



ADAPTATION FUND

AFB/B.38/2
5 April 2022

Adaptation Fund Board
Thirty-eighth meeting
Bonn, Germany (Hybrid meeting), 7-8 April 2022

ANNOTATED PROVISIONAL AGENDA

1. Opening of the meeting

The meeting will be opened by the outgoing Chair of the Adaptation Fund Board, Mr. Mattias Broman (Sweden, Western Europe and Others).

2. Board membership and Election of outstanding officers

a) Board membership

Background: In accordance with the Rules of Procedure of the Board (RoP), paragraph 7, if a member resigns or is otherwise unable to complete the assigned term of office or to perform the functions of that office, a new member or alternate shall be elected in accordance with decision 1/CMP.3, paragraph 8. In accordance with RoP, paragraph 8, if a member or an alternate resigns or is otherwise unable to complete the assigned term of office or to perform the functions of that office, the Adaptation Fund Board may decide, bearing in mind the proximity of the next session of the CMP, to appoint another member, or an alternate member, from the same constituency to replace the said member for the remainder of that member's mandate. The Adaptation Fund Board shall request the relevant constituency to nominate the new member, or the new alternate.

Action expected: The Board may be invited to consider any membership related matter in line with its Rules of Procedure.

b) Election of outstanding officers

Background: The Board, at its thirty-seventh meeting, elected Mr. Mattias Broman (Sweden, Western Europe and Others) as Chair of the Ethics and Finance Committee (EFC) and Ms. Susana Castro-Acuña Baixauli (Spain, Western Europe and Others) as Vice-Chair of the Project and Programme Review Committee (PPRC). The Board has been invited to further elect the Chair and Vice-Chair of the Board, the Vice-Chair of the EFC, the Chair of the PPRC and the Chair and Vice-Chair of the Accreditation Panel (AP) during the intersessional period.

Action expected: The Board will be invited to elect the Chair and Vice-Chair of the EFC, the Chair and Vice-Chair of the PPRC and the Vice-Chair of the AP for the period of office starting at the thirty-eighth meeting.

Board	Chair	[To be elected]
	Vice-Chair	[To be elected]
EFC	Chair	Mr. Mattias Broman (Sweden, Western Europe and Others)
	Vice-Chair	[To be elected]
PPRC	Chair	[To be elected]
	Vice-Chair	Ms. Susana Castro-Acuña Baixauli (Spain, Western Europe and Others)
AP	Chair	[To be elected]
	Vice-Chair	[To be elected]

3. Transition of the Chair and Vice-Chair

Background: The Board has been invited to elect its Chair and Vice-Chair for the period of office starting at the thirty-eighth meeting intersessionally.

Action expected: The outgoing Chair and Vice-Chair will hand over the Board Chairmanship to the incoming Chair and Vice-Chair, respectively.

4. Organizational matters:

a) Adoption of the agenda

Documents: AFB/B.38/1/Rev.1, Provisional agenda and AFB/B.38/2, Annotated provisional agenda.

Action expected: The Board will be invited to adopt the agenda of the meeting and to raise any other matters it may wish to discuss, to be included on the agenda under item 18.

b) Organization of work

Document: AFB/B.38/2, Annotated provisional agenda.

Background: The Chair will propose to the Board the organization of work, in accordance with the Provisional timetable attached to document AFB/B.38/2.

5. Report on activities of the outgoing Chair

Document: AFB/B.38/Inf.5, Report of the activities of the Chair.

Background: The outgoing Chair of the Board, Mr. Mattias Broman (Sweden, Western Europe and Others), will report on the activities carried out during the intersessional period.

Action expected: The Board will be invited to take note of the report.

6. Report on activities of the secretariat

Document: AFB/B.38/3, Report on the activities of the secretariat.

Background: The Manager of the secretariat will present the report contained in document AFB/B.38/3.

Action expected: The Board will be invited to take note of the report.

7. Report of the Accreditation Panel

Document: AFB/B.38/4/Rev.1, Report of the thirty-seventh Meeting of the Accreditation Panel.

Background: The Chair of the Accreditation Panel will invite the secretariat to present the Panel's report to the Board. The report contains information on the status of accreditation and reaccreditation applications which are under Panel's review and other issues related to the accreditation and reaccreditation process.

Action expected: The Board will be invited to take note of the Report of the Accreditation Panel and to consider and decide on the recommendations of the Accreditation Panel and, to accredit, under the fast-track process, the Caribbean Community Climate Change Centre (CCCCC) as a Regional Implementing Entity of the Fund.

8. Report of the twenty-ninth meeting of the Project and Programme Review Committee (PPRC) on:

Document: The PPRC will issue its report after its twenty-ninth meeting, which will take place on 5-6 April 2022.

Background: The Chair of the PPRC will present the PPRC report to the Board. The report contains recommendations on:

- a) Report of the secretariat on initial screening/technical review of project and programme proposals;
- b) Report of the secretariat on initial screening/technical review of enhanced direct access project proposals;

- c) Report of the secretariat on initial screening/technical review of large innovation project proposals;
- d) Report of the secretariat on initial screening/technical review of innovation small grant project proposals;
- e) Report of the secretariat on the intersessional review cycle for readiness grants;
- f) Request for project major change;
- g) Funding provisions for regional projects and programmes and for enhanced direct access projects for FY23;
- h) Full cost of adaptation reasoning;
- i) Analysis of the issues related to the use of unidentified sub-projects;
- j) Clarification of regional implementation and execution costs;
- k) Report on indicators of innovation;
- l) Options for further supporting the work of the PPRC.

9. Report of the twenty-ninth meeting of the Ethics and Finance Committee (EFC) on:

Document: The EFC will issue its report after its twenty-ninth meeting, which will take place on 5-6 April 2022.

Background: The Chair of the EFC will present the EFC report to the Board. The report contains recommendations on:

- a) Financial issues;
- b) Report of the Chair of the Technical Evaluation Reference Group;
- c) Evaluation Policy.

10. Medium-term Strategy of the Fund for the period 2023 - 2027

Document: AFB/B.38/5, Elements and *options for the Fund's Medium-term Strategy 2023 - 2027*

Background: The Adaptation Fund's current, first Medium-term Strategy (MTS) was adopted by the Board at the thirtieth meeting in October 2017 for the period 2018 to 2022.

At its thirty-seventh meeting, the Board took note of the key findings and recommendations of the mid-term review of the MTS by the AF-TERG, as contained in document AFB/EFC.28/7 (Decision B.37/36). The Board also adopted a process for developing the next MTS for the period 2023 to 2027. As part of the preparatory work towards developing the next MTS, the Board requested the secretariat to prepare a document which contains elements and options for the MTS 2023 – 2027 for the Board’s consideration at its thirty-eight meeting, with a view to developing the final draft strategy for the Board’s consideration at its thirty-ninth meeting. The Board also requested the secretariat to solicit inputs through open and inclusive stakeholder consultations when developing the elements and options for the MTS 2023 – 2027.

Action expected: The Board will be invited to consider the information presented in Document AFB/B.38/5 and to decide on options and elements for developing the next Medium-term Strategy of the Fund (MTS 2023 – 2027).

11. Draft resource mobilization strategy and action plan for the period 2022-2025.

Document: AFB/B.38/6, *Draft resource mobilization strategy and action plan 2022- 2025*

Background: The Secretariat will present the draft resource mobilization strategy and action plan for the period 2022-2025 in document AFB/B.38/6 its annex I, AFB/B.38/6/Add.1 and its annex I (confidential), and AFB/B.38/6/Add.2 (confidential) The strategy lays out the current financial situation of the Fund, provides an overarching strengths, weaknesses, opportunities and threats (SWOT) analysis of the Fund’s ability to mobilize resources, and builds on the SWOT analysis to define a framework for raising resources. Based on the defined framework an overarching resource mobilization strategy and action plan have been developed. An approach to define the target of resource mobilisation, taking into account the Glasgow Climate Pact goal of doubling adaptation finance by 2025 is recommended to guide the Adaptation Fund in its fund raising efforts and to support a Board decision in setting resource mobilization targets for the 2022-2025 timeframe.

Action expected: The Board will be invited to consider Document AFB/B.38/6 and decide on the direction of the overall resource mobilization strategy for the Fund.

12. Issues remaining from the earlier meetings:

a) Strategic discussion on objectives and further steps of the Fund. Potential linkages between the Fund and the Green Climate Fund

Document: AFB/B.38/7, *Update on strategic discussion on objectives and further steps of the Fund: potential linkages between the Fund and the Green Climate Fund.*

Background: The secretariat continued discussions with the GCF to advance the collaborative activities identified at the Annual Dialogue in November 2020 and progress

in implementing the six activities of the 2019 roadmap (annex I to document AFB/B.37/7). With the Board Chair, the secretariat will provide the Board with (i) A report on the progress made in the activities at its thirty-eighth meeting; (ii) An update on the matter referred to in subparagraph (b) in Decision B.37/41 once it has been considered by the GCF Board. (Decision B.37/41). Among other activities conducted under this collaboration framework between the Fund and GCF, the report highlights (1) the fifth annual dialogue of climate delivery channels that took place on 3 November 2021 at the GCF-GEF Pavilion in Glasgow on the margins of the twenty-sixth meeting of the Conference of Parties (COP26), (2) the joint statement signed on the margin of COP26 between all climate funds on enhanced complementarity and collaboration, (3) an update on the implementation of the joint roadmap agreed among the climate funds and (4) update on ongoing discussion between the Fund and GCF on supporting the community of practice of direct access entities (CPDAE) and the on fast track (Re) accreditation issues. The Chair and the secretariat will present the progress made in these activities contained in document AFB/B.38/7.

Action expected: The Board will be invited to take note of the information presented and decide on a course of action regarding the matter.

b) Options to further enhance civil society participation and engagement in the work of the Board.

Document: AFB/B.38/8/Rev.1, *Options for a policy or guidelines to further enhance civil society participation and engagement in the work of the Board.*

Background: At the thirty-third meeting of the Board, it was generally agreed that it would be important to enhance the participation of civil society in the work of the Board, but it was also asked how large that participation should be and how the representatives of civil society would be chosen. As per Board decision B.33/54, the secretariat explored, in consultation with civil society participation and engagement in the work of the Board and presented document AFB/B.34/11 to the Board for consideration. The Board, its thirty-fourth meeting, decided to provide the secretariat with comments on the options provided in the document during the intersessional period between its thirty-fourth and thirty-fifth meetings. Due to the time constraints imposed by the virtual meeting format in 2020 in the pandemic, this agenda item was not taken up by the Board at the first and second sessions of the thirty-fifth meeting. At the thirty-sixth meeting of the Board, the secretariat presented document AFB/B.36/8 which compiled the survey results and input received from the Board, and the Board decided to request the secretariat to conduct the study on the need for a policy for civil society engagement with the Fund and the second round of the Board survey with the updated questions due to its low participation rate in the first round of the survey. At the thirty-seventh meeting of the Board, the secretariat presented the result of the study and the Board survey contained in document AFB/B.37/8, and the Board decided to request the secretariat to implement the three items recommended by civil society and prepare a draft outline of a policy or guidelines on civil society engagement as per Decision B.37/42. The secretariat will present the draft outline of guidelines on civil society engagement at its thirty-eighth meeting.

Action expected: The Board will be invited to consider the information presented by the secretariat and decide on a course of action regarding the matter.

13. Issues arising from sixteenth session of the Conference of the Parties serving as meeting of the Parties to the Kyoto Protocol (CMP 16), the third session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA 3) and the twenty-sixth session of the Conference of the Parties (COP 26)

Documents:

- AFB/B.38/10, *Decisions of CMP16 and CMA3 and COP26 relating to the Adaptation Fund and the Relevant Matters to Be Considered by the Adaptation Fund Board*
- AFB/B.38/10/Add.1, *Proposed amendment of the Strategic Priorities, Policies and Guidelines of the Fund adopted by the CMP (SPPG)*
- AFB/B.38/10/Add.2, *Proposed amendment of the Operational Policies and Guidelines for Parties to Access Resources from the Adaptation Fund (OPG)*

Background: By decision 1/CMP.14, paragraph 6, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) requested the Adaptation Fund Board (the Board) to consider the following and to make recommendations to the CMP at its fifteenth session (CMP 15) with a view to the recommendations being forwarded to the CMA at its second session (CMA 2) in December 2019: (i) the rules of procedure of the Board; (ii) the arrangements of the Fund with respect to the Paris Agreement; (iii) any other matter so as to ensure the Fund serves the Paris Agreement smoothly; (iv) implications of the Fund receiving the share of proceeds from activities under Articles 6, 12 and 17 of the Kyoto Protocol when the Fund serves the Paris Agreement. The Board fulfilled the mandate issued under decision 1/CMP.14, paragraph 6 in 2019. At the thirty-third meeting (March 2019), and the thirty-fourth meeting (October 2019), the Adaptation Fund Board (the Board) considered, the aforementioned four matters as per decision 1/CMP.14, paragraph 6 and reported its considerations of the matters and made relevant recommendations to the CMP at its fifteenth session, through [the addendum to Report of the Board to the CMP at its fifteenth session and the CMA at its second session](#).

In Glasgow, Scotland in November 2021, a number of decisions relating to and/or referring to the Adaptation Fund were made by the CMP at its sixteenth session (CMP16), the CMA at its third session (CMA 3), and the Conference of Parties at the COP at its twenty-sixth session (COP26). Among others, by decision 3/CMP.16, the CMP decided to, inter alia: (i) Confirm that developing country Parties to the Paris Agreement that are particularly vulnerable to the adverse effects of climate change are eligible for funding from the Adaptation Fund and to request the Adaptation Fund Board to amend the relevant operational and policy guidelines, as well as its strategic priorities, policies and guidelines accordingly; (ii) Confirm that Parties to the Paris Agreement are eligible for membership on the Adaptation Fund Board and to request the Adaptation Fund Board to amend the

relevant procedures and modalities; (iii) Request the Subsidiary Body for Implementation to continue its consideration of matters relating to membership of the Adaptation Fund Board further to decision 1/CMP.14, paragraph 5, and to forward a recommendation to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol for consideration at its next session. By decision 13/CMA.3, the CMA decided to, *inter alia*, encourage the Adaptation Fund Board to continue its consideration of its rules of procedure in the context of serving the Paris Agreement, including after the Subsidiary Body for Implementation has concluded its consideration of matters related to membership of the Adaptation Fund Board.

The secretariat will present the decisions of CMP16 and CMA3 and COP26 relating to and/or referring to the Adaptation Fund and matters to be considered by the Board, as per decision 3/CMP.16, paragraphs 6 and 7, and decision 13/CMA.3, paragraphs 7 and 8, *inter alia*, the proposed amendment of the Strategic Priorities, Policies and Guidelines of the Fund adopted by the CMP (SPPG) and the proposed amendment of the Operational Policies and Guidelines for Parties to Access Resources from the Adaptation Fund (OPG). The information contained in document AFB/B.38/10 and its addenda is not intended to prejudice the content of a document to be presented to the thirty-ninth meeting and may require further review during the intersessional period between the thirty-eighth and thirty-ninth meetings.

Action expected: The Board will be invited to consider and decide on a course of action regarding the matter.

14. Knowledge management, communications and outreach

Document: AFB/B.38/3, *Report on the activities of the secretariat*.

Background: The secretariat will report on its knowledge management activities and communication efforts since the thirty-sixth meeting of the Board.

Action expected: The Board will be invited to take note of the report.

15. Dialogue with civil society organizations

Document: AFB/B.38/Inf.4, *Agenda of the civil society dialogue*

Background: The Board will hold another session of its ongoing dialogue with civil society organizations.

Action expected: The Board will be invited to take note of the conclusions of the dialogue.

16. Date and venue of meetings in 2022 and onwards

Document: None.

Background: The Board decided to hold its thirty-ninth meeting on 10-14 October 2022 in Bonn, Germany (Decision B.36/45). The secretariat has confirmed the availability of meeting rooms for the respective meeting.

Action expected: The Board will be invited to confirm the meetings' schedule.

17. Implementation of the code of conduct

Document: [Code of Conduct](#) and [Zero Tolerance Policy for the Board](#) (Adopted in October 2014)

Background: At its nineteenth meeting, the EFC underscored that all Board members and alternates should be aware of the code of conduct, the zero tolerance policy for fraud and corruption, and the procedure for reporting such issues to the EFC. Having considered the comments and recommendation of the EFC, the Board decided to address the item relating to the code of conduct as the last substantive item on its agenda at every Board meeting (Decision B.28/41).

Action expected: Board members and alternates can raise any issues related to the implementation of the code of conduct for deliberation by the Board. The Board will be invited to discuss any issues raised and make decisions as appropriate. The code of conduct and zero tolerance policy are available on the Fund's website.

18. Other matters

Action expected: The Board will be invited to discuss any other matters raised during the adoption of the agenda.

19. Adoption of the report

Action expected: The Board will be invited to adopt the decisions approved at its thirty-seventh meeting. The report of the thirty-seventh meeting will be finalized by the Chair with assistance of the secretariat and adopted during the intersessional period.

20. Closure of the meeting

PROVISIONAL TIMETABLE

All timetables are (UTC+01:00) Central European Time (Bonn, Germany).

Day 1: 7 April 2022

13:15 – 13:45	1	Opening of the meeting
	2	Board membership and election of outstanding officers a) Board membership b) Election of outstanding officers
	3	Transition of the Chair and Vice-Chair
	4 a)	Adoption of the agenda
	4 b)	Organization of work
13:45 – 13:55	5	Report on activities of the Chair
13:55 – 14:10	6	Report on activities of the secretariat
14:10 – 14:50	15	Dialogue with civil society organizations
14:50 – 15:20	7	Report of the Accreditation Panel
15:20 – 15:40		[Coffee Break]
15:40 – 16:10	8	Report of the twenty-eighth meeting of the Project and Programme Review Committee (PPRC)
16:10 – 16:40	9	Report of the twenty-eighth meeting of the Ethics and Finance Committee (EFC)
16:40 – 17:15	10	Medium-term Strategy of the Fund for the period 2023 – 2027
17:15 – 17:45	11	Draft resource mobilization strategy and action plan for the period 2022- 2025

Day 2: 8 April 2022

13:15 – 13:25	1	Opening of the meeting
13:25 – 13:55	12 a)	Strategic discussion on objectives and further steps of the Fund. Potential linkages between the Fund and the Green Climate Fund
13:55 – 14:25	12 b)	Options to further enhance civil society participation and engagement in the work of the Board
14:25 – 15:10	13	Issues arising from sixteenth session of the Conference of the Parties serving as meeting of the Parties to the Kyoto Protocol (CMP 16), the third session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA 3) and the twenty-sixth session of the Conference of the Parties (COP26)
15:10 – 15:30		[Coffee Break]
15:30 – 15:50	14	Knowledge management, communications and outreach
15:50 – 16:00	16	Date and venue of meetings in 2022 and onwards
16:00 – 16:10	17	Implementation of the code of conduct
16:10 – 16:40	18	Other matters
16:40 – 17:00		[Coffee Break] → (The secretariat to finalize the decision text)
17:00 – 17:30	19	Adoption of the report
	20	Closure of the meeting