



ADAPTATION FUND

AFB/B.38/11/Rev.1
25 April 2022

Adaptation Fund Board
Thirty-eighth meeting
Bonn, Germany, and online, 7–8 April 2022

Agenda item 2: Board membership and election of outstanding officers

(a) Board membership

1. The Adaptation Fund Board (the Board) decided to appoint:
 - (a) Mr. Albara Tawfiq (Saudi Arabia, Asia-Pacific) as a member replacing Mr. Ahmed Waheed (Maldives, Asia-Pacific);
 - (b) Mr. Ahmed Waheed (Maldives, Asia-Pacific) as an alternate member replacing Mr. Albara Tawfiq (Saudi Arabia, Asia-Pacific).

(Decision B.38/1)

2. The Adaptation Fund Board (the Board) decided to elect:
 - (a) Mr. Albara Tawfiq (Saudi Arabia, Asia-Pacific) as Chair of the Board;
 - (b) Mr. Antonio Navarra (Italy, Western Europe and Others Group) as Vice-Chair of the Board;
 - (c) Mr. Michai Robertson (Antigua and Barbuda, Small Island Developing States) as Vice-Chair of the Ethics and Finance Committee;
 - (d) Ms. Fatou Ndeye Gaye (The Gambia, Africa) as Chair of the Project and Programme Review Committee;
 - (e) Mr. Kevin Adams (United States of America, Annex I) as Chair of the Accreditation Panel;
 - (f) Ms. Patience Damptey (Ghana, Africa) as Vice-Chair of the Accreditation Panel.

(Decision B.38/2)

Agenda item 7: Report of the Accreditation Panel

3. Having considered the recommendation of the Accreditation Panel and following the fast-track accreditation process approved by Decision B.32/1, the Adaptation Fund Board decided to accredit the Caribbean Community Climate Change Centre as a Regional Implementing Entity of the Adaptation Fund for five years, as per paragraph 38 of the operational policies and guidelines for Parties to access resources from the Adaptation Fund. The accreditation expiration date is 7 April 2027.

(Decision B.38/3)

4. Having considered documents AFB/B.38/4/Add.1, AFB/B.38/4/Add.2/Rev.1 and AFB/B.38/4/Add.3, the Adaptation Fund Board (the Board) decided:

- (a) To postpone its consideration of the reaccreditation applications of the implementing entities that have failed or refused to submit the top-level management statement (TLMS) required for accreditation and reaccreditation until its thirty-ninth meeting;
- (b) To establish a task force to provide additional input to the secretariat on the nine options for addressing the TLMS-related matters outlined in document AFB/B.35.b/4/Add.1, taking into account the information set out in the documents;
- (c) To elect the following as members of the task force, to serve until the thirty-ninth meeting of the Board, to perform the task described in subparagraph (b), above:
 - (i) Mr. Kevin Adams (United States of America, Annex I Parties)
 - (ii) Mr. Michai Robertson (Antigua and Barbuda, Small Island Developing States)
 - (iii) Mr. Mattias Bachmann (Switzerland, Western European and Others Group)
 - (iv) Mr. Idy Niang (Senegal, Africa);
- (d) To request the task force and the secretariat to report to the Board at its thirty-ninth meeting on the work described in subparagraphs (a) and (b), above.

(Decision B.38/4)

Agenda item 8: Report of twenty-ninth meeting of the Project and Programme Review Committee

(a) *Report of the secretariat on the initial screening/technical review of project and programme proposals*

5. Having considered the recommendation of the Project and Programme Review Committee (PPRC), the Adaptation Fund Board decided to include in its work programme for the fiscal year 2023 a provision for the amount of US\$ 60 million, to be provisionally set aside as follows:

- (a) Up to US\$ 59 million for the funding of regional project and programme proposals;
- (b) Up to US\$ 1 million for the funding of project formulation grant requests for preparing regional project and programme concept or fully developed project documents.

(Decision B.38/5)

(b) *Review of single-country project and programme proposals*

(i) **Fully developed proposals**

a. Proposals from national implementing entities: regular proposals

Niger: Agriculture Climate-Resilient Value Chain Development in Niger (Fully developed project; Banque Agricole du Niger (BAGRI); AF00000299; US\$ 9,982,000)

6. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not approve the fully developed project proposal, as supplemented by the clarification responses provided by the Banque Agricole du Niger (BAGRI) to the request made by the technical review;
- (b) To suggest that BAGRI reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:

- (v) The proposal should further detail the project activities and specify if some project components include unidentified sub-projects;

- (vi) The proposal should provide improved analyses of the project cost-effectiveness and justification of the funding requested based on the full cost of adaptation reasoning;

- (vii) The proposal should ensure full compliance with the environmental and social policy and the gender policy of the Fund, including completing a stakeholder consultation, providing a detailed environmental and social risk analysis and management plan, as well as a gender assessment and action plan;

- (viii) The proposal should provide further details on the implementation arrangements;

- (ix) The proposal should elaborate on a larger set of participants and beneficiaries;
- (x) The proponent should consider submitting this proposal as a concept note, using the two-step approach, which would enable accessing a project formulation grant to support developing the necessary elements required for a fully developed project proposal, including the items mentioned in subparagraphs (i)–(v), above;
- (c) To request BAGRI to transmit the observations under subparagraph (b) to the Government of Niger.

(Decision B.38/6)

United Republic of Tanzania (1): Karatu Climate Resilience and Adaptation Project for Hadzabe and Datoga Communities – KARAHADA (Fully developed project; National Environment Management Council (NEMC); AF00000255; US\$ 2,500,000)

7. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not approve the fully developed project proposal, as supplemented by the clarification responses provided by the National Environment Management Council (NEMC) to the request made by the technical review;
- (b) To suggest that NEMC reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board’s decision, as well as the following issues:
 - (i) The proposal should fully identify the project activities and demonstrate compliance with the Environmental and Social Policy and Gender Policy;
 - (ii) The proponent should include gender-disaggregated data and project indicators;
- (c) To request NEMC to transmit the observations under subparagraph (b) to the Government of the United Republic of Tanzania.

(Decision B.38/7)

United Republic of Tanzania (2): Restoration of Lake Babati for Enhanced Climate Change Adaptation in Babati District (Fully developed project; National Environment Management Council (NEMC); AF00000256; US\$ 4,000,086)

8. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not approve the fully developed project proposal, as supplemented by the clarifications provided by the National Environment Management Council (NEMC) in response to the request made by the technical review;

(b) To suggest that NEMC reformulate the proposal, taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:

- (i) The proposal should demonstrate how the project will ensure the equitable distribution of benefits to vulnerable communities, households, and individuals;
- (ii) The proposal should demonstrate how the consultative process has addressed environmental and social safeguards, monitoring, mitigation, and management;
- (iii) The proposal should describe the arrangements (i.e., what framework, method, or process) for stakeholders' views to be heard effectively throughout project implementation, including for environmental and social risks and safeguards;
- (iv) The proposal should elaborate in detail and integrate in the results framework, the key aspects of the project that will ensure long-term uninterrupted irrigation and water supply systems (including how arrangements for revenue generation for water users' associations provide for long-term sustainability of these systems);
- (v) The proposal should ensure compliance with the Fund's environmental and social policy (ESP) clarifying which of the 15 principles of the ESP were triggered during the screening process, as well as how the risks will be managed through the project monitoring and evaluation plan;
- (vi) The proposal should ensure compliance with the Fund's Gender Policy, by providing a comprehensive gender assessment;

(c) To request NEMC to transmit the observations under subparagraph (b) to the Government of the United Republic of Tanzania.

(Decision B.38/8)

Zimbabwe: Enhancing Resilience of Communities and Ecosystems in the Face of a Changing Climate in Arid and Semi-Arid Areas of Zimbabwe (Fully developed project; Environmental Management Agency (EMA); AF00000233; US\$ 4,989,000)

9. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not approve the fully developed project proposal, as supplemented by the clarification responses provided by the Environmental Management Agency (EMA) to the request made by the technical review;
- (b) To suggest that EMA reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The proposal should provide further details on the Operations and Maintenance funding mechanism and its sustainability;

- (ii) The proponent should better articulate the climate impacts and proposed co-benefits;
 - (iii) The proposal should clarify activities supporting enabling environment and policy;
- (c) To request EMA to transmit the observations under subparagraph (b) to the Government of Zimbabwe.

(Decision B.38/9)

b. Proposals from regional implementing entities: regular proposals

Trinidad and Tobago: Multisectoral Adaptation Measures to Climate Change in the South Oropouche River Basin for Flood Relief (Fully developed project; Development Bank of Latin America (CAF); AF00000261; US\$10,000,000)

10. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To approve the fully developed project proposal, as supplemented by the clarification responses provided by the Development Bank of Latin America (CAF) to the request made by the technical review;
- (b) To approve the funding of US\$10,000,000 for the implementation of the project, as requested by CAF;
- (c) To request the secretariat to draft an agreement with CAF as the regional implementing entity for the project.

(Decision B.38/10)

c. Proposals from multilateral implementing entities: regular proposals

Central African Republic: Increasing the Adaptation Capacity and Resilience of Rural Communities to Climate Change in the Central African Republic (Fully developed project; International Fund for Agricultural Development (IFAD); AF00000278; US\$ 10,000,000)

11. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not approve the fully developed project proposal, as supplemented by the clarification responses provided by the International Fund for Agricultural Development (IFAD) to the request made by the technical review;
- (b) To suggest that IFAD reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The proposal should provide more details and quantitative estimations of the economic, social and environmental benefits of the project;

- (ii) The proposal should provide more details on the policy priorities related to the project and on the strengthening of the collaboration with research institutions for the selection of the new varieties;
 - (iii) The proponent should provide a more in-depth analysis of the cost-effectiveness of the proposed adaptation measures;
 - (iv) The proposal should include an improved analysis and justification of the environmental and social risks and ensure full compliance with all the requirements under the Environmental and Social Policy of the Fund;
 - (v) The budget and disbursement schedules should be revised to ensure that there are no discrepancies;
- (c) To request IFAD to transmit the observations under subparagraph (b) to the Government of the Central African Republic.

(Decision B.38/11)

Kyrgyzstan: Regional Resilient Pastoral Communities Project - Adapt (Fully developed project; International Fund for Agricultural Development (IFAD); AF00000226; US\$ 9,999,313)

12. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To approve the fully developed project proposal, as supplemented by the clarification responses provided by the International Fund for Agricultural Development (IFAD) to the request made by the technical review;
- (b) To approve the funding of US\$ 9,999,313 for the implementation of the project, as requested by IFAD;
- (c) To request the secretariat to draft an agreement with IFAD as the multilateral implementing entity for the project.

(Decision B.38/12)

(ii) Concepts

a. Proposals from national implementing entities: regular proposals

Benin (1): Building Resilience to Climate Change of the Neighboring Populations of the Classified Forests of Bassila and Penessoulou in the Central Region of Benin (Concept note; Fonds National pour l'Environnement et le Climat (FNEC); AF00000292; US\$ 2,934,545)

13. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To endorse the concept note as supplemented by the clarification responses provided by the Fonds National pour l'Environnement et le Climat (FNEC) to the request made by the technical review;
- (b) To request the secretariat to notify FNEC of the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The fully developed project proposal should further elaborate on the details of the activities to be undertaken and their concrete adaptation outcomes and their alignment with the Fund's result framework;
 - (ii) The fully developed project proposal should provide more details on how it aligns with and/or contribute to the implementation of the national plans and strategies;
 - (iii) The fully developed project proposal should provide more in-depth information on gender and on vulnerable groups in the project area and how these were engaged in the consultations;
- (c) To approve the project formulation grant of US\$ 27,000;
- (d) To encourage the Government of Benin to submit, through FNEC, a fully developed project proposal.

(Decision B.38/13)

Benin (2): Project to Strengthen Food Security and Community Resilience to Climate Change in the Communes of Boukombe and Bopa (Concept note; Fonds National pour l'Environnement et le Climat (FNEC); AF00000290; US\$ 3,053,742)

14. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To endorse the concept note as supplemented by the clarification responses provided by the Fonds National pour l'Environnement et le Climat (FNEC) to the request made by the technical review;
- (b) To request the secretariat to notify FNEC of the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The fully developed project proposal should include a complete gender assessment and action plan, the results of which should be clearly streamlined within the relevant sections of the proposal;
 - (ii) The fully developed project proposal should include a more in-depth analysis of the cost-effectiveness of the proposed adaptation options based on quantitative data;

- (c) To encourage the Government of Benin to submit, through FNEC, a fully developed project proposal.

(Decision B.38/14)

Costa Rica: Increasing the Resilience of Vulnerable Populations in Costa Rica by Scaling Up Adapta2+ (Concept note; Fundecooperación para el Desarrollo Sostenible (Fundecooperación); AF00000257; US\$ 10,000,000)

15. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To endorse the concept note as supplemented by the clarification responses provided by the Fundecooperación para el Desarrollo Sostenible (Fundecooperación) to the request made by the technical review;
- (b) To request the secretariat to notify Fundecooperación of the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The fully developed project proposal should provide details of the benefits for women and indigenous peoples (if present in the project area);
 - (ii) The fully developed project proposal should include an in-depth analysis of the cost effectiveness of the proposed finance instruments;
 - (iii) The fully developed project proposal should present the findings of comprehensive consultations at the local level, considering the interests and concerns of marginalized and vulnerable groups;
- (c) To approve the project formulation grant of US\$ 50,000;
- (d) To request Fundecooperación to transmit the observations under subparagraph (b) to the Government of Costa Rica;
- (e) To encourage the Government of Costa Rica to submit, through Fundecooperación, a fully developed project proposal that would also address the observations under subparagraph (b), above.

(Decision B.38/15)

Côte d'Ivoire: Strengthen the Resilience of Smallholder Farmers to the Effects of Climate Change through the Adoption of Proven Innovative Technologies and Practices (Concept note; Fonds Interprofessionnel pour la Recherche et le Conseil Agricoles (FIRCA); AF00000294; US\$ 4,000,000)

16. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not endorse the concept note, as supplemented by the clarification responses provided by the Fonds Interprofessionnel pour la Recherche et le Conseil Agricoles (FIRCA) to the request made by the technical review;
- (b) To suggest that FIRCA reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The proposal should indicate the equitable distribution of benefits to vulnerable households or individuals;
 - (ii) The proposal should explain how it meets the full cost of adaptation reasoning;
 - (iii) The proposal should include an initial gender analysis in compliance with the Fund's Gender Policy;
 - (iv) The proposal should provide a detailed environmental and social risk screening in alignment with the Fund's Environmental and Social Policy;
- (c) To not approve the project formulation grant of US\$ 50,000;
- (d) To request FIRCA to transmit the observations under subparagraph (b) to the Government of Côte d'Ivoire.

(Decision B.38/16)

Honduras: Let's Save the Merendon (Concept note; Comisión de Acción Social Menonita (CASM); AF00000258; US\$ 4,000,000)

17. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not endorse the concept note as supplemented by the clarifications provided by the Comisión de Acción Social Menonita (CASM) to the request made by the technical review;
- (b) To suggest that CASM reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The proposal should demonstrate how the proposed activities will address the adverse impacts and risks posed by climate change;
 - (ii) The proposal should state in a logical manner how the project will comply with each relevant national technical standard identified;
 - (iii) The proposal should further elaborate on the complementarities, coherence and synergies with other relevant projects and initiatives in the country;

- (iv) The proposal should include evidence that a dedicated initial consultative process took place with key stakeholders, and that its findings informed the project design;
- (v) The proposal should describe the arrangements through which the project activities will be sustained after its end;
- (c) To not approve the project formulation grant of US\$ 50,000;
- (d) To request CASM to transmit the observations under subparagraph (b) to the Government of Honduras.

(Decision B.38/17)

Peru: Building a Program for Adaptation and Resilience to Climate Change of Andean Local Communities and Ecosystems in Peru (Concept note; Peruvian Trust Fund for National Parks and Protected Areas (PROFONANPE); AF00000296; US\$5,465,145)

18. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not endorse the concept note, as supplemented by the clarification responses provided by the Peruvian Trust Fund for National Parks and Protected Areas (PROFONANPE) to the request made by the technical review;
- (b) To suggest that PROFONANPE reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The proposal should provide more details regarding project beneficiaries, including population size of the communities;
 - (ii) The proponents should carry out a consultative process involving all key stakeholders, vulnerable and minority groups, including gender considerations;
 - (iii) The proposal should further elaborate on the complementarities, coherence and synergies with other relevant projects and initiatives in the country;
- (c) To request PROFONANPE to transmit the observations under subparagraph (b) to the Government of Peru.

(Decision B.38/18)

Uganda: Enhancing Community Adaptation to Climate Change through Climate Resilient Flood Early Warning, Catchment Management and Wash Technologies in Mpologoma Catchment, Uganda (Concept note; Ministry of Water and Environment (MoWE); AF00000260; US\$9,504,600)

19. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To endorse the concept note as supplemented by the clarification responses provided by the Ministry of Water and Environment (MoWE) to the request made by the technical review;
- (b) To request the secretariat to notify MoWE of the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The fully developed project proposal should provide a complete gender assessment and action plan and show how their results are integrated in defining the project activities;
 - (ii) The fully developed project proposal should further elaborate on the financial sustainability and demonstrate that Catchment Management Organizations have adequate long-term funding, effective institutional arrangements, and oversight after the project ends;
- (c) To approve the project formulation grant of US\$ 45,000;
- (d) To encourage the Government of Uganda to submit, through MoWE, a fully developed project proposal that would also address the observations under subparagraph (b), above.

(Decision B.38/19)

b. Proposals from regional implementing entities: regular proposals

Argentina: Strengthening Community Resilience of Rural Populations in the Drylands of Northwestern Argentina Facing Climate Change, Improving Access to Water and the Implementation of Sustainable Land Management Practices (Concept note; Development Bank of Latin America (CAF) AF00000291; US\$ 10,000,000)

20. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not endorse the concept note as supplemented by the clarification responses provided by the Development Bank of Latin America (CAF) to the request made by the technical review;
- (b) To suggest that CAF reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The proposal should carry out a consultation process with stakeholders, also reflecting gender considerations. A report should be provided as an annex and the project document should indicate how the consultation outcomes have been integrated in the project design;
 - (ii) The proponent should include more details regarding its financial sustainability, including which local organizations will manage the proposed revolving fund;

(c) To request CAF to transmit the observations under subparagraph (b) to the Government of Argentina.

(Decision B.38/20)

Papua New Guinea: Adaptation of Small-Scale Agriculture for Improved Food Security of Resilient Communities in Papua New Guinea (Concept note; The Pacific Community (SPC); AF00000298; USD 9,908,461)

21. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

(a) To endorse the concept note as supplemented by the clarification responses provided by The Pacific Community (SPC) to the request made by the technical review;

(b) To request the secretariat to notify SPC of the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:

(i) Project level indicators and budget distributions to outputs level will be further refined at the fully developed project proposal stage following further consultations and analysis;

(ii) The fully developed project proposal should have an in-depth cost-effectiveness analysis with quantitative data comparing the selected adaptation options with alternative adaptation options to the same climate challenges in the same context;

(iii) The fully developed project proposal should further elaborate on the programme on afforestation with a view to ensure ecological resilience;

(iv) The fully developed project proposal should address the resilience of the proposed infrastructure;

(v) The fully developed project proposal should elaborate on the knowledge management component;

(c) To encourage the Government of Papua New Guinea to submit, through SPC, a fully developed project proposal that would also address the observations under subparagraph (b), above.

(Decision B.38/21)

c. Proposals from multilateral implementing entities: regular proposals

Cabo Verde: Increasing the Resilience of Local Communities to Climate Change through Improved Watershed Management and Land Restoration (Concept note; Food and Agriculture Organization of the United Nations (FAO); AF00000293; US\$9,998,228)

22. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To endorse the concept note as supplemented by the clarification responses provided by the Food and Agriculture Organization of the United Nations (FAO) to the request made by the technical review;
- (b) To request the secretariat to notify FAO of the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The fully developed project proposal should provide a detailed cost estimation of farm-level infrastructure and further clarify the community-based model for their operation and maintenance;
 - (ii) The fully developed project proposal should amend the execution cost to or below 9.5 per cent;
 - (iii) The proponent should further elaborate on the complementarities, coherence and synergies with other relevant projects and initiatives in the country;
- (c) To request FAO to transmit the observations under subparagraph (b) to the Government of Cabo Verde;
- (d) To encourage the Government of Cabo Verde to submit, through FAO, a fully developed project proposal that would also address the observations under subparagraph (b), above.

(Decision B.38/22)

Lao People's Democratic Republic: Enhancing Adaptive Capacity in Lao PDR Provinces, and Building Resilient Housing in Vulnerable Communities (Concept note; United Nations Human Settlements Programme (UN-Habitat); AF00000295; US\$ 6,811,474)

23. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not endorse the concept note, as supplemented by the clarification responses provided by the United Nations Human Settlements Programme (UN-Habitat) to the request made by the technical review;
- (b) To suggest that UN-Habitat reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The proposal should revise the process of allocating project benefits to align with the principle of equitable access to benefits set forth in the Fund's Environmental and Social Policy;

- (ii) The proposal should describe how operations and maintenance costs of the proposed evacuations centers and meteorological and hydrological stations will be sustained beyond the end of the project;
 - (iii) The proposal should further elaborate on the complementarities, coherence and synergies with other relevant projects and initiatives in the country;
- (c) To request UN-Habitat to transmit the observations under subparagraph (b) to the Government of Lao People's Democratic Republic.

(Decision B.38/23)

Nicaragua: Climate Resilience and Livelihoods in the Nicaraguan Dry Corridor (CRLNDC) (Concept note; Food and Agriculture Organization of the United Nations (FAO); AF00000262; US\$ 10,000,000)

24. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To endorse the concept note as supplemented by the clarification responses provided by the Food and Agriculture Organization of the United Nations (FAO) to the request made by the technical review;
- (b) To request the secretariat to notify FAO of the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The fully developed project proposal should provide a detailed environmental and social risk screening to be carried out within the community consultations;
 - (ii) The fully developed project proposal should include a full gender assessment;
 - (iii) The fully developed project proposal should define the incentives to guide the transfer of assets;
- (c) To request FAO to transmit the observations under subparagraph (b) to the Government of Nicaragua;
- (d) To encourage the Government of Nicaragua to submit, through FAO, a fully developed project proposal that would also address the observations under subparagraph (b), above.

(Decision B.38/24)

Philippines: Harnessing the Water-Energy-Food Nexus to Address and Adapt to Climate Change Impacts in Tawi-Tawi (Concept note; United Nations Industrial Development Organization (UNIDO); AF00000297; US\$ 5,463,643)

25. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To endorse the concept note as supplemented by the clarification responses provided by the United Nations Industrial Development Organization (UNIDO) to the request made by the technical review;
- (b) To request the secretariat to notify UNIDO of the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The fully developed project proposal should demonstrate compliance with the Fund's Environmental and Social Policy and Gender Policy and place more emphasis on the participation of women in decision-making and direct management of resources of this project;
 - (ii) The fully developed project proposal should reconfirm specific targets for the concrete investments, based on the findings of the feasibility study;
 - (iii) The proponent should further elaborate on the complementarities, coherence and synergies with other relevant projects and initiatives in the country;
- (c) To request UNIDO to transmit the observations under subparagraph (b) to the Government of Philippines;
- (d) To encourage the Government of Philippines to submit, through UNIDO, a fully developed project proposal that would also address the observations under subparagraph (b), above.

(Decision B.38/25)

Sri Lanka: Build Resilience to Climate Change and Climate Variability of Vulnerable Communities in Mullaitivu District of Sri Lanka (Concept note; United Nations Human Settlements Programme (UN-Habitat); AF00000279; US\$ 2,000,000)

26. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To endorse the concept note as supplemented by the clarification responses provided by the United Nations Human Settlements Programme (UN-Habitat) to the request made by the technical review;
- (b) To request the secretariat to notify of the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The fully developed project proposal should identify all the adaptation options and the precise locations where these will be undertaken;
 - (ii) The fully developed project proposal should adjust the proposal's alignment with the Fund's Strategic Results Framework;
 - (iii) The proponent should further demonstrate the cost-effectiveness of the adaptation measures also from a sustainability point of view;

(c) To request UN-Habitat to transmit the observations under subparagraph (b) to the Government of Sri Lanka;

(d) To encourage the Government of Sri Lanka to submit, through UN-Habitat, a fully developed project proposal that would also address the observations under subparagraph (b), above.

(Decision B.38/26)

Zambia: Climate Change Adaptation through Rural Finance (Concept note; International Fund for Agricultural Development (IFAD); AF00000280; US\$ 10,000,000)

27. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

(a) To not endorse the concept note, as supplemented by the clarification responses provided by the International Fund for Agricultural Development (IFAD) to the request made by the technical review;

(b) To suggest that IFAD reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:

(i) The proposal should clearly demonstrate how it supports concrete, cost-effective and sustainable adaptation actions with tangible outputs, and clear social, economic and environmental benefits;

(ii) The proponent should provide more details and demonstrate compliance with the Fund's Environmental and Social Policy and Gender Policy;

(iii) The proponent should further elaborate on the complementarities, coherence and synergies with other relevant projects and initiatives in the country;

(c) To request IFAD to transmit the observations under subparagraph (b) to the Government of Zambia.

(Decision B.38/27)

(c) *Review of regional project and programme proposals*

(i) Fully developed proposals

a. Proposals from regional implementing entities

Belize, Guatemala, Honduras: Use of Nature-based Solutions to Increase Resilience to Extreme Climate Events in the Atlantic Region of Central America (Fully developed project; Central American Bank for Economic Integration (CABEI); AF00000281; US\$ 13,248,121)

28. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not approve the fully developed project proposal, as supplemented by the clarification responses provided by the Central American Bank for Economic Integration (CABEI) to the request made by the technical review;
- (b) To suggest that CABEI reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The proposal should provide detailed information of the consultation undertaken at community level in each participating country and on how it will empower communities, including women and youth, during implementation;
 - (ii) The proposal should further elaborate on the complementarities, coherence and synergies with other relevant projects and initiatives in the region and better inform its knowledge management strategy and sharing of lessons learned and best practices;
 - (iii) The proposal should include a set of criteria and cost/benefit analysis to support the investment of other actors, including the private sector, in restoration measures;
 - (iv) The proposal should ensure full compliance with the Fund's Environmental and Social Policy and Gender Policy, including a comprehensive gender action plan, a more elaborate Grievance Redress Mechanism and clear provisions for the implementation and monitoring of the Environmental and Social Management Plan;
- (c) To request CABEI to transmit the observations under subparagraph (b) to the Governments of Belize, Guatemala, and Honduras.

(Decision B.38/28)

b. Proposals from multilateral implementing entities

Antigua and Barbuda, Saint Lucia: Increasing the Resilience of the Education System to Climate Change Impacts in the Eastern Caribbean (Fully developed project; United Nations Human Settlements Programme (UN-Habitat); AF00000192; US\$ 13,996,500)

29. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not approve the fully developed project proposal, as supplemented by the clarification responses provided by the United Nations Human Settlements Programme (UN-Habitat) to the request made by the technical review;
- (b) To suggest that UN-Habitat reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The proposal should strengthen the value added of a regional approach;

- (ii) The proponent should further elaborate on the complementarities, coherence and synergies with other relevant projects and initiatives in the region;
 - (iii) The proposal should strengthen the cost-effectiveness analysis by providing different scenarios and a rationale for the proposed solutions;
 - (iv) The proponents should provide a full gender assessment;
- (d) To request UN-Habitat to transmit the observations under subparagraph (b) to the Governments of Antigua and Barbuda and Saint Lucia.

(Decision B.38/29)

Chad, Sudan: Strengthening Resilience to Climate and Covid-19 Shocks through Integrated Water Management on the Sudan – Chad Border Area (SCCIWM) (Fully developed project; Food and Agriculture Organization of the United Nations (FAO); AF00000248; US\$ 14,000,000)

30. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To approve the fully developed project proposal, as supplemented by the clarification responses provided by the Food and Agriculture Organization of the United Nations (FAO) to the request made by the technical review;
- (b) To approve the funding of US\$ 14,000,000 for the implementation of the project, as requested by FAO;
- (c) To request the secretariat to draft an agreement with FAO as the multilateral implementing entity for the project.

(Decision B.38/30)

Côte d'Ivoire, Ghana: Improved Resilience of Coastal Communities in Côte d'Ivoire and Ghana (Fully developed project; United Nations Human Settlements Programme (UN-Habitat); AF00000121; USD 13,986,990)

31. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not approve the fully developed project proposal, as supplemented by the clarification responses provided by the United Nations Human Settlements Programme (UN-Habitat) to the request made by the technical review;
- (b) To suggest that UN-Habitat reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The proposal should focus its activities on climate change adaptation, whilst avoiding the risk of maladaptation;

- (ii) The proposal should demonstrate its regional relevance and added value;
 - (iii) The proponent should demonstrate its alignment with the Fund's Environmental and Social Policy and Gender Policy;
 - (iv) The proponent should revise the project execution arrangements and administrative costs;
- (c) To request UN-Habitat to transmit the observations under subparagraph (b) to the Governments of Côte d'Ivoire and Ghana.

(Decision B.38/31)

(d) Review of enhanced direct access project and programme proposals

(i) Concepts

Peru: Fund for Innovative Solutions in Adaptation in Peru (Concept note; Peruvian Trust Fund for National Parks and Protected Areas (PROFONANPE); AF00000283; US\$ 5,000,000)

32. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not endorse the enhanced direct access (EDA) concept note, as supplemented by the clarification responses provided by the Peruvian Trust Fund for National Parks and Protected Areas (PROFONANPE) to the requests made by the technical review;
- (b) To request the secretariat to notify PROFONANPE of the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The proposal should provide a cost-effectiveness analysis, including quantitative estimates of the cost differentiation between the chosen activities and those of alternatives that were considered;
 - (ii) The proposal should provide information on the expected beneficiaries of the EDA programme, with particular reference to the equitable distribution of benefits to vulnerable communities, households, and individuals;
 - (iii) The proposal should link expected project outcomes to the adaptation objectives of the EDA model and approach, and clearly articulate how outcomes will be achieved regardless of co-finance leveraged from other sources is realized;
 - (iv) The proposal should link expected project outcomes to the adaptation objectives of the EDA model and approach, and clearly articulate how outcomes will be achieved regardless of co-finance leveraged from other sources is realized;
 - (v) An initial gender assessment that determines the different needs, capabilities, roles and knowledge resources of women, youth and other vulnerable groups, and how changing gender dynamics might drive lasting change within the targeted beneficiaries of the EDA mechanism, should be submitted;

- (c) To request PROFONANPE to transmit the observations under subparagraph (b) to the Government of Peru.

(Decision B.38/32)

United Republic of Tanzania: Building Rural-Urban Climate Change Adaptation Nexus for Sustained Local Economies Development in Tanzania (Concept note; National Environment Management Council (NEMC); AF00000284; US\$ 4,951,245)

33. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To not endorse the enhanced direct access concept note, as supplemented by the clarification responses provided by the National Environment Management Council (NEMC) to the requests made by the technical review;
- (b) To request the secretariat to notify NEMC of the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The proposal should clarify on the arrangements for technical assistance to local project stakeholders and how it will benefit the intended beneficiaries;
 - (ii) The proposal should provide more clarification on a gender assessment that describes how the project will address the different needs, capabilities, roles and knowledge resources of women and men;
 - (iii) The proposal should clarify on how the requested funds are not going to duplicate funds from other existing projects;
 - (iv) The proposal should revise the risk screening table such that focus is on the risk of negative impacts, and provide detailed information and justifications on the assumptions in the risk assessment;
- (c) To not approve the project formulation grant of US\$ 50,000;
- (d) To request NEMC to transmit the observations under subparagraph (b) to the Government of the United Republic of Tanzania.

(Decision B.38/33)

(e) *Review of large innovation project and programme proposals*

(i) **Fully developed proposals**

a. *Proposal from a national implementing entity*

Bangladesh: Access to Safe Drinking Water for the Climate Vulnerable People in Coastal Areas of Bangladesh (Fully developed proposal; Palli Karma-Sahayak Foundation (PKSF); AF00000285; US\$5,000,000)

34. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

(a) To not approve the fully developed large innovation project proposal as supplemented by the clarification responses provided by Palli Karma-Sahayak Foundation (PKSF) to the requests made by the technical review;

(b) To suggest that PKSF reformulate the proposal taking into account the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:

(i) The proposal should clarify the participatory approach for management of reverse osmosis (RO) systems, clarify the pricing system and the costs to local committees for longer term operation and maintenance;

(ii) The proposal should clarify if the target beneficiaries of the selected three coastal districts are different from the those under the previous pilot projects and how they are different in terms of their structure, culture, water usage, water management;

(iii) The proposal should clarify how the RO systems are sustainable, cost-effective, inclusive and follow appropriate technical standards;

(iv) The proposal should clarify how knowledge and learning are integrated in the project design, and further describe the planned knowledge hubs for capture and dissemination of knowledge;

(v) The proposal should provide an estimate of the maintenance cost of systems to be installed;

(c) To request PKSF to transmit the observations under subparagraph (b) to the Government of Bangladesh.

(Decision B.38/34)

(ii) Concepts*a. Proposal from a multilateral implementing entity*

Egypt: Building Resilience in the Old Lands by Combining Innovations in Irrigation, Agriculture, and Livelihood Activities (Concept note; Food and Agriculture Organization of the United Nations (FAO); AF00000286; US\$ 4,873,400)

35. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To endorse the large innovation project concept note, as supplemented by the clarification responses provided by the Food and Agriculture Organization of the United Nations (FAO) to the requests made by the technical review;
- (b) To request the secretariat to notify FAO of the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:
 - (i) The fully developed project proposal should include a scenario analysis of investment return, calculated per measure to prove the eligibility of individual and suite-of-measures in pro-poor context;
 - (ii) The fully developed project proposal should identify a mitigation plan and adaptive management measures to address the risk of innovation uptake and failure;
 - (iii) The fully developed project proposal should clarify cost estimates for operation and maintenance of concrete solutions;
- (c) To request FAO to transmit the observations under subparagraph (b) to the Government of Egypt;
- (d) To encourage the Government of Egypt to submit, through FAO, a fully developed project proposal that would also address the observations under subparagraph (b), above.

(Decision B.38/35)

(iii) Pre-concepts*a. Proposal from a multilateral implementing entity*

Republic of The Gambia, United Republic of Tanzania: Enhancing Hydromet Services through Regional Monitoring Innovation Hubs in Africa (Pre-concept note; World Meteorological Organization (WMO); AF00000288; US\$ 5,000,000)

36. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To endorse the large innovation project pre-concept note as supplemented by the clarification responses provided by the World Meteorological Organization (WMO) to the request made by the technical review;

(b) To request the secretariat to notify WMO of the observations in the review sheet annexed to the notification of the Board's decision, as well as the following issues:

(i) The concept note should include a justification for the involvement of the UK Centre for Ecology and Hydrology (UKCEH) as an executing entity, as well as highlight the unique strengths and positioning of UKCEH for executing the project in the target region;

(ii) The concept note should submit a comprehensive mapping of ongoing and planned activities identifying synergies, seeking collaboration with regional and national institutions in the region and ensuring non-duplication;

(iii) The concept note should describe collaborations in both countries with existing incubators and accelerators established across different sectors that could provide useful linkages with the planned hydrometry innovation hubs;

(iv) The concept note should describe the selection criteria for proposed innovation calls and clarify if they will be completely developed at the full proposal stage, or if there will be further development and refined as the project progresses during implementation;

(v) At the concept note stage, the proposal should describe the innovative solutions that will be leveraged under the project, that have already been through proof-of-concept testing but need further assistance to tailor them to the needs of hydromet services or help operational services transition to the new technology in West and East Africa;

(vi) At the concept note stage sustainability considerations should be described from environmental, social, institutional, economic and financial perspectives;

(c) To request WMO to transmit the observations under subparagraph (b) to the Governments of the Republic of The Gambia and United Republic of Tanzania;

(d) To encourage the Governments of the Republic of The Gambia and United Republic of Tanzania to submit through WMO, a concept note that would also address the observations under subparagraph (b), above.

(Decision B.38/36)

(f) Review of innovation small grant proposals

Uganda: Enhancing Resilience to Climate-induced Flooding and Drought through the Deployment of a Water-filled Barrier (Ministry of Water and Environment (MoWE); AFRDG00060; US\$ 250,000)

37. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To approve the innovation small grant, as supplemented by the clarification responses provided by the Ministry of Water and Environment (MoWE) to the requests made by the technical review;
- (b) To approve the funding of US\$ 250,000 for the implementation of the project, as requested by MoWE;
- (c) Request the secretariat to draft an agreement with MoWE as the national implementing entity for the project.

(Decision B.38/37)

(g) Report of the secretariat on the intersessional review cycle for the readiness grants

38. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To approve the updated application form for readiness package grants as presented in Annex I of document AFB/PPRC.29/40;
- (b) To approve the updated review template for readiness package grants as presented in Annex II of document AFB/PPRC.29/40;
- (c) To request the Adaptation Fund Board Secretariat to make available on the Fund website, the updated review templates and application form mentioned in subparagraphs (a) and (b), above;
- (d) To request the Adaptation Fund Board Secretariat to notify all accredited national implementing entities of the amended and new application form and review templates for readiness package grants above.

(Decision B.38/38)

(h) Funding provisions for regional projects and programmes and for enhanced direct access projects for fiscal year 2023

39. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided to include in its work programme for fiscal year 2023 a provision in the amount of US\$ 20.2 million, to be provisionally set aside as follows:

- (a) Up to US\$ 20 million for the funding of enhanced direct access projects;

(b) Up US\$ 200,000 for the funding of project formulation and project formulation grant requests for preparing enhanced direct access fully developed project documents.

(Decision B.38/39)

(i) *Full cost of adaptation reasoning*

40. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

(a) To request the secretariat to develop, through a consultative process, guidance on optional co-financing based on the current interpretation of the full cost of adaptation, which, inter alia:

- (i) Defines the scope and parameters for Adaptation Fund co-financing;
- (ii) Identifies the suite of financial instruments that can be utilized;
- (iii) Outlines pathways to address potential risks;

(b) To present the draft guidance for the consideration of the PPRC at its thirty-first meeting.

(Decision B.38/40)

(j) *Analysis of the issues related to the use of unidentified subprojects*

41. Having considered the recommendation of the Project and Programme Review Committee (PPRC), the Adaptation Fund Board (the Board) decided:

(a) To request the secretariat to prepare a document containing updated guidance on unidentified sub-projects (USPs), including further elaborated criteria on the use of USPs in a project/programme and to present it for consideration by the PPRC at its thirtieth meeting;

(b) To encourage implementing entities to consult with the secretariat on matters related to USPs during project/programme formulation.

(Decision B.38/41)

(k) *Clarification of regional implementation and execution costs*

42. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board decided:

(a) To set the upper limit for administrative costs of regional projects/programmes, at 10 per cent of the project/programme cost for implementing entity (IE) fees and at 10 per cent of the project/programme cost for execution costs;

(b) To request IEs, in the exceptional cases where an IE provides part or all of the execution services:

(i) To provide justifications to demonstrate its advantages compared to other entities, agencies or organizations that could provide the selected execution services, particularly for the execution of activities at national or subnational level;

(ii) To limit the execution costs of the IE to 1.5 per cent of the cost of the part of the project or programme executed by the implementing entity of the project/programme cost;

(iii) Where the actual execution costs of the IE exceed the 1.5 per cent cap, to require the IE to provide justification as part of its proposal submission if requesting costs beyond the cap on an exceptional case-by-case basis.

(Decision B.38/42)

(l) Report on indicators for innovation

43. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

(a) To approve the revised outcome 8 of the Strategic Results Framework (SRF), as laid out in table 3 of document AFB/PPRC.29/44 on a pilot basis, as well as the guidance to implementing entities for application of innovation indicators in projects/programmes described in the same document;

(b) To request the secretariat to propose amendments to the SRF, project performance reports and other relevant documents following the pilot phase for application of innovation indicators to the Project and Programme Review Committee.

(Decision B.38/43)

(m) Options for further supporting the work of the Project and Programme Review Committee

44. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board decided:

(a) To defer consideration of document AFB/PPRC.29/48 by the Project and Programme Review Committee until after further discussion of staffing of the secretariat project review team by the Board;

(b) To request the secretariat to prepare an updated document, to be considered intersessionally, as needed, taking into account the outcome of the discussion in the subparagraph (a), above.

(Decision B.38/44)

Agenda item 9: Report of twenty-ninth meeting of the Ethics and Finance Committee*a) Financial issues*Work plan of the Board and secretariat for fiscal year 2023

45. Having considered the recommendation of the Ethics and Finance Committee, the Adaptation Fund Board decided to approve the secretariat's proposed work plan for fiscal year 2023, as set out in annex I to document AFB/EFC.29/4.

(Decision B.38/45)Administrative budget of the Board and secretariat and the trustee for fiscal year 2023, and of the AF-TERG and its secretariat for fiscal years 2023–2024

46. Having considered the recommendation of the Ethics and Finance Committee and taking into consideration its subsequent discussions and the information set out in document AFB/EFC.29/5/Rev.1, the Adaptation Fund Board (the Board) decided:

(a) To approve, from the resources available in the Adaptation Fund Trust Fund:

(Board and secretariat)

(i) The proposed budget of US\$ 8,212,848 to cover the costs of the operations of the Board and secretariat for the period from 1 July 2022 to 30 June 2023, comprising US\$ 6,897,098 for Board and secretariat administrative services (the main secretariat budget), US\$ 567,050 for accreditation services and US\$ 748,700 for the readiness programme;

(Technical Evaluation Reference Group of the Adaptation Fund and secretariat)

(ii) The proposed revised budget of US\$ 1,329,965 to cover the costs of the operations of the Technical Evaluation Reference Group of the Adaptation Fund (AF-TERG) and its secretariat for fiscal year 2023, covering the period from 1 July 2022 to 30 June 2023, comprising US\$ 691,496 for the management component and US\$ 638,469 for the evaluation component (the resulting increase of US\$ 36,916 over the originally approved AF-TERG budget for fiscal year 2023 consisted of a carry-over of US\$ 60,000 from fiscal year 2022 and a net decrease of US\$ 23,084 for fiscal year 2023 that required an additional transfer from the trust fund);

(iii) The proposed budget of US\$ 1,336,413 to cover the costs of the operations of the AF-TERG and its secretariat for fiscal year 2024, covering the period from 1 July 2023 to 30 June 2024, comprising US\$ 705,684 for the management component and US\$ 630,729 for the evaluation component;

(Trustee)

(iv) The proposed increase of US\$ 6,000 in the trustee budget for fiscal year 2022;

- (v) The proposed budget of US\$ 878,500 for the trustee services to be provided to the Adaptation Fund during fiscal year 2023;
- (b) To authorize the trustee to transfer the amounts in subparagraphs (a) (i), (ii) and (iii) to the respective secretariats, and the amounts in subparagraphs (a) (iv) and (v) to the trustee.

(Decision B.38/46)

Fiscal Years 2023 – 2024 Update to the Work Programme of the Adaptation Fund Technical Evaluation Reference Group (AF-TERG)

47. Having considered the recommendation of the Ethics and Finance Committee, the Adaptation Fund Board decided to approve the updated work programme of the Adaptation Fund Technical Evaluation Reference Group for fiscal years 2023–2024 as set out in document AFB/EFC.29/7.

(Decision B.38/47)

b) Report of the Chair of the Technical Evaluation Reference Group

Draft evaluation policy of the Adaptation Fund

48. Having considered the recommendation of the Ethics and Finance Committee (EFC), the Adaptation Fund Board (the Board) decided:

- (a) To approve the draft evaluation policy of the Adaptation Fund (the Fund) set out in annex 1 to document AFB/EFC.29/6/Rev.1, as amended by the Board, as the Fund's evaluation policy, which shall not prejudice the Board's future consideration of the budget implications of the implementation of the evaluation policy;
- (b) To request the Adaptation Fund Technical Evaluation Reference Group (AF-TERG) to work in consultation with the secretariat to introduce the Fund's evaluation policy to the Fund's stakeholders;
- (c) To request the AF-TERG to develop, in consultation with the secretariat, evaluation guidance documents for the implementation of the Fund's evaluation policy, including budget implications, and to submit them to the EFC for consideration at its thirty-first meeting.

(Decision B.38/48)

Agenda item 10: Medium-term strategy of the Fund for the period 2023–2027

49. Having considered the information contained in document AFB/B.38/5 on options and elements for the medium-term strategy 2023–2027, the Adaptation Fund Board (the Board) decided:

- (a) To elect Mr. Ali Daud Mohamed (Somalia, Africa), Ms. Sohee Gwag (Republic of Korea, Asia-Pacific), Ms. Joanna Milwicz vel Delach (Poland, Eastern Europe), Ms. Maia Tskharadze (Georgia, Eastern Europe), Mr. Marc Antoine Martin (France, Annex I Parties), and Ms. Angelique Pouponneau (Seychelles, Small Island Developing States) as members

of the task force referred to in decision B.37/38, on the second medium-term strategy of the Adaptation Fund (the Fund), covering the period 2023–2027 (MTS 2023–2027);

(b) To request the secretariat, under the guidance of the task force referred to in subparagraph (a), above:

(i) To prepare a draft MTS 2023–2027 that builds on the strategic framework and achievements of the MTS 2018–2022 and further enhances it by proposing strategic updates and adjustments aimed at consolidating the Fund’s comparative advantage and optimizing its impact (in line with option 2, as set out in document AFB/B.38/5), and reflecting the views expressed by the Board at its thirty-eighth meeting;

(ii) To undertake further stakeholder consultations on the draft MTS 2023–2027;

(iii) To present the draft MTS 2023–2027 for consideration by the Board at its thirty-ninth meeting.

(Decision B.38/49)

Agenda item 11: Draft resource mobilization strategy and action plan for the period 2022–2024

50. Having considered documents AFB/B.38/6 and annex I thereto, AFB/B.38/6/Add.1. and annex I thereto and AFB/B.38/6/Add.2, the Adaptation Fund Board (the Board) decided to request the secretariat:

(a) To conduct a survey of the Board during the intersessional period (B.38-B.39), with a view to receiving input on the draft resource mobilization strategy set out in document AFB/B.38/6 /Add.1 and the draft resource mobilization action plan set out in document AFB/B.38/6/Add.2;

(b) To update the draft resource mobilization strategy and the draft resource mobilization action plan to reflect the input provided by the Board through the intersessional survey referred to in subparagraph (a), above, for the Board’s consideration at its thirty-ninth meeting.

(Decision B.38/50)

Agenda item 12: Issues arising from sixteenth session of the Conference of the Parties serving as meeting of the Parties to the Kyoto Protocol (CMP 16), the third session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA 3) and the twenty-sixth session of the Conference of the Parties (COP 26)

51. Having considered decisions 3/CMP.16 and 13/CMA.3 and documents AFB/B.38/10, AFB/B.38/10/Add.1 and AFB/B.38/10/Add.2, the Adaptation Fund Board (the Board) decided to request the secretariat:

(a) To conduct a survey of the Board during the intersessional period, with a view to receiving input on the proposed amendments to the Strategic Priorities, Policies and Guidelines of the Fund adopted by the CMP (SPPG) and the Operational Policies and Guidelines for Parties to Access Resources from the Adaptation Fund (OPG), respectively, as set out in documents AFB/B.38/10/Add.1 and AFB/B.38/10/Add.2;

(b) To prepare a document setting out the proposed amendments to the SPPG and the OPG, respectively, reflecting the Board's discussions at its thirty-eighth meeting and the input received from the Board through the intersessional survey referred to in subparagraph (a), above, for the Board's consideration at its thirty-ninth meeting.

(Decision B.38/51)

Agenda item 16: Date and venue of meetings in 2022 and onward

51. The Adaptation Fund Board (the Board) decided:

(c) To hold its thirty-ninth meeting from 11–14 October 2022;

(d) To hold its fortieth meeting from – 21–24 March 2023;

(e) To hold its forty-first meeting from 10–13 –October 2023;

(f) To request secretariat to explore the feasibility of holding the board meeting in the host country for the United Nations Climate Change Conference and other countries, to enable the Board to further consider the matter during intersessional period (B.38–B.39) or at its thirty-ninth meeting;

(g) To hold its thirty-ninth meeting in Bonn if it is not feasible to hold the meeting in the country hosting for the United Nations Climate Change Conference country.

(Decision B.38/52)

ANNEX I

**AFB38: SUMMARY OF FUNDING DECISIONS FOR PROJECTS AND PROGRAMMES AT THE THIRTY-EIGHTH MEETING OF
THE ADAPTATION FUND BOARD**

1. Full Proposals: Single-country	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Niger	BAGRI	AFB/PPRC.29/4	9,982,000			<i>Not approve</i>	0
	Tanzania (United Republic of) (1)	NEMC	AFB/PPRC.29/5	2,500,000			<i>Not approve</i>	0
	Tanzania (United Republic of) (2)	NEMC	AFB/PPRC.29/6	4,000,086			<i>Not approve</i>	0
	Zimbabwe	EMA	AFB/PPRC.29/7	4,989,000			<i>Not approve</i>	0
RIE								
	Trinidad and Tobago	CAF	AFB/PPRC.29/8		10,000,000		Approve	10,000,000
MIE								
	Central African Republic	IFAD	AFB/PPRC.29/9			10,000,000	<i>Not approve</i>	0
	Kyrgyzstan	IFAD	AFB/PPRC.29/10			9,999,313	Approve	9,999,313
Sub-total, USD				21,471,086	10,000,000	19,999,313		19,999,313
2. Concepts: Single-country	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Benin (1)	FNEC	AFB/PPRC.29/11	2,934,545			Endorse	-
	Benin (2)	FNEC	AFB/PPRC.29/12	3,053,742			Endorse	-
	Costa Rica	Fundecooperación	AFB/PPRC.29/13	10,000,000			Endorse	-
	Côte d'Ivoire	FIRCA	AFB/PPRC.29/14	4,000,000			<i>Not Endorse</i>	-
	Honduras	CASM	AFB/PPRC.29/15	4,000,000			<i>Not Endorse</i>	-
	Peru	PROFONANPE	AFB/PPRC.29/16	5,465,145			<i>Not Endorse</i>	-
	Uganda	MoWE	AFB/PPRC.29/17	9,504,600			Endorse	-
RIE								
	Argentina	CAF	AFB/PPRC.29/18		10,000,000		<i>Not Endorse</i>	-
	Papua New Guinea	SPC	AFB/PPRC.29/19		9,908,461		Endorse	-

MIE								
	Cabo Verde	FAO	AFB/PPRC.29/20			9,998,228	Endorse	-
	LAO (People's Democratic Republic)	UN-Habitat	AFB/PPRC.29/21			6,811,474	Not Endorse	-
	Nicaragua	FAO	AFB/PPRC.29/22			10,000,000	Endorse	-
	Philippines	UNIDO	AFB/PPRC.29/23			5,463,643	Endorse	-
	Sri Lanka	UN-Habitat	AFB/PPRC.29/24			2,000,000	Endorse	-
	Zambia	IFAD	AFB/PPRC.29/25			10,000,000	Not Endorse	-
Sub-total, USD				38,958,032	19,908,461	44,273,345		-
3. Project Formulation Grant (PFG): Single-country								
	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Benin (1)	FNEC	AFB/PPRC.29/11/Add.1	27,000			Approve	27,000
	Costa Rica	Fundecooperación	AFB/PPRC.29/13/Add.1	50,000			Approve	50,000
	Côte d'Ivoire	FIRCA	AFB/PPRC.29/14/Add.1	50,000			Not approve	0
	Honduras	CASM	AFB/PPRC.29/15/Add.1	50,000			Not approve	0
	Uganda	MoWE	AFB/PPRC.29/17/Add.1	45,000			Approve	45,000
Sub-total, USD				222,000	-	-		122,000

4. Full Proposals: Regional								
	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
RIE								
	Belize, Guatemala, Honduras	CABEI	AFB/PPRC.29/26		13,248,121		Not approve	0
MIE								
	Antigua and Barbuda, Saint Lucia	UN-Habitat	AFB/PPRC.29/27			13,996,500	Not approve	0
	Chad, Sudan	FAO	AFB/PPRC.29/28			14,000,000	Approve	14,000,000
	Côte d'Ivoire, Ghana	UN-Habitat	AFB/PPRC.29/29			13,986,990	Not approve	0
Sub-total, USD				-	13,248,121	41,983,490		14,000,000
GRAND TOTAL (1+2+3+4)				60,651,118	43,156,582	106,256,148		34,121,313

5. Concepts: Enhanced Direct Access	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Peru	PROFONANPE	AFB/PPRC.29/31		5,000,000		<i>Not endorse</i>	-
	Tanzania (United Republic of)	NEMC	AFB/PPRC.29/32		4,951,245		<i>Not endorse</i>	-
Sub-total, USD				-	9,951,245	-		-
6. Project Formulation Grant (PFG): Enhanced Direct Access	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Tanzania (United Republic of)	NEMC	AFB/PPRC.29/32/Add.1		50,000		<i>Not approve</i>	0
Sub-total, USD				-	50,000	-		-
GRAND TOTAL (5+6)				-	10,001,245	-		-

7. Full Proposals Single Country: Large Innovation Projects	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Bangladesh	PKSF	AFB/PPRC.29/34	5,000,000			<i>Not approve</i>	0
Sub-total, USD				5,000,000	-	-		-
8. Concepts Single Country: Large Innovation Projects	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
MIE								
	Egypt	FAO	AFB/PPRC.29/36			4,873,400	<i>Endorse</i>	-
Sub-total, USD				-	-	4,873,400		-

9. Pre-concepts Regional: Large Innovation Projects	Region/Countries	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
MIE								
	Gambia (Republic of The), Tanzania (United Republic of)	WMO	AFB/PPRC.29/37			5,000,000	Endorse	-
Sub-total, USD				-	-	5,000,000		-
10. Innovation Small Grants	Country	IE	PPRC Document number	NIE funding, USD	RIE funding, USD	MIE funding, USD	Decision	Funding set aside, USD
NIE								
	Uganda	MoWE	AFB/PPRC.29/39	250,000			Approve	250,000
Sub-total, USD				250,000	-	-		250,000
GRAND TOTAL (7+8+9+10)				5,250,000	-	9,873,400		250,000
GRAND TOTAL (1+2+3+4+5+6+7+8+9+10)				65,901,118	53,157,827	116,129,548		34,371,313

ANNEX II

APPROVED FY22 AND FY23 BUDGET OF THE BOARD AND SECRETARIAT, AND THE TRUSTEE, AND APPROVED FY22, FY23 AND FY24 BUDGETS OF THE AF-TERG AND ITS SECRETARIAT

All amounts in US\$	<u>FY22</u>	<u>FY22</u>	<u>FY23</u>	
	<u>Approved</u>	<u>Estimate</u>	<u>Proposed</u>	
BOARD AND SECRETARIAT				
1 Personnel	4,111,220	3,439,865	5,475,648	
2 Travel	456,000	187,000	456,000	
3 General operations	508,875	489,000	728,050	
4 Meetings	236,980	176,000	237,400	
Sub-total secretariat administrative services [a]	5,313,075	4,291,865	6,897,098	
5 Accreditation [b]	608,550	491,000	567,050	
6 Readiness Programme [c]	756,950	272,329	748,700	
Total Board and Secretariat [a] + [b] + [c]	6,678,575	5,055,194	8,212,848	
All amounts in US\$	<u>FY22</u>	<u>FY22</u>	<u>FY23</u>	<u>FY24</u>
	<u>Revised Approved</u>	<u>Estimate</u>	<u>Proposed revised</u>	<u>Proposed</u>
AF-TERG AND ITS SECRETARIAT				
1 Personnel	408,083	447,142	457,552	466,396
2 Travel	134,702	10,924	108,744	112,006
3 General operations	136,327	120,441	115,000	116,879
4 Meetings	10,000	-	10,200	10,404
Sub-total management	689,112	578,507	691,496	705,684
5 Evaluation	611,717	446,739	638,469	630,729
Total AF-TERG and its secretariat	1,300,829	1,025,246	1,329,965	1,336,413
All amounts in US\$	<u>FY22</u>	<u>FY22</u>	<u>FY23</u>	
	<u>Approved</u>	<u>Estimate</u>	<u>Proposed</u>	
TRUSTEE				
1 Monetization	180,000	165,000	180,000	
2 Financial and Program Management	320,000	320,000	320,000	
3 Investment Management	245,000	268,000	256,500	
4 Accounting and Reporting	60,000	58,000	58,000	
5 Legal Services	56,000	56,000	64,000	
Total trustee	861,000	867,000	878,500	
GRAND TOTAL ALL COMPONENTS	8,840,404	6,947,440	10,421,313	