west-african-states>

<sup>21</sup> Alagidede, Paul, Baah-Boateng, William, Nketia-Amponsah, Edward. 2013 The Ghanaian Economy: An Overview.

<sup>22</sup> Ibid

<sup>23</sup> ECOWAS. 2016. Convergence Report.

<sup>24</sup> World Bank Data Portal. <https://data.worldbank.org/country/ghana>.

<sup>25</sup> "World Population prospects – Population division". population.un.org. United Nations Department of Economic and Social Affairs, Population Division. Retrieved November 9, 2019.

<sup>26</sup> <http://www.visualcapitalist.com/animated-map-worlds-populous-cities-2100/>

*A focus on Côte d'Ivoire.* Based on the World Bank data from 2018, Côte d'Ivoire has reached a total population of around 25 million people in 2018.<sup>27</sup> The Ivorian coastline hosts most of the country's population and a significant part of its economy. Indeed, coastal areas host 30% of the Ivorian population and shelter nearly 80% of the country's economic activities.<sup>28</sup> There is a clear higher concentration in the main city, Abidjan, which exceeded 4 million inhabitants in 2010 making it the second most populous city in West Africa after Lagos.<sup>29</sup> Indeed, Abidjan is subject to accelerated urbanization giving it a cosmopolitan character. This exceptional demographic growth is due to strong natural growth as well as to significant immigration. The city not only welcomes migrants from other regions of the country, but also from its neighbouring countries. According to the World Bank's Migration and Remittances Factbook (2016), Côte d'Ivoire was one of the top ten immigration countries of middle-income countries in 2013.<sup>30</sup> The migrant population tends to reside in rural areas.

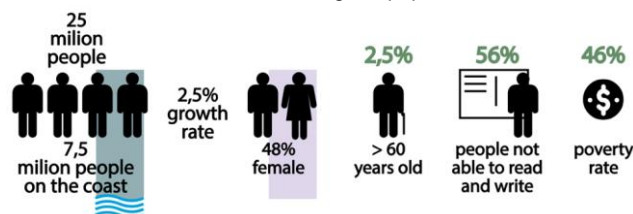


Figure 3: Côte d'Ivoire's socio-economic profile in brief. Source: World Bank Data Portal 2014.

*A focus on Ghana.* Nearly half of the national population live in the coastal belt, which is considered one of the two areas most impacted by climate change.<sup>31</sup> Even if there is not up to date data on this metric, it is well known how this trend continues and even intensifies due to migration from other regions, as well as natural population growth.

Gender-wise, female-male proportion is close to 50%. Other relevant parameters defining social structure are ethnicity and immigration. Ghana nationals, who accounted up to 97.5% of the population in 2010, are divided in more than 8 ethnic groups. Interestingly, as the low percentage of immigrants shows, Ghana has gone from being a major immigration destination in the West African sub-region, to a low immigrant country.<sup>32</sup>

Regarding social welfare, for health services, it has been identified how Ghanaians are using them more since access has improved both geographically and financially.<sup>33</sup> However, indications demonstrate how inequality is still growing as benefits from economic growth and poverty reduction are not equally distributed across the territory, women and men, and different economic status.<sup>34</sup> This is especially significant in rural areas, since its poverty gap against urban areas has widened. As it will be discussed in section III, climate change is another source of such inequalities as its impacts perpetuate vulnerability.

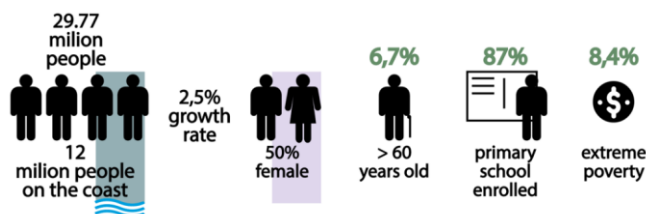


Figure 4: Ghana's socio-economic profile in brief. Source: Ghana Statistical Services. 2013. 2010 Population and Housing census; World Bank Data Portal.

<https://data.worldbank.org/country/ghana>

<sup>27</sup> World Bank Data Portal <http://www.worldbank.org/en/country/cotedivoire/overview>

<sup>28</sup> World Bank Data Portal <http://www.worldbank.org/en/country/cotedivoire/overview>

<sup>29</sup> Comment bénéficier du dividende démographique ? La démographie au centre des trajectoires de développement dans les pays de l'UEMOA, ainsi qu'en Guinée, au Ghana, en Mauritanie et au Nigéria», l'Agence Française de Développement (AFD), 2011.

<sup>30</sup> World Bank's Migration and Remittances factbook, World Bank Group, 2016

<sup>31</sup> Ministry of Environment, Science, Technology and Innovation. 2015. Third National Communication to UNFCCC.

<sup>32</sup> Ghana Statistical Services. 2013. 2010 Population and Housing census.

<sup>33</sup> The World Bank, author. 2012. A Health Sector in Transition to Universal Coverage in Ghana.

<sup>34</sup> Ministry of Gender, Children and Social Protection. 2015. Ghana National Social Protection Policy.



- **Gender Context and vulnerable groups.**

Women are amongst the most vulnerable to the impact of climate change hazards due to cultural and social arrangements that shapes and affect their access to service and limited opportunities, which makes them more exposed and vulnerable, leading to more hazards and risks. Climate change aggravated the currently disadvantage patterns. Even though women are highly vulnerable to effects of climate change, the role of women and vulnerable groups in climate change context and natural resources management are still very limited. This results from the social, economic and political barriers and inequality that impede the active participation of women and vulnerable groups in adaptation and mitigation measures against climate change.

Differently from gender, poverty is both a driver and a consequence of disasters, as described by UNDRR: “Socio-economic inequality is likely to continue to increase and with it disaster risk for those countries, communities, households and businesses that have only limited opportunities to manage their risks and strengthen their resilience”.<sup>35</sup> This inequality perpetuates as impoverished people are more likely to be settled in hazard-prone areas, having less services, and less coping mechanisms. In general, research has shown how the poor are the most vulnerable to disasters and climate change. Poverty needs to be understood as multi-dimensional. It includes not only economic poverty, but also exclusion, illiteracy, discrimination, and limited opportunities. On the one hand, when these components pre-exist, communities are less likely to have sustainable means of living, which makes them more exposed and vulnerable, leading to more hazards and risks. On the other hand, once impacted by a hazard these communities suffer great loss and have very little capacity to adjust. Higher mortality, livelihoods loss, and damage of housing, services, and infrastructure increase the inequality gap. Additionally, women and youth are particularly prone to poverty. Between ages 25 and 34, women are 69 percent more likely to live in poverty than men of the same age<sup>36</sup>, due to their limited capacity to assets and decent employment opportunities. According to the African Development Bank (AfDB) Gender Equality Index, Côte d'Ivoire ranked 0,409 and Ghana ranked 0,517 showing still a long way to reach equality.

A gender and vulnerable groups baseline assessment was conducted as part of the project's formulation. Further information that summarizes this work is provided in Annex 5. This assessment was primarily conducted in conjunction with the rapid vulnerability assessment, the findings of which are presented below and in Annex 2.

- **Environmental context**

West Africa has a total land area of 6,140,000 km<sup>2</sup>, or approximately one-fifth of Africa. The land consists of contrasting kinds of physical environment, among which we find forests, savannas, mountainous areas, flat lands, riverine areas, and sandy soil. Its coastline is also a major ecosystem accounting for over 10,000 kilometres which extend from Mauritania to Benin.

In particular, Côte d'Ivoire is the transition zone between the humid equatorial climate and the dry tropical climate. Due to the two north-south climate zones, Côte d'Ivoire is separated into two vegetation zones: forest in the south and savannah up north. The forest covers the entire southern part of the country, but its area has decreased significantly in recent decades partly due to excessive exploitation. National Parks and Strict Nature Reserves cover 1.7 million hectares.<sup>37</sup> The country has an east-west coastline of 566km that encompasses a variety of coastal habitats including coastal lagoons, estuaries, mangroves, swamps and humid zones. The most characteristic coastal habitats are the lagoon systems separated from the sea by a littoral bar, formed and maintained by waves and currents. The lagoon system is parallel to the Gulf of Guinea, it is nearly 300 km and covers a total surface area of around 1,200 km<sup>2</sup>. It consists of three distinct lagoons: The Grand-Lahou, the Ebrié lagoon, and the Aby lagoon. On the other hand, Ghana is divided into several regions: Low Plains, Ashanti Uplands, the Volta Basin, and the High Plains. These Low Plains run parallel to the coastline and can also be divided into sub-regions: the Coastal Savanna, the Accra Ho-Keta Plain and the Akan Lowlands.<sup>38</sup> As a coastal resilience project, our proposal focuses on this Coastal Savanna sub-region. The Coastal Savanna zone “consists of a coastline strand of vegetation along the seashore, mangrove vegetation (mostly degraded) associated with lagoons and coastal estuaries, and inland vegetation primarily of scrub, grasses, and scattered

<sup>35</sup> Prevention Web: <https://www.preventionweb.net/risk/poverty-inequality>

<sup>36</sup> <https://www.americanprogress.org/issues/women/reports/2020/08/03/488536/basic-facts-women-poverty/>

<sup>37</sup> United Nations Environment Programme, Côte d'Ivoire Post-Conflict Environmental Assessment, 2015

<sup>38</sup> USAID. 2011. Ghana climate change vulnerability and adaptation assessment.



trees with relatively poor soils”.<sup>39</sup> Mangroves can function as a primary storm surge barrier. On the other hand, rising sea levels, erosion from extreme weather and increased storm surge represent a significant and growing threat to mangroves related to climate change. The degraded mangroves have affected livelihoods (due to the capacity of mangrove to provide fishery and wood, and also as potential areas to attract eco-tourism), and reduced the water systems’ benefits for coastal protection, flood buffering, and stabilizing substrates composed of fine sediments, among other ecological benefits (estimates indicate that 60 percent of the mangroves have been lost around Abidjan). The Coastal Savanna sub-region includes the Volta Delta which has “fanned outward over time, developing sandbars and smaller rivers, and forming numerous large lagoons”.<sup>40</sup>

Overall, in the Region, the natural environment supplies the region with a rich natural resource base including soil, forest, rangeland, freshwater, and marine resources. This produces a variety of goods and services which strongly support livelihoods of rural population. This is particularly evident in coastal areas, and even more in estuary systems and fluvio-marine connections, where these resources contribute directly to producing ecological services that are useful or even indispensable to the coastal societies.<sup>41</sup> In both Côte d’Ivoire and Ghana, the services and goods that coastal ecosystems provide have a structural role in economic development.

Ecosystems in Coastal West Africa are on one hand one of the assets that countries have to face climate change (for example, mangrove systems can mitigate the effects of floods and limit soil erosion, fisheries can compensate the negative impact of cc-induced drought on food security, vegetation in general can regulate microclimate and provide cooling during heat-waves, etc.), on the other hand are being harmed by climate-change itself and by anthropogenic actions.

Coastal areas and deltas consist of sediments which are mainly supplied from upstream catchments by rivers. The conservation of this coastal environment is under stake as it is increasingly pressured by multiple threats like climate change and human activities (among these, urbanization and land-use change). Impacts on natural resources and thus population well-being is compromising the long-term development of the region. Natural habitats and resources in the coastal area are hindered by severe degradation, pollution, overexploitation, and poor governance. Coupled with climate change, these are risking the subsistence of coastal ecosystems. Efforts have been put to protect these ecosystems, (for example, in Ghana there are 16 official wildlife reserves which cover around 5.3% of the national land surface). However, countries in the region face big challenges that threaten these environments.

#### • ***Institutional context***

If on one hand there is lack of alignment between national adaptation priorities within the region<sup>42</sup>, it is positive to note that institutions to coordinate and align dialogues exist. Thus, a set of intergovernmental organizations in West Africa have been established based on ecosystem boundaries (GCLME, CCLME), historical language and monetary relationships (UEMOA), regional trade liberalization (ECOWAS), and specific environmental challenges that expand beyond the region alone (Abidjan Convention). These institutions can play the crucial role of hubs for regional coordination and collaboration on coastal management, by creating dialogues, setting priorities, coordinating policies and coordination, and common action<sup>43</sup>.

In particular, the Abidjan Convention (The Convention on Cooperation in the Protection, Management and Development of the Marine and Coastal Environment of the Atlantic Coast of the West, Central and Southern Africa Region) covers a geographic area comprises of 22 countries, 19 of which have already ratified it. It was ratified in 1984. The Abidjan Convention is engaged in coordinating coastal policy and information across West Africa. It constitutes a unique institutional referential framework for all initiatives linked to the protection and conservation of the marine and coastal environment along Africa’s Atlantic seaboard, and to the sustainable development of the area’s resources. With the support of UNEP and in coordination with the GCLME, each member of the convention developed a National State of the Coast Report.<sup>41</sup>

<sup>39</sup> Ibid

<sup>40</sup> Ibid

<sup>41</sup> West African Coastal Areas Challenges, Coastal Ecosystems Group of the Commission on Ecosystem Management, IUCN, 2014

<sup>42</sup> USAID 2014, Coastal Biophysical and Institutional Analysis

<sup>43</sup> Ibid

A focus on Côte d'Ivoire and Ghana. Coastal and marine issues are priorities within the climate change considerations across West African countries. Yet, despite the prioritization of coastal issues across West African countries, only six of the countries (the Gambia, Guinea, Guinea-Bissau, Nigeria, and Senegal) had begun undertaking adaptation actions<sup>44</sup>. Among national priorities presented by Côte d'Ivoire and Ghana for adaptation to climate change, "agriculture" and "freshwater" are in common, while "Coastal protection" is present among Ghana's priorities but not by Côte d'Ivoire. Similarly, "health" is one of the adaptation-priorities presented by the national strategy for Côte d'Ivoire, but not for Ghana. Given the highly transboundary nature of drivers and risks, as well as the similarity of structural vulnerabilities, lack of alignment in term of priority and actions needs to be addressed.

#### • **Observed (and future) trends, Hazards and Impacts of Climate Change**

As presented in section 1.1., West Africa is generally regarded as area particularly sensitive to climate change, with significant warming (between 0.5°C and 0.8°C) already registered between 1970 and 2010 over the region.<sup>45</sup> Based on the models developed by IPCC experts, the following changes are expected in the West Africa sub-region: **temperature rise from 3°C to 6°C** by the end of the century and **reduced (from 20 to 35%) and irregular rainfall**, combined with a possible delay in the beginning of the rainy season.<sup>46</sup>

Hazards: The temperature rise and the variability of rainfalls trigger hazards. Based on the IPCC terminology, hazards can be considered both physical events (such as floods, heatwaves,...) and trends (such as drought, salinization, ...)<sup>47</sup>. Coastal West Africa is mainly affected by: i) **sea-level rise between 40 to 80 cm**, which is likely to be higher locally depending on the significance of the tide, the strength of the wind or ocean swells triggered by **storms** off the coast<sup>48</sup>; ii) **Coastal erosion**, whose effects are already perceptible, and ocean acidification; iii) a **higher frequency of extreme weather events (heat waves, rainstorms, violent winds)**; iv) coastal (including the major lagoons) and riverine **flooding in the coastal environment**; v) **salinisation, which affects agriculture** and the quality of potable water along the coastline; vi) reduced flow and drying up of rivers<sup>49,50</sup>.

Sea level rise presents significant regional variability in sea-level trends around Africa. There is wide agreement around the idea that coastal erosion is expected to increase dramatically as a result of sea level rise and, in some areas, in combination with increasing intensity of cyclones (IPCC, 2019<sup>51</sup>). Sea level rise, combined with scarcity of water in river channels, have been instrumental in the intrusion of highly saline seawater inland, posing a threat to coastal areas and an emerging challenge to land managers and policymakers. Seawater intrusion is generally caused by (i) increased tidal activity, storm surges, cyclones and sea storms due to changing climate, (ii) heavy groundwater extraction or land-use changes as a result of changes in precipitation, and droughts/floods, (iii) coastal erosion as a result of destruction of mangrove forests and wetlands, (iv) sea level rise contaminating nearby freshwater aquifers as a result of subsurface intrusion (IPCC, 2019<sup>52</sup>). Such degradation takes the form of high soil salinity.

<sup>44</sup> USAID 2014, Coastal Biophysical and Institutional Analysis

<sup>45</sup> Adaptation to Climate Change- Responding to Coastline Change in its human dimensions in West Africa through Integrated Coastal Area Management (ACCC), 2007, UNDP

<sup>46</sup> <http://www.prcmarine.org/en/climate-change-west-africa-coastline>

<sup>47</sup> Field, C.B., V.R. Barros, K.J. Mach, M.D. Mastrandrea, M. van Aalst, W.N. Adger, D.J. Arent, J. Barnett, R. Betts, T.E. Bilir, J. Birkmann, J. Carmin, D.D. Chadee, A.J. Challinor, M. Chatterjee, W. Cramer, D.J. Davidson, Y.O. Estrada, J.-P. Gattuso, Y. Hijikata, O. Hoegh-Guldberg, H.Q. Huang, G.E. Insarov, R.N. Jones, R.S. Kovats, P. Romero-Lankao, J.N. Larsen, I.J. Losada, J.A. Marengo, R.F. McLean, L.O. Mearns, R. Mechler, J.F. Morton, I. Niang, T. Oki, J.M. Olwoch, M. Opondo, E.S. Poloczanska, H.-O. Pörtner, M.H. Redsteer, A. Reisinger, A. Revi, D.N. Schmidt, M.R. Shaw, W. Solecki, D.A. Stone, J.M.R. Stone, K.M. Strzepek, A.G. Suarez, P. Tschakert, R. Valentini, S. Vicuña, A. Villamizar, K.E. Vincent, R. Warren, L.L. White, T.J. Wilbanks, P.P. Wong, and G.W. Yohe, 2014: Technical summary. In: Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 35-94.

<sup>48</sup> <http://www.prcmarine.org/en/climate-change-west-africa-coastline>

<sup>49</sup> IPCC 2019, Special report on climate change and land

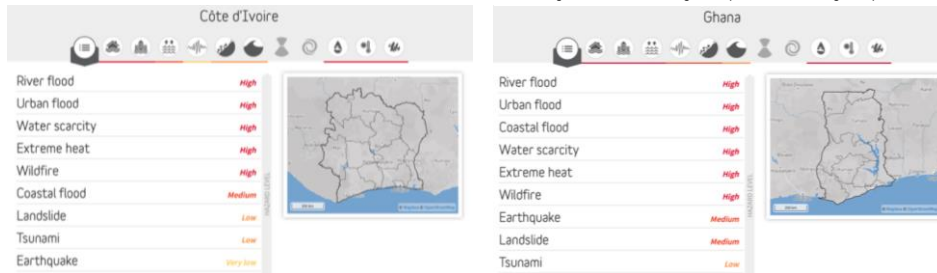
<sup>50</sup> UNFCCC, 2020. Climate Change Is an Increasing Threat to Africa. <https://unfccc.int/news/climate-change-is-an-increasing-threat-to-africa>

<sup>51</sup> IPCC 2019, Special report on climate change and land

<sup>52</sup> IPCC 2019, Special report on climate change and land

In particular, by overlaying information from the WB Climate Change Knowledge Portal (“Country profiles”)<sup>53</sup>, from the data base of UNEP<sup>54</sup>, and from other sources<sup>56</sup>, it emerges that Côte d’Ivoire and Ghana, respectively, suffer mainly from floods (both urban floods and riverine/coastal floods), extreme heat and water scarcity (see fig. 5 below). This information relates to physical events, while from the trend side there is scientific evidence that sea-level rise and salinization also represents major problems<sup>57</sup>.

Figure 5: Source of the figure: <https://thinkhazard.org/en/report/>



Impacts: Severe floods are challenging communities of coastal West Africa and disrupting the ecosystems. These effects are called “impacts”. More specifically, IPCC defines climate change impacts as “Effects on natural and human systems. Impacts generally refer to effects on lives, livelihoods, health, ecosystems, economies, societies, cultures, services, and infrastructure due to the interaction of climate changes or hazardous climate events occurring within a specific time period and the vulnerability of an exposed society or system”.<sup>58</sup>

In Coastal West Africa, impacts are being experienced in terms of **urban health**, as the lagoon system is becoming more prone to flooding putting villages at risk and bringing serious environmental health and sanitation challenges related to water access and sewage systems. Other crucial impacts are occurring and are expected in terms of **conflicts**: rainfall variability and the drying up of rivers can lead to a shortage of freshwaters and potential conflicting interests.

From both an **economic and food security** point of view, it is key to remember that the primary sector is still highly relevant in the region, both in Ghana and Côte d’Ivoire. Primary sector is still a main provider of livelihoods in Ghana, accounting for 30.4% of jobs in 2018. On this regard, latest data shows growth values of 2.5% and 5.7% for agriculture and fishing, respectively. This sector has also a structural role in terms of food security, for example fishing highly contributes to protein intake of the population and therefore is fundamental for adequate nutrition. Its demand keeps increasing, leaving a production deficit of 702,004 tonnes a year. For this reason, salinization and sea-level rise and floods-caused soil erosion, (which harm the **mangroves and compromise their fishery provisioning**) are alarming. The sea-level rise will affect coastal environments. In the face of this development, failure for the mangrove to move gradually upstream owing to a lack of space or the construction of coastal infrastructures will result in even more serious degradation. **This in turn will negatively impact the reproduction of fisheries** (fishes, shrimps and oysters provided by mangroves), wildlife (birds and manatees),

<sup>53</sup> <https://climateknowledgeportal.worldbank.org/country/cote-divoire/vulnerability>

<sup>54</sup> [https://climateknowledgeportal.worldbank.org/sites/default/files/2018-10/wb\\_gfdr\\_climate\\_change\\_country\\_profile\\_for\\_GHA.pdf](https://climateknowledgeportal.worldbank.org/sites/default/files/2018-10/wb_gfdr_climate_change_country_profile_for_GHA.pdf)

<sup>55</sup> <https://preview.grid.unep.ch>

<sup>56</sup> <https://thinkhazard.org/en/report/>

<sup>57</sup> IPCC 2019, Special report on climate change and land

<sup>58</sup> Field, C.B., V.R. Barros, K.J. Mach, M.D. Mastrandrea, M. van Aalst, W.N. Adger, D.J. Arent, J. Barnett, R. Betts, T.E. Bilir, J. Birkmann, J. Carmin, D.D. Chadee, A.J. Challinor, M. Chatterjee, W. Cramer, D.J. Davidson, Y.O. Estrada, J.-P. Gattuso, Y. Hijioka, O. Hoegh-Guldberg, H.Q. Huang, G.E. Insarov, R.N. Jones, R.S. Kovats, P. Romero-Lankao, J.N. Larsen, I.J. Losada, J.A. Marengo, R.F. McLean, L.O. Mearns, R. Mechler, J.F. Morton, I. Niang, T. Oki, J.M. Olwoch, M. Opondo, E.S. Poloczanska, H.-O. Pörtner, M.H. Redsteer, A. Reisinger, A. Revi, D.N. Schmidt, M.R. Shaw, W. Solecki, D.A. Stone, J.M.R. Stone, K.M. Strzepek, A.G. Suarez, P. Tschakert, R. Valentini, S. Vicuña, A. Villamizar, K.E. Vincent, R. Warren, L.L. White, T.J. Wilbanks, P.P. Wong, and G.W. Yohe, 2014: Technical summary. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 35-94.

carbon storage capacities and the coastline<sup>59</sup>. Other impacts are, of course, loss of lives and damages to infrastructures and settlements when hazards occur.

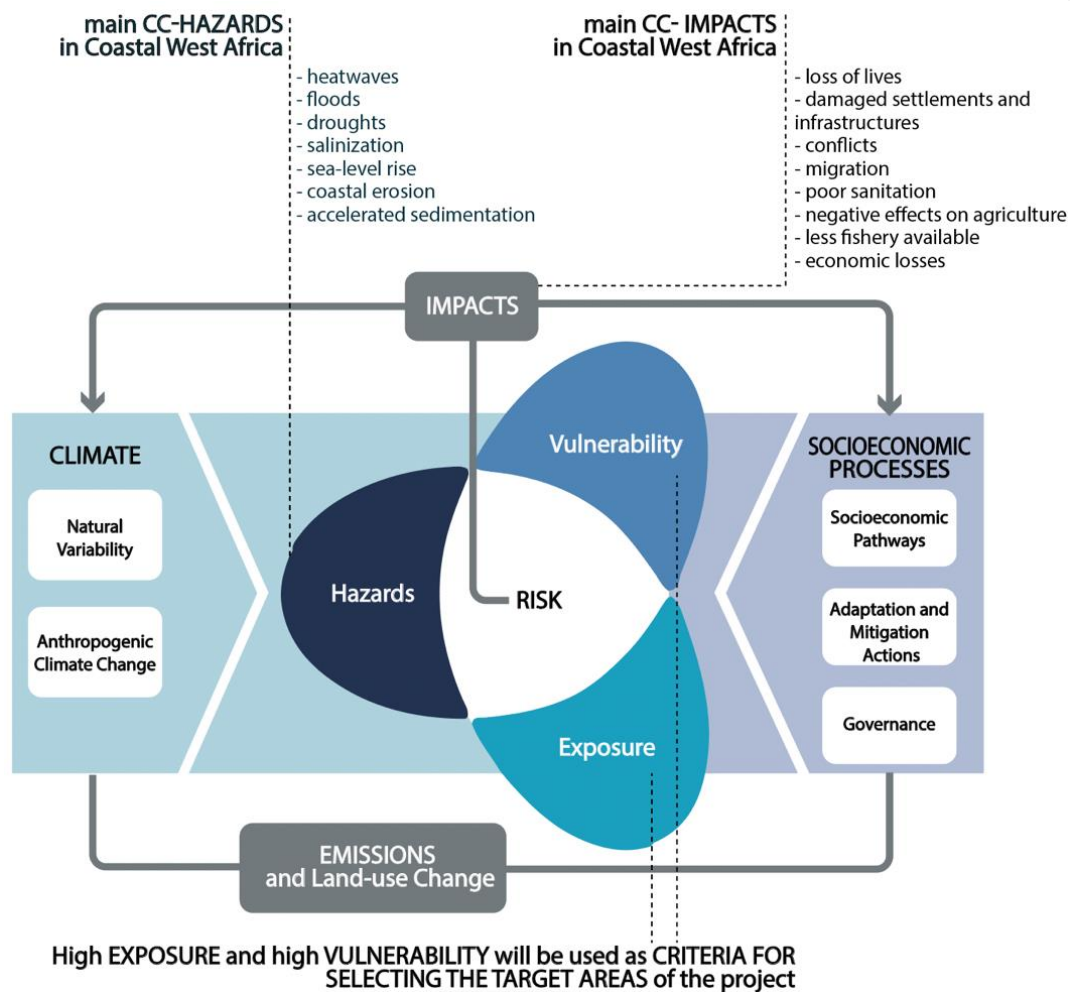


Figure 6 Climate change risks and impacts, adapted from Field et al 60

<sup>59</sup> <http://www.prcmarine.org/en/climate-change-west-africa-coastline>

<sup>60</sup> Field, C.B., V.R. Barros, K.J. Mach, M.D. Mastrandrea, M. van Aalst, W.N. Adger, D.J. Arent, J. Barnett, R. Betts, T.E. Bilir, J. Birkmann, J. Carmin, D.D. Chadee, A.J. Challinor, M. Chatterjee, W. Cramer, D.J. Davidson, Y.O. Estrada, J.-P. Gattuso, Y. Hijioka, O. Hoegh-Guldberg, H.Q. Huang, G.E. Insarov, R.N. Jones, R.S. Kovats, P. Romero-Lankao, J.N. Larsen, I.J. Losada, J.A. Marengo, R.F. McLean, L.O. Mearns, R. Mechler, J.F. Morton, I. Niang, T. Oki, J.M. Olwoch, M. Opondo, E.S. Poloczanska, H.-O. Pörtner, M.H. Redsteer, A. Reisinger, A. Revi, D.N. Schmidt, M.R. Shaw, W. Solecki, D.A. Stone, J.M.R. Stone, K.M. Strzepek, A.G. Suarez, P. Tschakert, R. Valentini, S. Vicuña, A. Villamizar, K.E. Vincent, R. Warren, L.L. White, T.J. Wilbanks, P.P. Wong, and G.W. Yohe, 2014: Technical summary. In: Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 35-94.

- **Focus of the proposal (target areas)**

- **Regional level**

The coastal stretch of Ghana and Côte d'Ivoire belongs to the **coastal system** that runs from Côte d'Ivoire to Benin, with a total length of about 1300 km (Figure 7). The coastal system is characterized by a fast west to east longshore **sediment transport** with a capacity up to 1.5 Mm<sup>3</sup>/year<sup>61</sup>. **Flooding and coastal erosion in West Africa threaten communities, livelihoods, and the economy.** About 56% of West Africa's GDP is generated in coastal provinces, where one-third of the population resides<sup>62</sup>, which means that the exposure of this area and its communities to climate change impacts, also negatively impact the well-being of the inland areas of West Africa's countries. In sum, from a land degradation point of view, low-lying coastal areas are particularly exposed to the nexus of climate change and increasing concentration of people (IPCC, 2019<sup>63</sup>). The level of **exposure** of settlements to hazards is particularly worrying. Rapid and often unplanned urbanization brings to sprawling of settlements in unsafe flood-prone areas. On top of the high exposure of people,

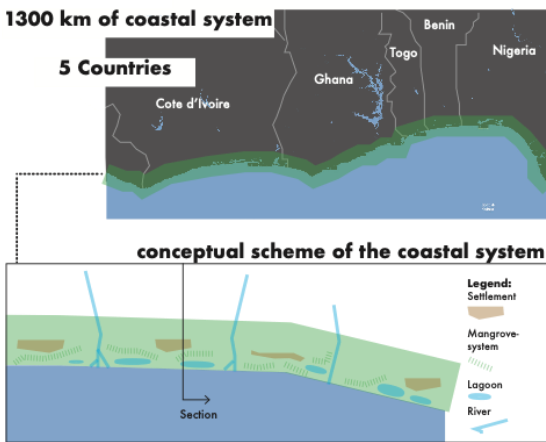


Figure 7: A common physical system

infrastructures and livelihoods, the level of **vulnerability** of human, social, and built capital is extremely high. The ongoing model of development **has devastated the natural landscape that once served as a buffer for erosion and flooding.** In addition, risk is not "equitably" distributed: these dynamics mainly affect the poorest and most marginalized, and will intensify due to climate change.

"While countries have started to contain erosion and flooding, there is an urgent need for partners to mobilize financing through coordinated regional action", the World Bank said<sup>64</sup>.

The number of common features characterizing the West Africa Coastal Systems, on one hand determines common levels and drivers of climate change risk, but on the other hand, calls for common and "transferable" solutions.

- **A focus on Côte d'Ivoire and Ghana.**

Both Côte d'Ivoire and Ghana have large coastal strips, 566 km and 540 km respectively. Similarly to other Coastal West African countries, the coastal morphology Ghana and Côte d'Ivoire is characterized by a sandy barrier and beach, which protects a system of freshwater/ brackish lagoons, low-lying plains and estuaries. The abundance of **sandy barriers and coastal lagoons along the coast** of the two countries indicates morphodynamical behaviour typical for an ebb tidal delta system. This is characterized by cyclic patterns of erosion and accretion at the coast. This cyclic event is called a morphological cycle and can span decades.

Both in Ghana and Côte d'Ivoire communities are built on the coastal barriers, or just land inwards at the banks of the lagoons/ low lying plains. A characteristic coastal habitat found here are the **lagoon systems** separated from the sea by a littoral bar. These lagoons **serve as natural water storage facilities** and form a buffer for both excessive rainfall and wave overtopping. During the monsoon season between May and July, and **exacerbated by climate change** during the last decades, rainfall increases **causing rivers to overtop their banks and strong winds cause extremely high waves often overtopping the beaches and sandy barrier along the coast.** This leads to flooding and has already led to major damage to assets, houses and infrastructure, and critical ecosystems such as beaches and mangroves as well as many farmlands have been

<sup>61</sup>Giardino, A. et al., A quantitative assessment of human interventions and climate change on the West African sediment budget, Ocean and Coastal Management (2017), <https://doi.org/10.1016/j.ocecoaman.2017.11.008>

<sup>62</sup> Waka project

<sup>63</sup> IPCC 2019, Special report on climate change and land

<sup>64</sup> WAKA.

devastated. Of course, because of climate change, which triggers sea-level rise and more extreme rain events, **floods are getting more frequent and severe.**

Coastal erosion, mainly a natural phenomenon exacerbated by climate change through increased sea-level rise, accelerated by anthropogenic action and unplanned urbanization, also increased flooding from high waves overtopping the sandy barriers and beaches along the coasts. In many places the loss or degradation of mangroves that normally serve as a natural barrier between sea and land, are a major cause for increased erosion and subsequent flooding inland and underground salt-water intrusion.

In particular, in Ghana (Keta lagoons) there is constant flooding of the area after heavy rains and also from the inflow of Kplikpa and Aka rivers into the lagoon. **Keta Lagoon** is the largest of the over 90 lagoons that cover the 550 km stretch of the coastline of Ghana. This lagoon is 126.13 km in length. It is located in the eastern coast of Ghana and separated from the Gulf of Guinea<sup>[4]</sup> by a narrow strip of sandbar. This open salty water is surrounded by flood plains and mangrove swamps. Together they form the Keta Lagoon Ramsar site which covers 1200 km<sup>2</sup><sup>65</sup>. Similarly to Ghana, in Côte d'Ivoire, the Ebrie Lagoon is a 130km long lagoon-. Abidjan and towns such as Grand Bassam, Bingerville, Jacqueline, Attécoubé, and Tiagba lie on the lagoon.

- *Targeted communities*

For the two countries, two main target areas were selected for intervention, and they correspond to the areas



Figure 8: Target areas in both countries

where the major lagoons lie, hence where frequent floods occur. More specifically, the selection of the targeted communities was done according to a prioritization process using Vulnerability Risks Assessments<sup>66</sup> and a multi-criteria methodology to ensure evidence-based selection. Each of these was weighted according to its relevance and was provided with measurable indicators that ensured an objective evaluation. The prioritization process was conducted using a matrix where the different parameters were given a score for each of the areas to be prioritized. Ultimately, the prioritization was done by ranking the areas from the highest to the lowest values. For more information on target areas selection refer to Annex 2.

### Communities in Côte d'Ivoire

Due to increased poverty among inland rural communities, an increased migration of the population towards the coastal zone has risen to the **Greater Abidjan** region, concentrating 30% of the national population. Urban growth and expansion led to increased pressure on coastal resources and high levels of exposure and vulnerability to climate change hazards, specifically on the area along the coast between Grand-Lahou district in the west and Adiake district in the east. Hence, within the Greater Abidjan region, the departments of **Grand-Bassam** and **Jacqueline** were selected for the intervention area.

<sup>65</sup> [https://en.wikipedia.org/wiki/Keta\\_Lagoon](https://en.wikipedia.org/wiki/Keta_Lagoon)

<sup>66</sup> Boateng, Isaac.Jayson-Quashigah, Philip. 2016.Mapping Vulnerability and Risk of Ghana's Coastline to Sea Level Rise.





Figure 9: Target departments and communities in Côte d'Ivoire

located along the lagoon, some of them fully surrounded by waterbodies. Jacqueville commune and Grand-Jack are the most populated communities located directly on the seaside. Selected communities and population can be found in Table 1.

Table 1. Target communities' populations. Côte d'Ivoire.

Cote d'Ivoire	Departments		Communities	Population	Female %	Youth %
	Grand Bassam	1	Quartier France	2,394	45	27
		2	Azuretti	1,397	52	25
		3	Vitre 2	1,412	45	15
		4	Mondoukou	1,436	48	33
		5	Grand Jack	3,404	45	12
	Jacqueville	6	Tiémien	541	42	78
		7	Tefredji	3,726	50	6
		8	Taboth	899	55	18
		9	Attoutou B	1,616	45	42
		10	Koko	782	47	18
		TOTAL		17,607		

#### Exposure and vulnerability to climate hazards in Gran Bassam and Jacqueville communities.

To worsen the impact of hazards (mainly coastal erosion and floods), mangrove deforestation (increasing due to harvesting for fuel) upgrades the exposure to floods, as the natural mitigating barrier is gradually disappearing. This has damaged the coastal lagoons ecosystems, reduced the fishery productivity, increased flood risk, water pollution and shoreline erosion. Community livelihoods in Jacqueville are highly vulnerable due to their dependence of fishery production from mangroves, due to poor drainage and degraded lagoons worsening the effect of heavy rains, and due to poor capacities to cope with climate change. Furthermore, insufficiency of funds and a lack of planning regulations are exacerbating the challenges.

During consultations, communities have proposed several adaptations measures:

- Provision of barriers to reduce coastal erosion and coastal retreat
- Provision of barriers for flooding
- Construction of drainage systems
- Providing alternative livelihoods and jobs creation
- Mangrove restoration
- Awareness raising for the preservation of natural environment
- Support for sanitation and waste management

#### Communities in Ghana

Within the coastal strip, the project will implement its approach in Greater Accra and the Volta region in Anloga/ Keta. Volta and Greater Accra regions have been identified with the highest population density decrease rates linked to unplanned growth and development patterns. This implies encroachment of natural systems like mangroves. In Volta region, deforestation and loss of mangroves are particularly alarming, and in Greater Accra 22% of their wetlands have been lost. Within this, **Ada West**, **Ada East**, and **Anloga/ Keta** districts were selected.

Figure 10: Target departments and communities in Ghana



**Ada West** and **Ada East** communities are located in a low-lying coastal plain (lowest points between 1 to 3.5 meters below sea level). These communities are close to the Volta estuary on a narrow land strip in between the sea and the Keta lagoon. The area is marshy due to the underlying sandy-clay geological formation. **The Anloga/ Keta** wetlands have been designated as a Ramsar site since it provides sanctuaries for several birds including migratory and resident ones,

especially waterfowls. All the communities are located on the edges of the beach and are enclosed by the sea and the system of lagoons. Selected communities and population can be found in Table 2.

Table 2. Target communities' populations. Ghana.

Ghana	District	Community	Population	Female %	Youth %
	Ada West	1. Akplabanya	5,208	51	35
Ghana	Ada West	2. Wokumagbe	1,664	53	51
		3. Goi	3,734	53	34
		4. Kewunor/Azizanya	2,889	50	52
	Anloga/ Keta	5. Agorkedzi/Atititi	2,499	53	53
		6. Agbledomi	4,966	51	55
		7. Dzita	3,011	53	51
		8. Tegbi	12,419	54	54
		9. Woe	10,862	51	49
		10. Anloga	23,199	53	58
		11. Whuti	2,365	53	46
		TOTAL	72,816		

#### Exposure and vulnerability to climate hazards in Ada West, Ada East and Anloga/ Keta communities.

While *coastal erosion* and *flooding* are challenging traditional ways of living in the communities, exposure is increasing due to degradation of natural mitigation systems (mangroves) and poor planning (that does not take into account flood prone areas). Vulnerability of communities is exacerbated by their economic dependence on agriculture (being harmed by increased climate change-induced salinization). *Sea level rise and shoreline retreat* is getting the sea line closer to the communities and fishing devices such as canoes and other related activities (trading, markets, and workshops) along these beaches are decreasing. This is due to the limited space and damaged infrastructure resulting from flooding events and storm surges. Though there is high willingness to protect the community, people's capacity to cope with these challenges is very limited. As of today, they are filling up the wetlands, lagoon areas with plastic rubbers aiming at preventing floods.

The communities have proposed several adaptation measures:

- Increase lagoons' storage capacity
- Provision of alternative employment or livelihoods
- Provision of barriers for flooding and erosion
- Obtaining an appropriate site for dumping refuse
- Construction of drainage systems
- Provision of portable drinking water
- Awareness raising

#### Project / Programme Objectives (approach, goal and objectives)

According to IPCC, climate change adaptation is about reducing exposure and vulnerability<sup>67</sup>.

<sup>67</sup> IPCC: IPCC (2014), Climate change 2014, Impacts, Adaptation and Vulnerability -Top findings from the working group II AR5 Summary for policy makers



“Adaptation is place- and context-specific”<sup>68</sup>, and there is no single strategy for reducing risks across all settings. In addition, adaptation planning deals not only with “natural assets”, but societal values, objectives, and risk perceptions. For this reason, to be effectively enhanced, it needs to be addressed by parallel and complementary actions across institutional levels and scales, from communities to governments. Thus, through dealing with complexity, adaptation is complex itself, in terms of identifying the proper scale for action, the proper stakeholders and the proper strategies.

Emerging conceptual and empirical advances in the understanding of adaptation to climate change, is very much related with understanding implication of the **spatial scales**<sup>69</sup>. To this regard, the sets of measures to put in place climate change adaptation, tackle aspects of exposure and vulnerability that occurs or can be managed at different scales. For example, while institutional, governance issues can be better addressed at higher scales (regional level and national level)<sup>70</sup>, on site implementation of physical intervention calls for a local scale<sup>71</sup>. Adaptation is not only based on geographical contexts, but also on social and political conditions and drivers. Scale affects the fundamental conceptualisation of equity and justice. Scale determines the construction and the implementation of adaptation policies, with actions and plans at the national level significantly affected by local institutional issues<sup>72</sup>.

The project aims at an improved climate change adaptation of urban settlements on Coastal West Africa, through reducing exposure and vulnerability to climate change-related hazards and improving resilience of economies and communities. The objective is pursued through an adaptation pathway designed to increase resilience and decrease both exposure and vulnerability. Based on an analysis of hazards and impacts (section 1.7), the main objectives were identified in order to tackle complementary factors belonging to three different spatial scales (namely: regional, national, and community level).

More specifically, in alignment with the AF results framework, in particular **Outcome 1** (Reduced exposure to climate-related hazards and threats), **Outcome 2** (Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses), **Outcome 3** (Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level) and, **Outcome 4** (Increased adaptive capacity within relevant development sector services and infrastructure assets), **Outcome 5** (Increased ecosystem resilience in response to climate change and variability- induced stress), **Outcome 6** (Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas), **Outcome 7** (Improved policies and regulations that promote and enforce resilience measures) and **Outcome 8** (Support the development and diffusion of innovative adaptation practices, tools and technologies) the project has two objectives, namely:

1. Objective 1: To promote regional coordination, inter-country experience sharing and cross-fertilisation regarding the adaptation to transboundary climate-related natural hazards and disseminate lessons learned for progressively building urban climate resilience in Coastal West Africa.
2. Objective 2: To develop capacities and establish conditions to adapt to the adverse effects of climate change in vulnerable urban contexts in Ghana and Côte d'Ivoire.

Objective 1 represents the regional dimension of the project and will be anchored to the Abidjan Convention. As per the MoU for establishing the collaboration with the Abidjan Convention, the project will promote regional coordination among key climate-change adaptation issues. In addition, the project will promote inter-country experience sharing and cross-fertilisation, and work as a knowledge platform regarding urban resilience related issues that can be disseminated in the sub-region. One of the key “raison d’être” for establishing this agreement is the need for these countries belonging to the same geographical region to coordinate climate adaptation policies and initiatives and share best practices on how to address common transboundary climate- related natural hazards. This certainly represents a strong added value of the project, whose impacts will also benefit additional countries in Coastal West Africa.

Objective 2 responds to the problem raised in the project background regarding both ongoing and expected hazards in Coastal West Africa, and the exposure and vulnerability of urban settlements (also related to low

<sup>68</sup> IPCC: IPCC (2014), Climate change 2014, Impacts, Adaptation and Vulnerability -Top findings from the working group II AR5 Summary for policy makers.

<sup>69</sup> Adger, W. N., Arnell, N. W., & Tompkins, E. L. (2005). Adapting to climate change: perspectives across scales. *Global Environmental Change*, 15(2), 75-76.

<sup>70</sup> Adger, W. N., Arnell, N. W., & Tompkins, E. L. (2005). Successful adaptation to climate change across scales. *Global environmental change*, 15(2), 77-86.

<sup>71</sup> IPCC: IPCC (2014), Climate change 2014, Impacts, Adaptation and Vulnerability -Top findings from the working group II AR5 Summary for policy makers.

<sup>72</sup> Adger, W. N., Arnell, N. W., & Tompkins, E. L. (2005). Adapting to climate change: perspectives across scales. *Global Environmental Change*, 15(2), 75-76.

capacity of local governments in West Africa in identifying and planning actions for effectively adapting to the negative effects triggered by climate change). This is especially true in fast growing small and intermediate cities. In these urban contexts, vulnerable communities are the ones most severely affected by climate change. Under-served unplanned settlements are sprawling in an uncontrolled manner and municipal authorities are ill-prepared to face the unwanted consequences of this dynamic process. These range from the increased risk to climate-related natural hazards such as floods, simply due to the vulnerable location of the new settlements, to issues compounding the impact of climate change, such as the lack of solid waste management, or poor techniques applied in housing construction.

Through Objective 2 national authorities are also targeted. The idea is to take advantage from an improved regional coordination (pursued through objective 1) to prepare coherence and effective spatial development frameworks at sub-national and district/department level, integration climate action in strategies and priority projects, which can prepare the floor for both community plans and local physical intervention, and for the future replication of community plans and local physical intervention within the same frameworks. For this purpose, the project will also allow delivering training activities to both central and local authorities through appropriate institutions and networks and by building appropriate partnerships with on-going initiatives and start laying the foundations for building urban climate resilience and start-up the design of spatial development frameworks in the two targeted countries.

Therefore, there are three Project Components (which will be described in more detail in Part II), the first contributing to Objective 1, and the second two contributing to Objective 2:

- Component 1** aims at an **improved coordination** of governments and stakeholders at **Regional and subregional level** to cope with climate change, to integrate major infrastructural projects in their spatial strategies, and to learn from each other in order to face common transboundary cc-related hazards. Inter-country experience sharing, cross-fertilisation and dissemination of lessons learned at the regional level, aligned with the need of a regional project to promote new and innovative solutions to climate change adaptation for urban areas in multiple countries affected by common/transboundary climatic threats, with **AF Outcome 2**: "Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses", **AF Outcome 3**: "Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level", **AF Outcome 4**: "Increased adaptive capacity within relevant development sector services and infrastructure assets", **AF Outcome 7**: "Improved policies and regulations that promote and enforce resilience measures" and **AF Outcome 8**: "Support the development and diffusion of innovative adaptation practices, tools and technologies".
- Component 2** aims at a strengthened role of national adaptation plans in the **spatial planning** practice at **national and sub-national level** and **improved capacity** of governments in adapting to cc. Tools and guidelines development and training delivery at the national level, aligned with **AF Outcome 2**: "Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses", **AF Outcome 4**: "Increased adaptive capacity within relevant development sector services and infrastructure assets", **AF Outcome 7**: "Improved policies and regulations that promote and enforce resilience measures", and **AF Outcome 8**: "Support the development and diffusion of innovative adaptation practices, tools and technologies".
- Component 3** aims at Municipal staff, **communities** and local stakeholders have successfully **planned and implemented strategic physical interventions** to cope with floods (urban floods due to poor drainage, and coastal/riverine floods), and to access to climate change-resilient livelihoods Preparation, implementation and sustainable management of the physical intervention at the community level, aligned with Adaptation Fund (AF) **Outcome 1**: "Reduced exposure to climate-related hazards and threats", **AF Outcome 3**: "Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level", **AF Outcome 4**: "Increased adaptive capacity within relevant development sector services and infrastructure assets", **AF Outcome 7**: "Improved policies and regulations that promote and enforce resilience measures", and **AF Outcome 8**: "Support the development and diffusion of innovative adaptation practices, tools and technologies".

Adaptation Fund  
Component 1

Long term goal:

Improved climate change adaptation of urban settlements on Coastal West Africa, through reducing exposure and vulnerability to climate change-related hazards, and improving resilience of economies and communities

## PART I. CONCEPTUAL FRAMEWORK (SYNTHETIC THEORY OF CHANGE)

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1. Improved **coordination** of local and national governments in the **Region** to cope with climate change, to integrate major infrastructural projects in their spatial strategies, and to **learn** from each other in order to face common transboundary climate change-related hazards

2. Strengthened role of national adaptation plans in the **spatial planning** practice at national and sub-national level and improved capacity of governments in adapting to climate change

3. Municipal staff, **communities and local stakeholders** have successfully **planned and implemented** priority sub-projects to cope with climate change related issues, such as sea level rise and floods, and access to climate-resilient livelihoods

### Long term goal:

Improved climate change adaptation of urban settlements on Coastal West Africa, through reducing exposure and vulnerability to climate change-related hazards, and improving resilience of economies and communities

### Summary of the climate change challenges to tackle:

#### main CC-HAZARDS in Coastal West Africa

heatwaves -  
floods -  
droughts -  
salinization -  
sea-level rise -  
coastal erosion -  
accelerated -  
sedimentation

#### main CC-IMPACTS in Coastal West Africa

- loss of lives  
- damaged settlements and infrastructures  
- conflicts  
- migration  
- poor sanitation  
- negative effects on agriculture  
- less fishery available  
- economic losses

#### dimensions affecting CC-VULNERABILITY in Coastal West Africa

- Lack of coordination in the region about climate change adaptation measures  
- Lack of proper spatial planning  
- Poor capacity  
- Poor drainage

Legend: **bold**: climate change hazards  
grey: impacts  
underlined: elements that, if properly managed, can help reduce the vulnerability of the system

### Assumptions and background

Climate change adaptation need to be tackled at regional, national and local scales thorough parallel and synergetic actions

At present there is lack of coordination in the region about climate change adaptation measures

Capacity needs to be enhanced

Proper planning can spatially make room for measures defined at regional and national scale, such as for proper implementation of major infrastructural programmes.

Climate change adaptation needs to be local (no one-solution-fits-all approach)

**Weather instability** will be an incentive to modify fishing and farming practices towards more sustainable measures.

The increase frequency and **severity of floods** can push local and national authorities to better plan human settlements and increase overall resilience.

Climate change, through **sea level rise and soil erosion**, is hampering mangrove systems and affecting their capacity to provide fisheries and sustainable livelihoods

Mangroves can mitigate soil erosion and limit impacts of floods. In addition, they can stabilize coastal lagoons and positively affect sedimentation, in order to maintain the capacity of coastal lagoons to store water in case of flash-floods.

Floods in the area occur mainly due to flash-floods. **Poor drainage and degraded lagoons** increase the impacts of such flash floods on local communities and their livelihoods.

Climate change triggers **salinization** and compromise traditional coastal agriculture

### OUTPUTS AS ADAPTATION RESPONSES

1.1.1. Set up a work plan with the Abidjan Convention for the initiatives and events to mainstream (live and digitally) lessons learned and to boost capacity to adapt to climate change

1.1.2. Round-tables for regional bodies and national governments (through the Abidjan Convention platform) to agree on spatial strategies for a coordinated implementation of climate change adaptation

1.1.3. Regional Assessment identifying key common challenges, climate-change hotspots and priority areas (including trans-boundary issues) and recommendations for climate change adaptation at different scales

1.2.1. Trainings for regional bodies and national governments (through the Abidjan Convention platform) for increasing capacity of the use of data and tools to foresee and manage climate change-related risks and

1.3.1. Cross-fertilization events to mainstream at local level experiences from community plans and implementation of sub-projects all over the region

2.1.1. Two Sub-national-level Spatial Development Framework/District level Spatial Development Frameworks: 1 targeting the Volta Delta coastal area (Ghana) and one targeting the Grand Pont region (Cote d'Ivoire)

2.1.2 Local-level Spatial Development Frameworks (Local development plans): two in Ghana targeting Ada East and Keta districts, and one in Cote D'Ivoire targeting the department of Jaquerville, with climate change-related coastal risks identified and measures to increase coastal resilience proposed

2.2.1. On-the-job trainings and workshops to strengthen capacity of the Ministries of the Environment and Sustainable Development and of Planning and Development and municipalities (Ghana), and of Land Use Spatial Planning Authority (LUSPA) and Municipal District Assemblies (MMDAs) (CdI) to develop, implement, and update spatial development frameworks, to develop, use and update spatial development frameworks

3.1.1. Community-level plans (21) developed with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures deriving from the physical interventions

3.2.1. Establishment of Early Warning System (EWS)

3.2.2. Integrated NBS for urban flood adaptation

3.2.3. Mangrove restoration

3.2.4. Climate resilient agriculture

3.3.1. Trainings for Municipal staff and communities targeting sub-projects implementation and/or maintenance

### OUTCOMES

1.1. Improved coordination among governments to adapt to climate change and to implement effective coherent measures for climate change adaptation at regional level

1.2. Capacity-building events and knowledge sharing trans-boundary activities among the participating countries are discussed and prepared through the Abidjan Convention for: i) sharing among the different countries experience-based adaptation mechanisms for replication; ii) training of national governments; iii) participation to global events; iv) support national governments in including coordinated regional level adaptation measures

1.3. Cross-fertilization activities for mainstreaming lessons learned and best practices captured through the implementation of community plans and sub-projects are mainstreamed among local communities in partnership with the Abidjan Convention as regional knowledge management platform

2.1. Subnational and district/department level spatial development framework

2.2. National and sub-national officers trained in urban climate adaptation techniques, monitoring approaches, and climate-change-related policy development

3.1. Community level plans developed in Ghana and Côte d'Ivoire with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures

3.2. Climate change adaptation subprojects are fully developed with communities and municipalities, including detailed engineering studies, and implemented in the target cities mainly through community involvement as labour-intensive manpower

3.3. Municipal staff and community members mobilised, trained and equipped for ensuring the sustainable management and/or maintenance of the implemented priority sub-projects

Figure 1142: Theory of change of the project

## PART I. PROJECT COMPONENTS AND FINANCING

Table 3: Project component and financing

Project/ Programme Components (ToC Outcomes)	Expected Outcomes	Expected Outputs	Countries	Amount (US\$)
1. Improved coordination of governments and stakeholders at <b>Regional and sub-Regional level</b> to cope with climate change, to integrate major infrastructural projects in their spatial strategies, and to learn from each other in order to face common transboundary <b>climate change</b> -related hazards	<b>1.1. Improved coordination</b> among governments to adapt to climate change and to implement effective coherent measures for climate change adaptation at regional level	1.1.1. Set up a work plan with the Abidjan Convention for the initiatives and events to mainstream (live and digitally) lessons learned and to boost capacity to adapt to climate change	Ghana Côte d'Ivoire And other Countries within the Abidjan convention	<b>81,500</b>
		1.1.2. Round-tables for regional bodies and national governments (through the Abidjan Convention platform) to agree on spatial strategies for a coordinated implementation of climate change adaptation	Ghana Côte d'Ivoire And other Countries within the Abidjan convention	<b>103,000</b>
		1.1.3. Regional Assessment identifying key common challenges, climate-change hotspots and priority areas (including trans-boundary issues) and recommendations for climate change adaptation at different scales	West African Region with stronger focus in Ghana, Côte d'Ivoire and neighbouring countries within the Abidjan Convention	<b>98,000</b>
	<b>1.2. Capacity-building events and knowledge sharing trans-boundary activities</b> among the participating <b>countries</b> are discussed and prepared through the Abidjan Convention for: i) sharing among the different countries experience-based adaptation mechanisms for replication; ii) training of national governments; iii) participation to global events; iv) support national governments in including coordinated regional level adaptation measures	1.2.1. Trainings for regional bodies and national governments (through the <b>above-mentioned platform Abidjan Convention platform</b> ) for increasing capacity of the use of data and tools to foresee and manage climate change-related risks and <b>impacts</b>	Ghana Côte d'Ivoire And other Countries within the Abidjan Convention	<b>92,000</b>
	<b>1.3. Cross-fertilization activities for mainstreaming lessons learned</b> and best practices captured through the implementation of community plans and sub-projects are <b>mainstreamed among local communities</b> in partnership with the Abidjan Convention as regional knowledge management platform	1.3.1. Cross-fertilization events to mainstream at local level experiences from community plans and implementation of sub-projects all over the region	Ghana Côte d'Ivoire And other Countries within the Abidjan convention	<b>158,000</b>
<b>SUBTOTAL – Component 1</b>				<b>532,500</b>
2. Strengthened role of national adaptation plans in the spatial planning practice at <b>national and sub-national</b> level and improved capacity of	<b>2.1.</b> Subnational and district/department level spatial development framework	2.1.1. Two Sub-national-level Spatial Development Framework/District level Spatial Development Frameworks: 1 targeting the Volta Delta coastal area (Ghana) and one targeting the Grand Pont region (Côte d'Ivoire ).	Ghana and Côte d'Ivoire  Volta Delta Coastal areas (Ada East, Ada West and Anloga/ Keta areas) + Region de Grand Pont	<b>874,600</b>

Commented [MG2]: CAR 1 - Project component were revised

governments in adapting to climate change		2.1.2 Local-level Spatial Development Frameworks (Local development plans): two in Ghana targeting Ada East and Anloga/ Keta districts, and one in Côte d'Ivoire targeting the department of Jacqueville, with climate change-related coastal risks identified and measures to increase coastal resilience proposed	Ghana and Côte d'Ivoire  Ada East and Anloga/ Keta districts + Jacqueville	557,000
	2.2. National and sub-national officers trained in urban climate adaptation techniques, motoring approaches, and climate-change-related policy development	2.2.1. On-the-job trainings and workshops to strengthen capacity of the Ministries of the Environment and Sustainable Development and of Planning and Development and municipalities, Department (Cdl), and of Land Use Spatial Planning Authority (LUSPA) and Municipal District Assemblies (MMDAs) (Ghana) to develop, implement, and update spatial development frameworks, to develop, use and update spatial development frameworks	Côte d'Ivoire and Ghana	293,000
SUBTOTAL – Component 2				1,724,800
3. Municipal staff, communities and local stakeholders have successfully planned and implemented priority sub- projects to cope with climate-change related issues, such as sea level rise and floods, and access to climate-resilient livelihoods	3.1. Community level plans developed in Ghana and Côte d'Ivoire with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures	3.1.1. Community-level plans (21) developed with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures deriving from the subprojects.	Ghana and Cdl	1,859,360
	3.2. Climate change adaptation subprojects are fully developed with communities and municipalities, including detailed engineering studies, and implemented in the target cities mainly through community involvement as labour-intensive manpower	3.2.1. Establishment of EWS	Ghana and Cdl	1,533,500
		3.2.2. Integrated NBS for urban flood adaptation	Ghana and Cdl	1,464,522
		3.2.3. Mangrove restoration	Ghana and Cdl	1,785,339
		3.2.4. Climate resilient agriculture	Ghana	2,588,414
	3.3. Municipal staff and community members mobilised, trained and equipped for ensuring the sustainable management and/or maintenance of the implemented priority sub-projects	3.3.1. Trainings for Municipal staff and communities targeting sub-projects implementation and/or maintenance	Ghana and Cdl	206,000
SUBTOTAL – Component 3				9,437,135
Total components				11,694,235
Sub-total Project Execution Costs (max 9.5%) – 9.29%				1,197,000
Sub-total Component + execution fee				12,891,235
Sub-total Project Cycle Management Fee (max 8.5 %) – 8.50%				1,095,775
Amount of Financing Requested				13,986,990

## Project / Project Calendar

Table 4: Project calendar

Milestones	Expected Dates
Start of Project/Programme Implementation	06 - 2022
Mid-term Review (if planned)	06 - 2024
Project/Programme Closing	06 - 2026
Terminal Evaluation	12 - 2026



## PART II: PROJECT / PROGRAMME JUSTIFICATION

### PART II. A. PROJECT COMPONENTS

To achieve the overall project objective 'improved climate change adaptation of urban settlements on Coastal West Africa, through reducing exposure and vulnerability to climate change-related hazards, and improving resilience of economies and communities', the projects' 'core' entails a set of 'concrete' adaptation actions (subprojects), using innovative and replicable techniques that aim to enable communities to cope with climate-change related issues, such as sea level rise and floods, and ensure access to climate-resilient livelihoods (component 3, outcome 3.2.).

To ensure local ownership and capacity to 'manage' these 'concrete' adaptation actions (subprojects) and to avoid social tension of proposed project benefits, measures to inclusively plan, operate, maintain and replicate the actions are proposed at the community level under component 3, in terms of community plans (outcome 3.1) and trainings (outcome 3.3.). To better manage urban risks and vulnerabilities, spatial development frameworks and capacity building activities are undertaken under component 2 and cover the subnational and national level. The lessons learned and a better vertical coordination derived from the project are relevant for the Coastal West Africa region as well as areas with a similar context and will therefore be shared in the region (component 1), For detailed maps of target areas and conceptual drawings of concrete interventions, see annex 3. For details of all activities, see budget notes in annex 1.

The specific needs of especially women, youths and ethnic and indigenous groups have been considered and will be considered at all stages of the project. This will be achieved by engaging the representatives of vulnerable groups in community and stakeholder consultations with a community-based approach following the tested and proven 'Planning for Climate Change' principles, where the project will build on existing community groups, like women unions, or form new committees where necessary, and sustain these throughout all stages of the project and through which communities participate in project implementation. This will include monitoring and evaluation to ensure that project outcomes equally benefit women and men, assess the effectiveness of gender sensitive trainings, and measure the efficiency in terms of addressing gender issues.

The objectives of the proposal are in line with national priorities (see section II.E) and Adaptation Fund outcome areas, which resulted in the following three components:

**Component 1: Improved coordination of local and national governments in the Region to cope with climate change, to integrate major infrastructural projects in their spatial strategies, and to learn from each other in order to face common transboundary climate change-related hazards**  
**Improved coordination of governments and stakeholders at Regional and sub-Regional level to cope with climate change, to integrate major infrastructural projects in their spatial strategies, and to learn from each other in order to face common transboundary cc-related hazards**

Commented [MG3]: CAR 1

**Why is it needed:** In the region, there is a lack of vertical coordination in terms of climate change priorities, as well as in terms of spatial strategies to adapt to climate change. In addition, there is poor understanding on how coastal dynamics, and natural and socio-economic systems interact, and how these interdependencies lead to increased vulnerability to climate change. Scientific data and knowledge are fragmented or not integrated in a systemic way. Capacity also needs to be enhanced among government to build, mainstream and manage such knowledge. There is thus need to invest in a better understanding of the regional, national, and local interdependencies between climate change, and ecosystems and socio-economic dynamics. Linking these with communities' resilience will be paramount. On the other hand, a regional institution that can be the engine of such capacity building, coordination building and experience sharing exists: the Abidjan Convention.

Component 1 will focus on three outcomes:

- **1.1. Improved coordination** among governments to adapt to climate change and to implement effective coherent measures for climate change adaptation at regional level;

- **1.2. Capacity-building** events and knowledge sharing trans-boundary activities among the participating countries are discussed and prepared through the Abidjan Convention for:
  - i) sharing among the different countries experience-based adaptation mechanisms for replication;
  - ii) training of national governments;
  - iii) participation to global events;
  - iv) support national governments in including coordinated regional level adaptation measures;
- **1.3. Cross-fertilization activities** for mainstreaming lessons learned and best practices captured through the implementation of community plans and sub-projects are mainstreamed among local communities in partnership with the Abidjan Convention as regional knowledge management platform.

**Under outcome 1.1.** three outputs are comprised. **Outputs 1.1.1. and 1.1.2** aim at boosting regional coordination through: the set up a **work plan with the Abidjan Convention** for the initiatives and events to mainstream (live and digitally) lessons learned and to boost capacity to adapt to climate change (output 1.1.1); and **Round-tables for regional bodies and national governments** (through the Abidjan Convention platform) to agree on spatial strategies for a coordinated implementation of climate change adaptation (output 1.1.2). More specifically, under Output 1.1.2., eight regional meetings for experience sharing among the participating countries will be organized, two per year, during which on the side steering project committee meetings will take place and annual work plans discussed and approved. The regional round-tables will focus on issues identifying effects (on national and local level dynamics) of ongoing regional level strategies. Also funds mobilization, scaling up, and issues related to gender and to vulnerable groups will be deeply considered. In addition, the region presents common threats and common opportunities: an assessment of risk and possible solution can maximize the effect and effectiveness of locally developed solutions through replication. For this reason, last and third output within outcome 1.1. is a "Regional Assessment identifying key common challenges, climate-change hotspots and priority areas (including trans-boundary issues) and recommendations for climate change adaptation at different scales" (output 1.1.3). One more positive effect of outcome 1.1. will be to avoid negative effects of policies, plans and interventions that implemented in one country could affect neighbouring countries given the transboundary character of climate change adaptation, coastal erosion and sea level rise.

All activities under outputs 1.1.1., 1.1.2, and 1.1.3. will be managed by the Abidjan Convention (supported by and in collaboration with UN-Habitat). These two institutions will play a strong role at the regional level as they embody credible institutions with complementary roles of sharing experiences, promoting knowledge and delivering trainings. The upcoming formalisation of the relation between these two institutions will facilitate this process. The Abidjan Convention is interested in using the expected results of this project to influence regional policies and strategies.

**Outcome 1.2. provides** only one **output (1.2.1.): Trainings** for regional bodies and national governments (through the Abidjan Convention platform) for increasing capacity of the use of data and tools to foresee and manage climate change-related risks and impacts. Similarly to outputs under outcome 1.1., this output will open the project beyond Ghana and Côte d'Ivoire and include further Coastal West African countries through inter-country cooperation. For this purpose, the role of the Abidjan Convention will be crucial and thanks to this project direct benefits from the existence of the Convention will reach national governments involved. The fact that activities occur under the Abidjan Convention's umbrella, will bring in high level expertise from other regions. In particular, some common/harmonised guidelines on urban climate adaptation for Coastal West Africa that can be followed by other member States and positively influence their own policies, legislation and approaches will be drafted and mainstreamed. Eight meetings will be organized at regional level (two per year), and eight meetings will be organized at national level (two per year). The academia will also be involved.

**Outcome 1.3. provides** only one **output (1.3.1.): Cross-fertilization events** to mainstream at local level experiences from community plans and implementation of sub-projects all over the region. Cross-fertilization activities will focus on best practices regarding the different adopted approaches in the two countries concerned by the project. A range of diverse technical solutions will be extracted from these local experiences, to be systematised and disseminated further. In addition, specific expertise existing in one country can be mobilised and utilised effectively in the other countries, so that mutual learning and synergies can be maximised. Again, as per all outputs under component 1, the fact that activities occur under the Abidjan Convention's umbrella, will trigger the systematization of an international network of centres of excellence, based on local experience.

Cross-fertilization events will require the preparation of specific publications on lessons learned and best practices implemented in the target areas that will inform/be useful to the two concerned countries and other countries in Coastal West Africa. The lessons learned will be to be disseminated both through the webpage (see output 1.1.1.) and presented in regional/international events; documenting best practices and lessons learned and making them available through proper knowledge platforms is critical considering that there are currently no appropriate examples of integrated climate adaptation in urban settings in this region.

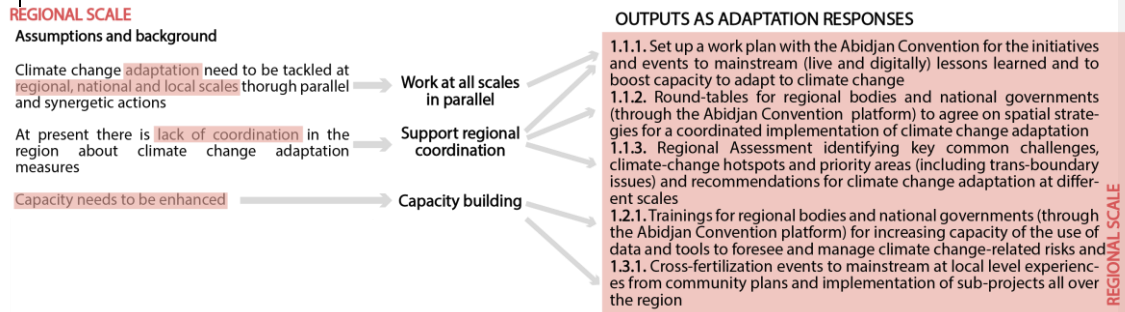
This component highlights the added value of adopting a regional approach compared to implementing projects in individual countries separately. Thus, while the Abidjan convention exists and represent a tremendous opportunity for coordinated and sound climate change adaptation, many countries involved apply non-aligned climate change adaptation priorities at national and sub-national level. At the same time, another promising opportunity is represented by internationally funded infrastructures and projects (e.g. the WB WACA project) that can really bring solutions to the ground, but if not effectively understood at national level and integrated into lower level planning, the risk of non-integration and loss of potential of the project is high. As well as local experiences. Other expected positive effect of component 1, focus on:

- Promoting and facilitating the coordination, exchange, learning, and south-to-south technical assistance between Ministries, local governments and additional stakeholders with the mandate of addressing climate change through project implementation mechanisms such as the Regional Project Steering Committee (RPSC) and Regional Project Supervision Unit (RPSU) and the regional convening power of the Abidjan Convention.
- Promoting the development of knowledge and technical materials both in English and French, having both Ghana and Cote d' Ivoire as early adopters and champions of climate change adaptation policies, plans and interventions to be shared and replicated in the other ten West African countries.
- Benefitting from the competitive advantages and knowledge complementarities of both Ghana (e.g. spatial planning and environmental planning) and Cote d' Ivoire (e.g. institutional integration and primary sector production) to promote south-to-south learning, collaboration and technical assistance.

Figure 13 presents the rational how the outputs of component 1 represent an adaptation response to the impacts and vulnerabilities characterizing the specific context (defined in chapter 1). The outputs, of course, derive from the identification of outcomes, which depend on the formulation of the three components and the long-term goal. The linkages between assumptions and background, outputs, outcomes, components and long-term goal, are presents through the Theory of Change model.

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Figure 1243: How outputs under component 1 respond to the assumptions and background of the ToC of the



**Component 2:** Strengthened role of national adaptation plans in the spatial planning practice at national and sub-national level and improved capacity of governments in adapting to climate change Promote climate change resilience through spatial development frameworks

Commented [MG4]: CAR1

**Why is it needed:** Sustainable development of the coastal areas in Ghana and Côte d'Ivoire requires both effective spatial planning and governance structures that can ensure the implementation of plans and the



development of new economic drivers based on improved awareness of the socio-cultural value and the climate change vulnerability of the natural landscape. This requires both the development of long-term strategies, and its translation to territorial plans, land-use plans, adaptation plans, guidelines, and regulations as well as the development of educational and awareness programs at the community level. The capacity development of national and local officials in relation to adaptation to climate change and specifically to coastal erosion represents a related challenge as part of the individuals that form the governance structures providing concrete solutions for these issues. This challenge strongly relates to the previous one, as climate-informed spatial plans require scenarios and accurate, evidence-based models for identifying risk areas. Further, functional spatial planning requires the existence of international and state institutions to oversee, steer, and coordinate such a risk informed and planned development.

In line with AF outcome 2 and 7 and Côte d'Ivoire and Ghana National priorities (see section E and Annex 7), component 2 aims to promote climate change resilient coastal development through two outcomes:

- Outcome 2.1. Subnational and district/department level spatial development framework;
- Outcome 2.2. National and sub-national officers trained in urban climate adaptation techniques, motorizing approaches, and climate-change-related policy development.

**Outcome 2.1.** comprises two outputs. **Output 2.1.1: Two Sub-national-level Spatial Development Frameworks**/District level Spatial Development Frameworks: 1 targeting the Volta Delta coastal area (Ghana) and one targeting the Grand Pont region (Côte d'Ivoire); and **output 2.1.2: Local-level Spatial Development Frameworks** (Local development plans): two in Ghana targeting Ada East and Anloga/ Keta districts, and one in Côte d'Ivoire targeting the department of Jacqueville, with climate change-related coastal risks identified and measures to increase coastal resilience proposed.

**Outcome 2.2.** delivers one single output: **"On-the-job trainings and workshops to strengthen capacity of the Ministries** of the Environment and Sustainable Development and of Planning and Development and municipalities (Ghana), and of Land Use Spatial Planning Authority (LUSPA) and Municipal District Assemblies (MMDAs) (Cdl) to develop, implement, and update spatial development frameworks, to develop, use and update spatial development frame", (**output 2.2.1**).

This component will ensure the long-term sustainable development of coastal areas at sub-national and district/department level. Spatial development frameworks provide a multi-sectorial analysis and diagnosis that aim at identifying main challenges and opportunities through which to develop spatial strategies and action plans. In this process, a comprehensive approach will be pursued in which all 3 dimensions of sustainability are integrated, social, economic, and environmental. This will also include legal and financial studies and recommendations to support the spatial planning output. Topics like land rights or financial opportunities will be crucial to identify feasible concrete interventions, such as the ones to be implemented through component 3. These plans will therefore become a tool through which to orient decision making in the short, medium, and long term.

In addition, given the huge impact climate change has in the countries, mainstreaming climate change and disaster risk will be paramount in the process. The spatial development frameworks will identify risk areas and its adaptation and mitigation capacities, which will help to define suitable areas for growth, environmental protection areas, and non-buildable areas. The objective is building resilience by avoiding risk prone development and leveraging upon identified opportunities and strengths.

Regarding the "on the job trainings", (output 2.2.1), several tools from UN-Habitat will be guiding this process. For example: Local Leadership for Climate Change Action (2011), Developing Local Climate Change Plans (2012), Planning for Climate Change (2014), Integrating Climate Change into City Development Strategies (2015), Guiding Principles for City Climate Action Planning (2015) or International Guidelines on Urban and Territorial Planning (2015). By mainstreaming climate change into territorial planning, this component will support outcome 1 from UN-Habitat's Flagship Programme 3 "Resilient Settlements for the urban poor". This outcome is "Pro-poor climate action is mainstreamed in national and city climate policies, plans and commitments, and into the priorities and strategies of important parts of the global climate action & finance architecture respecting fundamental rights".

Both Ghana and Côte d'Ivoire have developed and approved national planning policies and frameworks that set the priorities of the countries in relation to urban development and climate change adaptation and mitigation. The project takes these documents and an evaluation on exiting gaps, as a baseline to define and execute this component and designated outputs. Therefore, the spatial development frameworks at the sub-national and district / department levels respond to legislative needs and are aligned with national policies. In addition, local strategies and plans, following their development and implementation, will inform the subsequent drafts of the national policies, to ensure that local challenges and priorities are incorporated.

The Sub-national and district / departments plans, deducted from the national frameworks, are the tools that localize and enable the implementation of national policies at the municipal scale. The coordination between the sub-national and district / department scales will be ensured through a participatory process during the elaboration of the plans, and through the creation / strengthening of inter-ministerial and inter-district / department coordination mechanisms. Specific activities such as inter-ministerial meetings, working sessions, expert meetings, and workshops will be developed during the project to promote the plans endorsement and support by all stakeholders (government, communities, private sector, NGOs, etc.)

To also ensure coordination at the international level, and to facilitate a platform for knowledge sharing and decision-making, a coordination mechanism involving the Ministries of Environment, Ministries of Local Government and Ministries of Public Works from both countries will be supported. This will be done in collaboration with the Abidjan Convention and, where possible, through other relevant international bodies. This coordination mechanism will also be the starting point for a larger regional coastal resilience coordination body that would bring neighbouring countries into common action, including e.g. developing a regional coastal management strategy/ plan.

In Côte d'Ivoire, the target areas are the region of Grand-Ponts for the Regional Spatial Development Framework, and Jacqueville department for the local development scale. In Ghana, the target areas for the sub-national SDF are Ada East, Ada West, and Anloga/ Keta; and for the district level Ada East and Anloga/ Keta. Given the scope of the sub-national SDF in Ghana, a Volta Delta SDF, the final geographical scope of the plan will be defined along with the Land Use Spatial Planning Authority during project implementation.

Finally, the last element for this component is the technical support to be provided by UN-Habitat as agreed with the relevant authorities. This includes support on stakeholders' engagement processes, on alignment with international standards and methodologies, technical assistance, and capacity building.

Figure 14 presents the rational how the outputs of component 2 represent an adaptation response to the impacts and vulnerabilities characterizing the specific context (defined in chapter 1). The outputs, of course, derive from the identification of outcomes, which depend on the formulation of the three components and the long-term goal. The linkages between assumptions and background, outputs, outcomes, components and long-term goal, are presents through the Theory of Change model (Figure 14).

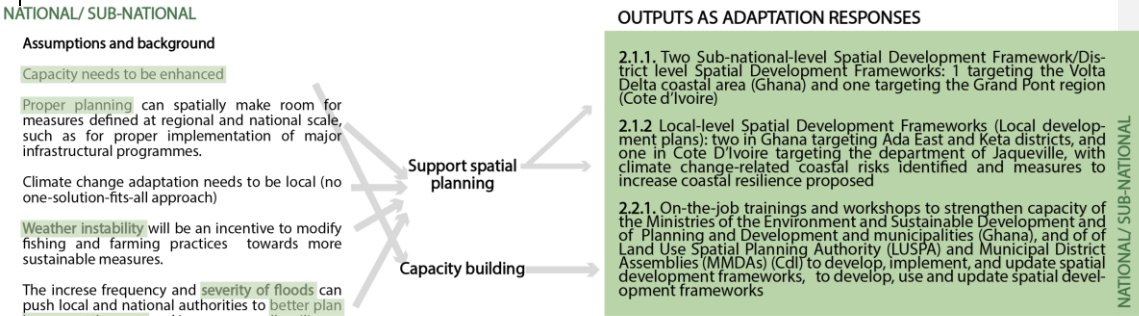


Figure 1344: How outputs under component 2 respond to the assumptions and background of the ToC of the

**Component 3: Municipal staff, communities, and local stakeholders have successfully planned and implemented priority sub-projects strategic physical interventions to cope with climate-change related issues, such as sea level rise and floods, and floods (urban floods due**

**to poor drainage and coastal/riverine floods), and to access to climate-change resilient livelihoods**

Commented [MG5]: CAR 1

**Why is it needed:** given the remaining uncertainty and the urgency to adapt to increasing coastal erosion and inundation / flooding risks, there is a direct need to develop a more agile way to identify, design, test, implement and scale-up adaptation measures. This implies the need to develop a community-informed learning-by-doing environment in which a wide range of fit-for-purpose, low-cost, multi-benefit solutions can be developed, tested and monitored to rapidly find the most cost-effective or socially impactful solutions, using the green principle of building with nature and not against it. This also requires accurately monitoring the effectiveness and impacts of these interventions.

Component 3 is made of **three outcomes**: **3.1. Community level plans** developed in Ghana and Côte d'Ivoire with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures; **3.2. Climate change adaptation subprojects** are fully developed with communities and municipalities, including detailed engineering studies, and implemented in the target cities mainly through community involvement as labour-intensive manpower; **3.3. Municipal staff and community members** mobilised, **trained** and equipped for ensuring the sustainable management and/or maintenance of the implemented priority sub-projects.

In line with AF outcome 3 and Côte d'Ivoire and Ghana National priorities (see section E), **outcome 3.1.** aims to strengthen community awareness and capacities to anticipate, adapt and respond to climate- related coastal hazards and threats through its output: **Community-level plans** (11 in Ghana and 10 Côte d'Ivoire) developed with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures deriving from the subprojects (**output 3.1.1**). In the same way that national planning feeds into district/department level and vice versa, the district/department planning documents will inform and support decision making at community level planning.

This output is required to ensure that interventions are fully in line with communities and vulnerable groups needs and climate change resilience building needs and to ensure concrete interventions under outcome 3.2. will remain operational after the project has concluded. This will be done by fully involving communities in the planning and execution of the proposed interventions (through community resource management approaches). The communities will develop plans to execute these interventions, including management and maintenance arrangements, which will also include waste management plans.

To ensure that inhabitants are aware of the main issues and risks (including environmental and social risks of interventions) in their communities, and to be able to respond to these issues and risks, awareness raising campaigns will be rolled-out and trainings conducted. Special attention will be given to gender and youth regarding challenges from climate change and opportunities for resilience.

**Outcome 3.2.** entails transformative and catalytic projects as the basis for the implementation of coastal resilience at the community level. The transformative interventions **are subprojects** responding to the combined actions of hazards. Outcome 3.2, with its four subprojects, adopts an area-based approach with a set of interventions addressing local needs will enable a tailored process considering the specific characteristics of each community and building on the local knowledge and traditions. Working on different communities at the same time by implementing the sub-project activities, gathering new lessons learned and continuously adapting to improve the results, the solutions can be transferred across the region. The focus will be on flood mitigation and protection through integrated subprojects adopting nature-based solutions (retention facilities, mangrove restoration, salt-resilient crops, biofilters, green drainage channels, ...) and innovative measures for the contexts (such as the adoption of early warning systems, carbon credits, sustainable water and soil managements, ...). Among the benefits of developing such transformative interventions, is that they are able to locally rebalance hydrodynamics and the water cycle. Ultimately, these activities will be providing the enabling environment for supporting sustainable livelihoods, under the climate resilient agriculture and under indirect benefits deriving from mangrove restoration. Ultimately, this outcome will enhance community participation and ownership by creating additional job opportunities, protecting existing ones and shifting those which need to adapt to the new conditions of the environment.

Working simultaneously at these two scales enables combining localized impacts at the community level with larger scale district/departments benefits for a larger number of residents. At the same time, it allows to tackle coastal erosion impacts on communities while also addressing larger environmental challenges. Results are also

achieved at both short and medium timeframes, with the catalytic projects enabling short term responses to urgent community needs and with transformative projects ensuring a structural and sustainable approach to coastal resilience. Ultimately by increasing awareness and capacity on CC adaptation, this component will support outcome 3 from UN-Habitat's Flagship Programme 3 "Resilient Settlements for the urban poor". This outcome is "Enhanced capacity among all levels of government and core partners to effectively coordinate action towards building the resilience of the urban poor, and to access and mobilize investments".

In particular, outcome 3.2. is structured into 4 outputs: Establishment of EWS (output 3.2.1.); Integrated NBS for urban flood adaptation (output 3.2.2.); Mangrove restoration (output 3.2.3.); and Climate resilient agriculture (output 3.2.4.). The overall approach aims at leveraging the existing natural environment and its ecosystems services as a tool to respond to main hazards: flooding, erosion, sea level rise, and droughts. In addition, the effects derived from the outputs aim at reducing poverty and vulnerabilities, but also at safeguarding the natural environment and its provision and regulating services. In addition, it specially targets most vulnerable groups in the targeted areas, fisheries related workers and farmers. For more detailed info see Annex 3, where all subprojects are presented, and annex 6 for the Environmental and Social Plan.

To conclude, outcome 3.3. delivers one output: Trainings for Municipal staff and communities targeting sub-projects implementation and/or maintenance (Output 3.3.1.). This output underpins the sustainability of component 3, as it is the tool that ensures full empowerment of the subprojects by the local communities, their ability to maintain and manage the activities, and that inclusion of all groups is guaranteed through the whole component development.

Figure 15 presents the rational how the outputs of component 3 represent an adaptation response to the impacts and vulnerabilities characterizing the specific context (defined in chapter 1). The outputs, of course, derive from the identification of outcomes, which depend on the formulation of the three components and the long-term goal. The linkages between assumptions and background, outputs, outcomes, components and long-term goal, are presents through the Theory of Change model (Figure 15).

Figure 1445: How outputs under component 3 respond to the assumptions and background of the ToC of the project

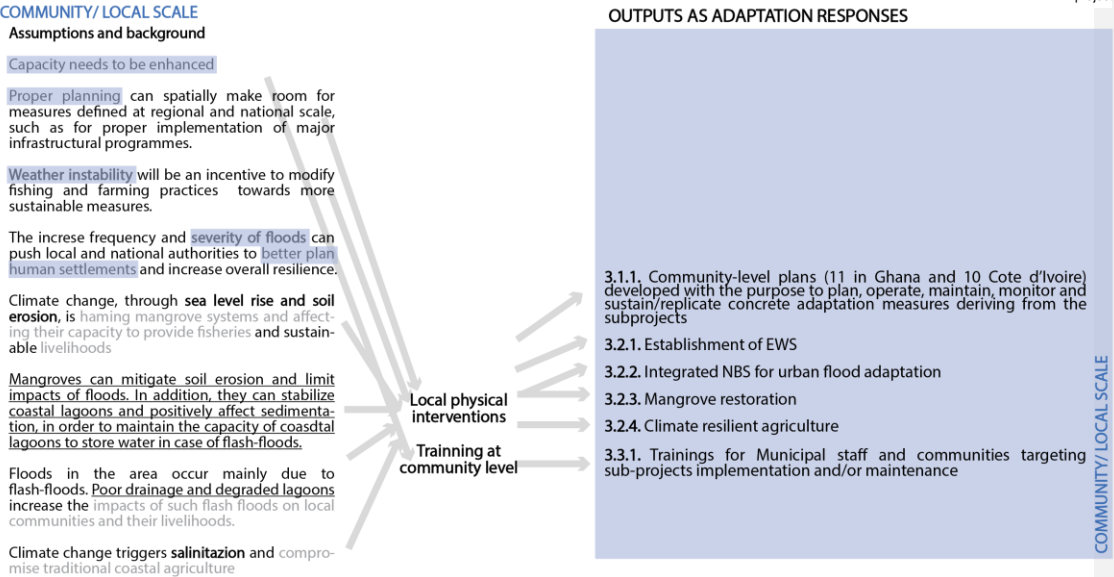


Table 5. Project Components

Problem description	Adaptation measure	Outputs	Activities	Suitability	Beneficiaries		Target areas	Total project cost	Executing entities	Effectiveness
					Direct	Indirect				
COMPONENT 1. Improved coordination of local and national governments in the Region to cope with climate change, to integrate major infrastructural projects in their spatial strategies, and to learn from each other in order to face common transboundary climate change-related hazards										
In the region, there is a lack of vertical coordination in terms of climate change priorities, as well as in terms of spatial strategies to adapt to climate change. In addition, there is poor understanding on how coastal dynamics, and natural and socio-economic systems interact, and how these interdependencies lead to increased vulnerability to climate change. Scientific data and knowledge are fragmented or not integrated in a systemic way. Capacity also needs to be enhanced among government to build, mainstream and manage such knowledge. There is thus need to invest in a better understanding of the regional, national, and local interdependencies between climate change, and ecosystems and socio-economic dynamics. Linking these with communities' resilience will be paramount. On the other hand, a regional institution that can be the engine of such capacity building, coordination building and experience sharing exists: the Abidjan Convention.	Improved coordination (about climate adaptation measures, spatial strategies, climate policies and data availability) capitalizing on the existence of the Abidjan Convention	1.1.1. Set up a work plan with the Abidjan Convention for the initiatives and events to mainstream (live and digitally) lessons learned and to boost capacity to adapt to climate change	I) Meeting with authorities in charge for defining needs and chances; II) activity plan (of events) and responsibilities mapping; iii) Validation meeting with the authorities in charge vi) set up of a website (or page within the Abidjan convention website; iv) communication all over the years of the project.	Taken its mandate, the Abidjan Convention is best placed institution to ensure regional coordination to adapt to climate change, to strengthen capacities of national government, build context-based knowledge, and share lessons learned to promote replication of best practices	Everyone with internet access, specially planners and development professionals		Ghana, Côte d'Ivoire and other countries with the Abidjan Convention	81,500	Abidjan Convention	Set up of a work plan and of a webpage to implement coordination activities, capacity building and knowledge sharing adaptation at regional level
		1.1.2. Round-tables for regional bodies and national governments (through the Abidjan Convention platform) to agree on spatial strategies for a coordinated implementation of climate change adaptation	I) Mapping of the regional projects and spatial strategies calling for better coordination; ii) semestral meetings (8) at regional level; iii) semestral meetings (8) for national governments to mainstream information derived from the regional meetings (prioritizing the involvement of countries hosting trans-boundary initiatives)		Everyone with internet access, specially planners and development professionals	Everyone with internet access, specially planners and development professionals	Ghana, Côte d'Ivoire and other countries with the Abidjan Convention	103,000	Abidjan Convention	A set of events (round tables) will allow Governments to coordinate themselves about adaptation, climate policies and spatial strategies.
	Improved shared knowledge in terms of climate risks, drivers and hotspots to guide and prioritize climate adaptation measures in the region	1.1.3. Regional Assessment identifying key common challenges, climate-change hotspots and priority areas (including trans-boundary issues) and recommendations for climate change adaptation at different scales	I) desk research from existing literature (scientific and grey literature) about common challenges; ii) GIS analysis of key hotspots based on past events, impacts and vulnerability; iii) identification of cross-boundary hotspots; iv) validation of results through the Abidjan convention platform; v) drafting recommendations; vi) printed and online		Everyone with internet access, especially planners and development professionals		All countries within the Abidjan Convention	98,000	Private sector	A publication presenting the results from the regional assessment will provide common basis and data to set adaptation actions and identify priority areas
		Improved capacity of regional and national bodies to use existing tools for planning and designing climate adaptation measures	1.2.1. Trainings for regional bodies and national governments (through the above-mentioned platform) for increasing capacity about the use of data and tools to foresee and manage climate change-related risks and impacts		I) material preparation for enhancing climate adaptation knowledge; ii) workshops and trainings at national level (8); iii) workshops and trainings at district level (8); iv) to mainstream the model and monitoring system into government processes of planning and monitoring	480249	Targeted districts	Ghana, Côte d'Ivoire and other countries with the Abidjan Convention	92,000	Abidjan Convention
	Improved knowledge sharing of lessons learned and best practice to mainstream climate adaptation measures among similar contexts	1.3.1. Cross-fertilization events to mainstream at local level experiences from community plans and implementation of sub-projects all over the region	I) project regional SC meetings (5), also to share lessons; ii) project national Sc meetings (7 in each country); also to share lessons; iii) Best practices and guidelines published and shared online; iv) project video with baseline and results; v) developing and producing communication materials; vi) peer-learning events (4); v) support the Abidjan convention resource centre		260499	West Africa Governments	Ghana, Côte d'Ivoire and other countries with the Abidjan Convention	158,000	Abidjan Convention	Governments in West Africa will have concrete best practice examples of building-with-nature adaptation options to protect their settlements and strengthened livelihoods from climate change

2. COMPONENT 2. Strengthened role of national adaptation plans in the spatial planning practice at national and sub-national level and improved capacity of governments in adapting to climate change										
Spatial planning practices are lagging behind on-going growth due to lack of institutional and technical capacities. This results in hazard prone settlements, encroachment of natural assets, and pollution. Ultimately this not only increases communities' vulnerability to climate change impacts, but also compromises their path towards sustainable development.	Promote climate change resilient coastal development through sub-regional and district-level spatial development frameworks and to strengthen institutional capacities to develop, use and update these spatial frameworks	2.1.1.Two Sub-national-level Spatial Development Framework/District level Spatial Development Frameworks: 1 targeting the Volta Delta coastal area (Ghana) and one targeting the Grand Pont region (Côte d'Ivoire).	i) institutional collaboration, ii) data analysis; iii) plans preparation; iv) plans adoption; v) strategic environmental assessment (by law).	Spatial planning is an effective decision-making tool to manage development along the coast, including (spatially) identifying climate change-related risks / impacts and vulnerabilities with the purpose to avoiding future development in risk areas and identifying sustainable development options.  Governments recognize lack of regional and district development frameworks with climate change mainstreamed in it, as well as insufficient capacity for spatial plan preparation and implementation.  It will be ensured plans will be aligned with National and Regional coastal management and sectoral development strategies.	400	634,458	Ghana and Côte d'Ivoire  Specifically: Volta Delta Coastal areas (Ada East, Ada West and Anloga/ Keta areas) + Region de Grand Pont	874,600	LUSP A & MdP	Two plans (one per Country) will be elaborated. In addition, activities under this component will allow national-and district-level government to plan and use spatial strategies for building climate adaptation. This will benefit the populations living along the coast in the target areas
		2.1.2 Local-level Spatial Development Frameworks (Local development plans): two in Ghana targeting Ada East and Anloga/ Keta districts, and one in Côte d'Ivoire targeting the department of Jacqueville, with climate change-related coastal risks identified and measures to increase coastal resilience proposed	i) institutional collaboration, ii) data analysis; iii) plans preparation; iv) plans adoption; v) strategic environmental assessment (by law).		220	275,147	Ghana and Côte d'Ivoire  Ada East and Anloga/ Keta districts + Jacqueville	557,000	LUSP A & MdP	Three plans (two in Ghana and one in Cdi) will be elaborated. In addition, activities under this component will allow local authorities to plan and use spatial strategies for building climate adaptation. This will benefit the populations living along the coast in the target areas
Lack of national and district-level capacity to plan for coastal climate change resilience and to monitor and sustain project activities	Strengthened national and district level government capacities to manage the coast, including taking into consideration climate change impacts / risks and to monitor and sustain project activities	Output 2.2.1. On-the-job trainings and workshops to strengthen capacity of the Ministries of the Environment and Sustainable Development and of Planning and Development and municipalities (Ghana), and of Land Use Spatial Planning Authority (LUSPA) and Municipal District Assemblies (MMDAs) (Cdi) to develop, implement, and update spatial development frameworks, to develop, use and update spatial development frameworks	i) guiding the ministry of Plan and Municipalities assigning one person to work in their offices for an amount of time defined together; ii) support Ministries and Municipalities in mapping existing regional/national/international adaptation standards and legislation; iii) support the Ministry and Municipality to undertake actions to align their contexts with international/regional/national standards; iv) train the Ministries and municipalities about specific planning methods and approaches (based on context's needs).	The combination of planning activities under outputs 2.1.1. and 2.1.1. and this output creates the perfect ground for building capacity through both theory and practice	80	200	Ghana and Côte d'Ivoire	293,000	UN-Habitat	Governments will have trained staff with the capacity and tools to accurately identify and manage coastal climate change-related risks / impacts and plan for the future
COMPONENT 3. Municipal staff, communities and local stakeholders have successfully planned and implemented priority sub- projects to cope with climate-change related issues, such as sea level rise and floods, and access to climate-resilient livelihoods										
One main vulnerability of coastal communities is their limited capacity to adapt to climate change related hazards. This is largely due to lack of awareness and	Strengthen community awareness and capacities to adapt to climate-related coastal hazard and threats through community planning. Community planning is needed for ownership of proposed concrete climate change adaptation measures.	3.1.1.Community plans (21) developed in Ghana and Côte d'Ivoire with the purpose to plan, operate,	i) community mobilization and awareness; ii) community management mechanisms set up; iii) concrete interventions planning; iv) concrete interventions start up; v) concrete intervention maintenance; vi) concrete interventions replications options; vi) verification operation,	Resilience is to be built also through bottom-up initiatives since communities have the capacity to better adapt to climate change. These activities will empower the most directly impacted people and	630	152,755	communities in Ghana and Côte d'Ivoire	1,859,360	NGO and private sector	Community plans will be provided. At the same time, Increased capacity to operate, maintain and replicate nature-based interventions, including monitoring, will be ensured.

knowledge on climate change impacts and its linkage to unsustainable human processes		maintain, monitor and sustain/replicate concrete adaptation measures deriving from the physical interventions.	maintenance, monitoring and replication.	ensure the long-term sustainability of the whole project. The target communities have been identified as the most climate change vulnerable communities along the coast.						
Climate change related hazards sea level rise and storms (combined with CC vulnerabilities) is already resulting in increasing floods, sea level rise and consequent coastal erosion, accelerated sedimentation of natural systems that can absorb heavy rains, droughts affecting livelihoods.	Increased climate change resilience of coastal areas through EWS to minimize the impact of hazards on people and their livelihoods	3.2.1. Establishment of Early Warning Systems (EWS)	i) Detailed engineering study and design; ii) Buying materials; iii) Construction of EWS; iv) Technical training; v) Evacuation Plan, vi) Validation and training workshops; vii) Communication strategy and training tools; viii) Data management; ix) Institutional framework/ Governance instruments; x) validation and training workshops; xi) Field monitoring.	These interventions are suitable for the local context because they build on the existing ecosystems, and environmental and socio-economic dynamics.	17,797	136,490	communities in Ghana and Côte d'Ivoire	1,533,500	NGO and private sector	N of communities where "Establishment of Early Warning Systems (EWS)" was implemented
	Increased climate change resilience of coastal areas through NBs solution for floods adaptation that will facilitate the run-off of water during heavy rains (in order to prevent settlements to get flooded) and increase the capacity of the area to absorb and detain rain, in order to prevent floods and make stock of water when droughts occur	3.2.2. Integrated NBS for urban flood adaptation	i) Detailed engineering study; ii) Project and Coordination set up; iii) iv) Technical drawings; v) Lagoons cleaning; vi) Construction of bioretention and detention facilities; vii) Field monitoring; viii) Maintenance of bioretention and detention facilities; ix) Coordination support.	They aim at creating and restoring systems that together contribute to climate change adaptation, by minimizing risk (output 3.2.2. and 3.2.3.), minimizing the impact of risk (output 3.2.1) and ensuring livelihoods despite climate change (output 3.2.4 and indirect benefits of output 3.2.3).	18,937	33,589	communities in Ghana and Côte d'Ivoire	1,464,522	NGO and private sector	N of communities where "Integrated NBS solution for urban flood adaptation" was implemented
	Increased climate change resilience of coastal areas through mangrove restoration. Thus, mangrove both mitigate the effect of riverine/coastal floods protecting the settlements and prevent soil erosion/accelerated sedimentation (caused by sea level rise, storms, and floods) to occur. In this way, the water management is ameliorated, and floods mitigated. A secondary positive effect of mangroves restoration is the fish production (as an alternative livelihood when climate change harms the agricultural production)	3.2.3. Mangrove restoration	i) Detailed engineering study and design; ii) Buying materials; iii) Mangrove nursery; iv) Wildlings/seeds; v) Carbon offset initial documentation; vi) Carbon offset development partner process; vii) Carbon Validation; viii) Registration; ix) Mangrove planting; x) Nursery personnel; xii) Nursery management; xiii) Transport; xiv) Coordination support; xv) Carbon Audit Cost; xvi) Carbon Project Operational Costs; xvii) Maintenance; xviii) Field monitoring; xix) CREMA mechanism.	All outputs represent cost-effective solutions, and allows continuous healthy interaction between the ecosystems and local communities.	85,584	52,763	communities in Ghana	1,785,339	NGO and private sector	N of communities where "mangrove restoration" was implemented
	Increased climate change resilience of coastal areas through ensuring key livelihoods of communities, even when salt (due to sea-water intrusion) and variability of rain patterns trigger agricultural production. In particular, a sustainable use of water, the adoption of salt resilient crops and a proper soil management will ensure the production of food despite climate change.	3.2.4. Climate resilient agriculture	i) Detailed engineering study and design; ii) Identification of plots (stakeholders meeting and field work); iii) Water infiltration construction; iv) Realization of training centre for salty crops; v) Training costs; vi) travel costs; vii) Coordination support; viii) Water infiltration and salty crops; ix) Water infiltration; x) Capacity building under component 2		2,160	1,080	communities in Ghana and Côte d'Ivoire	2,588,414	NGO and private sector	N of communities where "climate resilient agriculture" was implemented
Poor capacity of local communities to implement and ensure sustainability of adaptation measures	Local experts and communities will be trained, to ensure full empowerment and sustainability of the subprojects (outputs 3.2.1, 3.2.2, 3.2.3, 3.2.4). Equitable access to the training will be ensured (see ESMP and GP related sections)	3.3.1. Trainings for Municipal staff and communities targeting sub-projects implementation and/or maintenance	i) on the job training during the development of community plans (3.1.1); ii) Trainings (11 trainings per location, grouping 2 to 4 communities for 3 different topics): a. sustainable water management and the impact on drainage; b. pollution, agriculture and fresh-waters; c. waste management system. c. funds raising for further supporting physical interventions; -; -climate resilient agriculture	The combination of subprojects and this output creates the perfect ground for communities to learn from subprojects and to ensure durability and effectiveness of the subprojects through their new knowledge	630	152,755		206,000		Communities and local experts are (equitably) trained under five thematic areas key for the subprojects implementation and management, and for their own resilience to climate change



## PART II. B. PROMOTION OF INNOVATIVE SOLUTIONS

Innovation in climate change adaptation strategies is translated on the capacity of a project to implement new approaches, methods, solutions or improving what it was previously established for increasing climate resilience and reducing climate vulnerability. In the current project, innovation will be achieved by framing the three project components with different approaches tailored to the development requirements. These approaches are **Mainstreaming Regional Adaptation, Strategic Spatial Planning, Area-based Development, and Integrated Land System Approach**. Innovation will be also reflected on specific activities of the subprojects from component 3, which can be categorized in **socio-institutional, financial, and technical innovations**. The sum of both, the approaches used in the components and the categorized innovations in each subproject deliver a total of **11** advanced innovations developed contextually. Table 6 shows the different innovative approaches for each component and table 7 the types of innovation for each subproject in component 3.

Table 6. Overview of the implemented innovations in the project.

Component 1	1. Mainstreaming regional adaptation
Component 2	2. Strategic spatial planning approach
Component 3	3. Area-based Development and Integrated Landscape System

Table 7. Overview of the implemented innovations in the project.

Subproject Type of innovation	Urban flood resilience	Mangrove restoration	Climate-resilient Agriculture	Establishment of EWS
Socio-Institutional	4. Community Resource Management Areas (CREMAs)			
		6. Carbon offsets	7. Agri-food business models	5. Local Disaster Volunteers
Technical	8. <u>International best practices adapted to traditional knowledge and local skills</u> <u>Building with traditional knowledge</u>		9. Soil, land, and water management	11. Upscaling and replication Early Warning System
			10. Salt-resilient crops	

### 1. Mainstreaming Regional Adaptation:

The participation of the Abidjan Convention (AbC) is paramount to promote a change of paradigm on how climate hazards are addressed in Western Africa's coastal areas and marine regions. The AbC can also facilitate the implementation and replication of innovations focused on climate change adaptation in the region if they are supported with the right inputs. The activities described in the theory of change that constitute component 1 are focused on mainstreaming practices for knowledge sharing, implementing cross-boundary regional assessments, and improving coordination of strategic responses for climate adaptation.

These activities can deliver innovation by enhancing and creating spaces for dialogue which can furtherly boost transformative changes to address regional climate threats while strengthening the regional network and reinforcing their capacities for disaster risk reduction. Bringing these innovative actions, the organization can strengthen its capacity for improving horizontal and vertical integration of different stakeholders such as governmental institutions, civil society, development agencies, and local communities, enabling the development of multi-sectorial, well-organized, and inclusive regional climate adaptation strategies.

### 2. Strategic Spatial Planning:

Traditional spatial planning is mainly based on rigid predetermined statutory plans. In this procedure, spatial plans are developed by high-level decision makers in a top-down coordination, with a small number of stakeholders participating and limited flexibility for spatial planning throughout the operation period. In this context, innovation is expected to be achieved by implementing a **strategic spatial planning** approach that covers all three components as a cross-cutting strategy. Spatial development plans can be used as tools/decision-making frameworks to reduce future risks in development areas and identify and prioritize adaptation measures for those areas at risk (i.e. vulnerable). By integrating climate change resilience into



strategic spatial planning, governments can better prepare high-exposed areas and vulnerable communities for preventing future risks with a common long-term vision, combined with short-term priorities.

The understanding of strategic spatial planning in this project is innovative as it shifts from current trends of detailed, prescriptive, and static plans to developing more flexible and dynamic guiding tools for national and local governments. **Strategic planning** aims at being flexible to continuously changing demands, directing efforts towards processes through rapid planning methodologies focusing on the urban structure. This methodology will integrate climate change risk and vulnerability data and the knowledge acquired from the interventions to guide the planning processes at a larger scale and define new priority projects, supporting long and short-term decision making. The sustainability of this approach is ensured by collective knowledge playing a key role through participation, and by targeting implementation through strategic and feasible interventions. These planning processes will tackle potential areas for growth and development, key infrastructure development, areas for environmental preservation and non-modified areas.

The *benefits* of using strategic spatial planning are that at all scales, these plans will establish a strategy for development that is **flexible** to regular updating and evaluation. Furthermore, it enables the integration of key issues not always considered in planning processes such as environmental protection or climate change resilience. Its strategic level and flexibility allow for the synthesis of all these urban critical parameters to structurally input the future development of an area. However, crucially important is the **identification of high-risk coastal erosion and flooding areas**, where development should be avoided and/or, where possible, existing infrastructure and assets should be protected. In general, the adoption of spatial planning in Ghana and Côte d'Ivoire helps to physically define climate change adaptation measures, reduce uncertainty, and increase awareness of climate change.

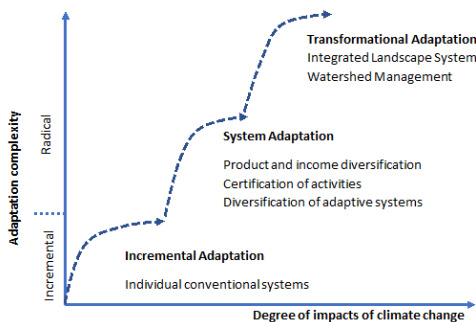
### 3. Area-Based Development (ABD) and an Integrated Landscape System:

The proposal is built following an Area-Based Development approach, focused on localizing efforts for improving climate resilience in a comprehensive and well-defined geographic area. This approach strengthens the integration of cross-cutting climate change adaptation measures within the different interdependent sectors, elements and actors that build up the complex peri-urban system. Applying an ABD approach, different strategies within the environmental and socio-economic spheres are intertwined in a complex network. Evaluations of ABD projects implemented by international organizations suggest that they are often very effective in responding to complex conflict characteristics on sub-national levels across the world and its development is recommended as appropriate in disaster-related environments (Vrbensky, 2008; UNDP, 2007; UNDP, 2003).

When applying an ABD approach, innovation is defined by the local spatial context, applying novel solutions that have not been tested or implemented in the region. In this project, Ghana, Côte d'Ivoire and their benefited communities will boost their capacities to cope against climate change hazards by applying territorial, urban and community plans with a specific focus on climate change adaptation. In an ABD, each subproject can be defined as a conventional system capable of increasing adaptive capacity in a specific sector (incremental adaptation), however, when different systems are compiled and aggregated in a comprehensive strategy for its

implementation, they create an innovative approach in the intervened area becoming an **integrated landscape system** with a prominent level of adaptation complexity. In an integrated landscape system socio-ecological changes, climate adaptation technologies, and institutional support are key factors to enhance this transformation, where innovation can be better integrated to the region reflected on improved livelihoods, community-based management, and efficient technological solutions.

Figure 1546: Higher degree of climate change impact requires higher levels of radical innovative adaptation. (Adapted from Verbug, R et al. 2019)



#### **4. Community Resource Management Areas (CREMAs)**

CREMAs in Ghana will be used as an ongoing social innovation in the intervention areas, which is mainly focused on empowering communities to manage and administrate the natural resources of local ecosystems. The CREMA process includes the identification of ecosystem services and the value that communities attach to them, allowing a further mapping of buffers, conservation areas and sustainable activities based on spatial planning. In addition, CREMAs are not just a platform for managing and administrate natural resources provided by regional ecosystems, but also supports sustainable economy activities and establishes formal local governance structures and validates the interdependency between the local socio-cultural context and the biophysical conditions of the region. For the purpose of the project, CREMAs will be integrated as an innovative community-based initiative to localize the adaptation interventions, to ensure its codesign, implementation and maintenance. The income and products from the ecosystem services and the strengthened economic activities boosted by the adaptation measures will be shared to benefit all critical stakeholders, including landowners, croppers, producers, and fishers who will be directly linked with the local adaptation interventions.

#### **5. National Disaster Volunteers**

For the Early Warning System implementation, communities' participation will be needed for its successful operationalization, especially to have **National Disaster Volunteers**, which will be responsible for disseminating information, identifying hotspots in water delta communities, and raising awareness among the community to reduce climate exposure. They will also work as communication channels for each community. The volunteers trained by Executing Entities during the duration of the project with dedicated budgeted workshops, will represent the focal point for disaster preparedness and response at the communities.

#### **6. Carbon offsets**

Communities' ownership of the interventions and activities is transcendent for the success of the project, and such activities must be capable of creating and improving communities' livelihoods to reduce their climate vulnerability. The project is focused on enhancing long-term sustainability and ensuring livelihoods with an innovative financial strategy ensuring **blue carbon credits** generated by the reforested mangrove.

The blue carbon project links in an innovative manner the environmental services provided by the local wetlands and the mechanisms set up to pay for them. In this sense, coastal protection is funded through the activities and benefits that it provides to residents, local businesses, and communities. In this strategy, plans at the community level act as the negotiating board to establish agreements for the next phases of funding between private sector receivers of environmental services and community/government as providers of the environmental services to protect from coastal erosion.

#### **7. Agri-food business models**

The diversification and production of new crops modifies the offer and demand of crops in the food market and affects the value chain in the local agricultural sector. In order to address these changes in the economic sphere of agriculture activities, innovative sustainable business models need to be assessed a priori through a socioeconomic analysis of the communities. The analysis and development of sustainable business models will be an innovative financial strategy in the climate-resilient agriculture subproject as the models will be tailored to the local needs and economical context, in order to fit to the community's business structures and to increase projected yield.

#### **8. International best practices adapted to traditional knowledge and local skills**

Nature-based solutions and green-grey infrastructure interventions for reducing vulnerability to floods will be adapted from international best practices following traditional local knowledge and skills for increasing climate efficient technical solutions. Interventions include testing and promoting cost-effective alternative solutions and innovative techniques (i.e. ecosystem-based solutions and building with nature) to protect the coast (i.e. reduce the impacts of climate change and erosion and flood) and enhance community-level income generation through diversified and strengthened livelihoods in the inland, which can be replicated in other countries in West Africa, through transformative interventions.

Furthermore, innovation through NbS aims to reduce costs of implementation, operation, and maintenance in comparison with traditional engineering interventions. During the last decade, the Ghanaian government attempted to reduce coastal erosion in Ada district through the construction of 15 groynes. The structures did trap sediments and built up the beach at Anloga/ Keta. However, the structures also reduced sediment flow to the east, resulting in increased coastal erosion there. Moreover, the intervention came at a high cost: US\$183 million. Therefore, for the government to be able to protect other coastal areas from erosion and inundation (caused by a combination of sea-level rise, increase of intensity of storms and human causes), alternative lower-cost building with natural coastal protection solutions needs to be identified. The same accounts for Côte d'Ivoire, where the government doesn't have the financial means for such hard infrastructure interventions. Therefore, this project aims to show that building up with natural coastal protection measures are environmental-effective and cost-effective and promote the best options in West Africa.

### **9. Soil, land, and water management**

The climate-smart agriculture subproject is focused on improving communities' livelihoods by increasing natural resources sustainability and increasing resilience to climate hazards (e.g., salt intrusion, floods). The climate-smart agriculture subproject focuses on implementing a holistic and innovative approach for managing non-conventional water resources, rehabilitation, and conservation of marginal lands for agricultural uses and adapting agroecology activities which reduce soil erosion and increase soil fertility levels. (Verbug, R *et al.* 2019). In addition to this, the development of sustainable agriculture practices will focus on implementing a year ground-cultivation strategy to include both rainy and dry seasons where an off-season production is guaranteed. Innovation is also reflected on upscaling benefits of climate resilient agriculture by ensuring cost-effective activities, where solutions are showcased in the community training centre and then upscaled in a regional level.

### **10. Salt-resilient crops**

The implementation of a **climate-resilient agriculture** strategy also innovates by including activities such as diversifying crop systems and introducing new varieties of crops (especially salt-resilient). Agriculture resilient activities will also help testing recent advancements on specialty groups of alternate crops (oil seeds, legumes, cereals, medicinal, lignocellulose, and fruit crops) which can adapt in marginal environments. This subproject will also help testing the availability of alternative water resources (saline water, treated wastewater) for irrigation.

### **11. Upscaling and replication of Early Warning System**

EWS for flood risk reduction have been only implemented in the capital city of Ghana and currently, technology invested in the system is obsolete. With the implementation of an Early Warning System not just communities will increase their resilience to climate change impacts. The National Disaster Management Organization (NADMO) will be supported by the information gathered in the area and it will actively increase their abilities for disaster risk management and emergency response in the region, possibly allowing replication and expansion from the outcomes learned of the project.

Throughout the monitoring phase, data, monitoring models and methods need to be shared, as well as best practices. This will be done through the Abidjan Convention and the University of Cape Coast. Support monitoring of project activities, including innovative models and methods to do so, and sharing and replication of project best practices/lessons in Ghana and Côte d'Ivoire and West Africa, including districts and departments where these type of solutions and knowledge sharing has not yet happened in a systematic and programmatic manner. Addressing the coastal challenges in West Africa described before requires the involvement of and close collaboration between academic experts, engineers, decision-makers, and local communities within a joint learning environment.

## **PART II. C. ECONOMIC, SOCIAL AND ENVIRONMENTAL BENEFITS**

The different components that structure the theory of change deliver significant economic, social and environmental benefits that are described in the following section. The project focusses its efforts to strengthen the skills and level of preparedness from the different involved stakeholders (such as regional bodies, ministries, and local authorities) during the process of climate adaptation and spatial development and planning, so as to

enhance local climate adaptation through community participation, capacity building and integrating physical interventions for improving climate adaptation and for building climate resilience.

The different components that structure the theory of change deliver significant economic, social and environmental benefits that are described in the following section. Given that communities, and especially vulnerable groups and women, have been involved during the project design phase and will be involved during the project implementation, they have had the opportunity to directly influence the selection of project activities and outcomes, thus influencing their direct project benefits. The way livelihood options will be enhanced depends on the inputs (i.e. specific needs and issues expressed) from vulnerable groups and women: development of activities from Component 1 are expected to reach the participation of over 3,356<sup>73</sup> people through-out the different regional and national staff trainings for integrating climate change adaptation measures (Outcome 1.1 and 1.2), the round-ables to develop spatial strategies (Output 1.1.2) and the cross-fertilization activities (Outcome 1.3). Component 2 activities also bring broad benefits to the communities through the implementation of the Subnational Spatial Development Frameworks<sup>74</sup> (Output 2.1.1), which can reach an estimated of 634,458 people that live in the targeted areas of the Volta Delta Coastal area (Ghana) and the Gran Pont region (Cote d'Ivoire), while with the Local Spatial Development Frameworks (Output 2.1.2) the benefited people can reach up to 275,147 community members from Ada East, Anloga-Keta and Jacqueville. In addition, it is expected that 1 office at the Ministry level and 1 office at the district level per country get benefited from the trainings related to the implementation of climate change monitoring approaches, urban climate adaptation techniques and policy development (Outcome 2.2). Finally, up to 100 trainees from Ministries of the Environment and Sustainable Development and of Planning and Development and municipalities (Ghana), and of Land Use Spatial Planning Authority (LUSPA) and Municipal District Assemblies (MMDAs) (Cdl) will strengthen their capacity to design, implement and update spatial development frameworks (Output 2.2.1).

Given that communities - especially vulnerable groups and women - have been involved during the project design phase and will be involved during the project implementation, they have had the opportunity to directly influence the selection of project activities and outcomes, thus influencing their direct project benefits. The way livelihood options will be enhanced depends on the inputs (i.e. specific needs and issues expressed) from vulnerable groups and women.

**Economic benefits:** The project targets the most vulnerable coastal groups and low-income communities, who are relying on natural resources such agriculture for income generation. In the case of Côte d'Ivoire, the coastline is the principal economic national resource. The diverse habitats that characterize the littoral constitute an asset, with important cultural and touristic value. The principal activities in the coastal area include fishing, agriculture, forestry, factories and tourism. Also, the Ebrie Lagoon has an important socio economical location at a countrywide scale, mostly due to Abidjan being situated there. Abidjan represents 60 percent of the industrial sector employment, 80 percent of the industrial production and concentrates 90 percent of the commercial added value of the country, due to its coastal location

Under clear signs of agriculture and water resources in the coastal zone being highly impacted, Ghana's Government has recognized how climate change and the cost of climate change response is a serious threat to progress. This increases the level of poverty and especially impacts women. Flooding, for example is an obvious and immediate threat to economic growth, energy supply, roads and transport, food and agriculture, education, health, water and sanitation, and social protection.

The above highlights how the existing degradation of the environment in the coastal areas is a major threat to national and local economies. Improved regional coordination (as per component 1) and strategic planning (as per component 2) enhance sustainable development and the implementation of concrete adaptation interventions (as per components 3) will reduce losses, support food security, and support a more sustainable economy. The project will protect the current communities' assets and sources of income, where possible, and support livelihood opportunity in less risk / vulnerable areas (i.e., more land-inwards). It will also aim at generating revenue through community work, whenever possible, giving opportunities for youth employment in

<sup>73</sup> Estimations have been generated based on the number of events multiplied by the expected people participating on each activity.

<sup>74</sup> Estimations are based considering the population of each targeted area, for both subnational and local Spatial Development Frameworks.

construction activities. The above would especially support women as they face challenges related to working opportunities and its derived poverty.

**Social benefits:** When dealing with climate change, it is frequent to find that most socially vulnerable communities are the ones located in risk areas with high exposure to climate change hazards. These communities tend to be socially excluded, often neglected from development investments which implies, among other challenges, lack of basic services and possible health problems. In addition, current trends of development are deriving in inefficient use of resources, enhancing insecurity and inequality. Women are specially affected due to persisting gender inequalities that undermine their adaptive capacities.

In Côte d'Ivoire, this inequality, and its derived poverty, have led to an increased need for means of livelihood with consequent migration of the population towards the coastal zones. This pressure on ecosystems is enhancing several problems such as over-exploitation of resources, land property and social conflicts. In Ghana, urban sprawl and unplanned growth is having the same effects. In the project targeted area, a direct linkage between highest levels of poverty and low-density areas has been identified. This also explains rural migration to urban areas, which frequently derive in informality. As indicated in the National Development Framework 2015-2035, urbanization is a driver of Ghana's economy, and it is clearly linked to poverty reduction.

To tackle these regional challenges, institutional response has to be well-targeted to areas that are linked through complex socioeconomic and ecological networks, where local climate impacts and disaster risk responses can affect the regional dynamics, improving or worsening regional adaptive capacity. In this context, the Abidjan Convention has a relevant role in assessing different key components that can improve the execution of efficient climate adaptation strategies. The benefits of improving the coordination capacities of regional and national bodies (as per component 1) are critical for reducing climate migration rates, reducing the number of climate refugees, and tackling regional poverty. The negative impact of these challenges can be diminished through the adoption of instruments that facilitate the communication of the different institutions in charge of climate adaptation, using knowledge-sharing platforms, where lessons learned of previous implemented adaptation programs can be shared and mainstreamed for improving climate adaptation responses. Technical information provided by regional assessments can also be beneficial for improving spatial planning processes while increasing climate-adaptive capacities.

The above illustrates the need of a more resilient and social inclusive planning approach towards development (as per component 2) that will reduce climate change induced poverty, mortality, ~~diseases~~, and insecurity. This component delivers multiple benefits in human health, livelihoods, ~~economy~~, and sustainable environments using spatial development frameworks to accelerate spatial resilience, based on different approaches through ecosystem services and communities' participation. An important benefit to highlight of using spatial development frameworks is the capacity of preventing communities from settling in high risks areas, which reduce their exposure, and increase empowerment and long-term opportunities. Planning can also avoid diseases coming from environmental pollution and bad quality of urban spaces, and support on ensuring better services provision. These issues were highlighted by elderly people as a challenge they face. The implementation of concrete interventions (as per components 3) will protect these communities, reducing their vulnerability and improving their quality of life. This will directly increase their social resilience since their current poverty and lack of capacity prevents them from coping with the impacts of climate change.

Regarding social resilience, children have been identified as being specifically vulnerable. Due to high poverty and lack of adequate services and infrastructure, they face health risks (~~e.g.~~, diarrheal or respiratory infections). Some educational services have been destroyed by coastal erosion and children have to travel for long through poor infrastructure. Moreover, in the project target areas in Ghana, especially in fishing communities, children trafficking exists and there is a high percentage of orphanages. This is a direct effect of extreme poverty, where parents are not able to take care of their children anymore because of reducing incomes and costs related to erosion. Through planning and concrete interventions, the project will aim at reverting and improving these conditions, ensuring long-term resilience. In addition, the project will specifically target women committees and select women and youth groups for certain trainings.

Ultimately, capacity building to strengthen community knowledge and response to climate change related hazards (as per component 3), as well as the participatory process through the whole project, will facilitate the contribution of local communities to the project. This will ensure ownership and it will enhance the inclusion and empowerment of minorities and vulnerable population in the decision-making processes. The integration of most

vulnerable groups, for example women, will be ensured by quotas of participation, women group discussions and collaborations with women organizations. Youth also plays a key role in the whole process as a youth led development will facilitate sustainability and potentialize resilience. In DRR, women often face greater mortality, health risks and domestic and sexual violence in hazards events. During this project women will be recognized for their resilience in facing disaster and will play a role as active agents of change in helping communities to recover and adapt.

**Environmental benefits:** As previously mentioned, the way urbanization and development [isare](#) taking place together with the changing climate, are strongly affecting the environment. For long time growth has not considered the natural dynamics in which it settles, and it has derived in land reclamation from environmental areas. The misuse of natural resources is altering to a great extent the ecosystems and its biodiversity, also increasing vulnerability to climate change.

In Côte d'Ivoire, due to industrial development, coastal habitats have degraded. It is estimated that 60 percent of mangroves areas around Abidjan have been lost. Infrastructure development has also impacted natural dynamics by reducing the amount of sediment that will flow downstream, deriving in coastal erosion and saltwater intrusion. The erosion in the littoral zone from Abidjan to Assinie is currently around 1-2 m per year putting shoreline settlements at risk, and the salinization of water and soil are negatively affecting mangroves and crops. Moreover, there is a waste management problem, and many lagoons are polluted.

In Ghana, deforestation is a critical problem. The extraction of mangrove for fuel wood and urban encroachment is particularly alarming. In the Volta region grassland gain and cropland loss has reached 30 percent in the last decade, while in Greater Accra region 22 percent of wetlands have been lost. In coastal areas erosion rates is around 1.5 per year, with bigger rates in the Volta estuary, 2-3m a year, and in Anloga/ Keta, around 8m per year. Moreover, there is a waste management problem, and many lagoons are polluted.

Naturally, communities settled in coastal areas benefit from the same regional ecosystem services provided by marine and coastal environments. At the same time, they can also be vulnerable to similar threats that are brought by fast-changing climate hazards such as sea-level rise, coastal erosion, or coastal floods. To improve regional adaptive capacity, it is crucial to build with nature and address climate challenges through Ecosystem-based strategies. To implement this approach, it is crucial to strengthen regional bodies' capacities (as per component 1) to jointly coordinate activities with local communities. This can improve climate planning efforts and facilitate the implementation of regional environmental assessments, leading to environmental conservation practices, improved sustainable management, and restoration of ecosystems. These nature-based solutions are effective for increasing climate resilience and for reducing climate vulnerability in a regional scope.

Spatial planning, both at sub-national and district/department level (as per component 2) will aim at integrating the territory and its dynamics into the planning process. Nature and its systems will become part of the resilience development strategy in order not only to restore what has been lost and protect what remains, but also to potentialize and maximize the interaction of the built and natural environment. This will be implemented through the ecosystem-based interventions (as per components 3), which will tackle the roots of climate change challenges by working with nature. The community-based interventions will also benefit the environment by raising awareness and ownership from the local people on the importance of the ecosystems as a structural and indispensable element for their resilience.

[For Component 3, the table below shows quantified benefits including the number of direct people that will be benefited from the physical interventions in the targeted areas. Specific disaggregated information of beneficiaries per community and vulnerable groups can be found on maps from Annex 3.](#)



Table 8. Benefits per proposed concrete project activity

Transformative and catalytic interventions	Economic Benefits	Social Benefits	Environmental Benefits	Specific benefits to vulnerable groups incl. women and youth.
3.2.1 Establishment of Early Warning Systems (EWS)	Reduction of loss and damage from natural hazards as predicted to increase under the changing climate (e.g., flooding and erosion). <sup>75</sup> Anticipate drought-related crisis situations and reduce impact and degradation of ecosystems and infrastructures Costs reduction due to increased resilience of the cities to the hazards Improved economic environments through new job opportunities and, in general, better conditions for businesses and economic activities. <sup>76</sup>	Increase the capacity of individuals exposed to hazards to take action to avoid or reduce their risk and prepare for effective response, training 17,797 community members on how to effectively respond when a disaster risk occur, following the evaluations plans and identifying safe routes. The data and information generated by each community will strengthen the district capacity; build cohesion and provide platforms at the district level. <u>Security from future floods</u> Community awareness and strengthened and different training strengthen the disaster risk prevention, preparedness, and response. <u>Community awareness of effective and safe evacuation routes during floods</u> Improve capacity to design and implement adaptation measures through risk response planning procedures. Poverty reduction associated to climate disasters. Capacity building for future climate adaptation. <u>Avoiding Reduction of losses and disruption of basic services contributing thanks to EWS will also contribute to public health and poverty alleviation.</u>	Increase the community awareness regarding the linkages between the state of the environment and their well-being and safety. Protection of the environments from human and climate-related impacts, and through the restoration of degraded ecosystems. Hazard zone demarcation and the identification of evacuation routes. Good risk management decisions based on accurate information. Allow to cope with drought-related crisis situations and on the other hand to avoid overexploitation of natural resources to compensate losses due to drought impacts Improve data memory of climate-related disasters. <sup>77</sup>	<u>Women</u> : they will benefit from training ecosystem services, mainly working on processing and market. <u>Youth</u> : They will be included in the communication strategy, trainings and drills for the EWS. Youth can pursue filed activities together with experts, will also be supported to allow for synergistic activities and interdisciplinary research. <u>Elderly</u> : increased security during flooding time and increase awareness of extreme weather events well in advance. <u>Children</u> : increase preparedness and response measures when a disaster occurs, transmitting messages about climate-related hazards and disasters to the new generation
3.2.2 Integrated NBS for Urban Flood Adaptation	<u>Increased security from future floods and protection of land erosion</u> <u>Poverty reduction associated to land loss (housing, infrastructure, etc.)</u> <u>Capacity building for future climate adaptation</u> <u>Protection of social dynamics and traditions</u> <u>Recreational area and attractive landscape</u> <u>Closer connection with natural environment and waste management raised awareness</u> <u>Continuation of economic activities (businesses, agriculture, markets, etc.) in targeted areas</u>  <u>Possible profit from new plants (plant fruits, vegetables) in vegetated areas around the facilities</u>  <u>Implementation of NBS for urban flood adaptation can save up to 20%<sup>78</sup> of overall implementation and stormwater management costs.</u>	<u>In a long-term, around 18,937 people that live near areas prone to flood, water accumulation hotspots and water runoff surfaces will reduce their risks to floods through the implementation of NBS.</u> <u>Around 3,550 m<sup>2</sup> of infiltration cells and 252 m of channels will help to the</u> <u>Reduction of loss and damage (infrastructure, housing, etc.) from natural hazards as predicted to increase under the changing climate.</u> <u>Continuation of economic activities (businesses, agriculture, markets, etc.)</u> <u>Possible profit from new plants (plant fruits, vegetables) in vegetated areas around the facilities</u> <u>Protection of social dynamics and tradition</u> <u>Increased security from future floods and protection of land erosion</u> <u>Poverty reduction associated to land loss (housing, infrastructure, etc.)</u> <u>25 people per community will be trained to maintain and produce co-benefits through rain gardens nearby the implementation areas</u> <u>Protection of social dynamics and traditions</u> <u>Recreational areas and attractive landscape</u> <u>Closer connection with natural environment and waste management raised awareness</u>	Soil stabilization through vegetation replanting. Vegetation will increase sedimentation and allow land to rise together with increasing sea level rise. Flood reduction through increased water infiltration. Suitable conditions for conservation of the biodiversity within the area and against climate effects. Reduced pollution and spreading during extreme weather events associated with climate change. Protection of ecosystem services. Improved water quality and conveyance through purification and filtration.	<u>Women</u> will benefit from the ecosystem services. <u>Youth</u> : increased livelihood opportunities linked to capacity building on restoring lagoons ecosystems. <u>Elderly</u> : increased security due to flood protection and reduction of loss and damage. <u>Children</u> : increased food security and access to education by promoting sustainable livelihoods to improve families' economic capacities. Reduce sickness due to waterborne diseases caused by pollution.
3.2.3 Mangrove restoration	Livelihood creation (fisheries, mollusc collection, eco-tourism). Reduction of loss and damage from natural hazards (flooding and erosion). <u>The Blue carbon project can generate between \$14,098.55 USD/CO<sub>2</sub>/year to \$65,788.82 USD/CO<sub>2</sub>/year<sup>77</sup> on revenue after the registration and certification of the project through a certified organism body.</u> <u>Restoration of the mangrove can generate an estimated of 170 local jobs during planting activities.</u>	<u>An estimated of 85,584 people will be benefited in the long-term from the climate and coastal regulatory services provided by mangrove.</u> <u>Increased security due to flood and erosion protection.</u> <u>Poverty reduction.</u> <u>Improved food security.</u> <u>Capacity building.</u> <u>Protection of social dynamics and traditions through cultural services provided by nature and its significance.</u> <u>1800 community members will be actively participating in the community-based restoration activities.</u>	<u>582 hectares of mangrove planted will enhance coastal restoration and work as buffer zones in areas prone to flood, guaranteeing long-term resilience to climate change hazards.</u> <u>Enhancement of ecosystem services provided by coastal wetlands, including soil stabilization, erosion reduction, water quality maintenance and other regulatory services.</u>  <u>Flood reduction:</u> <u>Biodiversity conservation; Over 72<sup>79</sup> of shorebird species and 38 fish species can be potentially benefited from the increase on wetlands vegetation in the Volta region considered a RAMSAR site.</u>	<u>Women</u> : increased livelihood opportunities. Between 1,000 to 2,000 women are involved in clam and wood collection. <u>Youth</u> : increased livelihood opportunities linked to capacity built on restoring mangrove ecosystems, as well as on traditional fisheries, or educational/eco-tourism activities. <u>Elderly</u> : increased security due to flood protection and reduction of loss and damage. <u>Children</u> : increased food security and access to education by promoting sustainable livelihoods that will improve families' economic capacities.

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<sup>75</sup> Up to \$1083 USD<sup>75</sup> per household that can be saved after the adoption of EWS as capitalized through other projects such as the cost-benefit analysis of flood early warning system in the kamali river basin of Nepal, Kumar Rai, Rajesh; van den Homberg, Marc J.C.; Prasad Ghimire, Gopal et al. <https://www.preventionweb.net/publication/cost-benefit-analysis-flood-early-warning-system-kamali-river-basin-nepal>

<sup>76</sup> Reducing Stormwater Costs through Low Impact Development (LID) Strategies and Practices, December 2007, EPA 841-F-07-006

<sup>77</sup> For revenue calculations find here: AF - Carbon sequestration calculations - Documentos de Google

<sup>78</sup> Coastal Wetlands Management Project (CWMP) Keta Lagoon Complex Ramsar Site Management Plan (1999), Available at: GH567\_mqt1508.pdf (ramsar.org)

			<p><del>Water quality maintenance.</del></p> <p>Carbon storage. <u>Around 9,770 to 12,700 tCO2e will be absorbed by mangroves as nature carbon pools in the first four years.</u></p> <p><del>Protection of ecosystem services</del></p>	
3.2.4 Climate Resilient Agriculture	<p>Livelihood creation (climate resilient agriculture) <u>beyond the implementation period of the project.</u></p> <p><u>Income generation is expected to increase by 20 to 35% on the first two years of implementing climate-resilient agriculture practices.</u></p>	<p>Poverty reduction <u>and</u> <del>improved food security.</del></p> <p><u>Capacity building-540 farmers will be trained to adapt climate-resilient practices to improve their livelihoods and restore agriculture land.</u></p> <p><u>Up to 2,160 community members will be directly benefited from the implementation of climate-resilient agriculture practices.</u></p> <p><u>Climate-resilient agriculture enhance the conservation</u><del>Protection</del> of social dynamics and traditions.</p>	<p>Environmental protection by reducing salinity levels induced by climate change.</p> <p><u>180 acres per year adopting climate-resilient practices will improve their conditions ensuring land and water sustainability for future generations.</u></p>	<p><u>Women:</u> increased livelihood opportunities. Between 2,000 and 4,000 women are involved in farming and agro-industrial related processing activities and marketing of agriproducts.</p> <p><u>Youth:</u> increased livelihood opportunities linked to capacity built on improving agriculture as well other traditional fisheries, or educational/eco-tourism activities.</p> <p><u>Elderly:</u> increased food security and nutrition due to improvement in agriculture.</p> <p><u>Children:</u> increased food security and access to education by promoting sustainable livelihoods that will improve families' economic capacities.</p>





## PART II. D. COST-EFFECTIVENESS

The design and implementation of the project focuses on maximizing the size of the 'concrete' interventions under component 3 to directly benefit the most vulnerable populations; thus, focusing the 'non-concrete' components (component 1 and 2) to those activities required for supporting the appropriate implementation of the 'concrete' interventions, to further develop a framework, enhancing climate resilience through spatial and land use planning (component 2) and to ensure ownership, sustainability and replication of the whole project (component 3).

### ***Cost-effectiveness rational by component***

*Component 1 Improved coordination of local and national governments in the Region to cope with climate change, to integrate major infrastructural projects in their spatial strategies, and to learn from each other in order to face common transboundary cc-related hazards.*

This component focuses on improving the coordination of different regional bodies to map climate change adaptation needs and prioritizing transboundary adaptation activities which are designed to have a high rate of return and multiple benefits. In addition to this, the investment on strengthening multilevel regional coordination of administrative and planning institutions in charge of adaptation planning improves the efficiency and cost-effectiveness of developing climate action plans by reducing economic loss and duplication/contradictions in the administrative processes, reducing probability of policy misalignment and delay, and avoiding disorganization and misdirection throughout the different stages of the adaptation process. Cost-effectiveness of this component is very high. Although it represents 3.5% of the total project budget, it ensures alignment of policies, initiatives and institutions through joint workplans, round tables, a regional assessment, joint trainings and cross-fertilization events, creating a large ripple effect for regional, national and local climate change adaptation.

Finally, this component provides the project a solid structure to institutionalize the adaptation process in a regional framework, where replication of lessons learned and the upscaling of local interventions is focused on effective and low-cost options, which benefit countries and communities in West Africa from a cost-effectiveness point of view.

*Component 2. Strengthened role of national adaptation plans in the spatial planning practice at national and sub-national level and improved capacity of governments in adapting to cc.*

The Probabilistic Risk Profile of Ghana indicates that the country losses 200 million dollars every year due to floods and droughts (UNISDR, 2018) while in Côte d'Ivoire reaches up to \$1.2 billion per year. (World Bank, 2019). The development of spatial and land use planning is considered to be one of the most cost-effective ways to understand and respond to climate change risks and vulnerability, especially to avoid future development in risk areas (and cost associated with this potential risk, such as destroyed homes, infrastructure and assets). By applying spatial planning tools at an early stage, governments and communities can anticipate and react in due time to challenges, with results into economic savings associated to prevention instead of reaction as well as social and environmental benefits.

Climate-proof territorial spatial planning reduces climate change vulnerability, providing decision-makers with the costs and benefits of adaptation options. Integrating social cost-benefit analysis in the different plans at the regional and municipal levels will allow to anticipate climate change impacts and assess the costs and benefits of climate-proof spatial planning. This will decrease the impacts of climate change induced risks into assets and infrastructure, providing a cost-effective way to reduce country losses due to extreme weather events and other prevalent risks.

*Component 3. Municipal staff, communities and local stakeholders have successfully planned and implemented priority sub- projects to cope with climate-change related issues, such as sea level rise and floods, and access to climate-resilient livelihoods.*

Regarding the subprojects, the design of the proposal aims to maximize the positive impacts for the communities. To achieve these positive impacts, the development of each physical adaptation strategy through the community plans (3.1) will strengthen the effectiveness of the investment as it will be integrated within a community-based approach. This approach has been used across multiple cities and sectoral contexts and it has shown high cost-effectiveness compared to larger scale procurements, as it builds on community decision-making, local know-how and networks and facilitation, where the maximum value of each dollar is utilized to the maximum benefit of the community, in a transparent decision-making process. The specific trainings (3.3) that are planned to be implemented in the intervened areas will be delivered to communities that are in the climate frontline to increase their adaptive capacities, increasing their ability to withstand climate shocks and strengthening the management mechanisms for implementing and maintaining the adopted and further adaptation measures. Jointly to the community's role in the adaptation strategy, the supporting role of executing entities represents a key aspect of the projects' cost-effectiveness. The role of executing entities will be focused in assessing communities and establishing working relations with them, to ensure that capacity gaps are covered. They will also play a key role to ensure ownership of the project by the communities and to contribute to the operation and maintenance of the projects that due to its specificities cannot be directly run by the community.

Besides that, whenever possible, the project will seek to achieve cost-effectiveness through economies of scale in procurement processes and contracts. The regional scale will facilitate those activities can be developed in the two countries to achieve economies of scale. The project will also seek to develop procurement and partnerships with governments and its agencies and the private sector to minimize project costs. Although the project aims to reduce cost of the execution of selected concrete interventions by pursuing economies of scale, the proposed community-level interventions will be scaled down to a size so that the interventions are manageable by communities. This is required to enhance ownership and sustainability of the project and to mitigate potential social and environmental risks. The project aims to select the interventions that benefit most communities and people.

### Cost-effectiveness for subprojects of component 3

Table 9 Establishment of Early Warning Systems cost-effectiveness.

Subproject	Total subproject cost	Beneficiaries		Cost-effectiveness		Total cost-effectiveness (USD/Total Beneficiaries)
		Direct	Indirect	Direct (USD/Beneficiary)	Indirect (USD/Beneficiary)	
<b>3.2.1 Establishment of Early Warning Systems</b>	\$1,533,500 USD	17,797	136,490	\$86.00	\$11.00	\$9.94 USD
<b>Viable Alternatives</b>	<b>Assessment of alternatives (cost-effectiveness)</b>					
<b>Business as Usual</b>	If there are no actions taken in the Anloga/ Keta region and the coastal area of Côté d'Ivoire, established communities will continue to be exposed to flood risks and sea level rise without having a strategy to prevent, respond and recover against climate change hazards. If no actions are taken, sea levels that could rise up to 1.2 meters in the Greater Bassam and Abidjan areas will progressively cause more severe and extensive impacts, increasing vulnerability and augmenting the damage costs and probability of life loss.					
<b>High-technology Early Warning Systems</b>	This type of EWS is connected to mobile phone carriers using internet and it provides geodata analysis of the region using GIS supported through on-line platforms. Although digital EWSs, considered high-technology systems, can have high rates of effectiveness for warning promptly to mobile carriers, the number of phone carriers with internet in the regions is minimal. These reduces the cost-effectiveness of the system as local communities don't have access to internet. In addition to this, high-tech EWSs require a higher cost of maintenance and specialized staff for technical support.					
<b>Early Warning Systems adapted to local needs.</b>	The selected Early Warning system has been strategically chosen by tailoring the technology (sirens, radio and SMS messages) to the technological context and adapting the system to the local knowledge and resources. Using technology adapted to the requirements of the communities can reduce implementation and maintenance cost as local community can be trained to give periodical maintenance to the system. Implementing an EWS while adopting risk assessment tools and quick response methodologies will minimize damage on infrastructure and reduce costs of reconstruction. EWS have a high level of acceptance in flood-risk communities, as beneficiaries are allowed to save livestock, movable belongings, and health costs (estimated at up to \$1083 USD per household in Nepal Project <sup>79</sup> ).					

Table 10. Integrated NBS for Urban flood adaptation cost-effectiveness

Subproject	Total subproject cost	Beneficiaries		Cost-effectiveness		Total cost-effectiveness (USD/Total Beneficiaries)
		Direct	Indirect	Direct (USD/Beneficiary)	Indirect (USD/Beneficiary)	
<b>3.2.2 Integrated NBS for urban flood adaptation.</b>	\$1,464,522 USD	18,937	33,589	\$77.00	\$44.00	\$27.88 USD
<b>Viable Alternatives</b>	<b>Assessment of alternatives (cost-effectiveness)</b>					
<b>Business as Usual</b>	Doing nothing represent a saving on construction and maintenance cost as no infrastructure is built to address floods, however selected communities are currently experiencing the damages of sea tides and rivers overflows, hindering communities' productivity and daily life activities, while reducing their wellbeing. Projected in a long-term scale, the costs of being impacted by climate change hazards such as floods and storm surges generate higher levels of economical loss and reduction of livelihoods.					
<b>Traditional drainage system</b>	Pipe drainage systems are efficient for reducing water levels in settlements when a flood occur, nevertheless, they require high levels of investment as installation is expensive in comparison with low impact infrastructure. Traditional drainage systems have also low effectiveness for improving general landscape as they are not designed to reduce erosion, maintain biodiversity, address droughts, or stabilize other climatological occurrences. The lack of a comprehensive strategy for flood risk management can be reflected on low levels of return of investment when comparing to other solutions such as NBS.					
<b>Nature-based Solutions</b>	Bioswales, rain gardens and seasonal bioretention facilities are capable of reducing financial investment on runoff-detention basis and traditional drainage systems. As NBS are designed to adapt to the environmental needs, green infrastructure multifunctionality provides more socio-economic benefits as it can be used for different purposes, (e.g., the detention basins during dry season can be used as urban spaces for integrating local activities). With NBS other costs of maintenance such as drainage maintenance costs or water treatment costs are reduced or limited. Research shows that NBS as low impact developments allow saving around 9.75% on-site preparation costs, 13.08% on stormwater management costs and 37.01% on landscape development costs in comparison with grey infrastructure. In general, NBS require low initial investment and medium investment on maintenance <sup>80</sup> . Nature-based solutions can also improve the water cycle dynamics by filtering water to the aquifers which eventually reduces the investment on water management practices.					

Table 11. Mangrove restoration cost-effectiveness

Subproject	Total subproject cost	Beneficiaries		Cost-effectiveness		Total cost-effectiveness (USD/Total Beneficiaries)
		Direct	Indirect	Direct (USD/Beneficiary)	Indirect (USD/Beneficiary)	
<b>3.2.3 Mangrove restoration</b>	\$1,785,339 USD	85,584	52,763	\$21.00	\$34.00	\$12.90 USD
<b>Viable Alternatives</b>	<b>Assessment of alternatives (cost-effectiveness)</b>					
<b>Business as Usual</b>	Mangrove loss and high deforestation rates in the targeted areas have increased the impacts of climate change hazards such as sea and lagoon level rise and floods. If nothing is done to address these challenges, services provided by coastal ecosystems will be significantly reduced. These include lack of provisional services e.g., sustainable procurement of food (fish, shellfish) for sale or consumption and poor					

<sup>79</sup> COST-BENEFIT ANALYSIS OF FLOOD EARLY WARNING SYSTEM IN THE KARNALI RIVER BASIN OF NEPAL, Kumar Rai, Rajesh; van den Homberg, Marc J.C.; Prasad Ghimire, Gopal et al. <https://www.preventionweb.net/publication/cost-benefit-analysis-flood-early-warning-system-karnali-river-basin-nepal>

<sup>80</sup> Reducing Stormwater Costs through Low Impact Development (LID) Strategies and Practices, December 2007, EPA 841-F-07-006

	supporting ecological services in the region, reducing local biodiversity levels, and worsening ecological balance. Regulatory services to cope with climate hazards can diminish as well. The loss of regulatory services can increase coastal erosion and flood risk due to climate change hazards. If this happens, hundreds of meters of land can be lost, including houses, schools, and other public infrastructure which is reflected in millions of dollars loss.
<b>Construction of gray infrastructure</b>	Gray infrastructure is commonly used as a hard strategy to protect against flooding from waves and coastal erosion, nevertheless, when implemented in isolation and without consideration of the environment, they are poorly effective for enhancing co-benefits provided by nature. To mention, armed concrete barriers and sandbags are capable of inducing instant adaptation benefits by reducing impacts of tidal waves and sea and lagoon level rise. Implementation costs can vary depending on the material and size of the barrier, (around USD 650-\$2,000 per linear foot plus engineering services per site) <sup>81</sup> , however, they have a short lifespan. Maintenance of these constructions increases the total life-cycle cost as they need to be partially or fully replaced over time due to natural erosion, making the intervention unsustainable and ineffective on a long-term scale. Moreover, this infrastructure does not enhance other co-benefits such as sustainable income-generating activities for improving communities' livelihoods nor strengthens ecosystem services to increase communities' adaptive capacity.
<b>Mangrove restoration</b>	Overall cost-efficiency of mangrove restoration as an Ecosystem-based adaptation strategy is higher in comparison to the gray infrastructure alternatives that protect against flooding and restore erosive areas. Mangrove areas work as a buffer zone using vegetation and landforms, maintaining integrity of shorelines. In addition, mangrove restoration can also provide other ecosystem services provisioning food (mollusk harvesting and fisheries), supporting local ecological services and delivering regulation services (climate and flood regulation, water purification, carbon sequestration) that will strengthen communities' livelihoods. Once all ecosystem services are considered, mangrove restoration has a high cost-effectiveness obtaining benefit-cost ratios from 10.50 to 6.83 under discount rates of -2% to 8% <sup>82</sup> . In addition to this, the sequestration capacity of mangrove planted in the current project can generate minimum revenue values of \$14,098.55 USD/tCO <sub>2</sub> /year or a maximum of \$65,788.82 USD/tCO <sub>2</sub> /year, depending on the carbon offset price in the market <sup>83</sup> .

Table 12. Climate-resilient agriculture cost-effectiveness

Subproject	Total subproject cost	Beneficiaries		Cost-effectiveness		Total cost-effectiveness (USD/Total Beneficiaries)
		Direct	Indirect	Direct (USD/Beneficiary)	Indirect (USD/Beneficiary)	
<b>3.2.4 Climate-resilient agriculture</b>	\$ 2,588,414 USD	2,160	1,080	\$1,198.00	\$2,397.00	\$798.89 USD
<b>Viable Alternatives</b>	<b>Assessment of alternatives (cost-effectiveness)</b>					
<b>Do nothing</b>	Salinity surface and levels will continuously increase as data has shown for the last three decades, this is mostly due to inefficient irrigation systems, exploitation of underground water and intrusion of sea water to the aquifers. If these conditions persist in the Anloga/ Keta District, livelihoods loss will increase; by decreasing agricultural productivity and food security, limiting access to drinkable water, and increasing poverty rates.					
<b>Improved agriculture management</b>	Improved agriculture management is focused on using soil fertilizers (organic matter) for improving agriculture soils conditions. This strategy has been implemented in several regions of Ghana with high levels of salinity, however, the return of investment has been minimal and unsuccessful to curb rising salinization. The implementation of best practices for improving agriculture yield would be partially improved, however, the process of adaptation to saline soils without using halophytes (salt-resistant crops) and without using water infiltration systems is not cost-effective.					
<b>Climate-resilient agriculture</b>	The climate-resilient agriculture intervention will include a socio-economic analysis that guarantees high levels of costs-effectiveness considering the business models that are currently settled in the area and the adjustments to improve them, considering the yields and the harvesting seasons. The introduction of salt-resilient crops and the implementation of a holistic approach for resource management will increase the cost-effectiveness of the subproject. Income generation is expected to increase by 20 to 35% in the first two years of adopting the strategy.					

## PART II.E. CONSISTENCY WITH NATIONAL OR SUB-NATIONAL STRATEGIES

The proposed project is supporting reaching Ghana and Côte d'Ivoire goals under the SDGs, particularly by contributing to the progressive achievement of **SDGs 6, 11, 13, 14 and 15**. Furthermore, the project has direct linkages with the implementation of the New Urban Agenda as it promotes integrated and participatory approaches involving all relevant stakeholders and all inhabitants, especially people in vulnerable situations and both genders, avoiding spatial and socio-economic segregation and gentrification, while preserving cultural heritage, protecting the environment and preventing and containing urban sprawl and climate hazards. Its objectives align as well with the Paris Agreement, particularly on articles 2, 7, 8, 11, 12, by aiming to strengthen resilience and the response to the threat of climate change, in the context of sustainable development and to eradicate poverty and reduce vulnerability.

The project is also in line with the 4 Domains of Changes of UN-Habitat Strategic Plan 2020-2023 and the flagship Programme 3: RISE UP: Resilient Settlements for the Urban Poor, by tackling issues of poverty, spatial inequality and resilient settlements (see below). The following domains of change and subdomains link to the outputs of the project.

- DoC1: Reduced spatial inequality and poverty in communities across the urban – rural continuum (2.1,2.2)
- DoC2: Enhanced shared prosperity of cities and regions (1,3, 2.2)
- DoC3: Strengthened climate action and improved urban environment (1.1,1.2)
- DoC4: Effective urban crisis prevention and response (3.1,3.2,3.3)
- Flagship Programme 3: RISE UP: Resilient Settlement for the Urban Poor

<sup>81</sup> [Sea Wall Guide: What Are the Advantages and Disadvantages? \(greencoast.org\)](#)

<sup>82</sup> [A meta-analysis of the ecological and economic outcomes of mangrove restoration | Nature Communications](#)

<sup>83</sup> [AF - Carbon sequestration calculations - Documentos de Google](#)

## **Ghana**

The project will help achieving the goals of Ghana's Intended Nationally Determined Contribution 2015 (INDC) which is based on Ghana's Shared Growth Development Agenda II, the 40-year socio-economic transformational plan and the National Climate Change Policy (2013). The project will focus on building climate resilient strategic infrastructure, which is identified as a strategic area for policy action in the INDC. More specifically, it addresses the objectives, strategies and priority actions specified by the National Climate Change Adaptation Strategy from 2012. The different components will focus on the areas prioritised by the National Climate Change Policy (2013), also supporting and giving continuation to Ghana's Plan of Action on Disaster Risk Reduction and Climate Change Adaptation (2011/2015). The components of the proposed project will support activities of the plan such as ensuring regional, national and local coordination; identification and assessment of disaster risks; use knowledge, innovation and education to build culture of safety and resilience; and reinforcing land-use planning and other technical measures to build resilience. Ultimately, the project will leverage the achievements of the National Adaptation Plan Framework 2018 (NAP) process established under the UNFCCC. In relation to sustainable urban development of cities and towns the project will be aligned with the National Urban Policy Framework (2012) and Action Plan and be consistent with the National Spatial Development Framework 2015-2035 and the pertinent Regional Spatial Development Frameworks, District Spatial Development Frameworks, structure plans and local plans.<sup>64</sup>

In the National Spatial Development Framework 2015-2035 more issues and challenges are identified, such as the need for environmental protection and conservation, more sustainable development in the coastal zones and shift from the urban sprawl trend. The project will aim at tackling these challenges as well as promoting proposed strategies, like urbanisation as a driver for economic growth and poverty reduction. These issues are not only a concern at national level but also at regional level. The Greater Accra Spatial Development Framework also showcases population growth, open space degradation and urban sprawl as problems and aims at a more sustainable, liveable and safe region.

Ultimately, through improved development planning the project will assist on maintaining the ecological integrity of wetlands and other ecosystems, guiding on healthy development practices, integrating environmental considerations in sectoral structural planning, and facilitating a more efficient use of natural resources. This approach is directly aligned to main needs and issues described in the Coastal Wetlands Management Plan and the Environmental Action Plan.

Other relevant strategies are:

- Nationally Appropriate Mitigation Action
- Ghana's First (2002), and Second (2006) National Communications to the UNFCCC
- Climate Change Technology Needs Assessment (2003)
- Ghana Climate Change Impacts, Vulnerability and Adaptation Assessments (2008)
- The Clean Development Mechanism
- Ghana's National Disaster Management Plan

## **Cote d' Ivoire**

The project will work on several of the most relevant national challenges and will be aligned with strategies from the INDC, the National Adaptation Plan, the National Environment Action Plan, the National du Développement Durable en Côte d'Ivoire dans la perspective de Rio+20, the National Development Plan 2016-2020 and 2021-2025 (and the United Nations Sustainable Development Cooperation Framework (UNSDCF) 2021-2025, to ensure alignment of the UN System with the UNSDCF), and the Programme National Changement Climatique 2015-2020. Regarding risk reduction, the main document the project will be aligned with is the Stratégie Nationale de Gestion des Risques de Catastrophes & Plan d'Action and the Cadre National des Services Climatiques. The project will support initiatives from these plans such as: improvement of disaster risk reduction and coastal areas management, elaboration of a coastal adaptation strategy, build active protection structures, ecosystems restoration, better management of natural resources, and consolidation of co-operation links between Cote d' Ivoire, the West African region and the international community. The project will also leverage the achievements of the National Adaptation Planning (NAP) process established under the UNFCCC. In relation to development the project will be aligned with the Plan National de Développement 2016-2020 and the Territorial Development Policy Framework (2006).as well as the pertinent development schemes and plans.

<sup>64</sup> As described in the National Urban Policy Framework of Ghana (2012)

Regarding spatial development, at the national scale the project will be aligned with the key actions of the Territorial Development Framework adopted in 2006. This document sets a legal framework for central and local development. It ensures coherence between country, urban and sector infrastructure plans, and links national objectives with regional planning, through a participatory development process. At the district scale, the project for the Development of the Urban Master Plan in Greater Abidjan remarks managing pressure for urbanization, urban sprawl, and planning for population growth and competing land-uses, as key planning issues in the area. The document raises the concern of the continuous degradation of the environment that will take place if these issues are not tackled. This degradation will keep evolving in loss of natural forest and biodiversity assets, low quality living, increasing pollution etc. The project will align with this Plan by addressing these challenges through the different components, aiming at a more sustainable and resilient urban area. Ultimately, the project approach strongly supports the strategic assets described in the Plan National de Développement 2016-2020, such as accelerating the development of human capital and social well-being, development of infrastructure harmoniously over the national territory and preservation of the environment and strengthening regional integration and international cooperation.

For a detailed overview of project alignment with national and sub-national strategies, see Annex 6.

## PART II.F COMPLIANCE WITH RELEVANT NATIONAL TECHNICAL STANDARDS

~~take into consideration applicable regional and international frameworks, in consultation with Abidjan Convention. Activities that fall under these components are not subject to technical standards due to their implementation in a regional and national scope. In addition to these, components 1 and 2 do not integrate physical interventions that need to follow specific technical requirements for their execution.~~

In developing ~~component 3~~ each component of the project of this project, an analysis of relevant national standards was undertaken. The findings of the analysis are summarized in the tables below and reflected in the risks screening belonging to the ESMP (see risk screening regarding principle 1, law compliance, under Part II, Section L, Annex 6). The project complies with the Environmental and Social Policy and the Gender Policy of the Adaptation Fund. During preparation of the full proposal, a detailed risk screening and impact assessment of all project activities was undertaken (see a summary in Part II, Section L and details in Annex 6).

~~Major national standards worth highlighting due to its relevance to the overall project are labour laws, which will be complied for all employment contracts. More specifically, no activities of the project will be initiated without ensuring that the national legislations are applied for construction activities entailing infrastructure interventions in Component 3. Applicable laws are: (i) for Côte d'Ivoire Loi n°95/15 du 12 janvier 1995; (ii) for Ghana The Labour Act No 651 of 2003.~~

During the implementation of activities, the National Project Managers (see their role in Part III Section A) will ensure that all project activities comply with existing national technical standards. At the beginning of the project, when the sub-project implementation plans are fully developed with communities and municipalities, including detailed engineering studies, the necessary steps to comply with these standards will be detailed in addition to what is described for each country/city below.

Major national standards worth highlighting due to its relevance to the overall project are labour laws, which will be complied for all employment contracts. More specifically, no activities of the project will be initiated without ensuring that the national legislations are applied for construction activities entailing infrastructure interventions in Component 3. Applicable laws are: (i) for Côte d'Ivoire Loi n°95/15 du 12 janvier 1995; (ii) for Ghana The Labour Act No 651 of 2003.

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## a) Côte d'Ivoire:

The table below presents all the applicable National Technical Standards for Component 1 and 2 in Cote d'Ivoire.

### Component 1 and 2 - Technical Standards

Table 134343, Cdl Technical Standards - Component 1 and 2

Applicable National Technical Standards for Cote d'Ivoire	Link with Outputs from Component 1 and 2
Decree No. 2012-988 of October 10, 2012 establishing, attributing, organizing and operating the National Platform for Risk Reduction and Disaster Management.	The implementation of Spatial Development Frameworks developed through Outputs 2.1.1 and 2.1.2 so as the Regional Assessment from Output 1.1.3 will be coordinated jointly with the National Platform for Risk Reduction and Disaster Management organisms and their function described on Decree 2012-988 to align the novel activities with existing risk management programs and plans.
Law No. 96-766 of 3 October 1996 on the Environment Code.	Outputs 1.1.3, 2.1.1 and 2.1.2 which are focused to develop activities that attend climate change-related risks and implement measures for increasing costal resilience must comply with the principles and considerations from the en Environmental Code, implementing strategies that consider the protection of the environment and mitigate ecosystem degradation as describe on Law 96-766.
Law No. 2003-208 of July 7, 2003 on the transfer and distribution of competence from the State to local authorities (in the field of environmental protection and management of natural resources)	In order to integrate Spatial Development Frameworks to the subnational and local levels, special attention must be considered on the competence of each governmental level during the process of urban planning to facilitate coordination and better implementation of the strategy. Therefore, for Outputs 2.1.1 and 2.1.1 activities related to local environmental protection and management of natural resources will be coordinated with the governmental entities as stipulated on Law 2003-208.
Decree No. 2005-263 of July 21, 2005, fixing in terms of civil protection, the modalities of application of Law 2003-208 of July 7, 2003.	Civil protection plans and programs will be taken into consideration during the development of the Spatial Development Frameworks from Outputs 2.1.1 and 2.1.2, so as for the development of the regional assessment from Output 1.1.3, following the inputs provided by the governmental entities in charge of civil protection that are described on the Decree 2005-263.
Law No. 2017-378 on development, protection and integrated management of the coastline littoral promulgated the 2 <sup>nd</sup> of June 2017.	Since Spatial Development Frameworks are focused on the Grand Pont Region and the department of Jaquerville which are located in areas where human settlements are situated on the coastline, law 378 regarding protection and integrated management of the coastline littoral will be taken in consideration for designing and implementing activities to ensure sustainable spatial planning (Output 2.1.1 and 2.2.1).
Decree No. 990 / PMMD / CAB / of October 21, 2011 establishing the Interministerial Committee for Coastal Erosion Control	Similar to law 2017-378, the jointly coordination with the Interministerial Committee for Coastal Erosion Control will take in place for developing Outputs 2.1.1 and 2.1.2, following decree No. 990 to guarantee that planned activities respond to the protection of the coastal areas and incorporate strategical planning measures to control coastal erosion.
Law No. 2019-576 of June 26, 2019, establishing the Construction and Housing Code (JO 2019-61)	Outputs 2.1.1 and 2.1.1 for developing local and subnational Spatial Development Frameworks will be subject to the rules and principles provided by the Construction of Housing Code, in order to plan sustainable, climate-resilient, and affordable housing in the targeted areas. The regional assessment from Output 1.1.3 will also consider the prescriptions provided by this code.
Law No. 95-893 of October 27, 1995 on rural communities	The integration of rural communities and their formal institutional organisms for managing territory and resources through the design Spatial Development Frameworks (Outputs 2.1.1 and 2.12) is a vital element for implementing a comprehensive local and subnational sustainable development, therefore all strategies envisioned in these instruments must be subject to law 95-893 which supports and ensure the participation of local rural communities in topics related to land and natural resources management.
Law No. 98-750 on Rural Land.	In accordance with 95-893, Rural Land law stipulates the principles for ensuring the integrity of rural lands. When developing Outputs 2.1.1 and 2.1.2, spatial development frameworks will comply with the conditions declared by the law for managing rural land for purposes related to agricultural development operations or any other operation carried out while protecting the landscape and environment.

### Component 3 Technical Standards

The 2016 constitution of Côte d'Ivoire ensures the protection of the environment and sets the implementation rules. The detailed regulations for the EIAs are described in Decree No. 96-894 of November 8, 1996 determining the rules and procedures applicable to studies relating to the environmental impact of development projects. ANDE is responsible for formulating sectoral directives and implementing ESIA procedures. It has developed guides for the industry, energy, agriculture, transport and infrastructure sectors.

The integration of EIAs in the project cycle is essential for providing environmental information at key stages. Early results of an EIA may indicate practical design changes that would avoid or reduce adverse environmental impacts or better benefit from environmental benefits. A screening procedure is carried out by the Ministry of the Environment, who makes the decision to approve the project and determines if the sub-project must be subject to an EIA or not. In this case, mangrove restoration and urban flood resilience interventions require an EIA, therefore, a comprehensive report has been integrated including the assessment of both subprojects so as for the establishment of Early Warning Systems and Climate-resilient agriculture practices. The table below presents all the relevant legislation, compliance, and mitigation measures adopted applicable National Technical Standards for Component 3 in Cote d'Ivoire.

Table 14, Cdl Technical Standards - Component 3

Applicable National Technical Standards for Cote d'Ivoire	Link with Outputs from Component 3
Law No. 98-750 on Rural Land.	Activities that are developed in Rural lands must comply with the principles and characterization of zoning and permitted activities defined on Law 97-750. These will be reflected through the design and implementation of the



	community plans (Output 3.1.1) and the other physical interventions that will be developed under this component (3.2.1,3.2.2, 3.2.3 and 3.2.4).
Law No. 2019-576 of June 26, 2019, establishing the Construction and Housing Code (JO 2019-61)	Output 3.1.1 which is focused on developing community plans for the start-up, maintenance, and monitoring of the physical interventions will have to consider not to damage any public and housing infrastructure. In addition, Outputs 3.2.1, 3.2.2 will have to follow the requirements stipulated by the construction code to ensure safety and good construction practices.
Decree No. 2012-988 of October 10, 2012, establishing, attributing, organizing and operating the National Platform for Risk Reduction and Disaster Management.	Activities 3.1.1, 3.2.1, 3.2.3, 3.2.3 and 3.2.4 will consider Decree 988 bylaws, which establishes de functions of the Interministerial Committee for providing advice in the development of activities and plans related to reduce disaster risks. Particularly participation will have the committee during activities for planning the Early Warning Systems which are part of Output 3.2.1, and strategic orientation for efficient implementation of the mentioned systems.
Law No. 96-766 of 3 October 1996 on the Environment Code;	Activities 3.2.1, 3.2.2, 3.2.3 and 3.2.4 must comply with the environmental principles established in this code, which are related to protect the environment against human-made degradation and to safeguard the soil, sites, landscapes, water and biodiversity. The basic rules to follow while implementing activities that may cause an impact to the environment are described in the environmental code, which must be observed in order to accomplish with the national standards.
Decree No. 96-894 of 8 November 1996 determining the rules and procedures applicable to studies relating to the environmental impact of development projects.	Decree 894 establishes the projects that require environmental impact studies for guaranteeing environmental protection and limiting ecosystems degradation. Outputs under component 3 must be included in an environmental impact study following the decree as they planned nearby ecologically sensitive areas. The decree stipulates the examination and authorization process and the overall procedural rules for the environmental impact assessment.
Law No. 2019-675 of July 23, 2019, on Forestry Code	For Output 3.2.3 related to mangrove restoration, the design and implementation of the subproject must follow the Forestry code to guarantee sustainable forestry practices. The Forestry code enforce the creation of community forests, with the participation of local authorities, set rules for forest use rights and determines the forest management obligations that must be fulfilled.
Law 98-755 of December 23, 1998 on Water Code	Activities that are developed through Outputs 3.1.1, 3.2.2, 3.2.3 and 3.2.4 that use water must be aligned with the Water Code of Cote d' Ivoire to ensure integrated management of water resources and water quality for irrigation of mangrove seedlings, climate-resilient agriculture practices and to guarantee the surrounding balance of the environment when implementing Nature-based Solutions for flood risk reduction. Following what the water code stipulates, the involved stakeholders in the project will work in coordination with the institutional structures in charge of water management for fulfilling the guidelines for water resource management on each watershed.
Law No. 2003-208 of July 7, 2003 on the transfer and distribution of competence from the State to local authorities (in the field of environmental protection and management of natural resources)	The Outputs executed under component 3 will be coordinated jointly with local authorities from communes, regions, and departments where they are located, following the functions prescribed on law 2003-208. These includes the reviewing of land use plans, urban plans and housing, environmental protection, and natural resource management strategies.
Decree No. 2005-263 of July 21, 2005, fixing in terms of civil protection, the modalities of application of Law 2003-208 of July 7, 2003.	Civil protection modalities that are established under Decree 2005-263 such as civil protections plans and programs will be followed during the design and implementation of activities focused on reducing risks and developing community plans, such as the establishment of Early Warning Systems under Output 3.2.1, the Nature-based Infrastructure for reducing flood risks under Output 3.2.2 and the community plans under Output 3.1.1 Involved stakeholders will be working in coordination with the designated authorities established under this decree guaranteeing an efficient adoption of strategies under the current civil protection framework.
Law No. 2017-378 on development, protection and integrated management of the coastline littoral promulgated the 2 <sup>nd</sup> of June 2017.	Activities from Outputs 3.1.1, 3.2.2, 3.2.2, 3.2.3 and 3.2.4 that will be implemented in coastal littoral areas are subject to law 2017-378 which declares that activities that are developed in coastal areas must be aligned with instruments of protection such as coastal urban development plans, plans for the management and development of river, marine and coastal areas, plans for the development of river, marine lagoons and river environments among others. Stakeholders involved in the implementation of physical interventions will follow obligations for coastal protection determined in this law.
Decree No. 990 / PMMD / CAB / of October 21, 2011 establishing the Interministerial Committee for Coastal Erosion Control	Mangrove restoration activities (Output 3.2.3) that are developed nearby coastal areas must aligned their Outputs in accordance with the comments and guidance from the Interministerial Committee for Coastal Erosion Control, so as to follow the principles that the decree 990 established to guarantee the efficiency and the proper development of the physical interventions, aimed to reducing coastal erosion in the area.
Decree No. 97-678 of December 3, 1997, on the protection of the marine and lagoon environment against pollution.	Activities from component 3 that are developed nearby marine and lagoon environments such as mangrove restoration and Nature-based solutions for reducing flood risks and the community plans (Output 3.1.1, 3.2.2 and 3.2.3) must comply with the guidelines established to protect the ecosystems against pollution.
Law No. 2015-537 of July 20, 2015 on the agricultural orientation of Côte d'Ivoire.	Activities related to climate-resilient agriculture from Output 3.2.4 will be subject to the principles determined on the Law 2015-537, which guarantees sustainable agricultural practices and strategic axes for enhancing productivity and strengthening capacity of farmers while promoting environmental protection. During the implementation of agricultural best practices for increasing resilience to climate change, the involved stakeholders will develop activities following required processes and guidance from the agriculture organisms established by this law.
Law No. 95-893 of October 27, 1995 on rural communities	Activities from component 3 that are developed in rural communities will be coordinated with the local rural organisms in charge of rural management defined under this law, this includes coordinating activities related to territory management, management of public place (for implementing Output 3.1.1, 3.2.1,3.2.2 and 3.2.3), access to water points for watering seeds and for crop irrigation (for Output 3.2.1 and 3.2.4) so as the proper local procedures to carry out project developments and authorizations.
Decree No. 98-257 of June 3, 1998 on the implementation of Law No. 97-721 of December 23, 1997 on cooperatives	The agricultural business models developed through Output 3.2.4 will take in consideration the principles for establishing commercial entities formed as cooperatives, following the characteristics that this collective structure must follow in order to maintain its status, legal benefits and obligations.
Law No. 64-490 of 21 December 1964 on plant protection.	Any usage of seeds, soil, manure compost and any other packaging used under Output 3.2.3 and 3.2.4 will be following the conditions stipulated on law 64-490 guaranteeing the phytosanitary certificates attesting that they are free from any pest.
Interministerial Order No. 509/MINAGRI/MEMIS of 11 November 2014.	Agricultural practices under Output 3.2.3 will be follow Interministerial order 509, following instructions related to organizing the control of pesticides, the inspection and sanitary, phytosanitary and quality control of plants, products of plant origin, agricultural products and any other material likely to carry pests for crops, human health and animals.
Decree No. 2013-678 of 2 October 2013 establishing the national catalogue of plant species and varieties cultivated in Côte d'Ivoire.	This decree establishes a national catalogue of plant species and varieties cultivated in Côte d'Ivoire and make references to the institutions in charge of regulation of crop species and varieties. Output 3.2.4 will follow the indications provided by Decree 678, including particular procedures when using specific plant species and varieties.
Decree No. 2013-441 of June 13, 2013, determining the conditions and modalities of classification and downgrading of water resources.	The purpose of this decree is to determine the conditions and modalities for the classification and downgrading of water resources. Output 3.2.2 must consider the principles for granting public utility status to water resources to envision a comprehensive solution for reducing flood risks.

### Compliance, procedure, and Authorizing entities in Cote d'Ivoire

The 2016 constitution of Côte d'Ivoire ensures the protection of the environment and sets the implementation rules. The detailed regulations for the EIAs are described in Decree No. 96-894 of November 8, 1996 determining the rules and procedures applicable to studies relating to the environmental impact of development projects. The National Agency of Environment Protection (ANDE) is responsible for formulating sectoral directives and implementing EIA procedures. It has developed guides for the industry, energy, agriculture, transport and infrastructure sectors.

The integration of EIAs in the project cycle is essential for providing environmental information at key stages. Early results of an EIA may indicate practical design changes that would avoid or reduce adverse environmental impacts or better benefit from environmental benefits. A screening procedure is carried out by the Ministry of the Environment, who makes the decision to approve the project and determines if the sub-project must be subject to an EIA or not. In this case, mangrove restoration and urban flood resilience interventions require an EIA, therefore, a comprehensive report has been integrated including the assessment of both subprojects so as for the establishment of Early Warning Systems and Climate-resilient agriculture practices. The ESIA was submitted to the National Agency of Environment Protection (ANDE). No obstacles are foreseen to obtain an authorization, as proposed intervention has been discussed with and agreed by authorities

The Ministry of Environment and Sustainable Development (MINEDD) has given advice and will monitor the intervention according to its impact on environment at all stages of the intervention. The Ministry of Water and Forestry will foresee technical support during implementation, including the validation of selected sites and choices of plant species so as compliance of technical standards. Supervision and technical validation of the creation of nurseries and planting will be done by experts from the Ministry of Water and Forestry. The implementation will be supervised by the local directions of the forestry and environment administration.

Other relevant authorities are involved during the compliance process: The Ministry of Construction, Housing and Urban Planning (MCLAU) which is in charge of granting construction permits will validate the sites for construction in agreement with the communities. The Ivorian Anti-pollution Centre (CIAPOL) through the Directorate of the Inspection of Classified Installations (S/DIIC) will oversee monitoring the level of pollutants in water and soil so as to ensure compliance with technical provisions for the development of the subproject. In addition, validation and implementation must be followed in accordance with the requirements established by the national meteorological service SODEXAM, dependent on the Ministry of Economic infrastructure. The implementation will be supervised by the local directions of the environment administration. Regarding water consumption for agriculture purposes, the activities will follow decree 2013-441 considering all the conditions and modalities for water consumption. Finally, the utilisation of hydrological resources within the project area for irrigation will be controlled by this regulation and all the necessary water use rights would have to be secured in future especially where abstraction from the water bodies for agriculture becomes necessary.

### **b) Ghana**

#### Component 1 and 2 Technical Standards:

Table 15. Ghana Technical Standards - Component 1 and 2

Applicable National Technical Standards for Ghana	Link with Outputs from Component 1 and 2
National Development Planning (System) Act, 1994 (No. 480).	Outputs 1.1.3, 2.1.1 and 2.1.2 must be subject to the National Development Planning System which is endorsed through the Act 480. Compliance with the prescriptions that emanate from this act can improve the implementation of subnational and local Spatial Development Frameworks as they bring technical and legal support to the activities.
Land Use and Spatial Planning Act, 2016 (No. 925 of 2016).	Act 925 will be considered during the design and implementation of Outputs related to developing a regional assessment, (1.1.3), subnational (2.1.1) and local level Spatial Development Frameworks (2.1.2), this includes the coordination of sustainable development of land and human settlements following the technical committee's advice that the act mention, ensuring the control of physical developments in sensitive areas.
Local Governance Act, 2016 (Act 936)	Outputs 1.1.3, 2.1.1 and 2.1.2 must be implemented with the coordination and approval of the district assemblies in accordance with the Act 936, these includes coordination for creating and improving land use, spatial plans, and economic plans.
Land Planning and Soil Conservation Act, 1957	Planning activities from Outputs 1.1.3, 2.1.1 and 2.1.1 will be implemented following the inputs from the planning committees designated through Act 1957 if applies to specific planning areas. These considerations include promotion of strategies for preserving and reclaiming land and protecting water resources in specific planning areas.
Wetlands Management (RAMSAR site) Regulation 1999	When developing Spatial Development Frameworks under Outputs 2.1.1 and 2.1.2, activities will comply with the principles established on the Wetlands Management Regulation when it applies according to the areas that are under protection of the RAMSAR Convention.
Lands Commission Act 2008 act 767	Spatial Development Frameworks (Output 2.1.1 and 2.1.2) and the regional assessment (Output 1.1.3) must consider the Lands Commission direction for ensuring land development in conformity with national development goals. The project will also comply with the regulation declared in this act by coordinating with the Land Commission and the local authorities the implementation of activities in special designated areas and the organization of specific land management practices.

<a href="#">Riparian Buffer Zone Policy, 2011</a>	Outputs 2.1.1 and 2.1.2 must be developed considering the different land zoning which ensure biodiversity protection of selected sites, so as the particular social and environmental dynamics in each region. Therefore, the Riparian Buffer Zone Policy will be integrated when developing the subnational and local Spatial Development Frameworks to protect nature and guarantee areas that enhance ecosystem services.
<a href="#">National Disaster Management Organization (NADMO) Act 927</a>	For boosting climate resilience and reducing disaster risk through the Spatial development frameworks developed under Output 2.1.1 and 2.1.2, the project will comply with the prescriptions set by the NADMO which are sustained by the Act 927. These will guarantee that the novel frameworks are supported by previous risk planning strategies and plans.
<a href="#">National Standard Operating Procedures for Emergency Response (2010)</a>	Procedures for operating during an emergency will be taken into consideration when designing the spatial planning strategies for reducing climate risks through Spatial Development Frameworks (Output 2.1.1 and 2.1.2). These will help strengthening institutional capacities for linking risk response protocols and plans and improve anticipation responses against climate change impacts.
<a href="#">Water Use Regulations, 2001, LI 1692</a>	The use of water following the principles that determine its efficient management will be procured as it is stipulated on the Water Use Regulation when preparing the Spatial Development Frameworks (2.1.1 and 2.1.2) for both subnational and local scale, focusing on the protection of water bodies and enhancing its access as an elemental public good to be considered during urban and rural development planning.

### Component 3 Applicable Technical Standards

**Table 16. Ghana Technical Standards - Component 3** Environmental Impacts Assessments in Ghana are carried out based on The Environmental Protection Agency Act, 1994 (ACT 490), and the Environmental Assessment Regulations, 1999. The integration of EIAs in the project cycle is essential for providing environmental information at key stages. Early results of an EIA may indicate practical design changes that would avoid or reduce adverse environmental impacts or better benefit from environmental benefits. A screening procedure carried out by the government determines if the sub-project must be subject to an EIA or not (also called Environmental and Social Management Framework). Further to this, Mangrove restoration and Urban flood resilience interventions require an EIA, therefore, a comprehensive report has been integrated including the assessments of both subprojects so as for the establishment of Early Warning Systems and Climate-resilient agriculture practices. The table below presents all the relevant legislation, compliance, and mitigation measures adopted:

<b>Applicable National Technical Standards for Ghana</b>	<b>Link with Outputs from Component 3</b>
<a href="#">National Development Planning (System) Act, 1994 (No. 480).</a>	The development of community plans and its activities to operate, maintain, monitor, and sustain adaptation measures will be subject to the National Development Planning System as prescribed on Act No. 480.
<a href="#">Land Use and Spatial Planning Act, 2016 (No. 925 of 2016).</a>	Community plans expected to be implemented through Output 3.1.1 must comply with the land use and spatial planning principles established by the act 925 in order to guarantee an ordered and sustainable management of the land and the physical interventions to be developed.
<a href="#">Environment Protection Agency Act, Act 490, 1994.</a>	Activities from Outputs 3.2.1, 3.2.2, 3.2.3 and 3.2.4 will be subject mandates established on Act 490 such as the enforcement of environmental impact assessments, control and management of pesticides and issue environmental permits.
<a href="#">Environmental Assessment Regulation 1999 (LI 1652).</a>	Outputs 3.2.1, 3.2.2, 3.2.3 and 3.2.4 are subject to the Environmental Assessment Regulation, enforcing the process for application and granting of permits. It also includes the criteria and procedures to draft submit and approve environmental impact assessments.
<a href="#">Local Governance Act, 2016 (Act 936)</a>	Outputs 3.1.1, 3.2.1, 3.2.2, 3.2.3 and 3.2.4 are subject to administration and management process stipulated on the local governance act. Activities will be enforced by the planning functions of the district assemblies related to land use and spatial planning and economic planning.
<a href="#">Land Planning and Soil Conservation Act, 1957</a>	Outputs 3.1.1, 3.2.2, 3.2.3 and 3.2.4 will be validated through the committee functions for protecting source course, stream and rivers, disposal and control of storm water and the mitigation of soil erosion.
<a href="#">Wetlands Management (RAMSAR site) Regulation 1999 (LI 1659)</a>	Activities from Outputs 3.1.1, 3.2.1, 3.2.2, 3.2.3 and 3.2.4 that are planned to be developed in RAMSAR areas are subject to regulations stipulated on (LI 1659). It also ensures that activities must be compatible with traditional conservation practices approved by the Ramsar Convention.
<a href="#">Requirements for Ambient Air Quality and Point Source/ Stack Emissions (GS 1236, 2019)</a>	Despite activities from Outputs 3.2.1, 3.2.2, 3.2.3 and 3.2.4 have very low potential of reducing ambient air quality, activities during implementation and maintenance are subject to required ambient air quality levels prescribed on GS 1236.
<a href="#">Requirements for Ambient Noise Control (GS 1222, 2018)</a>	Despite activities from Outputs 3.2.1, 3.2.2, 3.2.3 and 3.2.4 have very low potential of creating high levels of ambient noise, activities during implementation of Outputs 3.2.1, 3.2.2, 3.2.3 and 3.2.4 are subject to acceptable ambient noise levels according to the category of each location prescribed on GS 1222.
<a href="#">Lands Commission Act 2008 act 767.</a>	Activities from Outputs 3.1.1, 3.2.1, 3.2.2, 3.2.3 and 3.2.4 be considered by the Land Commissions and its responsibilities which are described on act 767. These include to advise on the policy framework for the development of particular areas. And ensure suitability and capability of activities in determined areas.
<a href="#">Forest Act Cap 157, 1927.</a>	The Forest act determines the principles to safeguard the forest and its resources such as water supply, which will be essential to develop Output 3.1.1 (Community plans) and 3.2.3 (Mangrove restoration). It also establishes the dependencies that are responsible of managing the forestry activities, which will be coordinating jointly with the stakeholders of this project the mangrove restoration in the targeted areas.
<a href="#">Manual of Procedures for Forest Resource Management Planning</a>	Output 3.2.3 will be subject to comply with the established measures on the Manual of Procedures for Forest Resource Management Planning which includes prescriptions for plantation procedures, seedlings production, establishment of nurseries and overall considerations for forest management and processes to include strategic and operation plans in forestry activities.
<a href="#">Riparian Buffer Zone Policy, 2011</a>	For the implementation of mangrove restoration (Output 3.2.3) and the implementation of community plans (Output 3.1.1), the activities will follow the principles stipulated on the Riparian Buffer Zone Policy to guarantee sustainable management for restoration and protection of ecosystems, reducing risks of unsustainable land use practices and pollutant load into de watersheds. Output 3.3.1 related to trainings to local experts and communities will be aligned to the provision of capacity building to communities in buffer zone conservation areas as declared in this policy.
<a href="#">National Disaster Management Organization (NADMO) Act 927</a>	Activities from Outputs 3.1.1, 3.2.1, 3.2.2 and 3.2.3 will be considered following the NADMO committee's advice with functions described in the Act 927 since activities under those outputs are linked to reducing disaster risks in coastal areas.
<a href="#">National Standard Operating Procedures for Emergency Response (2010)</a>	The strategies developed through Output 3.2.1 related to the establishment of Early Warning Systems and quick disaster response so as the development of the community plans through Output 3.1.1 will be subject to the prescription of the emergency response procedures defined by this national standard.
<a href="#">Plants and Fertilizer Act 2010, Act. 803.</a>	To be consider during activities from Output 3.2.4 and 3.2.3 such as control of seed quality, use of fertilizers, plant health and importation of plant material.
<a href="#">Pesticides Control and Management Act (1996) Act 528</a>	To be considered for Output 3.2.4 and 3.2.3, as it establishes the registered pesticides for legal use and regulations for its proper management.
<a href="#">Water Use Regulations, 2001, LI 1692</a>	Climate-resilient agriculture practices from Output 3.2.4 must consider parameters, authorizations and regulations stipulated on LI 1692 for agricultural water use, while preparation of mangroves seedlings (Output 3.2.3) is subject to regulations related to environmental water use. These will also be considered since the initial stages of the development of community (Output 3.1.1).

Irrigation Development Authority Regulations, 1987.

To be considered for the implementation of Output 3.2.4 in order to allocate water rights and obligations to consumers that implement irrigation practices (mainly for agriculture) in rural land in accordance with the specified terms within the Act.

#### Compliance, procedure and Authorising Entities in Ghana

Environmental Impacts Assessments in Ghana are carried out based on The Environmental Protection Agency Act, 1994 (ACT 490), and the Environmental Assessment Regulations, 1999. The integration of EIAs in the project cycle is essential for providing environmental information at key stages. Early results of an EIA - also called Environmental and Social Management Framework (ESMF)- which may indicate practical design changes that would avoid or reduce adverse environmental impacts or better benefit from environmental benefits. A screening procedure carried out by the government determines if the sub-project must be subject to an EIA or not (also called Environmental and Social Management Framework). Further to this, Mangrove restoration and Urban flood resilience interventions require an EIA, therefore, a comprehensive report has been integrated including the assessments of both subprojects so as for the establishment of Early Warning Systems and Climate-resilient agriculture practices. ~~The table below presents all the relevant legislation, compliance, and mitigation measures adopted.~~ The procedure starts with the completion of a unique EA1 Registration Form which was due for all subprojects and screening by the EPA. UN-Habitat has already initiated the permit process. Additionally, a processing and permit fees need to be paid before issuance of the permit. A draft ESMF has already been prepared and submitted for the consideration of the Ghana EPA. Comments for finalization have been received and are being addressed for finalization of the report and final issuance of the permit on payment of permit fees. An authorisation (Development and Building permit) has been requested from each District (Ada East; Ada West and Anloga/ Keta Municipal Assembly).

Other relevant authorities are involved during the compliance process: The Ministry of Environment, Science, Technology and Innovation and the Land Use Spatial Planning Authority (LUSPA) will follow compliance of technical standards; The National Disaster Management Organisation (NADMO) will be validating the activities related to the EWA through the Climate Change and Disaster Risk Reduction Department and the Hydrometeorological Disasters' Department. Project must follow implementation in accordance with the Regional Platforms for DRR. The Wildlife Division from the Forestry Commission has given advice and will be monitoring technical compliance during implementation. The Water Resources Commission is responsible for the execution of the Water Use Regulations. Subject to the Water act, a person may obtain a permit from the Commission for agricultural water use. The utilisation of hydrological resources within the project area for irrigation will be controlled by this regulation and all the necessary water use rights would have to be secured in future especially where abstraction from the water bodies for agriculture becomes necessary. Finally, compliance with air, noise and effluent quality standards will be incorporated into the EPA permit schedule for adherence. No separate permit.

## PART II.G DUPLICATION WITH OTHER FUNDING SOURCES

## PART II.G DUPLICATION WITH OTHER FUNDING SOURCES

Table 17. Relevant projects, lessons learned and complimentary potential

Relevant projects/programme, executing entity and budget	Lessons learned (relevant for proposed interventions)	Complimentary potential And non-duplication
<b>West/East Africa</b>		
West Africa Coastal Areas Management Programme (WACA) <sup>85</sup> - WB 2015 – <a href="#">on going</a> . Budget: US\$300 m Three pillars: <a href="#">1</a> Strategic investment planning; <a href="#">2</a> Knowledge, information, and capacity building; <a href="#">3</a> Country and regional engagement and resource mobilization.	There is strong political support in Côte d'Ivoire Process is slower in Ghana – multi-sector risks assessment still to be finalized	<u>Complementary</u> WACA suggested to cooperate on strengthening the spatial planning component in Grand-Lahou Knowledge sharing on coastal management in West Africa Coastal Areas There is clear will to coordinate and share lessons learned WACA suggested to consider working together on coordinate on the multi-sector assessment in Ghana <u>Non-Duplication</u> A part from the collaboration on Grand-Lahou, the project focuses on different target areas
West Africa biodiversity and climate change (WA-BICC) – USAID. (2015-2020) WA-BICC will address both direct and indirect drivers of natural resource degradation to improve livelihoods and natural ecosystems across the region.	Initiation stage (vulnerability assessments so little lessons learned)	<u>Complementary</u> Lessons learned and collaboration on their programme objective 2 <u>Non-Duplication</u> WA-BICC project focuses on Sierra Leone and West coast of Côte d'Ivoire; Not common target areas
Mami Wata project <sup>86</sup> - by GRID-Arendal, and the Abidjan Convention Secretariat Political and implementing partner: Secretariat of Abidjan Convention. Budget: 3.763.305 €. Duration: 01/2016-06/2022	<a href="#">Mami Wata has built institutional and technical planning capacity related on marine management in 3 projects are being implemented to provide proofs-of-concept learning opportunities. Started in 2016 so no lessons learnt reported yet</a>	<u>Complementary</u> <a href="#">Both projects have spatial and piloting components. The project can benefit. The project will and</a> complement their capacity building initiative on coastal ecosystems protection and conservation. <a href="#">Lessons learned, knowledge production and scalability of good practices.</a> <u>Non-Duplication</u> The project will address resilience through a different sector: urban and territorial planning as a tool for climate change adaptation
Transboundary projects climate-resilient Ministry of Environmental and Sustainable Development 2016 African climate Change Fund (ACCF)	No lessons learned yet, ongoing project	<u>Complementary</u> The project complement climate resilience in different regions of the Abidjan-Lagos coastal corridors Enhances knowledge and capacity, facilitating partnerships for climate-proofing African infrastructure projects. <u>Non-Duplication</u> Non geographical overlap regarding infrastructure projects; the ACCF project works in Togo Benin Zambia and Zimbabwe
Scaling up climate-smart agriculture In East Guinea Bissau. Budget: USD 9,979,000. <a href="#">10/2020-ongoing</a> AF / BOAD	No lessons learnt yet	<u>Complementary</u> Both projects work on increasing resilience to climate change Lessons learnt and knowledge sharing from interventions on extremely vulnerable groups (women, elderly and children) <u>Non-Duplication</u> Non geographical overlap The Guinea project mainly focus on agriculture and farming sector
Reducing vulnerability and increasing resilience of coastal communities in the Saloum Islands (Dionewar), Senegal <a href="#">10/2018- ongoing</a> AF	No lessons learnt yet	<u>Complementary</u> Both projects work on coastal erosion management and flooding Knowledge sharing from interventions that aim at tackling same challenges <u>Non-Duplication</u> Non geographical overlap

<sup>85</sup> <http://www.worldbank.org/en/programs/west-africa-coastal-areas-management-program>

<sup>86</sup> <https://mamiwataproject.org/>

Reducing Vulnerability to Climate change in North West Rwanda through Community Based Adaptation <a href="#">02/2014-02/2010_Budget 9,366,982</a> AF / Ministry of Natural Resources (MINIRENA)	The project relocated 200 households from high risk zones after being affected by flooding and landslides. Create off-farm jobs and generate income	<u>Complementary</u> The project can incorporate lessons learnt from this project regarding erosion and flood control measures <u>Non-Duplication</u> Non geographical overlap
Enhancing resilience of communities to climate change through catchment-based integrated management of water and related resources in Uganda AF	No lessons learnt yet	<u>Complementary</u> Knowledge sharing regarding water management and flood control <u>Non-Duplication</u> Non geographical overlap
Least Developed Countries Fund project. Liberia. UNDP GEF funding	Strengthening Liberia's capacity to provide climate information and services to enhance climate resilient development and adaptation to climate change. The private sector can be involved but other outputs of the project should not depend on it.	<u>Complementary</u> The project will make use of the improved climate database and archives developed by the LDCF project. The project will complement the LDCF capacity building on climate change mainstreaming in other countries in the region. <u>Non-Duplication</u> Non geographical overlap: The LDCF project will be implemented in 10 countries: Benin, Burkina Faso, Ethiopia, Liberia, Malawi, Sao Tomé and Príncipe, Sierra Leone, Tanzania, Uganda and Zambia. The project will not focus on generating databases nor implementing early warning systems.
Adaptation to Coastal Erosion in Vulnerable areas in Senegal AF <a href="#">Implementing entity: Centre for Ecological Monitoring (CSE). Budget: US\$ 8,619,000. 01/2011-01/2015</a>	Reduce exposure of vulnerable communities to coastal erosion through physical interventions, policies and regulations. <a href="#">Physical participatory interventions and trainings were implemented. Challenges with implementation (due to the lack of baseline data, nature of activities undertaken, etc.), with management and monitoring (lack of capacity, quantitative indicator, etc.).</a>	<u>Complementary</u> The project will apply the lessons learnt from this <a href="#">Senegal</a> project regarding involvement of local communities and technical knowledge from interventions that aim at tackling same challenges. <u>Non-duplication</u> No geographical overlap
Projet Régional d'Investissement pour la Résilience des Zones Côtières d'Afrique de l'Ouest 2017 ResiP-WACA, BM et Partenaires	Project still on-going	<u>Complementary</u> The project also has the objective of improving risk management by mainstreaming climate change. <u>Non-duplication</u> No geographical overlap on interventions investment. The project focusses on the city of Grand-Lahou and certain surrounding villages, in particular from Lahou-Kpanda; Ekpassa; Likpiassie; Groguida; Noumouzou; Old Braffedon; Braffedon new and N'zida Zoukouboli

Relevant projects/programme, executing entity and budget	Lessons learned (relevant for proposed interventions)	Complimentary potential And non-duplication
<b>Ghana</b>		
Ghana-Netherlands Universities Volta Delta Design Project  Delta Alliance Ghana Wing	Focus on sustainable management of the Volta Delta including coastal engineering, policy, institutions and livelihoods.	<u>Complementary</u> Delta Alliance will cooperate also on the urban lab Ongoing collaboration: Ghana Delta Wing / The Development Institute / students conducted the community assessments The project will maximize the use of findings from Delta Alliance Both projects will complement on transboundary strategies <u>Non-Duplication</u> The Volta Delta Design Project work with upstream communities of rivers Tordzie and Kplikpa (Blikpa); which are not included in our target areas
Global Alliance for Green and Gender Advocacy This project is in its second phase of building capacity for gender and environmental justice community organizations to better engage duty bearers on sustainable management of the Keta Lagoon Complex Ramsar site Both ENDS/MoF Netherlands and the Development Institute	Find ways to Empower community gender and environmental justices' groups	<u>Complementary</u> The project works with the Development Institute to make use of their gender approach <u>Non-Duplication</u> Both projects have different core objectives, GAGGA is focused on women empowerment at decision-making level. UN-Habitat project will make use of this gender advocacy as an input on the resilience strategies
Economic Empower of Women and Youth	Skills training in soap making and reed weaving into bags etc. and setting up of Village Saving and Loans Association have shown to be successful	<u>Complementary</u> The project works with the Development Institute to empower women and youth and to promote gender equality <u>Non-Duplication</u> The Development Institute project focuses mainly on women empowerment training and skills training, no spatial planning are included.



Both ENDS/Global Green Grants/ Women 2030 and The Development Institute		
Enhancing community food security through management of saline soils Salt Farm Texel, Netherlands/ Crop Science Dept. Univ. of Ghana and The Development Institute	Initial feasibility done for a potential area to manage soil salinity and introduce salt resistant vegetable/crops but no funding secured yet.	<u>Complementary</u> The project will use findings and work together with the Development Institute to enhance the management of saline soils and water <u>Non-Duplication</u> Both projects have different thematic area of focus
Community conservation & pro-poor tourism Project Wildlife conservation in Ada and Anloga/ Keta Calgry Zoo/ DI and The Development Institute	Eggs of turtles also affected by erosion; therefore, they try to monitor erosion in Ada and Anloga/ Keta Protection of Turtles and whales, Manette, Sitatunga) through Marine protection area (MPA) concept and livelihood/ tourism	<u>Complementary</u> The project will identify hotspot areas along with the Development Institute and Wildlife conservation and align efforts UN-Habitat will work together with the development institute and Wildlife conservation to monitor coastal erosion and enhance livelihood options
Livelihoods and community management systems  The Development Institute / IUCN-NL/Both Ends	TEEB studies Coastal communities ready to engage in building resilience for themselves through setting of community conservation areas and planting of mangroves	<u>Complementary</u> The project will work with the Development Institute to ensure areas for safe haven in times of disaster are zoned out <u>Non-Duplication</u> Both projects have different focus; conservation and designation of safe havens.
Sustainable Delta Management  The Development Institute and Delta Alliance	Assessment of the Volta delta (Current doc) The need for Adaptive Delta Management and a governance and management system for the Volta Delta	<u>Complementary</u> The project would be working with the Development Institute to implement adaptive management through land use Spatial planning <u>Non-Duplication</u> Both projects have different focus; land use and spatial planning and delta management.
Sustainable Land and Water Management Project in Ghana <sup>87</sup> - WB  <del>07/2014-ongoing</del>	Still on-going	<u>Complementary</u> Lessons learned from improved sustainable land and water management practices will be incorporated into the approach of the project <u>Non-Duplication</u> The project will focus on spatial planning at large scale which is not included in the WB project The WB project has a different target area: Northern Savannah region
Integrating Flood and Drought Management and Early Warning for Climate Change Adaptation in the Volta Basin <sup>88</sup> - WMO <del>06/2019-ongoingNot yet started</del>	<del>At pre-concept note phase so no lessons learned</del> Still ongoing	<u>Complementary</u> Knowledge sharing on long-term Environmental development. <u>The project will benefit from data, communication and system in the Volta Basin, complementing and strengthening the system with further data, information and localized communication mechanism in targeted vulnerable communities</u> <u>Non-Duplication</u> <u>The project will not focus on implementing early warning systems</u> The WMO project does not <u>cover the coastal area of the proposed project address coastal resilience</u>
Increased Resilience to Climate Change in Northern Ghana through the Management of Water Resources and Diversification of Livelihoods <sup>89</sup> - UNDP / AF  2016 - 2020	At start-up phase. Project will monitor lessons learned regarding livelihoods	<u>Complementary</u> Knowledge sharing regarding water management in Ghana Both projects will support different regions in Ghana on building climate change resilience <u>Non-Duplication</u> The project will focus on Southern areas not included in the UNDP/AF proposal The project will address resilience through a different sector: urban and territorial planning as a tool for climate change adaptation
UN-Habitat National Priority Planned City Extension in the Greater Accra Region	Strategic Development Framework for the physical plan for the extension of the urbanized area inside Ningo-Prampram District	<u>Complementary</u> The project will support inputting coastal erosion and climate change impacts in plan for the coastal area of the Ningo-Prampram District Coordination to align resilient development strategies <u>Non-Duplication</u> The city extension project only focuses on Ningo-Prampram District
Accra on the Greater Accra Resilient and Integrated Development (GARID) project - WB	Focus on Odaw basin in Accra Metropolitan area where 200 people died due to floods	<u>Complementary</u> The project will use assessed hotspot mapping and hydrologically modelled of all basins in GA-region and flood hazard and risk maps for the spatial plans.

<sup>87</sup> <http://projects.worldbank.org/P132100?lang=en>

<sup>88</sup> <https://www.adaptation-fund.org/wp-content/uploads/2017/08/Pre-concept-AF-Volta-Basin-v5-18092017.pdf>

<sup>89</sup> [https://www.adaptation-fund.org/wp-content/uploads/2015/09/RESUBMISSION\\_Ghana-AF\\_proposal\\_-29-January-2015.pdf](https://www.adaptation-fund.org/wp-content/uploads/2015/09/RESUBMISSION_Ghana-AF_proposal_-29-January-2015.pdf)

Field Code Changed



<a href="#">15/2019-ongoing</a>	Most of the floods are caused by a combination of high tide and increased discharge. Erosion of lagoons and settlements does not only occur from the sea side but also from the river side	<u>Non-Duplication</u> The project will not include Odaw basin as a target area
Ghana Government Livelihood Empowerment Against Poverty (LEAP) Programme	Cash-outs can help the most vulnerable, but drug use is difficult to change	<u>Complementary</u> Map all areas where the government (plans) to intervene and cooperate Consider cash for work approach for certain interventions Lessons learned from enhanced livelihood options of vulnerable groups will be integrated <u>Non-Duplication</u> The project will address poverty through a different mechanism, urban and territorial planning
Sustainable fisheries project  USAID and Hen Mpoano	Effective stakeholder engagements through one-on-one discussions and focus group discussions promotes high participation. Effective stakeholder engagements through communication (peer to peer discussion, study tour, focus group discussions) enhance behavioural change communication. Ownership is key to project success.	<u>Complementary</u> The project will incorporate the lessons learned from the Sustainable fisheries project regarding stakeholder engagements and participation Fishermen are part of the targeted groups <u>Non-Duplication</u> USAID Project focuses on fisheries management through policy and institutional strengthening, which the project does not focus on
Sustainable Fisheries Management project  EU and FoN / Care Int.	Recently launched so no lessons learned	<u>Complementary</u> Fishermen are part of the targeted groups <u>Non-Duplication</u> Focuses on ensuring sustainability of marine fisheries resources, which UN-Habitat does not focus on.
MWH Ada coastal protection works 1st and 2nd phase <sup>90</sup> - Ghana government / Deme Concluded in 2015 US\$ 183 m 15 Groynes over 14.7 km stretch	It is working at the beginning and the end of the stretch It is very expensive; thus, the UN-Habitat project should propose affordable interventions with results that come close	<u>Complementary</u> Lessons learned from these interventions should be integrated in the project approach <u>Non-Duplication</u> UN-Habitat could focus on livelihood enhancement /protection affords at the lagoon site
MWH Keta coastal protection works Concluded 2002 / 2003 US\$ 52 million 6 Groynes over 6,5 km stretch	It is working at the beginning and the end of the stretch It is very expensive; thus, the UN-Habitat project should propose affordable interventions with results that come close	<u>Complementary</u> Lessons learned from these interventions should be integrated in the project approach <u>Non-Duplication</u> UN-Habitat could focus on livelihood enhancement /protection affords at the lagoon site
Integrated climate risk management for adaptation to climate change 2015-2018 GIZ	Ensure vulnerable population groups, private businesses and governments against financial risks from extreme weather events.	<u>Complementarity</u> Both projects work on increasing resilience to climate change in Ghana. They complement each other by working on different sectors. <u>Non-duplication</u> The GIZ project works on risk management through insurance solution and other financial mechanisms.
Ghana Community Resilience Through Early Warning Systems 2013-2018 UNDP	Build capacities within the country to reduce disaster risk.	<u>Complementarities</u> Both projects work on building resilience in the country and the project can get input from their hazard mapping and vulnerability assessments <u>Non-duplication</u> The UNDP project focuses on providing resilience through early warning systems for natural disasters.
Adaptation of agro-ecosystems to climate change 2012-2017  GIZ	Define agricultural sector policy and national support measures for the adaptation of land use systems to climate change.	<u>Complementarities</u> Both projects work on ensuring food security under climate change in different areas of the country. Both projects work on capacity building to climate change adaptation. <u>Non-duplication</u> No geographical overlap. GIZ project works on savannah and transitional region. The GIZ project is focused on farming.
<a href="#">Landscape Restoration and Ecosystem Management for Sustainable Food Systems Project in West Africa – WB/ not started.</a>	<a href="#">Not started</a>	<u>Complementarities</u> <a href="#">Both projects work with integrated resources management and agriculture practices.</a> <u>Non-Duplication</u>

<sup>90</sup> <https://www.deme-group.com/references/ada-coastal-protection-works>  
<http://www.franki.co.za/ada-coastal-protection-works-phase-2/>

Implementing entity: Environmental Protection Agency, Ministry of Lands and Natural Resources.		Geographical area of the and concentration food system differ from the proposed project.
Relevant projects/programme, executing entity and budget	Lessons learned (relevant for proposed interventions)	Complimentary potential And non-duplication
<b>Côte d'Ivoire</b>		
Comoé River mouth (part of Cocody Bay rehabilitation project) - Grand-Bassam opening of river mouth project – Côte d'Ivoire government and Morocco No funding yet	Not started yet but Deltares study is useful to understand dynamics	<u>Complementary</u> <del>Sand could be used to create a sand motor</del> Opportunities to integrate Deltares studies into the approach of the project <u>Non-Duplication</u> The project will not focus on Grand-Bassam river mouth
Climate finance readiness in Côte d'Ivoire Ministry of Environmental and Sustainable Development 2016 African climate Change Fund (ACCF)	Advanced climate finance readiness at national level.	<u>Complementary</u> Both project could collaborate on capacity building on climate change at national level Mobilization of resources to fight against climate change (objective of ACCF project) could support replicability of successful intervention of UN-Habitat project <u>Non-Duplication</u> ACCF project only focuses on climate finance
Emergency Infrastructure Renewal Project World Bank 2012-2020	The incorporation of local labour and women integration has proven to provide a positive social impact for people in the project area. The project aimed at supporting economic and social development of the municipality.	<u>Complementary</u> The project will incorporate and complement interventions from World Bank on basic infrastructure improvement: urban transport, water supply, sanitation and waste management. <u>Non-Duplication</u> No geographical overlap
Cocody Bay rehabilitation Marchica Med Company. OPEC Fund. 2014- ongoing	Ecological review of the lagoon Ébrié and the Bay of Cocody. Cocody Bay Master Plan	<u>Complementary</u> The project will integrate strategies and plans from the Cocody Bay master plan <u>Non-Duplication</u> The project doesn't target Cocody bay
Abidjan integrated sustainable urban planning and management <sup>91</sup> - GEF Ministry of Environment and Sustainable Development, Autonomous District of Abidjan. 2015 -- ongoing. Budget: \$38,355,954	<del>Recently started</del> Not lessons learnt reported yet.	<u>Complementary</u> Coordinate on working on establishing an urban observatory with an urban planning data base. Coordinate on working on a city-wide drainage and climate change adaptation strategy for the Greater Abidjan area <u>Non-Duplication</u> The project will focus on improving urban planning and management in other departments
Strengthened Environmental management System for Coastal Development to meet Rio Convention Objective - GEF Ministry of Environment MINESUDD. 11/2013 – 03/2018 ongoing Budget: \$1,250,000 GEF	Environmental Management Information System (EMIS) for decision making on coastal zone development. Piloting the use of improved environmental information systems for better decision making related to coastal zone management	<u>Complementary</u> The project will incorporate the GEF project lessons learned and database for the analysis and decision making on coastal resilience <u>Non-Duplication</u> The GEF project only focuses at policy and governance level
Protection of mangroves through the creation of firewood plantation <sup>92</sup> UNDP. 2008-2009	Deforestation linked to firewood supply for urban areas is becoming an increasingly significant problem in Côte d'Ivoire. Successful experience in creating a firewood park demonstrates that this model can be a solution for sustainable firewood management in urban areas, while also generating income for poverty alleviation. In coastal zones, these firewood parks can also contribute to preserve the mangrove ecosystem and increase the awareness of the communities involved.	<u>Complementary</u> The project will contribute to the protection and restoration of mangroves ecosystems. Gender mainstreaming as part of the GEF project will enhance effectiveness of gender inclusive activities as part of this project <u>Non-Duplication</u> In Anan village (Bingerville). No geographical overlap. To address environmental protection, this project will focus on spatial planning

<sup>91</sup> <https://www.thegef.org/project/cities-iap-abidjan-integrated-sustainable-urban-planning-and-management>

<sup>92</sup> [https://sgp.undp.org/index.php?option=com\\_docman&view=download&alias=47-mangrove-project&category\\_slug=fact-sheets&Itemid=257](https://sgp.undp.org/index.php?option=com_docman&view=download&alias=47-mangrove-project&category_slug=fact-sheets&Itemid=257)

Adaptating to climate change and increasing the resilience of the population in south-west Côte d'Ivoire 2012-2016 GIZ	Increase resilience to climate-related risks and stabilise livelihoods.	<u>Complementarity</u> The project also aims at protecting and adapting income sources. The project will learn from their practice especially on agriculture cultivation. <u>Non-duplication</u> No geographical overlap. GIZ projects works in the south-west of the country. The GIZ project focuses on food security and food supply. The GIZ project does not focus on coastal erosion impacts.
System da Alerte Precoce (SAP) <del>Not started</del> /Conceptualisation stage	This project works at the national scale and is at the concept note stage. PNUD, SODEXAM, the government a Green Climate Fund are engaged.	<u>Complementarity</u> The Project will collect in meteorological information and share with the responsible sectors (energy, agriculture, health ministries). The SAP works at the strategic and coordination level. The (national scale) information from SAP can be combined with the information from the subproject of EWS to strengthen the resilience and generate knowledge.
Projet d'assainissement et Resilience Urbaine (PARU) de Abidjan_ <del>funded by the</del> World Bank <del>Not started</del> /Conceptualisation stage	The project is at the concept note stage and focuses on the inundations in Abidjan.	<u>Non-duplication</u> The PARU project works only in Abidjan.

## PART II.H LEARNING AND KNOWLEDGE MANAGEMENT

The whole project is embedded with knowledge building and knowledge management aspect. Knowledge and learnings are generated at the different levels and components of the project, structured by Execution Entities, systematized by Project Supervision and Coordination Units and disseminated by Execution Entities and UN-Habitat. The project includes mechanisms to capture, analyse, transfer and share lessons in between components, project stakeholders and scales.

For the sake of continuous learning from the proposed sub-projects and initiatives at the local, national and regional levels, as well as fostering knowledge transfer and sharing, the learning and knowledge management strategy of the proposed project is inspired by UN-Habitat's Results Based Management Framework which focuses on achieving results, improving performance, integrating lessons learned into management decisions and monitoring and reporting on performance.

The Regional Project Supervision Unit (RPSU) includes a M&E and Communications Officer who will coordinate the overall knowledge management and project communication. The Officer will work in close collaboration with the UNEP Abidjan Convention, which as Execution Entity of outputs 1.1.1, 1.1.2, 1.2.1 and 1.3.1 is tasked with generating, extracting, systematizing and transferring lessons learnt and generate capacity to adapt to climate change. To start with, aThe M&E and Communications Officer will also produce, facilitate and document i) M&E plans, ii) the project inception report, iii) 6-month, annual and terminal project performance reports; iv) the mid-term review; v) technical reports of subprojects; and vi) the independent terminal evaluation.

Component 1.1.1 includes the development of a digital platform linked to the UNEP Abidjan Convention- in which all mentioned knowledge products above as well as the outputs of table 18 will be documented and accessible, following validation of Steering Committees.

Dissemination activities are included at all levels of the project with regional stakeholders, national governments, local governments, technical partners and communities, in components 1, 2 and 3.

All three components comprise capacity-building activities. Component 1 presents capacity building activities at regional and national level -in collaboration with the Abidjan Convention-, to mainstream general climate change adaptation concepts and strategies, boost the coordination of regional knowledge, and capitalize on best practices and lessons learned (cross-fertilization activities). Component 2 presents trainings and support to national ministries in terms of planning practices and existing tools. Component 3, together with the support to community plans and to the implementation of the subprojects, comprises one outcome fully dedicated to local-level trainings, to ensure the sustainability of the subprojects (their maintenance and management), as well as to diffuse cross-cutting knowledge related to solid waste management, soil management, etc.

On top of the outputs drafted with the clear intention of building and transferring knowledge, learning will occur throughout the process. Under component 1, for example, the activities to boost coordination, as well as the Regional Assessment, will create new material and knowledge through analysis and interactions between government stakeholders, the academia, national environmental experts and communities. In addition, the real implementation of both spatial development frameworks (component 2) and of the subprojects (component 3) will trigger a process of learning-by-doing, in collaboration with experts in the field, local governments and communities, supporting the learning and learning-by-doing processes.

In particular, at the community level, a participatory approach (involving communities and local authorities in planning and implementation activities) will lead to increased local knowledge on climate change adaptation, especially related to local coastal protection and income generating climate resilient activities. Project demonstration sites will contribute, from the start and in an on-going way, to sharing lessons and training. Community level trainings will be held on identified needs and to operate and maintain interventions. Another component of these trainings will be increasing knowledge on gender-responsive adaptation which will support women inclusion and integration as key actors in ensuring climate resilience. In order to achieve this, a women quota for participation will be applied for each training, at the same time outcomes from community consultations regarding women challenges, vulnerabilities and opportunities will be incorporated in the training agenda. It will be key to identify outputs and activities where women can have a leading role. The project will also use a participatory monitoring process, which will enable the beneficiary communities to work directly with the project's M & E and Public Information officer, to highlight issues in delivery and to strengthen adaptation benefits, including in replication and sustaining the project's gains.

Through existing platforms, including at the Abidjan Convention, it is expected that the project and its inputs to regional and national frameworks will be actively shared with other governments, as well as the lessons learnt. The online materials will be mainstreamed and collected also through the webpage under the Abidjan Convention website (output 1.1.1).

Table 18. Outputs, learning objectives and indicators and knowledge products

Expected concrete output/intervention	Learning objectives (lo) & indicators (i)	Knowledge products
1.1.1. Set up a work plan with the Abidjan Convention for the initiatives and events to mainstream (live and digitally) lessons learned and to boost capacity to adapt to climate change.	(lo) materials mainstreamed and shared about lessons learned, best practices, and climate change adaptation material focusing on Coastal West Africa (i) Number of materials uploaded and downloaded	<b>One knowledge platform to share learning materials, lessons learned, best practices and design</b> , operationalization, execution and evaluation of plans and projects
1.1.2. Round-tables for regional bodies and national governments (through the Abidjan Convention platform) to agree on spatial strategies for a coordinated implementation of climate change adaptation programs/projects (e.g. WACA)	(lo)coastal dynamics and impacts of interventions comprehensively by linking data sources, knowledge and capacities from experts, decision makers, companies and communities (i): number of knowledge products, plans and models developed to fill existing gaps and trainings conducted	<b>Reports, plans and models developed to fill existing gaps and trainings modules</b> developed and replication guidelines West Africa knowledge management and sharing mechanism at Abidjan Convention <b>Report on improved inter-governmental climate change adaptation practices for improved coordination</b>
1.1.3. Regional Assessment identifying key common challenges, climate-change hotspots and priority areas (including trans-boundary issues) and recommendations for climate change adaptation at different scales	(lo): Understand major drivers of climate change in coastal west Africa, identify hotspots of risk (i)Number of GIS maps produced	<b>One Regional Assessment identifying key common challenges, climate change hotspots and priority areas</b> with spatial planning focus and integrating spatial assessments developed by other funding sources and covering current gaps
1.2.1. Trainings for regional bodies and national governments (through the Abidjan Convention platform) for increasing capacity of the use of data and tools to foresee and manage climate change-related risks and impacts	(lo)coastal dynamics and impacts of interventions comprehensively by linking data sources, knowledge and capacities from experts, decision makers, companies and communities (i): number of knowledge products, plans and models developed to fill existing gaps and trainings conducted	<b>Reports, plans and models developed to fill existing gaps and trainings modules</b> developed and replication guidelines West Africa knowledge management and sharing mechanism at Abidjan Convention
1.3.1. Cross-fertilization events to mainstream at local level experiences from community plans and implementation of sub-projects all over the region	(lo) local strategies to cope with climate change and adapt at local level (i)Number of materials uploaded and downloaded, and number of live e-nets organized	Reports and experiences (events) shared and that put in <b>contact communities facing similar challenges</b>
2.1.1. Two Sub-national-level Spatial Development Framework/District level Spatial Development Frameworks: 1 targeting the Volta Delta coastal area (Ghana) and one targeting the Grand Ponts region (Côte d'Ivoire).	lo): strengthen capacity of district and national government staff to develop strategic management and spatial / land use planning instruments (i): number of government staff trained, number of trainings, initial, interim and final survey to government staff and involved stakeholders; and number of plans, disaggregated by gender and age	<b>2 Spatial Development Frameworks with adapted SDF methodology with climate change adaptation focus</b> to the West African (Ghanian and Ivorian context) and including collected data, matrix of functions and spatial framework
2.1.2 Local-level Spatial Development Frameworks (Local development plans): two in Ghana targeting Ada East and Anloga/ Keta districts, and one in Côte d'Ivoire targeting the department of Jacqueville, with climate change-related coastal risks identified and measures to increase coastal resilience proposed	lo): strengthen capacity of district and national government staff to develop strategic management and spatial / land use planning instruments (i): number of government staff trained trainings and number of plans disaggregated by gender and age	<b>3 Spatial Development Frameworks at the local level with climate change adaptation focus</b> , including evaluation, plan, operationalization and implementation phases, with collected data and risk maps and adapted Our City Plans methodology to the West African (Ghanian and Ivorian) context
2.1.1. On-the-job trainings and workshops to strengthen capacity of the Ministries of the Environment and Sustainable Development and of —Planning and Development and municipalities (Ghana), and of —of Land Use Spatial Planning Authority (LUSPA) and Municipal District Assemblies (MMDAs) (Cdi) to develop, implement, and update spatial development frameworks,— to develop, use and update spatial development frameworks	(lo) mainstreaming climate change adaptation strategies that can be addressed through planning, main frameworks and tools for integrating climate change adaptation into planning, and impacts of interventions comprehensively by linking data sources, knowledge and capacities from experts, decision makers, companies and communities (i) n of people trained disaggregated by gender and age	<b>Training materials for spatial planning and the mainstreaming of climate change adaptation</b> in territorial and urban planning
<b>Output—3.1.1. Community-level plans (41—in Ghana and 10 Côte d'Ivoire21) developed with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures deriving from the subprojects.</b>	(lo): increase awareness, ownership of proposed interventions and improve the capacity to operation and maintain these (i): number of community members trained (disaggregated by gender and age) and number of plans	21 community plans <b>with climate adaptation focus</b> Documentation of action planning processes, training modules, prioritized interventions and sub-projects executed <b>1 Best practices report for community climate adaptation planning</b>
<b>3.2.1. Establishment of Early Warning System (EWS)</b>	(lo): understand which interventions are most effective and low cost with replication and scale-up potential in other areas and countries (i): number of people trained on EWS, disaggregated by gender and age	<b>1 Manual for the design and execution of EWS community projects in the West African context</b>
<b>3.2.2. Integrated NBS for urban flood adaptation</b>	(lo): understand which interventions are most effective and low cost with replication and scale-up potential in other areas and countries (i): number of interventions focused flood mitigation from heavy rains and poor drainage	<b>1 Manual for the design and execution of NBS projects in the West African context</b>

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3.2.3. Mangrove restoration	(lo): understand which interventions are most effective and low cost with replication and scale-up potential in other areas and countries (i): number of interventions focused on mangrove restoration	1 Manual for the design and execution of mangrove restoration projects and adaptation finance in the West African context
3.2.4. Climate resilient agriculture	(lo): understand which interventions are most effective and low cost with replication and scale-up potential in other areas and countries (i): number of interventions focused on climate resilient agriculture	1 Manual for the design and execution of NBS projects in the West African context including guidelines for the establishment of training centres
3.3.1. Trainings for Municipal staff and communities targeting sub-projects implementation and/or maintenance	(lo) basic knowledge about planning, urban flood resilience facilities and strategies, climate resilient agriculture, sustainable water management, and soil (i) Numbers of people being trained disaggregated by gender and age	Training materials for municipal staff and communities in thematic areas of the sub-projects

## PART II.I CONSULTATIVE PROCESS

For the project preparation, from 2016 to 2021 UN-Habitat has implemented several meetings and workshops in both countries to:

- Align with National and sub-national priorities (see prioritized targeted areas and activities in Annex 3: Subprojects).
- Avoid duplication with other projects from government, UN agencies, NGOs, etc. and use lessons learned (shoed in Part II.G Duplication with other Funding Sources).
- To comply with standards, rules and regimentations (showed in Part II. F Compliance with Relevant National Technical Standards).
- Identify specific needs and possible concerns of marginalised and vulnerable groups (see outcomes in Annex 4 Consultation and participants list, activities and further details in Consultation Details).
- Identify potential environmental and social risks and impacts (see alignment with national requirements for conducting EIA consultations in Annex 5 Gender Policy and Cross-Cutting Issues).

Table 19. List of stakeholders consulted. For more details, including outcomes, see Annex 3.

Stakeholder	Ghana	Cote d'Ivoire	Principle choice for consultation					Method
			To align with government priorities	To avoid duplication with other projects	To comply with standards, rules and regulations	To identify specific needs, possible concerns vulnerable group	To identify potential environmental and social risks and impacts.	
Ministry of Environment, Science, Technology and Innovation (also on gender), Wildlife Division from the Forestry Commission indirectly involved in the project implementation	x		x	x	x			Private meetings + workshops
Environmental Protection Agency (EPA)	x				x		x	Private meetings + workshops
Ministry of Local Government and Rural Development.	x		x	x				Private meeting
Municipal District Assemblies in Tema, Ningo Prampram, Ada West, Ada East, and Anloga/ Keta	x		x	x		x		Private meetings + workshops
Land Use Spatial Planning Authority	x		x		x			Private meetings + workshops
Ministry of Food and Agriculture	x		x	x	x			Private meetings + workshops
SODEXAM		x		x			x	Private meeting
Fisheries Commission	x		x	x	x			Private meeting
Traditional councils	x	x				x	x	Private meetings + workshops
UNDP	x			x		x	x	Private meetings + workshops
UNCDF	x			x		x		Private meetings + workshops
UNICEF (gender)	x	x		x			x	Private meeting
UN Women (gender)	x	x		x			x	Private meeting
UNEP/Abidjan Convention		x		x		x		Private meeting
FAO		x		x				Private meeting
Development Institute/ Ghana Delta Alliance Wing	x			x		x		Private meetings + workshops
Hem Poano NGO	x			x		x		Private meeting
Mangrove Grower's Association	x					x	x	Workshops
Farmers Association	x					x	x	Workshops
USAID/ CRC/URI	x			x		x		Private meeting
PACT	x			x		x		Private meeting
Tetra Tech	x			x		x		Private meeting
Spatial Solutions	x			x		x		Private meeting
Dutch Embassy	x			x				Private meeting
University of Ghana	x				x			Private meeting
Targeted communities	x	x		x		x	x	Public meetings + workshops
Ministry of Environment and Sustainable Development (MINEDD) (also on gender)		x	x	x	x			Private meetings + workshops
Agence National de l'Environnement (ANDE)		x		x			x	Private meeting
Ministry of Interior (DGDDL)		x	x		x			Private workshops

Ministry of Construction, Housing and Urban Planning (MCLU)		x	x	x	x		Private meetings + workshops
Municipalities of Cocody, Jacqueville, Grand Bassam and Port Bouet (Technical services)		x	x	x		x	Private meetings + workshops
École d'architecture D'Abidjan		x			x		Private meetings + workshops
Université Felix Houphouët Boigny, Abidjan / CURAT (remote sensing and GIS)		x			x		Private meeting
African Development Bank (AfDB)		x		x		x	Private meeting
World Bank		x		x		x	Private meeting

The conceptualisation of this project builds on existing collaborations with the Government of Ghana as well as requests for support from both countries. **In 2016**, the first consultations with relevant stakeholders (Ministries, municipalities, international organisations, AF focal points, etc) were held to define the scope of the pre-concept note, ensuring alignment with national priorities (i.e. national strategies and plans).

For the concept note stage of this project, in **November and December 2017**, field consultations and private meetings took place both in Cote d' Ivoire and Ghana. Private meetings with representatives from ministries, district governments, NGO's, Universities, and other relevant stakeholders were conducted to identify main climate change challenges and needs; proposal priorities and target areas; and existing projects in target areas to avoid duplication. During the field consultations, a combination of structured questionnaires and focus group discussions (with especial attention to women, youth and other vulnerable groups) were applied to further collect specific information such as:

- Target population, poverty rates, means of livelihoods, gender-disaggregation (women and youth), vulnerable groups (elderly and disabled) and their specific challenges and needs. Results are under Part III.C Measures for Environmental and Social Risk Management, as well as under the Feasibility sheets from the ESIA.
- Climate change related hazards, risks, impacts and vulnerabilities. Results are in Annex 2 Vulnerability Analysis.
- Barriers to adapt to the identified impacts.
- Community assets.

The field consultations took place in cooperation with the Development Institute/ Ghana Delta alliance Wing in Ghana, and with the École d'Architecture in Côte d'Ivoire.

For the proposal stage, **during 2018**, private meetings were held with leading ministries and districts in both countries to discuss the project approach as well as the implementation and coordination mechanisms. At community level, target group discussions were also held to agree on the list of priority interventions. Some of the proposed interventions were excluded due to cost inefficient (high costs), non-feasibility due to environmental risks (e.g. erosion generation in other areas) and non-preference of beneficiary groups. In some discussions, new interventions were suggested by the communities (e.g. mangrove restoration). During this effort special attention was put to ensure these activities will equally benefit and empower women and youth.

**During 2019**, to detail the interventions, their operability, management and sustainability, further private meetings and discussions were conducted with communities, ministries, other UN agencies and other stakeholders. In addition, to validate all project components for the proposal submission, workshops with all national, regional and local stakeholders were held for two days. These consultations included key community representatives: chiefs, women and youth organizations, elderly, fishermen, farmers etc.

**In 2020**, for the full proposal development phase, accredited consultants conducted the feasibility assessments, and environmental and social risks screening and impact assessment in both countries. These assessments followed national and AF requirements (e.g. consultations with all beneficiary groups to identify potential risks and impacts, including specific possible concerns of women and youth).

**In December 2021**, a new mission to Ghana and Côte d'Ivoire took place to validate the physical interventions and its location through field visit to the 21 targeted communities. A new round of private meetings with relevant entities of the government (ministries, planning department, district assemblies, prefectures, municipalities, etc.) were also held to update on the project development, exchange on existing similar projects and gather.



For all the consultations with the communities, special attention was given to the inclusion of vulnerable groups to understand specific needs in the resilience building process, as part of the gender responsive strategy of the project. This was done through identified community-based representatives of women, elderly, youth and children and disabled. For example, in Ghana, there were participants from women and youth groups such as GAGGA Youth, DUNENYO and NUGORLI.



▪ Wokumagbe (Country: Ghana; District: Ada West)  
**Main challenges:** Lack of sanitation and drainage system, sea level rise, heavy rainfall, change in the rainfall patterns, accumulation of sediments in the lagoon and limited sources of livelihood.  
**Community's adaptation suggestions:** none.



▪ Akplabanya (Country: Ghana; District: Ada West)  
**Main challenges:** Lack of sanitation and drainage system, polluted lagoon, accumulation of sediments in the lagoon, limited sources of livelihood and floods.  
**Community's adaptation suggestions:** Dredging of lagoon, sandbags on the ocean line and drainage system.



▪ Goi (Country: Ghana; District: Ada West)  
**Main challenges:** Lack of sanitation and drainage system, accumulation of sediments in the lagoon, floods, and drought.  
**Community's adaptation suggestions:** dredging of lagoon, sandbags on the ocean line and drainage system.



▪ Kewunor-Azizanya (Country: Ghana; District: Ada East)  
**Main challenges:** floods, accumulation of sediments in the lagoon, change of environmental characteristics with the new water points.  
**Community's adaptation suggestions:** dredging of lagoon.



▪ Agorkedzi/Atiteti (Country: Ghana; District: Anloga-Keta)  
**Main challenges:** Lack of sanitation and drainage system, polluted lagoon, accumulation of sediments in the lagoon, floods, heavy rainfall events, change in the rainfall patterns and sea level rise.  
**Community's adaptation suggestions:** dredging of lagoon, sandbags on the ocean line, drainage system and mangrove.



▪ Agbledomi (Country: Ghana; District: Anloga-Keta)  
**Main challenges:** Lack of drainage system, accumulation of sediments in the lagoon, drought, floods, change in the rainfall patterns, sea level rise and soil salinization.  
**Community's adaptation suggestions:** sandbags on the ocean line and mangrove.



▪ Dzita (Country: Ghana; District: Anloga-Keta)  
**Main challenges:** Lack of drainage system, accumulation of sediments in the lagoon, drought, salinity, floods, risen temperature, change in the rainfall patterns, sea/lagoon level rise and soil salinization.  
**Community's adaptation suggestions:** drainage system.



▪ Whuti (Country: Ghana; District: Anloga-Keta)  
**Main challenges:** Accumulation of sediments in the lagoon, drought, salinity, floods and risen temperature, change in the rainfall patterns, sea/lagoon level rise and soil salinization  
**Community's adaptation suggestions:** drainage and sandbags.



▪ Lagbati/Lashibi (Country: Ghana; District: Anloga-Keta)  
**Main challenges:** Accumulation of sediments in the lagoon, drought, soil salinity and compactness, floods of agriculture land, risen temperature, change in the rainfall patterns, sea/lagoon level rise and soil salinization.  
**Community's adaptation suggestions:** dredging of the lagoon and mangrove reforestation.



▪ Woe (Country: Ghana; District: Anloga-Keta)  
**Main challenges:** Accumulation of sediments in the lagoon, drought, soil salinity and compactness, floods of agriculture land, risen temperature, change in the rainfall patterns, sea/lagoon level rise and soil salinization.  
**Community's adaptation suggestions:** dredging of the lagoon and elevated agriculture system and training.



- Tegbi (Country: Ghana; District: Anloga-Keta)  
**Main challenges:** Accumulation of sediments in the lagoon, drought, soil salinity and compactness, floods of agriculture land and risen temperature.  
**Community's adaptation suggestions:** move agriculture land and create irrigation system.

#### Cote D' Ivoire:



- Mondoukou (Country: Côte d'Ivoire; District: Grand-Bassam)  
**Main challenges:** High waves intensity, flooding due to storms and sea/ lagoon level rise, warmer temperature, decrease of fish, clandestine fishing (inadequate quantities).  
**Community's adaptation suggestions:** mangrove plantation, safe haven construction and drainage system.



- Quartier France (Country: Côte d'Ivoire; District: Grand-Bassam)  
**Main challenges:** Sea level rise, accumulation of sediments in the lagoon, soil saturation, agriculture in saturated soil and floods  
**Community's adaptation suggestions:** vegetation barrier in the lagoon



- Azuretti (Country: Côte d'Ivoire; District: Grand-Bassam)  
**Main challenges:** flooding, coastal erosion, pollution, sedimentation of the lagoon, lack of employment and education facilities.  
**Community's adaptation suggestions:** mangrove to regulate and reduce flooding, sandbags to block water, dragging, pen culture.



- Vitre 2 (Country: Côte d'Ivoire; District: Grand-Bassam)  
**Main challenges:** Lack of drainage system, warmer temperature, decrease of fish, sediment accumulation and water level rise.  
**Community's adaptation suggestions:** Mangrove plantation and pen culture.



- Grand-Jack (Country: Côte d'Ivoire; District: Jacqueville)  
**Main challenges:** Lack of drainage system, accumulation of sediments in the sea, floods, change in the rainfall patterns, drought and sea level rise.  
**Community's adaptation suggestions:** Drainage system



- Attoutou B (Country: Côte d'Ivoire; District: Jacqueville)  
**Main challenges:** Accumulation of sediments in the lagoon, drought, floods affect agriculture, change in the rainfall patterns and lagoon level rise.  
**Community's adaptation suggestions:** Mangrove restoration, dragging and pen culture.



- Koko (Country: Côte d'Ivoire; District: Jacqueville)  
**Main challenges:** Flooding, sediment accumulation and water level rise.  
**Community's adaptation suggestions:** EWS to guide agriculture, safe haven construction, community centre.



- Tiemen (Country: Côte d'Ivoire; District: Jacqueville)  
**Main challenges:** ~~Resettlement~~/Land speculation, decrease of fishing, polluted lagoon, accumulation of sediments in the lagoon, risen temperature, change in the rainfall patterns, drought.  
**Community's adaptation suggestions:** Pen culture, mangrove restoration.



- Tefredji (Country: Côte d'Ivoire; District: Jacqueville)  
**Main challenges:** Flooding, lack of sanitation, lack of drainage system, coastal erosion, lagoon level rise, sediment accumulation  
**Community's adaptation suggestions:** Mangrove and drainage channels.



- Taboth (Country: Côte d'Ivoire; District: Jacqueville)  
**Main challenges:** flood, erosion, sediment accumulation, soil saturation and salinization, lagoon level rise, lack of fishes.  
**Community's adaptation suggestions:** mangrove plantation.

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The Complete national feasibility assessment, ESIA-ESMP and consultation reports are available on request

## PART II.J JUSTIFICATION OF FUNDING REQUEST

The proposed project components, outcomes and outputs fully align with national and local government priorities and gaps identified, with identified community and vulnerable groups needs and with the Adaptation Fund outcomes as stated in the Adaptation Fund results framework. This alignment has resulted in the design of a comprehensive approach in which the different components strengthen each other and in which outputs and activities are expected to fill identified gaps of Côte d'Ivoire's, Ghana's and West Africa's current climate change response. The project aims at maximizing the funding amount for the concrete adaptation output (all under outcome 3.2, under component 3) directly benefitting local communities and the two countries. Funding allocation to the other (softer) components is required to support the effective execution and sustainability of outcome 3.2 and to share knowledge and lessons learned. The table below provides a justification for funding requested, focusing on the full cost of adaptation reasoning, by showing the impact of AF funding compared to no funding (baseline) related to expected project outcomes.

Table 20. Overview of impact of AF funding compared to no funding (baseline) related to expected project outcomes

Outcomes	Baseline (without AF)	Additional (with AF)	Comment and alternative adaptation scenarios
1.1. Improved coordination among governments to adapt to climate change and to implement effective coherent measures for climate change adaptation at regional level	Governments in the region do not present aligned climate change priorities and strategies. Vertical coordination between regional institutions and national governments needs to be improved	The expected outcome of the project activities under outputs 1.1, 1.2 and 1.3 can bring relevant regional and national stakeholders at the same table and put basis for discussion, common strategies, common understanding, and common knowledge	Without coordination raising activities, regional investments and strategies with the potential to adapt climate change, may not be fully integrated in national policies and (spatial plans) and the potential may be lost. Or, even worse, such strategies and plans may conflict with national level ones.
1.2. Capacity-building events and knowledge sharing trans-boundary activities among the participating countries are discussed and prepared though the Abidjan Convention for: i) sharing among the different countries experience-based adaptation mechanisms for replication; ii) training of national governments; iii) participation to global events; iv) support national governments in including coordinated regional level adaptation measures	Regional institutions, and national governments in the region (as well as the private sector and other relevant stakeholders) have limited knowledge of drivers of climate change and potential adaptation strategies. Knowledge and data exist, but are fragmented. As well as tools exist, but there is poor capacity to chose the proper ones and use them in the climate change adaptation arena.	The expected outcome of the proposed project activities under outputs 1.2.1. is that target institutional / organizational capacity and tools to identify and manage coastal climate change-related risks / impacts in the Region, and Ghana and Côte d'Ivoire (and West Africa). Knowledge on innovative adaptation practices in West Africa will be strengthened.	Without activities related to this outcome, there is a risk that interventions and planning practices under component 2 will not be replicated and sustained in Ghana and Cdl and in West Africa  Alternatively, knowledge will not be shared between countries which will limit the potential for replication of good practices
1.3. Cross-fertilization activities for mainstreaming lessons learned and best practices captured though the implementation of community plans and sub-projects are mainstreamed among local communities in partnership with the Abidjan Convention as regional knowledge management platform	Good practices exist in the region, however there is lack of mechanisms to transfer local-designed solutions and to capitalize success of local experiences.	The activities related to this outcome will allow communities, district/departments, and national governments to transfer best practices in similar contexts, and to capitalize on their experience.	Without activities related to this outcome, there is a risk that subprojects will not be replicated by communities and that local context will keep importing solutions from other contexts, that do not fit their needs as much as locally designed solution may do.
2.1. Sub-national and district/department level spatial development framework	Present plans at sub-national and district/department level do not include climate-change related aspects and do not support adaptation	The expected outcome of the proposed project activities is that government institutions will be able to understand and identify climate change risks and impacts in coastal areas and manage development taking these risks and impacts in consideration, e.g. by avoiding development in high risks areas and in that way avoiding costs of damages and destruction.	Without relevant climate change risks and impacts information on coastal areas integrated into plans, no strategic decisions about the future of target areas can be made.  Alternatively, the government continues planning development without understanding / consideration of climate change risks and impacts with the risk that development will take place in risk areas.

2.2. National and sub-national officers trained in urban climate adaptation techniques, monitoring approaches, and climate-change-related policy development	Detailed / specific climate change threat and hazard risk and impact information / evidence is not available (and integrated in strategic coastal management and spatial / land use plans for the coastal areas in Côte d'Ivoire and Ghana. At the same time, technical staff is not trained to use existing tools to integrated climate change into the planning practice.	Thanks to the on-the-job trainings, technical staff will be fully aware of the processes going on under outcome 2.1., empowerment and sustainability of the outputs will be ensured. On top of this, after the project, the freshly trained technical staff will be able to transfer the new climate-change related knowledge to other pr	Without relevant climate change risks and impacts knowledge by the technical staff, no strategic decisions about the future of target areas can be made.  Alternatively, the government continues planning development without understanding / consideration of climate change risks and impacts with the risk that development will take place in risk areas.
3.1. Community level plans developed in Ghana and Côte d'Ivoire with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures	Communities are not aware of climate change risks and response options and they don't have the ownership over the processes to develop, operate and maintain (thus plan) possible interventions.	The expected outcome of the proposed project activities under these outputs is that community awareness and capacities to adapt to climate-related coastal hazard and threats will be strengthened through community planning The activities related to this outcome will allow communities to develop, operate and maintain (thus plan) the proposed interventions under outcome 3.2.	The district government and communities lack the capacity to organize communities and plan effectively for adaptation / resilience.  Alternatively, only top-down planning approach could be used but this would not build community awareness and capacities and would risk implementing non-appropriate interventions
3.2. Climate change adaptation subprojects are fully developed with communities and municipalities, including detailed engineering studies, and implemented in the target cities mainly through community involvement as labour-intensive manpower	There is little district – national - international cooperation (and financing) to increase coastal resilience through concrete interventions. Some larger interventions have focused on hard infrastructure that is very costly and, in some cases, had negative impacts in other areas. There is also limited government attentions on specific community-level needs in the target areas and the communities have limited knowledge and capacity to respond to climate change in a concrete way	The expected outcome of the proposed project activities under outputs is that the target coastal areas will be more resilient to recurrent climate change hazards  EWS, integrated NBS for urban flood adaptation, and mangrove restoration will support reducing negative impacts of coastal sea level rise and storms on the coast, serving as a protection buffer to communities and assets while also supporting sustainable livelihood options. Climate resilient agriculture will support communities to diversify and strengthen livelihoods  Building up on traditional livelihoods and communities' skills, the proposed project activities will support sustainable livelihoods that will be resilient to climate change impacts and in that way make communities more resilient, also through improved income options	Alternative adaptation scenarios are resettlement, construction of large, more expensive physical infrastructure and community-level interventions. These community interventions will fit into the wider systems planned under this outcome. Large scale interventions have the risk of not being community driven and appropriate, which would lead to adaptation benefits for fewer people with the same project cost and a greater chance of negative social and environmental impacts.
3.3. Municipal staff and community members mobilised, trained and equipped for ensuring the sustainable management and/or maintenance of the implemented priority sub-projects	Communities are not aware of climate change risks and response options and they don't have the ownership over the processes to develop, operate and maintain (thus plan) possible interventions.	Technical staff and representatives from the communities will be trained on key concepts to implement the subprojects, manage them, and in general to adapt to climate change at local level	Without the training, the subproject would not be sustainable, and they would be abandoned as soon as the project is finalized.

## PART II.K SUSTAINABILITY

The sustainability of the projects is essential to guarantee long-term impacts and benefits in the region, even after the conclusion of all foreseen activities. For this purpose, this project will focus on ensuring cross-sectorial and multi-level institutional engagement, strengthening communities' capacities and ownership throughout the different stages of the project and further the projects' time frame. Sustainability will also focus on facilitating the maintenance and independence of economic opportunities and financial mechanisms, strengthening technical expertise and ensuring overall ecological balance in the environmental systems.

### Sustainability by component

**Component 1.** Improved coordination of local and national governments in the R-region to cope with climate change, to integrate major infrastructural projects in their spatial strategies, and to learn from each other in order to face common transboundary climate change-related hazards.

The Abidjan Convention platform has a strategic role for ensuring that regional activities forming outcome 1.1 follow a sustainable path. In general, the coordination activities, the round-tables, and the cross-fertilization activities (outcome 1.1., 1.2., 1.3) require a follow-up strategy during the final stage of the activities and if possible, the establishment of milestones to achieve in the upcoming years. For these purposes, focal points responsible of coordinating national efforts and the secretariat members will be essential to give continuity to the expected outputs.

Besides this, the Abidjan convention platform will ensure that regional assessments (output 1.1.3) provide sufficient information for further climate change adaptation projects. In a regional scope, to strengthen the continuity of the project, the Abidjan convention has adopted protocols related to sustainable mangrove management (Calabar protocol) and coastal management (Pointe Nore Protocol) that define goals which support institutionally and legally the activities that are planned in the current project.

Component 1 will provide data and institutional capacity strengthening for replicability within the country and the region. Software and guidelines used during trainings for regional bodies and national governments (1.2.1) will be available after the project ends. Capacities among local communities to monitor project activities will be strengthened during the cross-fertilization activities for mainstreaming lessons learned (1.3.1).

## **Component 2. Strengthened role of national adaptation plans in the spatial planning practice at national and sub-national level and improved capacity of governments in adapting to climate change.**

Capacities of national and sub-national governments (district level governments) to use specific planning methods emphasizing monitoring methodologies will be strengthened under output 2.1.1. As part of the agreements with the Abidjan Convention, guidelines used during the trainings for monitor project activities will also be available after the project ends. Strengthened knowledge and capacities (2.1.1), as well as personnel and data will remain within LUSPA, which will facilitate the replication of the planning process for any other regional plan.

LUSPA in Ghana and MMDAs in Cote de Ivoire will lead the development of the local-level and sub-national spatial development frameworks (2.1.1 and 2.1.2) and will apply a planning process methodology with the support of UN-Habitat aimed at increasing the availability of data, improve the understanding on stakeholder engagement, minimum requirements, and approval processes. The lessons learnt will be made available and shared with LUSPA and MMDAs to ensure that future plans have a clearer methodology, process and are more cost-efficient to elaborate and update.

The timeline for the development of the frameworks is 2030, which means that a review / update would be required towards 2030. The project will aim at strengthening the capacity and funding allocation from the Government of Ghana and Cote de Ivoire to ensure that technical capacity, human and financial resources are available for the review. In addition, LUSPA's leadership ensures this is a government led output whose sustainability is linked to the long-term engagement of the institution (with allocated staff and equipment). It is a technical team and therefore, sustainability risks from transition to other political scenarios is minimized.

The Government request for UN-Habitat's support brings an extra layer of sustainability to the plan since additional technical expertise and the experience of UN-Habitat in the development of territorial plans will be utilized to mobilize stakeholders and additional resources for project implementation, with UN-Habitat as a long-term partner of the Government. This applies for all plans to be developed in both countries.

After the first operational budget cycle, and because of the participatory process and capacity development included in the project, the local government will have gained additional technical and community engagement skills to support the communities in the update of the plans. The community plans represent an extra-layer of sustainability arrangements for the projects in component 3. Even though the subprojects will put in place the institutional, social, financial, and environmental processes to ensure their individual sustainability, the plan will act as an integrative process to bring on board additional stakeholders, donors, and investors, to enable replication and upscaling mechanisms. This output will deliver the implementation, maintenance, and sustainability plan for outputs under component 3.

## **Component 3. Municipal staff, communities and local stakeholders have successfully planned and implemented priority sub-projects to cope with climate-change related issues, such as sea level rise and floods, and access to climate-resilient livelihoods\* \*Under this component, outcome 3.2. is presented in detail as it comprises the core outputs of the project: the subprojects, which as physical interventions call for a more detailed sustainability screening.**

Output 3.1.1. (under outcome 3.1.) and output 3.3.1. (under outcome 3.3.), the Community plans and the trainings, respectively, ensure the overall sustainability of the subprojects implementation, as they prepare the ground for the subprojects to be implemented (from a spatial framework point of view), and they ensure the self-management of the practices, thanks to the capacity building being enhanced in the communities. Such activities will also boost empowerment of the project, and economic sustainability of the interventions will also be addressed by the trainings.

Outputs under outcome 3.2. (Subprojects):

### **3.2.1 Establishment of Early Warning Systems (EWS)**

**Social:** Participatory mapping and identification of evacuation centre and routes through participatory mechanisms will train and sensitize communities, keeping the project achievements durable. Awareness raising campaign and regular drills and trainings involving youth will ensure enhanced resident capacity to process and disseminate early warning and drought-related information to key stakeholders as well as the technical capacity to undertake concrete adaptation actions even long after the project has ended. On the other hand, the evacuation plans contribute to improving the conditions and infrastructure of the beneficiary communities in the most vulnerable sites, thus permitting an effective response to drought and greater resilience to climatic variations and changes. A communication strategy, awareness raising, and regular drills are also a crucial component to the sustainability of the mechanism; in particular, the automated watershed gauging station and sirens need to be maintained through the deployment of adequate technical expertise and protected from eventual acts of vandalism; that is why it is crucial to involve surrounding communities in the process, so that everybody understands the need for these stations/sirens.

**Financial:** The project will inform in advance the communities of a drought-related crisis and reduce loss and damage of natural hazards. Also, new job opportunities will be generated on the EWS operations. Volunteer participation from community members to learn and engage will reduce the costs of the implementation ensuring financial sustainability for low-cost maintenance.

**Environmental:** The project will ensure environmental sustainability through strengthening the resilience of communities through the EWS and the evacuation centre and routes will inform people risk areas and flooding zones, allowing the community to cope with drought-related crisis situations and on the other hand to avoid overexploitation of natural resources to compensate losses due to drought impacts

**Technical:** The system will be adapted to the local context and complemented with traditional knowledge. The evacuation centre and routes will be defined based on the exist mechanism and informal system already in place. The subproject does not require complex technical engineering knowledge and with the training and capacity building this additional information will be transfer to local community. The automated watershed gauging station and sirens need to be maintained through the deployment of adequate technical expertise and protected from eventual acts of vandalism; that is why it is crucial to involve surrounding communities in the process, so that everybody understands the need for these stations/sirens.



**Institutional** linkages for sharing early warning information will also be supported and the targeted beneficiaries' capacities reinforced to access EW information. The project will strengthen institutional linkages and EW information for where they exist and establish new ones where they don't exist and strengthen municipal staff capacities for effectively managed EWS for floods. For a sustainable use and functioning mechanism of the EWS, overall responsibility for the EWS and related equipment will be given to the local authorities. Municipal technicians and all stakeholders, including police, military, civil authorities, health and geophysical agencies, telecommunication organization (satellites and mobile-cellular network operators), media (television, FM radio stations and community radios), schools and education facilities within target areas, will be trained on the use of the EWS related equipment. In Ghana, the institutions to be engaged in the process are the Hydrological Services Department (HSD), Ghana Meteorological Agency (GMet), Water Resources Commission (WRC) and National Disaster Management Organisation (NADMO), specifically the emergency Operations Centre (EOC).

### • 3.2.2 Integrated NBS for urban flood adaptation

**Social:** The use of local and simple materials enables the community to maintain the facilities.

Trainings will build capacity for community to monitor, maintain but also assess and identify areas that could receive new facilities if environment changes.

Facilities will be part of the landscape and part of their livelihoods, and maintenance easily linked to the daily routine (gardening, cleaning the external areas, etc.).

**Financial:** The reduced cost of materials makes simpler to replace any structure/material whenever needed (e.g. after an extreme events). Volunteer participation from community members to learn and engage will reduce the costs of the implementation.

**Environmental:** Nature-based solutions, the project will be adapted to the change, making possible to work with the environment than against it, while still protect valuable land. Using local resources, will reduce the need of transportation of materials.

**Technical:** The strategies will be adapted to the local context and complemented/strengthened with traditional knowledge. Does not require hard engineering and knowledge can be transferred within the community. Technical sustainability will also be achieved by planting local species appropriate to the climate and designing the facilities according to the soil characteristics.

**Institutional** The improved regional coordination among the governments to promote climate resilience (Component 1) will support the long-term monitoring and expansion of measures of the urban flood resilience project.

### • 3.2.3 Mangrove Restoration

**Social:** This intervention's sustainability relies on the developed community ownership. The community will implement the intervention and receive capacity building activities for this purpose. Additionally, the project includes continued awareness creation to develop a self-drive and a high sense of responsibility to promote continuous replanting. It is also based on the resource and livelihood management plan, which should be long-term and be reviewed every two years, initially with the NGO experts and progressively transferring the capacity and know-how to the community group.

**Financial:** Economic/financial sustainability will be achieved by the ecosystem provision services that mangroves offer, e.g. mollusc selling activities and carbon offsets revenues, therefore improving the local markets in the region and its sustainability for the future. Restoration of the mangrove is a cost-efficient building with nature solution that mainly focuses on an initial investment that will be maintained by the CREMA in Ghana and the local Communities committees in Côte d'Ivoire. These institutions will be responsible, along with the Municipal Assemblies of replication and upscaling, meaning that gained knowledge can be used elsewhere. This could be done through community capacity building and based on the lessons learnt from the final intervention report. There is a great opportunity for replication since the mangrove ecosystems are vast in this coastal area.

**Environmental:** Improving the mangroves by restoration will protect the coastal environment and habitats from degradation for future climate change. The capabilities of mangroves to adapt against stressors, such as sea level rise and weather conditions, makes them a sustainable solution regarding environmental sustainability.

**Technical:** Technical sustainability of mangrove restoration depends on two main factors. (1) Appropriate species and (2) site selection, done through the engineering study and design in the preparation activity. Technical studies required for active restoration include assessment of percentage of salinity, percentage of nutrients, granulometry, organic carbon and sedimentology studies. Additional technical sustainability will be provided through complementary measures such as:

Establishing buffer zones between coastal habitats and adjacent development.

Identifying and protecting areas where coastal habitats can retreat with sea level rise.

Fencing along the intertidal zone to prevent livestock access.

Results and experience from previous years will be used as a yardstick for sustaining the intervention. The project includes long-term monitoring of mangrove reforestation activities by the local government and specialized agencies conversant with the project to ensure a successful implementation. Insights on the capabilities of different mangrove types to adapt to climate change will guide future sustainable interventions of mangrove restoration.

**Institutional:** In Ghana, the CREMA (Community Resource Management Areas) will be applied. The community will manage the mangrove areas with equal participation and access. Target beneficiaries will have access to the lagoons with the pre-condition to sustain it within a changing climate framework, as per a signed performance-based agreement. The CREMA will be the responsible entity for sustaining this project over time, including mangrove monitoring activities for guaranteeing the sustainability of the BCP. This will be achieved by bringing some of the economic benefits of the intervention back to the CREMA. In Côte d'Ivoire, community committees will have to be set in order to guarantee communities' participation and accountability during the active and passive restorations operations and monitoring.

### • 3.2.4 Climate resilient agriculture

**Social:** Training in the agricultural sector improve social ability to cope with climate hazards. Water, soil and land management can improve social sustainability and strengthen the role of women in agriculture practices.

**Financial:** The CREMA will be the responsible entity for sustaining this project over time. This will be achieved by bringing some of the economic benefits of the intervention back to the CREMA. Economic sustainability is expected to be obtained from crop production. The CREMA will also be responsible, along with the Municipal Assemblies, for replication and upscaling. This could be done through capacity building in the communities and based on the lessons learnt from the final intervention report. There is a great opportunity for replication since there are large agricultural areas with the same problem. In order to assure economic sustainability of the project, the socio-economic analysis will provide information regarding the market potential of salt resilient crops, considering the adaptation of new crops in the agricultural value chain.

**Environmental:** Climate change impacts reduce water availability and increase saltwater intrusion in coastal areas, threatening agricultural dependence on the environment. An opportunity offers the adaptation to climate change through climate-smart agriculture, agroecology, and crop-based management.

**Technical:** Crop cultivation strategies will include what will be the best option for soil irrigation according to the biophysical characteristics of the intervened area, the strategy will also include assistance during the crop season and demo trials at the training centre. The project's resources will identify and verify the feasibility of recent advancements in salt-resilient crops such as oil seeds, legumes, cereals, medicinal, lignocellulose, and fruit crops. It is also based on the resource and livelihood management plan, which should be long-term and be reviewed every two years. Results and experience from previous years will be used as yardstick for sustaining the intervention. Hydrogeological studies must include an

assessment for recharging aquifers water demand, water consumption demand, availability of superficial water, and selecting the correct water infiltration system such as dry wells or injections wells

**Institutional** From the institutional and social perspective, the sustainability of this intervention relies on the built ownership by the communities through being implementors and capacity-building activities (component 2).

## PART II.L ENVIRONMENTAL AND SOCIAL IMPACTS AND RISKS

The proposed project fully aligns with the Adaptation Fund's Environmental and Social Policy (ESP) and its 15 principles. To align with these policies and related guidelines, this section provides a brief summary of the risks assessment outcomes, which are shown in detail in Annex 6 (ESP). The environmental and social risk screening, assessment and ESMP are presented in Annex 6 at two levels. The first level is general, analysing all three components of the project. In general, proposed coordination activities, spatial and land use planning, community planning, trainings and workshops and knowledge management activities under Components 1, 2 and 3 have been categorized as low risk. Steps will be taken to ensure that no environmental or social impacts can occur. The second level zooms into the activities belonging to outcome 3.2 under component 3 (subproject) because it needs a technical and detailed view and presents related risks and mitigation measures.

The project fully complies with all applicable national laws and regulations (see Part II, Section F), focuses on marginalised and vulnerable groups, positively discriminates in favour of women, incurs no infringement on human rights and health, plans no resettlement whatsoever, and does not affect indigenous peoples (none present). With regards to the subproject implementation, activities have been designed to minimise potential risks by selecting numerous, small scale and very localised interventions, proposed and managed by the communities themselves (where possible) who have a stake in avoiding environmental and social impacts. This means that the potential for direct impacts is small and localised. In addition, the whole process has been carried out through continuative consultation, to ensure that all voices in the communities are heard and to keep double-checking the consistency of experts' opinion and local communities' opinion. There can be few indirect impacts, and that transboundary impacts are highly unlikely. Given this, cumulative impacts are also unlikely.

Outlined below is a summary of the findings of the preliminary screening process to identify and evaluate potential environmental and social impacts and risks of proposed interventions and based on that, of the entire project. The 15 safeguard areas outlined in the Adaptation Fund's ESP have been considered during the screening. With this information, the entire project has been categorized. As shown in Part II.I and Annex 4, consultations have been conducted to identify potential environmental and social risks and impacts and to identify specific groups needs and possible concerns. A draft gender baseline, containing disaggregated data and approach, containing specific approaches for women and youth, has been developed – see Annex 5.

Activities under Outcome 3.2. of Components 3 (subprojects) are 'concrete' interventions, and as such, some interventions have the potential, without an environmental and social safeguarding system, including mitigation measures and management arrangements, to create negative environmental and social impacts. As such, some interventions under this outcome fit into the medium (B) risk category. Annex 6 provides an overview of risks screening and impact assessment outcomes conducted in both Ghana and Côte d'Ivoire. In both countries, risks screening sheets have been completed for each proposed project activity. Besides that, accredited consultants prepared country specific ESIA-ESMPs and consultations reports in compliance with the AF ESP and GP and national requirements for conducting ESIsAs. The outcomes have been consolidated in the proposal. Please find weblinks to the full country-specific reports: [Ghana ESIA-ESMP report](#) and [Côte d'Ivoire ESIA ESMP report](#).

Because of the nature of the activities under outcome 3.2 of component 3, **the entire project is regarded as a medium risk (Category B) project**. Therefore, ESMPs have been developed, including risks / impacts mitigation measures for any risk identified. The country specific ESMPs can be found in the country reports and a summary/overall ESMP in Annex 5. Because of the risk management measures in place, no further assessments are required as per below table.

The project has been designed to generate positive economic, social, and environmental impacts, using inputs from especially women and marginalized and vulnerable groups in target communities and by incorporating best practices from other projects. The adaptation measures proposed have been selected together by the communities and local authorities, making sure they are culturally appropriate and local.



Below table is in alignment with table 1 in Annex 6. Initial risks were identified and for those, impacts assessed, and mitigation measures proposed. Therefore, no further assessment is required for compliance, only risk management of the initially identified risks.

Table 21. Risk Screening Results against all Adaptation Fund ES Principles

Checklist of environmental and social principles	No further assessment required for compliance	Further risk management required for compliance
Compliance with the Law	x	
Access and Equity		x
Marginalized and Vulnerable Groups		x
Human Rights	x	
Gender Equity and Women's Empowerment		x
Core Labour Rights		x
Indigenous Peoples	x	
Involuntary Resettlement	x	
Protection of Natural Habitats		x
Conservation of Biological Diversity		x
Climate Change		x
Pollution Prevention and Resource Efficiency		x
Public Health	x	
Physical and Cultural Heritage	x	
Lands and Soil Conservation	x	

As it was described previously in Part II, Section C, the project has many benefits both social and environmental and meets the national standards as it was mentioned in Section F above. Different stages of the risk screening and the ESMP itself were presented for public disclosure and results are available online for public consultation. A public grievance mechanism has been put in place for the entire duration of the project. This will allow any affected stakeholder to raise concerns, anonymously if they wish, to the community leaders on the local coordinating committee, the project team or the PMC. The primary alternative means for affected beneficiaries and/or community members to raise grievances confidential telephone number (for details, please see the "Grievance Mechanism" section under Annex 6). In addition to the grievance mechanism, local staff will be trained to have an 'open-door' policy with communities, so that communities can discuss any aspect of the project at any time. This less formal mechanism will also enable project staff to listen to communities' concerns or ideas and promote them in the implementation of the project. More formal consultations and workshops held at local and national levels throughout the project implementation will also serve as a means for stakeholders to raise concerns or suggests with the project's implementation.

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## PART III: IMPEMENTATION ARRANGEMENTS

## PART III.A ARRANGEMENTS FOR PROJECT MANAGEMENT

The following arrangements for project management (oversight, coordination and execution) have been agreed upon with AF DAs, the project steering committees and Execution Partners in Ghana and Côte d'Ivoire. The organigram in Figure 16 shows how the project will be supervised, coordinated and executed at the regional, national and local level. As UN-Habitat is the Multilateral Implementing Entity (MIE) of the project, UN-Habitat will be responsible for the overall implementation of the project, including contracting of execution partners and coordination with stakeholders that have a 'stake' or say in the project, mostly through the Project Steering Committees.

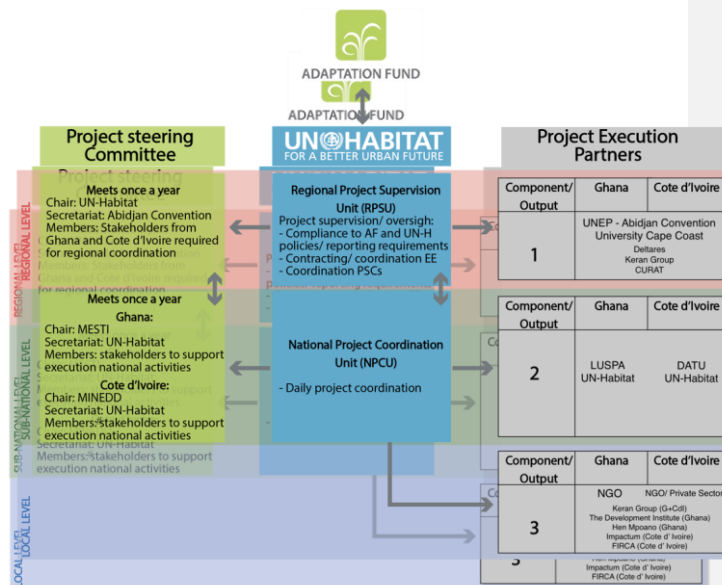


Figure 16: Management arrangements organigram

Table 22. Key project organigram stakeholders and roles and responsibilities

Stakeholder	Role and responsibility
UN-Habitat	<p>Project oversight / supervision and coordination</p> <p>Overall compliance with AF and UN-H policies and reporting / M&amp;E requirements, incl. safeguarding system</p> <p>Contracting, establishments of agreements and coordination of Regional Project Supervision Unit and National Project Coordination Unit, execution partners and Execution Entities</p> <p>Coordination of project as Chair of Regional Project Steering Committee and Secretariat of National Project Steering Comm. to execute components/ activities</p>
Project Steering Committees	<p>Providing political and technical inputs to ensure smooth implementation of the project from start to completion, including providing advice on how to deliver project outputs and the achievement of project outcomes in a timely matter in line with national and sub-national strategies and technical standards:</p> <p>Required coordination with relevant ministries and authorities</p> <p>Approve annual work plans and review key project periodical reports:</p> <p>Review any deviations and consider amendments to work plans and contractual arrangements-</p>
Regional Project Supervision Unit and National	<p>Overall project oversight / supervision, coordination and evaluation, and fund distribution to Execution Entities</p> <p>Responsible for the overall management, facilitation and daily implementation of activities in accordance with UN-Habitat and Adaptation Fund procedures and those contained in the approved project document.</p>

Project Coordination Unit in Ghana and Côte d'Ivoire	<p>Produce progress reports every 6 months and financial reports every 12 months to be submitted to the donor</p> <p>Ensure budgeting and financial management with the support of UN-Habitat administration</p> <p>Prepare and manage all contractual arrangements with the different Execution Entities listed in Table 25</p> <p>Carry out regular project monitoring at all levels ensuring compliance with UN-Habitat and AF standards</p> <p>Organize mid-term and independent final project evaluation</p> <p>Coordinate overall knowledge management and project communication</p> <p>Management responsibilities of ESMP</p>
Regional and National Project Steering Committees	<p>Overall decision-making body in terms of project coordination and orientation.</p> <p>Providing political and technical inputs to ensure smooth implementation of the project from start to completion, including providing advice on how to deliver project outputs and the achievement of project outcomes in a timely matter in line with national and sub-national strategies and technical standards.</p> <p>Advise on project compliance with AF and UN-H policies and reporting / M&amp;E requirements, incl. safeguarding system</p> <p>Required coordination with relevant ministries and authorities</p> <p>Approve annual work plans and review key project periodical reports.</p> <p>Review any deviations and consider amendments to work plans and contractual arrangements.</p> <p>Define main strategies and provide overall policy guidance, recommendations and orientations for project implementation and coordination</p>
Project Execution Entities	Execute specific project components / activities under the direct supervision of the Regional Project Supervision Unit (RPSU) and the National Project Coordination Unit in Ghana and Côte d'Ivoire

**Regional level:** at the regional level, project implementation will be managed by the **Regional Project Supervision Unit (RPSU)**. This 'Unit', established by UN-Habitat in consultation with Project Steering Committees and formed by: (1) Regional Project Coordinator / Safeguarding System AF compliance specialist, M&E Communication and Gender specialist, will be responsible for project supervision / oversight, including coordination with and between **National Project Coordination Units (NPCUs)**, the **Regional-level Project Steering Committee (PSC)** and the **Project Execution Entities (PEE)**. The Regional Project Supervision Unit will be responsible for ensuring project compliance with the AF and UN-H policies and reporting requirements, for contracting the Project Executing Entities, it will chair the Regional-level Project Steering Committee and act as the Secretariat of the National Project Steering Committee. This Regional-level Project Steering Committee will be responsible for 'providing the political and technical direction to the 'whole' project from start to completion and for ensuring that the regional component (i.e. component 1) of the project is realized and aligned to governmental agendas.

**National level:** at the national level, project implementation will be supported through **National Project Coordination Units (NPCUs)**. These 'Units' will be responsible for daily project coordination in Ghana and Côte d'Ivoire, including coordination on execution of the project activities with the Project Execution Entities. The National Project Coordination Units will be formed by: (1) Project Coordinator / Technical Project Staff / Administrative and Financial Assistant.

The 'Units' will also be a member of the **National-level Project Steering Committees (PSCs)** in Ghana and Côte d'Ivoire. These National-level Project Steering Committees will be responsible for providing political and technical direction to the country specific project activities from start to completion, and alignment with government agendas.

During the consultations, workshops and co-development of the project document in Ghana and Côte d'Ivoire, the formation of a **Project Technical Committee (PTC)** was also requested at the national level in Côte d'Ivoire. Members were identified and listed in the table below. The function of the PTC is to provide technical guidance and ensure alignment of the project with a broader number of technical stakeholders including government and sectorial institutions.

**Local level:** at the local level, project implementation will be supported through the **National Project Coordination Units (NPCUs)**. The **National-level Project Steering Committees (PSCs)** will also have (government) representatives from the sub-national level, including from the target municipalities.

Table 23. Stakeholders in the project steering committees

Project Steering Committee (PSC)			
Stakeholders	Regional	National	
		Ghana	Côte d'Ivoire
UN-Habitat	Chair	Member	Member
Abidjan Convention	Co-chair	Member	Member
University of Cape Coast	Member	Member	
Ghana MESTI (EPA, LUSPA, AF Focal point)	Member	Chair	
Ghana NDPC	Member	Co-chair	
Ghana MLGRD (RCC)	Member	Member	
Ghana MLGRD (target MMDAs)		Member	
Ghana MWS (WRC)		Member	
Ghana MWH (HDS)		Member	
Ghana MSDI (CDA)		Member	

Ghana MLNR (FC)		Member	
Ghana MOFAD (IFMD)		Member	
District of Ada East		Member	
District of Ada West		Member	
District of Anloga <sup>93</sup>		Member	
Ghana Execution Entities		Attendee	
Côte d'Ivoire MINEDD	Member		Chair
Côte d'Ivoire MI (Cabinet)	Member		Co-Chair
Côte d'Ivoire MPD (Cabinet)	Member		Member
Côte d'Ivoire Ministère de la Ville (Cabinet)			Member
Côte d'Ivoire MCLU (Cabinet)			Member
Côte d'Ivoire MNADER (Cabinet)			Member
Côte d'Ivoire MTL (Cabinet)			Member
Côte d'Ivoire MEF			Member
Côte d'Ivoire MMG			Member
Côte d'Ivoire Ministère des Ressources Animales et Halieutiques (Cabinet)			Member
FIRCA			Member
Côte d'Ivoire Secteur Privé (CGECI)			Member
Côte d'Ivoire ONG (REFACC, SOS FORET, PAGE VERTE)			Attendee
Côte d'Ivoire Exécution Entities			Attendee
<b>Total</b>	<b>9</b>	<b>15</b>	<b>164</b>

Table 24. Stakeholders in the project technical committee

Project Technical Committee (PTC)			
Stakeholders	Regional	National	
		Ghana	Côte d'Ivoire
Côte d'Ivoire ANGIL/PNGEC/WACA (01)		n.a.	Chair
Côte d'Ivoire MINEDD/DLCC-PNCC (01)		n.a.	Member
Côte d'Ivoire Point Focal FA (01)		n.a.	Member
Côte d'Ivoire Cabinet du Premier Ministre / Plateforme Nationale de Réduction des Risques et de gestion des Catastrophes (01)		n.a.	Member
Côte d'Ivoire MPD/DGAT (01)		n.a.	Member
Côte d'Ivoire MI/DGDDL (01)		n.a.	Member
Côte d'Ivoire MIRAH/Direction de l'Aquaculture et de la Pêche (DAP) (01)		n.a.	Member
Commune Grand-Bassam (01)		n.a.	Member
Commune Jacqueville (01)		n.a.	Member
Côte d'Ivoire Centre of Excellence: CURAT, WASCAL (02)		n.a.	Member
Côte d'Ivoire MCLU DGUF (01)		n.a.	Member
Côte d'Ivoire Convention d'Abidjan (01)		n.a.	Member
Côte d'Ivoire Expert NGO (01)		n.a.	Member
FIRCA			Member
UN-Habitat			Member

The participatory processes, stakeholder engagement and consultations conducted in Ghana have considered sufficient the creation of Regional and National level Project Steering Committees (PSC). The Project Technical Committee has been considered as an additional institutional layer that Ghana aims at addressing as part of the National level Project Steering Committee.

In Côte d'Ivoire, given the more consultative and broader approach to stakeholder engagement, the creation of a Project Technical Committee (PTC) has been requested. The function of the PTC is to provide a technical platform to include additional substantive stakeholders to be consulted on a more regular basis and provide an additional forum other than the national Project Steering Committee, with a more decision-making function. The PTC will be a consultative body whose recommendations will be non-binding and includes as members a broader range of stakeholders: national and local government, government specialised agencies, technical centres, international organizations and NGOs.

In both Ghana and Côte d'Ivoire, The National-level Project Steering Committees have been established, and chairs, co-chairs and members have already been identified and agreed upon. These Committees have already been functioning to support the development of this project proposal, including approving proposed Project Execution Entities, activities, budgets, etc.

### Key stakeholders and roles and responsibilities

Table 25. Stakeholders role and responsibility per outputs

Project Components	Expected Concrete Outputs	Execution	Validation	Monitoring and Evaluation
Component 1: Improved coordination of local and	Output 1.1.1. Set up a work plan with the Abidjan Convention for the initiatives and events to mainstream (live and digitally) lessons learned and to boost capacity to adapt to climate change	UNEP - Abidjan Convention	Regional Project Steering Committee (RPSC)	Regional Project Supervision Unit

<sup>93</sup> In 2019, the western part of the Keta District was split off to create **Anloga District**; thus the remaining part has been retained as Keta Municipal District.

	<b>Output 1.1.2.</b> Round-tables for regional bodies and national governments (through the Abidjan Convention platform) to agree on spatial strategies for a coordinated implementation of climate change adaptation	UNEP - Abidjan Convention	Regional Project Steering Committee (RPSC)	Regional Project Supervision Unit
	<b>Output 1.1.3.</b> Regional Assessment identifying key common challenges, climate-change hotspots and priority areas (including trans-boundary issues) and recommendations for climate change adaptation at different scales	Procurement-based contract: Pre-identified for procurement: 1) Deltares 2) Keran Group 3) CURAT 4) Cape Coast University	Regional Project Steering Committee (RPSC)	Regional Project Supervision Unit
	<b>Output 1.2.1.</b> Trainings for regional bodies and national governments (through the Abidjan Convention platform) for increasing capacity of the use of data and tools to foresee and manage climate change-related risks and impacts	UNEP - Abidjan Convention	Regional Project Steering Committee (RPSC)	Regional Project Supervision Unit
	<b>Output 1.3.1.</b> Cross-fertilization events to mainstream at local level experiences from community plans and implementation of sub-projects all over the region	UNEP - Abidjan Convention	Regional Project Steering Committee (RPSC)	Regional Project Supervision Unit
Component 2 - Strengthened role of national adaptation plans in the spatial planning practice at national and sub-national level and improved capacity of governments in adapting to climate change	<b>Output 2.1.1.</b> Two Sub-national-level Spatial Development Framework/District level Spatial Development Frameworks: 1) targeting the Volta Delta coastal area (Ghana) and one targeting the Grand Pont region (Cote d'Ivoire).	Land Use and Spatial Planning Authority (Ghana)  Directorate General for Territorial Planning, Regional and Local Development (DATU – Cote d' Ivoire)	National Project Steering Committee (NPSC)	National Project Coordination Unit (NPCU)
	<b>Output 2.1.2.</b> Local-level Spatial Development Frameworks (Local development plans): two in Ghana targeting Ada East and Keta districts, and one in Cote d'Ivoire targeting the department of Jaquerville, with climate change-related coastal risks and measures	Land Use and Spatial Planning Authority (Ghana)  Directorate General for Territorial Planning, Regional and Local Development (DATU – Cote d' Ivoire)	National Project Steering Committee (NPSC)	National Project Coordination Unit (NPCU)
	<b>Output 2.2.1.</b> On-the-job trainings and workshops to strengthen capacity of the Ministries of the Environment and Sustainable Development and of Planning and Development and municipalities	UN-Habitat	National Project Steering Committee (NPSC)	National Project Coordination Unit (NPCU)
Component 3 - Municipal staff, communities and local stakeholders have successfully planned and implemented priority sub-projects to cope with climate-change related issues, such as sea level rise and floods, and access to climate resilient livelihoods	<b>Output 3.1.1.</b> Community-level plans (21) developed with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures deriving from the subprojects	Land Use and Spatial Planning Authority (Ghana)  Directorate General for Territorial Planning, Regional and Local Development (DATU – Cote d' Ivoire)	National Project Steering Committee (NPSC)	National Project Coordination Unit (NPCU)
	<b>Output 3.2.1.</b> Establishment of Early Warning System (EWS) <b>Output 3.2.2.</b> Integrated NBS for urban flood adaptation	Procurement-based contract: Pre-identified for procurement: 1) Keran Group (G+Cdl) 2) The Development Institute (Ghana) 3) Hen Mpoano (Ghana) 4) Impactum (Cote d' Ivoire)	National Project Steering Committee (NPSC)	National Project Coordination Unit (NPCU)
	<b>Output 3.2.3.</b> Mangrove restoration	Procurement-based contract: Pre-identified for procurement: 1) Keran Group (G+Cdl) 2) The Development Institute (Ghana) 3) Hen Mpoano (Ghana) 4) Impactum (Cote d' Ivoire)		
	<b>Output 3.2.4.</b> Climate resilient agriculture	1) Keran Group (G+Cdl) 2) The Development Institute (Ghana) 3) Hen Mpoano (Ghana) 4) Impactum (Cote d' Ivoire) 5) FIRCA (Cote d' Ivoire)		
	<b>Output 3.3.1.</b> Trainings for Municipal staff and communities targeting sub-projects implementation and/or maintenance			

## Regional/ international level

Table 26. Overview main stakeholders and roles and responsibilities at regional/ international level

Stakeholder	Role and responsibility (policy / M&E, implementation, etc)	
	Focus	Project / Supervision modality
UNEP - Abidjan Convention (ABC) (Executing Entity)	The United Nations Environment Programme is designated as the Secretariat of the Abidjan Convention. AbC is a leading institution in the regional coordination between governments and on conventions, including on Marine and Coastal ecosystems and climate change resilience. The Abidjan Convention's geographic scope is the marine environment, coastal zones and related inland waters. AbC mandate makes it a fit-for-purpose organization to facilitate knowledge sharing and management in the West African Region, organize round-tables for regional bodies to enhance coordination in climate change related issues and organize trainings on data and tools for climate change adaptation. Regional coordination between governments and on conventions, including on Marine and Coastal ecosystems and climate change resilience.	Co-Chair PSC at regional level Execution Entity of outputs 6-31.1.1, 1.1.2 and 1.2.1, and 6-4 <del>Coordination-execution-component 5 at national level</del> UN to UN Agreement through UNEP Cote d'Ivoire (to which ABC reports Secretariat of the AbC) Direct supervision by Regional Project Supervision Unit (RPSU) Validation of outputs by Project Steering Committee (PSC) AbC will also be in charge of executing the outputs that involve partner countries in West Africa other than Ghana and Cote d'Ivoire.
University of Cape Coast	Academic expertise on regional climate change and coastal issues. Specialized institution in knowledge management and data systems for	Member PSC at regional level Execution outputs 5-4 and 5-21.1.3.

	monitoring of climate change and resilience in coastal areas. University of Cape Coast is a key partner of the World Bank funded WACA project and its inclusion in the project aims at building a bridge between the knowledge and data work in both projects. The Center for Coastal Management of the University is a WACA partner as well as a USAID partner through the Fisheries and Coastal Management Capacity Building project.	Engaged through Agreement of Cooperation by Regional Project Supervision Unit <del>Coordination—execution component—5—with AbC—at national level</del> Supervised and contracted by ABC
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## National and local level – Ghana

Table 27. Overview main stakeholders and roles and responsibilities in Ghana

Government			
Stakeholder		Role and responsibility (policy / M&E, implementation, etc)	
Main	Sub + Commissions	Government	Project / Supervision modality
Ministry of Environment, Science, Technology and Innovation (MESTI) - Executing Entity	AF DA Environmental Protection Agency (EPA)	Sustainable development (policies and regulatory framework, especially environmental) AF focal point	Member PSC at regional level Chair PSC at national level AF DA – AF focal point EPA – Policy advise and coordination, including ensuring project activities' compliance to national environmental standards ToR for EIMP
	Land Use and Spatial Planning Authority (LUSPA)	Land Use and Spatial Planning	Member PSC at national level Execution component 2, including plans oversight and approval Coordination with RCC and MMDA to execute component 2 Agreement of Cooperation (AoC)
National Development Planning Commission (NDPC)		Development planning and strategy (finance and medium-term development plans)	Member PSC at regional and national level Align / coordinate with (+ monitoring) national development planning
Ministry of Local Government and Rural Development (MLGRD)	Regional Coordination Council (RCC)	Good governance and balanced development of Metropolitan / Municipal/ District Assemblies (i.e. decentralisation) (policies and regulatory framework)	Member PSC at regional and national level MLGRD through RCC-MMDAs: Align Mid-term development planning with development of spatial plans (LUSPA)
	Metropolitan, Municipal and District Assemblies (MMDAs) and communities		
Ministry of Water and Sanitation (MWS)	Water Resource Commission (WRC)	Regulate and manage the sustainable utilization of water resources	Member PSC at national level WRC – Policy advise and coordination, esp. related to component 3
Ministry of Works and Housing (MWH)	Hydrological Department Services (HDS)	Programming and co-ordination of coastal protection works, construction and maintenance of storm drains countrywide and the monitoring and evaluation of surface water bodies in respect of floods.	Member PSC at national level HDS – Policy advise, coordination, esp. related to component 3
Ministry of Special Development Initiatives (MSDI)	Coastal Development Authority (CDA)	Spearheading development in coastal regions	Member PSC at national level FC – Policy advise, coordination, esp related to component 2 and 3
Ministry of Lands and Natural Resources (MLNR)	Forestry Commission (FC) (incl. mangroves)	Sustainable management and utilization of Ghana's lands, forests, wildlife and mineral resources for socio-economic growth and development.	Member PSC at national level FC – Policy advise, coordination
Ministry of Fisheries and aquaculture development (MOFAD)	Inland Fisheries Management Division (IFMD) Fisheries Scientific Survey Division (FSSD) Fisheries Commission <sup>2</sup>	Promotion of accelerated Fisheries Sector Development as a viable economic segment	Member PSC at national level IFMD – Policy advise and coordination
District Assembly of Ada East, Ada West and Anloga/ Keta (Executing Entity)	Technical Department	Supervision, coordination and monitoring of interventions	Support and supervise the execution of component 3 Agreement of Cooperation (AoC) through Ministry of Environment and LUSPA.
Non-government			
The Development Institute (DI) (Lead Pre-identified Execution Entity)	Community mobilisation; coastal climate change resilience; gender and youth. Ghanaian non-governmental, not-for-profit sustainable development organization with a branch registered in the United States, Minnesota. It was formed with the goal of creating an enabling environment for empowering Civil Society Organizations and communities to facilitate the linkage between micro and macro levels of society for sustainable development. The Ghana branch became fully operational in 2005 having met all the legal requirements in Ghana.		Member-Attendee PSC at national level <del>Execution component 3 – Agreement of Cooperation (AoC) to be signed with DI as lead entity for the coordination of component 3 by National Project Coordination Unit (NPCU).</del> Direct supervision by UN-Habitat Ghana, Cote d'Ivoire and Headquarters National Project Coordination Unit (NPCU)
Hen Mpoano (Pre-identified Execution Entity)	Hen Mpoano is a not-for-profit organization legally registered in Ghana since 2013. To provide technical, policy and extension support to coastal communities, emerging civil society groups, traditional authorities, government institutions and the private sector through capacity building, research, networking and project development in fisheries and coastal ecosystem governance.		Attendee PSC at national level Agreement of Cooperation (AoC) by National Project Coordination Unit (NPCU). Direct supervision by National Project Coordination Unit (NPCU).
Deltares (Pre-identified Execution Entity)	Deltares is an independent institute for applied research in the field of water and subsurface in five areas: flood risk and high water / adaptive delta planning / infrastructure / water and subsurface resources / environment		Execution component 1.1.3. Performance-based contract Direct supervision by UN-Habitat Regional Project Supervision Unit (RPSU)

Keran Group (Pre-identified Execution Entity)	The Keran Group, with offices in West Africa, is a group of 4 companies (SCE, Creoclean, Naomis and Groupe Huit) and its subsidiaries, working in the areas of expertise of urban planning, coastline and sea, risk and resilience, water energy and environment Physical works, technical design and execution of component 3	Execution of component <del>1 and 3 Subprojects on NBS- Mangrove restoration and EWS</del> <u>1.1.3, 3.2.1, 3.2.2, 3.2.3 and 3.3.1</u> <del>Direction supervision by DI and overall supervision</del> <u>Supervision by UN-Habitat RPSU and NPCU.</u>
The Salt Doctors (Pre-identified Execution Entity)	The Salt Doctors is a social enterprise from the Netherlands that specializes in improving crop yield under saline conditions and putting the solutions into the hands of farmers. It is established in West Africa and acts as an execution partner to realize climate resilient agriculture and help farmers to adapt to the ever-increasing salinity levels. Responsible for the execution of component 3 sub-project on salt resilient crops and trainings for communities.	Responsible for the execution of component 3 sub-project on salt resilient crops and trainings for communities <del>Direction supervision by DI and overall supervision by UN-Habitat NPCU.</del>

## National and local level – Côte d'Ivoire

Table 28. Overview main stakeholders and roles and responsibilities in Côte d'Ivoire

Stakeholder		Role and responsibility (policy / M&E, implementation, etc)	
Main	Sub + Commissions	Government	Project / Supervision modality
<b>Government</b>			
Ministry of Environment and sustainable Development (MINEDD) – <i>Ministère de l'Environnement et du Développement Durable</i>	AF DA Agence Nationale de l'Environnement (ANDE) Agence Nationale de Gestion intégrée du Littoral Ivoirien Direction de la Lutte contre le Changement Climatique (DLCC) Programme National du Changement climatique (PNCC) Programme National de Gestion de l'Environnement Côtier (PNGEC)	Sustainable development (policies and regulatory framework, especially environmental) AF focal point	Member PSC at regional and national level AF DA – AF focal point ANDE – Policy advise and coordination, including ensuring project activities' compliance to national environmental standards) Coordinate execution component 2, including plans oversight
Ministry of Interior – <i>Ministère de l'Intérieur (MI)</i> (Executing Entity)	Direction Générale de la Décentralisation du Développement Local (DGDDL) – Collectivité Territoriale Direction Générale d'Administration et du Territoire.	Good governance and balanced development of Metropolitan / Municipal / Departmental collectivities (policies and regulatory framework) Support and approval of plans	Member PSC at regional and national level Ministry of Interior through DGDDL and collectivité Territoriale: Coordination and approval of plans Establishment of AoC between <del>IE-NPCU</del> and the EE of the local governments Agreement of Cooperation (AoC) <u>Validation of outputs by Regional Project Steering Committee (PSC)</u>
Ministry of Planning and Development – <i>Ministère du Plan et du Développement (MPD)</i> (Executing Entity)	Direction Générale d'Aménagement du Territoire (DGAT)	Planning development	Member PSC at regional and national level <u>DGAT-Directorate General for Territorial Planning</u> – Coordinate execution component <del>42</del> , including plans oversight and approval (support the development of local plans (Plan de Développement local and development of Manuel de planification du développement et guide pratique de planification locale) Agreement of Cooperation (AoC) <u>with NPCU.</u>
Ministry of the City- <i>Ministère de la Ville</i>		Assistance and advise to cities; Development and approval of urban planning tools, liaising with Ministry of Plan and Ministry of Construction.	Member PSC at national level Policy advise and coordination, including development and approval of urban planning tools.
Ministry of Construction Housing and urban planning – <i>Ministère de la Construction, du Logement et de l'Urbanisme (MCLU)</i>	Direction Générale de l'Urbanisme et du Foncier (DGUF) Direction du logement et de la Copropriété	Planning development	Member PSC at national level DGUF - Policy advise and coordination, including development and approval of urban planning tools
Ministry of Agriculture and Rural Development – <i>Ministère de l'Agriculture et du Développement Rural (MAD)</i>		Sustainable management and utilization of Côte d'Ivoire's Agriculture lands for socio-economic growth and development.	Member PSC at national level Policy advise and coordination Member PSC at national level Policy advise and coordination
Ministry of Tourism and Recreation – <i>Ministère du Tourisme et Loisir (MTL)</i>			Member PSC at national level Policy advise and coordination
Ministry of water and forests- <i>Ministères des eaux et Forêts (MF)</i>		Sustainable management and utilization of Côte d'Ivoire's forests, wildlife and Water resources for socio-economic growth and development.	Member PSC at national level Policy advise and coordination
Min de l'Int : Collectivité Territoriale (Mairies and Conseil Régional) - <i>Jacquerville and Grand-Bassam</i> (Executing Entity)	Direction des services techniques Department of Public Works	Planning Development Local government: Coordination, stakeholder engagement, participatory processes, community engagement, execution oversight and control	Coordinate execution component 2 Plans de Développement Local, Schémas Régionaux d'Aménagement du Territoire (Liaising with relevant ministries) Coordinate execution, validation and execution support of component 3 Agreement of Cooperation (AoC) through the Ministry of Interior and DGDDL



		Agreement of Cooperation (AoC)
<b>Non-government</b>		
CURAT: Centre of Excellence - University for Research and GIS - University Félix HOUPOUËT-BOIGNY	Coastal climate change issues – Elaboration of Coastal and climate change studies	Member PSC at national level <del>Partner – Abidjan – Convention – to execute</del> Execution of component 1 at national level in Cote d'Ivoire Agreement of Cooperation with ABC through Regional Project Supervision Unit (RPSU) Direct supervision by ABC – RPSU and overall supervision by UN-Habitat
Impactum (Lead-Pre-identified Execution Entity)	Non-Governmental Organization in Cote d'Ivoire engaged in sustainable development programs, the fight against global warming and the preservation of the environment. It focus in the areas of agronomy and forestry, agroecomics, climate change, water management, land use, social engagement, evaluation and communication	Member PSC at national level Execution of Component 3 and direct supervision of all EE in Cdt by National Project Coordination Unit (NPCU) Working arrangement through Agreement of Cooperation (AoC) with UN-Habitat NPCU Directly supervised by UN-Habitat Office in Cote d'Ivoire and Headquarters NPCU.
Deltares (Pre-identified Execution Entity)	Deltares is an independent institute for applied research in the field of water and subsurface in five areas: flood risk and high water / adaptative delta planning / infrastructure / water and subsurface resources / environment	Execution component 1 Performance-based contract Direct supervision by UN-Habitat NPCU.
Keran Group (Pre-identified Execution Entity)	The Keran Group, with offices in West Africa, is a group of 4 companies (SCE, Creoccean, Naomis and Groupe Huit) and its subsidiaries, working in the areas of expertise of urban planning, coastline and sea, risk and resilience, water energy and environment Physical works, technical design and execution of component 3	Execution of component 1 and 3 Subprojects on NBS, Mangrove restoration and EWS Performance-based contract Direction supervision by NGO and overall supervision by UN-Habitat
FIRCA (Pre-identified Execution Entity)	The Interprofessional Fund for Agricultural Research and Advice (FIRCA), was created by decree No. 2002-520 of December 11, 2002. It is an instrument inspired by the provisions of law No. 2001-635 of October 9, 2001 establishing the Agricultural Development Fund (FDA). The FIRCA ensures, in the sectors of plant, forestry and animal production, the financing of the programs for research and knowledge management	Execution of component 3 Subproject on Salt Resilient Crops – knowledge management, trainings and finance Agreement of Cooperation (AoC) Directly supervised by NGO and overall supervision by UN-Habitat
The Salt Doctors (Pre-identified Execution Entity)	The Salt Doctors is a social enterprise from the Netherlands that specializes in improving crop yield under saline conditions and putting the solutions into the hands of farmers. It is established in West Africa and acts as an execution partner to realize climate resilient agriculture and help farmers to adapt to the ever-increasing salinity levels.	Responsible for the execution of component 3 sub-project on salt resilient crops and trainings for communities Performance-based contract Direction supervision by NGO and overall supervision by UN-Habitat

The supervision of the EE will be done through the Regional Unit of the project as well as the two National Units of the project in Ghana and Côte d'Ivoire, with dedicated coordination, technical, administrative and M+E staff. From the kick-start phase of the project the regional and local units will count with the support of UN-Habitat Headquarters in Nairobi and Regional Office of Africa in Senegal, to support with contractual arrangements, contracts, procurements, disbursements, etc. UN-Habitat has a country office both in Ghana (Accra) and Cote d'Ivoire (Abidjan).

The contractual arrangements with the different EE are presented in ~~Table 27~~~~Table 27~~~~Table 27~~ and ~~Table 28~~~~Table 28~~~~Table 28~~. UN-Habitat will establish relations with EE mainly through Agreements of Cooperation (Government and NGOs), UN to UN Agreements (UNEP) and Performance-based contracts (private sector). Procurement of private sector EE will be done through competitive procurement process, according to United Nations regulations. Private sector EE have been pre-identified and sort-listed for the different components and subprojects. Pre-identified private sector EE will be invited to participate in the competitive procurement process upon approval of the funding of the project, and will be selected as EE of the project according to the criteria established in UN competitive procurement processes.

Following a request from country Ministries and executing government institutions, UN-Habitat will exceptionally execute Output 2.2.1.

#### Legal and financial arrangements

Ministries and institutions of Ghana and Cote d'Ivoire have signed commitment letters for their engagement in the project. Upon project approval, UN-Habitat and the Ministries of Environment (with the AF DAs) in

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Ghana and Côte d'Ivoire will sign a joint **Memorandum of Understanding** to which this Project Document will be attached, to ensure that all partners are fully committed to the project.

UN-Habitat will contract Project Execution Entities in Ghana and Côte d'Ivoire through **Memorandum of Understanding (MoU) and/ Agreements of Cooperation (AoC)**, which are legally binding financial tools, and **UN to UN agreement** to the Abidjan Convention. The Agreement of Cooperation will be drafted by the Regional Project Supervision Unit in collaboration with UN-Habitat Regional Office for Africa (ROAf) and cleared by UN-Habitat's HQ. For the UN-to-UN agreements, overheads will be passed through from the 7 percent PSC from the project cycle management fees, so there will be no double charges.

To contract a UN agency, UN-to-UN agreements are used. This is also the case if a UN implementing entity contracts a UN agency as executing entity. A fixed mechanism of these UN-to-UN agreements is that a certain percentage (over the executed outputs) of the overhead (MIE fee) is passed through to the contracted entity. This means no double overheads are calculated but that overheads are deducted from the managing agency.

UN-Habitat's **Implementing Partner Management Process (IPMP)** will be used to align with policies, procedures and templates to use in the selection and management of Implementing Partners contracted by UN-Habitat through Agreements of Cooperation (AoC) to execute projects. The IP Management process defines the 18 steps from planning to evaluation through which UN-Habitat engages with Project Execution Entities.

Private sector procurement will follow the rules and regulations of the United Nations. The contractual relation with the private sector company will be based on Performance-based contracts.

Direct Executing Entities will be allowed, upon agreement with IE, to establish collaborations and contractual relations with public sector, private sector and NGOs for the specific fulfilment of components of the project and within the assigned budget.

The Regional Project Supervision Unit will develop an operational manual that clearly outlines the roles and responsibilities of the key project stakeholders and contain all the necessary tools, forms and templates required to administer the project. The operation manual will be shared with the National Project Coordination Units for inputs. While UN-Habitat takes responsibility of audits in line with AF requirements (each year), all contractors will be required to have 'external' audits of their budgets. The contractors will also be required to support the independent final evaluation.

#### *Roles and responsibilities for environmental and social risks management / AF ESP and GP compliance*

The Regional Project Supervision Unit (RPSU) will be responsibility for environmental and social risks management, including implementation of the Project ESMP (see Annex 6). An AF and UN-H policies and reporting compliance expert will be part of the RPSU. This expert will also supervise Project Execution Entities on the implementation of the Project ESMP. Guidelines showing how to comply to the AF ESP and GP will be shared with all execution entities and they will be guided on process, including monitoring. A Safeguarding system compliance expert will also be part of the RPSU. Monitoring staff part of the RPSU will require having expertise in social risk management and be familiar with the AF safeguarding system. The RPSU will be backstopped by UN-Habitat HQ, with experts on climate change, human rights, environmental and social risks managements and gender policies. UN-Habitat has rolled out its Environmental Social Safeguards System 3.0 at the institutional and project level. A dedicated ESSS Officer as well as an ESSS implementation team will additionally support the role of RPSU and NPCU.

In both Ghana and Côte d'Ivoire, government stakeholders responsible for compliance to national environmental and social policies and standards will be part of the Regional- and National-level Steering Committees, as well as government gender focal points.

All project-related ToR's and contracts will include clauses stating contractors will need to comply to the AF ESP, especially principle 1 (law), 4 (human rights), 5 (gender), 6 and 13 (labour and safety) and the AF GP.

Adaptive management: when changes in project activities or additional activities are required, these will need to go through a new risks screening and impact assessment process in compliance with AF, UN-Habitat and national policies and standards. When this is required, this will be led by the RPSU and the Regional-level Project Steering Committee would need to approve the changes.

### Launch of the project

At the launch of the project, UN-Habitat's, together with the UNEP Abidjan Convention will organize an **inception workshop** inviting members of the Regional-level Project Steering Committees, Execution Partners and other key stakeholders. The project approach and the proposed outputs and outcomes of the project will be presented and discussed with the purpose to solicit feedback and inputs in a participatory manner. Comments and feedback will be incorporated in project frameworks and workplans. The Inception Workshop aims to:

- A. Enhance participants' understanding of the project objectives and activities and take ownership of the project.
- B. Discuss and confirm the organizational structure of the project, including roles and responsibilities.
- C. Confirm / agree upon project monitoring framework and workplan.
- D. Confirm / agree upon project risks management framework.
- E. Discuss and agree upon project knowledge management framework and plan.
- F. Confirm / agree upon the project Environmental and social Risks Management Plan.
- G. Agree on the annual work plan for year one.

The inception workshop will be organized within three months after signing the project agreement between the Adaptation Fund and UN-Habitat.

## PART III.B MEASURES FOR FINANCIAL AND PROJECT RISK MANAGEMENT

Under guidance of the regional project manager, supported by the National Project coordinators, Monitoring Officers will monitor the status of financial and project management risks, including those measures required to avoid, minimize or mitigate these risks, throughout the project (please see also Section Part III.D).

The table below gives an overview of overall potential project management and financial risks, an assessment of the significance of the pertaining risks in terms of likelihood and impact and outlines measures that have been embedded in the project design in order to manage and/or mitigate these risks.

Table 29. Overview of financial and management risks and measures to mitigate these

Potential risks	Likelihood (1-5)	Impact (1-5)	Mitigation measures	Indicator to verify
<b>Institutional</b>				
1 Delay of project start-up because critical staff is not in place and / or lengthy contracting process, incl. negotiations with execution entities	3 Med	3 Med	1.1 UN-Habitat appointed critical staff at UN-H Regional Office for Africa (ROAf) and Urban Practices Branch (UPB) to start the process required to start the project, incl. putting project staff in place and preparing the inception workshop immediately after signed project agreement between UN-Habitat and the AF; 1.2 Most execution entities have been identified and proposed project activities and budgets have already been agreed upon. 1.3. UN-Habitat commits to organise the inception workshop within three months of the signed project agreement between UN-Habitat the AF 1.4. Recruitment of project staff is initiated prior to project inception as well as the drafting of TORs, procurements and logistics (travel and office space)	- The inception workshop was organised within three months of the signed project agreement between UN-Habitat;  - Execution entities to execute activities in the 1st project year are contracted within six months after the inception workshop
2 Loss of government support (at ministerial and municipal level) for the project and activities because of elections and related functions of the project steering committee, which may result in lack of prioritization of AF project activities or different pace of execution of activities in Ghana and Côte d'Ivoire	1 Low	3 Med	2.1 National Project Steering Committees (PSCs) have already been formed during the project preparation phase and these have approved proposed project activities and budgets, etc. This shows a participatory and inclusive project design process took place with ownership of the project as a result. If due to elections, new members of the PSCs will need to be selected, this will be requested by UN-Habitat and AF DA as soon as possible and records of decisions made during earlier PSC will be shared. 2.2 Delays in one country don't have to result in delays in the other country because of functioning national PSCs 2.3 UN-Habitat will establish agreements with the MoE (with appointed AF DA) (through MoUs) to ensure government lead and coordination.	- Confirming steering committee members and roles and responsibilities during inception workshop + report - Government focal point to coordinate SC appointed at inception workshop - MoU signed within 6 months six months after the inception workshop
3 A lack of coordination between and within national government Ministries and Departments and municipalities	1 Low	3 Med	3.1 Regional and National PSCs are to ensure coordination. Representatives from the target municipalities are members of both regional and national PSC. A technical committee is also established 3.2 Roles and responsibilities related to project implementation of PSC members, also for operation, maintenance and sustainability of activities, have already been identified and focal points within the ministries and municipalities will be appointed through an official letter. 3.3 Should UN-Habitat observe coordination problems, the agency will try to resolve issues directly with government focal point and / or concerned parties	See above
4 Capacity constraints of executing entities, local institutions, communities	1 Low	3 Med	4.1 The project has a strong capacity building and training component (component 2), designed to operate, maintain, sustain and replicate project activities, esp. at the community level	- Capacity building indicators to be established

and the private sector may limit the effective implementation of interventions			4.2 UN-Habitat will have dedicated project staff with expertise in spatial / urban planning, climate change, community organization and technical design, M&E and safeguards to ensure quality control from UN-Habitat side.	- Critical staff as mentioned being part of project staff
5 Communities may not adopt activities during or after the AF project, including infrastructure maintenance	2 Low	4 High	5.1 A strong participatory approach at the community level is used and will be used (component 2) during project implementation to ensure ownership and support of communities to the realised interventions in the targeted project areas. UN-Habitat works with NGOs partners already well established in the target area, to build on relations already established. 5.2 Capacity building and training of communities will be undertaken to improve their awareness and understanding of the benefits of the activities, including operation and maintenance of concrete interventions (component 2).	See above
6- Planning outcomes of components 1 and 2 may be ineffective	1 Low	3 Med	The planning processes and outcomes are led by the respective Ministries in each country with the mandate for elaboration of territorial and local plans, with a strong political support and an agenda to develop, approve and implement plans. The Ministries have access to detailed information on land ownership through the District Assemblies and technical services. The larger aim of the plan is approval and also to build consensus and stakeholder engagement, and to develop a vision and prioritize an agenda of investments in climate change adaptation and urban development. The planning process itself is also critical, since it allows for the creation of partnerships and governance structures that will ensure plan implementation with mainstreaming of CC adaptation. In this sense, the success of the plans will be achieved not only through the ends, but also through the means. During the participatory process, a vision, strategies, expected outcomes and concrete interventions will be developed that will multiply the impact of the projects and activities part of components 3 and 4. The plans aim at creating realistic consensus and this will be developed using the Participatory Incremental Urban Planning Methodology of UN-Habitat, <a href="#">Our City Plans</a> , successfully implemented in over 30 countries. In order to reduce the risks both Governments have asked UN-Habitat to support the capacity development process and support the design, operationalization and implementation of the plans, following a long track record of plans developed in collaboration with national and local governments.	- Written commitment of Ministries - Written commitment of Local governments - Support of UN-Habitat and capacity development function
<b>Financial management and Requisite Institutional Capacity</b>				
6 Complexity of financial management and procurement. Certain administrative processes could delay the project execution or could lack integrity or needed capacity	2 Low	2 Low	6.1 Financial management arrangements have been defined during project preparation, including identification of most executing entities, which already agreed on the activities and budgets (see also 1.2. above); 6.2 UN-Habitat's control framework, under the financial rules and regulations of the UN secretariat, will ensure documentation of clearly defined roles and responsibilities for management, internal auditors, the governing body, other personnel and demonstrates proof of payment / disbursement; In line with AF and UN-Habitat policies, audits will take place annually and / or for each contract of USD 500k. 6.3 Activity specific procurement will be managed by the executing entities as agreed through standard Agreements of Cooperation (with relevant conditions, incl. evidence of recognized procurement policies and procedures and specific terms and conditions for timely disbursement of funds for project activities while at the same time ensure provisions on good financial management, hence minimizing the risk of fund mismanagement or corruption). The RPMU has a certifying role (for key procurements / expenditures).	- Timely audit reports (inception and yearly + following UN-H regulations)  - Timely evidence of recognized procurement policies and procedures provided by Execution Entities
7 Inflation and instability of the national currency leading to budget issues and increased prices for infrastructure delivery	3 Med	1 Low	7.1 All budgets will be in US\$ 7.2 Include clauses in all contracts, incl. with private sector, that they cannot increase the costs during the project duration.	- All budgets in US\$ - Clauses in all contracts, incl. with private sector, that they cannot increase the costs during the project duration.
<b>Physical</b>				
8 Covid-19 protocols restrict movement in the target areas	3 Med	4 High	8.1 <u>In case of Covid peaks and emergencies, in order to prevent delays in the project activities, all initiatives that can occur online, will be transformed into virtual activities (meetings, round-tables, trainings, ...). Moreover, in case of peaks, all work that can be done remotely (analysis, studies, design, ...) will be done remotely, and UN vaccination policies will be enforced among the UN staff.</u> UN-Habitat will only let live and field work proceed if agreed with the UN security unit (base on the Covid protocol and guidelines) and in line with COVID-19 procedures, and for all live activities the "Urbani safety protocol" (targeting hygiene standards, the use of basic safety devices -such as masks- and distances) will be adopted. 8.2 Execution entities will require having permanent field staff at project sites, reducing the need to travel 8.3 If target areas are not accessible, UN-Habitat and the proposed execution entities will identify alternative intervention timelines and or priorities in coordination with the SC <u>timelines and/or priorities in coordination with the SC.</u>	- Permanent field staff at project locations
<b>Environmental</b>				
9 Poor weather conditions affect implementation of activities and sudden major changes in the environment.	2 Low	1 Low	9.1 UN-Habitat and the proposed execution entities have developed their work plan according to expected weather conditions and most activities should be able to be carried out despite severe weather conditions as they are inside closed areas. If unexpected weather patterns occur, the proposed activities and work plan will be reviewed to make practical adaptations.	- Work plans avoiding critical concrete works being planned in winter
10. potential risk of sudden major changes in the environment. <u>Current climate and seasonal variability and/or hazard events result in infrastructure</u>	2+ Low	3 Med	10.1. <u>Current climatic variability has been taken into account in the planning and design of project.</u> Project activities will be planned in the 'calm' season so that major changes will not impact 'works'. <u>All selected investments under Outcome 3.2, have been extensively consulted with communities. Consequently, through consultation and discussion with technical experts, interventions including lagoons (output 3.2.2), focus on minor and internal lagoons, not the coastal ones; the selected lagoons are protected and less prone to change. Regarding</u>	- Annual plans - Operation and maintenance plans showing potential risks and how interventions will be protected and recovered from storms and floods

construction delays or undermine confidence in adaptation measures by local communities.			<p>mangrove reforestation, activities will also happen on the coast, but the seeds will not be directly planted into the ground: they will be grown in nurseries, to prevent floods to impact on the plants when still too young.</p> <p>In unexpected extremes will be forecast, a possible temporary stop of specific activities, a change in the timeline or precise location will be considered in coordination with the SC.</p> <p>10.2. The project prioritized NBS and integrated grey and NBS solutions, which are adaptable to new environments. Potential risks will be identified, also through risks planning, and the design of activities will anticipate changes in the environment.</p>	
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As for any potential conflict of interest with the involvement of private partners in the development of the proposal, UN-Habitat has a long-term institutional partnership with earlier mentioned Arcadis to provide UN-Habitat with pro-bono support. This is a non-remunerated activity Arcadis developed through its Shelter Programme. The institutional partnership is regulated by an Agreement of Cooperation (AoC) which states that in countries where Arcadis is involved in a preparation of a project with UN-Habitat, Arcadis cannot be contracted to execute any remunerated activities that are linked or could be derived from the collaboration with UN-Habitat.

### PART III.C MEASURES FOR ENVIRONMENTAL AND SOCIAL RISK MANAGEMENT

Section II.L presents (in a synthetic format) outcomes of a systematic screening and impact assessment process detailed in Annex 5 and that has been done based on information from consultation with national and local government stakeholders, site visits, local and international experts, a wide range of other concerned stakeholders as well as the target communities (emphasizing equity and the inclusion of marginalized and vulnerable groups). As shown in Part II Section I and in the related Annex 4, consultation with communities focused on: 1) identification of activities / interventions that address the climate change vulnerabilities of specific groups; 2) identification of exact needs, issues and risks following the 15 Adaptation Fund's environmental and social principles; and 3) identification of risk mitigation measures where required. Annex 4 contains the detailed outcomes of these consultations.

As described in section II.L, based on a screening against the stipulated principles in the AF ESP, the project has been categorized as a B Category risk project. An Environmental and Social Risk Management Plan has been developed (see Annex 6) to ensure that risks are avoided, and that, where this is not the case, they are detected in a timely manner and appropriately mitigated. The ESMP lists all potential risks identified and the measures to avoid or mitigate potential adverse environmental and social impacts. The plan also shows how these potential risks and mitigation measures will be further monitored, including delegating responsibilities.

The essence of the ESMP entails risks management arrangements, such as Roles and Responsibilities, Adaptive management, Arrangements to supervise executing entities for implementation of ESMP, Budget provision to manage environmental and social risks / implement of the ESMP, Measures to avoid, minimize, or mitigate potential risks (presentation of the overall ESMP), Risks monitoring system/indicators, and Grievance mechanism.

The ESMP is designed with the understanding that any changes to project activities are subject to the established process with the Adaptation Fund Secretariat and will comply with the requirement of the IE informing the secretariat and the designated authority of changes in project activities or associated indicators or targets, including introductions, modifications and deletions, as soon as possible (decision B.29/32), by:

- obtaining prior approval from the Board;
- communicating such changes to the secretariat; and
- submitting a letter from the designated authority endorsing such changes to the secretariat, in order to obtain such approval.

#### *General environmental and social risks management reduction measures:*

In addition to the risk management measures identified below, the following elements will be put in place to ensure compliance with the ESP:

- all MoUs and Agreements of Cooperation with executing entities will include detailed reference to the ESMP, GP, the 15 ESP Principles and especially compliance to law (Principle 1), human rights (Principle 4), gender approach (Principle 5) and labour and public health standards (Principles 6 and 13).
- the UN-Habitat Human Rights Officer and the Project Appraisal Group will check project compliance to the AF ESP during the project (in addition to the Senior Human Settlements Officer) (Principle 4)

- continuous coordination with focal points within ministries and municipalities, responsible for compliance to national and local standards (especially related to EIAs and GP), will take place.
- capacity-building and awareness-raising: the management teams, executing entities and target communities, will receive training / capacity development to better understand and be able to manage the 15 Principles, the ESMP and their responsibilities. This will be completed during the inception phase.

Table 30. ESP and GP compliance requirements and how the proposal complies to these requirements

ESP and GP compliance requirements	Project compliance to the AF ESP and GP	Reference / evidence
Have all potential environmental and social risks been identified for all project/programme activities prior to funding approval?	All potential environmental and social risks (incl. for gender and considering their significance) have been identified for all project/programme activities at the project preparation phase. In both Ghana and Côte d'Ivoire, accredited consultants prepared country specific ESIA, ESMPs and consultations reports in compliance with the AF ESP and GP and national requirements for conducting ESIA; Outcomes have been consolidated in the proposal.	Part II.I Part II.L Annex 6 (ESP) Annex 5 (GB)
Has the environmental and social assessment been completed before the project/programme proposal submission to the Adaptation Fund, and its findings included in the proposal document?	In compliance with the AF ESP and GP and national requirements for conducting ESIA, above reports have been reviewed and approved by the Ghana and Côte d'Ivoire ministries of environment. Outcomes have been consolidated in the proposal.	<a href="#">Ghana ESIA-ESMP report</a> and <a href="#">Côte d'Ivoire ESIA ESMP report</a> .
Has an ESMP been developed and does this include safeguard measures to be implemented during a project/programme?	A project ESMP has been developed, including safeguarding measures. The following has been included in the ESMP: - Allocated roles and responsibilities environmental and social risk management / implement of the ESMP - Opportunities for adaptive management - Arrangements to supervise executing entities for implementation of ESMP - Budget provision to manage environmental and social risks/ implement of the ESMP - Measures to avoid, minimize, or mitigate potential risks - Risks monitoring system / indicators - Grievance mechanism	Part III.A (roles and responsibilities for env. and social risk management)  Annex 6 (ESP)
Will a grievance mechanism be put in place and how will it be made widely known to identified and potentially affected parties.	A project grievance mechanism will be put in place, as described in the ESMP. It will be made widely known to identified and potentially affected parties through community mobilisers, posters, and online content	Annex 6 (ESP)

Table 31. Gender AF GP Principles compliance by the project activities

Component	Gender Objective	Adaptation Fund GP Principle	Related action
1. Improved coordination of local and national governments in the Region to cope with climate change, to integrate major infrastructural projects in their spatial strategies, and to learn from each other in order to face common transboundary cc-related hazards	To improve gender balance build capacity at regional, national and local level in the decision making on climate change adaptation.	Gender balance, gender equality and gender equity	Support national, regional and local meetings, training and cross-fertilization activities for sharing lessons learned and best practices, promoting gender equality at different levels.
2. Strengthened role of national adaptation plans in the spatial planning practice at national and sub-national level and improved capacity of governments in adapting to cc	To tackle gender imbalance in the planning practice at national and sub-national level	Gender equality, gender equity and gender mainstreaming	Participatory process ensuring that women's voices as important actors are heard.
3. Municipal staff, communities and local stakeholders have successfully planned and implemented priority sub- projects to cope with climate-change related issues, such as sea level rise and floods, and access to climate-resilient livelihoods	To improve women empowerment and tackle gender imbalances from project design to implementation To generate job opportunities, focus on women	Women empowerment and gender equity	Support women and youth groups including in different subproject activities Provide competitive small grants targeting small farmers and women association to undertaken actions among different subprojects Develop gender responsive and strategies on including women, youth, and vulnerable groups in subproject training

## PART III.D ARRANGEMENTS FOR MONITORING, REPORTING AND EVALUATION

### M & E Framework and plan

Monitoring and Evaluation (M & E) arrangements for this project will be in compliance with the AF M&E guidelines and ESP and GP and with UN-Habitat M & E policies and guidelines. This means, as a minimum, the following will be monitored and evaluated: project Milestones, Financial data, Procurement data, Risks assessment, ESP Compliance, GP Compliance, Project indicators, Lessons learned, project Results. The M & E of progress in achieving project results will be based on targets and indicators (also for gender) established in the Project Results Framework (see Part III.E).

The annual project performance reports (PPRs) will include a section on the implementation status of any environmental and social management plan, including those measures to avoid, minimize, or mitigate environmental and social risks, also focusing on monitoring gender risks. The reports shall also include, if

necessary, a description of any corrective action that are deemed necessary. The terminal evaluation report will include an evaluation of the project's performance with respect to environmental and social risks.

UN-Habitat will ensure timely and high-quality M & E by keeping oversight of the process by providing guidance to the Project Execution Entities and national government partners through full briefing of M & E requirements. Where possible, the M & E process will be participatory, involving key stakeholders at national, municipal and communities. Project activities will be monitored by the RPSU and NPCUs with dedicated monitoring staff, which will require having expertise of M & E compliance to the AF ESP and GP. The M & E framework and plan will also need to be endorsed by the Regional-level Project Steering Committee. Audits of the project's financial management will follow AF regulations and rules and applicable audit policies. The M&E plan will be implemented as proposed in the table below.

Table 32 M & E plan

Type of M&E Activities	Responsible Parties	Time Frame	Reporting
Inception Workshop and Report	UN-Habitat & Regional project coordinator Coordinated with: Abidjan Convention and Regional-level Steering Committee	Workshop: within first three months of signing between AF and UN-Habitat Report: within one month after inception workshop	Inception Report, including 1 <sup>st</sup> year workplan, monitoring framework and plan; project risks management framework and plan; environmental and social risks management framework and plan; knowledge management strategy
Periodic status/ progress reports	UN-Habitat & Regional project coordinator	Annually	Annual Report, mid-term, final
Compliance with ESP and GP	Coordinated with: NPCUs and Project EE and IOIS	Annual, as well as upon receipt of complaints, grievances or queries	Annual Report, mid-term, final
Audits		As per AF (annually)	Audit Reports
Terminal project performance report		No later than one months after project completion	Terminal project performance report
Final Evaluation	UN-Habitat & Regional project coordinator. Coordinated with: External consultants and NPCUs, Project EE	No later than three months after project completion	Final Evaluation Report
Community consultations/ workshops/ trainings, etc.	Project EE Coordinated with: NPCUs	Within one week after each event	Documentation
Visits to field sites	Abidjan Convention Coordinated with: UN-Habitat & Regional project coordinator and Regional-level Steering Committee	At least every year	Field visit Report
Video with 'before' and 'after' the project	UN-Habitat & Regional project coordinator Coordinated with: Abidjan Convention and Regional-level Steering Committee	Video one: before start of concrete interventions Video two: after completion concrete interventions	Video compilation of project results

For the M & E budget and a breakdown of how MIE fees will be utilized in the supervision of the M & E function, please see the detailed budget (Part III.G). For related data, targets and indicators, please see the project proposal results framework (Part III.E).

### M&E Activities

- Inception workshop and Project Steering Committee meetings*

During the first Regional-level Project Steering Committee meeting, which will be organized in conjunction with the project Inception Workshop. The Committees will monitor / review project progress and provide technical guidance. During the first Regional-level Project Steering Committee meeting, the following will be reviewed: the project organizational structure, includes roles and responsibilities, the project monitoring framework and workplan, the project risks management framework, the project knowledge management framework and plan, the project Environmental and social Risks Management Plan and annual work plan for year one. The Regional-level Project Steering Committee will meet every year and the National Project Steering Committees will meet every six months, and ad-hoc meetings will be held as needed.

- Periodic project monitoring and terminal project performance reporting*

Annual project performance monitoring will be conducted using the AF PPRs template. This will include monitoring of project: Milestones; Financial data; Procurement data; Risks assessment; ESP Compliance; GP Compliance; Project indicators; Lessons learned; Project Results

- ESMP implementation monitoring*

The implementation of the project Environment and Social Management Plan as described in Annex 5 will be monitored. The ESMP includes monitoring indicators and responsibilities for identified potential risks, impacts and mitigation measures. A dedicated budget for monitoring the compliance to the AF ESP and GP has been included in Part III.G



- *Financial Audits*

A professional, certified and independent organization will review the financial management of the project and adherence to required standards and regulations.

- *Final Evaluation*

No later than three months after the project completion, a final evaluation will be conducted following AF and UN-Habitat policies and guidelines. It will be conducted by an independent team of international and national experts in consultation with executing entities and national stakeholders as a participatory process, including a gender perspective.

- *Community Level Participatory Monitoring*

Part of the detailed project monitoring framework and plan will be identified through activities to involve Project Execution Entities and beneficiaries at the community level in monitoring activities. This would include community-level monitoring of Gender and Youth responsiveness and impact of the project.

- *Periodic Project Site Visits*

Members of the Regional-level Project Steering Committee and representatives of UN-Habitat will visit project sites and hold meetings with the local stakeholders to monitor the implementation of project activities.

- *Video with 'before' and 'after' the project*

Also, as part of the knowledge management strategy and plan, a video recording project results will be produced using 'birds' eye' views and recording of project activities and beneficiaries

## **Reporting**

- *Inception Workshop and Report*

Within one month after the inception workshop, an Inception Report will be submitted to the AF and project steering committees' members. Reports will include: (i) agreement on organizational structure of the project, including roles and responsibilities; (ii) monitoring framework and workplan; (iii) project risks management framework; (iv) knowledge management framework and plan; (v) Environmental and social Risks Management Plan; (vi) year one work plan.

- *Annual project performance reports, including final report*

The Annual project performance reports, which will be submitted to the AF, will include:

- |                    |                      |
|--------------------|----------------------|
| ▪ Milestones       | ▪ GP Compliance      |
| ▪ Financial data   | ▪ Project indicators |
| ▪ Procurement data | ▪ Lessons learned    |
| ▪ Risks assessment | ▪ Project Results    |
| ▪ ESP Compliance   |                      |

- *Community Level Meeting /Workshop / Training Reports and site visit*

Reports on all community-level meetings, workshops, and training will be prepared by Project Execution Entities within one week of the event. Photo documented site visit reports, also to monitor women participation, will also be prepared by Project Execution Entities.

- *Final Evaluation Report*

The Final Evaluation report will be in line with AF and UN-Habitat evaluation policies and guidelines and norms and standards for evaluation in the UN system.

## PART III.E PROJECT PROPOSAL RESULTS FRAMEWORK

Table 33. Project results framework with indicators, their baseline, targets, risks & assumptions and verification means.

Expected Result	Indicators	Base line data	Targets	Means of verification (where and how)	Assumptions (external factors or risks)	Frequency of verification	Responsibility
<b>Project component 1: Improved coordination of local and national governments in the Region to cope with climate change, to integrate major infrastructural projects in their spatial strategies, and to learn from each other in order to face common transboundary climate change-related hazards</b>							
<b>Outcome 1.1. Improved coordination among governments to adapt to climate change and to implement effective coherent measures for climate change adaptation at regional level (Aligned with the AF Outcome indicator 7)</b>	<a href="#">Workplan for the initiatives and events in the Region</a> <a href="#">Number of trainings</a> <a href="#">No of round-table discussions</a> <a href="#">and reports</a> <a href="#">Regional Assessment Report</a>	0 0 0	1 workplan 46 events (8 at regional and 8 at national level).    16 round-tables (8 regional, 8 at national level).  1 report	<a href="#">Workplan should be shared with the Abidjan Convention in a year basis.</a> <a href="#">Verification of the institutions that have participated of trainings and developed reports</a> <a href="#">Verification of meetings held and attendance list</a>  <a href="#">Report should be shared through the Abidjan Convention</a>	Assumption: Decision-makers at all levels and key sectors are willing to collaborate to promote climate change mitigation and adaptation through capacity building, trainings, physical interventions and awareness raising.  Risk: new unexpected challenges due to the sanitation measures (pandemic)	1/year	National and Regional government and District assemblies
<b>Output 1.1.1. Set up a work plan with the Abidjan Convention for the initiatives and events to mainstream (live and digitally) lessons learned and to boost capacity to adapt to climate change</b>	Workplan with: Description of regional and <a href="#">local-national</a> initiatives (forums, events, etc). Time plan Focal points and roles	0	1 workplan	Workplan should be shared with the Abidjan Convention in a year basis.	Assumption: There is a political will to mainstream climate change considerations into planning and programming in a timely manner  Risk: lack of capacity and coordination between local and sectoral authorities for effective implementation of the municipal plans and prioritizing climate change	1/year	UN-H in cooperation with EE and government
<b>Output 1.1.2. Round-tables for regional bodies and national governments (through the Abidjan Convention platform) to agree on spatial strategies for a coordinated implementation of climate change adaptation</b>	No of round-table discussions No entities attending No of Reports of Spatial Strategies recommendations to inform the Output 1.1.1 No of people attending each event and being informed with above adaptation measures disaggregated by: <ul style="list-style-type: none"> <li>Country/region/district</li> <li>% women</li> <li>% youth</li> </ul>	0 0 0	16 round-tables (8 at regional and 8 at national level). Regional and National government  16 reports  T: 1,056 Abidjan Convention Countries W: 25,40% Youth: 45%	Verification of meetings held  Verification of attendance list  Report should be shared through the Abidjan Convention	Assumption: Decision-makers at all levels and key sectors are willing to collaborate to promote climate change mitigation and adaptation through capacity building, trainings, physical interventions and awareness raising.  Risk: lack of capacity and coordination between local and sectoral authorities for effective implementation of the municipal plans and prioritizing climate change.	1/year	UN-H in cooperation with EE and government

Output 1.1.3. Regional Assessment identifying key common challenges, climate-change hotspots and priority areas (including trans-boundary issues) and recommendations for climate change adaptation at different scales	No. of Regional Assessment Reports listing and spatially mapping key challenges, regionally and identifying actions to be taken at the different scales	0	<del>12</del> reports	Report should be shared through the Abidjan Convention	Assumption: relevant institutions that deal with community resilience have been identified and are interested.  Risk: lack of capacity of staff to develop the assessment report identifying risk and recommendations in alignment with climate adaptation indicators.	Baseline <del>and end</del>	UN-H in cooperation with EE and government
Outcome 1.2. Capacity-building events and knowledge sharing trans-boundary activities among the participating countries are discussed and prepared through the Abidjan Convention for: i) sharing among the different countries experience-based adaptation mechanisms for replication. ii) training of national governments. iii) participation to global events. iv) support national governments in including coordinated regional level adaptation measures	Number of <del>national staff events (trainings)</del> <u>a on climate change adaptation, mitigations and on climate actions, nd reports.</u>	0	<u>National: 240 (80/year)</u> <u>Sub-national: 240 (80/year)</u> <u>W: 25%</u>  <u>16 events (8 at regional and 8 at national level).</u>	<u>Conference report with comparison of case studies, innovations and key findings published and disseminated.</u>  Verification of the institutions that have participated of trainings and developed reports	Assumption: relevant institutions that deal with community resilience have been identified and are interested.  Risk: new unexpected challenges due to the sanitation measures (pandemic)	1/year	UN-H in cooperation with EE and government
Output 1.2.1. Trainings for regional bodies and national governments (through the Abidjan Convention platform) for increasing capacity of the use of data and tools to foresee and manage climate change-related risks and impacts	No. of national and district-level government staff trained, disaggregated by: <ul style="list-style-type: none"><li>National level</li><li>District level</li><li>% Women</li></ul> No. of reports	0 0 0 0	240 (80/year) 240 (80/year) <del>25</del> 40%  16 reports (1/event)	Meeting and training reports with count of people trained.  Photos of trainings.  List / count of targeted institutions on training reports.	Assumption: staff will be actively engaged in the trainings.  Risk: new unexpected challenges due to the sanitation measures (pandemic).	1/year	UN-H in cooperation with EE and government
Outcome 1.3. Cross-fertilization activities for mainstreaming lessons learned and best practices captured through the implementation of community plans and sub-projects are mainstreamed among local communities in partnership with the Abidjan Convention as regional knowledge management platform	No of events at which project lessons have been shared  <del>No of people attending each event and being informed with above adaptation measures disaggregated by:</del> <u>Community</u> <u>% women</u> <u>% youth</u>	0 0	<del>20 events/ year (10 in Ghana and 10 in CDI)</del> <u>13 meetings (5 regional level and 8 national level: 4 Ghana and 4 in CDI)</u> <u>260. W: 25%</u>  <u>30</u> <u>W: 40%</u> <u>Youth: 15%</u>	Calculate number of events at which presentations with lessons learned have been shared (through presentation, video or guidelines)  Verification of attendance list	Assumption: Need to identify events at which lessons learned are shared and No people informed.  Risk: cultural perceptions are strong and limit women engagement.	1/year	UN-H in cooperation with EE and government
Output 1.3.1. Cross-fertilization events to mainstream at local level experiences from community plans and implementation of sub-projects all over the region	No of meetings at which presentation with best practices is presented <del>at international meetings.</del>	0  0	<u>13 meetings (5 regional level and 8 national level: 4 Ghana and 4 in CDI)</u> <u>260. W: 25%</u> <u>1</u>	Guidelines should provide information on how to replicate effective and efficient building-with-nature adaptation measures.	Assumptions: good practices identified in events can reach target populations if shared guidelines and videos are if also shared online.	1/year	UN-H in cooperation with EE and government

	<p>No of Good Practices Guidelines of natural climate adaptation solutions, published and shared online (at least two websites)</p> <p>1 Project video showing results developed and shared online (at least two websites).</p> <p><a href="#">No. of representatives attending global events</a></p>	<p>0</p> <p>0</p>	<p>1</p> <p><a href="#">2.4 (Ghana 2: CDI 2)</a></p>	<p>Guidelines and video should be published online. Project video should show process and results of activities.</p>	<p>Risk: limited connectivity can be a barrier for sharing with local members the lessons and guidelines.</p>		
<b>Component 2: Strengthened role of national adaptation plans in the spatial planning practice at national and sub-national level and improved capacity of governments in adapting to climate change</b>							
<b>Outcome 2.1. Sub-national and district/department level spatial development framework</b>	<p>SDFs developed for Ghana and Côte d'Ivoire with climate change related maps. No of risks maps with identified hazard prone (coastal erosion / inundation / flood and salinization risks) areas in SDFs (one map per SDF)</p> <p>No of maps with identified areas suitable (at low risks) for development in SDFs (one map per SDF)</p> <p>No of maps with identified cc impacts / vulnerabilities (esp. on communities, ecosystems and livelihoods and women and youth) in SDFs (on map/ table per SDF)</p> <p>Proposed adaptation / resilience building activities identified on a map an in a priority list</p>	<p>0</p> <p>0</p> <p>0</p> <p>0</p> <p>0</p> <p>0</p>	<p>5 SDFs (3 Ghana and 2 CDI)</p> <p>5</p> <p>5</p> <p>5</p> <p>5</p>	<p>Analyse SDFs and maps and tables in them</p>	<p>Assumptions: specific concerns and needs of women and youth should be identified in the SDFs</p>	<p>Baseline, mid-term and end of output</p>	<p>UN-H in cooperation with EE and government entities</p>
<b>Output 2.1.1. Two Sub-national-level Spatial Development Framework/District level Spatial Development Frameworks: 1 targeting the Volta Delta coastal area (Ghana) and 1 targeting the Grand Pont region (Côte d'Ivoire).</b>	<p>No. of SDFs developed in Ghana and Côte d'Ivoire in which climate change-related coastal risks and vulnerabilities have been identified + measures to increase resilience proposed (incl. for gender/youth)</p> <p>Population covered by SDFs</p> <ul style="list-style-type: none"> <li>Total</li> <li>% Women</li> <li>% Youth</li> </ul>	<p>0</p> <p>0</p> <p>0</p>	<p>2 plans (1 Ghana and 1 CDI)</p> <p>Ghana pop covered: T: 277,963 W: 52% Y: 43%</p> <p>CDI pop covered: T: 356,495 W: 48% Y: 31%</p>	<p>SDFs printed / published online</p> <p>Analyse / identification of climate change-related coastal risks and vulnerabilities</p> <p>Verify population covered by the SDFs with population data in target areas</p>	<p>Assumptions: there is interest from the government and the SDFs are aligned with the national/sub-national strategies. Specific concerns and needs of women and youth should be identified in the SDFs</p> <p>Risk: political change and lack of technical capacity.</p>	<p>Baseline, mid-term and end of output</p>	<p>UN-H in cooperation with EE and government entities</p>
<b>Output 2.1.2. Local-level Spatial Development Frameworks (Local development plans): two in Ghana targeting Ada East and Anloga-Keta districts, and one in Côte d'Ivoire targeting the department of Jacqueville, with climate change-related coastal risks identified and measures to increase coastal resilience proposed.</b>	<p>No. of SDFs developed in Ghana and Côte d'Ivoire in which climate change-related coastal risks and vulnerabilities have been identified + measures to increase resilience proposed (incl. for gender/youth)</p> <p><a href="#">Population covered by SDFs</a></p> <ul style="list-style-type: none"> <li><a href="#">Total</a></li> <li><a href="#">% Women</a></li> <li><a href="#">% Youth</a></li> </ul>	<p>0</p>	<p>3 plans (2 Ghana and 1 CDI)</p> <p><a href="#">Ghana pop covered:</a> T: 218,989 W: 52% Y: 43% <a href="#">CDI pop covered:</a> T: 56,378 W: 48% Y: 31%</p>	<p>SDFs printed / published online</p> <p>Analyse / identification of climate change-related coastal risks and vulnerabilities</p>	<p>Assumptions: there is interest from the government and the SDFs are aligned with the district/department strategies. Specific concerns and needs of women and youth should be identified in the SDFs</p> <p>Risk: political change and lack of technical capacity.</p>	<p>Baseline, mid-term and end of output</p>	<p>UN-H in cooperation with EE and government entities</p>

Outcome 2.2. National and sub-national officers trained in urban climate adaptation techniques, motorizing approaches, and climate-change-related policy development	Number of offices that received on-the-job trainings.  Reports by trainers	0  0	4 (1 at Ministry and 1 District level in Ghana. 1 at Ministry and 1 District level in CDI) 1/month	Reports shared with UN-H in cooperation with EE and government	Assumptions: offices have the interest to be trained and partner organisations have the capacity. Risk: political change	Baseline, mid-term and end of output	UN-H in cooperation with EE and government
Output 2.2.1. On-the-job trainings and workshops to strengthen capacity of the Ministries of the Environment and Sustainable Development and of Planning and Development and municipalities, Department (CdI), and of of Land Use Spatial Planning Authority (LUSPA) and Municipal District Assemblies (MMDAs) (Ghana) to develop, implement, and update spatial development frameworks, to develop, use and update spatial development frameworks	No. of national and district-level government staff trained to use above model, assessment method and monitoring systems and to replicate effective and efficient building-with-nature adaptation options  <ul style="list-style-type: none"> <li>National level</li> <li>District level</li> <li>% Women</li> </ul>	0 0 0	80 (40 Ghana and 40 in CDI) 20 (10 in Ghana and 10 in CDI) 25.49%	Meeting and training reports with count of people trained.  Photos of trainings  List / count of targeted institutions on training reports	Assumptions: offices have the interest to be trained and partner organisations have the capacity. Risk: political change	Baseline, mid-term and end of output	UN-H in cooperation with EE and government
<b>Component 3: Municipal staff, communities and local stakeholders have successfully planned and implemented priority sub-projects to cope with climate-change related issues, such as sea level rise and floods, and access to climate-resilient livelihoods</b>							
Outcome 3.1. Community level plans developed in Ghana and Côte d'Ivoire with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures	Percentage of targeted direct population aware of predicted adverse impacts of climate change on the coast / their community participating in adaptation response activities <ul style="list-style-type: none"> <li>Total</li> <li>% Women</li> <li>% Youth</li> </ul>	0 0 0	T: 153,355 W: End-50-%50% Y: 20End-16 %	Calculate % of direct target population aware of impacts and involved in project activities (plans and concrete project activities) Workshop reports with count of people and photos of workshops and activities	Risks: engagement of women and youth groups in activities	Baseline, mid-term and end	UN-H in cooperation with EE and government
Output 3.1.1. Community-level plans (11 in Ghana and 10 Côte d'Ivoire) developed with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures deriving from the subprojects.	No. of community plans developed in Ghana and Côte d'Ivoire to support successful implementation of concrete adaptation interventions. Plans should include sections on planning, operation, maintenance, monitoring and replication  No of community-level workshops conducted to develop above plans  <a href="#">Number of beneficiaries, including direct and indirect (Aligned with AF core indicator).</a>	0  0	21 (11 in Ghana, 4 and 10 in CDI):-40  42 (at least two per community)  Total: 153,355 Direct: 600 Indirect: 152,755 W: 50% Y: 20%	Collect and calculate number of community level plans and identify required sections and roles/responsibilities  Workshop reports with count of people and photos of workshops	Assumptions: plans needs to include i planning, operation, maintenance, monitoring and replication details and roles/responsibilities for proposed physical measures proposed under outcome 3.2.	Baseline, mid-term and end	UN-H in cooperation with EE and government

Outcome 3.2. Climate change adaptation subprojects are fully developed with communities and municipalities, including detailed engineering studies, and implemented in the target cities mainly through community involvement as labour-intensive manpower	No of communities with settlement and livelihoods protected from extreme events and floods.	0	21 (11 in Ghana and 10 in Côte d'Ivoire).	% of activities of each subproject implemented by community.	Assumption: proposed adaptation measures have an impact at community and regional levels. Assumptions: communities identified as willing to engage in the implementation. Risk: Engagement of target direct population in Maintenance and monitoring of implemented projects.	Baseline, mid-term and end	UN-H in cooperation with EE and government
Output 3.2.1 Establishment of EWS	<p>No of communities covered by operating hydro meteorological equipment (e.g. radar, rainfall stations, meteorological stations, gage stations, water level monitoring) (Aligned with AF core indicator 1.2/ EWS component 1 risk knowledge)</p> <p>No of Hazards monitored (Aligned with AF core indicator 1.2/ EWS component 2)</p> <p>Rate of early warning information timely released by mandated institutions as planned, disaggregated by community and year (Aligned with AF core indicator 1.2/ EWS component 3)</p> <p>No of communities with evacuation plan and safe areas defined (Aligned with AF core indicator 1.2/ EWS component 4 response capability)</p> <p>No of components 1 and 2 of EWS. No of communities with infrastructure for climate monitoring installed. Increased income/avoided decrease in income from reduction of damage and loss related to extreme climate events (Aligned with AF core indicator 6.1.2).</p> <p>No of beneficiaries, user including direct and indirect, and aware and utilizing the EWS information, disaggregated by gender and age (Aligned with AF core indicator).</p>	0	<p>19 communities (11 in Ghana and 8 in CDI)</p> <p>19 communities (11 in Ghana and 8 in CDI)</p> <p>At least the 7 most common hazards monitored</p> <p>Mid:50%; End:80%</p> <p>19 (11 in Ghana and 8 in CDI)</p> <p>19 communities (11 Ghana and 8 in CDI)</p> <p>Income increase of at least 20% after two years.<sup>94</sup></p> <p>Total: 154,287 Direct: 17,797 Indirect: 136,490 W: 50% Y: 20%</p>	<p>Verification of construction and well-functioning facilities.</p> <p>Reports developed by each monitoring</p> <p>Comparison of number extreme events against the number of effective and timely communication</p> <p>Evacuation plan should be published and shared with the community members and at regional events</p> <p>Verification of construction and well-functioning facilities-Comparison of household expenditures and income before and after the implementation.</p> <p>Verify number of people aware and utilizing the EWS information</p>	<p>Assumption: targeted communities are opened to messages about climate-related risks and adaptation to climate change</p> <p>Risks: engagement of target direct population in EWS trainings and activities of awareness raising.</p>	Baseline, mid-term and end	UN-H in cooperation with EE and government
Output 3.2.2 Integrated NBS for Urban flood adaptation	<p>No of communities with integrated NBS for urban flood adaptation</p> <p>Meter2 of infiltration cells (Aligned with AF core indicator 4.2/ physical infrastructure produced).</p>	0	<p>19 communities (11 Ghana and 8 in CDI)</p> <p>3,550m2 infiltration cells</p> <p>Total: 52,526</p>	<p>Verification of constructions of infiltration cells in the settlement.</p> <p>Comparison of flooded area before and after the</p>	<p>Assumption: targeted communities are opened to messages about climate-related risks and adaptation to climate change.</p> <p>Assumption: targeted communities already try (informally and with lack of capacity) to mitigate flooding.</p>	Baseline, mid-term and end	UN-H in cooperation with EE and government

<sup>94</sup>EWS have a high level of acceptance in flood-risk communities, as beneficiaries are allowed to save livestock, movable belongings, and health costs. In Nepal, (estimated at up to \$1083 USD per household in Nepal Project ). Source: OST-BENEFIT ANALYSIS OF FLOOD EARLY WARNING SYSTEM IN THE KARNALI RIVER BASIN OF NEPAL, Kumar Rai, Rajesh; van den Homberg, Marc J.C.; Prasad Ghimire, Gopal et al. <https://www.preventionweb.net/publication/cost-benefit-analysis-flood-early-warning-system-karnali-river-basin-nepal>

	<p>No of <del>direct</del> beneficiaries, <u>including direct and indirect (Aligned with AF core indicator).</u></p> <p>Meter of drainage channels <u>(Aligned with AF core indicator 4.2/ / physical infrastructure produced).</u></p> <p><u>Increased income generated by avoided loss of belongings and water efficient use in food production (Aligned with AF core indicator 6.1.2).</u></p>	<p>0</p> <p>0</p> <p>0</p>	<p><u>Direct:18,937 Indirect: 33,589 direct beneficiaries W: 50% Y: 20%</u></p> <p>252m of channels (2.1m width)</p> <p><u>Income increase of at least 20% after two years<sup>95</sup>.</u></p>	<p>construction of the facilities (Table, maps, photos, etc.)</p>	<p>Risk: during dry season community members can occupy and build in areas destined for the system (e.g. seasonal storm water basin).</p>		
<b>Output 3.2.3 Mangrove restoration</b>	<p>Number of communities with mangrove restored</p> <p>Ha of mangroves planted/<u>rehabilitated</u> in target area <u>(Aligned with AF core indicator 5.1)</u></p> <p>Number of <del>of direct</del> beneficiaries, <u>including direct and indirect (Aligned with AF core indicator)</u></p> <p><u>Targeted survival/Success rate of mangrove restoration (Aligned with AF core indicator 5.1)</u></p> <p><u>Tons of carbon captured in first 4 years (Aligned with AF core indicator 5.1)</u></p>	<p>0</p> <p>0</p> <p>0</p> <p>0</p> <p>0</p> <p>0</p>	<p>19 communities (11 Ghana and 8 in CDI)</p> <p>582 ha</p> <p><u>Total: 138,348 Direct: 85,584 Indirect: 52,763 W: 50% Y: 20%</u></p> <p><u>direct-beneficiaries</u></p> <p><u>75%-97.50%<sup>96</sup>60 %</u></p> <p><u>9,770 tCO<sub>2</sub>e - 12,700 tCO<sub>2</sub>e<sup>97</sup>7,889 tons<sup>97</sup> of CO<sub>2</sub>e</u></p>	<p>Progress over time must be shown</p> <p>Mangrove protection measures must be monitored as well</p> <p><u>Comparison of ha of mangrove growth in reforested area before and after</u></p> <p><u>Annual calculations of carbon removal will be measured through in-situ methodologies approved by the carbon offset certification body in which the project be registered and validated.</u></p>	<p>Assumption: there are successful experiences of mangrove restoration. Assumption: communities will engage in the protection of the restored mangrove if it provides income (carbon credit) to the communities and act as a buffer for flooding.</p> <p>Risk: in lack of income/livelihoods opportunities, communities tend to exploit mangrove by both harvesting and selling wood for energy generation.</p>	<p>Baseline, mid-term and end</p>	<p>UN-H in cooperation with EE and government</p>
<b>Output 3.2.4 Climate resilient agriculture</b>	<p>Number of communities with resilient agriculture</p> <p>Number of lead farmers trained</p> <p>Acres of resilient agriculture piloted</p>	<p>0</p> <p>0</p> <p>0</p> <p>4</p>	<p>9 communities (6 Ghana and 3 in CDI)</p> <p>540</p> <p>180 acres/year</p>	<p>List of attendance</p> <p>Verification of acres grown of salt resilient crops per plot and calculation for the communities</p>	<p>Assumption: farming is already and activity in the target communities and farmers struggle to find solutions for the salinity.</p> <p>Assumption: lead farmers trained tend to share the knowledge with</p>	<p>Baseline, mid-term and end</p>	<p>UN-H in cooperation with EE and government</p>

<sup>95</sup> Avoided extreme events loss are expected to be 20% after two years. Nature based solutions also promote water use efficiency and improvement of agriculture practice, increasing yield up to 79%. Pretty, J. N., Noble, A. D., Bossio, D., Dixon, J., Hine, R. E., Penning de Vries, F. W. and Morison, J. I. 2006. Resource-conserving agriculture increases yields in developing countries. Environmental Science and Technology. Vol. 40, No. 4, pp. 1114–9. doi: 10.1021/es051670d

<sup>96</sup> Success rate will be defined by measuring mangrove growth parameters such as the height, leaves amount and size, stem diameter, and stilt roots compared to specific standard values for each mangrove species. Source: Berhe, Daniel. (2017). ASSESSMENT OF SEEDLING SURVIVAL AND PLANTATION SUCCESS: A CASE STUDY FROM TIGRAY REGIONAL STATE, ETHIOPIA.

<sup>97</sup> Initial estimations of carbon removal are measured using version 3.0 of the CDM AR-A0014 A/R, Large-scale Methodology: Afforestation and reforestation of degraded mangrove habitats with a minimum effective rate of 75% and a maximum of 97.50%. Source: Eddiwan K. Sukendi. Success level of mangrove tree planting real in Kepulauan Meranti district, Riau, Indonesia. J Aquac Mar Biol. 2018;7(4):213-218. DOI: 10.15406/jamb.2018.07.00211

<sup>98</sup> Sum of the total tons of equivalent carbon sequestered during the first 4 years which corresponds to the AF project implementation period.



	<p>Water infiltration systems installed/<a href="#">physical infrastructure produced (Aligned with AF core indicator 4.2).</a></p> <p>Increase in <a href="#">food</a> productivity rate <a href="#">and income</a> compared to baseline (agricultural land without infiltration systems) <a href="#">and increased income (Aligned with AF core indicator 4.2 and core indicator 6.1.2)</a></p> <p><a href="#">Number beneficiaries, including direct and indirect (Aligned with AF core indicator).</a></p>	<p>0</p> <p>0</p> <p>0</p>	<p>15%<sup>99</sup></p> <p>Total: 3,240 Direct: 2,160 Indirect: 1,080 W: 49% Y: 23%</p>	<p>Indicators for successful water infiltration systems need to be identified during project</p> <p>Comparison of yield of food production before and after the implementation.</p>	<p>family members and at least 2 neighbours.</p> <p>Risk: willing of farmers to commute to the training centre in Tegbi.</p>		
<b>Outcome 3.3. Municipal staff and community members mobilised, trained and equipped for ensuring the sustainable management and/or maintenance of the implemented priority sub-projects</b>	<b>No. of municipal staff and community members, trained to manage implemented subprojects (maintenance and monitoring), disaggregated by:</b> <b>Community</b> <b>% Women</b>	<p>0</p> <p>0</p>	<p><b>21 communities targeted</b></p> <p><b>40%</b></p>	<b>Verification of attendance list</b>	<p>Assumption: communities and relevant local institutions that deal with climate resilience have been identified and are interested.</p> <p>Assumption: leadership and roles will be distributed among community members through a committee.</p> <p>Risk: lack of capacity and financial resources/support.</p>	<b>Baseline, mid-term and end</b>	<b>UN-H in cooperation with EE and government</b>
<b>Output 3.3.1. Trainings for Municipal staff and communities targeting sub-projects implementation and/or maintenance</b>	<p>No. trainings held disaggregated per subproject and by:</p> <p>Community</p> <p>Person trained (role in the community)</p> <p>% Women</p> <p><a href="#">No beneficiaries, including direct and indirect (Aligned with AF core indicator).</a></p>	<p>0</p> <p>0</p> <p>0</p>	<p>21 communities targeted</p> <p>30 people/training (25 community and 5 from government)</p> <p>40%</p> <p>Total: 153,385 Direct: 630 Indirect: 152,755</p>	<p>Training reports with count of people trained.</p> <p>Photos of trainings.</p>	<p>Assumption: community members will be actively engaged in the trainings.</p> <p>Risk: engagement of women and youth groups in activities</p>	Baseline, mid-term and end	UN-H in cooperation with EE and government

<sup>99</sup> Increase in food productivity is expected to be of 15%. Agriculture practice represent the first or second main activity of the targeted communities. Income is also expected to increase by at least 15% .

## PART III.F PROJECT ALIGNMENTS WITH THE AF RESULTS FRAMEWORK

Table 34. Project alignment with the Adaptation Fund results framework

Project Outcome	Project Outcome Indicator	Fund Outcome	Fund Outcome Indicator	Grant Amount (USD)
Outcome 1.1. Improved coordination among governments to adapt to climate change and to implement effective coherent measures for climate change adaptation ( <a href="#">Aligned with AF outcome 7</a> ) at regional-level	<a href="#">Workplan for the initiatives and events in the Region</a> <a href="#">No of round-table discussions</a> <a href="#">Regional Assessment Report trainings and reports</a>	<b>Outcome 2:</b> Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses <b>Outcome 7:</b> Improved policies and regulations that promote and enforce resilience measures	2.1. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased  7. Climate change priorities are integrated into national development strategy	532,500
Outcome 1.2. Capacity-building events and knowledge sharing trans-boundary activities among the participating countries are discussed and prepared through the Abidjan Convention for: sharing among the different countries experience-based adaptation mechanisms for replication. training of national governments. participation to global events. iv) support national governments in including coordinated regional level adaptation measures	<a href="#">Number of national staff trained on climate change adaptation, mitigations and on climate actions.</a> <a href="#">Number of trainings and reports</a>	<b>Outcome 4:</b> Increased adaptive capacity within relevant development sector services and infrastructure assets  <b>Outcome 2:</b> Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses	4.1. Responsiveness of development sector services to evolving needs from changing and variable climate  2.1. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased	
Outcome 1.3. Cross-fertilization activities for mainstreaming lessons learned and best practices captured through the implementation of community plans and sub-projects are mainstreamed among local communities in partnership with the Abidjan Convention as regional knowledge management platform	No of events at which project lessons have been shared <a href="#">No of events at which project lessons have been shared</a>  <a href="#">No of people attending each event and being informed with above adaptation measures disaggregated by:</a> <a href="#">Community</a> <a href="#">% women</a> <a href="#">% youth</a>	<b>Outcome 8:</b> Support the development and diffusion of innovative adaptation practices, tools and technologies	8. Innovative adaptation practices are rolled out, scaled up, encouraged and/or accelerated at regional, national and/or subnational level.	
Outcome 2.1. Sub-national and district/department level spatial development framework	<a href="#">SDFs developed for Ghana and Côte d'Ivoire with climate change related maps.</a> <a href="#">No of risks maps with identified hazard prone (coastal erosion / inundation / flood and salinization risks) areas in SDFs (one map per SDF)</a> <a href="#">No of maps with identified areas suitable (at low risks) for development in SDFs (one map per SDF)</a> <a href="#">No of maps with identified cc impacts / vulnerabilities (esp. on communities, ecosystems and livelihoods and women and youth) in SDFs (on map/ table per SDF)</a> <a href="#">Proposed adaptation / resilience building activities identified on a map an in a priority list</a> <a href="#">No. of national and district-level government staff trained, disaggregated by:</a> <a href="#">National level</a> <a href="#">District level</a> <a href="#">% Women</a> <a href="#">No. of reports</a>	<b>Outcome 7:</b> Improved policies and regulations that promote and enforce resilience measures	7. Climate change priorities are integrated into national development strategy	1,724,600
Outcome 2.2. National and sub-national officers trained in urban climate adaptation techniques, motorizing approaches, and climate-change-related policy development	Number of offices that received on-the-job trainings. Reports by trainers	<b>Outcome 4:</b> Increased adaptive capacity within relevant development sector services and infrastructure assets <b>Outcome 2:</b> Strengthened institutional capacity to reduce risks associated with	4.1. Responsiveness of development sector services to evolving  2.1. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions	

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		climate-induced socioeconomic and environmental losses	increased	
		<b>Outcome 8:</b> Support the development and diffusion of innovative adaptation practices, tools and technologies	8. Innovative adaptation practices are rolled out, scaled up, encouraged and/or accelerated at regional, national and/or subnational level	
<b>Outcome 3.1. Community level plans developed in Ghana and Côte d'Ivoire with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures.</b>	Percentage of targeted direct population aware of predicted adverse impacts of climate change on the coast / their community participating in adaptation response activities. <ul style="list-style-type: none"> <li>• <b>Total</b></li> <li>• <b>% Women</b></li> <li>• <b>% Youth</b></li> </ul>	<b>Outcome 3:</b> Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level  <b>Outcome 7:</b> Improved policies and regulations that promote and enforce resilience measures	3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses  7. Climate change priorities are integrated into national development strategy	9,437,135
<b>Outcome 3.2. Climate change adaptation subprojects are fully developed with communities and municipalities, including detailed engineering studies, and implemented in the target cities mainly through community involvement as labour-intensive manpower.</b>	<b>No of communities with settlement and livelihoods protected from extreme events and floods.</b>	<b>Outcome 5:</b> Increased ecosystem resilience in response to climate change and variability induced stress  <b>Outcome 1:</b> Reduced exposure to climate-related hazards and threats  <b>Outcome 6:</b> Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas	5. Ecosystem services and natural resource assets maintained or improved under climate change and variability-induced stress  1. Relevant threat and hazard information generated and disseminated to stakeholders on a timely basis  6.1 Percentage of households and communities having more secure access to livelihood assets 6.2. Percentage of targeted population with sustained climate-resilient alternative livelihoods	
<b>Outcome 3.3. Municipal staff and community members mobilised, trained and equipped for ensuring the sustainable management and/or maintenance of the implemented priority sub-projects</b>	No. of municipal staff and community members, trained to manage implemented subprojects (maintenance and monitoring), disaggregated by: <ul style="list-style-type: none"> <li>• <b>Community</b></li> <li>• <b>% Women</b></li> </ul>	<b>Outcome 3:</b> Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level  <b>Outcome 4:</b> Increased adaptive capacity within relevant development sector services and infrastructure assets	3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses  4.1. Responsiveness of development sector services to evolving needs from changing and variable climate	
<b>Output 1.1.1. Set up a work plan</b> with the Abidjan Convention for the initiatives and events to <u>mainstream</u> (live and digitally) lessons learned and to boost capacity to adapt to climate change	Workplan with: Description of regional and local initiatives (forums, events, etc). Time plan Focal points and roles	<b>Output 2.2:</b> Increased readiness and capacity of national and sub-national entities to directly access and program adaptation finance  <b>Output 7</b> Improved integration of climate-resilience strategies into country development plans	2.2.1 No. of targeted institutions benefitting from the direct access and enhanced direct access modality  7.2. No. of targeted development strategies with incorporated climate change priorities enforced	81,500
<b>Output 1.1.2. Round-tables for regional bodies and national governments</b> (through the Abidjan Convention platform) to <b>agree on spatial strategies</b> for a coordinated implementation of climate change adaptation	No of round-table discussions No entities attending No of Reports of Spatial Strategies recommendations to inform the Output 1.1.1 No of people attending each event and being informed with above adaptation measures disaggregated by: <ul style="list-style-type: none"> <li>• <b>Countrycommunity/district</b></li> <li>• <b>% women</b></li> <li>• <b>% youth</b></li> </ul>	<b>Output 7</b> Improved integration of climate-resilience strategies into country development plans	7.2. No. of targeted development strategies with incorporated climate change priorities enforced	103,000
<b>Output 1.1.3. Regional Assessment</b> identifying key <b>common challenges, climate-change hotspots and priority areas</b> (including trans-boundary issues) and recommendations for climate change adaptation at different scales	No of Regional Assessment Reports listing and spatially mapping key challenges, regionally and identifying actions to be taken at the different scales.	<b>Output 2.1</b> Strengthened capacity of national and sub- national centers and networks to respond rapidly to extreme weather events	2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events (by gender) 2.1.2 No. of targeted institutions with increased capacity to minimize exposure to climate variability risks (by type, sector and scale)	98,000
<b>Output 1.2.1. Trainings for regional bodies and national governments</b> (through the Abidjan Convention platform) for increasing <b>capacity</b> of the use of data and tools to foresee and manage climate change-related risks and impacts	No. of national and district-level government staff trained, disaggregated by: <ul style="list-style-type: none"> <li>• <b>National level</b></li> <li>• <b>District level</b></li> <li>• <b>% Women</b></li> </ul> No. of reports	Output 4: Vulnerable development sector services and infrastructure assets strengthened in response to climate change impacts, including variability  Output 2.1: Strengthened capacity of national and sub-national centers and networks to respond rapidly to extreme weather events	4.1.1. No. and type of development sector services modified to respond to new conditions resulting from climate variability and change (by sector and scale)  2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events (by gender)	92,000
<b>Output 1.3.1. Cross-fertilization events</b> to mainstream at local level experiences from community plans and implementation of sub-projects all over the region	No of meetings at which presentation with best practices is presented at international meetings.	<b>Output 3.2:</b> Strengthened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning	3.2.1 No. of technical committees/associations formed to ensure transfer of knowledge	158,000

	No of Good Practices Guidelines of natural climate adaptation solutions, published and shared online (at least two websites) 1 Project video showing results developed and shared online (at least two websites). <a href="#">No. of representatives attending global events</a>		3.2.2 No. of tools and guidelines developed (thematic, sectoral, institutional) and shared with relevant stakeholders	
		<b>Output 8:</b> Viable innovations are rolled out, scaled up, encouraged and/or accelerated.	8.1. No. of innovative adaptation practices, tools and technologies accelerated, scaled-up and/or replicated 8.2. No. of key findings on effective, efficient adaptation practices, products and technologies generated	
<b>Output 2.1.1. Two Sub-national-level Spatial Development Frameworks:</b> <u>1 targeting the Volta Delta coastal area (Ghana)</u> and <u>1 targeting the Grand Pont region (Côte d'Ivoire)</u> . *In line with AF output 7	No. of SDFs developed in Ghana and Côte d'Ivoire in which climate change-related coastal risks and vulnerabilities have been identified + measures to increase resilience proposed (incl. for gender/youth) Population covered by SDFs • <b>Total</b> • <b>% Women</b> • <b>% Youth</b>	<b>Output 7:</b> Improved integration of climate-resilience strategies into country development plans	7.1. No. of policies introduced or adjusted to address climate change risks (by sector) 7.2. No. of targeted development strategies with incorporated climate change priorities enforced	874,600
<b>Output 2.1.2.</b> Local-level Spatial Development Frameworks (Local development plans): two in Ghana targeting Ada East and Anloga-Keta districts, and one in Cote D'Ivoire targeting the department of Jacqueville, with climate change-related coastal risks identified and measures to increase coastal resilience proposed.	No. of SDFs developed in Ghana and Côte d'Ivoire in which climate change-related coastal risks and vulnerabilities have been identified + measures to increase resilience proposed (incl. for gender/youth) <a href="#">Population covered by SDFs</a> • <b>Total</b> • <b>% Women</b> • <b>% Youth</b>	<b>Output 7:</b> Improved integration of climate-resilience strategies into country development plans	7.1. No. of policies introduced or adjusted to address climate change risks (by sector) 7.2. No. of targeted development strategies with incorporated climate change priorities enforced	557,000
<b>Output 2.2.1. On-the-job trainings and workshops to strengthen capacity</b> of the Ministries of the Environment and Sustainable Development and of Planning and Development and municipalities, Department ( <a href="#">GhanaCdI</a> ), and of Land Use Spatial Planning Authority ( <b>LUSPA</b> ) and Municipal District Assemblies (MMDAs) ( <a href="#">GhanaCdI</a> ) to develop, implement, and update spatial development frameworks, to develop, use and update spatial development frameworks.	No. of national and district-level government staff trained to use above model, assessment method and monitoring systems and to replicate effective and efficient building-with-nature adaptation options National level % Women District level	<b>Output 2.1:</b> Strengthened capacity of national and sub-national centers and networks to respond rapidly to extreme weather events  <b>Output 4:</b> Vulnerable development sector services and infrastructure assets strengthened in response to climate change impacts, including variability  <b>Output 8:</b> Viable innovations are rolled out, scaled up, encouraged and/or accelerated.	2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events (by gender) 2.1.2 No. of targeted institutions with increased capacity to minimize exposure to climate variability risks (by type, sector and scale)  4.1.1. No. and type of development sector services modified to respond to new conditions resulting from climate variability and change (by sector and scale)  8.1. No. of innovative adaptation practices, tools and technologies accelerated, scaled-up and/or replicated 8.2. No. of key findings on effective, efficient adaptation practices, products and technologies generated	293,000
<b>Output 3.1.1. Community-level plans</b> ( <u>44 in Ghana and 10 Côte d'Ivoire</u> ) developed with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures deriving from the subprojects.	No. of community plans developed in Ghana and Cote d' Ivoire to support successful implementation of concrete adaptation interventions. Plans should include sections on planning, operation, maintenance, monitoring and replication --No of community-level workshops conducted to develop above plans <a href="#">No of beneficiaries, including direct and indirect (Aligned with AF core indicator</a>	<b>Output 3.2:</b> Strengthened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning <b>Output 7:</b> Improved integration of climate-resilience strategies into country development plans	3.2.2 No. of tools and guidelines developed (thematic, sectoral, institutional) and shared with relevant stakeholders  7.2. No. of targeted development strategies with incorporated climate change priorities enforced	1,859,360
<b>Output 3.2.1</b> Establishment of <b>Early Warning System (EWS)</b>	<a href="#">No of communities covered by operating hydro meteorological equipment (e.g. radar, rainfall stations, meteorological stations, gage stations, water level monitoring) (Aligned with AF core indicator 1.2/ EWS component 1 risk knowledge)</a> <a href="#">No of Hazards monitored (Aligned with AF core indicator 1.2/ EWS component 2)</a> <a href="#">Rate of early warning information timely released by mandated institutions as planned, disaggregated by community and year (Aligned with AF core indicator 1.2/ EWS component 3)</a> <a href="#">No of communities with evacuation plan and safe areas defined (Aligned with AF core indicator 1.2/ EWS component 4 response capability)</a> <a href="#">No of components 1 and 2 of EWS. No of communities with infrastructure for climate monitoring installed</a> <a href="#">Increased income/avoided decrease in income from reduction of damage and loss related to extreme climate events (Aligned with AF core indicator 6.1.2).</a>	<b>Output 1.2:</b> Targeted population groups covered by adequate risk reduction systems  <b>Output 5:</b> Vulnerable ecosystem services and natural resource assets strengthened in response to climate change impacts, including variability  <a href="#">Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets</a>  <b>Output 6:</b> Targeted individual and community livelihood strategies	1.2.1. Percentage of target population covered by adequate risk-reduction systems  5.1. No. of natural resource assets created, maintained or improved to withstand conditions resulting from climate variability and change (by type and scale)  <a href="#">Core Indicator 5.1: Natural Assets protected or rehabilitated</a>  <a href="#">4.2. Physical infrastructure improved to withstand climate change and variability-induced stress</a> <a href="#">Core indicator 4.2: Assets produced, developed, improved or strengthened</a>	1,533,560

	<a href="#">No of beneficiaries, user including direct and indirect, and aware and utilizing the EWS information, disaggregated by gender and age (Aligned with AF core indicator).</a>	strengthened in relation to climate change impacts, including variability	6.1.1.No. and type of adaptation assets (tangible and intangible) created or strengthened in support of individual or community livelihood strategies	
<b>Output 3.2.2 Integrated NBS for Urban flood adaptation</b>	No of communities with integrated NBS for urban flood adaptation Meter2 of infiltration cells <a href="#">(Aligned with AF core indicator 4.2/ physical infrastructure produced).</a> No of <del>direct</del> beneficiaries, <a href="#">including direct and indirect (Aligned with AF core indicator).</a> Meter of drainage channels <a href="#">(Aligned with AF core indicator 4.2/ / physical infrastructure produced).</a> <a href="#">Increased income generated by avoided loss of belongings and water efficient use in food production (Aligned with AF core indicator 6.1.2).</a>		<a href="#">Core indicator 6.1.2 Increased income or avoided decrease in income</a>	1,464,522
<b>Output 3.2.3</b> 3.2.3. Mangrove restoration	Number of communities with mangrove restored Ha of mangroves planted/ <a href="#">rehabilitated</a> in target area <a href="#">(Aligned with AF core indicator 5.1)</a> Number <del>of</del> <a href="#">of direct</a> beneficiaries, <a href="#">including direct and indirect (Aligned with AF core indicator)</a>  <a href="#">Targeted survival/</a> Success rate of mangrove restoration <a href="#">(Aligned with AF core indicator 5.1)</a> <a href="#">T</a> ons of carbon captured <a href="#">in first 4 years (Aligned with AF core indicator 5.1)</a>			1,785,339
<b>Output 3.2.4</b> Climate resilient agriculture	Number of communities with resilient agriculture Number of lead farmers trained Acres of resilient agriculture piloted Water infiltration systems installed/ <a href="#">physical infrastructure produced (Aligned with AF core indicator 4.2).</a> Increase in <a href="#">food</a> productivity rate <a href="#">and income</a> compared to baseline (agricultural land without infiltration systems) <a href="#">and increased income (Aligned with AF core indicator 4.2 and ccore indicator 6.1.2)</a> <a href="#">Number beneficiaries, including direct and indirect (Aligned with AF core indicator).</a>			2,588,414
<b>Output 3.3.1.</b> Trainings for Municipal staff and communities targeting sub-projects implementation and/or maintenance	No. trainings held disaggregated per subproject and by. Community Person trained (role in the community) % Women <a href="#">No beneficiaries, including direct and indirect (Aligned with AF core indicator).</a>	<b>Output 3.2:</b> Strengthened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning  <b>Output 4:</b> Vulnerable development sector services and infrastructure assets strengthened in response to climate change impacts, including variability	3.2.1 No. of technical committees/associations formed to ensure transfer of knowledge  4.1.1. No. and type of development sector services modified to respond to new conditions resulting from climate variability and change (by sector and scale) 4.1.2. No. of physical assets strengthened or constructed to withstand conditions resulting from climate variability and change (by sector and scale)	206,000

## PART III.G DETAILED BUDGET

Table 3532. Detailed

Project Components	Expected Concrete Outputs	Expected Concrete Outcomes	TOTAL	Year	Year	Year	Year
				1	2	3	4
				12 m	12 m	12 m	12 m
<b>Component 1</b> - Improved coordination of local and national governments in the Region to cope with climate change, to integrate major infrastructural projects in their spatial strategies, and to learn from each other in order to face common transboundary climate change-related hazards	<b>Output 1.1.1.</b> Set up a work plan with the Abidjan Convention for the initiatives and events to mainstream (live and digitally) lessons learned and to boost capacity to adapt to climate change	<b>1.1.Improved coordination</b> among governments to adapt to climate change and to implement effective coherent measures for climate change adaptation at regional level	81,500	46,500	10,000	10,000	15,000
	<b>Output 1.1.2.</b> Round-tables for regional bodies and national governments (through the Abidjan Convention platform) to agree on spatial strategies for a coordinated implementation of climate change adaptation		103,000	30,000	27,000	26,000	20,000
	<b>Output 1.1.3.</b> Regional Assessment identifying key common challenges, climate-change hotspots and priority areas (including trans-boundary issues) and recommendations for climate change adaptation at different scales		98,000	88,000	0	5,000	5,000
	<b>Output 1.2.1.</b> Trainings for regional bodies and national governments (through the Abidjan Convention platform) for increasing capacity of the use of data and tools to foresee and manage climate change-related risks and impacts	1.2. Capacity-building events and knowledge sharing trans-boundary activities among the participating countries are discussed and prepared though the Abidjan Convention for: i) sharing among the different countries experience-based adaptation mechanisms for replication; ii) training of national governments; iii) participation to global events; iv) support national governments in including coordinated regional level adaptation measures	92,000	6,000	40,000	46,000	0
	<b>Output 1.3.1.</b> Cross-fertilization events to mainstream at local level experiences from community plans and implementation of sub-projects all over the region	1.3. Cross-fertilization activities for mainstreaming lessons learned and best practices captured through the implementation of community plans and sub-projects are mainstreamed among local communities in partnership with the Abidjan Convention as regional knowledge management platform	158,000	32,000	5,000	47,500	73,500
	<b>SUB-TOTAL – COMPONENT 1</b>		<b>532,500</b>	<b>202,500</b>	<b>82,000</b>	<b>134,500</b>	<b>113,500</b>
<b>Component 2</b> - Strengthened role of national adaptation plans in the spatial planning practice at national and sub-national level and improved capacity of governments in adapting to climate change	<b>Output 2.1.1.</b> Two Sub-national-level Spatial Development Framework/District level Spatial Development Frameworks: 1 targeting the Volta Delta coastal area (Ghana) and one targeting the Grand Pont region (Cote d'Ivoire).	2.1.Subnational and district/department level spatial development framework	874,600	624,750	230,350	13,000	6,500
	<b>Output 2.1.2.</b> Local-level Spatial Development Frameworks (Local development plans): two in Ghana targeting Ada East and Keta districts, and one in Cote D'Ivoire targeting the department of Jaquerville, with climate change-related coastal risks identified and measures to increase coastal resilience proposed		557,000	129,050	414,950	6,500	6,500
	<b>Output 2.2.1.</b> On-the-job trainings and workshops to strengthen capacity of the Ministries of the Environment and Sustainable Development and of Planning and Development and municipalities (Ghana), and of Land Use Spatial Planning Authority (LUSPA) and Municipal District Assemblies (MMDAs) (Cdl) to develop, implement, and update spatial development frameworks, to develop, use and update spatial development frameworks	2.2.National and sub-national officers trained in urban climate adaptation techniques, monitoring approaches, and climate-change-related policy development	293,000	139,000	154,000	0	0
	<b>SUB-TOTAL – COMPONENT 2</b>		<b>1,724,600</b>	<b>892,800</b>	<b>799,300</b>	<b>19,500</b>	<b>13,000</b>

**Commented [MG8]:** CAR 16: Numbers were reviewed to ensure consistent use of a comma (,) as thousands separator

**Commented [MG9]:** CR 8: Budget tables with component sub-totals were included in a readable format.

Component 3 - Municipal staff, communities and local stakeholders have successfully planned and implemented priority sub-projects to cope with climate-change related issues, such as sea level rise and floods, and access to climate-	Output 3.1.1. Community-level plans (11 in Ghana and 10 Cote d'Ivoire) developed with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures deriving from the subprojects.	3.1.Community level plans developed in Ghana and Côte d'Ivoire with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures	1,859,360	546,860	576,000	597,000	139,500		
	Output 3.2.1. Establishment of EWS	3.2.Climate change adaptation subprojects are fully developed with communities and municipalities, including detailed engineering studies, and implemented in the target cities mainly through community involvement as labour-intensive manpower	1,533,500	860,500	379,500	218,000	75,500		
	Output 3.2.2. Integrated NBS for urban flood adaptation		1,464,522	226,000	1,051,600	113,000	73,922		
	Output 3.2.3. Mangrove restoration		1,785,339	316,298	1,263,337	122,336	83,368		
	Output 3.2.4. Climate resilient agriculture		2,588,414	131,100	500,638	1,766,688	189,988		
	Output 3.3.1. Trainings for Municipal staff and communities targeting sub-projects implementation and/or maintenance	3.3.Municipal staff and community members mobilised, trained and equipped for ensuring the sustainable management and/or maintenance of the implemented priority sub-projects	206,000	26,750	81,750	48,750	48,750		
	SUB-TOTAL – COMPONENT 3		9,437,135	2,107,508	3,852,825	2,865,774	611,028		
Sub-total Project Components Costs			11,694,235	3,202,808	4,734,125	3,019,774	737,528		
Sub-projects total	Subtotal Outputs 3.2.1 to 3.2.4		7,371,775	1,533,898	3,195,075	2,220,024	422,778		
Project Execution Costs	Regional project coordination (international)		480,000	120,000	144,000	144,000	72,000		
	National Project execution		494,000	155,000	186,000	123,000	30,000		
	Travel Related to Execution		32,000	12,000	8,000	8,000	4,000		
	Operations		149,000	45,000	39,000	39,000	26,000		
	Terminal evaluation	9,50	42,000	0	0	0	42,000		
Sub-total Project Execution Costs (max 9.5 %)			9,29	1,197,000	332,000	377,000	314,000	174,000	
			12,891,235	3,534,808	5,111,125	3,333,774	911,528		
Project Cycle Management (Implementing Entity Management Fee)	UN-H ROAf Project Support Costs: AF and UN-H policies compliance, Progress / evaluation, Travel	1.5%	193,369	53,022	71,876	40,274	50,007	13,673	9,536
	UN-H HQ Project Support Costs: Overall project supervision, incl. compliance to UN-H policies and standards (gender, human rights, climate change, etc.)	7%	902,386	247,437	357,779	233,364		63,807	
Sub-total Project Cycle Management Fee (max 8.5 %)			8.5%	1,095,755	300,459	434,446	283,371	77,480	
Amount of Financing Requested			13,986,990	3,835,267	5,545,570	3,617,145	989,008		

#### Detailed Budget note:

1- UN-Habitat, after receiving a request from the Governments of Ghana and Cote d'Ivoire and after non-objection from the AF Secretariat, proposes to execute Output 3.3.1. In order to comply with OPG Annex 7 and AF Board decision B.18/30, UN-Habitat has discounted the executed amount of USD 206,000 from the total budget, to ensure that the Project Execution Costs minus de executed amount are below the 9.5% threshold.

2- In order to avoid double charging of Project Cycle Management Fees by UN Agencies acting as Execution Entity, United Nations established the UN to UN Agency Agreement, to transfer the 6% of PCMF corresponding to the ABC total executed amount of USD 434,500 from UN-Habitat to UNEP Abidjan Convention from the PCMF assigned to UN-Habitat.

Commented [MG10]: CAR 15: Breakdown of execution costs was included

Commented [MG11]: CAR 14: Budget was included in a regular format.

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PART III.H DISBURSEMENT SCHEDULE

Schedule date	Upon Signing	One Year after project inception	Two years after project inception	Grand Total
A. Project Funds (US\$)	3.202.808	7.753.899	737.528	11.694.235
B. Project Execution (US\$)	332.000	691.000	174.000	1.197.000
C. Project Cycle Mgt (US\$)	300.459	717.816	77.480	1.095.755
Grand Total	3.835.267	9.162.715	989.008	13.986.990

Schedule date	Upon Signing	One Year after project inception	Two years after project inception	Three years after project inception	Grand Total
A. Project Funds (US\$)	3.202.808	4.734.125	3.019.774	737.528	11.694.235
B. Programme Execution (US\$)	332.000	377.000	314.000	174.000	1.197.000
C. Programme Cycle Mgt (US\$)	300.459	434.446	283.371	77.480	1.095.755
Grand Total	3.835.267	5.545.570	3.617.145	989.008	13.986.990

Table 3633. Disbursement Schedule

Commented [MG12]: CAR 18: A disbursement schedule in line with the envisaged implementation schedule for the project, and with the appropriate milestones was included.

**PART IV: ENDORSEMENT BY GOVERNMENT AND CERTIFICATION BY THE IMPLEMENTING ENTITY**

Commented [MG13]: CAR 1: The number of pages has been revised.



MINISTRY  
OF  
ENVIRONMENT, SCIENCE,  
TECHNOLOGY AND  
INNOVATION

P. O. Box M232, Ministries - Accra  
Digital Address : GA-107-3073

Kindly quote this number and date on all correspondence

My Ref. No. MESTI/IA/006/02/Vol.2

Your Ref. No. \_\_\_\_\_

Date, 24<sup>th</sup> December, 2021

THE ADAPTATION FUND BOARD  
C/O ADAPTATION FUND BOARD SECRETARIAT  
Email: [Secretariat@Adaptation-Fund.org](mailto:Secretariat@Adaptation-Fund.org)  
Fax: 202 522 3240/5

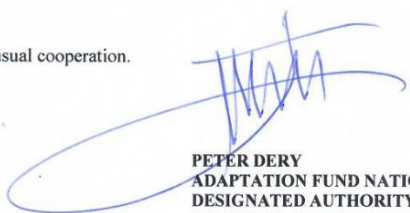
**SUBJECT: ENDORSEMENT FOR PROJECT "IMPROVED RESILIENCE OF COASTAL COMMUNITIES IN GHANA AND COTE D'IVOIRE"**

In my capacity as designated authority for the Adaptation Fund in the Republic of Ghana, I confirm that the above national project proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of and risks, posed by climate change in Ghana.

Accordingly, I am pleased to endorse the above project proposal with support from the Adaptation Fund. If approved, the project will be implemented by the United Nations Human Settlements Program (UN-Habitat) in collaboration with the Ministry of Environment, Science, Technology & Innovation (MESTI) and an executing entity of Ghana at the national level.

UN-Habitat will execute Output 2.2.1 to support the capacity building of the Ministry of Environment, Science, Technology & Innovation and the Land Use and Spatial Planning Authority.

We count on your usual cooperation.

  
**PETER DERY**  
ADAPTATION FUND NATIONAL  
DESIGNATED AUTHORITY  
DIRECTOR, ENVIRONMENT

Tel: +233 (0) 302 662 626  
Email: [info@mesti.gov.gh](mailto:info@mesti.gov.gh)  
Website: [www.mesti.gov.gh](http://www.mesti.gov.gh)



**LAND USE AND SPATIAL PLANNING AUTHORITY (LUSPA)**  
HEAD OFFICE  
POST OFFICE BOX M 61  
MINISTRIES - ACCRA

Reference No. P/UNIT/VOI.II

**LETTER OF ENDORSEMENT BY LAND USE AND  
SPATIAL PLANNING AUTHORITY**



ADAPTATION FUND

11<sup>th</sup> December, 2020

To: Adaptation Fund Board  
c/o Adaptation Fund Board Secretariat  
Email: [Secretariat@Adaptation-Fund.org](mailto:Secretariat@Adaptation-Fund.org)  
Fax: 202 522 3240/5

Through: Adaptation Fund National Designated Authority  
Director, Environment.  
Ministry of Environment, Science, Technology & Innovation  
Email: [info@mesti.gov.gh](mailto:info@mesti.gov.gh)  
Fax: 0302-688 913/688663

Subject: LUSPA requesting UN-Habitat to execute output 1.3. under component 1 of the proposed AF project 'Improved Resilience of Coastal Communities in Cote d' Ivoire and Ghana.'

In my capacity as LUSPA representative, I request approval from the AF to have UN-Habitat execute output 1.3. under component 1 of the proposed AF Project 'Improved Resilience of Coastal Communities in Cote d' Ivoire and Ghana.'

The rationale for the request is that UN-Habitat is mandated and best placed among potential execution entities to conduct the proposed project activities under output 1.3, including having a unique technical advantage and being more cost-effective than competitors. Activities under output 1.3 would include guiding and strengthening the capacity of LUSPA and MMDAs to develop spatial development frameworks in which climate change risks are mainstreamed (see outputs 1.1 and 1.2 under component 1).

LUSPA will also coordinate the development of the district-level spatial development frameworks with the project target MMDAs and disburse budgets for this purpose to the target MMDAs.

Moreover, LUSPA will achieve sustainability of proposed project activities under outputs 1.1 and 1.2 under component 1 by ensuring that the Sub-Regional and District Frameworks are periodically updated as required by national law.

(NAME OF DEPARTMENT)

**Office Address:**  
Land Use and Spatial Planning Authority,  
Head Office,  
Block D Service Drive,  
Ministries Area - Accra

+233 (0) 302 682 052  
+233 (0) 302 682 060  
+233 (0) 302 671 091

 [www.luspa.gov.gh](http://www.luspa.gov.gh)  
 [info@luspa.gov.gh](mailto:info@luspa.gov.gh)

**Commented [MG14]:** UN-Habitat recognizes that this is an exceptional request resulting from the demand of Member States.

Since up to this review there was no request by AF to include NDA signatures in EE letters, they had not been included. UN-Habitat has requested the NDAs of Ghana and Cote d'Ivoire and the EE in Ghana and Cote d'Ivoire to update the letter that justify the rationale for their request for the execution of output 2.2.1 by UN-Habitat. Given the short time frame to receive these letters, UN-Habitat will share them with the AFS as soon as they have been received.

MINISTRY OF ENVIRONMENT AND  
SUSTAINABLE DEVELOPMENT  
-----  
GENERAL COORDINATION OF PROGRAMS  
AND PROJECTS  
-----  
NATIONAL CLIMATE CHANGE PROGRAM  
-----

000002 /MINEDD/CAB/CGPP/PNCC/fmi

REPUBLIC OF CÔTE D'IVOIRE  
*Union - Discipline - Work*



Abidjan, le 17 DEC. 2021



### Letter of Endorsement by Government

To: **The Adaptation Fund Board**  
c/o Adaptation Fund Board Secretariat  
Email: [Secretariat@Adaptation-Fund.org](mailto:Secretariat@Adaptation-Fund.org)  
Fax: 202 522 3240/5

Subject: Endorsement for Project "Improved Resilience of Coastal Communities in Côte d'Ivoire and Ghana"

In my capacity as designated authority for the Adaptation Fund in Republic of Côte d'Ivoire, I confirm that the above national project proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in Côte d'Ivoire.

Accordingly, I am pleased to endorse the above project proposal with support from the Adaptation Fund. If approved, the project will be implemented by the United Nations Human Settlements Program (UN-Habitat) in collaboration with the Ministry of Environment and Sustainable Development, the Ministry of Planning and Development, Ministry of Interior and Security and an executing entity of Côte d'Ivoire at the national level.

UN-Habitat will execute Output 2.2.1 to support the capacity building of the Ministry of Environment and Sustainable Development and the Ministry of Planning and Development.



**ROBERTO Marcel Ignace**  
Adaptation Fund National Designated Authority  
Technical Assistant Technical assistant in charge of  
resource mobilization

-----  
MINISTÈRE DE L'ENVIRONNEMENT ET DU DÉVELOPPEMENT DURABLE  
Cité Administrative, Tour D, 10ème étage  
20 BP 650 Abidjan 20 – Tel: (225) 27 20 23 99 01/14

**MINISTRY OF PLAN  
AND DEVELOPMENT**

DIRECTORATE GENERAL OF PLANNING  
OF THE TERRITORY, REGIONAL AND LOCAL  
DEVELOPMENT



**REPUBLIC OF COTE D'IVOIRE**  
Union - Discipline - Labor

Abidjan, January 04, 2021

**THE DIRECTOR GENERAL**

N° / Ref 000021 / MPD / DGATDRL / tc

**Letter of Endorsement by the Ministry of Plan and Development  
addressed to the Adaptation Fund Board, c / Adaptation Fund Board Secretariat  
Email : Secretariat@Adaptation-Fund.org, Fax: 202 522 3240/5**

\*\*\*\*\*

**Subject :** The Ministry of Plan and Development of the Republic of Cote d'Ivoire requests UN-Habitat to implement output 1.6. as part of component 1 of the AF project entitled " *Improving the resilience of coastal communities in Côte d'Ivoire and Ghana* ".

In my capacity as representative of the Ministry of Plan, I request the approval of the AF for UN-Habitat to implement product 1.6 as part of component 1 of the AF project entitled " *Improving the resilience of coastal communities in Côte d'Ivoire and Ghana* ".

The rationale for the request is that UN-Habitat is mandated and best positioned among potential implementing entities to carry out the project activities proposed under Output 1.6, including having a unique technical advantage and being more cost effective than its competitors. Activities under Output 1.6 would include guiding and strengthening the capacity of the Ministry of Plan and Development, as well as subnational authorities to develop spatial development frameworks in which climate change risks are integrated (see results 1.4 and 1.5 of component 1).

In addition, the Ministry of Plan and Development undertakes to ensure the sustainability of the project activities proposed under outputs 1.4 and 1.5 under component 1 by mobilizing staff and budgets during and after the project, necessary to update the spatial frameworks as required by national legislation. The Ministry of Plan will also coordinate the preparation of territorial development frameworks at the subnational level with the local authorities targeted by the project and will provide them with the necessary means.

Cordially,

**ALLOU Saraka Koffi André**  
Director General of Planning of the Territory,  
Regional and Local Development



**A. Record of endorsement on behalf of the governments**

Peter Dery, Director, Environment Ministry of Environment, Science, Technology & Innovation Designated Authority for the Adaptation Fund, Republic of Ghana	Date: December 24 <sup>th</sup> , 2021
Mr. Marcel Ignace Fodjo, Economist, Engineer Environmental Technical Assistant in Charge of Climate Resources Mobilization Ministry of Environment and Sustainable Development, Republic of Côte d'Ivoire	December 17 <sup>th</sup> , 2021

**B. Implementing Entity certification**

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans, especially the NDCs of Ghana and Cote d'Ivoire and their national climate change strategies / policies, and subject to the approval by the Adaptation Fund Board, commit to implementing the project/programme in compliance with the Environmental and Social Policy of the Adaptation Fund and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project/programme.

Mr. Rafael Tuts, Director, Global Solutions Division, UN-Habitat

Signature:



Implementing Entity Coordinator

Date: January 6 <sup>th</sup> , 2022	Tel. +254 20 76 23 726; Email: raf.tuts@un.org
Project Contact Person: Project Contact Person: Javier Torner, Mathias Spaliviero	
Email: Javier.torner@un.org; mathias.spaliviero@un.org	

**PART V: M&E**

Type of M & E Activity	Activity	Entity	Total	1	2	3	4
Measurements of means of verification (baseline assessment and M & E plans) as part of inception	Inception Workshop	AbC	3,300	3,300			
	Reports preparation and EE compliance to AF ESP and GP	UN-H ROAf	-	See Overall project monitoring and evaluation (from cycle management fee)			
Direct Project Monitoring and Quality Assurance including annual progress and financial reporting, project revisions, technical assistance and ESP and GP compliance (from execution fee M & E and safeguards)	M & E UN-H offices	UN-H National offices	107,500	32,500	30,000	30,000	15,000
Overall project monitoring and evaluation (from cycle management fee)		UN-H ROAf	15,469	4,242	6,133	4,000	1,094
Audits	In line with AF requirements	OIOS	-	-	-	-	-
Terminal external evaluation		Independent	56,000				56,000
<b>Total</b>			<b>182,269</b>	<b>40,042</b>	<b>36,133</b>	<b>34,000</b>	<b>72,094</b>
From Project Execution fee			163,500	32,500	30,000	30,000	71,000
From Project Cycle Management fee			15,469	4,242	6,133	4,000	1,094

Table 37 M&amp;E

## PART VI: BUDGET NOTES

Table 3835 Budget

Commented [MG15]: CAR 14: Budget was included in a regular format.

Outputs	Phases	Activities	Notes / Staff	TOTAL	Year 1	Year 2	Year 3	Year 4
				48	12	12	12	12
1.1.1. Set up a work plan with the Abidjan Convention for the initiatives and events to mainstream (live and digitally) lessons learned and to boost capacity to adapt to climate change		i) Preliminary meeting with the Abidjan Convention to agree on a work plan	Rate of USD for the cost of a meeting	5,000	5,000	0	0	0
		ii) Activity plan (of events) and responsibilities mapping;	Rate of USD for one person working one month at prearing the draft of the agenda for the action plan	5,000	5,000	0	0	0
		iii) Validation meeting with the Abidjan Convention	Rate of USD for the cost of a meeting	2,500	2,500	0	0	0
		iv) Set up of a website (or page within the Abidjan convention website)	One online page/linked website	10,000	10,000	0	0	0
		v) Communication all over the years of the project.	Continuous update of online page/linked website (1 person working 1 week per month all over the project (4 years), which means 12 months of work)	50,000	15,000	10,000	10,000	15,000
		Travel and DSAs	Cost of a 5 days mission to Cdi (200 USD per day DSA + 2000 USD flight a/r)	9,000	9,000	0	0	0
		Subtotal - Output 1.1.1				81,500	46,500	10,000
1.1.2. Round-tables for regional bodies and national governments (through the Abidjan Convention platform) to agree on spatial strategies for a coordinated implementation of climate change adaptation		i) Assessing alignments between the project's outputs and other regional projects and spatial strategies	Rate of USD is for one person working one month doing desk research	10,000	10,000	0	0	0
		ii) Semestral meetings (8) at regional level;	8 regional meetings	20,000	5,000	5,000	5,000	5,000
			Staff work to organize and lead the meetings (one person, working two months in total. If meetings are 8, it means one week of work for each meeting)	10,000	2,500	2,500	2,500	2,500
		iii) Semestral meetings (8) for national governments to mainstream information derived from the regional meetings (prioritizing the involvement of countries hosting trans-boundary initiatives)	Staff work to prepare all the material needed for the meetings (one month all over the project. If meetings are 8, it means 2.5 days of material preparation before each meeting)	10,000	2,500	2,500	2,500	2,500
			8 national governments meetings	20,000	5,000	5,000	5,000	5,000
		Travel and DSAs	Staff work to organize and lead the meetings (one person, working two months in total. If meetings are 8, it means one week of work for each meeting)	10,000	2,500	2,500	2,500	2,500
			Cost of a 5 days mission to Ghana (300 USD per day DSA + 2000 USD flight a/r)	7,000	0	7,000	0	0
				6,000	0	0	6,000	0
Subtotal - Output 1.1.2				103,000	30,000	27,000	26,000	20,000
1.1.3. Regional Assessment identifying key common challenges, climate-change hotspots and priority areas (including trans-boundary issues) and recommendations for climate change adaptation at different scales		i) Desk research from existing literature (scientific and grey literature) about common challenges;	Rate of USD is for one person working two months doing desk research on existing literature	15,000	15,000	0	0	0
		ii) Meetings with relevant institution for discussing and validating the findings	Rate of USD for one hour of work of one person, in a meeting	6,000	6,000	0	0	0
		iii) Data gathering and GIS analysis of key hotspots based on past events, impacts and vulnerability;	Rate of USD is for one person working two months doing GIS analysis for risk assessment	15,000	15,000	0	0	0
		iv) Meetings with relevant institutions for discussing and validating results from the GIS analysis	Rate of USD for one person working eight months preparing the draft of the document, liaising with Abidjan convention for validation and finalizing the document	6,000	6,000	0	0	0
		v) Identification of cross-boundary hotspots and document preparation	Rate of USD for one hour of work of one person, in a meeting	40,000	40,000	0	0	0
		vi) Meetings with relevant institutions for discussing the document preparation	Rate of USD for one hour of work of one person, in a meeting	6,000	6,000	0	0	0
		vii) Validation of results through the Abidjan convention platform	One online event to present the final results (UN-Habitat staff)	0	0	0	0	0
		viii) Drafting recommendations;	Rate USD for one person working one month at the layout of the document	5,000	0	0	5,000	0
		ix) Printed and online	The rate USD is the cost for printing one copy of the document. 50 copies	5,000	0	0	0	5,000
Subtotal - Output 1.1.3				98,000	88,000	0	5,000	5,000
1.2.1. Trainings for regional bodies and national governments (through the above-mentioned platform) for increasing capacity about the use of data and tools to foresee and manage climate change-related risks and impacts		i) Material preparation for enhancing climate adaptation knowledge;	The Rate USD is the cost of one training. (30 people per training. Targeting 2-4 institutions per country). Training will be 8 in total (see column L).	40,000	0	20,000	20,000	0
		ii) Workshops and trainings at national level (8);	The rate USD is the cost of a trainer working 4 months in total to implement the trainings	10,000	0	5,000	5,000	0
		iii) Workshops and trainings at district level (8);	The Rate USD is the cost of one training. Training events: 30 people per training. Targeting 2 districts per country, with 4 trainings per country for a total of 8 trainings	20,000	0	10,000	10,000	0
		iv) To mainstream the model and monitoring system into government processes of planning and monitoring	The Rate USD is the cost of a trainer working 4 months in total to implement the trainings	10,000	0	5,000	5,000	0
		Travel and DSAs	Cost of a 5 days mission to Cdi (200 USD per day DSA + 2000 USD flight a/r)	12,000	6,000	0	6,000	0
Subtotal - Output 1.2.1				92,000	6,000	40,000	46,000	0
1.3.1. Cross-fertilization events to mainstream at local level experiences from community plans and implementation of sub-projects all over the region		Regional SC meetings (to guide implementation and share lessons)	The rate USD is the cost of one meeting. Meeting in total will be 5, involving 20 people per meeting.	12,500	0	0	12,500	0
		National SC meetings (to guide implementation and share lessons)	The rate USD is the cost of one meeting. Meeting in total will be 8: 4 in Ghana and 4 in Cdi. 20 people per meeting.	20,000	5,000	5,000	5,000	5,000
		Best practices and guidelines published and shared online	Development and publishing of guidelines	60,000	0	0	0	60,000
		Project video	Baseline, process and results	30,000	0	0	30,000	0
		Presenting results with presentation	Person presenting	2,500	0	0	0	2,500
		Adaptation Fund West Africa project meeting at WUF 11	The rate USD is the cost of one meeting. Meeting in total will be 4: 2 representatives from Ghana and 2 from Cdi.	20,000	20,000	0	0	0
		Travel and DSAs	Cost of a 5 days mission to Ghana (300 USD per day DSA + 2000 USD flight a/r)	7,000	7,000	0	0	0
			Cost of a 5 days mission to Cdi (200 USD per day DSA + 2000 USD flight a/r)	6,000	0	0	0	6,000
Subtotal - Output 1.3.1				158,000	32,000	5,000	47,500	73,500
TOTAL Component 1				532,500	202,500	82,000	134,500	113,500
Phase 1: Prepare		Stakeholders engagement and participatory strategy	The USD rate is the cost of the activity in one Country (Ghana)	5,000	5,000	0	0	0
		Launching session	The USD rate is the cost of the activity in one Country (Cdi)	5,000	5,000	0	0	0
			The USD rate is the cost of the activity in one Country (Ghana)	5,000	5,000	0	0	0
			The USD rate is the cost of the activity in one Country (Cdi)	5,000	5,000	0	0	0
		Communication strategy	The USD rate is the cost of the activity in one Country (Ghana)	5,000	5,000	0	0	0
			The USD rate is the cost of the activity in one Country (Cdi)	5,000	5,000	0	0	0
		Establish committees and working groups	The USD rate is the cost of the activity in one Country (Ghana)	2,500	2,500	0	0	0
			The USD rate is the cost of the activity in one Country (Cdi)	2,500	2,500	0	0	0
		Workplan for these groups	The USD rate is the cost of the activity in one Country (Ghana)	2,500	2,500	0	0	0
			The USD rate is the cost of the activity in one Country (Cdi)	2,500	2,500	0	0	0
Regular meetings		Regular meetings	The USD rate is the cost of the activity in one Country (Ghana)	20,000	15,000	5,000	0	0
			The USD rate is the cost of the activity in one Country (Cdi)	20,000	15,000	5,000	0	0
		Literature review	The USD rate is the cost of the activity in one Country (Ghana)	3,000	3,000	0	0	0





2.1.2 Local-level Spatial Development Frameworks (Local development plans): two in Ghana targeting Ada East and Keta districts, and one in Cote D'Ivoire targeting the department of Jaquerville, with climate change-related coastal risks identified and measures to increase coastal resilience proposed	Phase 2: Implement (analysis and diagnosis) + plan proposal and implementation plan	Consultative workshop	The USD rate is the cost of the activity in one department in Cdi	4,800	0	4,800	0	0	
		Development strategies	The USD rate is the cost of the activity in one district in Ghana	48,000	0	48,000	0	0	
		Validation workshop	The USD rate is the cost of the activity in one department in Cdi	24,000	0	24,000	0	0	
		Validation workshop	The USD rate is the cost of the activity in one district in Ghana	9,600	0	9,600	0	0	
		Validation workshop	The USD rate is the cost of the activity in one department in Cdi	4,800	0	4,800	0	0	
		Key strategic projects	The USD rate is the cost of the activity in one district in Ghana	24,000	0	24,000	0	0	
		Key strategic projects	The USD rate is the cost of the activity in one department in Cdi	12,000	0	12,000	0	0	
		Key strategic projects	The USD rate is the cost of the activity in one district in Ghana	26,000	0	26,000	0	0	
		Action plan	The USD rate is the cost of the activity in one department in Cdi	13,000	0	13,000	0	0	
		Action plan	The USD rate is the cost of the activity in one district in Ghana	4,800	0	4,800	0	0	
	Phase 3: Operate Phase 4: Maintain	Validation workshop	The USD rate is the cost of the activity in one department in Cdi	2,400	0	2,400	0	0	
		Stakeholder consultation for the adoption of the plan	The USD rate is the cost of the activity in one district in Ghana	3,000	0	3,000	0	0	
		Stakeholder consultation for the adoption of the plan	The USD rate is the cost of the activity in one department in Cdi	1,500	0	1,500	0	0	
		Dissemination of plan	The USD rate is the cost of the activity in one district in Ghana	15,200	0	15,200	0	0	
		Dissemination of plan	The USD rate is the cost of the activity in one department in Cdi	7,600	0	7,600	0	0	
		Operation, management, monitoring and evaluation	The USD rate is the cost of the activity in one district in Ghana	11,000	5,500	5,500	0	0	
		Operation, management, monitoring and evaluation	The USD rate is the cost of the activity in one department in Cdi	38,500	19,250	19,250	0	0	
		Travel in Ghana	Cost of a 5 days mission to Ghana (300 USD per day DSA + 2000 USD flight air)	14,000	3,500	3,500	3,500	3,500	
		Travel Cdi	Cost of a 5 days mission to Cdi (200 USD per day DSA + 2000 USD flight air)	12,000	3,000	3,000	3,000	3,000	
		Subtotal - Output 2.1.2				557,000	129,050	414,950	6,500
2.2.1. On-the-job trainings and workshops to strengthen capacity of the Ministries of the Environment and Sustainable Development and of Planning and Development and municipalities (Ghana), and of Land Use Spatial Planning Authority (LUSPA) and Municipal District Assemblies (MMDAs) (Cdi) to develop, implement, and update spatial development frameworks, to develop, use and update spatial development	Guiding LUSPA and MMDAs to conduct activities above	Spatial planner (international)-one per country	The rate USD if the monthly salary for one international consultant (in Ghana)	65,000	30,000	35,000	0	0	
		Spatial planner (international)-one per country	The rate USD if the monthly salary for one international consultant (in Cdi)	65,000	30,000	35,000	0	0	
		Spatial planner (national)	The rate USD if the monthly salary for one international consultant (in Ghana)	32,500	15,000	17,500	0	0	
		Spatial planner (national)	The rate USD if the monthly salary for one international consultant (in Cdi)	32,500	15,000	17,500	0	0	
		Climate change assessment and mainstreaming specialist	The rate USD if the monthly salary for one international consultant (in Ghana)	36,000	18,000	18,000	0	0	
		Climate change assessment and mainstreaming specialist	The rate USD if the monthly salary for one international consultant (in Cdi)	36,000	18,000	18,000	0	0	
		Travel in Ghana	Cost of a 5 days mission to Ghana (300 USD per day DSA + 2000 USD flight air)	14,000	7,000	7,000	0	0	
		Travel Cdi	Cost of a 5 days mission to Cdi (200 USD per day DSA + 2000 USD flight air)	12,000	6,000	6,000	0	0	
Subtotal - Output 2.2.1				293,000	139,000	154,000	0	0	
TOTAL Component 2				1,724,600	892,800	799,300	19,500	13,000	
3.1.1. Community plans (21) developed in Ghana and Côte d'Ivoire with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures deriving from the physical interventions.	Community management in Ghana	Community mobilisation / awareness (Ghana)	Radio, brochures, posters etc.	Rate USD: cost of Material per community (multiplied then by od communities, in column L) for Ghana	55,000	55,000	0	0	0
		Community mobilisation / awareness (Cdi)	Radio, brochures, posters etc.	Rate USD: cost of Material per community (multiplied then by od communities, in column L) for Cdi	50,000	50,000	0	0	0
		CREMA Engagement with Wildlife Division, Traditional Councils and District Assembly	Workshop in Ghana	8,700	8,700	0	0	0	
		CREMA Awareness to ensure a buy-in by the communities and their leaders	Workshop in Ghana	27,500	27,500	0	0	0	
		CREMA Validation of maps; biophysical, land use and socio-cultural	Workshop in Ghana	12,500	12,500	0	0	0	
		CREMA Community representation and election of CRMCs	Workshop in Ghana	12,500	12,500	0	0	0	
		CREMA Election and inauguration of CREMA Executive Committees	Workshop in Ghana	14,500	0	14,500	0	0	
		CREMA Validation and adoption of CREMA constitution	Workshop in Ghana	14,500	0	14,500	0	0	
		CREMA Draft of the CREMA By-laws and promulgation by the District Assembly	Meeting in Ghana	7,500	0	7,500	0	0	
		Gazette CREMA by-law	Procedure in Ghana	7,500	0	7,500	0	0	
		Engagement of relevant associations, awareness raising, validation of baseline information	Workshop in Cdi	25,000	25,000	0	0	0	
		Election and inauguration of the Committees	Workshop in Cdi	25,000	25,000	0	0	0	
	Community management in Cdi	Draft of the constitution of the management strategy	Workshop in Cdi	30,000	30,000	0	0	0	
		Acceptance and unupdate of Community by-law	Procedure in Cdi	20,000	20,000	0	0	0	
		Concrete interventions planning in Ghana	Workshop	27,500	27,500	0	0	0	
		Concrete interventions planning in Cdi	Workshop	25,000	25,000	0	0	0	
		Concrete interventions start-up/operation in Ghana	Workshop	27,500	0	27,500	0	0	
		Concrete interventions start-up/operation in Cdi	Workshop	25,000	0	25,000	0	0	
		Concrete interventions maintenance and management in	Workshop	27,500	0	0	27,500	0	
		Concrete interventions maintenance and management in	Workshop	25,000	0	0	25,000	0	
		Concrete intervention replication options and monitoring in	Workshop	27,500	0	0	27,500	0	
		Concrete intervention replication options and monitoring in	Workshop	25,000	0	0	25,000	0	
		Verification operation, maintenance, monitoring and	Workshop	27,500	0	0	27,500	0	
		Verification operation, maintenance, monitoring and	Workshop	25,000	0	0	25,000	0	
	Community plans manager in Ghana	The salary is multiplied for two people under the "Rate USD". The task of these people (one per country) relate to the above activities and development of plans (implementation, maintenance, resource management and monitoring)	75,000	15,000	30,000	30,000	0		
		The salary is multiplied for two people under the "Rate USD". The task of these people (one per country) relate to the above activities and development of plans (implementation, maintenance, resource management and monitoring)	75,000	15,000	30,000	30,000	0		
		Community mobilise/trainers in Ghana	The salary is multiplied for eight people under the "Rate USD".	300,000	60,000	120,000	120,000	0	
		Development of CREMA constitution	Rate USD: Staff time of one person	20,000	0	20,000	0	0	
		Community mobilizers for Cdi	The salary is multiplied for eight people under the "Rate USD".	300,000	60,000	120,000	120,000	0	
		Development of community management mechanisms	Rate USD: Staff time of one person	20,000	0	20,000	0	0	
		Selection and contracting of local staff in Ghana	Staff (consultants) (cost for a total of two per country, per month)	210,000	30,000	60,000	60,000	60,000	
		Selection and contracting of local staff in Cdi	Staff (consultants) (cost for a total of two per country, per month)	210,000	30,000	60,000	60,000	60,000	
		Office space set up for local staff 1 per country in Ghana	The Rate USD corresponds to the cost of set-up/equipment for one office (and we will have one office per Country, for a total 1 office, as in column L)	4,080	4,080	0	0	0	
		Office space set up for local staff 1 per country in Cdi	The Rate USD corresponds to the cost of set-up/equipment for one office (and we will have one office per Country, for a total 1 office, as in column L)	4,080	4,080	0	0	0	
		Travel and DSA in Ghana	Cost of a 5 days mission to Ghana (300 USD per day DSA + 2000 USD flight air)	38,500	7,000	10,500	10,500	10,500	
			Cost of a 5 days mission to Cdi (200 USD per day DSA + 2000 USD flight air)	30,000	3,000	9,000	9,000	9,000	

Subtotal - Output 3.1.1				1,859,360	546,860	576,000	597,000	139,500
3.2.1.Establishment of Early Warning Systems (EWS)	Phase 1: Preparation	Studies fees and Experts in Ghana	Rate of USD is for one person working one month doing desk research (two people per country are expected to work at the research)	10,000	10,000	0	0	0
		Studies fees and Experts in Cdi	Rate of USD is for one person working one month doing desk research (two people per country are expected to work at the research)	10,000	10,000	0	0	0
		Analysis of the existing informal systems in place and available hydrometeorological data, assessment of EWS regulations and standards at country and local level Detail flood risk screening based on available data	Event/workshop (multiplied by number of communities, which is 11 in Ghana)	27,500	27,500	0	0	0
		Analysis of the existing informal systems in place and available hydrometeorological data, assessment of EWS regulations and standards at country and local level Detail flood risk screening based on available data	Event/workshop (multiplied by number of communities, which is 10 in Cdi)	20,000	20,000	0	0	0
3.2.1.Establishment of Early Warning Systems (EWS)	Phase 1: Preparation	Participatory mapping and detail identification of the evacuation center and routes in concerned communities, in Ghana	Consultation happening during the events	0	0	0	0	0
		Participatory mapping and detail identification of the evacuation center and routes in concerned communities, in Cdi	Consultation happening during the events	0	0	0	0	0
		Technical visit to define adequate equipments.Definition of the necessary meteorological and hydro equipment to be installed	Studies fees and Experts in Ghana	10,000	10,000	0	0	0
		Technical visit to define adequate equipments.Definition of the necessary meteorological and hydro equipment to be installed	Studies fees and Experts in Cdi	10,000	10,000	0	0	0
		Buying materials: Equipments of EWS (Automatic weather stations, rain gauges, flooding monitoring, sirens, lifeboat, life jackets, etc.) in Ghana	budget for the material per community in Ghana	440,000	440,000	0	0	0
		Buying materials: Equipments of EWS (Automatic weather stations, rain gauges, flooding monitoring, sirens, lifeboat, life jackets, etc.) in Cdi	budget for the material per community in Cdi	320,000	320,000	0	0	0
		Early Warning System construction. Set up automatic stations for real time monitoring of floods and install the automated sirens. Installation of hydrometeorological equipment, including warning messages disseminations kit in Ghana	Set up of the EWS stations. Cost per district (3 districts in Ghana)	30,000	0	30,000	0	0
		Early Warning System construction. Set up automatic stations for real time monitoring of floods and install the automated sirens. Installation of hydrometeorological equipment, including warning messages disseminations kit in Cdi	Set up of the EWS stations. Cost per district (3 districts in Cdi)	20,000	0	20,000	0	0
		Technical training in Ghana	Staff cost two per country, 12 months	72,000	0	72,000	0	0
		Technical training in Cdi	Staff cost two per country, 12 months	72,000	0	72,000	0	0
	Phase 2: Operation	Evacuation Plan (Evacuation centre and routes) design through participatory approach with the concerned communities. Mark escape routes and improve evacuation routes from disaster prone areas to evacuation centres (in Ghana)	Consultation workshop, cost per community	27,500	0	27,500	0	0
		Evacuation Plan (Evacuation centre and routes) design through participatory approach with the concerned communities. Mark escape routes and improve evacuation routes from disaster prone areas to evacuation centres (in Cdi)	Consultation workshop, cost per community	20,000	0	20,000	0	0
		Validation and training workshops. Validation the evacuation centre and routes with communities representatives. In Ghana	Cost of the event (per community)	27,500	0	27,500	0	0
		Validation and training workshops. Validation the evacuation centre and routes with communities representatives. In Cdi	Cost of the event (per community)	20,000	0	20,000	0	0
		Develop a communication and coordination strategy, counting with local authorities as well as community representatives, on the use/maintenance of river water gauges and warning, including automatic alarm and/or radio, phones and megaphones. In Ghana	Cost of the strategy, draft per district	15,000	0	0	15,000	0
		Develop a communication and coordination strategy, counting with local authorities as well as community representatives, on the use/maintenance of river water gauges and warning, including automatic alarm and/or radio, phones and megaphones. In Cdi	Cost of the strategy, draft per district	10,000	0	0	10,000	0
		Buying materials to disseminate warning messages (flags, sirens, signaling, speakers, etc) in Ghana	Budget for communication materia (per community)	55,000	0	0	55,000	0
		Buying materials to disseminate warning messages (flags, sirens, signaling, speakers, etc) in Cdi	Budget for communication materia (per community)	40,000	0	0	40,000	0
		Deliver training on the alarm system and escape routes to be properly marked. Local leaders will be trained to facilitate evacuation of vulnerable groups and take community action to guard the sirens and private property. Women will be encouraged to partake as evacuation guides (in Ghana)	Training cost per district	15,000	0	0	15,000	0

3.2.1 Establishment of Early Warning Systems (EWS)	Phase 3: Monitoring and Maintenance	Deliver training on the alarm system and escape routes to be properly marked. Local leaders will be trained to facilitate evacuation of vulnerable groups and take community action to guard the sirens and private property. Women will be encouraged to partake as evacuation guides (in Cdi)	Training cost per district	10,000	0	0	10,000	0
		EWS Central Data Management in Ghana	Studies fees per country	30,000	0	0	30,000	0
		EWS Central Data Management in Cdi	Studies fees per country	30,000	0	0	30,000	0
		Detailed weather information and data management + Disaster information sharing system (L-Alert) in Ghana	Cost of the activity per community	27,500	0	27,500	0	0
		Detailed weather information and data management + Disaster information sharing system (L-Alert) in Ghana	Cost of the activity per community	20,000	0	20,000	0	0
		Workshops: Facilitating coordination among districts and information exchange in Ghana	Cost of the workshop per district	9,000	0	0	0	9,000
		Workshops: Facilitating coordination among districts and information exchange in Cdi	Cost of the workshop per district	6,000	0	0	0	6,000
		Institutional framework/ Governance instruments. Review and provide recommendation of relevant national, regional and local rules, regulations, standards and procedures/lessons learned in Ghana	Staff cost (one person, one month, per country)	5,000	0	5,000	0	0
		Institutional framework/ Governance instruments. Review and provide recommendation of relevant national, regional and local rules, regulations, standards and procedures/lessons learned in Cdi	Staff cost (one person, one month, per country)	5,000	0	5,000	0	0
		Validation and training workshops. Raise awareness and conduct trainings (regular drills).		0	0	0	0	0
				0	0	0	0	0
		Field monitoring _for the maintenance in Ghana	Mid-project monitoring and final monitoring (one week each)	27,500	0	0	0	27,500
		Field monitoring _for the maintenance in Cdi	Mid-project monitoring and final monitoring (one week each)	20,000	0	0	0	20,000
		Travel costs and DSAs for Ghana	Cost of a 5 days mission to Ghana (300 USD per day DSA + 2000 USD flight a/r)	28,000	7,000	7,000	7,000	7,000
		Travel costs and DSAs for Cdi	Cost of a 5 days mission to Cdi (200 USD per day DSA + 2000 USD flight a/r)	24,000	6,000	6,000	6,000	6,000
Supervision and coordination	Supervision and coordination (done by the local staff already on site)							
Subtotal - Output 3.2.1				1,533,500	860,500	379,500	218,000	75,500
3.2.2. Integrated NBS for urban flood adaptation	Phase 1: Preparation	Staff (4 consultants in Ghana, for 6 months: 4 months of study and 2 of design)	The USD rate refers to the cost of 4 people during one month	48,000	48,000	0	0	0
		Staff (4 consultants in Cdi for 6 months: 4 months of study and 2 of design)	The USD rate refers to the cost of 4 people during one month	48,000	48,000	0	0	0
		Studies fees and equipments in Ghana	Cost of the engineering study, per location	49,500	49,500	0	0	0
		Studies fees and equipments in Cdi	Cost of the engineering study, per location	33,000	33,000	0	0	0
		Stakeholder meeting and validation of project intervention sites (workshops)	Cost of the event (per community)	22,500	22,500	0	0	0
		Stakeholder meeting and validation of project intervention sites (workshops)	Cost of the event (per community)	15,000	15,000	0	0	0
	Phase 2: Operation	Micro-bioretenion cell/rain garden (materials and personnel) Ghana	cost of micro-retention cell per community in Ghana	232,200	0	232,200	0	0
		Micro-bioretenion cell/rain garden (materials and personnel) Cdi	cost of micro-retention cell per community in Cdi	206,400	0	206,400	0	0
		Drainage channels/bioswales (materials and personnel) Ghana	cost of restoration of drainage channels per community (staff+material) in Ghana	360,000	0	360,000	0	0
		Drainage channels/bioswales (materials and personnel) Cdi	cost of restoration of drainage channels per community (staff+material) in Cdi	240,000	0	240,000	0	0
	Phase 3: Monitoring and Maintenance	Seasonal stormwater retention basin (materials and personnel) Ghana	Cost of the seasonal stormwater	100,000	0	0	100,000	0
		Flooding assessment and infiltration capacity assessments in Ghana	The USD rate is the cost of the assessment fper district	7,500	0	0	0	7,500
		Flooding assessment and infiltration capacity assessments in Cdi	The USD rate is the cost of the assessment fper district	5,000	0	0	0	5,000
		Maintenance. Rain garden and micro-bioretenion maintenance and inspection (grass cutting; removal of sediments, vegetation maintenance, etc.) Ghana	cost of the maintenance per community in Ghana	9,450	0	0	0	9,450
		Maintenance. Rain garden and micro-bioretenion maintenance and inspection (grass cutting; removal of sediments, vegetation maintenance, etc.) Cdi	cost of the maintenance per community in Cdi	10,200	0	0	0	10,200
		Maintenance. Bioswale vegetation maintenance and inspection (grass cutting and removal; removal of sediment) Ghana	cost of the maintenance per community in Ghana	14,688	0	0	0	14,688
		Maintenance. Bioswale vegetation maintenance and inspection (grass cutting and removal; removal of sediment) Cdi	cost of the maintenance per community in Cdi	10,284	0	0	0	10,284
		Maintenance. Seasonal strowater detention basin maintenance and inspection (grass cutting and removal; removal of sediment) Ghana	cost of the maintenance per community in Ghana	6,800	0	0	0	6,800
		Travel costs and DSAs for Ghana	Cost of a 5 days mission to Ghana (300 USD per day DSA + 2000 USD flight a/r)	28,000	7,000	7,000	7,000	7,000
		Travel costs and DSAs for Cdi	Cost of a 5 days mission to Cdi (200 USD per day DSA + 2000 USD flight a/r)	18,000	3,000	6,000	6,000	3,000
		Coordination support	Supervision and coordination (done by the local staff already on site)	0	0	0	0	0
Subtotal - Output 3.2.2				1,464,522	226,000	1,051,600	113,000	73,928
3.2.3.Mangrove restoration	Phase 1: Preparation	Detailed technical engineering study and design	Staff (2 consultants, in Ghana for 2 months)	12,000	12,000	0	0	0
			Staff (2 consultants, in Cdi, for 2 months)	12,000	12,000	0	0	0
			Technical engineering studies costs: Hydrodynamics, soil typology and biodiversity studies equipment, travel costs in Ghana	10,000	10,000	0	0	0
			Technical engineering studies costs: Hydrodynamics, soil typology and biodiversity studies equipment, travel costs in Cdi	10,000	10,000	0	0	0
Buying materials		Mattock, wellington boots, cutlasses (1 in Ghana)	3,820	3,820	0	0	0	





Commented [MG16]: CAR 15: Breakdown of execution costs was included

3.2.4.Climate resilient agriculture	Phase 2:Operation	Training costs	Develop approach (rain)water harvesting	7,000	0	7,000	0	0
			Supervision, monitoring and reporting (Development Institute) in Ghana	72,000	0	28,800	28,800	14,400
			Supervision, monitoring and reporting (Development Institute) in Cdi	72,000	0	28,800	28,800	14,400
			Project monitoring and reporting in Ghana	60,000	0	24,000	24,000	12,000
			Project monitoring and reporting in Cdi	60,000	0	24,000	24,000	12,000
			Analysis and development of sustainable economic models in Ghana	15,000	0	5,000	5,000	5,000
			Analysis and development of sustainable economic models in Cdi	15,000	0	5,000	5,000	5,000
			ensure seed availability of new crop varieties in Ghana	3,168	0	1,056	1,056	1,056
			ensure seed availability of new crop varieties in Cdi	3,168	0	1,056	1,056	1,056
			organize farmer field day (The Development Institute) in Ghana	6,000	0	2,000	2,000	2,000
			organize farmer field day (The Development Institute) in Cdi	6,000	0	2,000	2,000	2,000
			Evaluation of results and refinement "best practise" for next season in Ghana	25,344	0	8,448	8,448	8,448
			Evaluation of results and refinement "best practise" for next season in Cdi	25,344	0	8,448	8,448	8,448
		Coordination support	Expert in Ghana	18,000	0	18,000	0	0
			Expert in Cdi	18,000	0	18,000	0	0
			Supervision and coordination (20 %) in Ghana	30,000	5,000	10,000	10,000	5,000
		Travel and DSAs	Supervision and coordination (20 %) in Cdi	30,000	5,000	10,000	10,000	5,000
			Cost of a 5 days mission to Ghana (300 USD per day DSA + 2000 USD flight air)	14,000	3,500	3,500	3,500	3,500
			Cost of a 5 days mission to Cdi (200 USD per day DSA + 2000 USD flight air)	9,000	0	3,000	3,000	3,000
Subtotal - Output 3.2.4				2,588,414	131,100	500,638	1,766,688	189,988
3.3.1. Trainings for Municipal staff and communities targeting sub-projects implementation and/or maintenance		On the job training during the development of community plans (3.1.1);	Staff visiting and supporting the development of the community plans implementation in Ghana	6,000	0	6,000	0	0
			Staff visiting and supporting the development of the community plans implementation in Cdi	4,000	0	4,000	0	0
			Technical engineering study trainings in Ghana (25% of this budget is only for women below 25 years old, 25% is only for women above 25 years old, 25% is only for men below 25 years old)	6,000	0	6,000	0	0
		Trainings, grouping 2 to 4 communities (for a total of three training locations in Ghana and two in Cdi) for urban flood resilience	Technical engineering study trainings in Cdi	4,000	0	4,000	0	0
			biowaste (construction) in Ghana	6,000	0	0	6,000	0
			biowaste (construction) in Cdi	4,000	0	0	4,000	0
			rain garden (construction) in Ghana	6,000	0	0	6,000	0
			rain garden (construction) in Cdi	4,000	0	0	4,000	0
			bioerotion (construction) in Ghana	6,000	0	0	6,000	0
			biowaste (M&M) in Ghana	6,000	0	0	0	6,000
			biowaste (M&M) in Cdi	4,000	0	0	0	4,000
			rain garden (M&M) in Ghana	6,000	0	0	0	6,000
			rain garden (M&M) in Cdi	4,000	0	0	0	4,000
			bioerotion (M&M)	6,000	0	0	0	6,000
		Trainings, grouping 2 to 4 communities (for a total of three training locations in Ghana and two in Cdi) for per-urban sustainable and resilient agriculture	Climate-resilient soil management in Ghana	6,000	0	6,000	0	0
			Climate-resilient soil management in Cdi	4,000	0	4,000	0	0
			Salt-resilient crops adoption in Ghana	6,000	0	6,000	0	0
			Salt-resilient crops adoption in Cdi	4,000	0	4,000	0	0
		Trainings, grouping 2 to 4 communities (for a total of three training locations in Ghana and two in Cdi) for solid waste management	Urban -Agriculture land management in Ghana	6,000	0	6,000	0	0
			Urban -Agriculture land management in Cdi	4,000	0	4,000	0	0
			SWM training in Ghana	6,000	6,000	0	0	0
			SWM training in Cdi	4,000	4,000	0	0	0
		Staff cost	Trainer in Ghana	27,000	2,250	11,250	6,750	6,750
			Trainer in Cdi	15,000	1,500	7,500	3,000	3,000
		Travel costs and DSAs for Ghana	Cost of a 5 days mission to Ghana (300 USD per day DSA + 2000 USD flight air)	28,000	7,000	7,000	7,000	7,000
			Cost of a 5 days mission to Cdi (200 USD per day DSA + 2000 USD flight air)	24,000	6,000	6,000	6,000	6,000
Travel costs and DSAs for Cdi				24,000	6,000	6,000	6,000	6,000
Subtotal - Output 3.3.1				206,000	26,750	81,750	48,750	48,750
TOTAL Component 3				9,437,135	2,107,508	3,852,825	2,865,774	611,028
TOTAL				11,694,235	3,202,808	4,734,125	3,019,774	737,528
Project execution costs								
Project execution costs	Cote d'Ivoire	Project Manager - Regional Project Coordination (international P3)	480,000	120,000	144,000	144,000	72,000	0
		Regional Spatial planning coordinator (international P2 40%)	0	0	0	0	0	0
		Admin / financial procurement (national)	100,000	25,000	30,000	30,000	15,000	0
	Ghana	Safeguarding system (AF) compliance (national)	30,000	7,500	7,500	7,500	7,500	0
		M & E and communication (national)	70,000	17,500	22,500	22,500	7,500	0
		National Project coordination (national)	224,000	80,000	96,000	48,000	0	0
	Travel	Admin / financial procurement (national)	70,000	25,000	30,000	15,000	0	0
		Travel	32,000	12,000	8,000	8,000	4,000	0
		Vehicle Operations & Maintenance	31,000	9,000	9,000	9,000	4,000	0
	Operations	Office Rent	86,000	24,000	24,000	24,000	14,000	0
		Communication / publication / printing	18,000	4,000	4,000	4,000	6,000	0
		Office Supplies, Stationery, Computers	14,000	8,000	2,000	2,000	2,000	0
	Final evaluation	42,000	0	0	0	42,000	0	
TOTAL Execution costs				1,197,000	332,000	377,000	314,000	174,000
TOTAL Project costs				12,891,235	3,534,808	5,111,125	3,333,774	911,528
Project cycle management fee costs								
Project cycle management (Implementing Entity Management Fee)		UN-H ROAF overall project supervision and M & E, incl. AF and UN-H policies (esp. ESP and GP) and regulations compliance (Senior Human Settlements officer 5% + PMO 5% + PMA 25 % + M & E)	177,900	67,634	65,550	36,274	8,442	0
		UN-H ROAF Travel	15,469	4,242	6,133	4,000	1,094	0
		UN-H HQ Overall project supervision, incl. compliance to UN-H policies (gender, human rights, climate change, etc.)	902,386	247,437	357,779	233,364	63,807	0
TOTAL management fee				1,095,755	319,312	429,462	273,638	73,343
TOTAL amount of financing requested				13,986,990	3,854,120	5,540,586	3,607,413	984,871

## ANNEX 1: VULNERABILITY ANALYSIS AND MCA SELECTION OF PROJECT AREAS FOR THE SUBPROJECTS OUTCOME 3.2.

As mentioned under section II.A, the selection of areas of interventions for the subprojects, was based on a Multi-criteria analysis (MCA). Criteria of the analysis are: i) CC environmental-social-financial (economic) impacts; ii) Beneficiaries impact; iii) Vulnerable groups ratio; iv) Geographical impact; v) Alignment with government priorities.

### 1.1 Coastal areas analysis in Côte d'Ivoire for area selection

As previously mentioned in the Background section, the Ivorian coastline is extremely vulnerable to both coastal flooding and erosion.

The coastline is 566 km long and consists of a variety of coastal habitats including coastal lagoons, estuaries, mangroves, swamps and humid zones. The coastal area can be divided into three zones based on geomorphology. The first zone is from Cape of Palmas to Sassandra and is characterized by a rocky coast and an elevation above 10 meters. The second zone goes from Sassandra to Abidjan and is covered by coastal cliffs. Finally, the third zone from Abidjan to Cape of Three is composed of sandy beaches and lies slightly above sea level (0-10m). Due to their different characteristics, the Levels of vulnerability vary from one region to another, and it is therefore important to identify the areas at highest risk to prioritize as main targets for this project. The Third zone (also called the Greater Abidjan area) is composed of the districts of Abidjan, Agboville, Tiassale, and Grand-Lahou. and is the most densely populated because of its rapidly growing populations and migration of rural communities to urban areas in search of better opportunities. The area concentrates more than 30% of the national population. Due to this, unplanned development and urban sprawl occurred leading the city to extend in areas where (natural) hazards were higher.

Based on the fact that the coastal communities and assets within the Great Abidjan region (or Third zone) are most at risk, the project decided to concentrate efforts in this area. To select which departments and communities within the region will be included in this project, a prioritization process using a multi-criteria methodology was conducted to ensure evidence-based selection. The parameters included in this multi-criteria methodology are environmental and socio-economic impacts, vulnerable groups, beneficiaries, geographical impact, and alignment with national priorities.

The prioritization process was conducted using a matrix where the different parameters were given a score for each of the areas to be prioritized. Ultimately, the prioritization was done by ranking the areas from the highest to the lowest values. As per the table below, the prioritized departments are Port-Bouet, Grand-Bassam, and Jacqueville. However, due to ongoing investments in Port Bouet the department was excluded to avoid duplication. Therefore, the final selected departments are **Grand-Bassam and Jacqueville**.

Annex Table 1 Prioritization of target areas. Côte d'Ivoire.

Annex 1 Table 1 Prioritization of target areas. Code d'origine.								PRIORITY
Department/ commune	CC environmental-social- financial (economic) impacts	Beneficiaries impact	Vulnerable groups ratio	Prioritization criteria			Alignment with government priorities	
				Geographical impact				
				m/year	%	Final		
Jacqueville							3	
Bingerville							1	
Grand-Bassam							2	
Cocody							4	
Port-Bouet							1	

The selection and prioritization highlighted in this table has been achieved through consultations with stakeholders and communities, as well as by data collection from relevant studies and statistics on the Ivorian coastal dynamics.

### 1.2 Coastal areas analysis in Ghana for area selection

In order to identify the target areas for the project, results from a vulnerability study have been used. This study, Mapping Vulnerability and Risk of Ghana's Coastline to Sea Level Rise, is a collaboration between the University of Ghana and the University of Portsmouth in 2016.<sup>100</sup> It entails a detailed assessment at district level through the Coastal Vulnerability Index-based methodology, which provides a vulnerability ranking. This results from the analysis and correlation of key variables influencing coastal change. These variables include

<sup>100</sup> Boateng, Isaac.Jayson-Quashigah, Philip. 2016.Mapping Vulnerability and Risk of Ghana's Coastline to Sea Level Rise.



geomorphology characteristics such as coastal slope or wave heights, and socio-economic dynamics such as population at risk.



Annex Figure 1 Coastal Vulnerability Index to sea level rise and coastal flooding and erosion. Ghana

The study shows how 36% of the coastline has very high levels of vulnerability. The Eastern part of the coast presents the highest erosion rates, 3.9 m/year, compared to the Central and Western areas that have values of 2.7 m/year and 1.6 m/year, respectively.<sup>101</sup> Another study estimates that under a scenario of 2 m sea level rise, around 5,000 km<sup>2</sup> of the eastern districts will be impacted by floods.<sup>102</sup> There are four coastal regions in the country, Western, Central, **Greater Accra, and Volta**. This proposal will focus on the latter two that are located on the eastern part of the coast, based on their higher vulnerability values, and the evaluation of socio-economic and environmental assets presented below.

In terms of socio-economic resources, Greater Accra and Volta regions while having 24% of the land, host 44% of the national population<sup>103</sup> and over 60% of major industries (manufacturing, refinery, mining, port and harbour, textile and smelting). Here, population growth is also among the highest in the country, rating at 3.1% in Greater Accra and 2.5% in Volta, according to latest census in 2010. In Volta region, rural growth is the most relevant having a rate of 2.8%.

Annex Figure 23 Population density in Greater Accra and Volta regions. Ghana



Based on highest levels of vulnerability, key environmental assets at risk, and higher need for support in rural areas (where less investment and initiatives take place), the project will concentrate on the eastern part of these two regions. This means out of the 8 coastal districts, Ga South, Accra Metropolitan, and Tema Metropolitan, were excluded from the final selection process. The remaining districts are included in the project and are Ningo-Prampam, Ada East, Ada West, Anloga/ Keta, and Ketu. The selection

of these districts was done according to a prioritization process using a multi-criteria methodology to ensure evidence-based selection. The parameters included in a multi-criteria methodology are environmental and socio-economic impacts, vulnerable groups, beneficiaries, geographical impact, and alignment with national priorities.

Each of these was weighted according to its relevance and was provided with measurable indicators that ensured an objective evaluation. The prioritization process was conducted using a matrix where the different parameters were given a score for each of the areas to be prioritized. Ultimately, the prioritization was done

<sup>101</sup> Giardino.A., et al. 2017. A quantitative assessment on human interventions and climate change on the West African sediment budget.  
<sup>102</sup> Adortse. P., 2019. Coastal flood hazard assessment for Ghana.  
<sup>103</sup> Ministry of Environment, Science, Technology and Innovation, Town and Country Planning Department, National Development Planning Commission.2015.Ghana National Spatial Development Framework (2015-2035)

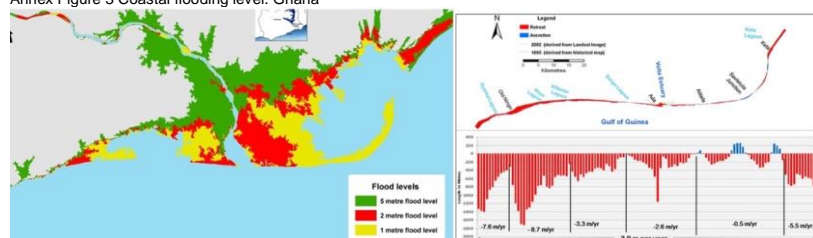
by ranking the areas from the highest to the lowest values. As per the table below the selected districts are **Anloga/ Keta, Ada East, and Ada West.**

Annex Table 2 Prioritization of target areas. Ghana

Annex 1 Table 2 Prioritization of target areas, Ghana								PRIORITY
Districts	Environmental-social-financial (economic) impacts	Beneficiaries impact	Vulnerable groups ratio	Prioritization criteria			Alignment with government priorities	
				Geographical impact				
				m/year	%	Final		
Ningo-Prampam							5	
Ada West							3	
Ada East							2	
Anloga/ Keta							1	
Ketu							4	

Evidence for this matrix has been collected from consultations with stakeholders and the communities, as well as from detailed studies that targeted coastal flooding and erosion. As per the maps below, the area of study is in serious threat of flooding, both landward (lagoon water) and seaward (sea water), and coastal recession due to the soft geology, low-lying topography, and the reduction of sediment supply. For example, it highlights how erosion rates are very severe, reaching 2-3m/year in the Volta estuary and 8m/year in Anloga/ Keta. Episodes of shore erosion over the last several decades caused about 70% loss of infrastructure along the coast of Anloga/ Keta.

Annex Figure 3 Coastal flooding level. Ghana



### 1.3 Summary and characteristics of communities in target areas.

The information provided by the coastal area analysis and the prioritization of the target areas for increasing local adaptive capacity facilitated the identification of the communities that will be directly benefited from the project's physical interventions that fall under component 3. The following section compiles specific information regarding the main characteristics of each community related to their physical, social, and environmental infrastructure. These specific elements create a unique profile for each community in which most cases, increases their vulnerability to climate change hazards, emphasizing the presence of people and ecosystems that are being adversely affected by coastal-related hazards and other environmental and social threats.

Despite their singularities, all communities share high levels of exposure, sensitivity, and low adaptive capacity to cope with climate change hazards. In all the communities, main income-generating activities and livelihoods are critically compromised. In several communities' other infrastructure challenges surge which make it more difficult to increase coastal resilience, such as lack of basic sanitization infrastructure or weak agriculture practices. The information was provided directly by communities through the consultative process, and it was rectified by international experts on climate change adaptation in the region.

### Communities Cote d'Ivoire

#### 1. Mondokou - Grand Bassam district

- **Summary:** The community is vulnerable to impact of the sea level and the Volta River channel. Even though many houses are already elevated, strong floods put in risk the life of the people in the community, also affecting their livelihood, which is currently based on agriculture.
- **Community characteristics:** Mondoukou is a very diverse community, with different ethnics and languages. Due to the soil saturation, the agriculture is weak. There are many hotels located in the seaside, which are also being affected by flooding during raining season. While men are responsible for fishing and agriculture, women have the role of smoking (cooking) the fish and producing flour with cassava. Coconut is used to generate energy.

#### 2. Quartier France- Grand Bassam district

- **Summary:** The community is very fragile and susceptible to impact of the sea level rise and sediment accumulation of the lagoon. Even though there are some surface drainage lines and structured roads, floods put in risk the life of the people in the community.
- **Community characteristics:** The Kingdom of Quartier France is an organized community, with a clear hierarchy structure. The community is an old village with history from the colonial period expressed in some buildings. Those old area have better infrastructure, such as paved roads, surface drainage system and satellite antenna. There is agriculture in the garden of some houses, creating a low-density pattern.

### 3. Azzuretti- Grand Bassam district

- **Summary:** The settlement is in a narrow land between the ocean and the lagoon and is very vulnerable to flooding as a result of lagoon and sea level rise and accelerated sedimentation of the lagoon. Flood put in risk the lives of the people in the community.
- **Community characteristics:** Large part of the inhabitants have come from other communities that have been invaded by the ocean. Many houses seem recent (similar to temporary shelters), the spatial distribution is organic, and there are no defined streets. Sea-fishing is the main source of food and the main source of income, since and agriculture is weak. Men are also responsible for fishing and women for smoking (cooking) and selling the fish. Wood is used for cooking and general energy. Due to the lack of job opportunities and studies, the youth are moving to Grand Bassam and Abidjan.

### 4. Vitre 2- Grand Bassam district

- **Summary:** climate change has mainly impacted livelihood: agriculture and fishing. Agriculture has been impacted by the risen temperature and floods; change of water properties in the lagoon has resulted in the reduction of fish. Only houses near the lagoon face floods.
- **Community characteristics:** The settlement follows the lagoon line and is surrounded by water. The access to the community is very weak, making the community very isolated. Although there is a floodable area of the lagoon (lagoon level has risen over the years), most part of the houses are located in a safe distance from the lagoon, making the flood impact to the houses low. The land agriculture is located around 4km from the community and is vulnerable to flooding. Rain pattern change has impacted the agriculture practice and fishing has become more important. Fishing is also challenged by the climate change - as result of sediment accumulation, run-off and property changes, number of fish in the lagoons have reduced.

### 5. Gran-Jack- Jacquelineville district

- **Summary:** the houses along the coastline are vulnerable to floods due to sea level rise and strong rainfall. Climate change has also impacted livelihood – fishing has reduced, and agriculture is challenged by floods and drought.
- **Community characteristics:** The sea property has been affected by the climate change, as result of sediment accumulation, pollution, run-off and property changes, the number of fish in the sea have reduced and has impacted the fishing and agriculture has become more important. A large project of oil and gas is under construction, with pipes in the main road of the community, reducing the land available to agriculture. In the past, the community used to plant coffee and cacao. Around the community, there is a large coconut plantation, with around 600HA, planted by the government.

### 6. Attotou B- Jacquelineville district

- **Summary:** similar to Vitre 2, climate change has mainly impacted livelihood: agriculture and fishing. Agriculture has been impacted by flood but also drought; change of water properties in the lagoon has resulted in the reduction of fish.
- **Community characteristics:** The community is very isolated to the other communities, with difficult access and poor road quality. Similar to Vitre 2, rain pattern change has impacted the agriculture practice and fishing has become more important. The lagoon property has been affected by the climate change - as result of sediment accumulation, pollution, run-off and property changes, the number of fish in the lagoons have reduced and has impacted the fishing production. Men are responsible for fishing and agriculture and women for smoking (cooking) the fish and produce flour with cassava. Coconut is used to generate energy.

### 7. Koko - Jacquelineville district

- **Summary:** Similar to Vitre 2 and Attoutou B, in Koko climate change has mainly impacted livelihood: agriculture and fishing. Floods occur in the agriculture land, roads and in-between buildings closed to the lagoon.
- **Community characteristics:** The settlement follows the coast and is surrounded by water. The population is characterized by kids and elders. Due to the lack of available opportunities, the youth are leaving to surrounds communities, with better access to education and jobs. Although there is a floodable area closed to the lagoon, the most part of the houses are located in a safe distance from the lagoon, making the flood impact low. There is some vegetation in public spaces and roads in the community, acting as surface drainage. Main activities are agriculture and fishing. Men are responsible for fishing and agriculture and women for smoking (cooking) the fish and produce flour with cassava. Coconut is used to generate energy.

#### 8. Tiemen - Jacqueville district

- **Summary:** The community does not flood, but the drought, risen temperature and erosion are impacting livelihood. Agriculture has become the main activity overfishing.
- **Community characteristics:** The settlement is possibly the most developed of the targeted communities. The community is very diverse with some migrants from Mali, Nigeria, Burkina Faso. The site has seen tensions on land tenure. Since 2018, a private company has been working in a project for subdivision of plots and is negotiating a resettlement to this new place, where the company will build houses and infrastructures and two hotels. The community is in contact with this company and already signed agreements. Previously, the main activity in the community was fishing but due to the climate change effects, resulting on sediment accumulation, pollution, number of fish in the lagoon have reduced. The agriculture become the main activity, with focus on the cassava. The cassava production is also for commercial purpose. There are vegetation and mangrove between the community and the lagoon, the houses are built with a safe distance from the waterpoint and are elevated from the floor, protected from floods.

#### 9. Tefredji - Jacqueville district

- **Summary:** the community is very fragile and susceptible to impact of the sea/lagoon level rise and sediment accumulation. Floods put in risk the life of the people in the community, rather than their source of livelihood.
- **Community characteristics:** It is a very isolated community, to arrive there is necessary a boat and some roads are not accessible to cars. The settlement is structured, with some good buildings. The community is aware of the impacts of the climate change and have already attempted to grow mangrove and built rudimentary drainage channels, however they lack technical knowledge. Adults leave the community seeking for livelihoods opportunities and there are many kids and elders.

#### 10. Taboth – Jacqueville district

- **Summary:** The community does not flood, but the drought, risen temperature and erosion are impacting livelihood.
- **Community characteristics:** Previously the main activity in the community was fishing but due to the climate change effects, resulting on sediment accumulation, pollution, number of fish in the lagoon have reduced. The agriculture become the main activity, with focus on the cassava. The houses are built with elevated floors, avoiding flash floods. There are few green areas in public spaces, contributing to protect the soil.

### Communities in Ghana.

#### 1. Wokumagbe – Ada West District

- **Summary:** The community is very fragile and susceptible to impact of the sea level rise and sediment accumulation of Keta lagoon. Floods put in risk the life of the people in the community, rather than their source of income since the community lives out of sea-fishing.
- **Community characteristics:** Wokumagbe is one of the poorest of the targeted communities. Sanitation system is limited to one public toilet. With weak agriculture practice (vegetables are bought from other communities or from Accra), fishing is the main source of food. Men are responsible for fishing and women for smoking (cooking) the fish. Wood is used for cooking and general energy.

#### 2. Akplabanya- Ada West District

- **Summary:** The community is very fragile and susceptible to impact of the sea level rise and sediment accumulation of Keta lagoon. As Wokumagbe, floods put in risk the life of the people in the community, rather than their source of income since the community lives out of sea-fishing.
- **Community characteristics:** Akpabanya is one of the poorest of the targeted communities, with a growing population of approximately 5,000 people. Large part of the people has come from other neighbouring communities that have been invaded by the ocean. Many houses seem recent (similar to temporary shelters), the spatial distribution is organic, and there are no defined streets. The community lacks basic services, notably sanitation, and the lagoon is deeply polluted. As in Wokumagbe sea-fishing is the main source of food and agriculture is weak. Men are responsible for fishing and women for smoking (cooking) the fish. Wood is used for cooking and general energy.

### 3. Goi- Ada West District

- **Summary:** The community is susceptible to impact of the sea level rise and sediment accumulation of coastal lagoons. Floods impact only houses near the lagoon but provokes diseases in the community.
- **Community characteristics:** Agriculture is practiced by man and women and is stronger than fishing. Production is sold to Accra and neighbouring communities. A large lagoon area (floodable area) exists between the ocean and the main part of Goi.

### 4. Kewunor-Azizanya – Ada East District

- **Summary:** Narrow along the remaining land in the estuary, the settlement is very vulnerable to flooding as a result of lagoon and sea level rise, and accelerated sedimentation. A large lagoon area (floodable area) exists between the ocean and the community.
- **Community characteristics:** The settlement is located in the estuary, where the Volta River and the ocean meet. The community is an old fishing village with history from the colonial period expressed in some buildings. Together with fishing, hotels and resorts are important source of income. The site has seen tensions on land tenure and the pressure from the hospitality sector. Dredging has already been done in the past, and although the community faces flooding issues again, the memory of the short-term benefits dredging is very present.

### 5. Agorkedzi/Atititi – Anloga/ Keta District

- **Summary:** The community is susceptible to impact of the sea level rise and sediment accumulation of coastal lagoons. Floods put in risk the life of the people in the community, rather than their source of income since the community lives out of sea-fishing.
- **Community characteristics:** The settlement follows the coast (thing and long) and is surrounded by water. In 'front' of Agorkedzi, between the ocean and the houses, there are a number of coastal lagoons. In the 'back' of Agorkedzi (northern part), there is a floodable area of the Volta River. The population is continuously moving due to the flooding and constructions are easily spotted. For instance, due to the sea level rise, people living in the very west of Agorkedzi (community called Fuveme), have moved to the north part of Agorkedzi. Fishing is stronger than agriculture. Men are responsible for fishing and women for smoking (cooking) and sell the fish in the traditional market.

### 6. Agbledomi - Anloga/ Keta District

- **Summary:** The community is vulnerable to sea/lagoon level rise, which impacts mainly the sources of livelihood. Mangrove between the lagoon and the agriculture land has been destroyed throughout the years. Few waterpoints generated small lagoons (floodable area) between the ocean, the community and the agriculture land.
- **Community characteristics:** Rain pattern change has impacted the agriculture practice and fishing has become more important - although fishing is currently the main activity (60%\*), agriculture (40%\*) is still very strong. Fishing is also challenged by the climate change, as result of sediment accumulation, run-off and property changes, number of fish in the lagoons have reduced.

\*based on community perception

### 7. Dzita- Anloga/ Keta District

- **Summary:** Floods impact houses near the coastal lagoon. Rain patterns change and lagoon property have impacted fishing. Agriculture is challenged by salinity. Some water spots generated lagoon area (floodable area) between the ocean and the community.
- **Community characteristics:** The settlement follows the coast (thing and long). In 'front' of Dzita, between the ocean and the houses, there are a number of coastal lagoons with a coastal landscape

and challenges similar to Goi. In the 'back' of Dzita (northern part) is located the agricultural land. Agriculture and fishing are equally important, both activities have been impacted by climate change. Salt intrusion and high temperatures have reduced the agriculture production. As for fishing, sedimentation of the lagoon and change of ecosystem properties due to sea level rise have caused reduction of fishes. In Dzita, women are responsible for agriculture and smoking (cooking) fish, and men for sea-fishing. Agriculture products are sold to Anloga-Keta District and to Azyzania community, in Ada East.

#### 8. Whuti- Anloga/ Keta District

- **Summary:** climate change majorly impacts the sources of livelihood: agriculture and fishing. Some houses near the coastal lagoon and riverine lagoon also flood, but not all the community.
- **Community characteristics:** Whuti is one of the most developed of the targeted communities. Some roads are paved, with structure defined and buildings well finished. Similar to Agorkedzi, the settlement is in between coastal lagoons (front) and riverine lagoon (back), however the flooding impacts Whuti differently: risks the sources of income and livelihood rather than houses. Agriculture and fishing are equally important. Agriculture is responsibility of women, and is challenged by floods, drought, salinity, and temperature. Ministry of Food and Agriculture of Ghana (MOFA) have already provided agriculture trainings in the past, but the challenges persist. As for fishing, sedimentation of the lagoon and change of ecosystem properties due to sea level rise have caused reduction of fishes and fishing is now limited to sea-fishing.

#### 9. Lagbati/Lashibi- Anloga/ Keta District

- **Summary:** climate change majorly impacts the sources of livelihood: agriculture, fishing and water conveyance. Agriculture lands make a barrier for flooding to reach the houses, however some houses near the coastal lagoon and riverine lagoon also flood, but not all the community.
- **Community characteristics:** Lagbati and Lashibi are referred by local community as one single settlement due to the spatial integration and dynamics. The settlement is quite developed comparing with the other targeted communities, and it is where the Anloga-Keta District Assembly is based. Some roads are paved, with structure defined, buildings well finished, and it is possible to find urban drainage channels (properly built). Agriculture has become more important than fishing - risen temperature, accelerated sedimentation and sea level rise, has changed the properties of the lagoon, and caused the reduction of fishes. However, agriculture has been challenged by soil salinity and compactness, risen temperature, rainfall pattern changes and reduced water conveyance. Farmers started using chemicals (proven not to be efficient), which have also impacted the soil and the underground water properties.

#### 10. Woe- Anloga/ Keta District

- **Summary:** In Woe, similar to Lagbati/Lashibi, climate change majorly impacts the sources of livelihood: agriculture, fishing, and water conveyance. Some houses near the Keta Lagoon also flood, but not all the community.
- **Community characteristics:** Most of the houses in the settlement are closer to the Keta lagoon than the ocean. Agriculture (cassava, carrots, maize, onion, tomatoes, etc.) has become the main activity due to the reduction of fish in Keta Lagoon. Population of the community leave Woe at young age and go back to spend retirement. There is an interest to process food to enlarge the source of income and avoid spoiling perishable products, especially tomato (e.g. tomato paste). Agriculture is impacted by rain pattern change, causing drought, flood, high temperature, soil compactness and salinity. Lagoon level rise also impact agriculture and houses near the lagoon.

#### 11. Tegbi- Anloga/ Keta District

- **Summary:** In Tegbi, similar to Woe and Lagbati/Lashibi, climate change majorly impacts the sources of livelihood: agriculture, fishing, and water conveyance. Poverty impacts women, children and disabled.
- **Community characteristics:** Although the community has seen a reduction in the fishes of the lagoon, fishing is stronger than agriculture as there are two sources (sea and lagoon). Agriculture has been impacted by rainfall pattern change, floods, drought, soil salinity and compactness.

### 1.4 Climate exposure analysis per community

The previous information provided sufficient evidence to develop a dichotomous assessment of climate hazards exposure (Table 3 and 4) that have been continually repeating among the communities. The

assessment identifies seven main hazards that are a mayor threat for the targeted communities and responds to the question: Is this a main climate hazard that hinders communities' resilience? If so, they are marked with a YES. The outputs from this assessment allowed to tailor the physical interventions to the communities' specific needs for increasing climate adaptation capacities, which are described on Annex 3 Subproject Sheets. For complementing the vulnerability assessment, information regarding the specific vulnerability groups and other cross-cutting issues can be reviewed on Annex 5.

Annex Table 3 Climate hazards per community in Cote d'Ivoire.

District	Community	Floods	Salinization	Droughts	Sea/lagoon level rise	Coastal erosion	Accelerated sedimentation	Heatwaves
Jacqueville	Tefredji	yes			yes	yes	yes	
	Tiémien			yes			yes	yes
	Attoutou B	yes		yes		yes	yes	
	Grand-Jacques	yes		yes	yes		yes	
	Koko	yes			yes		yes	
	Taboth (Ahizi)	yes	yes		yes	yes	yes	
Gran-Bassam	Vitré 2 (Ehotilé)	yes			yes		yes	yes
	Azuretti (n'zima)	yes			yes	yes	yes	
	Quartier France	yes			yes		yes	
	Mondoukou	yes			yes			yes

In Ghana, all the targeted communities are exposed to floods in urban and agricultural lands due to sea and lagoon level rise. Accelerated sedimentation is also presented in all the target areas which is linked to floods and sea level rise. Salinization and droughts are presented in more than half of the communities while heat waves are a threat to almost all communities. Coastal erosion is not a main challenge to be addressed in Ghana.

Annex Table 4 Climate hazards per community in Ghana.

District	Community	Floods	Salinization	Droughts	Sea/lagoon level rise	Coastal erosion	Accelerated sedimentation	Heatwaves
Ada West	Akplabanya	yes			yes		yes	
	Wokumagbe	yes			yes		yes	
	Goi	yes			yes		yes	
Ada East	Kewunor/Azizanya	yes			yes		yes	
Anloga-Keta	Agorkedzi/Atititi	yes			yes		yes	yes
	Agbledomi	yes	yes	yes	yes		yes	yes
	Dzita	yes	yes	yes	yes		yes	yes
	Whuti	yes	yes	yes	yes		yes	yes
	Lagbati/Kashibi	yes	yes	yes	yes		yes	yes
	Woe	yes	yes	yes	yes		yes	yes
	Tegbi	yes	yes	yes	yes		yes	yes

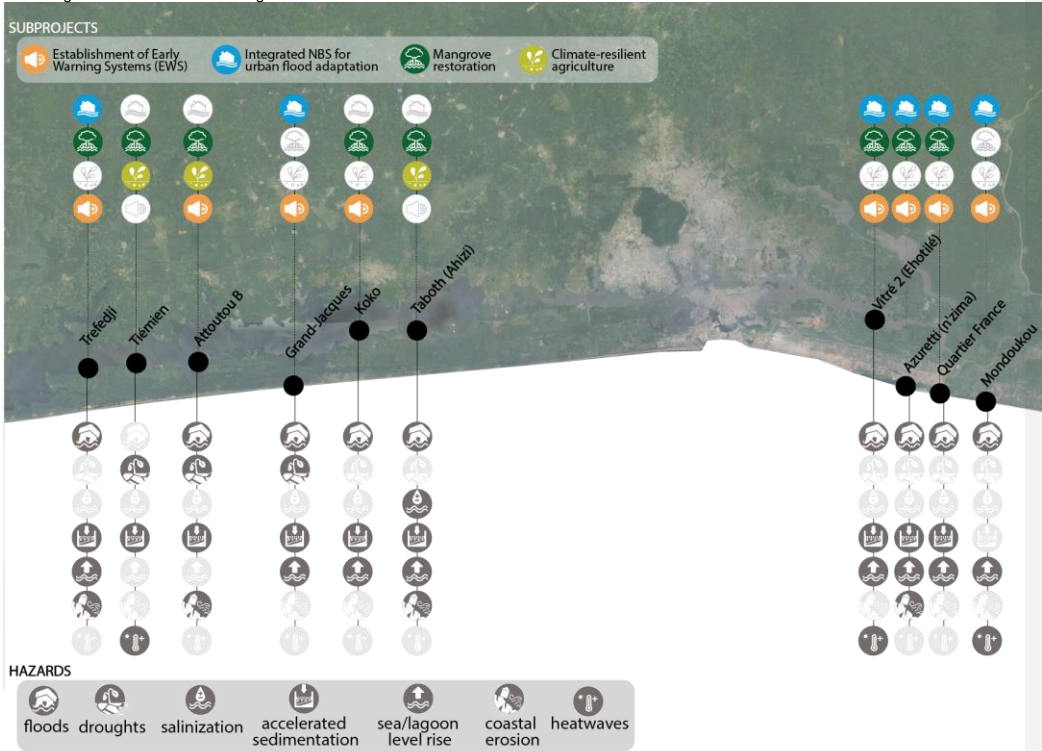


ANNEX 2: SUBPROJECT SHEETS

To **improve resilience of coastal communities** in Côte d'Ivoire and Ghana, the project works through a combination of thematic interventions, referred as sub-projects. The subprojects correspond to four main types: Establishment of Early Warning Systems (output 3.2.1), Integrated NBS for urban flood adaptation (output 3.2.2), Mangrove restoration (output 3.2.3), and Climate resilient agriculture (output 3.2.4.). The four subprojects belong to outcome 3.2 under component 3. Even though each of the four subprojects has its own logic and structure, together they pursuer climate change adaptation in and integrated manner (See Figure 12).

Even though the subprojects were though as an integrated response to main challenges of climate change that could be addressed at community level -so virtually work as a unique synergetic block-, they are not always implemented in all 21 communities: the local dynamics, needs and challenges identified through the analysis and consultations resulted in the identification of which interventions are needed in each community. [Annex Figure 4](#)[Annex Figure 4](#)[Annex Figure 4](#) and [Annex Figure 5](#)[Annex Figure 5](#)[Annex Figure 5](#) present a summary of which subprojects is being implemented in which community, in Côte d'Ivoire and Ghana respectively. In this annex, a description of the four subprojects is provided. At the end of the annex, community maps showing in detail the interventions per community are presented.

Annex Figure 4 Location of the 10 target coastal communities in Côte d'Ivoire.



Based on the fact that all communities where selected due to their exposure and vulnerability to hazards and based on the fact that no EWS is in place in the target areas, all communities will be equipped with Establishment of **Early Warning Systems (output 3.2.1)**, to provide timely and effective information about floods and reduce the risk of communities. Additionally, information on hazards, risks, impacts, and early action options (climate risk preparedness) will facilitate decision making on the choice of the best adaptation and mitigation alternative practices. Supporting back all the other sub-projects and ultimately strengthening the adaptation capacity and sustainability of the livelihoods of coastal communities Côte d'Ivoire and Ghana.

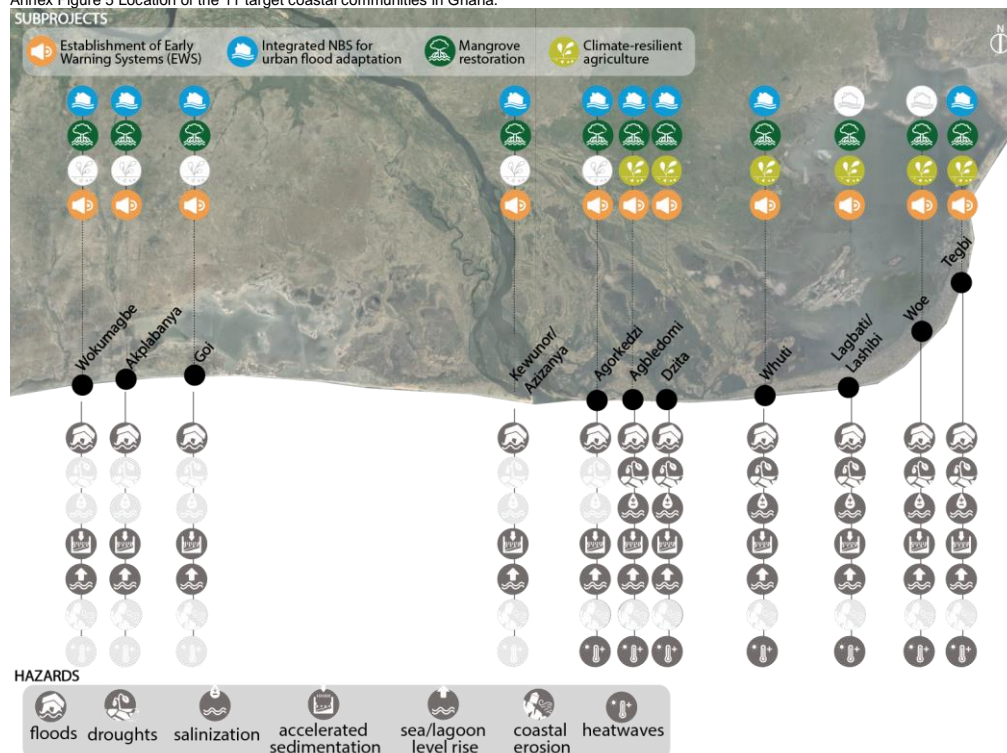
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Annex Figure 5 Location of the 11 target coastal communities in Ghana.



All communities are extremely exposed to floods (due to: i) heavy rains and poor drainage in the communities; ii) riverine and coastal floods) as a consequence of climate change (e.g. increased temperature, sea level, weather variability and frequency of storms, etc.). Floods cause loss of lives, damages to settlements and infrastructures, and economic losses among others. To address floods cause mainly by heavy rains and poor drainage, Integrated NBS for **Urban Flood Adaptation** will be implemented in all the communities targeted by the project (output 3.2.2).

To further support the resilience to floods and strengthen the coastal communities' capacity to resist to storms and riverine/coastal flooding, mangroves can act as a primary storm surge barrier. Communities facing deforestation and mangrove loss will be targeted with a comprehensive **Mangrove Restoration (output 3.2.3)** to recover this natural ecosystem, creating a buffer zone for flood risk to stabilize the shoreline. As a secondary effect, Mangrove restoration will also support fishery as alternative livelihoods, to better face the impacts of climate change in terms of affected economies.

In Ghana, specifically in Anloga/ Keta, flooding resilience and mangrove restoration strategies are not enough to preserve livelihood of communities being affected by climate change. In 4 locations, extremely high salinity levels are limiting the communities' agricultural productivity and resilience. **Climate change-resilient agriculture (output 3.2.4.)** proposes an adaptation response to saltwater intrusion in underground water and to the salinity levels by promoting soil regeneration, crop-based adaptation, land and water management.

All the activities requiring physical interventions and infrastructure construction will count on detailed **engineering study and assessment** that will result in technical drawings and designs for each location. The **acquisition of materials** will prioritize local resources, such as sand, gravel and seeds.

#### AREA-BASED DEVELOPMENT AND INTEGRATED LANDSCAPE SYSTEM:

Activities under "Establishment of EWS" ENHANCE THE RESILIENCE TO FLOODS and other hazards by alerting communities in time. As secondary effect, they also enhance the adaptive capacity of the communities by MAINSTREAMING KNOWLEDGE ABOUT DRIVERS and

Activities under "Integrated NBS for urban flood adaptation" REDUCE THE IMPACT OF FLOODS DUE TO HEAVY RAINS, by maximizing the capacity of the communities to: i) get rid of the run-off caused by heavy rains; ii) maximize the capacity of the surrounding areas to absorb storm water.

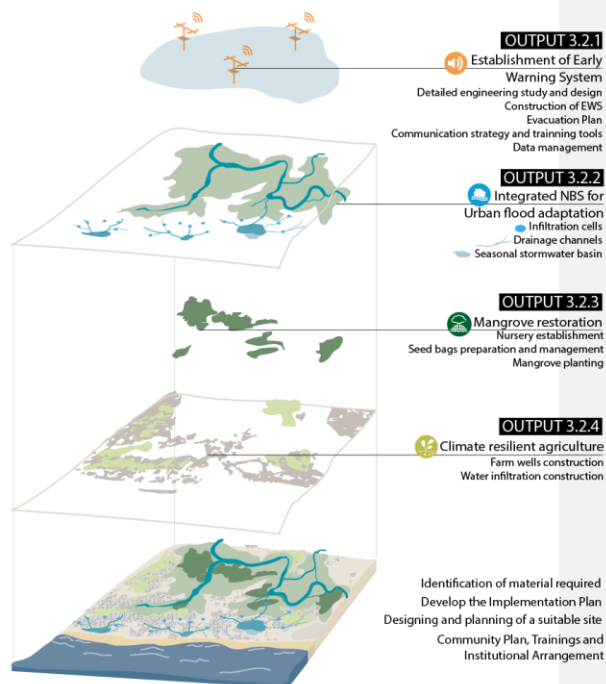
As secondary effect, the improved capacity to store water have POSITIVE EFFECTS ON THE AGRICULTURE

Activities under "mangrove restoration" have the primary objective to MITIGATE THE IMPACT OF RIVERINE/ COASTAL FLOODS. Thus, they work as natural barrier between water bodies and the communities.

As secondary effect, they support SECONDARY LIVELIHOODS (fisheries), support biodiversity and fight soil erosion.

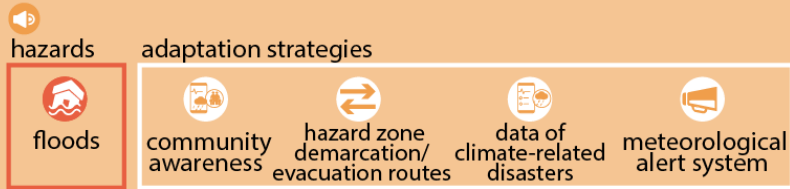
Activities under "climate resilient agriculture" have the primary objective to INCREASE THE RESILIENCE OF AGRICULTURAL LIVELIHOODS affected by the increase of soil salinity and the irregular rain patterns.

As secondary effect, they support SUSTAINABLE WATER HARVESTING AND FIGHT SOIL EROSION.



Annex Figure 6 Diagram of the 4 integrated adaptation strategies

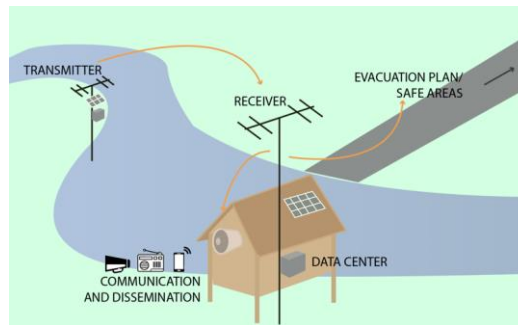
Outcome 3.2, with its four subprojects, adopts an area-based approach with a set of interventions addressing local needs will enable a tailored process considering the specific characteristics of each community and building on the local knowledge and traditions. Working on different communities at the same time by implementing the sub-project activities, gathering new lessons learned and continuously adapting to improve the results, the solutions can be transferred across the region.



### Output 3.2.1: ESTABLISHMENT OF EARLY WARNING SYSTEMS (EWS)

Cost: 1,533,500 USD Beneficiaries<sup>104</sup>: 17,797 (direct) + 136,490 (indirect)

Adaptation strategy in brief: thanks to EWS, people will be enabled to evacuate safely and timely in case of major hazards. More specifically, the Establishment of EWS consist of: i) the installation of devices to alert the people in case of need; ii) the identification of safe areas (non-flood-prone areas in the community where people can escape during floods) and of evacuation routes to reach the safe areas; iii) training to train people about how to use the EWS, about evacuation plans.



Annex Figure 7 EWS

### SUBPROJECT DESCRIPTION

Due to the climate change, rainfall increases causing rivers and lagoons to overtop their banks, leading to flooding, and has already led to major damages to houses, assets and infrastructures and devastate critical ecosystems such as mangroves, beaches, and farmlands. The climate change, which triggers sea-level rise and extreme rain events, increases frequency and severity of coastal and riverine floods. EWS is a critical component of disaster risk reduction (DRR) efforts. Reliable disaster early warning is vital to disaster response. The system aims to monitoring disasters, forecasting of a probable event, and notify and warn with clear messages, with dissemination system that reach those at risk. It is also serves as the trigger point of evacuation order and timely response initiation decision by individuals and local governments, resulting in saving lives and property. The mutual collaboration and learning process build on the public preparedness and reaction capacity of target communities and decrease vulnerability.

Early Warning is “the provision of timely and effective information, through identified institutions, that allows individuals exposed to hazard to take action to avoid or reduce their risk and prepare for effective response”. Such systems are usually made up of a network of sensing devices, such as satellites or radar, for detecting extreme hydrometeorological events in time to take preventive actions in order to mowder their impact and put together an effective and efficient dissemination of timely hazardous events and risk information to the public, comprising an early action and earlier response, minimizing damage and losses.

The importance and need to promote, invest in, develop, maintain, and strengthen EWS as one of the key elements of disaster risk reduction is mentioned in upstream documents such as the Sendai Framework and the Hyogo. Setting up an early warning system (EWS) will allow municipalities and local communities have timely climate information which will enable them to rapidly take action and better plan prevention and adaptation measures in relation to climate risks.

<sup>104</sup> **Beneficiaries calculation:** direct + indirect. Direct (targeted with high intensity): trained people and community member involved on developing the evacuation plans and identification of safe routes (estimation of 20% of the population). Indirect (i. targeted with medium intensity + ii. not targeted with medium intensity): i. all population in the 19 communities where the project is implemented; ii. all population in the communities next to the 19 targeted communities (if the evacuation plan includes these areas).



hazards

adaptation strategies



floods



community awareness



hazard zone demarcation/  
evacuation routes



data of  
climate-related  
disasters



meteorological  
alert system

Such improved adaptive responses against climate shocks will support the provision of impact-based forecasting. “End-to-end warning system” is a term used to emphasize that warning system needs to span all steps from hazards detection to community response. Inadequate climate information services and early warning systems impacts the capacity of local communities in taking adaptive responses to climate change. Accurate, reliable, and timely climate information coupled with robust early warning systems are crucial for planning adaptive measures to reduce losses and damages resulting from climate-related extreme weather events and from other risks and also facilitates early recovery effectively.

#### IMPLEMENTATION STRATEGY AND PLANNED ACTIVITIES

(A full list of activities for this sub-project is presented in the budget notes, however here is the description of the main activities (Part VI))

##### Preparation

- Detailed technical engineering study to set up the EWS:
    - (i) Analysis of the existing informal systems in place and available hydrometeorological data, detail assessment of EWS regulations and standards at country and local level,
    - (ii) Detail technical flood risk screening based on available data in order to confirm the sites to be monitored (gauge stations, water level in ponds, retention areas...),
    - (iii) Definition-Validation of the necessary meteorological (radar, rainfall stations, meteorological stations...) and hydro (gauge stations, water level monitoring...) equipment to be installed
    - (iv) Participatory mapping and detail identification of the evacuation centre and validation of routes already identified in concerned communities during the consultation process,
    - (v) Identification of the needs in terms of technical equipment (a transmitter and a receiver), including IT, capacity building and institutional strengthening,
- The study will have to be validated by the local stakeholders, including focal points of the National government, city technicians and officials as well as community leaders.

- Preparation of tender documents for purchase and installation of hydrometeorological equipment, including warning messages disseminations kit (beacons, flags, sirens, signalling, speakers, etc.), and capacity building,
- Assistance in the reception, the follow-up of the installation and the test of the equipment.
- An improved local communication mechanism for EWS will be established, ensuring that early warning messages are effectively communicated and understood by the local population, enabling people and communities receive warning in advance to proper flooding events preparedness, facilitating coordination among districts and information exchange. It is necessary to ensure information is shared in a manner that is timely and “actionable” and also to integrate people, processes, and technologies to drive optimal benefits in weather forecast and use. A chain of multi-layered communications will be set up to maximize the reach. Part and parcel of the overall implementation strategy will hence be to make maximum use of youths and women in disseminating weather-related forecasts. The proposed project will involve youth groups and local disasters volunteers in the communication strategy, trainings and drills for the EWS. Additionally, local radio stations at community level identified during the consultation process will be involved sending alert. Radio messages can be reinforced by a system of automated sirens, as well as through the use of megaphones by responsible/trained members of the local community. Importantly, marginalized and vulnerable groups need to be targeted so that





## hazards

## adaptation strategies



floods



community awareness



hazard zone demarcation/  
evacuation routes



data of climate-related disasters



meteorological alert system

early warning messages can reach them soon and they can be safely evacuated before the occurrence of an imminent flood.

In Ghana, the institutions to be engaged in the process are the Hydrological Services Department (HSD), Ghana Meteorological Agency (GMet), Water Resources Commission (WRC) and National Disaster Management Organisation (NADMO), specifically the Emergency Operations Centre (EOC).

In Côte d'Ivoire, the institutions to be engaged in the process are authorities in charge of DRR.

### Operation

- Early Warning System construction: The NGO will provide training and support the development of institutional arrangements inside the communities for the installation and operation systems. Additionally, technical expertise has been secured from development partners.
- In parallel, the communication and dissemination strategy will ensure communities receive warnings in advance of impending flooding events, involving clear messages with simple, useful and usable information to enable proper preparedness and response that will help safeguard lives and livelihoods.
- A community emergency response team will be created to train civilians to be the first responders to their own local disasters, this will make the disaster management more effective and safer for local communities. These "local disasters volunteers" will be involved and activated during a disaster to assist the communities and guide neighbours to reach safe places. The aim of this team is to provide a rapid, effective, and efficient emergency response in times of disasters.
- After the EWS in place, based on available information and priorities, the second step will determine the preparedness and response measures to be implemented when a disaster occurs. Early warning system will be an efficient measure, knowing the timing between the moment of the rainfall upstream and the critical rising water levels downstream. The NGO will train and equip EWS operators in charge of the equipment and train the community emergency response team in order to identify the suitable disaster response when a disaster occurs.
- Reliable and redundant communication system is essential for the effective dissemination of early warning information. A EWS Central Data Management will be created to collect all the data generated and to disseminate effectively and rapidly. The system connects to agencies and local governments via internet and provides detailed weather information and warnings to local communities and municipalities. Information for citizens will be transmitted via local radios, mobile messages and specific communication mechanisms based on the local context of each community. L-Alert is a disaster information sharing system where prefectures use a shared platform for sending out local disaster information via multiple media, such as mobile SMS, email, radio, tv, digital boards, loudspeakers and community-managed bulletins.
- An evacuation plan will be prepared through participatory approach with the concerned communities, identifying evacuation centres and mark escape routes and improve evacuation routes from disaster prone areas to evacuation centres, as well the adoption of low cost/ no-cost measures such as hazards zone demarcation and identifying safe zones and evacuations routes in EWS communities and possibly in additional communities. The evacuation plan needs to be properly marked and well signalled so they



hazards

adaptation strategies



floods



community awareness



hazard zone demarcation/  
evacuation routes



data of climate-related disasters



meteorological alert system

can be easily identified and used during a flood emergency. This activity needs to be led by the communities themselves in coordination with the responsible authorities, to increase the level of awareness and understanding.

- Provide recommendation of relevant national, regional and local rules, regulations, standards and procedures/lessons learned.

#### Monitoring and maintenance

- The subproject will ably collect weather related information generating data, which will support risk management decisions relying on accurate information and necessary data for drought adaptation. It will enable to provide useful data not only for preparedness and crisis management but also for scientific studies aiming at understanding better the hydrometeorological phenomenon (calibration of hydrological model, provision of input data to regional climate models...).
- Enhanced communication mechanisms need to be established between the local communities and the responsible institutions at the different levels: municipal, district and regional. At different levels, the subproject will review and provide recommendation of relevant national, regional and local rules, regulations, standards and procedures.
- Communities must be aware of the warning messages and know how to react to them. Training and awareness-raising activities such as regular drills, validation workshop and training sessions will be delivered at the community level regarding disaster risk prevention, preparedness and response.
- Field monitoring: technical visit on regular basis to inspect the flooding areas and assess if the evacuation centre and routes are well developed and the results to the community.

#### INNOVATION

Innovation will be achieved by implementing a comprehensive system using local knowledge and involving different stakeholders to the process, formalizing and coordination of early warning mechanisms among various organisms and local community.

Generate good risk management decisions rely on accurate information and data.

Local Disasters Volunteers will be created, trained and involved in the communication strategy.





hazards



floods



accelerated  
sedimentation

adaptation strategies



Infiltration  
cells



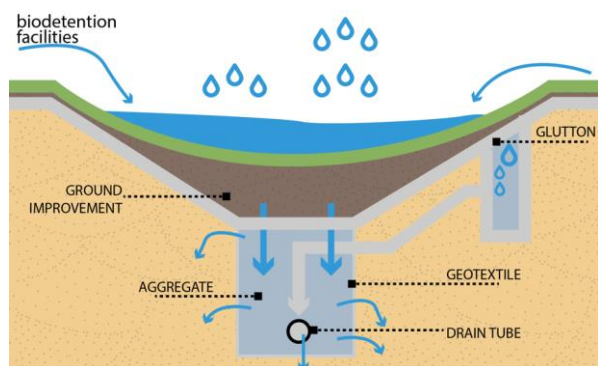
drainage  
channels



bioretention  
facilities

### Output 3.2.2. INTEGRATED NBS FOR URBAN FLOOD ADAPTATION

Cost: 1,464,522 USD Beneficiaries<sup>105</sup>: 18,937(direct) + 33,589 (indirect)



Annex Figure 8 Integrated NBS for urban flood adaptation

Adaptation strategy in brief: thanks to the integrated NBS for urban flood adaptation, water will run off the settlement quickly in case of heavy rain, thanks to reinforced drainage channels, and the capacity to absorb the run-off of the surrounding areas will be maximized, thanks to the creation of bioretention facilities. More specifically, at present the communities have drainage channels, but the network is poor, channels are too narrow and only dig: with the rain they get obstructed by their own mud. Hence, key drainage channels will be identified in each communities: such channels will be enlarged and reinforced with sand and

gravels. Where small lagoons are present, the drainage system will be discharging the rain into the lagoon, but biofilters will be introduced to increase the water quality of the (already degraded) lagoons. To conclude, bioretention facilities (infiltration cells) will be built in the communities to absorb and store water from the floods. All measures proposed in this output are nature-based solutions.

#### SUBPROJECT DESCRIPTION

To reduce impacts of climate change, this project will integrate nature-based solutions that mitigate surface run off and flooding, which will be resource-efficient and adaptive, reducing the costs in the short and longer-term (construction and maintenance) and more resilient.

Strategies include **bioretention facilities** in Ghana and Côte d'Ivoire, through vegetated **infiltration cells in specific sites** (e.g. rain gardens) and **drainage channels** (e.g. bioswale) connected to one another and to a lagoon, facilitating the absorption and purification of water by the soil. Additionally, **seasonal bioretention facilities** will be built in specific communities in Ghana that face inundations around lagoons located among the houses, in the centre of the settlements, as a consequence of the rise of the level of water after extreme events. As part of the settlement landscape and connected to the lagoons, the seasonal detention basins will enable recreation uses during the dry period.

Although the communities share the same general challenges and assets, each intervention site have a unique combination of characteristics, social dynamic, ecosystem, morphology, etc. Hence, appropriate adaptation of technologies and activities will be identified in the initial phase of the project, combining the traditional techniques to the designs.

<sup>105</sup> **Beneficiaries calculation: direct + indirect.** Direct (targeted with high intensity): people living next to the interventions (assumption: 40% of the population). Indirect (i. targeted with medium intensity + ii. not targeted with medium intensity): i. all population in the 15 communities where the project is implemented; ii. zero.



#### hazards



floods



accelerated  
sedimentation

#### adaptation strategies



Infiltration  
cells



drainage  
channels



bioretention  
facilities

### IMPLEMENTATION STRATEGY AND PLANNED ACTIVITIES

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(A full list of activities for this sub-project is presented in the budget notes, however here is the description of the main activities (Part VI))

#### Preparation

- Develop a **detailed technical engineering study**, including analysis of soil types and characterises, **hydrology** and **water pollution** (*E.Coli*, organic pollution, plastic and heavy metals). In addition, topography and flooding analysis will be correlated with the socio-economic activities to validate identify main vulnerable hotspots and opportunities for the community to profit of the strategies beyond erosion resilience (Component 3).
- Project and coordination set-up**, including setting the project office (different communities can have the same office, according to their regionality), hiring staff and structuring the roles. Additionally, it an implementation plan should be developed-prepared-containing the detailed steps, workplan, definition of roles to supervise and coordinate the activity (Component 2). As part of the Implementation-plancoordination, it will be a Community Plan-with programmed trainings, local engagement activity and identification of relevant representations will be developed. NGO's with relevant experience and previous projects in water quality, drainage, and erosion in the same region will support the component (Component 2).
- Organize **participatory workshops** with community and local stakeholders to **validate results** of the diagnosis, including the challenges and opportunities. Partner NGO will deliver **trainings** on the project activities and technical details of each step of the implementation. As part of the capacity building and awareness raising, **bioretention measures** piloted with involvement of community.
- Develop-detailed **constructive drawings** will be developed, including technical dimensions, the specific location of each facility, the materials needs, the depth of each intervention and an analysis of how it will impact the community.

#### Operation

- Acquisition of material** for the construction of the bioretention and detention systems (in addition to sand, gravel, wood and vegetation, construction tools such as shovel).
- Construction of **bioretention and detention facilities** listed below, according to the context of the site. They be shortlisted according to the diagnostic, or complemented with traditional knowledge and techniques:
  - Infiltration cells** in flooding hotspots near settlements to promote infiltration when extreme events occur. Gardens can be integrated to small farming activities
  - Drainage channels** connected with waterbody to support the infiltration along the catchment area, also filtering water and guarantying quality and conveyance
  - Seasonal bioretention basins** (natural lower-level topography) next and connected to the lagoon to catch the water that overpasses the lagoon capacity. During the dry period the site can be used for recreational purposes.

#### Monitoring and maintenance

- Trainings and awareness** raising (Component 3) to promote maintenance of systems (and prevent mowing), when and how to use the retention basin during dry season and how to profit from the vegetation planted. This activity includes the preparation of materials to be distributed in the communities (ensure inclusion) and training workshops.
- Field monitoring** during the project duration by the NGO in collaboration with the local community. Monitoring will be done through technical visit, water quality monitoring, identification of number and



#### hazards



floods



accelerated  
sedimentation

#### adaptation strategies



Infiltration  
cells



drainage  
channels



bioretention  
facilities

intensity of water erosion and if channels are adequately vegetated. A **report** will be developed to monitor the status of the facilities (well-functioning, efficiency) and the results to the community.

- **Maintenance** will be done through repairing structure of the facilities and replanting of more resilient vegetation after every major rain event.

#### INNOVATION

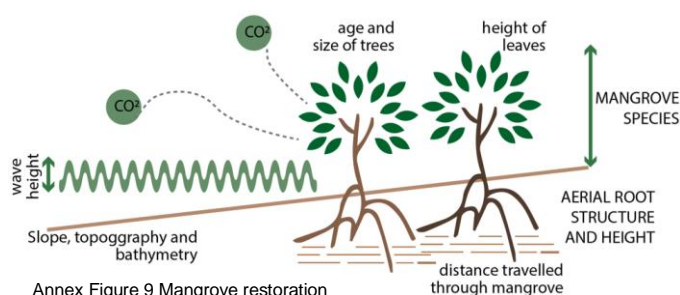
Nature-based solutions (also called by green infrastructure and low impact engineered), although widely studied and discussed, concentrates implemented and pilot projects in developed countries. In Ghana and in Côte d'Ivoire, the Urban Flood Resilience sub-project is innovative because it creates real change from the resources and capacity already in place (including traditional knowledge). The potential to propose engagement and community ownership of water management systems is powerful in this vulnerable context. Linked to the knowledge development and the regional exchange of experiences and lessons learned (component 1), the project will create a new opportunity for climate and flooding resilience in these coastal communities.



### Output 3.2.3. MANGROVE RESTORATION

Cost: 1,785,339 USD Beneficiaries<sup>106</sup>: 85,584 (direct) + 52,763 (indirect)

Adaptation strategy in brief: mangroves can act as barriers that mitigate floods and storms. For this reason, mangroves are being restored in the buffers between possible source of riverine/coastal floods and the communities. More specifically, the restoration will adopt native species (black, white, red and button mangroves) and cover 582 hectares. There will be two nurseries (one per Country), but no need to build them: the project will take advantage from existing infrastructures. Carbon credits will be introduced to make the subproject more sustainable.



On top of direct advantages in terms of flood mitigation, restored mangroves will also boost the natural systems, provide fisheries (which also represent an alternative livelihood) and mitigate soil erosion.

#### SUBPROJECT DESCRIPTION

In the **Volta estuary in the Keta region, Ghana**, communities are on a narrow land strip between the sea and the Keta Lagoon. Climate change, including weather extremes and sea-level rise, impacts the coastal region, where mangroves can function as a primary storm surge barrier. Rising sea levels, erosion from extreme weather and increased storm surge represent a significant and growing threat to mangroves related to climate change. Rapid population growth, over-reliance of wood as fuel and agricultural expansion also represents main threats. The degraded mangroves have affected livelihoods and reduced the water systems' benefits for coastal protection, flood buffering, and stabilizing substrates composed of fine sediments, among other ecological benefits. Additionally, very high salinity levels limit agricultural productivity in Anloga/ Keta.

In **Cote d'Ivoire**, climate change, urban development and harvesting for fuel are the main reason why the overall number of mangroves in Côte d'Ivoire has dropped from 20,000 hectares in 1990 to 10,000 hectares in 2020. Climate change reasons relate mostly to increased salinity levels, accelerating sea level rise and increased number and increased extreme weather events. This has damaged the coastal lagoon's ecosystems, reduced lagoon's productivity, and increased flood risk, water pollution, and shoreline erosion. This, as part of a vicious circle, makes the region more susceptible to climate change as sea level rises and weather extremes become severer. Estimates indicate that 60 percent of the mangroves have been lost around Abidjan.

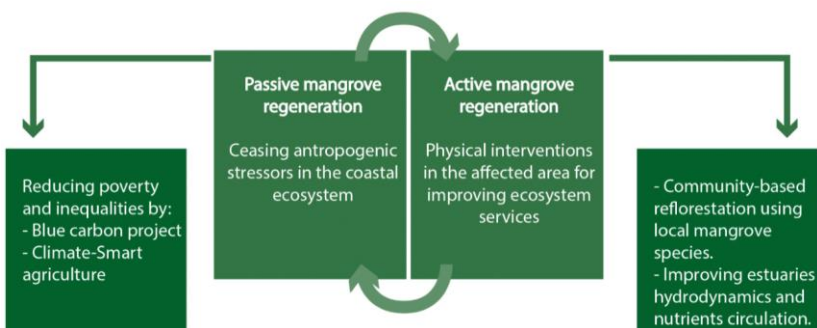
#### Mangrove restoration

<sup>106</sup> **Beneficiaries calculation: direct + indirect.** Direct (targeted with high intensity): all the population in each of the 18 communities. Indirect (i. targeted with medium intensity + ii. not targeted with medium intensity): i. zero; ii. all population in the communities next to the 18 targeted.



In order to attend mangrove depletion and reduce climate vulnerability to sea-level rise, flooding and erosion, under Component 3 (Concrete transformative ecosystem/ natural resource adaptation interventions at sub-regional and district level), a comprehensive strategy will be **developed-implemented** by integrating passive and active restoration. -Passive restoration is directly linked to addressing anthropogenic causes of mangrove loss and improving communities' livelihoods. Active restoration will guarantee biophysical restoration in areas that have been ecologically disrupted. This intervention aims to **stabilize the shoreline**, creating **buffer zones for flood risk/ inundation reduction**, and **securing/increasing livelihood opportunities more in-land** (as pull factor from the shore) as well as the **protection of exposed assets for the most vulnerable communities**.

Annex Figure 10 Passive and active mangrove regeneration



### Active mangrove restoration

**This Sub-Project implementation plan output project aims** to plant **410 ha** of mangrove in Ghana and **172 ha** in Côte d'Ivoire, with a total of **582 ha of restored mangrove**. **This community-based intervention strategy** aims to build resilience, aiming to leverage the existing natural environment and its ecosystem services as a tool to adapt to climate change and restore natural dynamics. In some locations, mangroves have been able to keep pace with sea level rise, highlighting the adaptive characteristic of this intervention.

Annex Table 5 Mangrove restoration per community in Ghana.

Districts in Ghana	Community	Mangrove restoration (Ha)
Ada West	Akplabanya	21
	Wokumagbe	42
	Goi	49
Ada East	Kewunor/Azizanya	28
Anloga-Keta	Agorkedzi/Atititi	38
	Agbledomi	32
	Dzita	22
	Whuti	40
	Lagbati/Lashibi	40
	Woe	48
	Tegbi	50

Annex Table 6 Mangrove restoration per community in Côte d'Ivoire.

Districts in Cote d'Ivoire	Community	Mangrove restoration (Ha)
Jacqueville	Tefredji	6
	Tiémién	15
	Attoutou B	17
	Koko	10
	Taboth (Ahizi)	10
Grand- Bassam	Vitré 2 (Ehotilé)	25
	Azuretti (n'zima)	30
	Quartier France	59
<b>Total</b>		<b>172</b>



Total	410
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**Mangrove forest active restoration** will be performed by analysing the hydrodynamics, vegetal biodiversity, and ~~general~~ conditions of the ecosystem. Improving the physical hydrological conditions can return the balance of nutrients and water cycle in the estuaries. Once this has been resolved, involved stakeholders can proceed to plant local seedlings in the targeted areas. Table 7 presents the vegetation species that will be planted according to the local habitat which are suitable for replanting; their capabilities to trap sediment will raise the land that improves protection against higher water levels associated with climate change. There are no pollution threats to the growth of mangroves in the targeted communities. The activities have been designed to minimise potential risks by selecting numerous, small scale and very localised interventions, proposed, and managed by the communities themselves (where possible) who have a stake in avoiding environmental and social impacts.

Annex Table 7 Vegetation to be planted in reforested areas by region.

Mangrove Species	Common name	Region and Country
Rhizophora mangle	Red mangrove	Ghana
Rhizophora racemosa		
Laguncularia racemosa	White mangrove	Ghana and Cote d'Ivoire
Avicennia germinans	Black mangrove	
Conocarpus erectus	Button mangrove	

Landowners, private or public, have agreed with using their land for project activities and agreement with the Chiefs and Elders for use of their lands have been signed. The land status of this area is public and currently is used for fishing and fuelwood provision.

#### Passive restoration

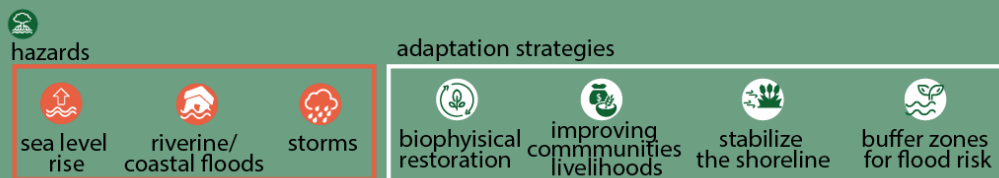
Deforestation primary causes are mangrove provision services (for market and self-consumption) and low livelihood diversification in the region. It is also known that livelihoods and economic benefits are the main factors of community participation for mangrove conservation. Therefore, by enforcing passive restoration, focused on addressing the origins of deforestation, mangrove forest will be protected, and livelihoods will be improved and diversified.

Two strategies ~~was ill be~~ developed for this purpose: **Climate-resilient agriculture practices**, which are described in a separate subproject in this section and the Blue Carbon Project. The **Blue Carbon Project (BCP)** objective is to take advantage of the 582 ~~-ha~~ reforested mangrove capacity to sequester CO<sup>2</sup><sub>107</sub>, which can be accounted and transformed into carbon offsets in order to compensate greenhouses gases emissions through the Carbon Offset Market. Once carbon offsets are purchased, communities are economically benefited receiving revenues from the mangrove ecosystem service delivered. This response to the necessity of improving communities' livelihoods, empowering them while guaranteeing the sustainability, regeneration, and conservation of the mangrove forest. ~~-~~ In order to register the reforested areas as a certified blue carbon project, different steps will have to be followed, such as the development of a concept note, the execution of technical studies (carbon inventory), the development of a project design report by a NGO and the verification of the project by a third party for further registration as a certified BCP, as reflected in the project budget.

#### INNOVATION

Innovation will be achieved by implementing a comprehensive ecosystem restoration intervention, using both active and passive strategies. Passive restoration includes the implementation of a Blue Carbon Project, which is supported by the Blue Carbon Financing of Mangrove Conservation in the Abidjan

<sup>107</sup> For reviewing carbon sequestration capacity of the project, please find document [here](#)



Convention Region: A Feasibility Study by the Abidjan Convention-An executing entity of the project will establish a blue carbon project and proper financing mechanisms will be identified. This approach is innovative in the Western Africa region, and it highlights due to its implementation in two different countries who share ecosystem service benefits to the region. The CREMA mechanism is also consistent with the current ideas on socio-ecological landscapes. It therefore seeks to achieve; conservation of the bio-physical, socio-cultural development and livelihoods supports/economic development for a community area.

#### IMPLEMENTATION STRATEGY AND PLANNED ACTIVITIES

(A full list of activities for this sub-project is presented in the budget notes, however here is the description of the main activities (Part VI))

##### Preparation

- **Detailed engineering technical study and design system for active restoration:** Prepare the dDetailed design and steps, workplan, definition of roles to supervise and coordinate the programming of the intervention will be done by the Executing Entity (site evaluation, seeds survey, final zoning etc.). This will also include further detailed information/data on technical elements such as hydrogeology (Water dynamics, tides movement) and soil characteristics (chemical and biological parameters). This activity with the support of the community plans under component 2, will result in the intervention Implementation Plan.
- **Buying materials:** Tools (Mattock, Wellington boots, Cutlasses); Mangrove seedlings, manual/fertilizers (organic), Mangrove Nursery (wooden planks, thatch, racks).
- **Establishing the nursery** in Lagbati/Lashibi (Ghana) and Quartier France (CDI): Proceed to the site leasing, fencing of the site and construction of small built infrastructure for storing and operationalization. The location of the nurseries was selected during the consultation process.
- **For passive restoration:** Blue carbon offset initial-detailed technical studies (carbon inventory which will be further developed following accepted methodologies for carbon sequestration capacity of mangrove ecosystems), development of project concept note, and project design report developed by a NGOs.
- Blue carbon project must be validated by a third party, including report review.
- Blue carbon project certification and registration by a certified international standard for carbon offset credits issuance.

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##### Operation

- **Nursery management:** collection of soil to site and transport to the nursery, as well as bed and bag preparation. Daily activities include watering, shading, weeding, hardening, grading, sorting, packaging seedlings, etc.
- **Plant mangroves:** as seedlings are ready on the nursery site, the plating will take place including clearing of planting sites. Planting process will be approached as a community-based mangrove restoration. This will be led by the project manager, coordinated on site by a supervisor, and executed by local community hired for this intervention. Community participation as volunteer for planning the mangrove will be fostered.
- **Transportation:** transportation of the seedlings from the nursery to the site will be sub-contracted and managed by the project lead.
- An implementation plan will be put in place**Project and coordination set-up:** hiring staff and structuring the roles and prepare the detailed steps, workplan, definition of roles to supervise and

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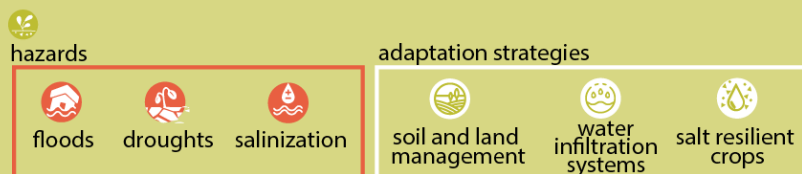


coordinate the activity (component 2). As part of the community plan coordination, in which the different stakeholders are provided with training and assigned roles, benefits, and responsibilities.

- Management: to implement the intervention an office will be set up with time allocated from experts and a project manager. Implementation will be based on the Implementation Plan under the preparation phase.
- A team of well-trained and dedicated experts and a NGO with previous and relevant experience in the development of mangrove restoration programmes (organizations have been pre-identified for competitive procurement and will lead the project execution), and community members will replant after they have received training.
- The NGO in collaboration with the municipality and communities will establish a centre for the training and value chain of the mangroves, based on a **model replicated** from previous projects on mangrove restoration.

#### Monitoring and maintenance

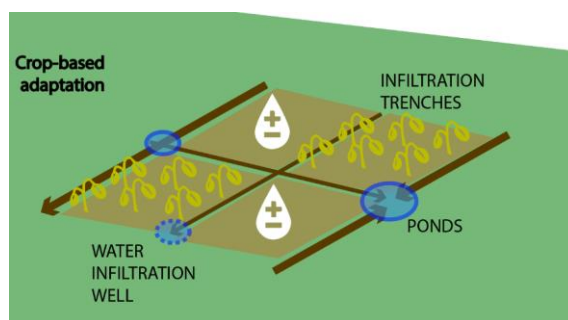
- **Field monitoring:** visit the plantation on regular basis (daily, weekly, monthly, quarterly) to inspect the entire plantation and specific patches, look out and/or check for encrusting organism, etc. Drone flying to support evaluate seedlings performance.
- **Awareness** raising through component 2.
- **Extra seeds:** based on the experience of the implementing partner, extra seeds will be included to address potential failures on the first replanting exercise.
- **Maintenance and sustainability** will be ensured through the Community Resource Management Areas (CREMA) mechanism. This is a governance arrangement for natural resource management.
- Raising awareness and capacity building (component 2)
- Resources and livelihoods management plan to be developed (component 2).
- A monitoring plan to be developed (component 2) which includes replanting areas that have not succeed on the first round.
- **Community Resource Management Areas (CREMA)** By-laws enacted by the district assembly for the protection of mangrove which will impose measures such as fines etc. (C1 or C2).
- Periodical carbon audits performed by an external entity and annual reports of carbon credits issuance and operational activities are developed.



#### Output 3.2.4. CLIMATE-RESILIENT AGRICULTURE

Cost: 2,588,414 USD Total beneficiaries<sup>108</sup>: 2,160 (direct) + 1.080 (indirect)

Adaptation strategy in brief: salinization and rain pattern variability are threatening traditional peri-urban agriculture. To increase the resilience of agricultural practices and ensure key livelihoods in the communities, this output focuses on transferring knowledge and tools to: i) improving soil's fertility (using agroecological techniques and other soil management solutions), ii) introducing salt-resilient crops, iii) promoting agriculture water management improvement, and iv) improving agricultural land management. More specifically, a pilot plot will be identified in each community hosting this subproject. A community group will be trained to these new techniques and supported to transfer them in their plots, though the provisioning of crops and guidelines and trainings to effectively manage water, soil fertility, and land in general.



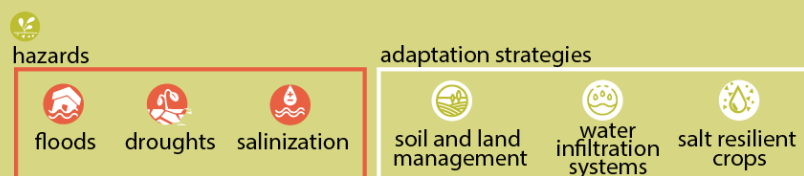
Annex Figure 11 Climate resilient agriculture

#### SUBPROJECT DESCRIPTION

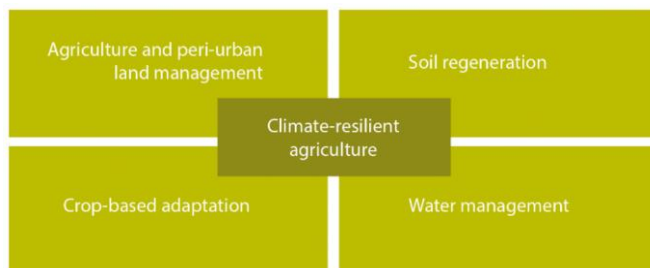
The Keta and Volta communities are located in a low-lying coastal plain with the highest point of 53 meters above sea level and the lowest between 1 to 3.5 meters below sea level. Some areas are experiencing annual increase in flooding and present extremely high salinity levels, limiting communities' agricultural productivity and local agri-food resilience. Ecosystem services play a key role in livelihood creation, and communities in these districts highly rely on their natural environment. Parts of their development are linked to coastal ecosystem services that may be compromised as they deteriorate. With increasing manifestations of climate change, salt intrusion is likely to increase due to sea level rise and alterations in precipitation patterns, reducing underground freshwater availability and allowing saline water to move to agricultural grounds.

Under component 3 (Catalytic concrete livelihood diversification and strengthening adaptation interventions at community level), the Sub-Project plans to introduce a climate-resilient agriculture strategy for addressing climate change vulnerabilities, including a strategy for addressing salt intrusion in underground water and the increasing of salinity levels in agricultural lands by four main approaches: Improving soil's fertility and biophysical conditions by addressing salinity levels using agroecological techniques and other soil management solutions, crop-based adaptation introducing salt-resilient crops and other crop-based solutions, agriculture water management to maximize water availability and support water infiltration to underground layers and efficient strategies for agriculture land management and its synergies with urban and rural land. These

<sup>108</sup> **Beneficiaries calculation: direct + indirect.** Direct (targeted with high intensity): Lead farmers (estimation of 20 per community) x Rural Average Household Size (estimation of 4). Indirect (i. targeted with medium intensity + ii. not targeted with medium intensity): i. zero; ii. Lead farmers x Neighbours (estimation of 2).



activities will be introduced in an initial experimental plot, which will also hold the Agriculture Community Centre (ACC) supporting the adoption of all new strategies and technologies.



Annex Figure 12 Climate-resilient approaches for reducing communities' vulnerability and improving livelihoods in Ghana.

#### Climate-resilient agriculture approaches:

**Agriculture, urban and rural land management:** Proper use of land is a priority for sustainable climate-resilient agricultural production and for ensuring productive agri-food systems. Urban growth and urban expansion can become stress drivers for agricultural land loss. In order to attend this challenge in the communities, trainings for agriculture land management and controlling urban expansion will be implemented. These training courses will include an approach where agricultural land has importance within the regional ecological landscape and as an economic driver in the region.

#### Soil regeneration:

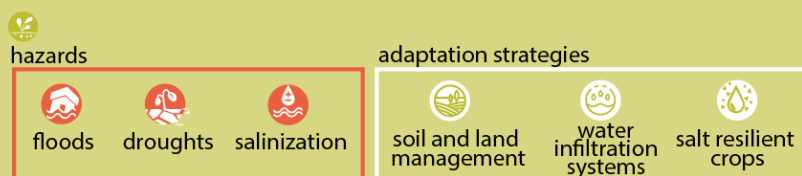
The activities regarding soil regeneration introduce conservation agriculture practices, such as the principle of minimum soil disturbance, the implementation of permanent soil covers and efficient use of organics inputs for reducing soil salinity and improving the fertility for a more productive yield. These activities will not be beneficial just for improving soil productivity, but also for guaranteeing soil regeneration and sustainability.

#### Crop-based adaptation:

Currently, communities' crop production is based on shallots, bell pepper, tomatoes, onions, carrots, and cabbages in small proportion. In addition, in the last years, sugar beet and harish potato have been introduced. Taking advantage of the communities' capacity of adapting different crops, climate-resilient agriculture includes a crop-based management approach by implementing technical trainings for local farmers. Within these trainings, salt-resilient crops such as varieties of sugar, spinach, carrots, potatoes, cauliflower, eggplant and oca will be introduced, combining them with other crop management practices such as crop rotation and association of local crops. These activities can jointly improve communities' resilience by increasing the number of types of crops that can be produced. In addition, to guarantee a full adoption of the new salt-resilient crops, agribusiness models will be developed which will be supported by initial cost-efficient and cost-effective analysis.

#### Water management for agriculture purposes:

In the Keta and Volta region, agriculture-based communities use small-scale intensive irrigation systems. Using hydrologic resources from the area, wells are used to manually obtain fresh water from the aquifers. Then, water is pumped and sprinkled to hydrate the crops. In other cases, water



can be found using traditional agriculture digging 1 meter to 2 meters where water can be found easily. To reduce saline intrusion on underground levels and reduce flood risks in agricultural land, an aquifer recharge strategy will be developed by installing water infiltration systems where agriculture is practiced and is vulnerable to salt intrusion and floods. These water infiltration systems will be implemented where soil moisture levels, fresh-brackish groundwater availability, infiltration capacity and other geophysical and water levels are clearly defined.

The water infiltration systems will be integrated by water infiltration trenches and wells, so as small ponds that are capable of varying degrees of permeability into the different underground layers. This technology will significantly reduce the probability of flood risks in the area and will reduce levels of soil erosion. Additionally, for a comprehensive water management strategy, rainwater systems and dipping irrigation systems for crop irrigation will be implemented as farmers are introduced into different training irrigation techniques.

#### Lead farmers and plot expansion:

In Ghana, The Agriculture Community Centre will be situated in Tegbi, having a total area of 5 acres. In this centre, A *first batch* formed by 6 groups of 10 lead farmers from each community will be trained during one season, giving a total of 60 team leaders per season. Each year has two crop seasons, therefore the total amount of farm leaders trained per year will be 120. By the second year a *second batch* of lead farmers are expected to be trained (120) including both seasons, while the lead farmers trained during the previous year will start to replicate the best practices in one of their owned plots, these will add a total of 120 acres (20 acres per community) in the second year. By the third year a *third batch* of lead farmers are expected to be trained (120), while the lead farmers trained the previous year will start to replicate the best practices in one of their plots, these will add a total of 120 acres (20 per community) in the third year. During the last half a year of the project implementation, no new lead farmers will be trained. However, the previous lead farmers will start to replicate the best practices in one of their plots, these will add a total of 120 acres (20 acres for each community) in the third year. The total amount of plots with adopted climate-resilient practices will be **365 acres** and the total lead farmers adopting the strategy will be **360**.

Annex Table 4 Number of farmers and acres practicing climate-resilient practices in Ghana.

Year	Farmers in Ghana per community							Acres in Ghana per community						
	Tegbi	Whuti	Anloga	Woe	Dzita	Agbled omi	Total	Tegbi	Whuti	Anloga	Woe	Dzita	Agbled omi	Total
1	20	20	20	20	20	20	120	5	0	0	0	0	0	5
2	20	20	20	20	20	20	120	20	20	20	20	20	20	120
3	20	20	20	20	20	20	120	20	20	20	20	20	20	120
4	0	0	0	0	0	0	0	20	20	20	20	20	20	120
<b>TOTAL Per community</b>	60	60	60	60	60	60	360	60	60	60	60	60	60	365

In Cote d'Ivoire, the Agriculture Community Centre will be situated in Tiémien, having a total area of 5 acres. In this centre, A *first batch* formed by 3 groups of 10 lead farmers from each community will be trained during one season, giving a total of 60 team leaders per season. Each year has two crop seasons, therefore the total amount of farm leaders trained per year will be 60. By the second year a *second batch* of lead farmers are expected to be trained (60) including both



#### hazards



floods



droughts



salinization

#### adaptation strategies



soil and land  
management



water  
infiltration  
systems



salt resilient  
crops

seasons, while the lead farmers trained during the previous year will start to replicate the best practices in one of their owned plots, these will add a total of 60 acres (20 acres per community) in the second year. By the third year a *third batch* of lead farmers are expected to be trained (60), while the lead farmers trained the previous year will start to replicate the best practices in one of their plots, these will add a total of 60 acres (20 per community) in the third year. During the last half a year of the project implementation, no new lead farmers will be trained. However, the previous lead farmers will start to replicate the best practices in one of their plots, these will add a total of 60 acres (20 acres for each community) in the third year. The total area of plots with adopted climate-resilient practices will be **185 acres** and the total lead farmers adopting the strategy will be **180**.

Annex Table 5 Number of farmers and acres practicing climate-resilient practices in Cote d'Ivoire.

Year	Farmers in Ghana per community				Acres in Ghana per community			
	Tiémén	Attoutou B	Taboth	Total	Tiémén	Attoutou B	Taboth	Total
1	20	20	20	60	5	0	0	5
2	20	20	20	60	20	20	20	60
3	20	20	20	60	20	20	20	60
4	0	0	0	0	20	20	20	60
<b>TOTAL Per community</b>	60	60	60	180	65	60	60	185

#### INNOVATION

Innovation will be achieved in the agricultural practices implemented by including a comprehensive methodology for delivering benefits and creating radical shifts in the region. This approach not just focuses in introducing salt-resilient crops, but it integrates four embedded approaches. Crop-based management for assessing the introduction and adoption of salt-resilient crops, inclusion of agroecological practices such as crop rotation and association, soil regeneration following conservation agriculture methods, water management, focused on taking advantage of rainwater and refilling aquifers with infiltration systems and agriculture land management. This initiative represents one of the most crucial adaptation innovations for the region and the communities as is envisioned in landscape sustainable perspective.

#### IMPLEMENTATION STRATEGY AND PLANNED ACTIVITIES

(A full list of activities for this sub-project is presented in the budget notes, however here is the description of the main activities (Part VI))

##### Preparation

1. **Project and coordination set-up, including hiring staff, detailed workplan, definition of roles**  
~~An Implementation plan will be put in place~~ to supervise and coordinate the activity (component 2). Identified NGO's with relevant experience and previous projects in soil regeneration, agriculture land management, crop adaptation and water management for agriculture purposes in the same region will execute the subproject.
2. **Detailed technical hydrogeological and soil studies analysis** will be developed, including a soil **salinity assessment**. In addition, analysis of socio-economic aspects for integrating new crops will be implemented, including the analysis and development of sustainable economic models in the value chain.



#### hazards



floods



droughts



salinization

#### adaptation strategies



soil and land  
management



water  
infiltration  
systems



salt resilient  
crops

3. **Preparation of the ACC and validation of farmers' plots** (stakeholders meeting and field work) where **salt-resilient crops and water management practices** will be introduced.
4. Development of a **rainwater harvesting approach** joint to the **irrigation and water infiltration systems**.

#### Operation

- **Water infiltration system construction.** The NGO will provide training and support the development of institutional arrangements inside the communities for the installation and operation systems. Additionally, technical expertise has been secured from development partners
- **Settlement of the ACC for climate-resilient agriculture.** The funding for the initial 4 years is included as part of the project, and after that the project will receive income from the operation of the systems as well as the training of additional communities with interest to develop similar solutions for agriculture and water infiltration.
- Implementation of **trainings** related to soil regeneration, agriculture land management, salt-resilient crops adaptation and water management will be developed ~~throughout the year~~, following seasonal dynamics and in accordance with the community necessities. Trainings will be held initially at the CTC and furtherly, practices will be adapted and replicated to the communities' plots.

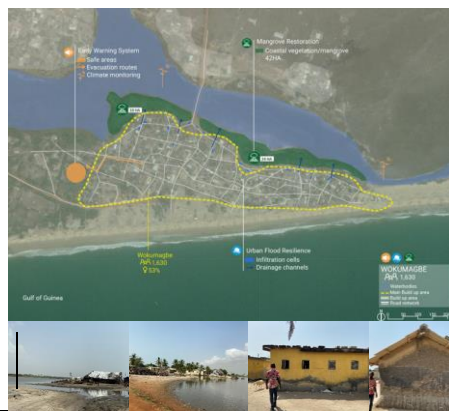
#### Monitoring and maintenance

1. Maintenance during the project duration will be done by the NGO in collaboration with the local community. The NGO will progressively phase out its role as community members become more proficient in the maintenance and operation of the systems. Maintenance trainings are budgeted in the project and will be conducted by the NGO with the different community groups part of the initiatives.
2. Raising awareness and capacity building (component 2) and Resources and livelihoods management plan will be developed (component 2).
3. CREMA By-laws enacted by the district assembly for the protection of the installed systems and pilot structures which will impose fines etc.



## Locations – Ghana

### Wokumagbe



#### Highlights from the consultation process:

- Floods:** approximately 2 times/year the community face strong flooding. The floods are caused by the strong rains during raining season (August to October) but also from the rise of lagoon level. Water from rainfall gets cumulated in the agriculture, saturating the soil. There is no vegetation between the community and the lagoon.
- Warning and safe areas:** Due to the flood, people whose houses are flooded find rescue in neighbours houses and families in the community. No communication or alarm system exist to send early warnings or guidance, but there was an informal and private communication centre in the community – the owner used megaphones to announce and mechanise goods.
- Ecosystem:** water from the sea changes the property of the lagoon and sea fishing has become the major source of food (previously also lagoon fishing).

#### Direct beneficiaries:

333 (♂:176, Y:170), 666 (♀:353 Y:340), 1,664 (♀:882 Y:849)

### Akplabanya



#### Highlights from the consultation process:

- Floods:** approximately 3 times/year the community face strong flooding. Similar to Wokumagbe, water from rainfall gets cumulated in the settlement, among the houses, and the rise of level of the lagoon (both from ocean and rain fall) inundates houses along the lagoon. The community 'receives water from the front (ocean), back (lagoon) and from rainfall'. To address the rainwater in within the houses, people have tried to build small drainage channels, which are too rudimentary and not efficient. There is no vegetation between the community and the lagoon.
- Warning and safe areas:** Due to the flood the people, move to another area (referred as Mokuogo island) for days until the water goes down. Similar to Wokumagbe, no communication or alarm system exist to send early warnings or guidance, but there is a (private) communication centre in the community – the owner uses megaphones to announce and mechanise goods.

#### Direct beneficiaries:

1,042 (♀:531, Y:365), 2,083 (♀:1,062 Y:729), 5,208 (♀:2,656 Y:1,823)

### Goi



#### Highlights from the consultation process:

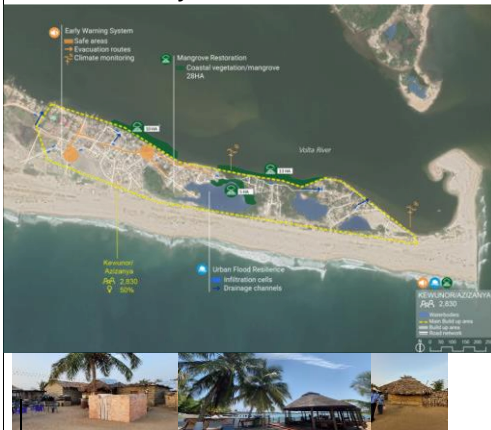
- Floods:** when there are storms or high ocean tides, seawater invades the coastal lagoon, causing accelerated sediment accumulation and flooding of houses near the lagoon. Strong rainfall contributes to the flooding near lagoon and the run-off. Floods mainly impact community health (diseases) and the life of families along the lagoon. The community builds yearly (and manually) a large drainage channel to drain water from the lagoon to the ocean. Additionally, the community places waste between the lagoon and the ocean as a strategy to create a physical barrier.
- Warning and safe areas:** there is no warning of storms.
- Ecosystem:** there is very few vegetation between the ocean and the lagoon, and between the lagoon and the community. The previous existing mangrove in the lagoon area has been destroyed. Agriculture has been challenged by the rain pattern change, drought, and heat. Change in properties of the lagoon has reduced fishes. The 'new lagoon' between the ocean and the community changes the environmental characteristics.

#### Direct beneficiaries:

747 (♀:396, Y:254), 1,494 (♀:792 Y:508), 3,734 (♀:1,979 Y:1,269)



## Kewunor.Azizanya



### Highlights from the consultation process:

- **Floods:** When there are storms or high ocean tides, both sea and lagoon level rise. Due to the accumulation of water between the buildings and floods, parts of the communities become 'water points', changing the environmental characteristics, and generation new floodable areas. Floods happen along the Volta River and around the 'water points.'
- **Warning and safe areas:** Floodable areas there is no warning of storms. Even though there are floods within the community, there is no need of high impact on relocation plan. The floodable are already consolidated new water points, impacting the community size and its vulnerability. There is a need of early warmings or guidance during floods. No communication or alarm system exist to send early warmings or guidance, but there is a (private) information centre in the community – the owner uses megaphones to announce and mechanise goods.
- **Ecosystem:** The few existing plants are concentrated around the consolidated floodable area/water points within the settlement and some coconut trees between the sea and the community, but they do not protect the community from flooding. Change in properties of the lagoon has reduced fishes.

### Direct beneficiaries:

578 (♂:289, Y:301). 1,156 (♀:578 Y:601) 2,889 (♂:1,445 Y:1,503)

## Agorkedzi/ Atiteti



### Highlights from the consultation process:

- **Floods:** similar to Goi, when there are storms or high ocean tides, seawater invades the coastal lagoons, causing erosion, sediment accumulation and flooding of houses near the coastal lagoons. In the back of the community, the lagoons connected to the Volta River also overpass the capacity. Over 20 times/year families need to leave their houses due to the flood. The community has built small, rudimentary channels to drain water, but it is not sufficient.
- **Warning and safe areas:** Due to flood, people find rescue in the 'Safe Heaven', a 50x50m space built by the Volta River Authority. Similar to Wokumagbe, no communication or alarm system exist to send early warmings or guidance, but there is a (private) information centre in the community – the owner uses megaphones to announce and mechanise goods.
- **Ecosystem:** the few vegetation between in the lagoons' surroundings are limited to coconut and bushes. Not far from Agorkedzi, mangrove has been planted and restored by the Wildlife Commission in collaboration with the community. Change in properties of the lagoon has reduced fishes.

### Direct beneficiaries:

500 (♂:265, Y:265). 1,000 (♀:530 Y:530) 2,499 (♂:1,325 Y:1,325)

## Agbledomi



- **Floods:** when there are storms or high ocean tides, seawater invades the coastal lagoons, causing erosion and sediment accumulation and flooding of houses near these coastal lagoons. Approximately 1 time/year flooding largely impacts the community.
- **Warning and safe areas:** there is no warning of storms.
- **Ecosystem:** there is very few vegetation between the ocean and the lagoon, and between the lagoon and the community. The previous existing mangrove in the lagoon area has been destroyed to use the wood (build houses, fish cooking and energy generation). Agriculture has been challenged by the rain pattern change, drought, salinization, and heat. To address this issue and boost production, the community has started to use fertilizers and chemicals, however, with the floods it has also harmed the environment. Change in properties of the lagoon has reduced fishes.

### Direct beneficiaries:

993 (♂:507, Y: 546). 1,986 (♀:1,013 Y:1,093) 4,966 (♀:2,533 Y:2,731) 240 (♀:122 Y: 132)

## Dzita



- Floods:** 3 times/year Dzita is deeply impacted by floods. Similar to Goi, when there are storms or high ocean tides, seawater invades the coastal lagoons, causing erosion, sediment accumulation and flooding of houses near the coastal lagoons. The road 'between' the lagoon and the main part of the settlement also gets flooded and water runs towards the back of the community (north), area of lower topography, where it accumulates. The community have built small and rudimentary channels to drain water towards the back of the community, to avoid flooding houses along the way.
- Warning and safe areas:** Due to the flood, people whose houses are flooded find rescue in the 'Safe Heaven', a space built by the Volta River Authority. Similar to other communities, no communication or alarm system exist to send early warnings or guidance. However, information is usually casted through a (private) communication centre in the community – the owner uses megaphones to announce and mechanise goods.
- Ecosystem:** there is very few vegetation between the ocean and the lagoon, and between the lagoon and the community. The previous existing mangrove in the lagoon area has been destroyed. A major part of the settlement (part that doesn't flood) is extremely arid. Agriculture has been challenged by the rain pattern change, salinity, drought, and heat. Change in properties of the lagoon has reduced fishes.

### Direct beneficiaries:

602 (♂:319, Y: 307). 1,204 (♂:638 Y:614) 3,011 (♂:1,596 Y:1,536) 240 (♂:127 Y: 122)

## Whuti

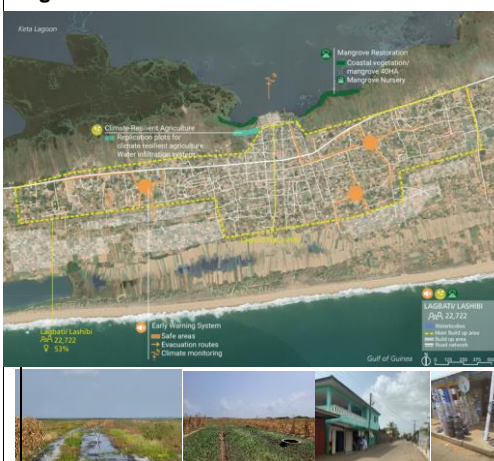


- Floods:** 1 time/year houses near the lagoons are deeply impacted by flooding. Most houses in the settlement are protected of sea and lagoon level rise thanks to the agriculture land, but production gets destroyed with flooding. Coastal lagoon flooding impact fewer houses if compared to the riverine lagoon, where there are more houses and agriculture land is narrower. During the rest of the year, floods impact agriculture and fishing.
- Warning and safe areas:** there is no warning of storms, although a system to inform the community on accurate weather data would be very helpful to agriculture production.
- Ecosystem:** agriculture is the only vegetation between the lagoons and the community. Lagoon property have changed due to the sea level rise, risen temperature, run-off and sediment accumulation. Change in properties of the lagoon has reduced fishes.

### Direct beneficiaries:

473 (♂:251, Y:218). 946 (♂:501 Y:435) 2,365 (♂:1,253 Y:1,088) 240 (♂:127 Y:110)

## Lagbati/ Lashibi



- Floods:** both agriculture land on the seaside and on the lagoon side flood due to strong rains and high tides. Houses along the lagoons have also been impacted sea/lagoon level rise as a consequence of the accelerated sedimentation of the lagoon. Land on the Keta Lagoon side ('back of the community') has extensive low-level topography and is deeply vulnerable.
- Warning and safe areas:** Similar to other communities, no communication or alarm system exist to send early warnings or guidance. However, information is usually casted through a (private) communication centre in the community to announce and mechanise goods. The communication does not reach all the community (use of 01 single megaphone). A communication centre exists but does not reach all the community. The EWS to inform the community on accurate weather data would be very helpful to agriculture production.
- Ecosystem:** agriculture is the only vegetation between the lagoons and the community - the previous existing mangrove in the lagoon area has been destroyed. Agriculture has been challenged by the rain pattern change, floods, drought, salinization, and heat. Change in properties of the lagoon has reduced fishes.

### Direct beneficiaries:

4,640 (♂:2,459, Y:2,691) 23,199 (♂:12,296 Y:13,456) 240 (♂:127 Y:139)



## Woe



- **Floods:** similar to Lagbati/Lashibi, Land on the Keta Lagoon side ('back of the community') has extensive low-level topography. In Woe, however, there is no barrier of agriculture between the Keta Lagoon and the community, and houses are more impacted. When there are strong rains, water gets cumulated in the community, and the lagoon area next to the agriculture land also overpasses the capacity. The lack of drainage, water run-off, accelerated sedimentation impact contribute to flood of the agriculture land.
- **Warning and safe areas:** similar to other communities, no alarm system exist to send early warnings or guidance. However, an FM radio station (Keta Radio) casts weather information daily (mornings). Additionally 3 (private) communication centres use megaphones to share information and merchandise goods.
- **Ecosystem:** vegetation between the lagoons and the houses do not protect the community (bushes). Agriculture has been challenged by the rain pattern change, floods, drought, salinization, and heat. Change in properties of the lagoon has reduced fishes.

### Direct beneficiaries:

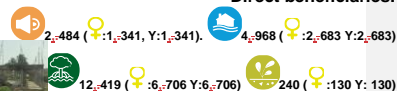


## Tegbi



- **Floods:** agriculture land floods due to lagoon level rise and rainfall.
- **Warning and safe areas:** similar to other communities, no communication or alarm system exist to send early warnings or guidance. However, information is usually casted through a (private) communication centre in the community to announce and mechanise goods.
- **Ecosystem:** vegetation between the lagoons and the houses do not protect the community (bushes). Agriculture has been challenged by the rain pattern change, floods, drought, salinization, and heat. Change in properties of the lagoon has reduced fishes.

### Direct beneficiaries:



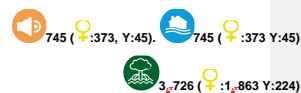
## Locations – Côte d'Ivoire

### Tefredji



- **Floods:** when there are storms or high ocean tides (September and October), the community faces erosion, accelerated sedimentation and flooding of roads and few houses near the coastal lagoon. Community has built small, rudimentary drainage channels.
- **Warning and safe areas:** no communication or alarm system exist to send early warnings or guidance, but there was an informal and private communication centre in the community – the owner used megaphones to announce and mechanise goods. The flood does not last more than 1 day.
- **Ecosystem:** there is no vegetation between the lagoon and the community, apart from coconut. The previous existing mangrove in the lagoon area has been destroyed.

### Direct beneficiaries:



## Tiémien



- **Ecosystem:** even though sea level rise is not causing floods in the settlement, it is eroding the coast, where agriculture is practiced. In some parts of the community, there are vegetation and mangrove between the lagoon and the community. The previous existing mangrove in the lagoon area and vegetation has been destroyed to create agriculture areas. Agriculture has been challenged by the rain pattern change, drought, and heat. To have better condition for fishing, the community use tree branches to create a better environmental to have more fishing.

### Direct beneficiaries:

541 (♀:227 Y:422) 240 (♀:125 Y:60)



## Attoutou B



- **Floods:** when there are storms or high ocean tides, the lagoon level rises, flooding the agriculture land. Within the settlement, spaces between the buildings and roads cumulate water and only few houses are impacted by flood.
- **Warning and safe areas:** there is no warning of storms, although a system to inform the community on accurate weather data would be very helpful to agriculture production. Women are responsible to produce cassava and this production have been impacted by the flooding from the lagoon.
- **Ecosystem:** there is very few vegetation between the lagoon and the community. The previous existing mangrove in the lagoon area has been destroyed to use the wood (build houses, fish cooking and energy generation).

### Direct beneficiaries:

323 (♀:142, Y:128) 1,616 (♀:711 Y:638) 240 (♀:106 Y:95)



## Grand-Jacques



- **Floods:** when there are storms or high ocean tides, seawater invades the coastal line. The water and sediment get stagnated in the community, causing flooding of roads and few houses near the coast. There is no vegetation protection between the ocean and the settlement.
- **Warning and safe areas:** there is no warning of storms. a system to inform the evacuation routes and non-floodable areas, especially in coastal line, would guide the community during floods.
- **Ecosystem:** The previous existing vegetation close to the community have been given place to a large coconut plantation.

### Direct beneficiaries:

681 (♀:306, Y:82) 1,362 (♀:613 Y:163)





<p><b>Koko</b></p> 	<ul style="list-style-type: none"> <li>• <b>Floods:</b> when there are storms or high ocean tides, the lagoon level rises, flooding the agriculture land. Within the settlement, spaces between the buildings cumulate water and only few houses are impacted by flood. During raining season, the women that are mostly working with cassava production, are not able to work properly.</li> <li>• <b>Warning and safe areas:</b> there is no warning of storms, although a system to inform the community on accurate weather data would be very helpful to agriculture production.</li> <li>• <b>Ecosystem:</b> there is very few vegetation between the lagoon and the community. The previous existing mangrove and forests in the lagoon area has been destroyed to use the wood (build houses, fish cooking and energy generation).</li> </ul> <p><b>Direct beneficiaries:</b></p> <p>156 (♂:73, Y:28) 782 (♀:367 Y:141)</p> 
<p><b>Taboth</b></p> 	<ul style="list-style-type: none"> <li>• <b>Ecosystem:</b> there is very few vegetation between the ocean and the lagoon, and between the lagoon and the community. The previous existing mangrove in the lagoon area has been destroyed.</li> </ul> <p><b>Direct beneficiaries:</b></p> <p>899 (♂:494 Y:162) 240 (♀:132 Y:43)</p> 
<p><b>Vitré 2</b></p> 	<ul style="list-style-type: none"> <li>• <b>Floods:</b> when there are storms or high ocean tides, the lagoon level rises, flooding the agriculture land. Within the settlement, spaces between the buildings cumulate water and only few houses near the lagoon flood often. Approximately 1 time/year flooding largely impacts the community. Warning and safe areas: there is no warning of storms. A system to inform the community on accurate weather data would be very helpful to agriculture production.</li> <li>• <b>Ecosystem:</b> there is very few vegetation between the lagoon and the community. There was mangrove before in the lagoon area, but the most part of it has been destroyed to use the wood (build houses, fish cooking and energy generation). Property of the lagoon water has changed and impacted fishing.</li> </ul> <p><b>Direct beneficiaries:</b></p> <p>282 (♂:127, Y:42). 282 (♀:127 Y:42)</p> <p>1,412 (♂:635 Y:212)</p> 

### Azzuretti

**Map Labels:** Azzuretti (Nigeria), PPA 1,362, 12%, Gulf of Guinea, Mangrove Restoration Coastal vegetation mangrove 50HA, Urban Flood Resilience Infiltration cells Drainage channels, Early Warning System Safe areas Evacuation routes Climate monitoring.

- Floods:** approximately 3 times/year the community face strong flooding. The community 'receives water from the front (ocean), back (lagoon) and rainfall'. There is no vegetation between the community and the lagoon.
- Warning and safe areas:** due to the flood, people whose houses are flooded find rescue in neighbours houses and families in the community. No communication or alarm system exist to send early warnings or guidance, but there was an informal and private communication centre in the community – the owner used megaphones to announce and mechanise goods.
- Ecosystem:** deforestation of mangrove for firewood and water from the sea changes the property of the lagoon.

**Direct beneficiaries:**

279 (Y:145, Y:69). 279 (Y:145 Y:70)

1,397 (Y:727 Y:349)

### Quartier France

**Map Labels:** Quartier France UNESCO World Heritage, PPA 1,333, 45%, Mangrove Restoration Coastal vegetation mangrove 50HA, Urban Flood Resilience Infiltration cells Drainage channels, Early Warning System Safe areas Evacuation routes Climate monitoring.

- Floods:** when there are strong rains, approximately 50% of the community gets flooded. People in the community even use traditional boats for transportation within the community. Due to the rising of water level, water from rainfall gets cumulated and easily flood parts of the community. During September and October of 2020, Quartier France was completely flooded. There is no vegetation between the community and the lagoon.
- Warning and safe areas:** Due to the flood, people whose houses are flooded find rescue in neighbours houses and families in the community. A Cultural Centre called 'Joao Batista' is used as safe heaven during flooding. No communication or alarm system exist to send early warnings or guidance. However, information is usually casted through a (private) communication centre in the community – the owner uses megaphones to announce and mechanise goods.
- Ecosystem:** Agriculture has been challenged by the rain pattern change, drought, and heat.

**Direct beneficiaries:**

479 (Y:215, Y:129). 479 (Y:215 Y:129)

2,394 (Y:1,077 Y:646)

### Mondoukou

**Map Labels:** Mondoukou, PPA 1,406, 45%, Mangrove Restoration Coastal vegetation mangrove 50HA, Urban Flood Resilience Infiltration cells Drainage channels, Early Warning System Safe areas Evacuation routes Climate monitoring.

- Floods:** approximately 2 times/year the community face strong flooding. The floods are caused by the strong rains during raining season (August to October) but also from the rise of lagoon level. Water from rainfall gets cumulated in the agriculture, saturating the soil. There is no vegetation between the community and the lagoon.
- Warning and safe areas:** due to the flood, people whose houses are flooded find rescue in neighbours houses and families in the community. No communication or alarm system exist to send early warnings or guidance, but there was an informal and private communication centre in the community – the owner used megaphones to announce and mechanise goods.
- Ecosystem:** water from the sea changes the property of the lagoon and sea fishing has become the major source of food (previously also lagoon fishing).

**Direct beneficiaries:**

287 (Y:138, Y:95). 287 (Y:138 Y:95)

## ANNEX 3: CONSULTATION

This annex presents the overview of the consultations held by year, detailing the stakeholders, objective and outcomes by consultation. Throughout the project proposal development, many challenges to involve vulnerable groups were faced due to local content both culture and social dynamics. Women participation has increasingly been achieved. The vulnerable groups AF guidelines on consultation and gender were strictly followed and all efforts prior to consultations were made (communication and identification of each representative, follow-up and active engagement). Detailed list of participants and further detailed information is provided in [Consultation Details and Evidence](#).

The results of all consultations are reflected in the project proposal, specifically under the Part II.I Consultative process, the Annex 1: Vulnerability Analysis and the Annex 2: Subproject Sheets.

### 2016 – Project Phase: Conceptualisation

Ghana and Côte d'Ivoire:

Type: Private meetings.	Participants: government bodies and program entities.
Objective: define the scope of the pre-concept note, ensuring alignment with national priorities (i.e. national strategies and plans).	

### 2017– Project Phase: Concept Note Development

Ghana:

In 2017, 17 private meetings were held with government bodies with the objective to define the scope of the pre-concept note, ensuring alignment with national priorities (i.e. national strategies and plans). 12 group discussions with the communities were also held with the objective of reflecting the priorities of vulnerable groups into the Concept Note, women representation was 40%.

Type: 17 Private meetings		Participants: government bodies and program entities.	
Date	Stakeholder	Consultation objective	Outcomes and Conclusion
16-17 nov Bonn	Ministry of Environment, Science, Technology and Innovation	<ul style="list-style-type: none"> <li>Agree on AF proposal priorities and target areas (districts level)</li> <li>Understand national priorities</li> <li>Identify relevant projects and lessons, concerns and complementary potential</li> </ul>	<ul style="list-style-type: none"> <li>Agreement of roadmap for developing the proposal</li> </ul>
6 nov 2017	Ministry of Local Government and Rural Development	<ul style="list-style-type: none"> <li>Agree on AF proposal priorities and decentralized implementation modality</li> <li>Identify relevant projects and lessons, concerns and complementary potential</li> </ul>	<ul style="list-style-type: none"> <li>Agreement on AF proposal priorities and decentralized implementation modality, including for spatial planning</li> <li>Need to complement WB project for Resilient Greater Accra Metropolitan Area (GAMA) where ministry takes a coordinating role</li> <li>Invite both leading ministries for World Urban Forum 9 (7-13 Feb 2018) to discuss international cooperation and needs</li> <li>Organise National – district workshop to agree on national – local implementation modality and interventions after the WUF</li> </ul>
Decemb er 2017	Tema metropolis	<ul style="list-style-type: none"> <li>Agree on AF target areas (community level)</li> <li>Identify focal point</li> </ul>	<ul style="list-style-type: none"> <li>Priority community: newtown informal settlement</li> <li>Focal point: Ofori Joseph (assembly representative)</li> </ul>
Decemb er 2017	Ningo Prampram district	<ul style="list-style-type: none"> <li>Understand local issues and needs</li> <li>Identify relevant projects and lessons, concerns and complementary potential</li> </ul>	<ul style="list-style-type: none"> <li>Priority communities: Prampram informal harbour area, old ningo and Ayitepa</li> <li>Focal point: Aboagye Aaron (Physical Planning Officer), Old Ningo: Dzamaku Enoch, Prampram: Solomon Tangman, Ayitepa: Sampson Adjaklo</li> </ul>



December 2017	Ada West district		<ul style="list-style-type: none"> <li>Priority communities: Akplabanya, Goi and Kportitsekorppe, Focal point: Agbeve S. S. (Planning Officer), Akplabanya: Amos Kwao, Goi: John Tsiri, Kportitsekorppe: Joseph Ahuakese</li> </ul>
December 2017	Ada East district		<ul style="list-style-type: none"> <li>Priority communities: Totope, Azizanya and big Ada, Focal point: Gyamfi Kwadwo (assistant director), Big Ada: Awal Iddrisu</li> </ul>
December 2017	Keta district		<ul style="list-style-type: none"> <li>Priority communities: Fuvemeh, Woe, Anloga, Vodza, Focal points: Fuvemeh: Oswald Etse, Woe: Victor Amekudzi, Anloga: Ernest Agbota</li> <li>Communities don't always trust government involvement</li> <li>Overlap with other projects has been checked</li> <li>Complement UNCDF Local project</li> </ul>
6 nov 2017	UN Residence coordinator	<ul style="list-style-type: none"> <li>Agree on cooperation modality / alignment with other UN projects</li> </ul>	
6 nov 2017	UNDP	<ul style="list-style-type: none"> <li>Understand main issues, concerns and needs in target areas / communities.</li> <li>Understand relevant projects and lessons, concerns and complementary potential, esp. AF Funded project: 'Increased Resilience to Climate Change in Northern Ghana through the Management of Water Resources and Diversification of Livelihoods and NAP process</li> </ul>	<ul style="list-style-type: none"> <li>Align with NAP process</li> <li>Northern project not relevant</li> </ul>
10 nov 2017	UNCDF	<ul style="list-style-type: none"> <li>Understand main issues, concerns and needs in target areas / communities;</li> <li>Understand relevant projects and lessons, concerns and complementary potential, esp. LoCal project</li> </ul>	<ul style="list-style-type: none"> <li>Will align with LoCal project but is very small (US\$125,000)</li> <li>Possible option to scale up Local within UN-Habitat / AF project framework</li> </ul>
7 and 10 nov 2017	Development Institute / Ghana Delta alliance Wing	<ul style="list-style-type: none"> <li>Understand main issues, concerns and needs in target areas / communities;</li> <li>Understand relevant projects and lessons, concerns and complementary potential, esp. government and NGO related projects</li> <li>Discuss cooperation options for community assessments</li> </ul>	<ul style="list-style-type: none"> <li>Basic assessments already conducted with Delta alliance in Keta</li> <li>Good understanding of local issues and good network</li> <li>DECCMA project leader is part of Delta Wing board.</li> <li>Cooperate to conduct community level surveys and focus group discussions</li> <li>Use DECCMA assessments already done.</li> </ul>
7 and 10 nov 2017	Hen Mpoano NGO	<ul style="list-style-type: none"> <li>Understand main issues, concerns and needs in target areas / communities;</li> <li>Understand relevant projects and lessons, concerns and complementary potential, esp spatial mapping, fishing and community level related work</li> <li>Discuss potential cooperation options</li> </ul>	<ul style="list-style-type: none"> <li>Good understanding community level work and spatial (drone) mapping and modelling.</li> <li>Possibly cooperate to fully map communities and risk areas for full proposal</li> <li>Partner for community level work during project</li> </ul>
7 nov 2017	USAID / Ghana CRC/URI PACT Tetra tech	<ul style="list-style-type: none"> <li>Understand main issues, concerns and needs in target areas / communities;</li> <li>Understand relevant projects and lessons, concerns and complementary potential, esp. West Africa Biodiversity and Climate Change Program (WA BiCC) and Ghana sustainable fisheries management project'</li> </ul>	<ul style="list-style-type: none"> <li>WA BiCC project has no implementation in Ghana</li> <li>Little lessons available from other countries because of initial stage</li> <li>Monitor possible lessons in Côte d'Ivoire</li> </ul>
7 nov 2017	Spatial solutions	<ul style="list-style-type: none"> <li>Understand main issues, concerns and needs in target areas / communities;</li> <li>Understand relevant projects and lessons, concerns and complementary potential, esp. related to spatial planning in target areas</li> </ul>	<ul style="list-style-type: none"> <li>Good understanding of spatial planning needs and processes</li> <li>No spatial plans exist in target areas (except greater Accra plan which included Tema and Prampram at high level) but new government prioritizes spatial planning</li> <li>Government did not prioritize the development of spatial plans in target areas because of lack of oil and economic need</li> <li>Estimated cost for a structure plan done by private company is US\$ 1.3 m and for a district US\$370,000</li> <li>Willingness and need to develop spatial plans in target areas at district and local level focused on identifying risk areas, current and future land use needs and long-term coastal management needs</li> </ul>
<b>Type:</b> 12 Field consultations		<b>Participants:</b> local community (e.g. fisherman, student, pensioner, oyster trader, food seller, coconut seller)	
<b>Vulnerable groups representation:</b>		Four minority group participated (women, disabled, youth and elderly).	
		<b>Gender:</b> 40% of participants were women	
<b>Date</b>	<b>Stakeholder</b>	<b>Consultation objective</b>	<b>Outcomes and Conclusion</b>
December 2017	12 Local communities	<ul style="list-style-type: none"> <li>Understand target population, poverty, poverty rates, means of livelihoods and community assets.</li> </ul>	<ul style="list-style-type: none"> <li>Results are under Part III.C Measures For Environmental And Social Risk Management, As well under the interventions Feasibility sheets from the ESIA.</li> <li>Climate change hazards and risks identified. Specifically, it was identified that floods, salinization droughts, sea/lagoon level rise, coastal erosion, accelerated sedimentation</li> </ul>

		<ul style="list-style-type: none"> <li>▪ Identity vulnerable groups (women, youth, elderly and disabled) specific challenges and needs.</li> <li>▪ Identify Climate change related hazards, risks, impacts and vulnerabilities.</li> <li>▪ Barriers to adapt to the identified impacts.</li> </ul>	and heatwaves challenge various communities. Results are in Annex 1 Vulnerability Analysis.
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#### Côte d'Ivoire:

In 2017, 14 private meetings were held with government bodies with the objective to define the scope of the pre-concept note, ensuring alignment with national priorities (i.e. national strategies and plans). 09 group discussions with the communities were also held with the objective of reflecting the priorities of vulnerable groups into the Concept Note. In that year, women representation was 27%.

**Annex Table 8 Private meetings, Côte d'Ivoire**

Type: Private meetings		Participants: government bodies and program entities.	
Date	Stakeholder	Consultation objective	Outcomes and Conclusion
13 nov 2017 Bonn / COP 23	Ministry of Urban Sanitation, Environment and Sustainable Development	<ul style="list-style-type: none"> <li>▪ Agree on AF proposal priorities and target areas (districts level)</li> <li>▪ Understand national priorities</li> <li>▪ Identify relevant projects and lessons, concerns and complementary potential</li> </ul>	<ul style="list-style-type: none"> <li>✓ Agreement of roadmap for developing this proposal</li> <li>✓ Invite both leading ministries for World Urban Forum 9 (7-13 Feb 2018) to discuss international cooperation and needs</li> <li>✓ Organise National – district workshop to agree on national – local implementation modality and interventions after the WUF</li> </ul>
Through above ministry	Ministry of Construction, Housing, Sanitation and Urban Planning		
16 nov 2017	Cocody Department	<ul style="list-style-type: none"> <li>▪ Agree on AF target areas (community level)</li> <li>▪ Identify focal point</li> <li>▪ Understand local issues and needs</li> <li>▪ Identify relevant projects and lessons, concerns and complementary potential</li> </ul>	<ul style="list-style-type: none"> <li>✓ Priority community: Cocody village, Blockhaus, M'pouto, M'Badon</li> <li>✓ Focal point: Mayor: N'goan Aka Mathias</li> <li>✓ M'Pouto: Ceke Nangai</li> <li>✓ M'Badon: Djoman Bogue</li> <li>✓ Target communities identified</li> <li>✓ Mayor is a driver of eco-city concept and emphasises the need to adapt to climate change – thus he could support political mobilization</li> </ul>
16 nov 2017	Bingerville Department		<ul style="list-style-type: none"> <li>✓ Priority community: Bingerville, Aghien, Akanje</li> <li>✓ Focal point: Mayor: Beugre Djoman; Aghien: Alle allée Jean Pierre; Bingerville: Bagodou Augustin; Akanje: Mobio</li> <li>✓ Target communities identified</li> <li>✓ Use good practice of mangrove planting</li> </ul>
17 nov 2017	Jacquerville Department		<ul style="list-style-type: none"> <li>✓ Priority community: Gand-jacq, Techmien, Kouve;</li> <li>✓ Focal point: Aka Auguste (mayor). Grand-Jack: M Sopy Tiakpa Justin; Techmien: N'Geussan Francois</li> <li>✓ Possibly utilise coping mechanisms of moving away from the shore in spatial planning approach</li> <li>✓ Location to understand possible impacts of WACA project in Grand-Lahou</li> </ul>
17 nov 2017	Grand-Bassam Departments		<ul style="list-style-type: none"> <li>✓ Priority community: Moossou and Quartier France</li> <li>✓ Focal point: Georges Ezalé, Mayor of Grand-Basam; Brindoumi, Chief Technical officer of the town hall; Aketchi Anselme, the youth leader</li> <li>✓ Focus on possible involvement of hotels (i.e. private sector) in addressing erosion, possibly together with Assinie and Assouinde (which are tourism hotspots)</li> </ul>
17 nov 2017	Port Bouet Department	<ul style="list-style-type: none"> <li>▪ Agree on cooperation modality for potential coastal interventions in target areas</li> </ul>	<ul style="list-style-type: none"> <li>✓ Priority community: centre and Adjoufou / Gonzagueville</li> <li>✓ Focal point: Tanoh (technical service of the Town hall)</li> <li>✓ Coastal erosion main issue. Possibly involve tourism sector</li> </ul>
13 nov 2017	World Bank		<ul style="list-style-type: none"> <li>✓ Multi sector risk assessment has been done but not in Ghana</li> <li>✓ Based on the assessment, interventions will focus on eco-systems, stabilisation of the coast and opening of the lagune in Grand-Lahou worth US\$ 30 m</li> </ul>

		<ul style="list-style-type: none"> <li>Understand main issues, concerns and needs in target areas / communities;</li> <li>Understand relevant projects and lessons, concerns and complementary potential, esp WACA project</li> </ul>	<ul style="list-style-type: none"> <li>They lack complementary spatial planning intervention and are very open to coordinate</li> <li>Spatial planning important for ministry of Interior</li> <li>There will be a regional climate change observatory</li> <li>Potentially complement WACA project with spatial planning element in Grand-Lahou</li> <li>Involve ministry of Interior in project design</li> </ul>
13 nov 2017	AfDB	<ul style="list-style-type: none"> <li>Understand main issues, concerns and needs in target areas / communities;</li> <li>Understand relevant projects and lessons, concerns and complementary potential, esp related to CC and urban development and AF projects</li> </ul>	<ul style="list-style-type: none"> <li>AfDB uses ACCF to develop projects with national government for AF and GCF as a means to create government need for loans</li> <li>No overlap with AF proposal and AfDB process is new and therefore not fast</li> <li>Monitor process of AF project development and potential link with forest livelihoods</li> </ul>
14 nov 2017	Abidjan Convention / UNEP	<ul style="list-style-type: none"> <li>Agree on cooperation modality for knowledge management</li> <li>Understand main issues, concerns and needs in target areas / communities;</li> <li>Understand relevant projects and lessons, concerns and complementary potential, esp related to Abidjan</li> </ul>	<ul style="list-style-type: none"> <li>There will be a regional resource centre funded by USAID and IBM</li> <li>They have great knowledge of regional and national initiatives, projects and relevant documents which they will share</li> <li>They suggested to use scenario's for interventions and emphasize using a blue economy (spatial planning) approach (turning bad situations in opportunities)</li> <li>Use the regional resource centre as the main platform for KM / lessons from this project</li> <li>Identify potential other areas for cooperation</li> <li>Consider using scenario's for proposed interventions and blue economy (spatial planning) approach</li> </ul>
14 nov and 16 nov 2017	Université Felix Houphouet Boigny, Abidjan / CURAT (remote sensing and GIS)	<ul style="list-style-type: none"> <li>Understand main issues, concerns and needs in target areas / communities;</li> <li>Understand relevant projects and lessons, concerns and complementary potential, esp government and NGO related projects</li> <li>Discuss cooperation options for community assessments</li> </ul>	<ul style="list-style-type: none"> <li>CURAT does modelling of coastal morphology and hydrology in target areas and can do impact assessments</li> <li>Recent study: ocean current goes west – east except in Grand-Lahou and Grand-Bassam</li> <li>They work with WACA project</li> <li>There are 5 climate change / erosion hotspot areas in Côte d'Ivoire: Fresco, Grand-Lahou, Abidjan, Grand-Bassam and Assinie</li> <li>Focus on hotspot areas around Abidjan and Grand-Bassam (since WACA works in Grand-Lahou and USAID in Fresco)</li> <li>Cooperate to conduct community level surveys and focus group discussions</li> <li>Consider working with CURAT to conduct EIA</li> <li>They have experience with conducting vulnerability assessments for the WB and USAID</li> </ul>
17 nov 2017	Oceanographic Research Centre		
14 nov 2017	École d'architecture D'Abidjan		<ul style="list-style-type: none"> <li>They are too expensive to conduct the vulnerability assessments at this stage</li> </ul>
13, 15 and 16 nov 2017	Earth Right Institute	<ul style="list-style-type: none"> <li>Understand main issues, concerns and needs in target areas / communities;</li> <li>Understand relevant projects and lessons, concerns and complementary potential, esp. government and NGO related projects</li> <li>Discuss cooperation options for implementing (part) of the climate change plan for Cocody.</li> </ul>	<ul style="list-style-type: none"> <li>Include Cocody most vulnerable communities in project</li> <li>Focus on integrating environmental / climate change risks in department and local spatial plans in target areas</li> <li>Cooperate to conduct community level surveys and focus group discussions.</li> <li>Involve ERI for conducting rapid community surveys</li> </ul>
<b>Type:</b> 09 Field consultations.		<b>Participants:</b> local community and government.	
<b>Vulnerable groups representation:</b>		Four minority ground participated (women, disabled, youth and elderly).	<b>Gender:</b> 27% of participants were women
<b>Date</b>	<b>Stakeholder</b>	<b>Consultation objective</b>	<b>Outcomes and Conclusion</b>
<b>December 2017</b>	<b>Local communities</b>	<ul style="list-style-type: none"> <li>Understand target population, poverty, poverty rates, means of livelihoods and community assets.</li> <li>Identify vulnerable groups (women, youth, elderly and disabled) specific challenges and needs.</li> <li>Identify Climate change related hazards, risks, impacts and vulnerabilities.</li> <li>Barriers to adapt to the identified impacts.</li> </ul>	<ul style="list-style-type: none"> <li>Results are under Part III.C Measures For Environmental And Social Risk Management, As well under the interventions Feasibility sheets from the ESIA.</li> <li>Climate change hazards and risks identified. Specifically, it was identified that floods, salinization, droughts, sea/lagoon level rise, coastal erosion, accelerated sedimentation and heatwaves challenge various communities. Results are in Annex 2 Vulnerability Analysis.</li> </ul>

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## 2018 – Project Phase: Proposal Development

Ghana and Côte d'Ivoire:

In 2018, private meetings/workshops were held with implementing partners to define the project approach as well as the implementation and coordination mechanisms. Field consultations in targeted communities were also carried out to define the list of priority interventions.









Type: Private meetings/workshops		Participants: government, partner organisations and programmes.	
Date	Stakeholder	Consultation objective	Outcomes and conclusions
07-13 February 2018 at World Urban Forum	Leading ministries from Ghana and Côte d'Ivoire	Bring together leading ministries from Ghana and Côte d'Ivoire to: <ul style="list-style-type: none"><li>Agree on regional approach and coordination mechanisms</li><li>Agree on / confirm list of priority interventions and target areas (especially related to larger interventions with potential international impacts)</li></ul>	<ul style="list-style-type: none"><li>Regional approach for Ghana and CDI strategized.</li><li>Priority interventions discussed and alignment with the national priorities and reflected in the project.</li></ul>
March 2018 In Ghana and Côte d'Ivoire	Leading ministries and target districts in Ghana and Côte d'Ivoire	Bring together leading ministries and target district / department governments in both Ghana and Côte d'Ivoire to: <ul style="list-style-type: none"><li>Agree on implementation and coordination modalities</li><li>Agree on / confirm list of priority interventions and target communities (especially related to spatial / land use planning and larger interventions)</li></ul>	<ul style="list-style-type: none"><li>Implementation and coordination mechanisms agreed, as well as the outputs involving the government.</li></ul>
April - November 2018	Institutions to develop required models and conduct assessments	<ul style="list-style-type: none"><li>Develop models / collect data required to understand impact of proposed interventions</li><li>Conduct detailed vulnerability / risk mapping</li><li>Conduct impact assessments / risk screening of proposed interventions / feasibility studies</li></ul>	<ul style="list-style-type: none"><li>Data collected for the further vulnerability analysis and mapping.</li></ul>
Type: Field Consultations.		Participants: local community	
Vulnerable groups representation: During this effort special attention was put to ensure these activities will equally benefit and empower women and youth. Two minority grounds participated (women and youth).			
Date	Stakeholder	Consultation objective	Outcomes and conclusions
April 2018 In Ghana and Côte d'Ivoire	Target communities and vulnerable groups	<ul style="list-style-type: none"><li>Agree on list of priority interventions at community level and understand specific needs and issues per vulnerable group and inclusion of gender-response interventions.</li></ul>	<ul style="list-style-type: none"><li>The outcomes of consultations shaped the selection of proposed interventions at that stage.</li><li>Some of the proposed interventions were excluded due to cost inefficient (high costs), non-feasibility due to e.g environmental risks (e.g erosion generation in other areas) and non-preference of beneficiary groups.</li><li>In some discussions, new interventions were suggested by the communities (e.g. pen culture).</li></ul>
December 2018	Target communities and vulnerable groups in Ghana and Côte d'Ivoire	<ul style="list-style-type: none"><li>Final selection / verification of proposed interventions by discussing the following criteria:<ul style="list-style-type: none"><li>Benefits to communities / groups</li><li>Cost-effectiveness</li><li>Sustainability / maintenance arrangements</li><li>Environmental and social risks</li></ul></li><li>Confirm / identify design needs per vulnerable groups of proposed interventions</li></ul>	





## 2019 – Project Phase: Detail interventions, operability, management, and sustainability.

Ghana:


In 2019, 13 private meetings with partner organisations and government bodies, and 3 focus group discussions with communities' and districts' representatives were held with the objective of gathering information to detail the interventions and their operability, management and sustainability. 2 Workshops with all stakeholders were carried out at the end of the field mission to validate all project components for the proposal submission.

Annex Table 9 Overview consultations (private meetings and focus group discussions) mission April 2019

Type: 13 Private meetings and 2 workshops		Participants: academia, government and NGOs, District representatives and programmes.	
Date	Stakeholder	Consultation objective	Consultation Results
 3/04/19 Accra	<ul style="list-style-type: none"> <li>UNDP</li> <li>Gita Welch</li> <li>Resident representative</li> <li>Jennifer Asuako</li> <li>Programme Analyst (gender)</li> <li>Sylvia Sefakor Senu</li> <li>Economic analyst (youth)</li> </ul>	<ul style="list-style-type: none"> <li>Identify relevant projects and lessons, concerns and complementary potential</li> <li>Identify potential project risks and opportunities related to gender and youth</li> </ul>	<ul style="list-style-type: none"> <li>No geographic overlap with UNDP projects</li> <li>Compliment GEF Guinea project about marine ecosystems</li> <li>Compliment REDD+ and GCF work on ecosystems</li> <li>Gender issue: limited participation and platforms; men control resources, including land</li> <li>Youth issue: want to be involved in new / innovative work - not conventional</li> <li>Information could be shared through mobile phones</li> <li>Need to involve both men and women to address resource control issues</li> <li>Youth: use youth groups / associations and focus on 'innovative' work such as ecotourism</li> </ul>
 03/04/2019 Accra	<ul style="list-style-type: none"> <li>UNICEF</li> <li>Muhammad Rafiq Khan</li> <li>Chief of Child protection</li> </ul>	<ul style="list-style-type: none"> <li>Identify relevant projects and lessons, concerns and complementary potential</li> <li>Identify potential project risks and opportunities related to gender and children.</li> </ul>	<ul style="list-style-type: none"> <li>No geographic overlap with UNICEF projects</li> <li>Cholera is an issue along the coast</li> <li>Children issue: human trafficking due to reduction in fish stock (income) + high-rate orphanages in Anloga/ Keta</li> <li>Project should focus on income for fishermen to avoid human trafficking</li> </ul>
 03/04/2019 Accra	<ul style="list-style-type: none"> <li>Dutch embassy</li> <li>Janet Dufie Arthur</li> <li>Policy officer WASH</li> </ul>	<ul style="list-style-type: none"> <li>Identify relevant projects and lessons, concerns and complementary potential</li> </ul>	<ul style="list-style-type: none"> <li>Relevant NGOs / organisations in the Volta area: IUCN, Both End and Wetlands international</li> <li>IUCN, Both End and Wetlands international to be coordinated by the Development Institute (partner UN-Habitat)</li> </ul>
 04/04/2019 Accra	<ul style="list-style-type: none"> <li>UNCDF</li> <li>Angela Yayra Amoah</li> <li>National project coordinator</li> </ul>	<ul style="list-style-type: none"> <li>Identify lessons learned Local project and climate change project approach</li> </ul>	<ul style="list-style-type: none"> <li>UNCDF channels climate change funding from national level to local level using a performance-based approach for districts to use the funding.</li> <li>Buy-in communities is important</li> <li>Project could consider similar approach that UNCDF uses if not too many delays and if makes sense with already identified actions and executing entities</li> </ul>
 04/04/2019 Accra	<ul style="list-style-type: none"> <li>University of Ghana</li> <li>Ayaa K Armah</li> <li>Shrimp Mariculture, coastal management, EIA, marine biodiversity conservation</li> </ul>	<ul style="list-style-type: none"> <li>Understand EIAs requirements and process for Ghana</li> </ul>	<ul style="list-style-type: none"> <li>Process can take up to 9 months but will include comprehensive assessment</li> <li>Consider Ayaa K. Armah for EIAs required by national law</li> </ul>
 5/04/2019 Accra	<ul style="list-style-type: none"> <li>Ministry of Environment, science, technology and innovation</li> <li>Fredua Agyeman</li> <li>Director environment and AF DA</li> </ul>	<ul style="list-style-type: none"> <li>Align with priorities ministry and discuss management arrangement</li> <li>Compliance with rules, technical standards, and regulations</li> </ul>	<ul style="list-style-type: none"> <li>Project is in line with priorities.</li> <li>Mr Agyman will appoint a focal point / deputy for the project to oversee it.</li> <li>District assemblies (district chiefs) and traditional chiefs are key, also to mobilise communities; ensure capacity of district assemblies is build / sustained</li> <li>Coordinate with WACA programme.</li> <li>Involve (the new) Coastal Development Authority (policy and coordination along the coast), EPA (environmental aspect of plans), NDPC, Hydrological authority and fishermen association</li> </ul>
 5/04/2019 Accra	<ul style="list-style-type: none"> <li>Representatives from target districts, land use and spatial planning authority, university, NGO</li> </ul>	<ul style="list-style-type: none"> <li>Agree on priority areas project</li> <li>Agree on content components</li> </ul>	<ul style="list-style-type: none"> <li>Component 1: work with land use and spatial planning authority and build capacity at district level; consider development of coastal / marine spatial plan (is a need, including study sediment flow etc.)</li> <li>Component 2: involve district chiefs and traditional chiefs and women and youth (through community groups / association) and awareness through church</li> <li>Component 3: involve Ministry of housing and construction for engineers (if needed)</li> <li>Component 5: consider involving African centre of coastal resilience – university of Cape (as they already monitor coastal erosion / sediment budget + coordinate with Coastal Development Authority</li> </ul>
 12/04/2019 Accra	<ul style="list-style-type: none"> <li>Ministry of Environment, science, technology and innovation</li> <li>Fredua Agyeman</li> <li>Director environment and AF DA</li> </ul>	<ul style="list-style-type: none"> <li>Verify approach and agree on way forward</li> </ul>	<ul style="list-style-type: none"> <li>Project management arrangements (organigram) to be prepared and agreed upon by Fedua</li> </ul>






12/04/2019 Accra	<ul style="list-style-type: none"> <li>Representatives from target districts, land use and spatial planning authority, university, NGO</li> </ul>	<ul style="list-style-type: none"> <li>Verify / agree upon proposed adaptation measures</li> <li>Get inputs on proposed adaptation measures</li> <li>Agree on way forward</li> </ul>	<ul style="list-style-type: none"> <li>✓ Component 1: work with land use and spatial planning authority and build capacity at district level; consider development of coastal / marine spatial plan (is a need, including study sediment flow etc.) Align with ministry framework</li> <li>✓ Component 2: involve district chiefs and traditional chiefs and women and youth (through community groups / association); and awareness through church</li> <li>✓ Component 3: See above proposed measures</li> <li>✓ Component 5: consider involving African centre of coastal resilience – university of Cape (as they already monitor coastal erosion / sediment budget + coordinate with Coastal Development Authority)</li> </ul>
 12/04/2019 Accra 8 <sup>th</sup> October 9 <sup>th</sup> October Sogakope	<ul style="list-style-type: none"> <li>Environmental Assessment and Audit Department of EPA</li> <li>Kwabena Badu-Yeboah</li> <li>Ag Director EAA</li> <li>Blue Deal Programme team</li> </ul>	<ul style="list-style-type: none"> <li>Understand process to conduct EIAs required by national law</li> <li>Discuss complementarities and potential overlap with AF project.</li> <li>Presentation on updates of the AF project to main stakeholders.</li> </ul>	Steps: <ul style="list-style-type: none"> <li>✓ Prepare a list of proposed adaptation measures and discuss what exactly will be required</li> <li>✓ Register project</li> <li>✓ Conduct studies required by UN-Habitat</li> </ul> <ul style="list-style-type: none"> <li>✓ Clear alignment between Blue Deal Programme future work in Ghana and UN-Habitat's AF proposal. Current challenge is the difference in timeframes.</li> <li>✓ Well received presentation of UN-Habitat's project by all stakeholders, political will to support its implementation.</li> </ul>
10 <sup>th</sup> October Accra	<ul style="list-style-type: none"> <li>Land Use Spatial Planning Authority</li> </ul>	<ul style="list-style-type: none"> <li>Discussion on component 1. Spatial Planning: objective, outcome, and budget.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Overall agreement with the Land Use Spatial Planning Authority on project component 1 on Spatial Strategies. Follow up on detailing collaboration and geographical scope.</li> </ul>
<b>Type: 3 Focus Group Discussions</b>		<b>Participants:</b> districts and communities' representatives (chiefs, youth and women organisations, elderly, fishermen and farmers, etc.)	
<b>Vulnerable groups representation:</b> Four minority ground participated (women, disabled, youth and elderly).		<b>Gender: 12%</b> of participants were women	
<b>Date</b>	<b>Stakeholder</b>	<b>Consultation objective</b>	<b>Outcomes and Conclusion</b>
 8/04/2019 Ada West communities	<ul style="list-style-type: none"> <li>Ada West</li> <li>Hon A.L. Akrofi</li> <li>District chief executive</li> <li>Community representatives (chiefs, women and youth organizations, elderly, fishermen, farmers)</li> </ul>	<ul style="list-style-type: none"> <li>Through focus group discussions, align possible adaptation measures with district and community priorities (2019-2021 development plans) and assess feasibility and data gaps (with Arcadis)</li> </ul>	<ul style="list-style-type: none"> <li>✓ Possible feasible adaptation measures related to coastal erosion/ tidal/sea floods and siltation (sea level rise, storms, etc.) in line with priorities (in district development plans and confirmed by chiefs): Wokumagwe, Aklabanya and Goi</li> <li>✓ Main issues: Erosion + coastal floods, Flash floods, Dry lagoon in dry season + loss of livelihood in lagoon</li> <li>✓ Possible adaptation measures: Coastal lagoon flood and drought management system + livelihood support (fish)</li> </ul> Lolonya: <ul style="list-style-type: none"> <li>✓ Main issues: Erosion + coastal floods</li> <li>✓ Possible adaptation measures: Raising the barrier + planting vegetation (with sand already there and community already trying)</li> </ul>
 09/04/2019 Ada East Communities	<ul style="list-style-type: none"> <li>Ada East</li> <li>Sarah Dukbakie Pobee</li> <li>District chief executive</li> <li>Community representatives (chiefs, women and youth organizations, elderly, fishermen, farmers)</li> </ul>	<ul style="list-style-type: none"> <li>Through focus group discussions, align possible adaptation measures with district and community priorities (2019-2021 development plans) and assess feasibility and data gaps (with Arcadis)</li> </ul>	<ul style="list-style-type: none"> <li>✓ Possible feasible adaptation measures related to coastal erosion / tidal / sea floods and siltation (sea level rise, storms, etc.) in line with priorities (in district development plans and confirmed by chiefs): Azizanya / Kewunor:</li> <li>✓ Main issues: Volta river and lagoon flooding; Limited livelihood options.</li> <li>✓ Possible adaptation measures: Mangrove planting to maintain sediment and regulate water with gateway to reduce flooding + livelihood support (fish, crabs and ecotourism)</li> </ul> Big Ada: <ul style="list-style-type: none"> <li>✓ Main issues: Volta flooding; Limited livelihood options (clams)</li> <li>✓ Possible adaptation measures: Mangrove planting + raising the barrier with sediment from river (in the middle) by community?</li> </ul>
 Keta	<ul style="list-style-type: none"> <li>Keta</li> <li>Oswald Etsey Kpodzo</li> <li>Community representatives (chiefs, women and youth organizations, elderly, fishermen, farmers)</li> </ul>	<ul style="list-style-type: none"> <li>Through focus group discussions, align possible adaptation measures with district and community priorities (2019-2021 development plans) and assess feasibility and data gaps (with Arcadis)</li> </ul>	<ul style="list-style-type: none"> <li>✓ Possible feasible adaptation measures related to coastal erosion / tidal / sea floods and siltation (sea level rise, storms, etc.) in line with priorities (in district development plans and confirmed by chiefs): Fuvemeh and Agorkedzi / Dzita / Agbledomi</li> <li>✓ Main issues: rapid erosion / disappearance community</li> <li>✓ No appropriate relocation option.</li> <li>✓ Possible adaptation measures: relocate – use existing pond for fish or related + ecotourism</li> </ul> Anloga (Whuti and Lagbati), Woe








			<ul style="list-style-type: none"> <li>✓ Main issues: salt-water intrusion due to coastal erosion, sea level rise and overuse boreholes– dying crops</li> <li>✓ Possible adaptation measures: Salt resilient crops + rainwater infiltration ponds / recharge groundwater; ecotourism</li> </ul>
10/04/2019 Keta district communities			<ul style="list-style-type: none"> <li>✓ Main issues: Coastal flooding into community</li> <li>✓ Possible adaptation measures: shape the beach with sand already there</li> </ul>


#### Côte d'Ivoire:

In 2019, 12 private meetings with government bodies, and 4 consultations with technical experts were held to discuss the details of the interventions and their operability, management and sustainability. 3 Consultations with communities' representatives.

Type: 12 Private meetings		Participants: government bodies and program entities.	
Date	Stakeholder	Consultation objective	Conclusion
 11/04/2019 Abidjan	<ul style="list-style-type: none"> <li>- UNEP</li> <li>- Angele Luh</li> <li>- Resident representative</li> </ul>	<ul style="list-style-type: none"> <li>▪ Identify relevant projects and lessons, concerns and complementary potential</li> <li>▪ Ensure synergies between projects</li> </ul>	<ul style="list-style-type: none"> <li>✓ No geographic overlap with UNEP project</li> <li>✓ Compliment GCF project about mangrove ecosystems restoration in Cocody</li> <li>✓ Cocody Cité verte project; ensure complementarity and no duplication</li> </ul>
 11/04/2019 Abidjan	<ul style="list-style-type: none"> <li>- Ministry of Interior (DGDDL)</li> <li>- Mr. Lazare Dago Djahi</li> <li>- General secretary</li> </ul>	<ul style="list-style-type: none"> <li>▪ Inform the government of the work developed so far and detail components, agenda of the workshop and field mission and management arrangement</li> <li>▪ Understand the spatial planning structure and governance in Côte d'Ivoire. Which plans are existing and ongoing initiatives</li> </ul>	<ul style="list-style-type: none"> <li>✓ Project is in line with priorities of government</li> <li>✓ Project management arrangements (organigram) to be prepared</li> <li>✓ Component 1: work with Territorial collectivity, Environment Ministry and build capacity at Region and community level; consider development of local plans, Schema Regional Directeur and Agenda 21.</li> </ul>
 11/04/2019 Abidjan	<ul style="list-style-type: none"> <li>- Ministry of construction, housing and Urban Planning (MCLU)</li> <li>- Mr Koalla Celestin, Housing Director</li> <li>- Mr. Alexandre Kouame General Director of urban planning and land.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Inform the government of the work developed so far and detail components</li> <li>▪ Align with priorities ministry</li> <li>▪ Understand the spatial planning structure and governance in Côte d'Ivoire.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Project is in line with priorities of government</li> <li>✓ Schema Directeur d'Urbanisme du Grand Abidjan is developed and under revision</li> <li>✓ PUD (Not developed yet in target Communes)</li> <li>✓ Some communities have developed their <i>plans de lotissements</i></li> </ul>
 12/04/2019 Abidjan	<ul style="list-style-type: none"> <li>- Ministries, Professors, Representatives from target departments, etc</li> </ul>	<ul style="list-style-type: none"> <li>▪ Agree on priority areas project</li> <li>▪ Agree on content components</li> </ul>	<ul style="list-style-type: none"> <li>✓ Component 1: work with Communities, Ministry of Environment, territorial collectivity (DGDDL), and build capacity at regional level and community level; consider development of local plans / Schema Regional de Grands Ponts, Agenda 21.</li> <li>✓ Need to Involve BNETD, MINEDD, Ministry of the City, Ministry of Interior under the aegis of National Agency for Coastal Management for Coastal Law in the Schemes of planning</li> <li>✓ Component 2: involve Municipality chiefs, community chiefs and women and youth (through community groups / association)</li> <li>✓ Component 3 &amp; 4: Include other vulnerable communities for Jacqueline and Grand Bassam</li> <li>✓ Component 5: creation of a Excellency centre reuniting different institutions and university, necessity to collaborate with SODEXCAM and CRO for data collection and sharing</li> </ul>
 15/04/2019 Abidjan	<ul style="list-style-type: none"> <li>- UN Women</li> <li>- Antonia N'Gabala Sodonon – Resident representative</li> </ul>	<ul style="list-style-type: none"> <li>▪ Identify relevant projects and lessons, concerns and complementary potential</li> <li>▪ Identify potential project risks and opportunities related to gender and children</li> </ul>	<ul style="list-style-type: none"> <li>✓ No geographic overlap with UN women projects</li> <li>✓ Youth issue: want to be involved in new/innovative work (incubator business) – poverty leading to prostitution, migration to cities for better opportunities, lack of education for children, child labour.</li> <li>✓ Gender issue: limited access to land; men control resources and land, migration issues; high rate of prostitution in cities</li> <li>✓ Youth: use youth groups / associations and focus on 'innovative' work such as ecotourism</li> </ul>

 18/04/2019 Abidjan	<ul style="list-style-type: none"> <li>- UNICEF</li> <li>- Mr. Aboubacar Kampo</li> <li>- Resident representative</li> </ul>	<ul style="list-style-type: none"> <li>Identify relevant projects and lessons, concerns and complementary potential</li> <li>Identify potential project risks and opportunities related to gender and children.</li> </ul>	<ul style="list-style-type: none"> <li>✓ UN Women established partnership with university to implement gender club for open discussion on issues (migration, etc)</li> <li>✓ No geographic overlap with UNICEF projects</li> <li>✓ Children issue: human trafficking due to reduction in fish stock (income)</li> <li>✓ Child labour issues =&gt; forced to work at very early age</li> <li>✓ HIV is a problem among youth (especially girls) community.</li> <li>✓ Social housing project initiative in collaboration with Colombian start up "Conceptos plasticos" using recycled plastic =&gt; to reduce waste pollution and avoid use of natural resources for construction (which is leading to erosion).</li> </ul>
 19/04/2019	<ul style="list-style-type: none"> <li>- Ministries, Professors, Representatives from target departments, NGO, etc</li> </ul>	<ul style="list-style-type: none"> <li>Verify / agree upon proposed adaptation measures</li> <li>Get inputs on proposed adaptation measures</li> <li>Agree on way forward</li> </ul>	<ul style="list-style-type: none"> <li>✓ Component 1: work with Communities, Ministry of Environment, territorial collectivity (DGDDL), and build capacity at regional level and community level; consider development of local plans / Schema Regional de Grands Ponts, Agenda 21.</li> <li>✓ Component 2: involve municipality and traditional chiefs and women and youth (through community groups/association) in the planning process and interventions strategies.</li> <li>✓ Component 3: See above proposed measures</li> <li>✓ Component 5: creation of an Excellency centre reuniting different institutions and university, necessity to collaborate with SODEXCAM and CRO for data collection and sharing.</li> </ul>
 19/04/2019	<ul style="list-style-type: none"> <li>- ANDE</li> <li>- Mr. Amalan Sylvain - Chef de services EIES</li> <li>- Mr. Kouassi Brou N'Gbin - Sous Directeur des evaluations environnementales et sociales</li> </ul>	<ul style="list-style-type: none"> <li>Understand process to conduct EIAs required by national law</li> </ul>	Steps: <ul style="list-style-type: none"> <li>✓ Prepare ToR</li> <li>✓ Validate by ANDE</li> <li>✓ Conduct Feasibility studies by aggregated consultant/company</li> <li>✓ Report</li> <li>✓ Validation by ANDE (2 months process)</li> </ul>

<b>Type:</b> 3 Field consultations		<b>Participants:</b> districts and communities' representatives (chiefs, youth and women organisations, elderly, fishermen and farmers, etc.)	
<b>Vulnerable groups representation:</b> Four minority ground participated (women, disabled, youth and elderly).			
Date	Stakeholder	Consultation objective	Conclusion
 15/04/2019 Cocody Communities	<ul style="list-style-type: none"> <li>- Cocody communities</li> <li>- Municipality representatives (Direction Serv. Techniques)</li> <li>- Community representatives (chiefs, women and youth organizations, elderly, fishermen, farmers)</li> </ul>	<ul style="list-style-type: none"> <li>Align possible adaptation measures with district and community priorities (2019-2021 development plans) and assess feasibility and data gaps (with Arcadis)</li> </ul>	<ul style="list-style-type: none"> <li>✓ Possible feasible adaptation measures</li> <li>✓ Main issues: rapid Growth, reclamation of land using waste, sand,</li> <li>✓ No appropriate drainage system and sewage system.</li> <li>✓ Flooding, flash floods, lagoon pollution due to waste, loss of livelihood from the lagoon, loss of agriculture land.</li> <li>✓ Possible adaptation measures: development of plan to control urban growth, buffer zone (with public space or agriculture land) around the lagoon to prevent building use as environmental area.</li> <li>✓ Waste collection by communities (in collaboration with UNICEF "conceptos plasticos" initiative)</li> </ul>
 16/04/2019 Grand Bassam communities	<ul style="list-style-type: none"> <li>- Grand Bassam and Port Bouet communities</li> <li>- Municipality representatives (Direction Serv. Techniques)</li> <li>- Community representatives (chiefs, women and youth organizations, elderly, fishermen, farmers)</li> </ul>		<ul style="list-style-type: none"> <li>✓ Possible feasible adaptation measures related to coastal erosion / sea floods, sea level rise, and salination of lagoon, etc. in line with priorities (confirmed by chiefs and municipality): Grand Bassam (Gbamlé, Azzuretti, Quartier France, Moossou):</li> <li>✓ Main issues: coastal erosion; high waves intensity, flooding due to storms and high waves. Pollution in the lagoon, salinity of lagoon, Limited livelihood options, Deforestation of Mangrove for firewood</li> <li>✓ Possible adaptation measures: Mangrove planting to regulate water and reduce flooding + livelihood support (fish, crabs, etc), introduce crops for salty environment, Ecotourism, beach sand nourishment for coastal protection</li> </ul> <p>Port Bouet</p> <ul style="list-style-type: none"> <li>✓ Main issues: Coastal erosion; Flash floods, storms and high waves causing damages on infrastructures. Informal settlements close to the lagoon areas facing flooding.</li> <li>✓ Possible adaptation measures: given the high degree of erosion; building with nature would not be suitable solutions for this area.</li> </ul>

 <p>17/04/2019 Jacqueville communities</p>	<ul style="list-style-type: none"> <li>- Jacqueville communities</li> <li>- Municipality representatives (Direction Serv. Techniques)</li> <li>- Community representatives (chiefs, women and youth organizations, elderly, fishermen, farmers)</li> </ul>	<ul style="list-style-type: none"> <li>✓ Development of plan to control urban growth, buffer zone (with public space or agriculture land) around the lagoon to prevent building.</li> <li>✓ Possible feasible adaptation measures related to coastal erosion / sea floods, sea level rise, lagoon floodings and salination of lagoon, etc. in line with priorities (confirmed by chiefs and municipality): Coastal communities (Akrou, Grand Jack, etc)</li> <li>✓ Main issues: Rapid Coastal erosion, coastal flooding, sea level rise,</li> <li>✓ Possible adaptation measures: Sand nourishment (shape the beach with sand already there) for coastal protection + ecotourism</li> <li>Lagoon communities (Tabot)</li> <li>✓ Main issues: low depth of lagoon, salination and pollution of lagoon, use of pesticides for fishing leading to loss of livelihoods, open defecation, mangrove deforestation</li> <li>✓ Possible adaptation measures: Salt resilient crops + fishing/crabs/ ponds, ecotourism, green belt, mangrove planting for livelihood support,</li> </ul>

Annex Table 10 Consultations with international technical experts

Type: technical experts' consultation		Participants: consultancy agencies and partner organisations	
Date	Stakeholder	Consultation objective	Outcomes and Conclusion
Skype calls + 6 -10 nov 2017	Arcadis	<ul style="list-style-type: none"> <li>▪ Discuss cooperation options</li> <li>▪ Identify technical intervention options and feasibility responding to local needs</li> </ul>	<ul style="list-style-type: none"> <li>✓ Arcadis joined the mission to Ghana</li> <li>✓ They did an assessment in greater Abidjan area with UN-Habitat before</li> <li>✓ Arcadis proposed possible technical interventions responding to local needs</li> <li>✓ Conduct assessment together during project development phase</li> <li>✓ Use proposed technical interventions that are relatively low-cost and focus on livelihood enhancement or protection</li> </ul>
Skype calls	Delateres	<ul style="list-style-type: none"> <li>▪ Discuss cooperation options.</li> <li>▪ Understand causes of erosion from coastal morphology and dynamics, hydrology of the lagoons and environmental and social impacts of proposed interventions at local and regional scale</li> </ul>	<ul style="list-style-type: none"> <li>✓ They did some of the larger studies in Côte d'Ivoire on sedimentation, including for opening river mouth in Grand Bassam (to be done by Morocco but no funding)</li> <li>✓ They are interested in working together</li> <li>✓ Possibly involve them when coastal morphology study is needed</li> </ul>
Skype calls	Delta Alliance / Dimi group / Delft university	<ul style="list-style-type: none"> <li>▪ Discuss cooperation options</li> <li>▪ Identify main issues and needs in target areas and parallel academic programme</li> </ul>	<ul style="list-style-type: none"> <li>✓ Cooperate with Ghana Delta Wing</li> <li>✓ Consider cooperating on creating 'urban lab' in both countries</li> <li>✓ Cooperation with Delta Wing in Ghana</li> <li>✓ Assist setting-up Delta wing in Côte d'Ivoire</li> </ul>
Skype call 29 nov	HKV consultants (in Ghana)	<ul style="list-style-type: none"> <li>▪ Discuss complementary potential WB (GFDRR group) funded Greater Accra climate change risk mitigation strategy and investment plan</li> <li>▪ Discuss complementary potential UNDP / Royal Haskoning project community resilient for early warning in Ghana</li> </ul>	<ul style="list-style-type: none"> <li>✓ Great Accra plan focuses on river in Accra</li> <li>✓ HKV developed risk / hot spot maps for greater Accra region</li> <li>✓ HKV will be 'Kernadviseur' from Dutch water sector</li> <li>✓ They will share risk maps and relevant docs</li> <li>✓ Explore option to work together / build on their work for full proposal</li> </ul>

## 2020 – Project Phase: Feasibility and risks assessments

### Ghana

In 2020, 8 group discussions with the community members were held to support the development of feasibility assessments, and environmental and social risks screening and impact assessment in both countries. The objective was to solicit views and concerns of the PAPs about the project interventions. The assessments were developed by accredited consultants, following national and AF requirements (e.g. consultations with all beneficiary groups to identify potential risks and impacts, including specific possible concerns of women and youth).

Annex Table 11 Overview consultations (focused group discussions) during field work 2020.






Type: 8 Field consultations	Participants: districts and communities' representatives (chiefs, youth and women organisations, elderly, fishermen and farmers, etc.)
Vulnerable groups representation: Four minority ground participated (women, disabled, youth and elderly).	

Date	Stakeholder	Consultation objective	Conclusion
 28/02/2020 Agbledomi Agorkedzi	18 participants Assemblyman, Fishermen, Opinion leaders etc Focal Point: Jasper Agbenator (0548302123)	Ask specific questions on <ul style="list-style-type: none"> <li>- Land ownership and where there are protected areas, heritage sites, etc.</li> <li>- Challenges and the local context.</li> <li>- Open for community sharing</li> </ul>	Questions and issues raised: There is a deity associated with the lagoon. The name is called 'Detor'. Also, there are lagoon associated with deities such as Amekutoe, Vitame and Bateme. These lagoons used to be overseen by Batε clan. Are landowners willing to release land for mangrove restoration programme? ANS: YES, we are willing to give our lands. Land ownership - Land is private and we are ready to give out lands where it is due.
 13/07/2020 Akplabanya	11 participants Focal Point: Moses Akorli (0249870973)		Will they nourish the beach for the community? ANS: No, the project will not do that. Heritage sites – Currently, there is not identified heritage site in the community. The deity identified here is called Mama Akorvi Land ownership - Land is private and we are ready to give out lands where it is due.
 14/07/2020 Atiteti	Fish Smokers Association (119 Participants); Community Members (17 participants) Focal Point: Frederick Labia (0246779145)		Will there be installation of machines or monitoring systems in the community to do anything with regards to coastal erosion? Heritage sites – Currently, there is not identified heritage site in the community. Land ownership - Land is private and we are ready to give out lands where it is due.
 14/07/2020 Dzita	11 participants Refer to list of participants Focal Point: Agbanavor Raphael (0244044376)		Will the land eventually turn out to be owned by The UN-Habitat/ The DI? ANS: No, The project belongs to the community and so with the CREMA model or approach, the community will be made to manage the project properly Heritage sites – Currently, there is not identified heritage site in the community. The deity so far identified is Nana Akigeli. Land ownership - Land is private and we are ready to give out lands where it is due.
 04/07/2020 Goi	14 participants Focal Point: Agbotadua Ahevi (0244116528) (see above table)		Will there be installation of machines or monitoring systems in the community to do anything with regards to coastal erosion? Heritage sites – There is a shrine in the community called "Vitame" The shrine area is made up of small shrubs mixed tall trees (Neem tree, Grape tree and Efor)
 28/02/2020 Lagbati/Lashibi	16 participants Stool elder, Chief Fisherman, Youth, Focal Point: Nomo Tetteh Ruben Otisepeku (0247266003)		-Will drainage systems be constructed in the community to solve flooding issues around school and library? Will the sea affect the community when we deepen the lagoon Ans: The deepening of the lagoon will rather reduce flooding. Heritage sites – Currently, heritage site in the community close to the lagoon is called "Amalengor".
 15/07/2020 Whuti	20 participants Focal Point: Mr. Agbota (0240989717)		Meeting commenced with prayer at 9:30 am and self-introduction Will the project give us saline crops to plant? ANS: Yes, this will help solve issue of crop that do not well in salty soils in your area Heritage site – None has been identified in the project area.
	43 members Refer list Focal Point: Joseph Ali (0545165409)		Fear of Crop failure Destruction of agriculture We plead that land-owners around the lagoons should be made to agree to the use of their lands during project implementation Lagoon erosion

Côte d'Ivoire:

In 2020, 6 group discussions with the community members were held to support the development of feasibility assessments, and environmental and social risks screening and impact assessment in both countries. The objective was to solicit views and concerns of the PAPs about the project interventions. The

assessments were developed by accredited consultants, following national and AF requirements (e.g. consultations with all beneficiary groups to identify potential risks and impacts, including specific possible concerns of women and youth). Additionally, 1 private meeting with government and partners was held for ESI discussions.






<b>Type: Expert meeting</b>		<b>Participants:</b> government, partner organisations and programmes.	
<b>Date</b>	<b>Stakeholder</b>	<b>Consultation objective</b>	<b>Conclusion</b>
07/02/2020	AF focal point, Abidjan Convention, technical expert from WABICC, UN-Habitat	<ul style="list-style-type: none"> <li>Expert group meeting for ESIA to validate final interventions and prepare for field work and consultations.</li> </ul>	<ul style="list-style-type: none"> <li>With the adequate studies, all interventions are suitable for the target areas both in socio-economic and environmental terms.</li> </ul>
<b>Type: Field consultations</b>		<b>Participants:</b> districts and communities' representatives (chiefs, youth and women organisations, elderly, fishermen and farmers, etc.)	
<b>Vulnerable groups representation:</b> Four minority ground participated (women, disabled, youth and elderly).			
<b>Date</b>	<b>Stakeholder</b>	<b>Consultation objective</b>	<b>Conclusion</b>
	42 participants. Community members	<ul style="list-style-type: none"> <li>Public consultation in Grand-Bassam as part of the participatory process of the ESIA.</li> <li>Validate final interventions.</li> </ul>	<ul style="list-style-type: none"> <li>83% of participants were favourable to the project and the interventions.</li> <li>11% were favourable but presented some concerns such as high technical capacities needed and compliance with technical standards.</li> </ul>
 07/05/2020	36 participants. Community members	<ul style="list-style-type: none"> <li>Public consultation in Jacqueville as part of the participatory process of the ESIA.</li> <li>Validate final interventions.</li> </ul>	<ul style="list-style-type: none"> <li>87% of participants were favourable to the project and the interventions.</li> <li>Remaining 13% were favourable but presented some concerns such as ensuring social inclusion and realisation of environmental and social analysis.</li> </ul>
 14/05/2020	35 participants. Community members	<ul style="list-style-type: none"> <li>Workshop for the formalization and launching of the Technical Committee in Grand-Bassam as part of the participatory process of the ESIA.</li> </ul>	<ul style="list-style-type: none"> <li>All stakeholders have been informed and the technical committee has been established.</li> </ul>
 29,30/06/20 and 17/07/2020	150 participants. Community members	<ul style="list-style-type: none"> <li>Focused group discussions in Grand-Bassam as part of the participatory process of the ESIA.</li> <li>Discuss the interventions with women and youth.</li> </ul>	<ul style="list-style-type: none"> <li>Women and youth agree on the relevance interventions have in their communities and vulnerable groups.</li> <li>They presented some concerns linked to implementation and maintenance that will be integrated for the execution.</li> </ul>
 3,4 and 10/07/2020	120 participants. Community members	<ul style="list-style-type: none"> <li>Focused group discussions in Jacqueville as part of the participatory process of the ESIA.</li> <li>Discuss the interventions with women and youth.</li> </ul>	<ul style="list-style-type: none"> <li>Women and youth agree on the relevance interventions have in their communities and vulnerable groups.</li> <li>They presented some concerns linked to implementation and maintenance that will be integrated for the execution.</li> </ul>

## 2021 – Project Phase: Full Project Proposal Review and Validation

Ghana






In 2021, and 7 private meetings with government and implementing entities were held to validate the proposal. 11 field consultations were also carried out in December with the objective of validating the pre-selected sites for the project interventions and activities in each community.

<b>Type: 4 Private meetings</b>		<b>Participants:</b> government bodies, district representatives and programmes.	
<b>Date</b>	<b>Stakeholder</b>	<b>Consultation objective</b>	<b>Conclusion</b>

06/12/2021 Accra	- UN-Habitat Local Team of Ghana - Development Institute	<ul style="list-style-type: none"> <li>Discuss the mission scope</li> <li>Adjust the agenda of the week</li> <li>General organization of the community consultation.</li> </ul>	✓ Agreement of the agenda of the week and the mission scope.
06/12/2021 Accra	- Ministry of Local Government and Rural Development. Focal Point: Samuel Seth Passah.	<ul style="list-style-type: none"> <li>Present the new Theory of Change and validate project proposal.</li> </ul>	✓ The Ministry is in alignment and happy to start the project implementation.
06/12/2021 Accra	- Wildlife Division from the Forestry Commission (WDFC).	<ul style="list-style-type: none"> <li>Technical discussion about the interventions and experiences.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Technical discussion on the mangrove projects in Ada, Dredging in Keta, EWS project from Volta River Authority</li> <li>✓ Mangrove has already been planted 17 years ago as an experimentation. The restored mangrove was successful. There is strong interest in the project.</li> </ul>
10/12/2021 	- Ministry of Environment, Science, Technology and Innovation, specifically the Land Use Spatial Planning Authority (LUSPA).	<ul style="list-style-type: none"> <li>Presentation of the new structure of the project proposal, new theory of change and planning components of the project and the role of LUSPA in the project as Lead Entity of the planning process at sub-regional scale.</li> </ul>	<ul style="list-style-type: none"> <li>✓ LUSPA shared the theory of change, and the project components are aligned with their strategies.</li> <li>✓ Raised the importance to manage expectations of communities and to advance to start implementation.</li> </ul>
07/12/2021 Big Ada, Ada East. 	- Ada East District Assembly	<ul style="list-style-type: none"> <li>Presentation of the new structure of the proposal to be submitted in January, discussion on the planning component, next steps and the target communities.</li> </ul>	✓ Ada East District Assembly agrees with the project for the communities and confirmed there is interest to collaborate with the implementation of the project activities.
08/12/2021 Laabashi, Anloga 	- Anloga District Assembly		<ul style="list-style-type: none"> <li>✓ Anloga District Assembly does not have plans been development now; the district is drafting the strategies since its creation (previously part of Keta District).</li> <li>✓ The project is aligned with the intentions of the District and they want to collaborate in the development and implementation of the outputs.</li> </ul>
09/12/2021 Keta, Keta. 	- Keta District Assembly		<ul style="list-style-type: none"> <li>✓ Keta District was subdivided into Anloga District and Keta District and the project is no longer working in communities of Keta.</li> </ul>
<b>Type: 11 Field consultations</b>		<b>Participants:</b> districts and communities' representatives (chiefs, youth and women organisations, elderly, fishermen and farmers, etc.)	
<b>Vulnerable groups representation:</b> Four minority ground participated (women, disabled, youth and elderly).		<b>Gender:</b> average of women participation was 40%	
<b>Date</b> 07/12/2021 Wokumagbe, Ada West 	<b>Stakeholder</b> - Main focal points: Community rep/chief: Tsikatah Tetteh Tetteh-Narh Women rep: Eva Osabutey Youth rep: Emmanuel Teye Nguledzi Elderly rep: Rev. Philemon Nii Lomotey Disabled rep: Joyce Nubuor - 14 Community members participants; 43% Women.	<b>Consultation objective</b> <ul style="list-style-type: none"> <li>Validate main issues: Erosion, coastal floods, flash floods, dry lagoon in dry season and loss of livelihood in lagoon.</li> <li>How these climate issues are impacting women, youth, elderly and disabled.</li> <li>Validate possible adaptation measures: urban flood adaptation projects, mangrove restoration and EWS.</li> <li>Visit the community and identify the sites of the activities.</li> <li>How these climate issues are impacting women, youth, elderly and disabled.</li> </ul>	<b>Conclusion</b> <ul style="list-style-type: none"> <li>✓ Main issues: floods, erosion, sedimentation of the lagoons and water level rise.</li> <li>✓ During extreme events youth don't go to school; elderly and disabled have to be carried out by community to safe area; women cannot work and lose livelihood.</li> <li>✓ Strategies the community thinks will help to adapt: urban flood resilience (infiltration cells, channels and bioretention facility), mangrove needs to be restored and EWS is important.</li> <li>✓ Intervention sites identified: mangrove restoration between community and lagoon; Safe area for EWS in dry land; drainage channels where water runs and infiltration where water accumulates (see map in Annex 3).</li> </ul>










07/12/2021 Akplabanya, Ada West 	<ul style="list-style-type: none"> <li>- Main focal points:</li> <li>Community rep/chief: Tetteh Labia Kamara</li> <li>Women rep: Adayo Paulina Kwao</li> <li>Youth rep: Savior Kitcher Ankra</li> <li>Elderly rep: Jonathan Buenor</li> <li>Disabled rep: Obimpe Charles</li> <li>- 13 Community membres participants; 43% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: Erosion + coastal floods, Flash floods, Dry lagoon in dry season + loss of livelihood in lagoon.</li> <li>▪ How these climate issues are impacting women, youth, elderly and disabled</li> <li>▪ Validate possible adaptation measures: urban flood adaptation projects, mangrove restoration and EWS.</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: floods, erosion, sedimentation of the lagoons and water level rise.</li> <li>✓ During extreme events youth don't go to school; elderly and disabled have to be carried out by community to safe area; women cannot work and loose livelihood.</li> <li>✓ Strategies the community thinks will help to adapt: urban flood resilience (infiltration cells, channels and biodetention facility), mangrove needs to be restored and EWS.</li> <li>✓ Intervention sites identified: mangrove restoration between community and lagoon where the water level rises strongly; Safe area for EWS in the different dry parts of the community; drainage channels where water runs and where there is space and infiltration where water accumulates (see map in Annex 3).</li> </ul>
07/12/2021 Goi, Ada West 	<ul style="list-style-type: none"> <li>- Main focal points:</li> <li>Community rep/chief: Abraham Soti Ofoyi</li> <li>Women rep: Juliana Kumado</li> <li>Youth rep: Enoch Tei Otipeseku</li> <li>Elderly rep: Joseph Alimo</li> <li>Disabled rep: Peter Abayateye</li> <li>- 15 Community membres participants; 47% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: Erosion + coastal floods, Flash floods, Dry lagoon in dry season + loss of livelihood in lagoon.</li> <li>▪ How these climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Validate possible adaptation measures: urban flood adaptation projects and EWS.</li> <li>▪ Confirm flooding area, verify if there was mangrove previously.</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: floods, erosion, sedimentation of the lagoons and water level rise.</li> <li>✓ During extreme events youth don't go to school; elderly and disabled have to be carried out by community to safe area; women cannot work and loose livelihood. Some of the get sick (diseases).</li> <li>✓ Strategies the community thinks will help to adapt: urban flood resilience (infiltration cells and channels), mangrove needs to be restored and EWS is important.</li> <li>✓ Intervention sites identified: mangrove restoration in two large parts between community and lagoon where the water level rises strongly; Safe area for EWS in the different dry parts of the community; drainage channels towards the lagoon where water runs and infiltration where water accumulates (see map in Annex 3).</li> </ul>
07/12/2021 Kewunor-Azizanya, Ada East 	<ul style="list-style-type: none"> <li>- Main focal points:</li> <li>Community rep/chief: Enoch Narthey Ametepe</li> <li>Women rep: Madugu Narthey</li> <li>Youth rep: Amos Amesimeku</li> <li>Elderly rep: William Mankwa</li> <li>Disabled rep: Alice Atsukpe</li> <li>- 15 Community membres participants; 33% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: flooding, limited livelihood options.</li> <li>▪ How these climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Validate possible adaptation measures: urban flood adaptation projects and EWS.</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: floods, sedimentation of the lagoons, water level rise and erosion.</li> <li>✓ During extreme events youth don't go to school; elderly and disabled have to be carried out by community to safe area; women cannot work and loose livelihood.</li> <li>✓ Strategies the community thinks will help to adapt: urban flood resilience (infiltration cells and channels), mangrove needs to be restored and EWS is important to be prepared.</li> <li>✓ Intervention sites identified: mangrove restoration between Volta River and community and between the water points and the community; Safe area for EWS in dry land; drainage channels where water runs to the Volta River and infiltration where water accumulates (see map in Annex 3).</li> </ul>
08/12/2021 Agorkedzi, Anloga-Keta 	<ul style="list-style-type: none"> <li>- Main focal points:</li> <li>Community rep/chief: Agbotadua Festus</li> <li>Women rep: Victoria Dokla</li> <li>Youth rep: Atatsi Patrick</li> <li>Elderly rep: Pilo Detor</li> <li>Disabled rep: Margaret Lumor</li> <li>- 14 Community membres participants; 50% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: flooding, disappearance of community, erosion, no appropriate relocation.</li> <li>▪ How these climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Validate possible adaptation measures: urban flood adaptation projects and EWS.</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: floods, sedimentation of the lagoons, water level rise and erosion.</li> <li>✓ During extreme events youth don't go to school; elderly and disabled have to be carried out by community to safe area; women cannot work and loose livelihood.</li> <li>✓ Strategies the community thinks will help to adapt: urban flood resilience (infiltration cells and channel), mangrove needs to be restored and EWS is important.</li> <li>✓ Intervention sites identified: mangrove restoration in the 'back' of the community, between the river-lagoon site and the community; one safe area for EWS in dry land; drainage channels where water runs and infiltration where water accumulates (see map in Annex 3).</li> </ul>
08/12/2021 Agbledomi, Anloga-Keta 	<ul style="list-style-type: none"> <li>- Main focal points</li> <li>Community rep/chief: Godwin Ahedor</li> <li>Women rep: Agnes Vitashie</li> <li>Youth rep: Dagbi Albert</li> <li>Elderly rep: Zoiku John</li> <li>Disabled rep: William Amemelio</li> <li>- 16 Community membres participants; 50% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: rapid erosion, disappearance of community and no appropriate relocation option.</li> <li>▪ How these climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Possible adaptation measure: relocation.</li> <li>▪ Visit agriculture area and identify main products. Identify water management system and flooding sites.</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: floods, droughts, salinization, erosion, sedimentation of the lagoons, water level rise and heatwaves.</li> <li>✓ During extreme events youth don't go to school; elderly and disabled have to be carried out by community to safe area; there is lack of food, women cannot work and loose livelihood.</li> <li>✓ Strategies the community thinks will help to adapt: salt resilient agriculture support, urban flood resilience (infiltration cells and channels), mangrove needs to be restored and EWS is important for agriculture.</li> <li>✓ Intervention sites identified: plot for agriculture; mangrove restoration in the 'front' of the community between lagoon and community; various safe areas for EWS in dry land; drainage channels where water runs (see map in Annex 3).</li> </ul>
08/12/2021 Dzita 	<ul style="list-style-type: none"> <li>- Main focal points:</li> <li>Community rep/chief: Agbotadua Ahevi</li> <li>Women rep: Celestine Teku</li> <li>Youth rep: Christian Ganah</li> <li>Elderly rep: Ashitorkor John</li> <li>Disabled rep: Justina Akakpo</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: rapid erosion, disappearance of community and no appropriate relocation option.</li> <li>▪ How climate issues are impacting women, youth, elderly and disabled. Identify main flooding areas.</li> <li>▪ Check old mangrove restoration project within the community and understand the challenges of old project.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: floods, droughts, salinization, erosion, sedimentation of the lagoons, water level rise and heatwaves.</li> <li>✓ During extreme events youth don't go to school; elderly and disabled have to be carried out by community to safe area; there is lack of food, women cannot work and loose livelihood.</li> <li>✓ Strategies the community thinks will help to adapt: salt resilient agriculture support, urban flood resilience (infiltration cells and channels), mangrove needs to be restored and EWS.</li> </ul>



	<ul style="list-style-type: none"> <li>- 11 Community membres participants ; 45% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Possible adaptation measures: urban flood adaptation measures, mangrove restoration and EWS.</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Intervention sites identified: plot for agriculture; mangrove restoration between lagoons and community; 3 safe areas for EWS in dry land; drainage channels where water runs and infiltration cells where water accumulates (see map in Annex 3).</li> </ul>
<p>08/12/2021 Whuti</p> 	<ul style="list-style-type: none"> <li>- Main focal points: Community rep/chief: Tetteh Labia Kamara Women rep: Mamavi kulevome Youth rep: Savior Kitcher Ankra Elderly rep: Togbui Adzaho Disabled rep: Obimpe Charles</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: salt, water intrusion due to coastal erosion, sea level rise and dying crops. Destruction of agriculture, lagoon erosion.</li> <li>▪ How these climate issues are impacting women, youth, elderly and disabled</li> <li>▪ Possible adaptation measures: Salt resilient agriculture projects, and rainwater infiltration ponds and EWS.</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: floods, droughts, salinization, erosion, sedimentation of the lagoons, water level rise and heatwaves.</li> <li>✓ During extreme events youth don't go to school; elderly and disabled have to be carried out by community to safe area; there is lack of food, women cannot work and loose livelihood.</li> <li>✓ Strategies the community thinks will help to adapt: salt resilient agriculture support, urban flood resilience (infiltration cells and channels), mangrove needs to be restored and EWS is important for agriculture.</li> </ul>
<p>08/12/2021 Lagbati/Lashibi</p> 	<ul style="list-style-type: none"> <li>- 17 Community membres participants; 53% Women.</li> <li>- Main focal points: Community rep/chief: Sebuava Samuel Women rep: Ahiable meek Dora Youth rep: Daniel Yador Elderly rep: Emmanuel Tetteh Disabled rep: Afedzi Vincent</li> <li>- 15 Community membres participants; 33% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: salt, water intrusion due to coastal erosion, sea level rise and dying crops. Destruction of agriculture, lagoon erosion.</li> <li>▪ How climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Visit agriculture areas, identify main products and techniques.</li> <li>▪ Identify flooding area and water management system.</li> <li>▪ Possible adaptation measures: salt resilient agriculture, urban flood resilience, mangrove restoration and EWS.</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: floods in agriculture area, droughts, salinization, erosion, sedimentation of the lagoons, water level rise and heatwaves.</li> <li>✓ During extreme events there is lack of food and women cannot work and loose livelihood.</li> <li>✓ Strategies the community thinks will help to adapt: salt resilient agriculture support, mangrove needs to be restored and EWS is important for agriculture.</li> <li>✓ Intervention sites identified: plot for agriculture; mangrove restoration between agriculture land and Keta Lagoon and EWS for agriculture (see map in Annex 3).</li> </ul>
<p>09/12/2021 Woe</p> 	<ul style="list-style-type: none"> <li>- Main focal points: Community rep/chief: Akpalu Reinhold Women rep: Edekey Mercy Youth rep: Ekuadzi Godwin Elderly rep: Daniel Afordoanyi Disabled rep: Joseph Kwame</li> <li>- 14 Community membres participants; 29% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: high salinity and flooding.</li> <li>▪ How these climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Visit agriculture areas, identify main products and techniques. Verify salinity levels, identify flooding area and water management system.</li> <li>▪ Possible adaptation measures: Salt resilient agriculture projects, urban flood resilience, restoration and EWS.</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: floods in agriculture area, droughts, salinization, erosion, sedimentation of the lagoons, water level rise and heatwaves.</li> <li>✓ During extreme events there is lack of food in weak harvest season and women cannot work and loose livelihood.</li> <li>✓ Strategies the community thinks will help to adapt: salt resilient agriculture support, mangrove needs to be restored; and EWS is important for agriculture.</li> <li>✓ Intervention sites identified: plot for agriculture; mangrove restoration between houses land and Keta Lagoon and EWS for agriculture (see map in Annex 3).</li> </ul>
<p>09/12/2021 Tegbi</p> 	<ul style="list-style-type: none"> <li>- Main focal points: Community rep/chief: Amos Yevu Women rep: Edith Awuye Youth rep: Norvshivi Husunukpe Elderly rep: Robert Anyomi Disabled rep: David Adzika</li> <li>- 14 Community membres participants ; 20% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: flooding, deforestation, salinity levels.</li> <li>▪ How these climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Visit agriculture areas, identify main products and techniques. Verify salinity levels, identify flooding area and water management system. Identify safe area and agriculture plot.</li> <li>▪ Possible adaptation measures: Salt resilient agriculture projects, urban flood resilience, restoration and EWS.</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: floods, droughts, salinization, erosion, sedimentation of the lagoons, water level rise and heatwaves.</li> <li>✓ During extreme events youth whose houses flood don't go to school; elderly and disabled have to be carried out by community to safe area; there is lack of food in weak harvest season and women cannot work and loose livelihood.</li> <li>✓ Strategies the community thinks will help to adapt: salt resilient agriculture support, urban flood resilience (infiltration cells and channels), mangrove needs to be restored and EWS.</li> <li>✓ Intervention sites identified: plot for agriculture; mangrove restoration between houses land and Keta Lagoon, drainage channels and infiltration cells between the houses, and EWS for agriculture (see map in Annex 3).</li> </ul>



## Côte d'Ivoire

In 2021, 2 private meetings with district assemblies were held in April and 6 in December to validate the proposal. Additionally, 3 field consultations in April and 10 in December (one in each of the targeted communities) were carried out with the objective of validating the pre-selected sites for the project interventions and activities in each community.

Type: 8 Private meetings		Participants: government bodies, district representatives and programmes.	
Date	Stakeholder	Consultation objective	Conclusion
16/04/2021 Jacqueville Town Hall 	Mayor, Technical Director and Project Manager/Focal Point – Geomatician) Mayor: Joachim BEUGRE Technical Director: Likes Francis DJETY djetyaimefrancis@gmail.com Project Manager/Focal Point – Geomatician – Romeo N'CHO romeo_ncho2015@outlook.com	<ul style="list-style-type: none"> <li>Interview with local authorities of Jacqueville Department (Préfecture) to gather information on the local dynamics</li> </ul>	<ul style="list-style-type: none"> <li>The urban and land environment is particularly dynamic in this area where coastal land is the main source of income in the population.</li> <li>For a long time, Jacqueville has experienced a demographic and economic boom, however it has been exacerbated since 2013 after the bridge connection to Abidjan.</li> </ul> <p>Protected areas:</p> <ul style="list-style-type: none"> <li>There is a desire to preserve the space but little or no way to enforce a restriction on a protected area.</li> <li>Like all coastal lands, Jacqueville are subject to significant erosion.</li> </ul>
22/04/2021 Grand Bassam 	Mr. Ayemou: Director of the technical department Mr. Brawa Ives Hermann: Assistant - Director of the technical department (0759952031)	<ul style="list-style-type: none"> <li>Interview with local authorities of Grand Bassam Department (Préfecture) to gather information on the local dynamics</li> </ul>	<ul style="list-style-type: none"> <li>Tourism remains the main source of economy of this city since the colonial period. Adding to cultural and recreational aspects, the religious aspect also attracts tourists to Grand Bassam.</li> <li>In addition to tourism, fishing is the subsistence activity of the indigenous people of the commune of Grand Bassam, men practicing it preferentially at sea and women on the lagoon.</li> </ul> <p>Protected areas:</p> <ul style="list-style-type: none"> <li>Buffer zone around Quartier France – an area of 552 HA</li> <li>In Azuretti, there is also a sacred lake and a sacred mangrove</li> <li>Soil fragility – Sandy structure that is not very rich and do not favour agriculture activity</li> <li>Alignment of the reviewed project with the AF new focal point.</li> </ul>
13/12/2021 Abidjan 	Ministère de l'Intérieur et de la Sécurité AF Focal Point : Mr. Fojo	<ul style="list-style-type: none"> <li>Presentation of the new structure of the proposal to be submitted in January, discussion on the planning component, next steps and the target communities.</li> <li>Presentation of mission agenda and consultation objectives.</li> </ul>	<ul style="list-style-type: none"> <li>Levels of SDFs discussed in relation to the plans the government has development (Grand-Bassam) and the strategies of the government that can be strengthen through the Project.</li> <li>Project theory of change and outputs is aligned with the government strategies</li> </ul>
13/12/2021 Abidjan 	Ministère du Plan et du Développement AF Focal Point : Mr. Fojo		
13/12/2021 Abidjan 	Ministère de l'Environnement et du Développement Durable AF Focal Point : Mr. Fojo		
14/12/2021 Grand-Bassam 15/12/2021 Jacqueville 	Sous-Préfecture de Grand-Bassam Sous-Préfecture de Jacqueville	<ul style="list-style-type: none"> <li>Presentation of the project strategy</li> <li>Alignment and communication line with community chiefs for consultations</li> </ul>	<ul style="list-style-type: none"> <li>Prefect agrees and is interest in the project</li> <li>Confirmation with the community chiefs that 1 representative of each vulnerable group (women, youth, elderly and disabled) are waiting for us.</li> <li>Adjusment of agenda (order of communities to visit) in order to accomodate local dynamics (e.g. time that women come back from the market).</li> </ul>
17/12/2021 Abidjan	Société d'Exploitation et de Développement Aéroportuaire, Aéronautique et Météorologique - SODEXAM	<ul style="list-style-type: none"> <li>Presentation of similar projects and discussion of collaboration for the Project to support national government resilience strategies.</li> </ul>	<p>Identification of collaboration of the Project components through the:</p> <ul style="list-style-type: none"> <li>Plan Nationale d'Adaptation au Changement Climatique : related to agriculture and meteorological data.</li> <li>System d'Alerte Précoce (SAP): conception stage concluded, project with components on coordination and information production at the national scale. The Project can strength the SAP intentions through the implementation at the local level.</li> <li>Projet d'Assainissement et Résilience Urbaine (PARU): focus on flooding, conception stage.</li> <li>WACA.</li> </ul>

17/12/2021 Abidjan		Ministère de l'Environnement et du Développement Durable	▪ Debrief, presentation of outcomes of December field consultations and meetings and next steps.	<ul style="list-style-type: none"> <li>✓ Presentation of outcomes of December field consultations and meetings.</li> <li>✓ Project proposal aligned with national and local strategies</li> <li>✓ New AF focal point endorsement</li> </ul>
<b>Type:</b> 13 Field consultations		<b>Participants:</b> districts and communities' representatives (chiefs, youth and women organisations, elderly, fishermen and farmers, etc.)		
<b>Vulnerable groups representation:</b> Four minority ground participated (women, disabled, youth and elderly).		<b>Gender:</b> average of women participation was 40%		
<b>Date</b>	<b>Stakeholder</b>	<b>Consultation objective</b>		<b>Conclusion</b>
16/04/2021 Attoutou B	Attoutou B community members (2 participants) Focal Point: Innocent DATCHA BEUGRE (+2250504269279)	▪ Gather specific information on the local dynamics, protected lands, deforestation/mangrove, agriculture and flooding.		Project areas and land allocation: <ul style="list-style-type: none"> <li>✓ In Otoutou A, the site is reserved for mangrove rehabilitation, currently is being exploited for pig farming. It also serves as a wild dump. Villagers burned up part of the area to make it accessible to plantations. The village has also a preservation area.</li> <li>✓ In Taboth, the place for pen culture is preserved, the fishing activity is already in place, especially fisherwomen. There are women guards, with baskets like water tanks, selling fishing products.</li> <li>✓ In Koko, the mangrove to be rehabilitated has already been set up, as evidenced by a subdivision terminal that was found there.</li> </ul>
16/04/2021 Koko	Koko community members			
16/04/2021 Taboth	Taboth Community members			Project areas and land allocation: <ul style="list-style-type: none"> <li>✓ Pen culture system and mangrove rehabilitation</li> <li>✓ Most of the inhabitants live from fishing and we meet on the water's edge, a kind of public square of the village, a group of about ten women who washed the crabs. They are aware of the project to set up aquaculture facilities.</li> </ul>
22/04/2021 Azuretti	- Azuretti Focal point: Essouan Kouassi 0153504540 - Gbamélé Focal point: Bege 07074322525 - Mondoukou Focal point: 0707567981			<ul style="list-style-type: none"> <li>✓ Azuretti – Pen Culture System</li> <li>✓ In this village, we met the chief and his notability as well as a group of women from a socio-economic association.</li> <li>✓ They are all in favour of the project, it would be an alternative for their development and decrease poverty rate. For them, fishing is becoming more and more fruitless because the vessels they are subjected to excessive competition from large fishing vessels.</li> </ul>
22/04/2021 Vitre 2	- Vitre 2 Focal point: KOTCAH Wanga Moise – 0707433452 President of ADEPAV: YOBOU Albert – 0777883757 (association for fishing and agriculture with about forty members)			<ul style="list-style-type: none"> <li>✓ The population said that they were informed of the project and they participants were favourable to the project and the interventions.</li> </ul>
14/12/2021 Mondoukou Grand-Bassam	- Main focal points: Community rep/chief: Semin Guy Marc Women rep: Rebeca Awa Youth rep: Akow Akoue Joseph Elderly rep: Mahieu Kacakuncine Disabled rep: Amoussou Boareyno - 12 Community membres participants; 24% Women.	<ul style="list-style-type: none"> <li>▪ Validate main issues: flooding and deforestation.</li> <li>▪ How climate issues are impacting women, youth, elderly and disabled</li> <li>▪ Identify flooding areas, safe area and agriculture plot</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>		<ul style="list-style-type: none"> <li>✓ Main issues: High waves intensity, flooding due to storms and sea/ lagoon level rise, warmer temperature, decrease of fish, clandestine fishing (inadequate quantities).</li> <li>✓ During extreme events there is lack of food, youth don't go to school, women cannot work and loose livelihood, elderly need to be carried out from flooding.</li> <li>✓ Strategies the community thinks will help to adapt: flood adaptation interventions (infiltration cells and channels), EWS is important for timely rescue and agriculture.</li> <li>✓ Intervention sites identified: drainage channels where water runs and 2 dry areas for safety (see map in Annex 3).</li> </ul>

14/12/2021 France, Grand-Bassam		<ul style="list-style-type: none"> <li>- Main focal points: Community rep/chief: Voiti Samiel Women rep: Kouamelan Allabat Youth rep: Amontchi Elia Elderly rep: Amichia Honouine Disabled rep: Bibe Bognan</li> <li>- 12 Community membres participants; 42% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: pollution in the lagoon, salinity, limited livelihood options, deforestation.</li> <li>▪ How climate issues are impacting women, youth, elderly and disabled</li> <li>▪ Identify flooding areas, safe area</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: Sea level rise, accumulation of sediments in the lagoon, agriculture in saturated soil and floods.</li> <li>✓ During extreme events some houses are completely flooded and youth, elderly and women living in these areas are impacted (similar to others). Boats are used for transportation.</li> <li>✓ Strategies the community thinks will help to adapt: flood adaptation interventions (infiltration cells and channels), mangrove restoration and EWS for timely rescue and agriculture.</li> <li>✓ Intervention sites identified: drainage channels where water runs, mangrove restoration between lagoon and community, and 3 dry areas and routes for safety(see map in Annex 3).</li> </ul>
14/12/2021 Azuretti, Grand-Bassam		<ul style="list-style-type: none"> <li>- Main focal points: Community rep/chief: Nanan Nognam V Women rep: Gnuan Kpdé Patnce Youth rep: Akesse Kablan Crepin Elderly rep: Abekaki Akissi Disabled rep: Ebagmenem K. Iudovic</li> <li>- 24 Community membres participants; 43% Women</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: erosion, salinity, limited livelihood options and deforestation.</li> <li>▪ How climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Identify flooding areas, safe area and deforested areas.</li> <li>▪ Visit the community and identify the sites of the activities</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: flooding, coastal erosion, pollution, sedimentation of lagoon, lack of employment and education facilities.</li> <li>✓ During extreme events, youth don't go to school, disabled need support to move, elderly are carried out of the flood by community members and sites where women work are destroyed.</li> <li>✓ Strategies the community thinks will help to adapt: flood adaptation interventions (infiltration cells and channels), mangrove restoration and EWS is important for timely rescue.</li> <li>✓ Intervention sites identified: drainage channels towards the Comoé Lagoon and infiltration cells where water accumulates; mangrove between the lagoon and the community and 1 dry areas and routes for safety (see map in Annex 3).</li> </ul>
14/12/2021 Vitre 2, Grand-Bassam		<ul style="list-style-type: none"> <li>- Main focal points: Community rep/chief: M Christophe Tacki Women rep: Mme Taki Jeannelle Youth rep: Kouami Ake Jean Alain Elderly rep: Kouami Brome Disabled rep: M. Kotcha Vengah M.</li> <li>- 8 Community membres participants; 38% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: flooding.</li> <li>▪ How climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Identify flooding and safe area.</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: Lack of drainage system, warmer temperature, decrease of fish, sedimentation, water level rise.</li> <li>✓ During extreme events, there is lack of food, youth and elderly tend to get sick, disabled need support to move where water is cumulated and if needed to move to a dry are. There is lack of vegetables and women loose work.</li> <li>✓ Strategies the community thinks will help to adapt: flood adaptation interventions (infiltration cells and channels), mangrove restoration and EWS for timely rescue and for agriculture.</li> <li>✓ Intervention sites identified: mangrove between the lagoon and the community, drainage towards the lagoon where water tends to run, infiltration cells in accumulation stops between houses, and 2 dry areas and routes for safety (see map in Annex 3).</li> </ul>
15/12/2021 Grand-Jack, Jaqueville		<ul style="list-style-type: none"> <li>- Main focal points: Community rep/chief: Lugon Lavitene Women rep: Abikea Simone Youth rep: Egbio Asaza Anché Elderly rep: Ghy Ezqchiel Disabled rep: Kabi Agbo Mabthinal</li> <li>- 10 Community membres participants; 40% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: rapid Coastal erosion, coastal flooding, sea level rise</li> <li>▪ How climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Identify flooding and safe area.</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: Lack of drainage system, accumulation of sediments in the sea, floods, change in the rainfall patterns, drought and sea level rise.</li> <li>✓ During extreme events, youth and elderly tend to get sick, disabled need support to move.</li> <li>✓ Strategies the community thinks will help to adapt: flood adaptation interventions (infiltration cells and channels) and EWS is important for timely rescue.</li> <li>✓ Intervention sites identified: drainage towards the coast where water tends to run, infiltration cells between floodable houses, and 2 dry areas and routes for safety (see map in Annex 3).</li> </ul>
15/12/2021 Attoutou B, Jaqueville		<ul style="list-style-type: none"> <li>- Main focal points: Community rep/chief: Datcha Innocent Women rep: Ksoka Rosabé Youth rep: Atchebi Lovenkin Elderly rep: Aatch Hubert Disabled rep: Becket Madeleine</li> <li>- 33 Community membres participants; 45% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: rapid Coastal erosion, coastal flooding, sea level rise</li> <li>▪ How climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Identify flooding and safe area.</li> <li>▪ Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: Accumulation of sediments in the lagoon, drought, floods affect agriculture, change in the rainfall patterns and lagoon level rise.</li> <li>✓ During extreme events, there is a lack of vegetables, women loose work, youth and elderly get sick.</li> <li>✓ Strategies the community thinks will help to adapt: mangrove restoration to protect from the risen water level, support for resilient agriculture and EWS is important for timely rescue and for agriculture. Urban flood strategies are not needed, mangrove buffer should be enough.</li> <li>✓ Intervention sites identified: mangrove between the lagoon and the community, and 1 dry area and a plot for agriculture. (see map in Annex 3).</li> </ul>
15/12/2021 Koko, Jaqueville		<ul style="list-style-type: none"> <li>- Main focal points: Community rep/chief: N'ori Issac Women rep: Asskko Antoinette Youth rep: Lepry Luig Ymmiar Elderly rep: M'Boua Rebecca Disabled rep: N'Guessan Koukran</li> <li>- 27 Community membres participants; 56% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: flooding.</li> <li>▪ How climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Identify flooding and safe area and areas where mangrove existed previously. Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: flooding, sediment in the lagoon, water level rise, lack of livelihood opportunities.</li> <li>✓ During extreme events, food production is impacted and women that are mostly working with cassava production are not able to work properly. Elderly and disabled tend to get sick.</li> <li>✓ Strategies the community thinks will help to adapt: mangrove restoration and EWS.</li> <li>✓ Intervention sites identified: mangrove between the lagoon and the community, along the coast, and 1 dry area (see map in Annex 3).</li> </ul>

16/12/2021 Tiemien, Jaqueville 	<ul style="list-style-type: none"> <li>- Main focal points: Community rep/chief: N'Giseassian Serge Women rep: Yesso Elise Youth rep: Bouraiman Boubakor Elderly rep: Komia Aui Bakivelery Disabled rep: Almaoujtofu Brouciima</li> <li>- 26 Community membres participants; 27% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: flooding and deforestation.</li> <li>▪ How climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Identify flooding and safe area and areas where mangrove existed previously. Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: land speculation, decrease of fishing, polluted lagoon, accumulation of sediments in the lagoon, risen temperature, change in the rainfall patterns, drought.</li> <li>✓ During extreme events, there is a lack of food, women and disabled cannot work properly and youth and elderly tend to get sick.</li> <li>✓ Strategies the community thinks will help to adapt: mangrove restoration and support for resilient agriculture.</li> <li>✓ Intervention sites identified: mangrove restoration along the coast (between the lagoon and the community and between the lagoon agriculture land), and a plot for agriculture in the inner part (see map in Annex 3).</li> </ul>
16/12/2021 Trefedji, Jaqueville 	<ul style="list-style-type: none"> <li>- Main focal points: Community rep/chief: Gogo Desnoss Women rep: Beugré Pauline Youth rep: Djiproh M Gonce Elderly rep: Atchô Lea Disabled rep: Adagba Valery</li> <li>- 18 Community membres participants; 44% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: flooding and deforestation.</li> <li>▪ How climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Identify flooding and safe area and areas where mangrove existed previously. Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: Flooding, lack of sanitation, lack of drainage system, coastal erosion, lagoon level rise, sediment accumulation, erosion.</li> <li>✓ During extreme events, there is a lack of food, women and disabled cannot work properly and youth and elderly tend to get sick.</li> <li>✓ Strategies the community thinks will help to adapt: urban flood adaptation(drainage and infiltration cells), mangrove restoration and EWS.</li> <li>✓ Intervention sites identified: mangrove between the Northwest part of the settlement and the Ebrié Lagoon, drainage towards the dry land where water runs, and 2 dry and safe areas (see map in Annex 3).</li> </ul>
16/12/2021 Taboth, Jaqueville 	<ul style="list-style-type: none"> <li>- Main focal points: Community rep/chief: Gbango Djady Martin Women rep: Sabokour Arce Youth rep: Adiko Eke Pierre Elderly rep: Fuanga Nare Disabled rep: Agbouajouy Martin</li> <li>- 37 Community membres participants; 41% Women.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Validate main issues: low depth, salination and pollution of lagoon, use of pesticides for fishing leading to loss of livelihoods, open defection, mangrove deforestation.</li> <li>▪ How climate issues are impacting women, youth, elderly and disabled.</li> <li>▪ Identify deforested, floodable, and safe areas. Visit the community and identify the sites of the activities.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Main issues: flood, erosion, sediment accumularion, soil saturation and salinization, lagoon level rise and lack of fishes.</li> <li>✓ During extreme events, there is a lack of food, women and disabled cannot work properly and youth and elderly tend to get sick</li> <li>✓ Strategies the community thinks will help to adapt: mangrove restoration and support for resilient agriculture.</li> <li>✓ Intervention sites identified: mangrove community and the Ebrié Lagoon and plot for agriculture (see map in Annex 3).</li> </ul>



## ANNEX 4: GENDER POLICY AND CROSS-CUTTING ISSUES

### Cross cutting issues

#### Marginalised and vulnerable groups assessment

The purpose of this section is to provide an overview and an initial baseline on marginalised and vulnerable groups in the five districts involved in the project. The data was gathered through surveys, local consultations held in the targeted communities, and from official documents collected through desk review, specifically using data from national government.

In general communities have nearly the same number of women and men, and around one third of the inhabitants are youth.

The poverty headcount ration at national poverty line in Ghana was 24.2 in 2010 and Cote D' Ivoire was 39,5 in 2020. The main religions in the target areas are Christian (approximately 2/3 of total) and Islam (approximately 1/5 of total). The main ethnic group is Akan (almost 4/5 of total), followed by Krou and Mande du Nord. Within the Akan population there are ethnic sub-groups, including e.g. Adiokrou and Baoule.

Annex Table 12 Initial vulnerable groups baseline

Group	Ada West <sup>109</sup>	Ada East <sup>110</sup>	Anloga/ Keta <sup>111</sup>	Jacqueville <sup>112</sup>	Grand-Bassam <sup>113</sup>
<b>Poverty Rate</b>	3% (G. Accra, 2016)	3% (G. Accra, 2016)	37 (Volta Region, 2016)	30,3% <sup>114</sup>	30,3% <sup>115</sup>
<b>Children and youth rate</b>	42.8% (below 15 years) 12.7% (15-19 years)	54% (below 15 years) 10.7% (15-19 years)	34.6 (below 15 years) 11% (15-19 years)	61,3%	61,3%
<b>Women and girls</b>	51.7%	52.5%	53.6%	24,1%	24,1%
<b>Older persons rate</b>	6.7%	6.5%	12.1%	4%	4%
<b>Indigenous people</b>	NA	Dangme-speaking people	NA	NA	NA
<b>Ethnic groups</b>	Akan (47.5%) Mole-Dagbon (16.6%)			Alladians, Ahizi and Avikam	Abouré and N'Zima
<b>Displaced/ migrant people</b>	NA	NA	NA	12,8%	15,3%
<b>Refugees</b>	NA	NA	NA	NA	NA
<b>Persons with disabilities rate</b>	2.2% (2.3% female and 2.0% male)	4.3% (4.8% female and 3.8% male)	7.2% (8.0% female and 6.3% male)	1%	1%
<b>People living with HIV/ AIDS rate</b>	1.85% <sup>116</sup>	2.90% <sup>117</sup>	1.29% <sup>118</sup>	2,3% <sup>119</sup>	2,6% <sup>120</sup>

#### Ada West

According to the 2010 Population and Housing Census, the population of Ada West District is 59,124 inhabitants, and about 70% of its population reside in the rural localities. The population 11 year and above, 68.5% are literate. The proportion of literate among men is higher (78.6%) than women (59.5%).

73% of the population aged 15 years and older are economically active and 15.2% are not active and perform household duties. 42.1% are engaged in agriculture, forestry, and fishery activities.

The General Assembly of the Ada West District Assembly is made up of 21 members, comprising two females and 19 males.

#### Ada East

<sup>109</sup> [https://www2.statsghana.gov.gh/docfiles/2010\\_District\\_Report/Greater%20Accra/ADA%20WEST.pdf](https://www2.statsghana.gov.gh/docfiles/2010_District_Report/Greater%20Accra/ADA%20WEST.pdf)

<sup>110</sup> <https://new-ndpc-static1.s3.amazonaws.com/CACHES/PUBLICATIONS/2016/06/06/Ada+East.pdf>

<sup>111</sup> [https://www2.statsghana.gov.gh/docfiles/2010\\_District\\_Report/Volta/KETA%20MUNICIPAL.pdf](https://www2.statsghana.gov.gh/docfiles/2010_District_Report/Volta/KETA%20MUNICIPAL.pdf)

<sup>112</sup> Recensement Général de la population et de l'Habitat (RGPH) 2014

<sup>113</sup> Recensement Général de la population et de l'Habitat (RGPH) 2014

<sup>114</sup> Enquete de niveau de vie (ENV) 2015/ Institut National de la Statistique (INS)

<sup>115</sup> Enquete de niveau de vie (ENV) 2015/ Institut National de la Statistique (INS)

<sup>116</sup> [https://www.ghanais.gov.gh/mcadmin/Uploads/2019%20FACT%20SHEET%2022%2006%202020%20revised\(1\).pdf](https://www.ghanais.gov.gh/mcadmin/Uploads/2019%20FACT%20SHEET%2022%2006%202020%20revised(1).pdf)

<sup>117</sup> [https://www.ghanais.gov.gh/mcadmin/Uploads/2019%20FACT%20SHEET%2022%2006%202020%20revised\(1\).pdf](https://www.ghanais.gov.gh/mcadmin/Uploads/2019%20FACT%20SHEET%2022%2006%202020%20revised(1).pdf)

<sup>118</sup> [https://www.ghanais.gov.gh/mcadmin/Uploads/2019%20FACT%20SHEET%2022%2006%202020%20revised\(1\).pdf](https://www.ghanais.gov.gh/mcadmin/Uploads/2019%20FACT%20SHEET%2022%2006%202020%20revised(1).pdf)

<sup>119</sup> Rapport annuel sur la situation sanitaire (RASS) 2018/ direction de l'informatique et de l'information sanitaire (DIIS)de Cote d' Ivoire

<sup>120</sup> Rapport annuel sur la situation sanitaire (RASS) 2018/ direction de l'informatique et de l'information sanitaire (DIIS)de Cote d' Ivoire

According to the 2010 Population and Housing Census, the population of Ada East District is 71,671 inhabitants, and 68.3% of its population reside in the rural localities. The population 11 year and above 72.8% are literate. The proportion of literate among men is higher (82.2%) than women (64.8%).

70% of the population aged 15 years and older are economically active, 95% of active population is employed. 15.8% are not active and perform household duties. Of the employed population, about 20.2% are engaged as skilled agricultural, forestry and fishery workers and 39% in service and sales. Most of households are involved in crop farming (83.3%).

Out of 39 currently Assembly member in the General Assembly of the Ada East District, only 4 are women.

#### **Anloga/ Keta**

According to the 2010 Population and Housing Census, the population of Keta District is 147,168 inhabitants, representing 7% of the region's total population. About 53.6% of its population reside in urban areas. The population 11 year and above 75.1% are literate. The proportion of literate among men is higher (87%) than women (65.4%). 63.9% of the population aged 15 years and older are economically active, Females (37.0%) are more likely to be economically not active than males (35.0%). About 34.8 percent of the employed population are engaged as skilled agricultural, forestry and fishery workers. The private informal sector is the largest employer in the Municipality, employing 91.1 percent of the population. Most households (67.7%) in the Municipality are engaged in crop farming.

#### **Jacqueville**

According to the 2014 Census, the population of Jacqueville District is 32,288 inhabitants<sup>121</sup>. The proportion of men is higher (51,5%) than women (48,4%).

#### **Grand-Bassam**

According to the 2014 Census, the population of Jacqueville District is 84,028 inhabitants<sup>122</sup>. The proportion of men is lower (47,8%) than women (52,1%).

#### **Human Rights Approach**

The project has been designed focus on people's rights, prioritizing the quality of life and improve conditions and rights. It was adopted and the project will implement a people-centred and human rights approach, promoting a sustainable development. During the project development, the last Human Rights Council Periodic Review "Report of the Working Group on the Universal Periodic Review" for both Countries (Ghana, November 2017 and Côte d'Ivoire, May 2019) and related recommendations for fulfilment of human rights obligations, and the Amnesty International reports for the two Countries.

At all levels, marginalised and vulnerable groups within the targets communities will be prioritised, focus on who are not protected by law and with poor rights. It is crucial their participation in different levels and activities to improve their capacity to cope with climate change stresses and shocks. Mainstreaming the needs of vulnerable and marginalised populations, the project will draw the attention of the national, sub-national and local governments and give space to add their needs in public policy. It will also build a political understanding and reinforce their role in the planning processes.

This section presents key challenges on the fulfilment of human rights within both countries and how the components and subprojects contribute to the progressive implementation of the human rights recommendations.

Annex Table 13 Key challenges on the fulfilment of human rights and project components/ subprojects

Component	Design phase	Implementation phase	Human rights related action
1.Improved coordination of local and national governments in the Region to cope with climate change, to integrate major	These initiatives were defined based on the involvement of different stakeholders and aimed at including multi-level governments to be accountable to sure the rights of women, children and youth, older persons, and people with disabilities.	Continuous attention will be given to ensure the expectation of vulnerable and the human rights are fairly addresses. This component will promote inclusive and participatory dialogue, as well stakeholders' discussion and exchange of lessons learned on how climate resilience	Support national, regional, and local meetings, training, and cross-fertilization activities for sharing lessons learned and best practices, promoting gender equality at

<sup>121</sup> [http://www.ins.ci/templates/docss/RGPH2014D.pdf?\\_x\\_tr\\_sl=fr&\\_x\\_tr\\_tl=en&\\_x\\_tr\\_hl=en&\\_x\\_tr\\_pto=nui,sc&\\_x\\_tr\\_sch=http](http://www.ins.ci/templates/docss/RGPH2014D.pdf?_x_tr_sl=fr&_x_tr_tl=en&_x_tr_hl=en&_x_tr_pto=nui,sc&_x_tr_sch=http)

<sup>122</sup> [http://www.ins.ci/templates/docss/RGPH2014D.pdf?\\_x\\_tr\\_sl=fr&\\_x\\_tr\\_tl=en&\\_x\\_tr\\_hl=en&\\_x\\_tr\\_pto=nui,sc&\\_x\\_tr\\_sch=http](http://www.ins.ci/templates/docss/RGPH2014D.pdf?_x_tr_sl=fr&_x_tr_tl=en&_x_tr_hl=en&_x_tr_pto=nui,sc&_x_tr_sch=http)

infrastructural projects in their spatial strategies, and to learn from each other to face common transboundary cc-related hazards	The planned activities will equally assess the needs and capacities, as well the self- protection mechanisms of all marginalised groups. A fair opportunity to all different vulnerable groups representation to express their ideas on how to leave no one behind.	activities can contribute to the Human Right Agenda and its progress.	different levels. The contribution from different level of government on to promote an inclusive, participatory, and integrated approach towards human rights for all.
2. Strengthened role of national adaptation plans in the spatial planning practice at national and sub-national level and improved capacity of governments in adapting to <a href="#">climate change</a>	This component was designed considering the right of vulnerable and most excluded groups. They were represented and were informed in the consultation process.	During planning process, continuous attention will be given to ensure the plan meets the expectation of marginalised and vulnerable groups – specifically women, older person, children and youth, persons with disability, etc. The implementation phase will offer opportunities to women to advocate for gender-sensitive policies in climate change decision. It will support country's accountability process on human rights fulfilment.	This component will build a favourable environment for improving the rights of excluded groups, given adequate opportunities to vulnerable groups in decision-making.
3. Municipal staff, communities and local stakeholders have successfully planned and implemented priority sub- projects to cope with climate-change related issues, such as sea level rise and floods, and access to climate-resilient livelihoods	These subprojects have been planned by putting the right of the people to live in a sustainable and safe environment. During the planning, the communities have been consulted. It aims at promoting an accountable environmental protection and improve the rights of the communities to a better quality of life. The environmental resources should also ensure equal access of environmental resources and opportunities to all, including excluded marginalised and vulnerable groups. The cultural and traditional knowledge of local communities was also considered during the planning process.	The implementation phase will ensure equal participation of all vulnerable groups, promoting and building capacity of environmental activities to concrete address causes that act as barriers to their right to safe and adequate living conditions. Traditional and cultural knowledge will be applied. The implementation of the project will ensure a safe space for marginalised populations and include them to discuss and promote a local climate resilience development. The activities will generate skills and job opportunities, particularly for women and youth. Working conditions will be based on ILO labour standards and principles, respecting workers' rights.	The protection and rehabilitation of ecosystem and natural resources will contribute to improve the right to safe, decent and sustainable living condition.

All planned initiatives will contribute to address the human rights challenges in the two countries as reported in the Report of the Working group on the Universal Periodic Review:

Annex Table 14 Human rights challenges identified by countries on the Universal Periodic Review

Countries' recommendations	Component 1	Component 2	Component 3
<b>Ghana</b>			
Continue adopting all the necessary measures to promote human rights in the country;	X	X	X
Ensure a more comprehensive application of initiatives designed to improve the situation of people with disabilities, including the Livelihood Empowerment Against Poverty programme			X
Accede to the ILO Domestic Workers Convention, 2011 (No. 189)			X
Enact the Affirmative Action Bill promoting women in governance and decision-making positions	X	X	
Institute measures to remove existing structural and legislative barriers for women in the labour market		X	X
Continue applying and reinforcing programmes and public policies on inclusion, poverty reduction, equality promotion and non-discrimination, with particular attention to women, children, the elderly and persons with disabilities, among other vulnerable group	X	X	X
Continue strengthening the institutions responsible for the promotion and protection of human rights	X	X	X
Continue promoting economic and social sustainable development in order to lay a solid foundation for the enjoyment of human rights		X	X
Continue strengthening social policy implemented by the Government to tackle the most needy in the country			X
Continue promoting gender equality through specific laws, plans and programmes		X	X
Introduce measures to promote the full and active participation of women in public life	X		
<b>Côte d'Ivoire</b>			
Ensure that existing institutional frameworks are reinforced by integrating climate change into planning and budgeting at the national, regional and local levels	X	X	X
Use the third cycle of the universal periodic review to generate data to support the implementation of the Sustainable Development Goals and human rights	X		
Set up an implementation plan for human rights recommendations that integrates the Sustainable Development Goals and all accepted recommendations	X	X	
Continue mobilizing resources and seek necessary international support for the promotion and protection of human rights	X		
Continue with the practical implementation of the 2016–2020 National Development Plan			X
Increase efforts to raise awareness about sociocultural factors that affect the promotion or protection of the rights of vulnerable groups in society			X
Continue to implement the National Development Plan 2016–2020, strive to eliminate poverty and achieve economic and social sustainable development to lay a solid foundation for its people to enjoy all human rights	X	X	X
Intensify the implementation of poverty-alleviation initiatives that promote the economic empowerment of vulnerable groups, especially women			X
Strengthen measures aimed at protecting vulnerable persons and ensure their full access to natural resources			X

Continue its efforts to tackle unemployment, especially of women, young people and those living in rural areas			X
Continue to strengthen its social programmes in favour of the most vulnerable groups, particularly women and children			X
Ensure the effective integration of women in all sectors of public life	X		
Intensify efforts to increase women's participation in political and public life	X		
Continue to promote women's empowerment	X		
Make efforts to increase the number of women in decision-making roles and positions	X		
Ensure that women, children, persons with disabilities, indigenous and marginalized communities are meaningfully engaged in the development of legislation, policies and programmes on climate change and disaster risk reduction at the local, national and international levels	X	X	X

### Initial Gender Assessment

The purpose of this specific 'gender and youth' section is to demonstrate how this project will comply to the AF Gender Policy (GP), drafting up a framework for the design and implementation of a gender focus project. Understanding the different needs and capacities of women and men in both countries is crucial to effective project implementation. The gender assessment was conducted to have an overview of the gender group differences in terms of their vulnerability, roles and responsibilities as well challenged and opportunities, adding on gender mainstream into project activities and identifying a gender-based action plan for the project implementation.

A gender approach and data baseline have been established, which is necessary at the project start against which implementation progress and results can be measured. In line with UN-Habitat's ESSP, the approach includes the identification and of promotion of economic, social and environmental benefits and opportunities for women and youth for each project activity.

During project preparation a 'gender assessment' has been conducted to identify potential project gender equality and women's and youth empowerment issues, but also opportunities and possible gender mainstream actions in the project. The outcomes are summarized below, as well as arrangements that will be taken during project implementation to comply to the AF GP, including to show how the project integrates a gender-responsive implementation and contributes to improving gender equality, the empowerment of women and youth and the project interventions' suitability to meet the adaptation needs of targeted women and men and youth.

### Methodology

During the project preparation phase, potential gender equality and women's and youth challenges and opportunities have been identified through initial qualitative and quantitative data analysis / desk research, surveys and focus group discussions with women, youth and other vulnerable groups. The main purpose of these public consultation sessions was to seek the beneficiaries' point of view and to collect data for a better design of the project with focus on vulnerable groups, women and youth. Specific women and youth needs and perceptions were identified, as well as potential gender-related risks and impacts, including possible concerns regarding proposed project activities. This participatory approach aimed at ensuring effective representation of the project beneficiaries during the preparation and planning stages, given the concerns and challenges from different stakeholders. The presence of women in the consultation process provided different perception on the challenges of women in access and income generation activity problems. Although the data collected may not be statistically representative, considering that the unbalance representation was still observed, the qualitative data gathered presents a reliable analysis.

### Specific considerations and phases

Determinants for gender-responsive stakeholder consultations

Annex Table 15 Stakeholders consulted to develop gender approach

Type of stakeholder	Specific stakeholder
National government	<b>Ghana:</b> Ministry of Environment, Science, Technology and Innovation (MESTI) (UNFCCC gender focal point) <b>Côte d'Ivoire:</b> Ministry of Environment and Sustainable Development (UNFCCC gender focal point)
UN agencies	UN Women UNICEF UNDP (Gender and Youth)
Community level	Community consultations and focus group discussions with women and youth in Ghana: Big Ada, Wokumagwe, Aklabanya, Goi, Kewunor, Agorkedzi, Dzita, Agbledomi, Whuti, Woe and in Côte d'Ivoire : Grand-Jack, Azuretti and Mondukou.

\*See also Part II.I and Annex [3.4](#)

### *Context*

At the regional level, Ghana and Côte d'Ivoire are members of the African Union (AU), which has put gender equality on the agenda through its Agenda 2063, its strategy for Gender Equality and Women's Empowerment (GEWE, 2019), its Protocol to the African Charter on Human and Peoples' Rights on the Rights of Women in Africa (2003), and the Solemn Declaration on Gender Equality in Africa (SDGEA, 2004). The AU's Women, Gender and Development Directorate (WGDD) aims to ensure that member states implement the respective policies and strategies, and provides guidance to the country level in this respect. Environment or climate change related topics are integrated into GEWE under the pillar of economic justice and sustainable development, where the strategy document states that "Women are key managers of the environment; bear the brunt of natural disasters and climate change yet are not meaningfully engaged in climate justice initiatives."

In 2010 the AU declared the Decade for Women 2010-2020. Among its objectives is to "identify Women's role in mitigating climate change, as custodians of the environment, making sure they benefit from the new global packages to fight climate change". Adaptation to climate change however is not specifically included. In addition, Ghana and Côte d'Ivoire are members of ECOWAS. The Supplementary Act on Equality of Rights between Women and Men for Sustainable Development in the ECOWAS Region from 2015 commits all ECOWAS Member states to the promotion of gender equality and equity in all sectors through appropriate policy and legislative formulation and reviews as well as strategy alignment. It includes Article 37 on Environmental Management and Article 38 on Protection against the Negative Impacts of Climate Change.

### *Main findings of the Gender Assessment*

The role of women and vulnerable groups in the climate change context and natural resources management are still limited, even though women are more vulnerable to the effects of climate change than men. Climate change is likely to aggravate the currently disadvantage pattern. This results from the social, economic, and political barriers that impede the active participation of women and vulnerable groups in adaptation and mitigation measures against climate change aggravated by the impacts of droughts in the proposed project sites. Additionally, women and men in rural area in Ghana and Côte d'Ivoire are especially vulnerable since they are highly dependent on local natural resources for their livelihood. Therefore, the unequal access to resources and lack of participation on decision-making process, increase the vulnerability of women affecting disproportionately by climate change effects.

The Gender Assessment aimed at understanding the different and similarities in women and men's vulnerability to climate change and their capacity on adapt and the role on climate change and risk management measures that are incorporated in the project.

For the propose of the following project, some aspects have been selected as crucial in providing an indication on how resilient women are in relation of climate change variability and exposure are to risk. The key aspects provide an overall status of women in both countries and in the target cities. Due to limited official data at city level, some general trends in the countries were applicable to the cities. Additionally, the consultation held in different communities also confirmed these figures. A deeper gender analysis in all communities will be carried out during the implementation of the project.

#### **Ghana**

The total population of Ghana is estimated to be 30.42 million (2019) of which 49.32% are women. The population is very young with 57% under the age of 25. 19.3% of women aged 20-24 are married or in a union before age 18 and 5% before 15 years old. Even though the literacy rate among women (79%) is higher than men, the unemployment rate for both genders +15 is around 4.5%. The agriculture of subsistence is prevalence but still the severe food insecurity is still high, being 56.9% among women and 54.4% in male. This implies that their access to land is also very limited.

In February 2021, only 14.6% of seats in parliament were held by women. And 33.8% of female in managerial positions. Overall, women are generally less educated compared to the men. Women and girls aged 10+ spend around 15% of their time on unpaid care and domestic work, compared to 3.5% by men.

#### **Côte d'Ivoire**

The total population of Ghana is estimated to be 13.08 million (2019) of which 49.5% are women. The population is very young with 59.4% under the age of 25. 27% of women aged 20-24 are married or in a union before age 18 and 7% before 15 years old. Even though the literacy rate among women (47.2%) is higher than men, the employed population below the international poverty line is 24.1% among women and 19.8% of men.

In February 2021, only 11.4% of seats in parliament were held by women. And 56.9% of female in managerial positions.

Annex Table 16 Analysis of national-level gender-specific legal, cultural / religious and policy context (relevant for this project)

	Analysis of legal status of women	Analysis of cultural/religious status of women	Supporting policies / initiatives
Ghana <sup>123</sup>  SIGI 2019 Category: medium SIGI Value 2019: 35 percent AGEI: 15 out 52 African countries CEDAW: ratified in 1986	Household responsibilities		National Gender Policy (2015)  Policy commitments: Improve women's rights and access to justice Improve women's empowerment and livelihoods Improvement to accountable governance structures and women's leadership and participation Improve women's economic justice and interrogate; and Improve gender roles and relations.  Responsible ministry: Ministry of Gender, Children and Social Protection
	The Head of Family Accountability Act, 1985 does not prohibit women from becoming the heads of households and across Ghana there is a combination of female-headed and male-headed households	Religious and customary practices and norms may require a woman to obey her husband, but the law does not mandate it nor does the law name legal consequences for her failing to do so.	
	Secure access to land and assets		
	Women and men do not enjoy the same legal rights to land and non-land assets in Ghana (Ghana's Intestate Succession Law 1991; CEDAW Shadow Report, 2014). In some communities, women, namely widows and daughters are still not allowed to inherit land (CEDAW Shadow Report, 2014) therefore making in practice, inequitable ownership and use of land	Succession law is not applied consistently across the country and largely depends on whether one's ethnic group is matrilineal or patrilineal in nature	
	Secure access to formal financial resources		
	Though there is no law that prohibits women from opening a bank account in Ghana, there is a paucity in consumer protection legislation and other policies that guarantee equal access regardless of gender	As a result of limited access to formal financial resources, women continuing to dominate positions in the informal sector, low wage jobs and unpaid labour. This impacts the economic position and stability for women and implies that there are social and cultural gendered stereotypes and expectations associated with what is considered as "women's work".	
Côte d'Ivoire <sup>124</sup>  SIGI 2019 Category: high SIGI Value 2019: 43 percent AGEI: 43 out 52 African countries CEDAW: ratified in 1995	Household responsibilities		Politique nationale sur l'égalité des chances, l'équité et le genre de Côte d'Ivoire (2009) Does not include a relation between gender and climate change however <b>Programme d'appui du PNUD à la mise en œuvre des Contributions Déterminées au niveau national (CDN) de la Côte d'Ivoire</b> – The Programme defines the objective to elaborate a National Gender and Climate Change Strategy and Action Plan; and includes capacity building for national actors so that they are capable to implement. The Programme also aims at including a gender dimension in the communication strategy about the NDC Several initiatives have been implemented to increase women's access to credit by the government, such as a "Women and Development Fund" which facilitates women obtaining credit; or a programme to facilitate access to financial resources at a reduced cost for female entrepreneurs (Republic of Côte d'Ivoire, 2014).
	The law on Marriage, art. 58 & 59 provides women with the same rights as men to be recognised as the head of household	The CEDAW Committee (2011) highlights the persistence of "patriarchal attitudes and deep-rooted stereotypes regarding the roles, responsibilities and identities of women and men in the family and society".	
	Secure access to land and assets		
	Married women do not have the same rights as married men to own, use, make decisions and use as collateral land, property and other non-land assets (Law on Marriage, art. 79 & 81). All goods acquired, inherited or earned during the marriage are considered common goods (Law on Marriage, art. 76), and they are administered by the husband (Law on Marriage, art. 79)	Discriminatory customary practices restricting women's access to land continue to be applied (World Bank, 2013). Women may have to negotiate with their families or their in-laws to be granted the right to use a land plot for subsistence farming (World Bank, 2013). Customary norms regarding access to land vary across the 60 ethnic groups composing Côte d'Ivoire, but women are in general marginalised from making decisions, controlling and acquiring land (FAO, n. d.). According to traditions, no land can be registered in the name of a woman (FAO, n. d.).	
	Secure access to formal financial resources		
	The law provides women with the same rights as men to open a bank account at a formal financial institution (Law on Marriage, art. 66) and to obtain credit (no restriction found).	The CEDAW Committee (2011) notes that despite initiatives aiming at increasing women's access to credit, women still face barriers to obtain credit due notably to their inability to use land as collateral.	
	Workplace rights		
	All women are covered under the Labour Act, 2003 (Act 651) and are granted the same rights as men to enter an occupation and profession of their choosing	There are some customary practices that impact the kind of work done by women	
	Workplace rights		
	The Labour Code mandates non-discrimination on the basis of sex in employment and specifically covers hiring, terms and conditions, promotions, training, assignments and termination (art. 4). Additionally, the law mandates equal remuneration for work of equal value (Constitution, art. 14 & 15). However, women are prohibited from entering certain professions; a decree fixes a list of professions prohibited to women (Labour Code, art. 23. 1).	The CEDAW Committee (2011) stresses that working women are concentrated in the informal economy and are thus deprived of their right to social protection. Additionally, there is a pronounced horizontal segregation: women are mostly employed in sectors such as hotel and catering, retail business, cleaning and clothing industry (Republic of Côte d'Ivoire, 2014). Women tend to face barriers in accessing senior positions or decision-making positions in the private and public sector (ICCP, 2015).	

123 <https://www.genderindex.org/wp-content/uploads/files/datasheets/2019/GH.pdf>124 <https://www.genderindex.org/wp-content/uploads/files/datasheets/2019/CI.pdf>



*Differentiated climate change impacts on men and women and their differentiated capacities do adopt to these, gender division of labour and gender-based power structures.*

Women are amongst the most vulnerable to the impacts of coastal hazards due to cultural and social rules, norms, structures and other social arrangements that shape and regulate their status in society, and that affect their access to and control over resources and decision making.

In rural areas, women and men depend on biomass, such as agricultural crops, wood, waste and florets resources, for energy and livelihoods. In face of climate change, the ability of rural communities to obtain these resources is reduced. The declining of biodiversity impact the material welfare and livelihoods of people but also cripples access to security, resiliency, health and social relation.

Annex Table 17 Typical socio-economic activities and division of labour in coastal communities in Ghana and Côte d'Ivoire

Women	Men
Rice cultivation along the coast Small-scale agriculture (vegetables) and small animal farming (chicken etc.) Small-scale fishing activities Fish smoking and drying Selling of fish and other sea products	Fishing Shrimp farming Livestock production Cash crop production

In addition, women are usually responsible for collecting water and firewood, cooking and taking care of the household. In many context, deforestation has meant that wood is located further away where they live. As a result, women and girls have less time to fulfil their domestic responsibilities, adding one more complexity on having time to earn money, engage on political activities, study, acquire other skills, etc. Moreover, they become more vulnerable to injuries from carrying heavy loads long distance and also face risk of assault and sexual harassment.

Coastal risks and hazards have a number of negative consequences for the population and especially women, such as inadequate access to water and poor water quality, impacting women's primary givers as well the agricultural production due to the increase of overall amount of labour on collecting, protecting and distributing water.

Annex Table 18 Differentiated climate change impacts on men and women

Country	Main sector/ Livelihood relevant to the project	Climate change impact	Gender and youth equality and empowerment issues, incl. specific Vulnerabilities / barriers to adapt	Capacity to adapt and opportunities for promoting a 'women' and 'youth' as agents of change
Ghana	Small scale agriculture	Crop and fisheries loss due to erosion, inundations, salinization and loss of mangroves	High dependency on agriculture and fishing sector for income (mostly informal); Limited access land and financing; Youth unemployment	Build upon women and youth organizations; Promote equal participation of men and women in assessment, planning and decision-making
	Small scale fishing			
Côte d'Ivoire	Small scale agriculture	Reduced water quality	Youth unemployment	Involve traditional leaders ensuring culturally appropriate understanding of 'gender'; Involve women in agriculture and fishing activities
	Small scale fishing	Floods may also increase the prevalence of water-related diseases		

### Capacity gaps affecting GP compliance

Annex Table 19 Capacity of potential executing entities to carry-out gender responsive activities.

Potential executing entity	Skills and expertise to provide gender mainstreaming inputs	Specific requirements execution entities for compliance	Capacity building needs
LUSPA	Limited (as government entity)	Appoint gender focal point Target women and youth for awareness and capacity building activities Identify specific women and youth needs in roll-out project activities Where realistic, use quota targets for women and youth participation in project activities Highlight specific gender and youth considerations in knowledge management Have a participatory (women and youth monitoring system)	Awareness on requirements Share guidelines for execution entities to comply and to ensure 'opportunities' are identified and exploited Develop baseline and approach before project start + report
Comp 1 Côte d'Ivoire			
Companies / consultancy firms	Limited (as company)	Have a participatory (women and youth monitoring system)	
Development Institute	Some (as NGO / university)		

NGO in Côte d'Ivoire UCC			
UNEP - Abidjan Convention	Yes (UN core value)		Awareness on requirements Share guidelines for execution entities to comply

#### *Opportunities for promoting a 'women' and 'youth' as agents of change*

Through community-level consultations, it was found that women in Ghana and Côte d'Ivoire have considerable knowledge regarding small-scale agriculture (vegetables), Small-scale fishing activities, fish smoking and drying and selling of fish. The project aims to utilizing women's traditional knowledge by targeting women in community level skill building and trainings with a focus to enhance their capacities for applying climate change resilient fishing and agriculture practices. Opportunities include:

- Have women and youth participate in community assessment and planning processes, including monitoring; Assign a specific gender focal point for coastal risk management
- Include women and youth considerations / roles in strategies and plans
- Target and strengthen women and youth organizations
- Women to be involved with O & M
- Women to be involved with cc resilient fishing activities
- Youth to be involved with cc resilient innovative agriculture activities

## Project planning and design

Annex Table 20 Gender baseline, goals and activities. A detailed action plan will be developed at inception phase

Project outputs	Disaggregated beneficiaries, gender specific issues and needs / baseline	Key gender goals (to improve equality)	Entry points (to integrate gender considerations / empower women / youth)	Suitable interventions to meet specific needs and built on women and youth skills and knowledge	Additional activities needed to ensure gender perspective, incl. potential risk mitigation measures	Specific 'gender' output Indicator	Specific 'gender' targets	Budget required and allocated
1.1. 1.2. 1.3.	Limited participation women and youth trained and involved in decision making process on climate change adaptation	Women and youth to be involved in decision making process;	Women and youth quota	Involve women and youth groups and have specific gender considerations	Use quota if needed Check women and youth participation in activities	% women and youth participation in trainings, roundtables and events Women and youth considerations in plans	Women: <del>25</del> 69 % Youth: 20 % Specific mentioning	A dedicated safeguard compliance staff time is allocated under project execution fees
2.1. 2.2.	Limited participation women and youth and roles are not specified in plans  Women and youth should get a chance to be involved in subnational and district planning	Women and youth to be involved in assessment and planning; appoint a gender focal point  Involve women and youth in O & M and replication options; Youth to be involved in awareness	Women and / or youth focus point and groups	Involve women and youth groups and have specific gender considerations in plans	Follow-up on selected focal point  Check women and youth considerations in plans	Focal point identified  % youth participating in awareness campaigns  % women and youth participation in assessment and planning  Women and youth considerations in plans	<del>Women: 50%</del> <del>Youth: 20%</del> Specific mentioning	Dedicated AF ESP and GP compliance staff time is allocated under MIE management fee for ROAS
3.1.	Limited participation women and youth and roles are not specified in plans	Women and youth to be involved in assessment and planning; appoint a gender focal point	Women and youth focus point	Involve women and youth groups and have specific gender considerations in plans	Follow-up on selected focal point Use quota if needed Check women and youth considerations in plans	% women and youth participation in actual assessment and planning, operation and maintenance	Women: 50% Youth: 20 %	These persons will ensure compliance and develop ESP and GP compliance guidelines for execution entities (with support from UN-H HQ)
3.2.	High % women and youth - to be involved in managing lagoons	Women managing mangroves around lagoons		Identify preferences through comp 2				
3.3.	High % women and youth - to be involved in EWS operation, data management, communication and training and participating on the evacuation plans	Women to be involved in EWS, data management, communication campaigns and evaluation plans						
3.4.	High % women and youth - to be involved in mangrove nursery and planting	Women managing nursery and crabs production						
3.5.	High % women and youth - to be involved in salt-resilient crops	Women managing salt-resilient crops						
4.1.	High % women and youth – women to be involved in drainage system	Women to manage drainage system						
3.3	Limited involvement women; Women roles and youth are not specified in plans and knowledge management	Women to participate in meetings; Women and youth roles to be identified	Quota / Steering committee; Consider gender and youth issues and needs	Have specific gender considerations in knowledge management	Use quota if needed Check women and youth considerations in plans	Women and youth considerations in plans / KM	Specific mentioning	

**Commented [MG20]:** CR9: Gender target has been reviewed

### Gender Conclusion

In summary, the results show that most part of the communities living in the proposed project sites are vulnerable to climate change aggravated droughts. The most vulnerable members of communities among small scale agriculture and fishing in both countries are women and youth. Gender inequality from historical disadvantages, limited rights, lack of adequate access to resources and limited participation in decision making process make women more vulnerable to climate change. Additionally, the poverty due to unlimited and inadequate livelihood and low-income generation, as well men abandoning their family and heaping to the women all responsibilities, especially in times when climate change and drought events are at the peak.

Regarding job opportunities, most women work in the informal sector, at the labour force in agriculture and fishing sector. Youth have a high rate of unemployment due to the lack of skilled labour.

They have limited access to land and financing mechanisms.

### Project implementation

UN-Habitat aims to have a gender responsive and adaptable management approach in place which, when needed, allows adjustment based on learning from earlier decisions and interventions and received feedback. This is done through having gender expertise and focal points in place, whom should identify challenges, barriers or restrictions that arise during project/programme implementation, which might hinder the equal participation of men and women in activities.

Capacities of execution entities will be built so they are able to provide gender mainstreaming inputs and identify any challenges that arise during project/programme implementation, which might hinder the equal participation of men and women in activities. This requires appointing a gender focal point and having quota targets for women and youth participation in project activities. Gender focal points from the government will be part of the steering committees.

The project Grievance mechanism established will be capable to accept grievances and complaints specifically related to gender equality and women's empowerment. All the components of the projects are designed to challenge the gender-based discrimination culture characterising the target countries, districts and communities. All project-related actions aim at abating drought risk through enhancing social resilience. Therefore, the project and subprojects contribute directly or indirectly to mainstreaming gender and ensuring equal opportunities to build resilience through planning process which women's voices is raising, improving their capacity to adapt to climate change impact on specific subprojects and increasing access to resource.

**Component 1 and 2** refer to planning, policy and institutional support on exchange of experience and lessons learned between cities, countries and region. Planned activities under this component will promote the increase of women's engagement in high-level discussion on climate change and adaptation solutions. [Target indicator for women participation in high-level discussion is 25%, in accordance with the rate of women's Political Participation in parliament in West Africa<sup>125</sup>. Furthermore, gender mainstreaming participation in this type of activities will also be mainstreamed throughis-related](#) to participatory process in which women's inputs must be collected and the data generated from this results bearing the gender approach, promoting gender equity.

**Component 3** defined a set of 6 sub-projects that will be implemented in 21 target communities. The subproject aims at developing [community-level plans](#), ensuring participation of all communities and vulnerable groups, specifically with the engagement and participation of women and youth. [Gender and youth targets for activities involving local communities are based on the average of population rate: 50% and 20% respectively<sup>126</sup>](#). To do so, it is necessary to undertake a gender mainstreaming to guarantee the women and youth perceptions over accessibility, representation and participation and decision-making power. This subproject will offer the opportunities for increasing women's engagement in climate change discussion and decision-making process. Gender-based discussion and informed decision will translate into gender-sensitive tools and guidelines for enhancing climate adaptation.

The subproject aiming to **establish early warning system** entail the participation and involvement of at least [650% women](#). The meaningful participation of women will ensure their role as primary household caregivers

<sup>125</sup> Morna, Colleen Lowe et al. *Women's Political Participation – Africa Barometer 2021*. IDEA Report (2021) p.7. DOI: <https://doi.org/10.31752/idea.2021.21>

<sup>126</sup> [Average rate of women population in the 21 communities is 49.8%, value was approximate to 50% for the purpose of this work. Average rate of youth is 38%, for the purpose of this work, youth engagement has been targeted for at least 50% of the youth population: 20%](#)

on recognising and enhancing risks areas and guide inhabitants to develop evacuation plans, identifying routes and safe areas using a participatory approach. While designing and implementing the system, women and vulnerable groups will be actively involved on training on operation the EWS, addressing their own needs and proposing solutions based on their knowledge and capacity. Means of communication will be reachable and understandable to women, children and elderly.

Regarding subproject focusing on **urban flooding adaptation** will enable women to access important information on conservation and protection of natural disaster and also have their role in adopt climate change mitigation/ adaptation measure to address risks. Women's engagement in ecosystem rehabilitation will also give them the opportunity of take the lead in advocating on sustainable practices and also be better protected from flooding and stagnating water that can generate from water-related diseases.

The subproject promoting **mangrove restoration** will enable women to increase livelihood diversification and improve job opportunities. While developing the implementation plan, the meaningful participation of women will ensure their role and will actively ensure their own needs are properly addressed. Equal salaries between male and female workers will be guaranteed.

The subproject dealing with **climate change agriculture** entail the participation of women in access important information and training and to promote their role on ensuring productive agri-food systems, involving women in agriculture land management and urban expansion controlling. Those activities will also support gender responsive on land rights and security equal agriculture land tenure.

The subproject on **training for municipal staff and communities** is committed to provide technical expertise and build capacity on climate change resilience and adaptation measures. This subproject will uphold rights of both women and men as human rights focusing on gender equality and equal treatment for both gender. Access to technical knowledge related to climate change adaptation will raise women's interest and create opportunities. Equal participation of women in trainings will also provide space for women's aggregation, enabling dialogue and promoting space to active participation and involvement in climate change decision making.

#### *Performance Monitoring and Evaluation*

The gender responsive management approach includes gender responsive monitoring and evaluation, which is participatory and where 'gender disaggregated data' will be collected and analysed. Key indicators have been identified in Table 30 for each project component to monitor and measure their effective contribution to gender equity and justice. Where possible, women and youth will be encouraged to participate in monitoring activities as well.

##### **Indicators under project component 1 and 2:**

- % of women who are actively part of high-level climate resilience decision-making processes and platforms;
- Increased awareness and sensibilization of the need to take gender-informed decisions at different levels regarding urban climate resilience;
- % of urban climate resilience knowledge products, tools, legislation, guidelines and policies at national level that are gender-sensitive; % of women who are satisfied with planning processes;
- Nr of women who are consulted in the planning process.

##### **Indicators under project component 3:**

Indicators regarding the early warning system:

- Nr of women who take active part in the design of the EWS and evacuation plan;
- Nr of women who participate in training/ capacity building and participatory workshop and have acquitted planning skills, flood risk areas and evacuation centre and safe routes;
- Nr of gender-sensitive aspects in the design of EWS, division of roles and responsibilities related to early warning system communication and dissemination activities;
- % of women who consider that the EWS respond to their needs and are gender sensitive;
- Nr. of women who works on EWS data management activities;

Indicators regarding climate change agriculture:

- Nr of women who are engaged on salty crops activities;

- Nr of women who take active part in the water infiltration system installation and operation;

Indicators regarding climate change agriculture urban flooding adaptation:

- Nr of women who are engaged on the construction of bioretention and detention facility;
- Nr of women who are part of the drainage channels activities;

Indicators regarding mangrove restoration:

- Nr of women working in the mangrove nursery;
- % of women trained and working in mangrove plantation;
- % of women working on blue carbon technical studies;

Indicators regarding training for municipal staff and communities:

- Nr of women who participate in related training and capacity building activities;
- % of women working in the municipality in environmental sector;
- % of women who lead/ participate in awareness campaigns within targeted communities for promoting sustainable environmental practices to reduce and prevent disaster risks
- % of people in the communities who are aware that women can play a transformative leadership role in climate change adaptation;

## Gender/ Youth targets by Output

Overview of disaggregated data (beneficiaries) in target areas.

Annex Table 21 Vulnerable groups targets

Outcome/ Output		Indicators/Target s	Vulnerable targets		Beneficiaries		
			Women	Youth	Direct	Indirect	Total
Outcome 1.1	1.1- Improved coordination among governments to adapt to climate change and to implement effective coherent measures for climate change adaptation at regional level	1 workplan, 16 events (8 at regional and 8 at national level), 1 report..	2540%	15%			480
Output 1.1.1.	Output 1.1.1. Set up a work plan with the Abidjan Convention for the initiatives and events to mainstream (live and digitally) lessons learned and to boost capacity to adapt to climate change	1 workplan developed			Everyone with internet access, especially planners and development professionals		
Output 1.1.2.	Output 1.1.2. Round-tables for regional bodies and national governments (through the Abidjan Convention platform) to agree on spatial strategies for a coordinated implementation of climate change adaptation	16 roundtables (8 at regional and 8 at national level) 16 reports	4025% 46%	Everyone with internet access, specially planners and development professionals	1,056		
Output 1.1.3.	Output 1.1.3. Regional Assessment identifying key common challenges, climate-change hotspots and priority areas (including trans-boundary issues) and recommendations for climate change adaptation at different scales	12 reports		N/A	Everyone with internet access, especially planners and development professionals		
Outcome 1.2	1.2. Capacity-building events and knowledge sharing trans-boundary activities among the participating countries are discussed and prepared through the Abidjan Convention for: i) sharing among the different countries experience-based adaptation mechanisms for replication; ii) training of national governments; -iii) participation to global events; iv) support national governments in including coordinated regional level adaptation measures	No of staff trained 16 events (8 at regional and 8 at national level).	25%				480
Output 1.2.1.	Output 1.2.1. Trainings for regional bodies and national governments (through the Abidjan Convention platform) for increasing capacity of the use of data and tools to foresee and manage climate change-related risks and impacts	16 events. National staff: 240 (80/year) District staff: 240 (80/year)	2540%	15%	240-30 /event	Targer districts	480
Outcome 1.3	1.3. Cross-fertilization activities for mainstreaming lessons learned and best practices captured through the implementation of community plans and sub-projects are mainstreamed among local communities in partnership with the Abidjan Convention as regional knowledge management platform	13 events 20 events/ year (10 in Ghana and 10 in CDI)	540%	1520%			



Output 1.3.1.	Output 1.3.1. Cross-fertilization events to mainstream at local level experiences from community plans and implementation of sub-projects all over the region	Meetings Good Practices Guidelines Project video	25%		400 260	West Africa Governm ents	
Outcome 2.1	2.1. Subnational and district/department level spatial development framework	5 SDFs (3 Ghana and 2 CDI)	40%	45%			
Output 2.1.1.	Output 2.1.1. Two Sub-national-level Spatial Development Framework/District level Spatial Development Frameworks: 1 targeting the Volta Delta coastal area (Ghana) and 1 targeting the Grand Pont region (Cote d'Ivoire).	1 plan in Ghana 1 plan in CDI	52% 48%	43% 31%	400	634,458	634,858
Output 2.1.2.	Output 2.1.2. Local-level Spatial Development Frameworks (Local development plans): two in Ghana targeting Ada East and Anloga-Keta districts, and one in Cote D'Ivoire targeting the department of Jacqueville, with climate change-related coastal risks identified and measures to increase coastal resilience proposed	3 plans (2 Ghana and 1 CDI)	40%	15%	220	275,147	275,367
Outcome 2.2	2.2. National and sub-national officers trained in urban climate adaptation techniques, motorizing approaches, and climate-change-related policy development	4 (1 at Ministry and 1 District level in Ghana, 1 at Ministry and 1 District level in CDI)	2540%	46%			
Output 2.2.1.	Output 2.2.1. On-the-job trainings and workshops to strengthen capacity of the Ministries of the Environment and Sustainable Development and of Planning and Development and municipalities (Ghana), and of Land Use Spatial Planning Authority (LUSPA) and Municipal District Assemblies (MMDAs) (Cdi) to develop, implement, and update spatial development frameworks, to develop, use and update spatial development frameworks	80 (40 Ghana and 40 in CDI) 20 (10 in Ghana and 10 in CDI)	2540% 2540%	46% 46%	80	200	280
Outcome 3.1	3.1. Community level plans developed in Ghana and Côte d'Ivoire with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures	Community level workshop: 42 (at least two per community)	50%	1620%			
Output 3.1.1.	Output 3.1.1. Community-level plans (11 in Ghana and 10 Cote d'Ivoire) developed with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures deriving from the subprojects	Plans Ghana: 11 Plans CDI: 10	450% 540%	4620% 2046%	300 300	121,777 30,978	122,077 31,278
Outcome 3.2	3.2. Climate change adaptation subprojects are fully developed with communities and municipalities, including detailed engineering studies, and implemented in the target cities mainly through community involvement as labour-intensive manpower	21 communities (11 in Ghana and 10 in Côte d'Ivoire).					
Output 3.2.1.	Output 3.2.1 Establishment of Early Warning Systems (EWS)	Ghana CDI	540%	2046%	14,564 3,234	107,543 28,947	122,107 32,180
Output 3.2.2.	Output 3.2.2 Integrated NBS for Urban flood adaptation	Ghana CDI	540%	2046%	15,502 3,435	23,254 10,335	38,756 13,770
Output 3.2.3.	Output 3.2.3 Mangrove restoration	Ghana CDI	540%	2046%	72,818 12,767	49,289 3,474	122,107 16,241
Output 3.2.4.	Output 3.2.4 Climate resilient agriculture	Ghana CDI	450%	4620%	1,440 720	720 360	2,160 1,080
Outcome 3.3	3.3. Municipal staff and community members mobilised, trained and equipped for ensuring the sustainable management and/or maintenance of the implemented priority sub-projects	21 communities targeted					
Output 3.3.1.	Output 3.3.1. Trainings for Municipal staff and communities targeting sub-projects implementation and/or maintenance	21 communities targeted 30 people/training (25 community and 5 from government)	40%	15%	630	152,755	153,385

### Knowledge Management, Information Sharing and Reporting

UN-Habitat aims to have a gender responsive knowledge management approach in place, where specific gender considerations are highlighted through reporting on the project/programme's commitment to gender equality and women's empowerment in all outreach, communication and information sharing efforts.

## ANNEX 5: ESP ANNEX, INCL. ESMP

### Content

1. Introduction, purpose, method, project overview / summary of project risks management approach
2. Risks screening and categorization
3. Environmental and social impact assessment (quantification)
4. Environmental and social management plan, including monitoring

### 1. Introduction, including summary description of the project/ programme

#### 1.1. Introduction

Social and environmental policies are essential tools to prevent and / or mitigate undue harm of projects and project activities to people and their environment. In line with the Adaptation Fund's ESP and UN- Habitat's Environmental and Social Safeguard Policy (ESSP), UN-Habitat and partners are required to categorize the risk of the project as a whole and to manage potential risks and impacts.

#### 1.2. Purpose

The purpose of this 'ESP annex' is to demonstrate (in an overview) how this project complies to the AF ESP. The annex shows what potential environmental and social risks and co-benefits and opportunities have been identified per project activity, the potential impacts of the risks and how these will be managed. This proposal and related country-specific ESIA-ESMP and consultation reports are being published on UN-Habitat ROAF website (<https://unhabitat.org/environmental-and-social-impact-assessment-esia-for-côte-d'ivoire-under-the-adaptation-fund-project>; and <https://unhabitat.org/environmental-and-social-management-framework-esmf-for-ghana-under-the-adaptation-fund-project> respectively).

#### 1.3. Methodology

To ensure compliance with the AF ESP, all proposed project activities have been screened against the 15 AF principles (i.e. safeguards) to identify potential environmental and social risks and to assess related potential impacts. Where risks have been identified, impact assessments have been conducted and measures to avoid or mitigate risks identified (+ monitoring arrangements).

In particular, given the structure of the project, a general risk screening was undertaken for each of the three components of the project, and then a detailed risk screening was undertaken for outputs under outcome 3.2: the outcome comprising all sub-projects, which imply physical interventions. Thus, planned activities under project Outcome 3.2. may entail more risks than the ones under other outcomes and involve soft activities. The overall **risk screening** (general + detailed one for outcome 3.2) is presented in **section 2 of this annex**. After such risk screening, for all identified risks, a **risk assessment** (defining probability and significance of risk and describing **possible impacts**) was presented by principle under **section 3 of this annex**. Section 4 is core of this annex and presents the ESMP, which recalls the impact assessment and builds on that the mitigation measures and the monitoring measures to address the risks identified through the screening.

The risk screening and the impact assessment were obtained through a combination of: i) desk research undertaken by the team, social experts and environmental experts; ii) meetings and discussions with external experts and representatives from agencies relevant for specific principles (UN Women, ILO, WWF,...); and iii) on-site community surveys and public consultations were used to collect disaggregated data focused on climate change related issues, needs and perceptions of marginalized and vulnerable groups, activity prioritisation and the identification and verification of potential risks and impacts. Other relevant information regarding consultation processes, gender policy and vulnerable groups, and the detailed description of the subprojects implying physical interventions are presented in annexes 4, 5, and 3 respectively. Once the information was gathered and the ESMP drafted, findings were subject to public consultations/ disclosure in collaboration with communities and local municipalities (last consultation was conducted in December 2021; details from the consultation process are presented in annex 4).

Besides that, in both Ghana and Côte d'Ivoire accredited consultants prepared country-specific ESIA's, **Details in these reports, as well material from the ESMP (including risks mitigation measures), will be integrated in (sub)project execution plans, including for construction, operation and maintenance.**

An overview / summary of the risk screening and ESMP (most important findings) is presented in section II.L and section III.C of the proposal. All information identified and reported in this annex have been consolidated in the proposal, including in the budget. The completed risks screening sheets for each project activity are available on request.

## **2. Screening and categorization**

### **2.1. General risk screening**

An initial screening and assessment process was carried out to identify and evaluate the environmental and social risks and impacts of proposed activities for the **entire project**. Due to the nature of some of the proposed sub-projects under Components 3 (outcome 3.2), the entire project has been categorised as **Medium Risk / Category B** (See section II.L in the full proposal). Consequently, an ESMP was developed.

According to the Ghana's EIA Regulations, the project has been categorized as "Category B" project as well. An ESIA-ESMP study and report and consultations report have been prepared by an accredited consultant in Ghana. Although impact assessments were not required for all proposed projects under Ghana law, the study considered all project activities to comply to the AF ESP. According to the Côte d'Ivoire EIA Regulations, the project has been categorized as "Category B project as well. An ESIA-ESMP study and report and consultations report have been prepared by an accredited consultant in Côte d'Ivoire. Although impact assessments were not required for all proposed projects under Côte d'Ivoire law, the study considered all project activities to comply to the AF ESP. ESIA have been approved by both governments.

In terms of process, normative, planning and capacity development activities under all components were screened against the 15 AF principles. Table 1 below shows the results of both the screening and ESMP for the three components. More specifically, for the screening part, it is specified whether the risks exist or not, and evidence for existence or non-existence of the risk is presented. When the risk is presents, risk assessment and mitigation measures are proposed, as ESMP, in the following sections of this annex (section 3 and section 4 respectively).

Overall, results from this screening show that potential risks impacts are not considered to be significant, as the project activities were designed to minimise potential risks. Nevertheless, measures will be undertaken to ensure that no environmental or social impacts can occur. General monitoring measures are presented in **Part III, Section C** of the main project document.

Annex Table 22 Overview of project activities' screening results against the 15 AF risk areas / principles. For more details see country-specific ESIA reports

Principle	<p>Component 1: Improved coordination of local and national governments in the Region to cope with climate change, to integrate major infrastructural projects in their spatial strategies, and to learn from each other in order to face common transboundary cc-related hazards.</p> <p>Component 1 comprises 3 outcomes: 1.1. Improved coordination among governments to adapt to climate change and to implement effective coherent measures for climate change adaptation at regional level 1.2. Capacity-building events and knowledge sharing trans-boundary activities among the participating countries are discussed and prepared through the Abidjan Convention for: i) sharing among the different countries experience-based adaptation mechanisms for replication; ii) training of national governments; iii) participation to global events; iv) support national governments in including coordinated regional level adaptation measures 1.3. Cross-fertilization activities for mainstreaming lessons learned and best practices captured through the implementation of community plans and sub-projects are mainstreamed among local communities in partnership with the Abidjan Convention as regional knowledge management platform</p>	<p>Component 2: Strengthened role of national adaptation plans in the spatial planning practice at national and sub-national level and improved capacity of governments in adapting to cc.</p> <p>Component 2 comprises 2 outcomes: 2.1. National and sub-national officers trained in urban climate adaptation techniques, monitoring approaches, and climate-change-related policy development 2.2. Sub-national and district/department level spatial development frameworks</p>	<p>Component 3': Municipal staff, communities and local stakeholders have successfully planned and implemented priority sub- projects to cope with climate-change related issues, such as sea level rise and floods, and access to climate-resilient livelihoods.</p> <p>Component 3 comprises 3 outcomes: 3.1. Community level plans developed in Ghana and Côte d'Ivoire with the purpose to plan, operate, maintain, monitor and sustain/replicate concrete adaptation measures 3.2. Climate change adaptation physical interventions are fully developed with communities and municipalities, including detailed engineering studies, and implemented in the target cities mainly through community involvement as labour-intensive manpower 3.3. Municipal staff and community members mobilised, trained and equipped for ensuring the sustainable management and/or maintenance of the implemented priority sub-projects</p> <p><b>*component 3 is the only component comprising physical intervention (sub-projects under component 3.2. Thus, a more detailed risk screening is this annex, in section 2.2</b></p>	Overall presence of the RISK within the project
1.Compliance with the Law	<p>Risk: NONE Evidence: all three outcomes constituting this component (outcome 1.1, 1.2 and 1.3) are made of outputs related to coordination meetings, action-plan set up, round-tables and cross-fertilization activities. All the activities under this component occur at Regional level, in collaboration with the Abidjan convention, and involve regional and national institutions. All entities involved represent public authorities and have the mandated to comply with the law. In addition, it is among the mandate of UN-Habitat to comply with national laws and international standards. There are no obstacles to comply to comply with the law. No additional measures.</p>	<p>Risk: NONE Evidence: similarly to component n1, the two outcomes constituting this component (outcome 2.1, and 2.2) involve no physical interventions: they focus on capacity building and spatial planning frameworks. All the activities under this component occur in collaboration with the national governments of the two countries involved, and involve district level institutions. All entities involved represent public authorities and have the mandated to comply with the law. In addition, it is among the mandate of UN-Habitat to comply with national laws and international standards. There are no obstacles to comply to comply with the law. No additional measures.</p>	<p>Risk: NONE Evidence: outcomes 3.1 and 3.3. of this component focus on community planning and capacity building: they involve no physical interventions and, similarly to outcomes under component 2, are agreed and carried out in collaboration with local authorities. All entities involved represent public authorities and have the mandated to comply with the law. In addition, it is among the mandate of UN-Habitat to comply with national laws and international standards. Outcome 3.2., which imply physical intervention, also do not trigger any risk under this principle (detailed risk screening of all outputs under component 3.2. are presented in is this annex, in section 2.2). Hence, there are no obstacles to comply to comply with the law. No additional measures.</p>	NONE
2.Access and equity	<p>Risk: <b>YES</b> Evidence: Although the project preparation process aims at equally involving all groups representatives, and set up mechanisms to ensure equal participation opportunities to males and man, there can still be a risk of non-equal participation / representation and decision-making during project implementation activities. Thus, mitigation measures are needed to prevent such risk to negatively affect the outputs.</p>	<p>Risk: <b>YES</b> Evidence: Although the project preparation process aims at equally involving all groups representative, and set up mechanisms to ensure equal participation opportunities to males and man, there can still be a risk of non-equal participation to planning activities, and decision-making. Thus, mitigation measures are needed to prevent such risk to negatively affect the outputs.</p>	<p>Risk: <b>YES</b> Evidence: Although the project preparation process has been fully participatory, there can still be a risk of non-equal participation / representation and decision-making during project implementation activities. Thus, mitigation measures are needed to prevent such risk to negatively affect the outputs.</p>	<b>YES</b>
3.Vulnerable and marginalized groups	<p>Risk: <b>YES</b> Evidence: similarly, to what stated under principle 2, due to the usual composition of institutional environments, there is the risk that vulnerable and marginalized groups are not properly represented at higher level. There is a potential risk that the needs of elderly, youth, women, migrants, disabled, ... will not be heard. Thus, mitigation measures are needed to prevent such risk to negatively affect the outputs.</p>	<p>Risk: <b>YES</b> Evidence: similarly, to what stated under principle 2, due to the usual composition of institutional environments, there is the risk that vulnerable and marginalized groups are not properly involved and represented during the planning activities, nor included in the capacity building processes. There is a potential risk that the needs of elderly, youth, women, migrants, disabled, ... will not be heard. Thus, mitigation measures are needed to prevent such risk to negatively affect the outputs.</p>	<p>Risk: <b>YES</b> Evidence: regarding community plans, as well as subprojects and trainings, in the target communities, women, children/children and elderly and disabled represent the most marginalized groups in the target areas. There is a potential risk that the needs of elderly, youth, women, disabled, ... will not be heard. Thus, mitigation measures are needed to prevent such risk to negatively affect the outputs.</p>	<b>YES</b>

4. Human rights	<p>Risk: NONE</p> <p>Evidence: it is in the mandate of the UN to ensure that human rights are ensured. All activities have been designed and controlled to support this principle: the project will, in the contrary, increase the quality of life of people and better ensure human rights in the practice.</p> <p>To conclude, compliance with the law (see principle 1) of the countries involved reinforce the compliance with this principle.</p>	<p>Risk: NONE</p> <p>Evidence: it is in the mandate of the UN to ensure that human rights are ensured. All activities have been designed and controlled to support this principle: the project will, in the contrary, increase the quality of life of people and better ensure human rights in the practice.</p> <p>To conclude, compliance with the law (see principle 1) of the countries involved reinforce the compliance with this principle.</p>	<p>Risk: NONE</p> <p>Evidence: it is in the mandate of the UN to ensure that human rights are ensured. All activities have been designed and controlled to support this principle: the project will, in the contrary, increase the quality of life of people and better ensure human rights in the practice.</p> <p>To conclude, compliance with the law (see principle 1) of the countries involved reinforce the compliance with this principle.</p>	NONE
5. Gender equality and women's empowerment	<p>Risk: YES</p> <p>Evidence: activities under this component have to ensure that the voice of women is included and heard. Gender equality is key both in terms of direct participation of women to high level decision-making, and in terms of including their needs and perspectives into decisions. However, unfair access to high level decision-making processes (outcome 1.2), as well as unfair access of women's voice during cross-fertilization activities (outcome 1.3) exists. Thus, mitigation measures are needed to prevent such risk to negatively affect the outputs.</p>	<p>Risk: YES</p> <p>Evidence: risk of unfair access to capacity building activities (outcome 2.1) and planning activities (outcome 2.2.) due to gender issues does exist. Thus, mitigation measures are needed to prevent such risk to negatively affect the outputs. Thus, mitigation measures are needed to prevent such risk to negatively affect the outputs.</p>	<p>Risk: YES</p> <p>Evidence: during consultation for community plans (outcome 3.1), as well as during subprojects preparation and implementation (outcome 3.2) and trainings (outcome 3.3), women might not be considered appropriate or they can be discouraged from applying, due to present social structures (see principle 2 and 3). Thus, mitigation measures are needed to prevent such risk to negatively affect the outputs.</p>	YES
6. Core labour rights	<p>Risk: NO</p> <p>Evidence: There activities occur at Regional level. Consultants and experts being hired (under the three outcomes, to support the activities and prepare the analysis needed) by the executing entities, will be hired in full alignment with international standards, including ILO standards and UN-Habitat principles. Regarding participation to cross-fertilization activities (outcome 1.3) is voluntary and all activities are provided to beneficiaries free of charge. To conclude, no additional measures are required under this component.</p>	<p>Risk: YES</p> <p>Evidence: Participation to capacity-building activities (outcome 2.1) is voluntary and all activities are provided to beneficiaries free of charge. When contracting is required (under component 2.2. for planning activities), national standard clauses will be addressed. For local contracts NOT all the ILO standards and principles are clearly regulated and exercised in the 2 national labour legislations. In particular, fundamental ILO standards are included in both countries' legislations, but "technical ILO standards" are not. Thus, mitigation measures are needed to prevent such risk to negatively affect the outputs.</p>	<p>Risk: YES</p> <p>Evidence: Regarding training activities (outcome 3.2), they are voluntary and all activities are provided to beneficiaries free of charge. Hence, for this outcome no risk is triggered. However, activities focusing on community plans (outcome 3.1) may trigger this risk similar to planning activities under component 2. Same risk is present under outcome 3.2, for subproject preparation and implementation, as explained in this annex, in section 2.2. In summary, risk is triggered under outcomes 3.1 and 3.2 as national labour legislations comply with fundamental ILO standards, but none of the two countries comply with all "technical ILO standards", excluding for example the minimum age for hiring labour force. Thus, mitigation measures are needed to prevent such risk to negatively affect the outputs.</p>	YES
7. Indigenous people	<p>Risk: NONE</p> <p>Evidence: No indigenous people have been identified in target areas. However, at regional level, possible presence of indigenous people will be assessed and map. If present, authorities in charge, together with relevant institutions, have the mandate to ensure that the rights of these people are properly considered during decision-making process.</p>	<p>Risk: NONE</p> <p>Evidence: No indigenous people have been identified in target areas. National governments, district/departments, NGO's, and municipalities have been consulted (see annex 4). No additional measures.</p>	<p>Risk: NONE</p> <p>Evidence: No indigenous people have been identified in target areas. NGO's, Municipalities, communities have been consulted (see annex 4). No additional measures.</p>	NONE
8. Involuntary resettlement	<p>Risk: NONE</p> <p>Evidence: The activities related to this output do not present any risk of resettlement, since they do not imply any physical intervention. No additional measures required.</p>	<p>Risk: NONE</p> <p>Evidence: The activities related to this output do not present any risk of resettlement, since they do not imply any physical intervention. No additional measures required.</p>	<p>Risk: NONE</p> <p>Evidence: The output under outcome 3.1. are meant to comply with local and national laws (principle 1) and fundamental human rights (principle 4): they do not present any risk of resettlement. Outputs under outcome 3.2. (subprojects) do not present any risk of involuntary resettlement (for details see in this annex, in section 2.2). Outputs under outcome 3.3. (trainings) do not present any risk of resettlement, since they do not imply any physical intervention. No additional measures required.</p>	NONE
9. Protection of natural habitats	<p>Risk: NONE</p> <p>Evidence: Despite the presence of the critical natural habitats in some of the project areas, the activities related to this component do not present any risk for the natural critical habitats, since they do not imply any physical intervention.</p>	<p>Risk: NONE</p> <p>Evidence: Despite the presence of the critical natural habitats in some of the project areas, the activities related to this component do not present any risk for the natural critical habitats, since they do not imply any physical intervention.</p>	<p>Risk: YES</p> <p>Evidence: Similarly to outcomes under components 1 and 2, outcome 3.1 and 3.3. (community plans and trainings respectively) do not directly involve any physical intervention, so no risk to harm protected/critical habitat is triggered. On the other hand, under component 3.2, subprojects do present potential risk. Thus, even though the activities are meant to restore and protect habitats, interventions under output 3.2.2. may un-intentionally trigger minor risks, based on the origin of the materials needed (sand and gravels). Detailed risk screening of outputs under outcome 3.2. (subprojects) is in this annex, in section 2.2.</p>	YES

10. Conserving biodiversity	Risk: NONE Evidence: Despite the presence of the areas considered relevant for biodiversity in some of the project areas, the activities related to this output do not present any risk for the biodiversity, since they do not imply any physical intervention.	Risk: NONE Evidence: Despite the presence of the areas considered relevant for biodiversity in some of the project areas, the activities related to this output do not present any risk for the biodiversity, since they do not imply any physical intervention.	Risk: YES Evidence: Similarly to what stated under principle 9, outcome 3.1 and 3.3. (community plans and trainings respectively) do not directly involve any physical intervention, so no risk to harm protected/critical habitat is triggered. On the other hand, under component 3.2, subprojects do present potential risk. Thus, interventions under output 3.2.2., 3.2.3, and 3.2.4 may un-intentionally trigger minor risks, based on the origin of plants needed for biofilters, mangroves restoration and crops. Detailed risk screening of outputs under outcome 3.2. (subprojects) is presented in this annex, in section 2.2.	YES
11. Climate change	Risk: YES. Evidence: This component of the project do not imply any physical intervention, hence none of the sectors considered key causes of GHG emissions are involved. However, flights and transportation needed for meetings and missions will result in GHG released. Impact must be considered marginal, but mitigation measures need to be designed and put in place.	Risk: YES. Evidence: This component of the project do not imply any physical intervention, hence none of the sectors considered key causes of GHG emissions are involved. However, flights and transportation needed for meetings and missions will result in GHG released. Impact must be considered marginal, but mitigation measures need to be designed and put in place.	Risk: NO According to the IPCC Guidelines for national GHG inventories, relevant sectors to focus on when considering GHG emissions are not involved in the activities comprised by this component. In particular, outcome 3.1 and 3.3. will require minor logistic from community to community, so no major GHG from transport will be triggered. Regarding outcome 3.2, details are present in is this annex, in section 2.2.	YES
12. Pollution and resource efficiency	Risk NONE Evidence: The activities related to this output do not present any risk of overuse of resources or pollution, since they do not imply any physical intervention and no polluting activities are put in place. Similarly, no risk of overuse of energy is put in place. To conclude, the only risk related to this principle is related to GHG emission, already addressed under principle 11. Hence, the only risk under this principle is already described under principle 11.	Risk: NONE Evidence: The activities related to this output do not present any risk of overuse of resources or pollution, since they do not imply any physical intervention and no polluting activities are put in place. Similarly, no risk of overuse of energy is put in place. To conclude, the only risk related to this principle is related to GHG emission, already addressed under principle 11. Hence, the only risk under this principle is already described under principle 11.	Risk: YES Similarly to outcomes under components 1 and 2, outcome 3.1 and 3.3. (community plans and trainings respectively) do not directly involve any physical intervention, so no risk to pollute nor overuse resources is triggered. On the other hand, under component 3.2, subprojects do present potential risk. Detailed risk screening of outputs under outcome 3.2. (subprojects) is presented in is this annex, in section 2.2.	YES
13. Public health	Risk: NONE Evidence: considering the guides for health assessments provided by WHO ( <a href="http://www.who.int/hia/evidence/doh/en/index5.html">www.who.int/hia/evidence/doh/en/index5.html</a> , see section 2.2. in this annex), the activities under these outputs have a positive or neutral effect on the determinants of public health. More specifically, activities will have and indirect positive impact on democracy, employment/ education, physical environment, and living habitats. Activities will have neutral impact on financial security, social network, access to health care, and belief in the future. Hence, no risk for public health.	Risk: NONE Evidence: considering the guides for health assessments provided by WHO ( <a href="http://www.who.int/hia/evidence/doh/en/index5.html">www.who.int/hia/evidence/doh/en/index5.html</a> , see section 2.2 of this annex), the activities under these outputs have a positive or neutral effect on the determinants of public health. More specifically, activities will have and indirect positive impact on democracy, employment/ education, physical environment, and living habitats. Activities will have neutral impact on financial security, social network, access to health care, and belief in the future. Hence, no risk for public health.	Risk: NONE Evidence: Similarly to outcomes under components 1 and 2, outcome 3.1 and 3.3. (community plans and trainings respectively) do not directly involve any physical intervention, so no risk to harm public health is triggered. Regarding activities under outcome 3.2, the activities do not impact on any of the determinants of health listed by WHO and listed in the table below. On the contrary, subprojects positively contribute to some dimensions of public health. Detailed description of the risk screening per subproject under outcome 3.2. is presented in this annex, in section 2.2.	NONE
14. Physical and cultural heritage	Risk: NONE Evidence: The activities under this component do not present any risk for the heritage, since they do not imply any physical intervention. Hence, no risk.	Risk: NONE Evidence: The activities under this component do not present any risk for the heritage, since they do not imply any physical intervention. Hence, no risk.	Risk: NONE Evidence: Similarly to outcomes under components 1 and 2, outcome 3.1 and 3.3. (community plans and trainings respectively) do not directly involve any physical intervention, so no risk to negatively impact on the cultural heritage is triggered. Regarding outcome 3.2. and its subprojects, no physical and cultural heritage is present within (or in the immediate surroundings) of the project areas. Hence, no risk. Detailed description of the risk screening per subproject under outcome 3.2. is presented in is this annex, in section 2.2.	NONE
15. Land and soil erosion	Risk: NONE Evidence: Despite the presence of the valuable lands or degraded land to presence in some of the project areas, the activities under this component do not present any risk or land degradation and no risk for valuable lands, since they do not imply any physical intervention.	Risk: NONE Evidence: Despite the presence of the valuable lands or degraded land to presence in some of the project areas, the activities under this component do not present any risk or land degradation and no risk for valuable lands, since they do not imply any physical intervention.	Risk: NONE Evidence: Similarly to outcomes under components 1 and 2, outcome 3.1 and 3.3. (community plans and trainings respectively) do not directly involve any physical intervention, so no risk to negatively impact on land an soil erosion is triggered. Regarding outcome 3.2. and its subprojects, there valuable and fragile lands within the project area. However, sub-projects are design for restoring and protecting such land and soil from erosion (for further details see is section 2.2. in this annex.	NONE

## 2.2. Details and results of the risks screening process for component 3.2.

Annex Table 23 Details and results of the risks screening process for component 3.2.

Outputs/subprojects	AF Environmental and Social principle's potentially triggered
3.2.1. Establishment of EWS	2, 3, 5, 6,
3.2.2. Integrated NBS for urban flood adaptation	2, 3, 5, 6, 9, 10, 12
3.2.3. Mangrove restoration	2, 3, 5, 6, 10
3.2.4. Climate resilient agriculture	2, 3, 5, 6, 10, 12

### Principle 1: Compliance with the law

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** All issues relating to compliance with the law have been checked and reported in Part II Section F. For such assessments, legal and regulatory frameworks relevant to each output (including the ones under outcome 3.2 of Component 3) were listed and collected, in collaboration with local authorities, national and international experts. Once the collection was completed, possible conflicts between the outputs and the legal and regulatory frameworks were screened, and outputs were reworked to comply.

**RESULT: NO**, risk is not triggered. **EVIDENCE:** Regarding permissions and EIA, all outputs were duly analysed. EIA for the project were submitted and approved in both Countries. For any further permission that may be required during the implementation phase, all needed steps will be undertaken. It is among the structuring aims of the project to fully comply with the local and national legislations, and to possibly even improve the extent to which international standards are met. In addition, the whole process was initialized and will progress in full collaboration with local authorities, which will guarantee and support compliance with local and national legislations. In general, compliance with relevant legislation is outlined in Part II of the proposal, Section F.

Where risks occur under Principle 6, Core labour rights, and Principle 12, Pollution Prevention and Resource Efficiency, there could be potential for breaches of the law, however those risks are addressed specifically under the respective sections. As far as the project not being in compliance with local or national laws, this has been checked (Part II, Section E) and no further risks and thus actions are identified).

### Principle 2: Access and equity

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** Beneficiaries were mapped and consultation occurred for all outputs. During the consultation process with both local authorities and the communities (see annex 4), a risk analysis has been undertaken to assess the provision of: (i) fair and impartial active participation by all groups in all planned activities; (ii) equitable access to benefits from all planned activities, in an inclusive manner that does not impede access to any rights and essential services such as basic health, clean water and sanitation, education, housing, safe and decent working conditions and land rights. The same analysis assessed whether the project exacerbates existing inequities, particularly with respect to marginalised or vulnerable groups. The analysis was carried out through surveys during field missions, collecting information and perceptions from local governments, communities and other stakeholders. In conducting the risk screening surveys, social data and information related to the target communities and vulnerable groups (see Annex 2 and annex 5) was gathered, which served as basis for the assessment. The survey results for Anloga/ Keta, Ada East, Ada West, Grand Bassam, and Jacqueville are presented in Annex 4. **Survey, consultation and validation of the results were undertaken in each community** (see Annex 4).

To understand risks relating to access and equity (and marginalized and vulnerable groups), we must identify that the outputs under Outcome 3.2 Component 3 (representing all physical interventions) provide two different types of adaptation services/benefits: general benefits and targeted benefits. Establishment of EWS (output 3.2.1.), Integrated NBS for urban flood adaptation (output 3.2.2.), and Mangrove restoration (output 3.2.3) provide general benefits – they benefit the people and infrastructures in the communities. Climate resilient agriculture (output 3.2.4), on the other hand, provides targeted benefits, to a pre-defined group of people. For outputs providing targeted benefits, the risk to access and equity (and marginalized and vulnerable groups) is greater.

**RESULT: YES**, risk does exist. **EVIDENCE:** While assessing equal access to the whole process of the outputs (from the design to the monitoring), it was stated that **for all four outputs**, , if no discrimination in terms of benefits delivering is triggered, there is a **potential risk** to not sufficiently take into consideration the specific needs and/or to not actively involve specific community groups given traditional habits and stereotypes for women, low-educated people, children, and marginal groups in general during the designing phase of the activities. This would be reflected by a lacking representation of vulnerable's needs in the



**activities**, and by a low participation in awareness-raising activities around ecosystem services, climate change and livelihoods.

While assessing equal access to the benefits (as mentioned in the “method adopted to conduct the risk screening”) deriving from the outputs in terms of flood mitigation, outputs **3.2.2., and 3.2.3 (integrated NBS for urban flood adaptation and mangrove restoration)** provide general benefits to the whole population. Higher risks are present in terms of access to training activities **within output 3.2.1 (Establishment of EWS)**: children and youth (especially those not attending school) may be excluded from awareness-raising activities, as well as women, elderly and disabled. Regarding **output 3.2.4.**, given its benefitting nature (targeting specific groups) there is a **higher discrimination risk**. Access issues may arise regarding **indirect benefits from Mangrove restoration as well (output 3.2.3)**: thus, while, as state above, flood mitigation promoted by mangrove restoration will equally benefit everybody in the community, fisheries related externalities from the restoration of mangroves could trigger discrimination dynamics and represent a barrier from getting a source of income or livelihoods from fisheries without management or mitigation measures. This may result in community conflict around environmental resources usage (agricultural products and land). Without effective management and mitigation measures, these two activities could lead to preferential access to land and resources for some people if management and/or mitigation measures are not taken. For all four outputs comprised by outcome 3.2. there is a risk during construction and implementation that people will not have complete access to job creation (an indirect benefit deriving from the outputs). Risk management and mitigation measures are required to ensure that people have equal access to the design of the activities, to derived job opportunities, and to the direct benefits from the outputs.

**WHY RISK COULD NOT BE AVOIDED:** the social composition of the target communities makes the risk of non-compliance to the principle a possibility

#### *Principle 3: Marginalized and vulnerable groups*

Goal of the principle: avoiding imposing any disproportionate adverse impacts on marginalized and vulnerable groups including children, women and girls, the elderly, indigenous people, tribal groups, displaced people, refugees, people living with disabilities, and people living with HIV/AIDS. In screening any proposed project/programme, the implementing entities shall assess and consider particular impacts on marginalized and vulnerable groups.

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** Beneficiaries were mapped and disaggregated by different vulnerable groups (see annex 5). In addition, consultation occurred for all outputs and was set up in order to have safe environments for marginalized and vulnerable groups to express themselves. During the consultation process with both local authorities and the communities (see annex 4), a risk analysis has been undertaken to: (i) make sure that the marginalised and vulnerable groups are not excluded from any activities as a consequence of lower motivation, weaker social status, sense of disempowerment and/or lack of skills or knowledge; (ii) to take into consideration their needs/perceptions; and (iii) to avoid imposing any disproportionate adverse impacts on marginalised and vulnerable groups especially children, women and girls, older persons, indigenous people, tribal groups, displaced people, refugees, persons with disabilities, and people living with HIV/AIDS or other vulnerable groups. In conducting the risk screening survey, social data and information related to the target communities and, in particular, the vulnerable and marginalised groups within each community (see Annex 5) was gathered, which served as a basis for the assessment. **Survey, consultation and validation of the results were undertaken in each community** (see Annex 4).

**RESULT: YES**, risk does exist. **EVIDENCE:** Consultations with local government have repeatedly indicated that there are no migrants in the communities: people coming from outside the communities, have been naturalized. All target areas have a high poverty rate, and those below the poverty line can be considered a marginalised and vulnerable group. One sub-set of poor people coincides with single mothers/female heads of families.

Similarly to “principle 2”, assessing the involvement of marginalized and vulnerable groups (women, poor, children, disabled, ...) in the whole process of the outputs (from the design to the monitoring), it was stated that **for all four outputs**, if no discrimination in terms of benefits delivering is triggered, there is a **potential risk** to not sufficiently take into consideration the specific needs and/or to not actively involve specific community groups given traditional habits and stereotypes for women, low-educated people, children, and marginal groups in general during the designing phase of the activities. This would be reflected by a lacking representation of marginalized and vulnerable groups's needs in the **activities**, and by a low participation in awareness-raising activities around ecosystem services, climate change and livelihoods. Another dimension of risk in the process is related to a non-equal access to the job-creation being triggered by all outputs during

the implementation phase: thus, vulnerable may be excluded through traditional discrimination dynamics. Focusing on the **benefits** deriving from the outputs, higher risks are present in terms of access to training activities **within output 3.2.1 (Establishment of EWS)**: children and youth (especially those not attending school) may be excluded from awareness-raising activities, as well as women, elderly and disabled. Considering the high poverty rate, the proposal considers the poor to be a marginalised and vulnerable group. If we assumed that people are marginalised and vulnerable because they are discriminated against or excluded, then **risk arises outputs 3.2.3 and 3.2.4**. Thus, for Mangrove restoration and Climate resilient agriculture, poverty could be a source of discrimination (and represent a barrier from getting a source of income or livelihoods from fisheries in the mangrove systems or agricultural activities) without management or mitigation measures. To conclude, power relations between local communities' members being hired for implementing some outputs' activities and vulnerable youth, especially young women, may result in social tensions.

**WHY RISK COULD NOT BE AVOIDED:** given the exiting dynamics and the social composition of the target communities, the risk of non-compliance to the principle is a possibility. However, measures to manage the risk are presented in table 5.

#### *Principle 4: Human rights*

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** During consultation and through the guidance of a social expert in the proposal writing, a risk screening was undertaken to assess possible violations of human rights or the raising of human rights issues during sub-projects' implementation. The Human Rights Council special procedures in each target country have been analysed (see Annex 5).

**RESULT: NO**, risk does not exist. **EVIDENCE:** The screening of Human Rights special procedures and the screening through consultation and site visits resulted in no risks of human rights violation or related issues. On the contrary, their implementation represents an opportunity for promoting and advocating the full respect of human rights of all community members. In conducting the risk screening surveys, social data, information and perceptions related to the exercise of the human rights in the target communities and for the vulnerable and marginalised groups was gathered and analysed (see principle 3). Survey results for the 5 sites (3 in Ghana and 2 in Côte d'Ivoire-) are presented in Annex 5. The human rights considerations relating to land and involuntary resettlement are analysed under the involuntary resettlement principle - below in this annex-. However, none of these outputs, which require physical activities, will trigger any risk of land property or involuntary resettlement: no land-use will be modified. To conclude, even though child trafficking happens in the area (see benefits section and consultation annex), the reason is strictly related to fishing. Thus, poor income families, sell their kids to fishermen. The project do not promote fishing activities. On the contrary, promote resilient and alternative livelihoods. At the same time, it is in the mandate of the UN to ensure that human rights are respected and no child trafficking occurs.

Annex Table 24 Of the 18 Human Rights Treaties, Ghana has ratified 11 ones. Ratification Status Declaration_Côte d'Ivoire (OHCHR)	
International Convention on the Elimination of All Forms of Racial Discrimination :1753	Signature: NA, Ratification/Accession: 1973
International Covenant on Civil and Political Rights :1753	Signature: NA, Ratification/Accession: 1992
Optional Protocol to the International Covenant on Civil and Political Rights :1753	Signature: NA, Ratification/Accession: 1997
Second Optional Protocol to the International Covenant on Civil and Political Rights, aiming at the abolition of the death penalty :1753	Signature: NA, Ratification/Accession: NA
International Covenant on Economic, Social and Cultural Rights :1753	Signature: NA, Ratification/Accession: 1992
Optional Protocol to the International Covenant on Economic, Social and Cultural Rights :1753	Signature: NA, Ratification/Accession: NA
Convention on the Elimination of All Forms of Discrimination against Women :1753	Signature: 1980, Ratification/Accession: 1995
Optional Protocol to the Convention on the Elimination of All Forms of Discrimination against Women :1753	Signature: NA, Ratification/Accession: 2012
Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment :1753	Signature: NA, Ratification/Accession: 1995
Optional Protocol to the Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment :1753	Signature: NA, Ratification/Accession: NA
Convention on the Rights of the Child :1753	Signature: 1990, Ratification/Accession: 1991
Optional Protocol to the Convention on the Rights of the Child on the involvement of children in armed conflict :1753	Signature: NA, Ratification/Accession: 2012
Optional Protocol to the Convention on the Rights of the Child on the sale of children, child prostitution and child pornography :1753	Signature: NA, Ratification/Accession: 2011
Optional Protocol to the Convention on the Rights of the Child on a communications procedure :1753	Signature: 2013, Ratification/Accession: NA
International Convention on the Protection of the Rights of All Migrant Workers and Members of their Families :1753	Signature: NA, Ratification/Accession: NA
International Convention for the Protection of all Persons from Enforced Disappearance :1753	Signature: NA, Ratification/Accession: NA
Convention on the Rights of Persons with Disabilities :1753	Signature: 2007, Ratification/Accession: 2014
Optional Protocol to the Convention on the Rights of Persons with Disabilities :1753	Signature: 2007, Ratification/Accession: NA

Annex Table 25 Of the 18 Human Rights Treaties, Ghana has ratified 13 ones. Ratification Status Declaration_Ghana (OHCHR)	
International Convention on the Elimination of All Forms of Racial Discrimination :1753	Signature: 1966, Ratification/Accession: 1966

International Covenant on Civil and Political Rights :1753	Signature: 2000, Ratification/Accession: 2000
Optional Protocol to the International Covenant on Civil and Political Rights :1753	Signature: 2000, Ratification/Accession: 2000
Second Optional Protocol to the International Covenant on Civil and Political Rights, aiming at the abolition of the death penalty :1753	Signature: NA, Ratification/Accession: NA
International Covenant on Economic, Social and Cultural Rights :1753	Signature: 2000, Ratification/Accession: 2000
Optional Protocol to the International Covenant on Economic, Social and Cultural Rights :1753	Signature: 2009, Ratification/Accession: NA
Convention on the Elimination of All Forms of Discrimination against Women :1753	Signature: 1980, Ratification/Accession: 1986
Optional Protocol to the Convention on the Elimination of All Forms of Discrimination against Women :1753	Signature: 2000, Ratification/Accession: 2011
Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment :1753	Signature: 2000, Ratification/Accession: 2000
Optional Protocol to the Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment :1753	Signature: 2006, Ratification/Accession: 2016
Convention on the Rights of the Child :1753	Signature: 1990, Ratification/Accession: 1990
Optional Protocol to the Convention on the Rights of the Child on the involvement of children in armed conflict :1753	Signature: 2003, Ratification/Accession: 2014
Optional Protocol to the Convention on the Rights of the Child on the sale of children, child prostitution and child pornography :1753	Signature: 2003, Ratification/Accession: NA
Optional Protocol to the Convention on the Rights of the Child on a communications procedure :1753	Signature: 2013, Ratification/Accession: NA
International Convention on the Protection of the Rights of All Migrant Workers and Members of their Families :1753	Signature: 2000, Ratification/Accession: 2000
International Convention for the Protection of all Persons from Enforced Disappearance :1753	Signature: 2007, Ratification/Accession: NA
Convention on the Rights of Persons with Disabilities :1753	Signature: 2007, Ratification/Accession: 2012
Optional Protocol to the Convention on the Rights of Persons with Disabilities :1753	Signature: 2007, Ratification/Accession: 2012

Source: <https://indicators.ohchr.org/>

#### *Principle 5: Gender equality and women's empowerment*

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** a gender-sensitive risk screening was undertaken on site by the team (for each community) to make sure that: (i) both women and men have equal opportunities to participate in the different activities; (ii) both women and men equally benefit from the outputs and outcomes of the different initiatives, and women are not disproportionately affected; and (iii) the initiatives do not maintain or exacerbate existing gender inequalities and, on the contrary, represent an opportunity for women's empowerment (see Annex 5). Results from the screening were discussed and validated with communities and local authorities (see annex 4). Experts from UN-Women were also consulted.

**RESULT: YES,** risk does exist. **EVIDENCE:** There is evidence that in both Countries (and all 5 locations that communities belong to) women are more vulnerable to climate change and have generally worse socio-economic development outcomes. During floods, for example, women are disproportionately affected, being more likely to be at home when floods hit, having greater responsibility for caring for children and the elderly and less capacity to migrate. Regarding women's involvement in all activities related to the four outputs (from preparation and design, to implementation and monitoring, there is a possible risk that women's opinions may not be considered sufficiently relevant in the design of these interventions. Furthermore, given their perceived role and status, they may not be encouraged to participate in awareness-raising activities and to apply for job opportunities related to the maintenance of the outputs. The implementation of the activities may reinforce existing discriminatory practices against women due to their perceived status, role and traditionally unbalanced gender dynamics. This may result in: (i) women not being consulted; (ii) difficulty in taking part in mangroves plantation and maintenance related works; and (iii) not fully benefitting from the outcomes of the activities (fishing/sustainable mangroves management and alternatives livelihood). Ultimately, this would reinforce women's disempowerment.

Regarding **direct benefits** deriving from activities, all outputs are designed in order to equally benefit men and women. More specifically, benefits for outputs 3.2.2. (Integrated NBS for flood adaptation), 3.2.3 (Mangrove restoration) in terms of adaptation and response to hazards, and floods in particular, reach all the population without specific target. On the other hand, output 3.2.1 (Establishment of EWS) comprises training activities from which women (especially single mothers) may be excluded in not carefully designed in terms of time of the day. Output 3.2.4. (Climate resilience agriculture) provides targeted benefits to people, where there is a process of selecting beneficiaries beyond people who live in a vulnerable area. Similarly, indirect benefits from output 3.2.3. (Mangrove restoration) such as the provisioning of fisheries within the mangrove environments and the support to fishery-related activities target specific groups of the community. Without management and mitigation, outputs' benefits-wise, there are risks of discrimination against women in these two outputs. More information about the research and assumptions underpinning gender equality and women's empowerment can be found in the gender policy annex (Annex 5).

**WHY RISK COULD NOT BE AVOIDED:** given the exiting dynamics and the social composition of the target communities, the risk of non-compliance to the principle is a possibility. However, measures to manage the risk are presented in table 5.

#### *Principle 6: Core labour rights*

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** a risk screening was undertaken by the team, in collaboration with national consultants: (i) to assess the labour laws of each country and evaluate if the minimum ILO standards are reflected; and (ii) to make sure that that minimum ILO standards are taken into account during implementation of the planned activities, as appropriate. Hence, compliance of countries to the ILO Conventions on the fundamental principles and rights at work has been analysed and assessed against the national legislation. Further details about Core Labour rights and National Technical Standards are described in section II.F of the full proposal.

**RESULT: YES**, risk does exist. **EVIDENCE:** Both Ghana and Côte d'Ivoire -ratified all 8 fundamental ILO standards (see <https://www.ilo.org/dyn/normlex/en/f?p=1000:11001>). These means that national labour legislations comply with fundamental ILO standards. However, none of the two countries comply with all "technical ILO standards", excluding for example the minimum age for hiring labour force. This means that, even complying with national legislations, risks can arise in this process through the potential for exploitative practices, such as hiring school-age children, hiring people on insecure contracts, paying below the minimum wage, discrimination against women or unsafe working conditions. During the implementation phase, the initiatives entail intensive labour which not require specific technical skills. To promote some job creation and capacity building, local people will be hired. Consequently, contracts will be established in the two countries. Since the above-referred national labour laws do not clearly regulate and enforce the ILO standards and principles -especially those related to social security and occupational safety and health- it may result in unfair treatment concerning compensation (living wage), gender equity, health and security standards in relation to dangerous and unhealthy work.

More specifically, during the implementation phase the project will use some community labour to do unskilled construction/plantation related tasks (for the four outputs, 3.2.1., 3.2.2., 3.2.3, 3.2.4), in accordance with UN-Habitat's proven People's Process approach and some specialist hired (i.e. non-community) labour. In particular, output 3.2.2. -Integrated NBS for urban flood adaptation- and output 3.2.3. -mangrove restoration- will require around 70-80 people hired respectively for several weeks or more. Once the outputs will be implemented, only output 3.2.3. (mangrove restoration) and 3.2.4. (Climate resilient agriculture) will create proper job opportunities. However, for climate resilient agriculture no-body will be hired: people will keep working their land. For mangrove restoration (output 3.2.23), only people taking care of the nursery will be hired after the implementation phase. To conclude, output 3.2.1 (Establishment of EWS) will train people to deal with EWS, but will not hire them or create contracts. Contracts may be set up by local municipalities a communities to hire the trained people. Hence, contracts will mainly involve the implementation phase and the risk is small. However, UN-Habitat will legally oblige (through Agreements of Cooperation) its executing partners to uphold international labour standards, and both countries have ratified and transposed into law all eight fundamental conventions of the International Labour Organisation.

**WHY RISK COULD NOT BE AVOIDED:** national labour laws do not clearly integrate some of the ILO core principles (technical standards) and rights. Hence there is a potential risk of non-compliance with this principle.

#### *Principle 7: Indigenous people*

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** an assessment was undertaken to determine whether the planned activities under the four outputs bear any risk in relation to indigenous peoples as in the UN Declaration on the Rights of Indigenous Peoples and other applicable international instruments related to indigenous peoples. Through the risk screening, the presence of indigenous people was checked (see annex 5).

**RESULT: NO**, risk does not exist. **EVIDENCE:** There are no indigenous people in the project area, as evidenced by the census and reinforced through numerous consultations with both communities and local authorities (who are responsible for registering births). Hence, no further assessment is required to demonstrate compliance.

#### *Principle 8: Involuntary resettlement*

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** the project team has repeatedly visited all target sites, most recently in December 2021 (see Part II, Section I). The risk screening was conducted through local consultations, field missions, expert interviews and mapping of the areas of intervention against the location of households and socio-economic activities. In addition, location of all physical activities has been discussed with local communities and located in areas that no one lives, not economic activities take place.

**RESULT: NO**, risk does not exist. **EVIDENCE:** Establishment of EWS (output 3.2.1.) will not imply the construction of any infrastructure or building: on the contrary, the evacuation routes will be selected among existing routes. Integrated NBS for urban flood adaptation (output 3.2.2.), will only improve drainage channels in the settlements, and improve the discharge of drainage in the lagoon: along the lagoon, in the determined sites, no house nor economic activity/service exist. Mangrove restoration (output 3.2.3) and Climate resilient agriculture (output 3.2.4) will occur where mangroves and agricultural activities already occur: they will only support activities. The only "building" being built will be the "nurseries" for mangroves, under output 3.2.3: consultation and site visits were organized to identify location in vacant plots, in order not to require any resettlement. It resulted that none of the planned activities in the different outputs will determine involuntary resettlement as such. Nevertheless, participatory planning and constant involvement of the local residents in decision-making, through regular consultations and by ensuring that grievance mechanisms work well, will minimize any potential negative impact and/or difficulty caused by the planned sub-projects' activities. Consultations and participatory planning sessions will be organized with the potentially affected community groups under the leadership of the local authorities. Therefore, this cannot be considered a case of involuntary resettlement. No further assessment required for compliance.

*Principle 9: Protection of Natural Habitats.*

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** Presence of protected areas at international, national or local level was assessed through among a set of sources and database, such as: UNESCO Man and the Biosphere programme, the IUCN website, Environmental Ministries in the four countries, departments in charge of the environment at local level and any environment-related stakeholder (NGO, universities, ...) in the five sites (Ada East, Ada West, Anloga/ Keta, Jacqueville and Gran Bassam). The information was cross-checked through community consultation. Based on the definition of critical natural habitat of the Convention of Biological Diversity, some risks were identified, and some critical natural habitats may be harmed. Below, more specifically, possible risks are identified per subproject.

**RESULT: YES**, risk does exist. **EVIDENCE:** According to the IUCN red list, there is presence of known biological diversity importance in the macro area (2000 km<sup>2</sup>), of all 5 sites (Anloga/ Keta, Ada East and Ada West in Ghana; Gran Bassam and Jacqueville in Cote d'Ivoire). It is also possible to list two RAMSAR sites in Ghana and one in Cote D'Ivoire within the project areas. No UNESCO biosphere reserves are present in Ghana nor in Côte d'Ivoire in the project areas. Critical natural habitats identified within the project areas are: **Ghana (Anloga/ Keta, Ada East, Ada West):** the critical natural habitats identified within the project areas are two RAMSAR sites, one in Anloga/ Keta and one next to Ada East. **Côte d'Ivoire (Grand Bassam, Jacqueville):** one RAMSAR site was identified in Gran Bassam. No areas of national or international interest were identified in the surrounding of Jacqueville.

Despite the presence of critical natural habitat, **output 3.2.1** (Establishment of EWS) is **not triggering any risk** of harming natural habitats, as it requires no construction, physical intervention or land-use/land-cover change. Being a soft measure happening within the physical settlements no risk is triggered. On the contrary, **output 3.2.2. may trigger risks**. Thus, Integrated NBS for urban flood adaptation, will intervene in some spots next to small lagoons, in order to create the biofilters to clean the rainwater discharged into the small lagoons. However, risks are minor, as interventions (which adopt NBS) are meant to ameliorate degraded environments and will bring general improvement of the ecosystems. Still, mitigation and monitoring measures will be undertaken. **Output 3.2.3. (Mangrove restoration) will not trigger any risk:** as it is meant to restore existing mangrove sites. On the contrary, it will support critical habitats' state of health. **Output 3.2.4. (Climate resilient agriculture)** will not occur within critical habitats, as it will only insist in existing peri-urban agricultural plots and simply convert the type of crops and improve soil and water management. Hence, **no risk triggered** from output 2.3.4.

**WHY THE RISK COULD NOT BE AVOIDED:** the sites need to undertake measures to contrast soil erosion and impacts of CC-related floods. The initiative under integrated NBS for urban flood adaptation will mainly ameliorate existing systems (improving the existing hand-dig drainage channels, ensuring that discharge occurs applying biofilters, ...). Interventions, which were discussed with both communities and technical experts, were selected among a set of alternative solutions as the less impacting option for the habitats, compared to other more impacting green-grey or grey measures to contrast floods and soil erosion. Still, it can imply some risks. However, mitigation measures are presented in this Annex (see table 5).

*Principle 10: Conservation of Biological Diversity*

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** To assess that the activities do not trigger any reduction or loss of biological diversity or introduce invasive species, we assessed presence of species at risks or areas of relevant biological diversity mentioned in the IUCN red list, recognition as a UNESCO



Man and the Biosphere reserve programme, mentions in the Ramsar site (Convention on Wetlands of International Importance, called Ramsar Convention). Risks related to introduction of invasive species were also assessed. The information was cross-checked through community consultation. Below, more specifically, possible risks are identified per subproject.

**RESULT: YES**, risk does exist. **EVIDENCE:** Overall, some areas involved in the outputs are considered relevant for biological diversity. The general risks are the same as outlined above under Protection of Natural Habitats. For example, outputs 3.2.1. (Establishment of EWS) does not trigger any risk, as no physical interventions are included. However, biodiversity specific risks are triggered by output 3.2.2 (Integrated NBS for urban flood adaptation), 3.2.3. (Mangrove restoration) and output 3.2.4. (Climate resilient agriculture), as the activities make use of plants (for biofilters, mangroves, and crops respectively). Even though the design takes into account the risk and aims at adopting native and compatible plants (for details, see subprojects description under annex 3), failure and/or introduction of unsuitable filtering plants/mangrove/crops species carries the risk of damaging biodiversity, including birds, crabs and shellfish that depend on the mangrove/crops for feeding and breeding areas.

**WHY THE RISK COULD NOT BE AVOIDED:** the sites hosting the RAMSAR sites need to undertake measures to contrast soil erosion and problems derived from impacts of CC-related floods. Measures, being designed in order not to harm biodiversity and not to import non-native species, are the less impacting option for the habitats, compared to grey measures to contrast floods and soil erosion, or to the state of things itself. However, given the existence of possible risks, mitigation measures are presented in this Annex (see table 5).

#### *Principle 11: Climate Change*

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** According to the IPCC Guidelines for national GHG inventories, relevant sectors to focus on when considering GHG emissions are: energy; industrial processes (with sub-category 'construction') and product uses; intensive agriculture; and waste. The EU (EU, 2014: GHG emissions from waste disposal) consider road transport as additional sector.

**RESULT: NO**, risk does not exist. **EVIDENCE:** Output 3.2.1. (Establishment of EWS) and output 3.2.3 (mangrove restoration) imply no GHG emissions. Agriculture-related activities (output 2.3.4. Climate resilient agriculture) involve no intensive agricultural practice, so no risk of further GHG emissions either. To conclude, output 2.3.2 (Integrated NBS for urban flood adaptation) will imply, as "construction activity", the only excavation/restoration of existing drainage channels: no industrial nor massive construction activities will be put in place. In addition, for the drainage channels, no concrete will be used, but gravels. Thus, at present, drainage systems are just dig and they do not last enough given the frequent floods. So reinforcing key channels with gravels can help the durability and the proper functioning, but no hard construction activities will be undertaken. Similarly, for output 3.2.4 (Climate resilient agriculture, the trenches and irrigation systems adopt low tech approaches (trenches will be simply dig and the two training centres, one per country, will be small scale shelters like the existing farms in the areas) and will not require industrial nor massive construction activities. For output 3.2.3. (Mangrove restoration and climate resilient agriculture), nurseries will be needed, but existing nurseries will be used: no construction will be needed. To conclude, no risk of GHG emitted. In the contrary, the use of plants and NBS by three out of four outputs, will contribute to GHG storage.

#### *Principle 12: Pollution Prevention and Resource Efficiency*

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** The risk screening assessed possible presence of activities under to the project that require major use of energy or the production of wastes and pollutants. In addition, use of materials and resources for outputs' implementation was assessed considering the whole life-cycle of materials. Findings were double checked and validated with communities during consultation, and with national and local different teams of experts, with expertise in in EWS, mangrove restoration and reforestation, coastal ecosystem restoration, hydrology, and agriculture respectively.

**RESULT: YES**, risk does not exist. **EVIDENCE:** activities under the outputs present a low-tech nature and do not imply major use of energy or the production of wastes and pollutants. In addition, all sub-projects comprise activities of small scale at local level. No risk related to massive use of energy or production of waste will occur. No outputs will use any hazardous materials in its construction, so there are no realistic risks of pollution arising from hazardous materials such as asbestos. None of the investments generate waste or bi-products resulting from their day-to-day operation, so risk emerges primarily from the construction phase (required only for output 3.2.2., Integrated Nature-based). **In particular, output 3.2.1 (Establishment of EWS) triggers no risk, as it requires no construction, no use of resources (or energy), nor production**

or use of polluting substances. Output 3.2.2 (Integrated NBS for urban flood adaptation) and 3.2.4. (Climate resilient agriculture) trigger potential risk, due to the use of sand and gravels for reinforcing the new drainage channels, and percolation systems for runoff absorption and percolation. Thus, the construction phase of activities under this output may trigger non-sustainable use of resources. Based on common practice, sand and gravels is usually provided through local uncontrolled process. This may harm the beach or some ecosystems. Dedicated solutions to prevent such dynamic need to be undertaken. On the other hand, the discharge of drainage to the lagoons will trigger no risk of pollution, as drainage water is already discharge without any purification system, and it is normal rainwater. In addition, no industrial areas are in place, which even lower the risk for the rainwater to get polluted while running through streets and channels. In addition, the all new drainage channels will be equipped with biofilters, in order to guaranty a basic purification process before rain water is discharged into the lagoons, so the quality of the water discharge will improve, compare to the present state of things. Also, because of the small-scale nature of the construction works, such the amount of sand and gravels needed will be minor. Output 3.3.3 (Mangrove restoration) presents no risk, as activities belonging to this output do not imply major use of energy, or production of waste and pollution. Also, as no construction interventions are included, no risk of overuse of resources is identified. To conclude, output 3.2.4. (Climate resilient agriculture) may imply the use of fertilizers, but the output will only promote the use of organic fertilizers and only when necessary. On top, training activities will support the fight to other types of fertilizers and substances with a negative impact on the soil, water and health. There are numerous relevant laws in the area of pollution prevention (such as the water use regulation, the effluent discharge act, the pesticide control and management act, the plants and fertilizers act, ... see section II F), and subprojects are designed to comply with the law (see the risk screening for principle 1 above in this annex).

**WHY THE RISK COULD NOT BE AVOIDED:** these sub-projects represent a risk of overuse of resources because of local common practices (and lack of policies) in the construction sector that have to be considered. However, mitigation measures are presented in this Annex (see table 5).

#### *Principle 13: Public Health*

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** to assess potential significant impacts on public health of activities, we adopted the short a screening tool present among the “short guides” listed within the WHO website (“focusing on health”, Sweden). The tool is based on a matrix considering as determinants of health: democracy, financial security, employment/education, social network, access to health care centres, belief in the future, physical environment, and living habitats ([www.who.int/hia/evidence/doh/en/index5.html](http://www.who.int/hia/evidence/doh/en/index5.html)).

**RESULT: NO**, risk does not exist. **EVIDENCE:** Generally speaking, no activity within the project represents any risk for **democracy** or **belief in the future**. This is because key principles of the design are participation and equity (with a specific focus to the inclusion of all vulnerable groups), gender aspects were always considered, and freedom of choice pursued. The project will not harm **employment or education**, but on the contrary provide more opportunities in terms of job creation and capacity building. The activities enhance sustainable financial security as well. Risk related to living habitats are already presented under principle 9 (we considered natural critical habitats equal to the item “**living habitats**” of the list of determinants of public health). To conclude, outputs were designed with the specific aim of also providing a cleaner environment. For example, output 3.2.2. will introduce biofilters to clean the water from drainage before it reaches the lagoons, mangrove restoration will support the absorption of pollutants, and climate resilient agriculture will promote the use of clean water and will discourage from the use of pesticides and other chemicals that can harm the people.

#### *Principle 14: Physical and Cultural Heritage*

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** To assess possible impacts of each activity on the physical and cultural heritage, the presence of physical and cultural heritage in the five locations (Anloga/ Keta, Ada East, and Ada West in Ghana; Grand Bassam and Jacqueville in Côte d'Ivoire). The UNESCO Convention Concerning the Protection of the World Cultural and Natural Heritage was taken as reference for international recognition of physical and cultural heritage, and the list of World Heritage in Danger as well as the List UNESCO World Heritage was adopted.

**RESULT: NO**, risk does not exist. **EVIDENCE:** Heritage identified within the project areas comprises a forth in Ghana in the surroundings of the target areas. Jacqueville presents no UNESCO Heritage sites. Grand Bassam, in Cdl, also hosts UNESCO Heritage. However, no UNESCO heritage exists in the communities where the subprojects will be implemented. To conclude, the only “construction” occurring under the subprojects relates to minor interventions: reinforcing and restoring existing drainage channels and promoting



peri-urban sustainable irrigation systems, through sand and gravels (output 3.2.2. and 3.2.4.). The settlements will not be altered by the subprojects.

#### *Principle 15. Lands and Soil Conservation*

**METHOD ADOPTED TO CONDUCT THE RISK SCREENING:** To assess possible impacts of each activity on soil conservation, we focused on two aspects: soil conservation (as meant by FAO “avoiding changes in the health status resulting in diminished capacity of the ecosystem services provisioning); and conservation of valuable lands. For the first aspects, we checked possible fragile soils and identified subproject activities that may diminished the capacity of the soil to provide ES. We based the identification of fragile soils on the knowledge of local experts from the municipality and other soil-related departments. We mainly looked at coastal soil, soil located on steep slopes, rocky areas with very thin soil, areas showing evidence of soil erosion due to lack of water, water erosion during run-off, or deforestation. In the second case, we mapped the presence of valuable lands, such as agricultural land or ecosystems crucial to the resilience and livelihoods of the city. We also considered as valuable land, areas mentioned under principle 9 and 10 due to their biodiversity or relevance as habitat: we checked possible fragile valuable lands and identified activities included in the subprojects that may convert them or damage them. In this case again, we based the identification of fragile soils on the knowledge of local experts from the municipality and other environmental-related departments. Fragile soils and valuable lands were identified as follows: **Ghana** (Anloga/ Keta, Ada East, and Ada West): for all three sites, the coastal system has to be considered extremely fragile. However, the activities under this component are meant to protect and improve the state of such system. **Côte d'Ivoire** (Grand Bassam and Jacqueville): similarly to the case of Ghana, for both sites, the coastal system has to be considered extremely fragile. However, the activities under this component are meant to protect and improve the state of such system.

**RESULT: NO**, risk does not exist. **EVIDENCE:** Despite the presence of fragile soils and valuable lands in the project areas, the project ensures no negative impacts lands and soil conservation will result from project activities. All proposed project activities aim to enhance sustainable land and soil use, especially for agriculture use (output 3.2.4., Climate resilient agriculture). On the contrary, it is supposed to lower pressure on water and soil, compared to present agricultural activities and praxis. Regarding output 3.2.1.(Establishment of EWS), as explained above, it requires no construction nor intervention on the land: it is mainly constituted by soft activities. Regarding output 3.2.2. (Integrated NBS for urban flood adaptation) and output 3.2.3 (Mangrove restoration), these activities, occurring in areas characterized by fragile soils, contribute to the protection and restoration of the soil. So no risk is triggered, on the contrary: the activities will support soil protection and improve the state of such system. For all outputs, no major excavations will take place.

### **3. Environmental and social impact assessment**

To provide a better understanding of the interlinkages between impacts, potential risks and mitigation measures, the impact assessment is presented in table 5 of this annex (below).

#### **4. Environmental and Social Management Plan**

Content:

- 4.1. Allocated roles and responsibilities of the environmental and social risk management/implementation of the ESMP
- 4.2. Opportunities for adaptive management
- 4.3. Arrangements to supervise executing entities for implementation of ESMP
- 4.4. Budget provision to manage environmental and social risks / implement of the ESMP
- 4.5. Measures to avoid, minimize, or mitigate potential risks (presentation of the **overall ESMP**)
- 4.6. Risks monitoring system/indicators
- 4.7. Grievance mechanism

##### **4.1. Allocated roles and responsibilities for environmental and social risk management / implementation of the ESMP**

The Regional Project Supervision Unit will be responsible for environmental and social risks management, including implementation of the Project ESMP. An AF and UN-H policies and reporting compliance expert will be part of the RPSU. This expert will also supervise Project Execution Entities on the implementation of the Project ESMP. Guidelines showing how to comply to the AF ESP and GP will be shared with all execution

entities and they will be guided on process, including monitoring. Also, a detailed action plan to comply to ESP and GP will be developed during the project inception phase.

A Safeguarding system compliance expert will also be part of the RPSU. Monitoring staff part of the RPSU will require having expertise in social risk management and be familiar with the AF safeguarding system. The RPSU will be backstopped by UN-Habitat HQ, with experts on climate change, human rights, environmental and social risks managements and gender policies.

In both Ghana and Côte d'Ivoire government stakeholders responsible for compliance to national environmental and social policies and standards will be part of the Regional- and National-level Steering Committees, as well as government gender focal points.

This ESMP will allow country-specific management of the potential risks and impacts identified under in country-specific ESIA and ESMP reports (see link at beginning of this document).

All project-related ToR's and contracts will include clauses stating contractors will need to comply to the AF ESP, especially principle 1 (law), 4 (human rights), 5 (gender) and 6 and 13 (labour and safety) and the AF GP. This includes:

- **Principle 1:** References to standards and laws to which the activity will need to comply will be included in all legal agreements with all sub-contractors, including steps and responsibilities for compliance.
- **Principle 4:** References to relevant Human's rights declarations will be included in all legal agreements with all sub-contractors.
- **Principle 5:** Reference to relevant gender policies
- **Principle 6:** Employment and working conditions following ILO standards will be included in legal agreements with all sub-contractors.
- **Principle 13:** Ensure that ICSC international health and safety standards are clearly accessible and understood. e.g. by putting clearly visible signs detailing health and safety standards to be located at projects sites and by supplying protective equipment.

#### 4.2. Opportunities for adaptive management

When changes in project activities or additional activities are required, these will need to go through a new risks screening and impact assessment process in compliance with AF, UN-Habitat and national policies and standards. When this is required, this will be led by the RPSU and the Regional-level Project Steering Committee would need to approve the changes. As for opportunities, this would be possible following above process. With the Covid-situation, physical meetings may need to be online. Budget savings may be re-allocated through approval of the steering committee and if over 10 percent change, by the AF.

#### 4.3. Arrangements to supervise executing entities for implementation of ESMP

Annex Table 26 Capacity of potential executing entities to carry-out gender responsive activities

Potential executing entity	Skills and expertise to provide gender mainstreaming inputs	Specific requirements execution entities for compliance	Capacity building needs
LUSPA	Limited (as government entity)	Appoint ESP a compliance and gender focal point Capacity to comply to the AF ESP and implementation of the ESMP guided by UN-Habitat Capacity to comply to the AF GP.	Awareness on requirements Share guidelines for execution entities to comply and to ensure 'opportunities' are identified and exploited
MdP			
Companies/ consultancy firms	Limited (as company)	Appoint ESP a compliance and gender focal point Capacity to comply to the AF ESP and implementation of the ESMP guided by UN-Habitat Capacity to comply to the AF GP	Awareness on requirements Share guidelines for execution entities to comply and to ensure 'opportunities' are identified and exploited Support development baseline and approach before project start + reporting requirements
Development Institute			
NGO			
UCC			
Abidjan Convention	Yes (UN core value)	Appoint ESP a compliance and gender focal point Capacity to comply to the AF ESP and implementation of the ESMP guided by UN-Habitat Capacity to comply to the AF GP.	

#### 4.4. Budget provision to manage environmental and social risks / implement of the ESMP

Dedicated safeguard compliance staff time is allocated under project execution fees for USD 30,000. Also, dedicated AF ESP and GP compliance staff time is allocated under MIE management fee for ROAF of USD 170,000. These persons will ensure compliance and develop ESP and GP compliance guidelines and action plans for execution entities and guide these execution entities through the process, including baselines and reporting requirements. Besides these measures are budgeted, through the execution entities, to supervise and monitoring proposed project activities, including e.g. water sampling, remote monitoring system, etc. Costs for risks mitigation measures are integrated in the budget, including e.g. monitoring of the implementation of the subprojects, including the origin of materials (sand and gravels) and plants, soil and hydrodynamics analysis.

## 4.5. Measures to avoid, minimize, or mitigate potential risks

Annex Table 27 Overview of project activities' screening and assessment results against the 15 AF risk areas / principles, including measures to avoid or mitigate risks / impacts

Risks identified through the screening, by principle	Potential risk impact assessment	Measures to avoid or mitigate the impacts	M&E arrangements	
			Indicator and method	Responsibility and frequency
<b>Principle 2</b> For all outcomes, with a dedicated zoom on outcome 3.2. to better detail mitigation measures for the physical interventions within the subprojects	<p>For <b>all outcomes</b> (except outcome 3.2), <b>the probability of risk is low</b>, as all institutions involved have the mandate to ensure that equity and access are guaranteed into decision-making and participation processes, capacity building activities, and spatial planning processes. In addition, UN-Habitat, in collaboration with other UN agencies and with the Abidjan convention, will ensure that all activities are designed to meet this principle (which is also aligned with the UN SDGs). However, people involved by all outcomes (except outcome 3.2) are 2600 (direct beneficiaries) with the addition of all people with internet access for online products delivered under outcome 1.1. If the project fails to fully ensure access and equity to benefits derived from activities, significance of the impact was assessed as <b>"medium significance"</b>.</p> <p>For outputs under outcome 3.2., <b>the probability of risk is positive</b>, and <b>significance</b> was assessed as <b>"medium"</b>. Beneficiaries under outcome 3.2 are 348,401. If people cannot equally access the preparation phase of the project, the outputs (3.2.1. Establishment of EWS; 3.2.2. Integrated NBS for urban flood adaptation; 3.2.3. mangrove restoration; and 3.2.4. climate resilient agriculture) will not address the needs of all target groups. Similarly, during the implementation phase, if it will be perpetuated a cycle where women and vulnerable groups in general are excluded from EWS training and job opportunities, both safety and economic situation of these groups will be affected. In particular, job opportunities under construction/planting phase imply an income of approx. 600 USD per month, for five months (for details, see budget). To conclude, also indirect job opportunities deriving from the positive effect of outputs (for example from fisheries in the restored mangroves systems, and from the climate resilient agriculture activities) may also be affected.</p>	<p><b>For all outcomes</b> (except outcome 3.2): different stakeholders and groups will be mapped. Organization of all activities will be designed to ensure equitable access to different groups. Decision making process, from regional level round-tables (under component 1) to the development of spatial planning frameworks and community plans (component 2 and 3), will be designed taking into account the different groups and needs (as already initialized in the preparation of the project, for consultation). In addition, the organization of trainings and capacity building activities under the three components will be useful tool to ensure that equity is always put in place. To conclude, equality and access will be highlighted as aspects in the cross-fertilization and lesson-learned mainstreaming.</p> <p><b>Outcome 3.2. - Subprojects:</b> Although the project preparation process has been fully participatory, there can still be a risk of non-equal participation / representation and decision-making during project implementation activities. Implementation activities will be presented to the different communities and their perception will be included. In addition, controls will be set up to ensure implementation activities keep including representatives from all groups of the communities (with a particular focus on vulnerable and marginalized group: see under principle 3 here below). As described under section 4.7. (grievance mechanism), a combination of mailboxes (at community / building level) and telephoning options will offer an immediate way for people affected by the project to safely express their concerns. The options will allow local languages and offer the opportunity for and people affected by the project to complain or provide suggestions on how to improve project design and implementation, which will be reviewed and taken up by the project implementation team.</p>	<p>Indicators: N of women per meeting/training N of people by Country (for international meeting) Presence of marginal-groups representative</p> <p>Method: Implementation of mitigation measures will be assessed and indicators will be collected through: -regular meetings with key local stakeholders -progress reports -Meetings' attendance lists and minutes -Grievance reports</p>	<p>UN-H in cooperation with execution entities / government entities For all outcomes (except outcome 3.2): every 6 months. For outcome 3.2: every 3 months.</p>
<b>Principle 3</b> For all outcomes, with a dedicated zoom on outcome 3.2. to better detail mitigation measures for the physical interventions	<p>Similarly to principle 2, in the case of principle 3 <b>the probability of risk is low for all outcomes</b> (except outcome 3.2), as all institutions involved have the mandate to ensure that vulnerable groups are included into participation processes, and capacity building activities, and that their need are considered into decision-making and spatial planning processes. In addition, UN-Habitat, in collaboration with other UN agencies and with the Abidjan convention, will ensure that all activities are designed to meet this principle. However, people involved by all outcomes (except outcome 3.2) are 2600 (direct beneficiaries) with the addition of all people with internet access for online products delivered under outcome 1.1. If we consider only indirect beneficiaries of component two, due to the potential echo of planning activities, the indirect benefits are around ten times the direct benefits. More specifically, in communities in Ghana, poverty rate is low (around 3% of the population) while in Cdl it reaches 30%. Youth population represents approx. 40% in Ghana and 60% in Côte d'Ivoire. No</p>	<p><b>For all outcomes</b> (except outcome 3.2): Activities under component 1 and 2 will ensure that the voice of most marginalized and vulnerable groups is included and heard. Additionally, the inclusion of most vulnerable and marginalized groups will be highlighted as aspect in the cross-fertilization and lesson-learned mainstreaming.</p> <p>For outcomes 3.1 and 3.3. under component 3, there risk of non-equal participation of all groups: detailed mapping of the most vulnerable people in the specific communities will be realized, in order to include them into training activities (outcome 3.3.) and in the participatory process under the community plans (output 3.1).</p>	<p>Indicators: N of women per meeting/training N of people by Country (for international meeting) Presence of marginal-groups representative</p> <p>Method: Implementation of mitigation measures will be assessed and indicators will be collected through:</p>	<p>UN-H in cooperation with execution entities / government entities For all outcomes (except outcome 3.2): every 6 months. For outcome 3.2: every 3 months.</p>

within the subprojects	<p>migrants nor refugees are present in the communities, while disabled people and people affected by HIV are around 4% and 2% in Ghana; 1% and 2.5% in Cdi. Overall, the number describe high level of vulnerabilities in the targeted communities. If the project fails to fully ensure inclusion of vulnerable people in the benefits derived from activities, significance of the impact was assessed as “<b>medium significance</b>”.</p> <p>For outputs under outcome 3.2., the <b>probability of risk is positive, and significance</b> was assessed as “<b>medium</b>”. Beneficiaries under outcome 3.2 are 348,401. If people cannot equally access the preparation phase of the project, the outputs (3.2.1. Establishment of EWS; 3.2.2. Integrated NBS for urban flood adaptation; 3.2.3. mangrove restoration; and 3.2.4. climate resilient agriculture) will not address the needs of all target groups. Similarly, during the implementation phase, if it will be perpetuated a cycle where marginalized and vulnerable groups in general are excluded from EWS training and job opportunities, both safety and economic situation of these groups will be affected. In particular, job opportunities under construction/planting phase imply an income of approx. 600 USD per month, for five months (for details, see budget). To conclude, also indirect job opportunities deriving from the positive effect of outputs (for example from fisheries in the restored mangroves systems, and from the climate resilient agriculture activities) may also be affected. It is key to remember that given the poverty rate of the target areas, poor are considered a vulnerable group, hence potential income loss is a major impact to be considered.</p>	<p><b>Outcome 3.2. - Subprojects:</b> detailed mapping of the most vulnerable people in the specific areas of intervention will be realized. Final specifications of the subprojects will be presented and discussed with representatives from the most vulnerable/marginalized categories of people/households (in particular women, youth, elderly and disabled). Work plan and indicative timeframe will be presented and discussed in “safe” platforms. Communication channels with vulnerable people/households will be established. Requirements for employment (for the different positions) will be drafted in consultations with representatives of the women, youth and disabled for ensuring equal access to job opportunities. As described under section 4.7. (grievance mechanism), a combination of mailboxes (at community / building level) and telephoning options will offer an immediate way for people affected by the project to safely express their concerns. The options will allow local languages and offer the opportunity for and people affected by the project to complain or provide suggestions on how to improve project design and implementation, which will be reviewed and taken up by the project implementation team.</p>	<p>-regular meetings with key local stakeholders -progress reports -Meetings’ attendance lists and minutes -Grievance reports</p>	
Principle 5 For all outcomes, with a dedicated zoom on outcome 3.2. to better detail mitigation measures for the physical interventions within the subprojects	<p>For all outcomes (except outcome 3.2), the <b>probability of risk is low</b>, as all institutions involved have the mandate to ensure that gender equality is guaranteed into- to decision-making and participation processes, capacity building activities, and spatial planning processes. In addition, UN-Habitat, in collaboration with other UN agencies and with the Abidjan convention, will ensure that all activities are designed to meet this principle (which is also aligned with the UN SDGs). However, especially for all activities occurring at national and subnational level (components 2 and 3), it is key to remember that, in Côte d’Ivoire-, in the public sector, the proportion of women according to rank is: 18.6% for entry level positions, 27.6% for middle management positions, 37.3% for management positions, and 36.1% for executive level positions. Only 12.2% of women make up the highest positions in the public sector. Ghana present better numbers, but in many organizations women remain in supportive positions such as typists, secretaries, receptionists and caterers, which are not central to the main operations of these institutions. Given these numbers and the exiting trends, it is clear that women may be excluded by decision making, as well as from capacity building activities, which would impact on their carrier and income. Thus, significance has been assessed as medium.</p> <p>For outputs under outcome 3.2., the <b>probability of risk is positive</b> Beneficiaries from outcome 3.2 are 348,401. If women cannot equally access the preparation phase of the project, the outputs (3.2.1. Establishment of EWS; 3.2.2. Integrated NBS for urban flood adaptation; 3.2.3. mangrove restoration; and 3.2.4. climate resilient agriculture) will not address their needs (and not even children’s ones). Similarly, during the implementation phase, if it will be perpetuated a cycle where women are excluded from EWS training and job opportunities, both safety and economic situation will be affected. In particular, job opportunities under construction/planting phase imply an income of approx. 600 USD per month, for five months (for details, see budget). To conclude, also indirect job opportunities deriving from the positive effect of outputs (for example</p>	<p>For all outcomes (except outcome 3.2): Activities under the three components will ensure that the voice of women is included and heard. Organization of all activities will be designed to ensure gender balance. Decision making process, from regional level round-tables (under component 1) to the development of spatial planning frameworks and community plans (component 2 and 3), will be designed directly involving women in the process, and talking into account their needs (as already initialized in the preparation of the project, for consultation). In addition, the organization of trainings and capacity building activities under the three components will be useful tool to ensure that women are involved in the process. To conclude, gender equality will be highlighted as aspects in the cross-fertilization and lesson-learned mainstreaming.</p> <p><b>Outcome 3.2. - Subprojects:</b> in general, to ensure not only their formal presence, but women’s real contribution to the sub-projects, community associations and groups leaders will be sensitized on the role of the women in the communities/households and to capture their needs and perceptions.</p> <p>To ensure that women access the EWS-related training, a 50% of available sits will be dedicated to them, and the organization of activities will consider women availability to participate in terms of time of the day and day of the week. For all outputs under outcome 3.2., protective measures, fair salary for the required work positions will be developed and shared to encourage women to apply. During implementation, jobs times table will be developed in way to respect (meet) women household responsibilities. As described under section 4.7. (grievance mechanism), a combination of mailboxes (at</p>	<p>Indicators: N of women per meeting/training % of contracts issues to women</p> <p>Method: Implementation of mitigation measures will be assessed and indicators will be collected through: -regular meetings with key local stakeholders -progress reports -Meetings’ attendance lists and minutes -Grievance reports</p>	<p>UN-H in cooperation with execution entities / government entities For all outcomes (except outcome 3.2): every 6 months. For outcome 3.2: every 3 months.</p>

	from fisheries in the restored mangroves systems, and from the climate resilient agriculture activities) may also be affected. Considering that in the target areas, female population is slightly above 50% in communities in Ghana, while it is around 25% in communities in Côte d'Ivoire (see annex 5), the risk would impact between 25 and 50% of the populations in the communities.	community / building level) and telephoning options will offer an immediate way for people affected by the project to safely express their concerns. The options will allow local languages and offer the opportunity for and people affected by the project to complain or provide suggestions on how to improve project design and implementation, which will be reviewed and taken up by the project implementation team.		
<b>Principle 6</b> For all outcomes, with a dedicated zoom on outcome 3.2. to better detail mitigation measures for the physical interventions within the subprojects	<p>For all outcomes (except outcome 3.2), the probability of risk is <b>low</b>, as all institutions involved have the mandate to ensure that core labour rights are guaranteed into- to decision-making and participation processes, capacity building activities, and spatial planning processes. In addition, UN-Habitat, in collaboration with other UN agencies and with the Abidjan convention, will ensure that all activities are designed to meet this principle (which is also aligned with the UN SDGs). However, for activities addressed at national and subnational level (components 2 and 3), it is key to remember that neither Ghana nor Côte d'Ivoire include some key ILO technical standards in their legislation. Hence, there could be legal contracts that do not comply with ILO standards, such as minimum labour age (<u>avoiding child labour</u>). This risk to trigger people working in not safe environments, minors being hired, unfair salaries, ... Given the fact that direct beneficiaries under component 2 and 3 (excluding outcome 3.2) are almost 2000, it is crucial to ensure that any contract being issued also complies with international standards. Significance of the impact was assessed as “<b>medium significance</b>”.</p> <p>For outputs under outcome 3.2., the <b>probability of risk is positive</b>, and <b>significance</b> was assessed as “<b>medium</b>”. Local people being hired under such components for the implementation phase will be around 100. If no proper contracts are issued (due to the fact that national legislations comply with fundamental ILO standards, but not with technical ILO standards) the risk is that people work in unsafe environments, that minors are hired, that salaries are unfair, ... it is crucial to ensure that any contract being issued also complies with international standards. Significance of the impact was assessed as “<b>medium significance</b>”.</p>	<p>For all outcomes (except outcome 3.2): for component 1, when contracting is required, international standard clauses will be addressed. For component 2 and 3, when contracting is required, national standard clauses will be addressed. For local contracts NOT all the ILO standards and principles are clearly regulated and exercised in the 2 national labour legislations. In agreement with the government and communities, inclusion of minimum social security, occupation safety and health as in the ILO conventions measures when contracting community member and local enterprises. All the employment contracts will be in written documents and registered according to the Countries labour law and conditions in line with other similar work as public works. To conclude, safe spaces for labours complains, dissatisfaction will be established.</p> <p><b>Outcome 3.2. - Subprojects:</b> The 2 Countries present a labour law, nevertheless NOT all the ILO standards and principles are clearly regulated and exercised in the 2 labour legislations especially the ones related to social security and occupational safety and health. In agreement with the government and communities, inclusion of minimum social security, occupation safety and health as in the ILO conventions measures when contracting community member and local enterprises. All the employment contracts will be in written documents and registered according to the Countries labour law and conditions in line with other similar work as public works. To conclude, safe spaces for labours complains, dissatisfaction will be established. As described under section 4.7. (grievance mechanism), a combination of mailboxes (at community / building level) and telephoning options will offer an immediate way for people affected by the project to safely express their concerns. The options will allow local languages and offer the opportunity for and people affected by the project to complain or provide suggestions on how to improve project design and implementation, which will be reviewed and taken up by the project implementation team.</p>	<p>Indicators: N of contracts being registered N of contracts issues to women N of contracts issued to minors N of contracts issued to marginal groups N of people injured during work hours Average number of working hours per day</p> <p>Method: Implementation of mitigation measures will be assessed and indicators will be collected through: -regular meetings with key local stakeholders -progress reports -Grievance reports -Entities in charge of issuing contracts will have to monitor the indicators above listed, and report</p>	<p>UN-H in cooperation with execution entities / government entities For all outcomes (except outcome 3.2): group): every 6 months. For outcome 3.2: every 3 months.</p>
<b>Principle 9</b> Only for outcome 3.2.	Principle 9 is only triggered by outputs under outcome 3.2. (subprojects). The probability of risk is positive, its significance is medium. In particular, the output triggering the risk is output 3.2.2 (Integrated NBS for urban flood adaptation), among which activities there is the amelioration of key drainage channels (using sand and gravels). Even though the risk is minor, as sand is meant to come from surrounding areas, there is still potential to alter the equilibrium. This activity may trigger risks because of the habit to collect sand in an uncontrolled/unsustainable way that may negatively affect protected areas in the surroundings. Connectivity of the ecosystems, however, will not be harmed.	<b>Outcome 3.2. - Subprojects:</b> This risk is being triggered by output 3.2.2. under outcome 3.2., which correspond to Integrated NBS for urban flood adaptation. The design of the implementation strategy will pay particular attention to ensure that sand and gravels for reinforcing the drainage channels are collected from surrounding areas will similar characteristics, in order not to: not degrade or negatively impacts on a surrounding ecosystem, but to disaggregate the intervention into small interventions to have no-impact on the ecosystems; ii) in order to ensure that sand and gravels will not import any new organism that may affect habitat/protected areas. By-laws to ensure proper and sustainable sand-mining will have to be enforced in a stricter manner, including payment of penalties; involving the populations in this process through awareness-raising and surveillance mechanisms.	<p>Indicators: Tons of sand/gravels collected from one single site</p> <p>Method: Implementation of mitigation measures will be assessed and indicators will be collected through: -monitoring on the interventions on a regular basis during implementation -Monitoring on the state of surrounding protected areas and the mentioned impacts -Meetings attendance lists and minutes -progress reports.</p>	<p>UN-H in cooperation with execution entities / government entities -monitoring on the interventions on a regular (weekly) basis during implementation</p> <p>-monitoring on the state of surrounding protected areas and the mentioned impacts every 4 months</p>



<b>Principle 10 Only for outcome 3.2.</b>	Principle 10 is only triggered by outputs under outcome 3.2. (subprojects). The <b>probability of risk is positive, its significance is medium</b> . In particular, the outputs triggering the risk are output 3.2.2. (Integrated NBS for urban flood adaptation), output 3.2.3 (mangroves restoration), and output 3.2.4 (climate resilient agriculture), among which activities there is the plantation of plants and crops for biofiltering, reforestation, and agricultural purposes respectively. Biofilter are minor interventions of few tens of square meters each, while output 3.2.3 delivers 582 hectares of reforestation and output 3.2.4. delivers around <del>550-220 Haaeres</del> of agriculture. Of course, the subprojects are designed to only make use of local species. In particular, existing species were mapped and listed. However, even the import of local species from another local area that is few tens or hundreds of km far, may imply the import of different organisms. To conclude, only native species will be used, but mitigation measures are needed to ensure that the species also come from the target areas themselves, not to alter the biodiversity of the habitats.	<b>Outcome 3.2. - Subprojects:</b> This risk is being triggered by output 3.2.2., 3.2.3, and 3.2.4, where plants and crops plantation is required. The design of the implementation strategy will pay particular attention to ensure that plants and crops are collected from surrounding areas will similar characteristics, in order not to import any new plant nor organism that may affect the biodiversity of the areas. By-laws to ensure that non-native species are imported will have to be enforced in a stricter manner, including payment of penalties; involving the populations in this process through awareness-raising and surveillance mechanisms.	Name and origin of the plants will be listed in a report. A list of name of plants and origins will be provided to each community.  Indicators: N of plants with name and origin not contained in the list  Method: Implementation of mitigation measures will be assessed and indicators will be collected through: -monitoring on the interventions on a regular basis during implementation -Monitoring on the state of surrounding protected areas and the mentioned impacts -Meetings attendance lists and minutes -progress reports.	UN-H in cooperation with execution entities / government entities -monitoring on the interventions on a regular (weekly) basis during implementation  -monitoring on the state of surrounding protected areas and the mentioned impacts every 4 months
<b>Principle 11 Only for outcomes under components 1 and 2</b>	Principle 11 is triggered by activities under components 1 and 2, which requires international travelling through flights for high level meetings, capacity building activities, and international consultants missions. Considering the regional meetings under component 1 (8 meetings involving around 30 people), and meetings and training under component 2 and international consultants travelling for specific analysis and to provide trainings, it means around 1000 participants throughout the whole project. A rough calculation of emissions tell us that 1000 flights imply around 600 tons of CO <sup>2</sup> <u>throughout the entire lifespan of the project (4 years)</u> . Impact must be considered marginal, however <b>risk probability is positive, with significance is low</b> .	Only for component 1 and 2: considering the international flights for meetings and missions being caught during the project, around 600 tons of GHG will be emitted. Even though the project chose to substitute some live-meeting with online meetings and activities, some travelling are needed to ensure participation, proper decision-making and knowledge transfer. However, <u>based on the scientific literature, we can see that carbon sequestration through agricultural land</u> (ref. McPhearson, T., Kremer, P., & Hamstead, Z. A. (2013). Mapping ecosystem services in New York City: Applying a social-ecological approach in urban vacant land. Ecosystem Services, 5, 11-26) <u>amounts around 0.18kgC/m<sup>2</sup>. based on a UNHCR rough estimation, around 10 hectares of forest are needed to compensate.</u> Mitigation measures in this case are already included under reforestation activities (around 500 hectares) in component 3 (output 3.2.3.). <u>Given the 220 (approx.) hectares of agriculture under output 3.2.4, we can say that in one year we can already absorb slightly above 400 tons on CO<sup>2</sup>. Hence, it is reasonable to assume that throughiut the entire life span of the project, the CO<sup>2</sup> caused by the flights will be sequestered.</u>	Indicators: N of attendances to meetings (trainings) who came through international flight N of hectares of mangroves planted Method: Implementation of mitigation measures will be assessed and indicators will be collected through: Attendance list of live events under component 1 and 2, with international participants. -progress reports from mangrove reforestation activities	UN-H in cooperation with execution entities / government entities Number international attendances and amount of hectares of mangroves planted: once a year.
<b>Principle 12 Only for outcome 3.2.</b>	Principle 12 is only triggered by outputs under outcome 3.2. (subprojects). In particular, it is triggered by output 3.2.3. and 3.2.4., in terms of non-sustainable use of resources. The risk and its impacts, related to the origin of the sand and gravels needed to reinforce the drainage channels and set up the sustainable irrigation systems for the climate resilient agriculture, is already described under principle 9. Given the local tendencies to procure sand through uncontrolled process (and sometimes informally), the risk probability is positive, and significance is medium. The sand and the gravels could be massively be taken from one unique plot, harming a beach or another site, and also triggering land-slide issues. The material needs to be taken from different spots, in order to minimize the impact, and to be obtained through enterprises that do it through a sustainable protocol: mitigation measures need to be put in place. No other risks identified under this principle.	<b>Outcome 3.2. - Subprojects: similarly to what stated under principle 9,</b> this risk is being triggered by output 3.2.2 and 3.2.4. under outcome 3.2., which correspond to Integrated NBS for urban flood adaptation and climate resilient agriculture. The design of the implementation strategy will pay particular attention to ensure that sand and gravels for reinforcing the drainage channels are collected from surrounding areas will similar characteristics, in order to ensure that sand and gravels are collected from proper areas and in a sustainable way. Activities will be organized in collaboration with local authorities, to protect the surrounding protected areas. By-laws to prevent informal sand-mining will have to be enforced in a stricter manner, including payment of penalties; involving the populations in this process through awareness-raising and surveillance mechanisms.	Indicators: Tons of sand/gravels collected from one single site  Method: Implementation of mitigation measures will be assessed and indicators will be collected through: -monitoring on the interventions on a regular basis during implementation -Monitoring on the state of surrounding protected areas and the mentioned impacts -Meetings attendance lists and minutes -progress reports.	UN-H in cooperation with execution entities / government entities -monitoring on the interventions on a regular (weekly) basis during implementation  -monitoring on the state of surrounding protected areas and the mentioned impacts every 4 months



#### 4.6. Risks monitoring system / indicators

The environmental and social risks management approach includes monitoring of potential risks and implementation of risks mitigation measures. This monitoring program commensurate with project activities and will report on the monitoring results to the Fund in the mid-term, annual, and terminal performance reports. Monitoring will be done to ensure that actions are taken in a timely manner and to determine if actions are appropriately mitigating the risk / impact or if they need to be modified in order to achieve the intended outcome. Annual reporting will include information about the status of implementation of this ESMP, including those measures required to avoid, minimize, or mitigate environmental and social risks. The reports shall also include, if necessary, a description of any corrective actions that are deemed necessary.

The Regional Project Supervision Unit will be responsibility for environmental and social risks management, including monitoring of the implementation of the Project ESMP. An AF and UN-H policies and reporting compliance expert will be part of the RPSU. A Safeguarding system compliance expert will also be part of the RPSU. Monitoring staff part of the RPSU will require having expertise in social risk management and be familiar with the AF safeguarding system. Gender specific indicators and targets have been developed as shown in the results framework and Annex 6. Specific budgets for risks monitoring are covered by M & E staff time under the execution fee (USD 30,000).

Annex Table 28 Monitoring arrangements for general risks management

Action	Indicator and method	Responsibility and frequency
Monitoring of capacity execution entities to comply	Guidelines and action plans shared Monitoring reports comply to requirements	RPSU; within half a year from inception RPSU; when reports are required
Implementation of grievance mechanism	Grievance mechanism information is at target locations (buildings, etc.) Grievance mechanism information is shown on UN-Habitat project website	RPSU in coordination with execution entities; within half a year from inception RPSU in coordination with execution entities; within half a year from inception
Monitoring of measures to avoid or mitigate risks/ impacts per output	See table above	RPSU in coordination with execution entities; when reports are required

#### 4.7. Grievance mechanism, consultation and public disclosure

UN-Habitat in coordination with the execution entities will implement a grievance mechanism in the target areas, which will allow an accessible, transparent, fair and effective means of communicating if there are any concerns regarding project design and implementation. Project employees, and people benefitting / affected by the project will be made aware of the grievance mechanism for any criticism or complaint of an activity. The grievance mechanism will be open to beneficiaries and non-beneficiaries alike.

This mechanism considers the special needs of different groups as well as gender considerations and potential environmental and social risks, especially human rights (as shown on posters). It combines: i) anonymous mailboxes at community level, ii) a trained local facilitator in each community who can listen to grievances while assuring anonymity, and iii) a telephone number that enables people to call anonymously.

These options allow people to make their grievance in their own language, with options for illiterate people or people with low levels of literacy. This approach recognizes that internet and smart phone penetration is not universal in the target area. In addition, any stakeholder involved with the project can use any event, workshop, training or any other initiative organized by the project, either in public (i.e. through open floor discussion) or in private (i.e. discretely with UN-Habitat or executing entity staff involved with the workshop) to raise a grievance verbally.

The redress process will be carried out by the Grievance Committee, which will hear the complaints, provide solutions, and reduce unnecessary litigation by resolving disputes through mediation, with the support of the NPMs. The committee will be responsible for preparing and explaining to the communities the potential project impacts and negotiating with the project proponent on any matter that may be of interest at the implementation stage. The target areas shall play a role in the committee through representatives headed by a Chair person, to be elected by the target areas who will carry out the following as regards redressing grievances:

- Hear the grievances of the targeted people and provide early solutions to those they are able to;
- Immediately bring any serious matters to the attention of the Grievance Redressing Committee/Focal Points;
- Inform the aggrieved parties about the progress of their grievances and the decisions of the Grievance

**Commented [MG21]:** CR7: Noted. Regarding the Grievance Mechanism, we added a full description of tools, steps and responsibilities in Annex 6 (under "Grievance mechanism" section). Alignment of the Grievance Mechanism with national governments (based on ESIA, national indications, and consultation) was also specified

Regarding the mitigation of CO2 generated by component 1 and 2, the comment is well received, and we adjusted the ESP accordingly.

More in general, referring to UPS, please refer to our reply to CAR8. Regarding national standards and compliance with the law, please refer to our reply to CAR5.

- Redressing Committee/Focal Points, and
- Grievance Redressing Committee/Focal Points address grievances.

To comply with national law and ensure compatibility between the grievance mechanism adopted by the project, and the national requirements for grievance mechanisms, a higher level for grievance redress (for both Countries) is the Court of Law. When all the forms of alternative dispute resolution fail, the law courts represent the last resort for an aggrieved person. Under this project the courts also represent the last resort when the Grievance Committee fail to bring a satisfactory outcome.

In addition to institutional responsibilities (of the Grievance Committee and of the National Courts of Law), the whole project staff and executing entities will be informed about the procedures. In particular, project staff and executing entities will be trained to recognize grievances from community members and how to deal with grievance reports. The local facilitators (LF, under the Grievance Committee) in each community will also be trained on to recognize dissatisfaction and on how to report grievances. Monitoring activities will also provide an opportunity for beneficiary communities to voice their opinions as they wish. This recognizes that in the target areas, some people do not feel confident in directly confronting grievances and do not like to be seen to complain. The mechanism is thought to allow people to raise issues in a subtle and anonymous way.

All stakeholders will be made aware of the grievance mechanism, their options for reporting, what constitutes a grievance and their right in anonymity at the start of the project, and/or whenever the project first makes contact with them (i.e. during the inception phase, whether in training, or whichever activities come first). Stakeholders will be reminded of the grievance mechanism periodically throughout the project. All inputs derived from grievances will be anonymized and presented to the Project Management Committee. All inputs from grievances will be treated with equal and urgent importance, regardless of who raised them, or the modality.

~~A combination of mailboxes (at community / building level) and telephoning options offer an immediate way for employees and people affected by the project to safely express their concerns. The options will allow local languages and offer the opportunity for people affected by the project to complain or provide suggestions on how to improve project design and implementation, which will be reviewed and taken up by the project implementation team.~~

~~Project staff and execution entities will be made aware of the procedures for receiving messages and on the reporting of any grievances. In addition, monitoring activities allow project participants to voice their opinions or complaints as they may see fit.~~

The address and e-mail address of the Adaptation Fund will also be made public (i.e. project website, Facebook and mailbox) for anyone to raise concerns regarding the project. ~~For country-specific recommendations regarding the grievance mechanisms, see country-specific ESIA-ESMPs: Adaptation Fund Board secretariat Mail stop: MSN P-4-400; 1818 H Street NW; Washington DC.~~

Regarding public disclosure, the results of the environmental and social screening and a draft environmental and social assessment, including any proposed management plan, have been presented and validated in all communities. In addition, the material has been made available for further public consultations and held free of coercion and in an appropriate way for communities that are directly affected by the proposed project/programme. The implementing entity is responsible for disclosing the final environmental and social assessment to project-affected people and other stakeholders. Project/programme performance reports (including the status on implementation of environmental and social measures) will be publicly disclosed throughout the process of the outcomes. Any significant proposed changes in the project/programme during implementation will be addressed and presented for public consultation with directly affected communities.

## ANNEX 6: DETAILED PROJECT ALIGNMENT WITH NATIONAL AND SUB-NATIONAL STRATEGIES

Annex Table 29 Ghana project alignment with National and sub-national priorities

Policy / Document	Year submitted / ratified	Compliance with the project (Relevant priorities)
<b>Ghana</b>		
<b>Climate Change strategies / plans</b>		
National Adaptation Planning (NAP)	2018	Support goals of the NAP process: Identify priority climate adaptation actions in the medium and long terms Facilitate institutional coordination around climate change adaptation Accelerate the mobilization of funds for climate change adaptation
Intended Nationally Determined Contribution (INDC)	2015	Alignment with priority sectors such as sustainable land use including food security, climate proof infrastructure, equitable social development, and sustainable forest management. Support on achieving the goal "increase climate resilience and decrease vulnerability for enhanced sustainable development". Alignment with priority adaptation policy actions: agriculture and food security, sustainable forest resource management, water resources, gender and the vulnerable.
National Climate Change Policy	2013	Support the vision of the plan "ensure a climate-resilient and climate-compatible economy while achieving sustainable development through equitable low-carbon economic growth for Ghana." Alignment on 2 of the main objectives: effective adaptation and social development. Alignment on main thematic areas: natural resource management, agriculture and food security, disaster preparedness and response.
National Climate Change Adaptation Strategy 2010-2020	2010	Support the intentions of the plan: Deepen awareness and sensitisation for the general populace particularly policy makers about the critical role of adaptation in national development efforts, Strengthen International recognition to facilitate action, Facilitate the mainstreaming of Climate change and disaster risk reduction into national development.  Alignment with key principles such as Promotion of sustainable development and poverty reduction are focus areas of the adaptation strategy, Stakeholder participation is central, Gender sensitivity and reduction of vulnerability are extensively adopted
Plan of Action on Disaster Risk Reduction and Climate Change Adaptation 2011-2015	2011	Alignment with strategic goals: Ensure that disaster risk reduction is a national and a local priority with a strong institutional basis for implementation. Identify, assess and monitor disaster risk Use knowledge, innovation and education to build a culture of safety and resilience at all levels. Reduce the underlying risk factors
<b>National Development strategies / plans</b>		
Long-Term National Development Plan for Ghana, 2018-2057	2017	Support achieving the long-term goals such as building a resilient economy, and build safe, well-planned and sustainable communities.
National Spatial Development Framework 2015-2035	2015	Continue efforts of national and local governments on developing Spatial Development Frameworks understood as "roadmap for the future development of a limited geographical area". Support the pillars of the spatial strategy: Emphasise balanced polycentric development. Improve regional, national, and international connectivity. Ensure sustainable development and protect ecological assets.
Ghana's Shared Growth Development Agenda II (GSGDA II)	2015	Alignment with prioritised thematics such as accelerated agricultural modernisation and natural resource management; infrastructure and human settlements development; and human development, productivity and employment.
<b>Environmental strategies / plans</b>		
National Environmental Policy (NEP)	2014	Aligned with policies goals: Reversing the current insufficient commitment to environmental objectives, policies and interventions Reversing rapid population growth, economic expansion, persisting poverty, poor governance and institutional weaknesses and failures Improving quality and flow of information

		<p>Creating an understanding of the nature and causes of environmental problems</p> <p>Establishing a clear definition of the national environmental agenda and its links to economic growth and poverty reduction and weak legal, regulatory, financial, technical, human and institutional capacity</p> <p>Mainstreaming international relations into the national environmental agenda</p> <p>Improving the current environmental quality control programme by which prior environmental impact assessments of all new investments that would be deemed to affect the quality of the environment are undertaken.</p>
Environmental Policy and Action Plan	1990	<p>Alignment with the outcomes of the policy:</p> <p>Maintenance of ecosystems and ecological processes.</p> <p>Sound management of natural resources and the environment.</p> <p>Protection of humans, animals, plants and their habitats.</p> <p>Guidance on healthy environmental practices in the national development effort.</p> <p>Common approach to regional and global environmental issues.</p> <p>Support on addressing key challenges such as forestry and wildlife, land management, water management, marine and coastal ecosystems, human settlements,</p>
Ghana REDD+ Strategy (2016-2035)	2016	Denotes the suite of interventions that seek to reduce emissions from deforestation and forest degradation whilst incorporating the role of conservation, sustainable forest management and enhancement of forest carbon stocks in developing countries.
Forestry Development Master Plan 2016 – 2036	2016	The objective of the Master Plan is to ensure sustainable forest management, ecosystem preservation, biodiversity conservation, wildlife protection, environmental protection, soil conservation, land use planning, sustainable use of forest resources, afforestation, rehabilitation and restoration of degraded landscapes, and plant cultivation, in a socially equitable manner.
<b>Sectoral strategies / plans</b>		
National Gender Policy	2015	The implementation of the interventions will take all necessary steps to ensure the full integration of men and women into the mainstream operations of the project.
Forest and Wildlife Policy	2012	<p>Aligned with policy objectives:</p> <p>Manage and enhance ecological integrity of forest, savannahs, wetlands and other ecosystems.</p> <p>Promote rehabilitation and restoration of degraded landscapes.</p> <p>Promote the development of viable forest and wild-life based livelihoods.</p> <p>Promote and develop mechanisms for transparent governance, equity sharing and citizens' participation in forest and wildlife resource management.</p>
National Wetlands Conservation Strategy,	2007	<p>The project will follow the recommendations and frameworks necessary to ensure the conservation of Ghana's wetlands and their associated ecosystem goods and services.</p> <p>Aligned with the objective: promote the use of wetlands for farming, grazing, fishing, timber production and salt-winning, provided that such uses also serve to conserve the ecosystem, biodiversity and sustainable productivity of the wetlands.</p>
National Water Policy (NWP).	2007	Support on the sustainable development and utilization of Ghana's water resources.
National Land Policy	1999	<p>Support objectives of the policy:</p> <p>Ensure that every socio-economic activity is consistent with sound land use through sustainable land use planning in the long-term</p> <p>Promote community participation and public awareness at all levels</p>
Coastal Wetlands Management Plan	1991	<p>Support adequate management of prioritised lagoons and surrounding environments: Songor and Keta lagoons.</p> <p>Supports continuity of activities to be rolled out such as development of management systems for the coastal zone, protection of selected coastal areas, and set-up of coastal zone data base.</p>
<b>Sub-national plans</b>		
Greater Accra Spatial Development Framework	2017	<p>Support on the implementation of the Land Use Planning and Management Project through "preparation of improved maps and spatial data for land administration" which includes the preparation of Regional Spatial Development Frameworks".</p> <p>Alignment with the Manual for the Preparation of Spatial Plans 2011.</p>
Ada West District Medium Term Development Plan (2018-2021)	2017	<p>Support on identified key challenges:</p> <p>Over exploitation of fisheries resources.</p> <p>Increased vulnerabilities of coastal communities.</p> <p>Weak development control</p> <p>Lack of alternative livelihoods for coastal communities.</p> <p>Weak capacity to manage the impacts of natural disasters and climate change.</p> <p>High levels of youth unemployment.</p> <p>Incidence of poverty among farmers and fishermen.</p> <p>Weak citizens engagement in decision making.</p> <p>Low women representation and participation.</p> <p>Support the implementation of policy objectives:</p>

		<p>Promote seed and planting material development.</p> <p>Enhance fish production and productivity.</p> <p>Promote aquaculture development.</p> <p>Ensure sustainable management of natural resources.</p> <p>Increase capacities to adapt to climate change impacts.</p> <p>Enhance capacity to mitigate and reduce the impact of natural disasters, risks, and vulnerability.</p>
Keta District Medium Term Development Plan (2018-2021)	2017	<p>Support on addressing development priorities:</p> <p>Build a prosperous society (economic development). Linked to challenges such as inadequate job creation, loss of soil fertility, low agriculture production, coastal area erosion, depletion of mangrove vegetation.</p> <p>Safeguard the natural environment and ensure a resilient built environment. Linked to challenges such as poor environmental sanitation and hazardous development.</p>
Ada East District Medium Term Development Plan (2018-2021)	2017	<p>Support on addressing identified key challenges such as provision of planning schemes and improvement in revenue generation.</p> <p>Support addressing objectives and implementing programmes:</p> <p>Promote a sustainable spatially integrated, balanced and orderly development of human settlements: infrastructure development sub-programme</p> <p>Enhance climate change resilience: disaster prevention and management sub-programme.</p> <p>Improve popular participation at the regional and district level: general administration sub-programme.</p> <p>Promote economic empowerment for women: trade, tourism and industrial development sub-programme.</p>

Annex Table 30 Côte d'Ivoire project alignment with National and sub-national priorities

Policy / Document	Year submitted / ratified	Compliance with the project (Relevant priorities)
<b>Côte d'Ivoire</b>		
<b>Climate Change strategies / plans</b>		
Programme National Changement Climatique 2015-2020	2014	<p>The programme aims at establishing by 2020 a framework for sustainable socio-economic development that integrates the challenges of climate change in all sectors in Côte d'Ivoire and that contributes to improving resilience.</p> <p>This programme emphasizes on vulnerable sectors including coastal resources, with promotion of adaptation actions.</p>
Programme d'appui du PNUD à la mise en œuvre des Contributions Déterminées au niveau national (CDN) de la Côte d'Ivoire	2018	<p>The Programme defines the objective to elaborate a National Gender and Climate Change Strategy and Action Plan; and includes capacity building for national actors so that they are capable to implement.</p> <p>The Programme also aims at including a gender dimension in the communication strategy about the NDC</p>
Cadre National des Services Climatiques (CNSC)	2017	Optimize the management of risks related to climate change and promote adaptation to climate change by producing scientifically-based information and forecasts on climate and taking them into account in planning processes, policies development,
Stratégie Nationale de Gestion des Risques de Catastrophes (SNGRC) & Plan d'Action	2011	<p>Management of risks and disasters in the face of growing risks</p> <p>Strengthen disaster preparedness to respond effectively and to 'rebuild better' during the recovery, rehabilitation and reconstruction phase.</p>
First intended nationally determined contribution (INDC) Côte d'Ivoire	2016	<p>Strengthen country's resilience to climate change adaptation</p> <p>Align sectoral policies and strengthen its mechanism and implementation tools to facilitate the achievement of these objectives</p> <p>Priority vulnerable sectors; coastal areas, agriculture, aquaculture, water resources, forests, gender, health</p>
National du Développement durable en Côte d'Ivoire dans la perspective de Rio+20	2012	<p>Aims at revising the success and gaps at the achievement of SDGs</p> <p>Provide proposals related to green growth and Sustainable development framework</p>
<b>National Development strategies / plans</b>		
Plan National de Développement 2016-2020	2016	<p>reinforce governance and institutions capacities</p> <p>Preserve environment and manage natural resources to attenuate climate change</p> <p>Promote regional integration</p>

Plan National de Développement 2021-2025		Consistency with pillar related to Strengthening social inclusion. Regional development and support to infrastructure  Ministry partners whom follow the 2021-25 NDP in Côte d'Ivoire have been involved in the AF project design, thus alignment with national development priorities is ensured
Stratégie nationale de développement durable	2011	Aims at establishing harmony between environment, economy and social while ensuring a quality of life throughout the territory and in all sectors of activity. Integrate the principles of sustainable development in the management of territorial collectivities Integrate sustainable development into spatial planning
Territorial Development Policy Framework	2006	This framework defines the allocation of competences and the empowerment of cities and regions and establishes the principle of concerted development land use plans and local development plans
<b>Environmental strategies / plans</b>		
Code de l'environnement	1996	Governs all actions related to environmental management. Consider sustainable development issues, coastal erosion, climate change impacts
Code Forestier	2019	Supervise national forest management adapted to fight against climate change Prioritize vulnerable areas and marine ecosystems such as mangrove reforestation
National Policy on Forest Preservation, Rehabilitation and Expansion.	2018	Aims to achieve the preservation of biodiversity; the maintenance of favorable climate for the development of socio-economical activities and agricultural area; and the respect of Côte d'Ivoire's international commitments. In parallel, the four objectives settled for the policy are: preservation of biodiversity; National Climate Conducive to agricultural activities and living conditions; Compliance with international commitments; and Social & economic development.
<b>Sectoral strategies / plans</b>		
Code de l'eau	1995	To preserve marine ecosystems and wetlands To protect against all forms of pollution and floods To restore water surface Protection against inundation Fisheries agriculture
National REDD+ Strategy of Côte d'Ivoire,	2017	The vision of the Ivorian government through the REDD+ mechanism is to stabilize and sustainably reverse the trend of natural forest loss from 2017 and to simultaneously restore forest cover in a progressive manner to reach 20% forest cover by 2030.
National Second Generation Agricultural Investment Program (PNIA II, 2017-2025)	2017	Defines the development actions needed to reduce the incidence of poverty at the national and rural levels, based on an in-depth analysis of the pace of growth of the economy in general, and the agricultural sector in particular
<b>Sub-national plans</b>		
Agenda 21 Grand Bassam	2017	Instrument established for the management of natural resources and the preservation of the environment Establish environmental actions plan at commune and national level to promote sustainable development
Appui à la préparation de plan d'investissement multisectoriels IDA-17 et du plan d'investissement pour la ville de Grand-Lahou, République de Côte d'Ivoire.	2017	To strengthen capacity and skills of stakeholders Promote participatory socio-economic development and blue green development Organize operational governance for integrated resource management